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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2015 or tax year beginning

, and ending

Name of foundation

A Employer identification number

UW GLADYS BROOKS FOUNDATION

13-2955337

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number

P.O. BOX 1802

401-278-8952

City or town, state or province, country, and ZIP or foreign postal code

C If exemption application is pending, check here ☐

PROVIDENCE, RI 02901-1802

D 1 Foreign organizations, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(from Part II, col (c), line 16)

\$ 36,633,475. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 5,717,119.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		144.	2,152.	2,152.
	2	Savings and temporary cash investments		2,343,636.	764,803.	764,811.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 8	12,745,712.	13,479,486.	24,437,332.
	c	Investments - corporate bonds	STMT 9	10,991,559.	10,832,814.	10,816,795.
	11	Investments - land, buildings and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 10	583,141.	583,141.	604,296.	
14	Land, buildings, and equipment: basis ▶	7,028.				
	Less: accumulated depreciation	STMT 11 ▶	4,973.	498.	2,055.	2,055.
15	Other assets (describe ▶)	STATEMENT 12)	6,034.	6,034.	6,034.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		26,670,724.	25,670,485.	36,633,475.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		26,670,724.	25,670,485.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		26,670,724.	25,670,485.		
31	Total liabilities and net assets/fund balances		26,670,724.	25,670,485.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,670,724.
2	Enter amount from Part I, line 27a	2	<1,001,357.>
3	Other increases not included in line 2 (itemize) ▶ <u>DIVIDENDS - TIMING DIFFERENCES</u>	3	1,118.
4	Add lines 1, 2, and 3	4	25,670,485.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	25,670,485.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a US TRUST - SCHEDULE ATTACHED		P	VARIOUS	VARIOUS
b US TRUST - SCHEDULE ATTACHED		P	VARIOUS	VARIOUS
c US TRUST - CAPITAL GAIN DISTRIBUTIONS		P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 235,325.		250,066.	<14,741.>
b 5,480,001.		5,167,415.	312,586.
c 1,793.			1,793.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<14,741.>
b			312,586.
c			1,793.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	299,638.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,790,952.	37,452,107.	.047820
2013	1,686,610.	35,917,451.	.046958
2012	1,702,472.	34,116,332.	.049902
2011	1,654,257.	33,957,038.	.048716
2010	1,318,544.	32,665,932.	.040364

2 Total of line 1, column (d)	2	.233760
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046752
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	37,544,555.
5 Multiply line 4 by line 3	5	1,755,283.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,166.
7 Add lines 5 and 6	7	1,763,449.
8 Enter qualifying distributions from Part XII, line 4	8	1,797,920.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments.

a 2015 estimated tax payments and 2014 overpayment credited to 2015

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2016 estimated tax

16,834. Refunded

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions)

NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a	X	
9		X
10		X

N/A

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **WWW.GLADYSBROOKSFOUNDATION.ORG**

- 14 The books are in care of
- PRIVATE BANK TAX SERVICES**

Telephone no. **401-278-8952**Located at **P.O. BOX 1802, PROVIDENCE, RI**ZIP+4 **02901-1802**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year

15 N/A

- 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☒ Yes ☐ No☐ Yes ☒ No☐ Yes ☒ No

	Yes	No
1a		X

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

1b

1b		X
----	--	---

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?

1c		X
----	--	---

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?

☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

2b		
----	--	--

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

2c		
----	--	--

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)

N/A

3b		
----	--	--

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a		X
----	--	---

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

4b		X
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Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US TRUST COMPANY	TRUSTEE			
P.O. BOX 1802				
PROVIDENCE, RI 02901-1802	40.00	224,396.	0.	0.
CHRISTOPHER R HAWKINS	GOVERNOR			
26 HERMAN SAVAGE ROAD				
LANCASTER, NH 03584	5.00	25,000.	0.	0.
JAMES J DALY ESQ	GOVERNOR			
750 BEACH ROAD APT 302				
VERO BEACH, FL 32963	7.00	25,000.	0.	0.
THOMAS Q MORRIS MD	GOVERNOR			
P.O. BOX 223				
DELHI, NY 13753	5.00	25,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHLEEN P PETRY - GLADYS BROOKS FDN	ADMINISTRATOR			
P.O. BOX 1802, PROVIDENCE, RI	35.00	82,163.	12,325.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	36,238,934.
b	Average of monthly cash balances	1b	1,870,306.
c	Fair market value of all other assets	1c	7,059.
d	Total (add lines 1a, b, and c)	1d	38,116,299.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	38,116,299.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	571,744.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	37,544,555.
6	Minimum investment return. Enter 5% of line 5	6	1,877,228.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,877,228.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	8,166.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,166.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,869,062.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,869,062.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,869,062.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,797,920.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,797,920.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	8,166.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,789,754.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,869,062.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012	16,579.			
d From 2013				
e From 2014				
f Total of lines 3a through e	16,579.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 1,797,920.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				1,797,920.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	16,579.			16,579.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				54,563.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

N/A

- ☐ 4942(i)(3) or ☐ 4942(i)(5)

- (4) Gross investment income**

[illegible]

SEE ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE ATTACHED				1,535,000.
Total			3a	1,535,000.
b Approved for future payment				
N/A				
Total			3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td>1a(1)</td> <td></td> <td>X</td> </tr> <tr> <td>1a(2)</td> <td></td> <td>X</td> </tr> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td>1b(1)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(2)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(3)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(4)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(5)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(6)</td> <td></td> <td>X</td> </tr> <tr> <td>1c</td> <td></td> <td>X</td> </tr> </tbody> </table> | | Yes | No | | | | 1a(1) | | X | 1a(2) | | X | | | | 1b(1) | | X | 1b(2) | | X | 1b(3) | | X | 1b(4) | | X | 1b(5) | | X | 1b(6) | | X | 1c | | X |
|--|---|----------|-----|----|--|--|--|--------------|--|----------|--------------|--|----------|--|--|--|--------------|--|----------|--------------|--|----------|--------------|--|----------|--------------|--|----------|--------------|--|----------|--------------|--|----------|-----------|--|----------|
| | Yes | No | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1c | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

ALBERT VANASCO

Firm's name ▶ **ALBERT M VANASCO, CPA, PC.**

Firm's address ► 1325 FRANKLIN AVENUE SUITE 335
GARDEN CITY, NY 11530

Phone no. (516) 741-6767

Form **990-PF** (2015)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DOMESTIC	45.	45.	
TOTAL TO PART I, LINE 3	45.	45.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
US TRUST - DOMESTIC	596,604.	0.	596,604.	596,604.	
US TRUST - FOREIGN	134,964.	0.	134,964.	134,964.	
US TRUST - USGI	13,675.	0.	13,675.	13,675.	
TO PART I, LINE 4	745,243.	0.	745,243.	745,243.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION PROCEEDS	58.	58.	
TOTAL TO FORM 990-PF, PART I, LINE 11	58.	58.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,707.	0.		9,707.
TO FM 990-PF, PG 1, LN 16A	9,707.	0.		9,707.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	22,933.	0.		22,933.
TO FORM 990-PF, PG 1, LN 16B	22,933.	0.		22,933.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	14,013.	14,013.		0.
FEDERAL EXCISE TAX	19,532.	0.		0.
TO FORM 990-PF, PG 1, LN 18	33,545.	14,013.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	6,774.	0.		6,774.
PAYROLL SERVICE	2,373.	0.		2,373.
SUPPLIES EXPENSE	788.	0.		788.
NY AG FILING FEES	750.	0.		750.
INVESTMENT EXPENSES	1,167.	1,167.		0.
BANKING FEES	45.	0.		45.
TO FORM 990-PF, PG 1, LN 23	11,897.	1,167.		10,730.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
US TRUST - EQUITIES	13,479,486.	24,437,332.
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,479,486.	24,437,332.

FORM 990-PF	CORPORATE BONDS	STATEMENT 9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
US TRUST - FIXED INCOME	10,832,814.	10,816,795.
TOTAL TO FORM 990-PF, PART II, LINE 10C	10,832,814.	10,816,795.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
US TRUST - COMMODITIES	COST	583,141.	604,296.
TOTAL TO FORM 990-PF, PART II, LINE 13		583,141.	604,296.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 11
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	911.	899.	12.
EQUIPMENT	2,520.	2,520.	0.
EQUIPMENT	229.	229.	0.
COMPUTER EQUIPMENT	1,308.	1,119.	189.
EQUIPMENT	2,060.	206.	1,854.
TOTAL TO FM 990-PF, PART II, LN 14	7,028.	4,973.	2,055.

FORM 990-PF

OTHER ASSETS

STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY	3,034.	3,034.	3,034.
RETAINER	3,000.	3,000.	3,000.
TO FORM 990-PF, PART II, LINE 15	6,034.	6,034.	6,034.

990-066

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

528102
04-01-15

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

JSA
XG900 3 000

UW GLADYS BROOKS FOUNDATION

FEDERAL CAPITAL GAIN DISTRIBUTIONS

=====

CAPITAL GAIN DISTRIBUTIONS

UNRECAPTURED SECTION 1250 GAIN

PUBLIC STORAGE INC PFD DEP SHS REPSTG 1/100	57.95
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TOTAL UNRECAPTURED SECTION 1250 GAIN

58.00

OTHER CAPITAL GAIN DISTRIBUTIONS

CITIGROUP INC	27.59
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PUBLIC STORAGE INC PFD DEP SHS REPSTG 1/100	0.23
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VANGUARD SHORT TERM BOND ETF	1,707.47
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TOTAL OTHER CAPITAL GAIN DISTRIBUTIONS

1,735.00

TOTAL CAPITAL GAIN DISTRIBUTIONS (ROUNDED)

1,793.00
=====

STATEMENT 7

Trade Date

Portfolio Analysis

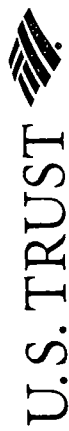
Jan. 01, 2015 through Dec. 31, 2015

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Portfolio Summary by Asset Class

Description	Market Value	% of Account	% of Sector	Tax Cost	Estimated Annual Income	Current Yield
Cash/Currency	\$764,810.79	2.1%	100.0%	\$764,802.85	\$68.83	0.00%
Cash Equivalents						
Total Cash/Currency	\$764,810.79	2.1%	100.0%	\$764,802.85	\$68.83	0.00%
Equities						
U.S. Large Cap	\$22,676,997.02	61.9%	92.8%	\$12,241,389.68	\$464,697.84	2.05%
International Developed	1,760,434.66	4.8	7.2	1,238,096.16	55,190.81	3.14
Total Equities	\$24,437,331.68	66.7%	100.0%	\$13,479,485.84	\$519,888.65	2.13%
Fixed Income						
Investment Grade Taxable	\$10,816,795.40	29.5%	100.0%	\$10,832,813.80	\$173,161.43	1.60%
Total Fixed Income	\$10,816,795.40	29.5%	100.0%	\$10,832,813.80	\$173,161.43	1.60%
Tangible Assets						
Commodities	\$604,295.76	1.7%	100.0%	\$583,140.96	\$0.00	0.00%
Total Tangible Assets	\$604,295.76	1.7%	100.0%	\$583,140.96	\$0.00	0.00%
Total Assets	\$36,623,233.63	100.0%		\$25,660,243.45	\$693,118.91	1.89%
Total	\$36,623,233.63			\$25,660,243.45	\$693,118.91	

Trade Date



Bank of America Private Wealth Management

Portfolio Detail**Account:** 41-01-100-5837423 UJW GLADYS BROOKS FOUNDATION

Jan. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Average Unit Cost	Tax Cost/ Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency									
Cash Equivalents									
0.000	BANK OF AMERICA TEMPORARY OVERNIGHT DEPOSIT	99Z490460	\$0.00	\$0.01	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
	(Income Investment)								
0.000	BANK OF AMERICA TEMPORARY OVERNIGHT DEPOSIT	99Z490460	0.00	1.14	0.00	0.00	0.00	0.00	0.00
	(Income Investment)								
28,109.980	BOFA GOVERNMENT RESERVES TRUST CLASS	097100556	28,109.98	1.23	28,109.98 1,000	0.00	0.00	2.53	0.00
	(Income Investment)								
736,692.870	BOFA GOVERNMENT RESERVES TRUST CLASS	097100556	736,692.87	5.56	736,692.87 1,000	0.00	0.00	66.30	0.00
	(Income Investment)								
Total Cash Equivalents			\$764,802.85	\$7.94	\$764,802.85	\$0.00	\$0.00	\$68.83	0.00%
Total Cash/Currency			\$764,802.85	\$7.94	\$764,802.85	\$0.00	\$0.00	\$68.83	0.00%

Equities

Consumer Discretionary									
7,000.000	GENUINE PARTS CO Ticker: GPC	372460105	\$601,230.00 85.890	\$4,305.00	\$285,996.90 40.857	\$315,233.10	\$17,220.00	2.86%	
13,000.000	LOWES COS INC Ticker: LOW	548661107	988,520.00 76.040	0.00	303,980.00 23.383	684,540.00	14,560.00	1.47	
7,000.000	YUM BRANDS INC Ticker: YUM	988498101	511,350.00 73.050	0.00	211,937.60 30.277	299,412.40	12,880.00	2.51	
Total Consumer Discretionary			\$2,101,100.00	\$4,305.00	\$801,914.50	\$1,299,185.50	\$44,660.00	2.12%	
Consumer Staples									
5,300.000	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM Ticker: BUD	03524A108	\$662,500.00 125.000	\$0.00	\$302,858.43 57.143	\$359,641.57	\$17,087.20	2.57%	

(1) Market Value in the Portfolio Detail section does not include Accrued Income.

[illegible]

Portfolio Detail

Jan. 01, 2015 through Dec. 31, 2015

Page 8 of 74

Trade Date



Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2015 through Dec. 31, 2015

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Average Unit Cost	Tax Cost/ Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)									
Financials (cont)									
3 000	BERKSHIRE HATHAWAY INC DEL CLA Ticker: BRK A	084670108	593,400.00 197,800.000	0.00	276,450.09 92,150.030		316,949.91	0.00	0.00
7,000 000	CHUBB CORP Ticker: CB	171232101	928,480.00 132.640	3,990.00	290,263.30 41.466		638,216.70	15,960.00	1.71
8,000 000	PRICE T ROWE GROUP INC Ticker: TROW	74144T108	571,920.00 71.490	0.00	377,527.05 47.191		194,392.95	16,640.00	2.90
21,500.000	SCHWAB CHARLES CORP NEW Ticker: SCHW	808513105	707,995.00 32.930	0.00	639,579.50 29.748		68,415.50	5,160.00	0.72
16,000.000	US BANCORP DEL COM NEW Ticker: USB	902973304	682,720.00 42.670	4,080.00	530,265.60 33.142		152,454.40	16,320.00	2.39
14,400.000	WELLS FARGO & CO NEW COM Ticker: WFC	949746101	782,784.00 54.360	0.00	253,910.25 17.633		528,873.75	21,600.00	2.75
Total Financials			\$5,393,016.00	\$8,070.00	\$3,129,949.72		\$2,263,066.28	\$106,036.00	1.96%
Health Care									
12,000.000	CERNER CORP Ticker: CERN	156782104	\$722,040.00 60.170	\$0.00	\$224,268.60 18.689		\$497,771.40	\$0.00	0.00%
10,000 000	EXPRESS SCRIPTS HLDG CO Ticker: ESRX	30219G108	874,100.00 87.410	0.00	486,374.30 48.637		387,725.70	0.00	0.00
8,000.000	GILEAD SCIENCES INC Ticker: GILD	375558103	809,520.00 101.190	0.00	201,700.00 25.213		607,820.00	13,760.00	1.70
8,600.000	NOVARTIS A G SPONSORED ADR SWITZERLAND Ticker: NVS	66987V109	739,944.00 86.040	0.00	478,795.54 55.674		261,148.46	19,427.40	2.62
22,000.000	PFIZER INC Ticker: PFE	717081103	710,160.00 32.280	0.00	402,712.20 18.305		307,447.80	26,400.00	3.71
6,200 000	ZIMMER BIOMET HLDGS INC Ticker: ZBH	98956P102	636,058.00 102.590	1,364.00	315,088.96 50.821		320,969.04	5,456.00	0.85

9K0476505

Trade Date



Portfolio Detail

Jan 01, 2015 through Dec. 31, 2015

Account: 41-01-100-5837423 UJW GLADYS BROOKS FOUNDATION

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Average Unit Cost	Tax Cost/ Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Health Care (cont)								
Total Health Care			\$4,491,822.00	\$1,364.00	\$2,108,939.60	\$2,382,882.40	\$65,043.40	1.44%
Industrials								
9,000.000	DANAHER CORP DEL Ticker: DHR	235851102	\$835,920.00 92.880	\$1,215.00	\$388,125.00 43.125	\$447,795.00	\$4,860.00	0.58%
6,000.000	ROCKWELL AUTOMATION INC Ticker: ROK	773903109	615,660.00 102.610	0.00	422,122.20 70.354	193,537.80	17,400.00	2.82
Total Industrials			\$1,451,580.00	\$1,215.00	\$810,247.20	\$641,332.80	\$22,260.00	1.53%
Information Technology								
8,000.000	ACCENTURE PLC CLACOM IRELAND Ticker: ACN	G1151C101	\$836,000.00 104.500	\$0.00	\$190,425.00 23.803	\$645,575.00	\$17,600.00	2.10%
8,000.000	ADOBE SYS INC Ticker: ADBE	00724F101	751,520.00 93.940	0.00	109,937.75 13.742	641,582.25	0.00	0.00
1,000.000	ALPHABET INC CLACOM	02079K305	778,010.00 778.010	0.00	550,049.50 550.050	227,960.50	0.00	0.00
26,000.000	CISCO SYS INC Ticker: CSCO	17275R102	706,030.00 27.155	0.00	700,668.50 26.949	5,361.50	21,840.00	3.09
Total Information Technology			\$3,071,560.00	\$0.00	\$1,551,080.75	\$1,520,479.25	\$39,440.00	1.28%
Materials								
6,000.000	AIR PRODS & CHEMS INC Ticker: APD	009158106	\$780,660.00 130.110	\$4,860.00	\$283,443.00 47.241	\$497,217.00	\$19,440.00	2.49%
Total Materials			\$780,660.00	\$4,860.00	\$283,443.00	\$497,217.00	\$19,440.00	2.49%
Telecommunication Services								
18,000.000	AT&T INC Ticker: T	00206R102	\$619,380.00 34.410	\$0.00	\$628,178.50 34.899	-\$8,798.50	\$34,560.00	5.58%

Trade Date

Portfolio Detail**Account:** 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Jan. 01, 2015 through Dec 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Average Unit Cost	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)									
Telecommunication Services (cont)									
10,909,000	VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR UNITED KINGDOM Ticker: VOD	92857W308	351,924.34 32.260	6,066.32	456,442.19 41.841		-104,517.85	18,676.21	5.30
Total Telecommunication Services			\$971,304.34	\$6,066.32	\$1,084,620.69		-\$113,316.35	\$53,236.21	5.48%
Total Equities			\$24,392,001.36	\$45,330.32	\$13,479,485.84		\$10,912,515.52	\$519,888.65	2.13%

Fixed Income**Investment Grade Taxable**

23,375,000	2041	ENTERGY LALLC PFD 1ST MTG BD SER 5.875% Moody's: A3 S&P: A-	29364W405	\$591,153.75 25.290	\$0.00	\$597,687.55 25.570	-\$6,533.80	\$34,337.88	5.80%
19,730,000	2051	GULF PWR CO SR NT SER 2011A PFD 5.750% Moody's: A3 S&P: A-	402479745	505,679.90 25.630	0.00	509,584.84 25.828	-3,904.94	28,371.74	5.61
9,940,000	2052	GENERAL ELEC CAP CORP PFD 4.875% Moody's: A1 S&P: AA+	369622428	255,259.20 25.680	0.00	250,977.06 25.249	4,282.14	12,116.86	4.74
9,628,000	2061	DTE ENERGY CO PFD JR SUB DEB 6.500% Moody's: BAA3 S&P: BBB-	233331602	255,623.40 26.550	0.00	252,149.02 26.189	3,474.38	15,645.50	6.12
419,178.985		CMG ULTRA SHORT TERM BOND FUND	19765E823	3,764,227.29 8.980	2,008.38	3,770,942.34 8.996	-6,715.05	15,090.44	0.40
334,884.847		COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES	19765H362	3,305,313.44 9.870	1,781.99	3,288,449.40 9.820	16,864.04	27,460.56	0.83

9K0476506

Trade Date

Portfolio Detail

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Jan. 01, 2015 through Dec 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Average Unit Cost	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)									
Investment Grade Taxable (cont)									
9,473.000	PUBLIC STORAGE INC PFD DEP SHS REPSTG 1/10000 SER Q 6.500%	74460D141	240,708.93 25.410	0.00	252,224.76 26.626		-11,515.83	15,393.63	6.39
23,816.000	Moody's: BAA1 S&P: BBB+ VANGUARD SHORT TERM BOND ETF	921937827	1,895,039.12 79.570	0.00	1,910,798.83 80.232		-15,759.71	24,744.82	1.30
Total Investment Grade Taxable			\$10,813,005.03	\$3,790.37	\$10,832,813.80		-\$19,808.77	\$173,161.43	1.60%
Total Fixed Income			\$10,813,005.03	\$3,790.37	\$10,832,813.80		-\$19,808.77	\$173,161.43	1.60%
Tangible Assets									
Commodities									
5,956.000	SPDR GOLD TR GOLD SHS	78463V107	\$604,295.76 101.460	\$0.00	\$583,140.96 97.908		\$21,154.80	\$0.00	0.00%
Total Commodities			\$604,295.76	\$0.00	\$583,140.96		\$21,154.80	\$0.00	0.00%
Total Tangible Assets			\$604,295.76	\$0.00	\$583,140.96		\$21,154.80	\$0.00	0.00%
Total Portfolio			\$36,574,105.00	\$49,128.63	\$25,660,243.45		\$10,913,861.55	\$693,118.91	1.89%
Accrued Income			\$49,128.63						
Total			\$36,623,233.63						

GLADYS BROOKS FOUNDATION
SUPPLEMENTARY INFORMATION
FORM 990 PF
PART XV- LINE 3A
2015

	<u>FOUNDATION</u> <u>STATUS OF</u> <u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
Trustees of Columbia University in the City of New York New York, New York	Public Charity	Medical & Graduate Education Building	\$250,000
Notre Dame of Maryland University Baltimore, Maryland	Public Charity	Endowed Scholarship for Nursing Program	\$150,000
Henry Viscardi School Albertson, New York	Public Charity	Technology Equipment	\$100,000
University of Notre Dame du Lac Notre Dame, Indiana	Public Charity	Endowment for Preservation & Conservation of Special Collections	\$200,000
Home for the Aged of the Little Sisters of the Poor in the City of New York d/b/a Jeanne Jugan Residence	Public Charity	Patient Equipment	\$100,000
Devon Preparatory School Devon, Pennsylvania	Public Charity	Renovation- Science Lab	\$100,000
Bridgton Academy North Bridgton, Maine	Public Charity	Equipment - STEM program	\$10,000
St. Lawrence University Canton, New York	Public Charity	Renovation- Language Labs	\$100,000
San Miguel Academy of Newburgh Newburgh, New York	Public Charity	Endowed Scholarship	\$100,000
Miseracordia University Dallas, Pennsylvania	Public Charity	Equipment for Study Rooms	\$100,000
Helen Keller Services for the Blind	Public Charity	Curriculum Design; Educational & Vocational Materials	\$100,000
University of Notre Dame du Lac Notre Dame, Indiana	Public Charity	General Purpose	\$25,000
New York Academy of Medicine New York, New York	Public Charity	Software for the Institutional website	\$25,000
Catamount Films & Arts Co St. Johnsbury, VT	Public Charity	Endowment for Art Education	\$25,000

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GLADYS BROOKS FOUNDATION
SUPPLEMENTARY INFORMATION
FORM 990 PF
PART XV- LINE 3A
2015

	<u>FOUNDATION</u> <u>STATUS OF</u> <u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
Northern New Hampshire Healthcare Collaborative, inc. Lancaster, New Hampshire	Public Charity	Endowment for General Purpose	\$50,000
Indian River Hospital Foundation Inc Vero Beach, Florida	Public Charity	Endowment for Education of Oncology Nurses	\$75,000
Canterbury School Incorporated New Milford, Connecticut	Public Charity	Endowment- Faculty Professional Development	<u>\$25,000</u>
			<u>1,535,000.</u>