



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation CAPITAL ONE FOUNDATION INC		A Employer identification number 11-3276603	
Number and street (or P O box number if mail is not delivered to street address) 1680 CAPITAL ONE DRIVE		B Telephone number (see instructions) (703) 720-1000	
City or town, state or province, country, and ZIP or foreign postal code MCLEAN, VA 22102		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 40,458,697		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per (a) books	Net investment (b) income	Adjusted net (c) income	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	5,508,247		
	2	Check ☐ if the foundation is not required to attach Sch B			
	3	Interest on savings and temporary cash investments	61,355	61,355	
	4	Dividends and interest from securities	754,684	754,684	
	5a	Gross rents			
	b	Net rental income or (loss) _____			
	6a	Net gain or (loss) from sale of assets not on line 10	2,432,146		
	b	Gross sales price for all assets on line 6a 14,291,796			
	7	Capital gain net income (from Part IV, line 2) . . .		2,432,146	
	8	Net short-term capital gain			
	9	Income modifications			
	10a	Gross sales less returns and allowances			
Operating and Administrative Expenses	b	Less Cost of goods sold			
	c	Gross profit or (loss) (attach schedule)			
	11	Other income (attach schedule)			
	12	Total.Add lines 1 through 11	8,756,432	3,248,185	
	13	Compensation of officers, directors, trustees, etc	0	0	0
	14	Other employee salaries and wages			
	15	Pension plans, employee benefits			
	16a	Legal fees (attach schedule).			
	b	Accounting fees (attach schedule).			
	c	Other professional fees (attach schedule)	91,756	45,878	45,878
	17	Interest			
	18	Taxes (attach schedule) (see instructions)	30,574	0	0
	19	Depreciation (attach schedule) and depletion			
	20	Occupancy			
	21	Travel, conferences, and meetings.			
	22	Printing and publications			
	23	Other expenses (attach schedule).	107,528	53,764	53,764
	24	Total operating and administrative expenses. Add lines 13 through 23	229,858	99,642	99,642
	25	Contributions, gifts, grants paid	7,067,915		7,067,915
	26	Total expenses and disbursements.Add lines 24 and 25	7,297,773	99,642	7,167,557
	27	Subtract line 26 from line 12			
	a	Excess of revenue over expenses and disbursements	1,458,659		
	b	Net investment income (if negative, enter -0-)		3,148,543	
		c	Adjusted net income(if negative, enter -0-)		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	197,396	275,418	275,418
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	29,616	62,688	62,688
	10a	Investments—U S and state government obligations (attach schedule)	1,812,360	 1,807,979	1,807,979
	b	Investments—corporate stock (attach schedule)	14,109,041	 21,138,527	21,138,527
	c	Investments—corporate bonds (attach schedule)	3,800,568	 3,309,783	3,309,783
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)	23,691,000	 13,860,746	13,860,746
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	 3,666	 3,556	 3,556	
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	43,643,647	40,458,697	40,458,697	
Liabilities	17	Accounts payable and accrued expenses	10,000	85,821	
	18	Grants payable	153,041	128,558	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)	163,041	214,379	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	43,480,606	40,244,318	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	43,480,606	40,244,318	
31	Total liabilities and net assets/fund balances(see instructions)	43,643,647	40,458,697		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 43,480,606
2	Enter amount from Part I, line 27a	2 1,458,659
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 44,939,265
5	Decreases not included in line 2 (itemize) ▶ _____ 	5 4,694,947
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 40,244,318

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,432,146
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	6,470,466	43,317,538	0 149373
2013	7,713,604	46,022,663	0 167604
2012	1,855,000	45,200,884	0 041039
2011	4,269,000	44,367,966	0 096218
2010	3,216,171	44,698,530	0 071953

2	Total of line 1, column (d).	2	0 526187
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 105237
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	43,444,089
5	Multiply line 4 by line 3.	5	4,571,926
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	31,485
7	Add lines 5 and 6.	7	4,603,411
8	Enter qualifying distributions from Part XII, line 4.	8	7,167,557

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

0

3

Add lines 1 and 2.

3

31,485

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

0

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

31,485

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

53,600

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

53,600

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

22,115

11

Enter the amount of line 10 to be Credited to 2015 estimated tax 22,115 Refunded

11

0

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation \$ 0 (2) On foundation managers \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions):
NY

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

Form 990-PF (2015)

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶THE FOUNDATION Telephone no ▶(703) 720-1000 Located at ▶1680 CAPITAL ONE DRIVE MCLEAN VA ZIP+4 ▶22102			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 COMMUNITIES IN SCHOOLS (CIS) HAS CREATED A TWO-PRONGED APPROACH THAT WILL ALLOW LOCAL INITIATIVES TO FEED INTO THE NATIONAL ALUMNI LEADERSHIP NETWORK. IN A PREVIOUS PHASE, CIS LEARNED THAT THE ALUMNI WAS CONNECTED TO THE LOCAL AFFILIATE AND DID NOT HAVE A CONNECTION TO CIS NATIONAL. THIS LEARNING WAS CRITICAL, AND DIRECTED CIS - FOR THIS YEAR 4 GRANT - TO DEVELOP A NATIONAL/LOCAL ALUMNI PROGRAM - WHERE LOCAL AFFILIATES WOULD HELP INFORM THE WORK AT THE NATIONAL LEVEL WHILE NATIONAL CREATED RESOURCES FOR ALUMNI. NATIONAL CIS STAFF WORKED WITH CIS OF SOUTH CENTRAL TEXAS TO CREATE MORE FOUNDATIONAL RESOURCES THAT HELPED AFFILIATES THINK THROUGH THE BUILDING BLOCKS OF A SUSTAINABLE ALUMNI ENGAGEMENT STRATEGY.	375,000
2 SINCE BWI (LEAP, INC.) BEGAN OFFERING TRAINING THROUGH THIS PILOT INITIATIVE, THEY HAVE TRAINED 124 LOW-INCOME MEN AND WOMEN. THE MAJORITY OF ENROLLEES HAVE BEEN UNEMPLOYED AT THE TIME OF ENROLLMENT, AND ONE QUARTER OF THEM HAD NOT WORKED A SINGLE DAY IN THE YEAR PRIOR TO COMING TO BWI. WITH AN INVESTMENT OF TRAINING - DEVELOPED THROUGH AN INNOVATIVE, EMPLOYER-FOCUSED MODEL THAT HAS BEEN CREATED TO LEVERAGE EMERGING ECONOMIC DEVELOPMENT ACTIVITIES - THE VAST MAJORITY OF ENROLLEES HAVE EXPERIENCED CAREER TRANSFORMATIONS. AMONG GRADUATES, TO DATE, 81% HAVE OBTAINED A JOB, MOST OF WHICH INCLUDE EMPLOYER-SPONSORED BENEFITS. WHILE ADJUSTED PRE-TRAINING EARNINGS FOR ENROLLEES AVERAGED AROUND \$4.50/HOUR (TAKING INTO ACCOUNT THOSE WHO WORKED PART-TIME OR EARNED \$0 IN THE YEAR PRIOR TO ENROLLMENT), BROOKLYN WORKFORCE COLLABORATION GRADUATES' POST-TRAINING AVERAGE WAGES ARE MORE THAN \$18/HOUR. TO DATE, 15% OF PLACED GRADUATES HAVE EARNED WAGE INCREASES AND/OR PROMOTIONS.	250,000
3 NOW HAS IMPLEMENTED THREE SUCCESSFUL PARTNERSHIPS WITH OCHSNER HEALTH SYSTEM (OCHSNER), SOUTHEAST LOUISIANA VETERANS HEALTHCARE SYSTEM (VA) AND DAUGHTERS OF CHARITY HEALTH CENTERS (DCHC). THESE PARTNERSHIPS SERVE JOBSEEKERS AND INCUMBENT WORKERS. TO DATE, IT HAS TRAINED MORE THAN 200 PEOPLE FOR HEALTHCARE POSITIONS. THE PARTNERSHIP WITH OCHSNER HAS HELPED THE COLLABORATIVE THINK STRATEGICALLY ABOUT INVESTMENTS AND HOW IT CAN BEST SUPPORT JOBSEEKERS AND INCUMBENT WORKERS. THIS LED THE COLLABORATIVE TO MOVE TOWARDS FUNDING PARTNERSHIPS THAT PROVIDE NATIONALLY RECOGNIZED CERTIFICATIONS AND CREDENTIALS, NOW EVERY OCHSNER JOBSEEKER GRADUATE THAT COMPLETES TRAINING TAKES THE NATIONAL CERTIFICATION EXAM AND UPON SUCCESSFUL PASSAGE HAS A NATIONALLY RECOGNIZED AND PORTABLE CREDENTIAL. THIS PARTNERSHIP WITH THE VA HAS EMPHASIZED THE NEED FOR INCUMBENT WORKER PROGRAMS THAT FOCUS ON LITERACY AND NUMERACY SKILLS AS WELL AS THE IMPORTANCE OF TEACHING DIGITAL LITERACY SKILLS.	200,000
4 COLLEGE SUMMIT BUILT ON THREE PREVIOUS GRANTS TO FURTHER DEVELOP A CRITICAL SOCIAL NETWORK MADE UP OF 250,000 PROGRAM ALUMNI-WHETHER THEY'RE IN COMMUNITY COLLEGE, FOUR-YEAR INSTITUTIONS, OR OUT OF SCHOOL. THIS PARTNERSHIP WITH CAPITAL ONE REINFORCES THEIR ALUMNI NETWORK AND EMPOWERS THEM TO REACH THEIR EDUCATIONAL AND CAREER GOALS. CAPITAL ONE'S TARGETED SUPPORT ANTICIPATES AN EXCITING NEW CHAPTER FOR COLLEGE SUMMIT - A BOLD STRATEGY THAT STREAMLINES THE MODEL FOR SUSTAINABILITY AND SCALABILITY. THEIR AMBITIOUS PLAN IS TO MORE THAN TRIPLE THE NUMBER OF SCHOOLS AND STUDENTS IN OUR ORBIT OVER THE NEXT FIVE YEARS, ON THE PATH TO GROWING OUR FOOTPRINT FROM 130 TO 1000 SCHOOLS IN 10 YEARS.	200,000

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	43,301,144
b	Average of monthly cash balances.	1b	804,530
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	44,105,674
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	44,105,674
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	661,585
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	43,444,089
6	Minimum investment return.Enter 5% of line 5.	6	2,172,204

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,172,204
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	31,485
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	31,485
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	2,140,719
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,140,719
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	2,140,719

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	7,167,557
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,167,557
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	31,485
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	7,136,072

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,140,719
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	1,054,037			
b From 2011.	2,111,849			
c From 2012.	1,302,847			
d From 2013.	5,482,307			
e From 2014.	4,411,715			
f Total of lines 3a through e.	14,362,755			
4 Qualifying distributions for 2015 from Part XII, line 4				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				2,140,719
e Remaining amount distributed out of corpus	5,026,838			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	19,389,593			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	1,054,037			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	18,335,556			
10 Analysis of line 9				
a Excess from 2011.	2,111,849			
b Excess from 2012.	1,302,847			
c Excess from 2013.	5,482,307			
d Excess from 2014.	4,411,715			
e Excess from 2015.	5,026,838			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	7,067,915
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	61,355	
4	Dividends and interest from securities. . . .			14	754,684	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	2,432,146	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). . .		0		3,248,185	0
13	Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		3,248,185

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
2818 SHS ALBEMARLE CORP COM	P	2014-12-31	2015-03-16
762 SHS BROWN FORMAN CORP CL B	P	2014-12-31	2015-03-16
1523 SHS WAL MART STORES INC COM	P	2014-12-31	2015-03-16
1304 SHS APACHE CORP COM	P	2014-12-31	2015-09-25
2220 SHS CORNING INC COM	P	2013-11-15	2015-09-25
1551 SHS ENERGIZER HLDGS INC NEW COM	P	2014-12-31	2015-09-25
1644 SHS INTEL CORP COM	P	2013-11-15	2015-09-25
2612 SHS SCRIPPS NETWORKS INTERACT IN CL A	P	2015-03-11	2015-09-25
2646 SHS BED BATH BEYOND INC COM	P	2014-12-31	2015-10-01
3252 SHS INTEL CORP COM	P	2014-12-31	2015-10-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
152,144		181,557	-29,413
66,952		63,546	3,406
123,571		117,696	5,875
50,451		121,233	-70,782
37,610		37,540	70
65,174		52,554	12,620
47,038		40,179	6,859
133,404		187,839	-54,435
153,051		175,325	-22,274
94,134		105,933	-11,799

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-29,413
			3,406
			5,875
			-70,782
			70
			12,620
			6,859
			-54,435
			-22,274
			-11,799

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6845 SHS CORNING INC COM	P	2014-12-31	2015-10-28
2500 SHS VANGUARD INDEX FDS S&P 500 ETF SHS	P	2011-04-20	2015-01-08
20848 826 SHS DODGE & COX INTERNATIONAL STOCK	P	2014-12-22	2015-02-11
4000 SHS VANGUARD INDEX FDS S&P 500 ETF SHS	P	2011-04-20	2015-02-13
5000 SHS VANGUARD INDEX FDS S&P 500 ETF SHS	P	2011-04-20	2015-02-19
5000 SHS VANGUARD INDEX FDS S&P 500 ETF SHS	P	2013-06-28	2015-02-24
30000 SHS ALLIANZGI NFJ DIVIDEND VALUE FUND	P	1990-01-01	2015-06-11
20000 SHS ALLIANZGI NFJ DIVIDEND VALUE FUND	P	1990-01-01	2015-09-04
14576 928 SHS HSBC FRONTIER MARKETS FUND CL I	P	2014-12-24	2015-09-04
7500 SHS ISHARES MSCI EAFE ETF	P	2013-12-17	2015-09-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
119,796		134,230	-14,434
462,640		304,350	158,290
889,620		514,391	375,229
753,852		486,960	266,892
959,382		608,700	350,682
962,382		614,827	347,555
514,500		298,699	215,801
310,800		199,133	111,667
173,465		204,935	-31,470
442,117		482,025	-39,908

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14,434
			158,290
			375,229
			266,892
			350,682
			347,555
			215,801
			111,667
			-31,470
			-39,908

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
8000 SHS VANGUARD FTSE EMERGING MARKETS ETF	P	2012-02-03	2015-09-09
13000 SHS VANGUARD FTSE EMERGING MARKETS ETF	P	2014-09-09	2015-10-01
113056 384 SHS ALLIANZGI NFJ DIVIDEND VALUE FUND	P	2015-09-17	2015-11-23
100439 422 SHS ALLIANZGI NFJ DIVIDEND VALUE FUND	P	1990-01-01	2015-11-25
44450 SHS ALLIANZGI NFJ DIVIDEND VALUE FUND	P	1990-01-01	2015-12-04
LONG TERM CAPITAL GAINS DIST AT HOMESTEAD SMALL-COMPANY STOCK FUND	P		2015-12-31
SHORT TERM CAPITAL GAINS DIST AT HOMESTEAD SMALL-COMPANY STOCK FUND	P		2015-12-31
RECD PROCEEDS ON MATURITY OF US TREASURY NOTE	P	2014-02-28	2015-01-15
130000 US TREASURY NOTE	P	2014-11-17	2015-01-28
55000 US TREASURY NOTE	P	2014-12-22	2015-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
271,275		353,520	-82,245
415,602		568,432	-152,830
1,800,988		1,628,735	172,253
1,597,991		1,000,039	597,952
692,531		442,572	249,959
77,114			77,114
6,838			6,838
320,000		320,364	-364
130,101		130,138	-37
56,583		54,612	1,971

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-82,245
			-152,830
			172,253
			597,952
			249,959
			77,114
			6,838
			-364
			-37
			1,971

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
35000 CISCO SYS INC SR NT	P	2014-02-19	2015-04-16
50000 US TREASURY NOTE	P	2015-01-15	2015-05-28
4320 SHS ADVISORS SER TR PIA BBB BOND FUND	P	2014-02-24	2015-06-05
80000 US TREASURY NOTE	P	2014-06-17	2015-06-30
RECD PROCEEDS ON MATURITY OF FEDERAL NATL MTG ASSN	P	2014-02-28	2015-07-02
RECD PROCEEDS ON MATURITY OF US TREASURY NOTE	P	2014-11-17	2015-07-15
RECD PROCEEDS ON MATURITY OF US TREASURY NOTE	P	2014-08-18	2015-08-17
60000 US TREASURY NOTE	P	2014-06-17	2015-09-30
35000 TARGET CORP NT	P	2014-02-19	2015-10-22
RECD PROCEEDS ON MATURITY OF FEDERAL NATL MTG ASSN	P	2014-02-19	2015-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
39,176		39,131	45
50,064		50,090	-26
40,133		40,176	-43
80,103		80,010	93
160,000		160,640	-640
70,000		70,074	-74
20,000		20,027	-27
60,061		60,007	54
38,147		37,753	394
120,000		122,765	-2,765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			45
			-26
			-43
			93
			-640
			-74
			-27
			54
			394
			-2,765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
RECD PROCEEDS ON MATURITY OF US TREASURY NOTE	P	2015-01-15	2015-11-16
RECD PROCEEDS ON MATURITY OF EASTMAN CHEM CO SR NT	P	2014-03-11	2015-12-15
RECD PROCEEDS ON MATURITY OF FEDERAL NATL MTG ASSN	P	2014-02-19	2015-12-21
RECD PROCEEDS ON MATURITY OF BB&T CORP SUB NT	P	2014-03-04	2015-12-23
2476 341 SHS PIMCO COMMODITIESPLUS STRATEGY	P	2014-11-21	2015-02-11
400 SHS VANGUARD INTL EQUITY INDEX FDS	P	2014-02-20	2015-04-20
1100 SHS VANGUARD INDEX FDS REIT ETF	P	2013-11-26	2015-04-20
400 SHS VANGUARD INTL EQUITY INDEX FDS	P	2014-02-20	2015-11-25
1000 SHS VANGUARD INDEX FDS REIT ETF	P	2013-12-11	2015-11-25
25000 SHS WELLS FARGO ADVANTAGE SHORT-TERM	P	2013-11-26	2015-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
230,000		230,414	-414
27,000		27,974	-974
120,000		120,250	-250
44,000		47,358	-3,358
18,721		23,550	-4,829
23,380		21,504	1,876
90,903		72,317	18,586
21,268		21,504	-236
79,029		65,189	13,840
202,500		207,000	-4,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-414
			-974
			-250
			-3,358
			-4,829
			1,876
			18,586
			-236
			13,840
			-4,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LONG TERM CAPITAL GAINS DIST AT VANGUARD BD INDEX FD INC TOTAL BD	P		2015-04-08
SHORT TERM CAPITAL GAINS DIST AT VANGUARD BD INDEX FD INC TOTAL BD	P		2015-04-08
9000 SHS LEGG MASON BW INTERNATIONAL	P	2014-01-02	2015-05-04
20600 SHS WELLS FARGO ADVANTAGE SHORT-TERM	P	2013-12-12	2015-05-04
1000 SHS ISHARES J P MORGAN USD EMERGING	P	2014-12-23	2015-05-06
LONG TERM CAPITAL GAINS DIST AT LEGG MASON BW INTERNATIONAL	P		2015-06-25
23000 SHS LEGG MASON BW INTERNATIONAL	P	2014-08-27	2015-09-04
2300 SHS ISHARES J P MORGAN USD EMERGING	P	2014-12-23	2015-09-09
LONG TERM CAPITAL GAINS DIST AT VANGUARD SCOTTSDALE FDS VANGUARD	P		2015-12-30
SHORT TERM CAPITAL GAINS DIST AT VANGUARD SCOTTSDALE FDS VANGUARD	P		2015-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
384			384
319			319
102,420		103,294	-874
167,890		170,516	-2,626
112,808		111,380	1,428
303			303
241,040		270,489	-29,449
247,347		256,174	-8,827
840			840
840			840

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			384
			319
			-874
			-2,626
			1,428
			303
			-29,449
			-8,827
			840
			840

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LONG TERM CAPITAL GAINS DIST AT VANGUARD BD INDEX FD INC TOTAL BD	P		2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,014			2,014

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,014

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOHN G FINNERAN	CHAIR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
RICHARD WOODS	VICE CHAIR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
CAROLYN BERKOWITZ	PRESIDENT 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
ROBERT MAGNANO	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
KENNETH KIDO	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
ANDREW YOUNG	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
COLLEEN TAYLOR	DIRECTOR 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
CATHERINE FOCA	VICE PRESIDENT 2 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
STEVE PETTI	TREASURER 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
KRISTINE WELLMAN	SECRETARY 0 50	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
PETE AUGUSTERFER	ASSISTANT TREADURER 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				
SARAH O'KEIFF	ASSISTANT SECRETARY 1 00	0	0	0
1680 CAPITAL ONE DRIVE MCLEAN,VA 22102				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASIAN AMERICANS FOR EQUALITY INC 2 ALLEN STREET 7TH FLOOR NEW YORK,NY 10002		PC	AFFORDABLE HOUSING DEVELOPMENT AND HOMEOWNERSHIP	40,000
ASIAN AMERICANS FOR EQUALITY INC 2 ALLEN STREET 7TH FLOOR NEW YORK,NY 10002		PC	AAFE FINANCIAL AID ASSISTANCE AND COLLEGE READINESS	35,000
ASSOCIATION FOR NEIGHBORHOOD & HOUSING DEVELOPMENT INC ANHD 50 BROAD STREET STE 1402 NEW YORK,NY 100042699		PC	COMMUNITY DEVELOPMENT ASSISTANCE	50,000
BLUE ENGINE INC 75 BROAD STREET SUITE 2900 NEW YORK,NY 10004		PC	BLUE ENGINE TEACHING ASSISTANTS AT WHEELS	35,000
BOTTOM LINE INC 44 COURT STREET SUITE 300 BROOKLYN,NY 11201		PC	BOTTOM LINE SUCCESS PROGRAM EXPANSION AND TECHNOLOGY PILOT	65,000
BRIDGE STREET DEVELOPMENT CORPORATION 460 NOSTRAND AVE BROOKLYN,NY 112161747		PC	TENANT ORGANIZING IN BEDFORD-STUYVESANT	10,000
BROOKLYN LEGAL SERVICES INC 105 COURT STREET BROOKLYN,NY 11201		PC	PRESERVATION OF AFFORDABLE RENTAL HOUSING	10,000
CAMBA INC 1720 CHURCH AVE BROOKLYN,NY 112262630		PC	BROOKLYN PRE-DEVELOPMENT PIPELINE FOR SUSTAINABLE, AFFORDABLE AND SUPPORTIVE HOUSING	25,000
CENTER FOR INSPIRED TEACHING 1436 U ST NW SUITE 400 WASHINGTON,DC 200093987		PC	21ST CENTURY TEACHING AND LEARNING PROGRAMS IN WASHINGTON, DC	60,000
CENTER FOR NEW YORK CITY NEIGHBORHOODS 17 BATTERY PLACE SUITE 728 NEW YORK,NY 10004		PC	LMI HOMEOWNER STABILIZATION PROGRAM	30,000
CENTER FOR URBAN PEDAGOGY INC 232 THIRD STREET D201 BROOKLYN,NY 11215		PC	WHAT IS ULURP? SPANISH EDITION	10,000
CHHAYA COMMUNITY DEVELOPMENT 37-43 77TH STREET 2ND FLOOR JACKSON HEIGHTS,NY 11372		PC	BASEMENT APARTMENTS SAFE FOR EVERYONE CAMPAIGN	15,000
COLLEGE SUCCESS FOUNDATION-DISTRICT OF COLUMBIA 1805 7TH ST NW STE 500 WASHINGTON,DC 200013186		PC	STRENGTHENING COLLEGE ACCESS SERVICES FOR LOW-INCOME STUDENTS THROUGH PROACTIVE ADVISING TECHNOLOGY	20,000
COLLEGE SUMMIT INC 1763 COLUMBIA RD NW STE 2 WASHINGTON,DC 200092834		PC	ALUMNI ENGAGEMENT - DESIGN PHASE YEAR 4	200,000
COLLIN COUNTY COMMUNITY COLLEGE DISTRICT FOUNDATION 3452 SPUR 399 MCKINNEY,TX 750698742		PC	COMMUNITY COLLEGE WORKFORCE DEVELOPMENT COHORT	50,000
Total			3a	7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMIT2DALLAS 2501 OAK LAWN AVE SUITE 800 DALLAS,TX 75219		PC	COLLEGE AFFORDABILITY	25,000
COMMUNITIES IN SCHOOLS INC 2345 CRYSTAL DRIVE SUITE 700 ARLINGTON,VA 22202		PC	NETWORK FOR SERVICE, LEADERSHIP DEVELOPMENT, AND MUTUAL SUPPORT	200,000
COMMUNITIES IN SCHOOLS OF HOUSTON INC 1235 NORTH LOOP WSTE 300 HOUSTON,TX 770084702		PC	THE CIS NURSING INTERNSHIP PROGRAM	30,000
COMMUNITIES IN SCHOOLS OF NOVA INC 1615 DUKE STREET ALEXANDRIA,VA 22314		PC	FRANCIS C HAMMOND MIDDLE SCHOOL	10,000
COMMUNITIES IN SCHOOLS OF THE DALLAS REGION 1341 W MOCKINGBIRD LN SUITE 1000E DALLAS,TX 75247		PC	CAPITAL ONE CISDR PROGRAM AT ARMSTRONG MS AND WILLIAMS HS	45,000
COMMUNITY DEVELOPMENT CORPORATION OF LONG ISLAND INC 2100 MIDDLE COUNTRY RD CENTEREACH,NY 117203577		PC	HOMEOWNER PRESERVATION SERVICES (HPS)	50,000
COMMUNITY LOAN FUND OF NEW JERSEY 108 CHURCH ST 3RD FLOOR NEW BRUNSWICK,NJ 08901		PC	NEIGHBORHOOD PROSPERITY FUND	30,000
COMMUNITY SOLUTIONS INTERNATIONAL INC 125 MAIDEN LANE SUITE 16C NEW YORK,NY 10038		PC	AFFORDABLE HOUSING FOR BROWNSVILLE FAMILIES	10,000
CORPORATION FOR ENTERPRISE DEVELOPMENT CFED 1200 G ST NW STE 400 WASHINGTON,DC 200053832		PC	BUILDING FINANCIAL RESILIENCE FOR ENTREPRENEURS OF COLOR IN THE SOUTH	170,000
CORPORATION FOR SUPPORTIVE HOUSING 61 BROADWAY SUITE 2300 NEW YORK,NY 10006		PC	DOUBLING DOWN ON PUBLIC HOUSING AGENCY PARTNERSHIPS	100,000
CREDIT BUILDERS ALLIANCE INC 1701 K STREET NW SUITE 1000 WASHINGTON,DC 20006		PC	STANDARDIZING CREDIT BUILDING OUTCOME METRICS	75,000
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION INC 625 JAMAICA AVE BROOKLYN,NY 112081203		PC	CYPRESS HILLS AFFORDABLE HOUSING DEVELOPMENT	40,000
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION INC 625 JAMAICA AVE BROOKLYN,NY 112081203		PC	COLLEGE PERSISTENCE PROGRAM	75,000
D 2 D FUND INC 114 WESTERN AVE ALLSTON,MA 021341037		PC	SCALING GAMIFIED PRODUCT ENGAGEMENT TOOLS	150,000
DALLAS COUNTY COMMUNITY COLLEGE DISTRICT FOUNDATION INC 1601 LAMAR STREET STE 130 DALLAS,TX 75215		PC	AMERICAN DREAM WORKFORCE STREAMS	50,000
Total			3a	7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DC CENTRAL KITCHEN INC 425 SECOND STREET WASHINGTON,DC 20001		PC	THE CULINARY JOB TRAINING GO-TEAM LEVERAGING SUCCESS, IMPROVING OUTCOMES	200,000
DC HOUSING SOLUTIONS 1133 N CAPITOL ST NE WASHINGTON,DC 200027549		PC	HIGHLAND DWELLINGS FINANCIAL EDUCATION PROGRAM	10,000
DELGADO COMMUNITY COLLEGE FOUNDATION 615 CITY PARK AVENUE NEW ORLEANS,LA 70119		PC	A REGIONAL APPROACH TO WORKFORCE DEVELOPMENT	50,000
DISTRICT OF COLUMBIA COLLEGE ACCESS PROGRAM 1400 L ST NW STE 400 WASHINGTON,DC 200053592		PC	STEM INCENTIVE PROGRAM	90,000
ENTERPRISE COMMUNITY PARTNERS INC 70 CORPORATE CENTER 11000 BROKEN LAND PARKWAY SUITE 700 COLUMBIA,MD 21044		PC	PAY FOR SUCCESS (PFS)	100,000
EUGENIO MARIA DE HOSTOS COMMUNITY COLLEGE FOUNDATION 560 EXTERIOR STREET BRONX,NY 10451		PC	A TALENT PIPELINE TO MEET REGIONAL & LOCAL EMPLOYER NEEDS	50,000
FAIRFAX COUNTY PUBLIC SCHOOLS 4001 WAKEFIELD CHAPEL ROAD ANNANDALE,VA 220033796		GOV	RCWET IMPACT ON ECONOMIC DEVELOPMENT PLANNING GRANT	49,853
FIORELLO H LAGUARDIA COMMUNITY COLLEGE FOUNDATION 3110 THOMSON AVE LONG ISLAND CITY,NY 111013007		PC	WORKFORCE AND ECONOMIC DEVELOPMENT THROUGH SECTOR STRATEGIES	50,000
FJC 520 8TH AVENUE FLOOR 20 NEW YORK,NY 100186507		PC	NYC YOUTH FUNDERS NETWORK EDUCATION PROJECT	1,500
FORTUNE SOCIETY INC 29-76 NORTHERN BLVD LONG ISLAND CITY,NY 11101		PC	THE CASTLE PEER-TO-PEER PROGRAM	15,000
FUTURES AND OPTIONS INC 120 BROADWAY SUITE 1019 NEW YORK,NY 102713399		PC	CAREER ESSENTIALS AND THE INTERNSHIP PROGRAM	40,000
GODDARD-RIVERSIDE COMMUNITY CENTER 593 COLUMBUS AVE NEW YORK,NY 100241904		PC	COLLEGE SUCCESS PROGRAM	65,000
GOOD OLD LOWER EAST SIDE INC 173 AVENUE B NEW YORK,NY 100094697		PC	GOLES HEALTHY AGING PROGRAM (GHAP)	15,000
GRAMEEN AMERICA INC 150 WEST 30TH STREET FLOOR 8 NEW YORK,NY 100014003		PC	TECHNOLOGY INVESTMENT TO ENHANCE EFFICIENCY AND SCALE IN THE MICRO- LENDING SECTOR	185,000
HABITAT FOR HUMANITY INTERNATIONAL INC 58 PARK PLACE 4TH FL NEWARK,NJ 07102		PC	AFFORDABLE HOUSING INITIATIVE	5,000
Total				7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HOPE COMMUNITY INC 174 E 104TH ST NEWYORK,NY 100294916		PC	HOPE CONNECTS - RESIDENT SERVICES PROGRAM	10,000
HOUSING ACTION COUNCIL INC 55 SOUTH BROADWAY TARRYTOWN,NY 105914000		PC	HOUSING DEVELOPMENT SERVICES PROGRAM	30,000
HOUSING AND COMMUNITY DEVELOPMENT NETWORK OF NEW JERSEY INC 145 WHANOVER ST TRENTON,NJ 086184823		PC	NETWORK HOUSING COUNSELING ASSOCIATION	50,000
HOUSING CONSERVATION COORDINATORS INC 777 10TH AVENUE NEWYORK,NY 100195027		PC	TENANT ORGANIZING	10,000
HOUSING PARTNERSHIP FOR MORRIS COUNTY INC 2 EAST BLACKWELL STREET DOVER,NJ 078014645		PC	HOME BUYER EDUCATION AND COUNSELING	10,000
I HAVE A DREAM FOUNDATION - NY 330 SEVENTH AVE 20TH FL NEWYORK,NY 100015010		PC	COLLEGE SUCCESS PROGRAM	10,517
IRONBOUND COMMUNITY CORPORATION 317 ELM STREET NEWARK,NJ 07105		PC	IRONBOUND HOUSING PRESERVATION PROJECT	10,000
ISLES INC 10 WOOD STREET TRENTON,NJ 086183921		PC	ISLES HOUSING COUNSELING SERVICES	10,000
JOBS FOR THE FUTURE INC 88 BROAD ST FL 8 BOSTON,MA 021103407		PC	NATIONAL FUNDS FIFTH ANNUAL MEETING	11,500
JOBSFIRSTNYC 11 PARK PLACE SUITE 1602 NEWYORK,NY 100072840		PC	YOUNG ADULT SECTORAL EMPLOYMENT PROJECT (YASEP)	200,000
LA CASA DE DON PEDRO INC 75 PARK AVE NEWARK,NJ 071041034		PC	PROJECT RESTART	15,000
LEAP INC 621 DEGRAW ST BROOKLYN,NY 112173120		PC	DRIVE TO WORK DRIVING CERTIFICATION AND JOB READINESS TRAINING FOR LOW-INCOME NEW YORKERS	50,000
LEAP INC 621 DEGRAW ST BROOKLYN,NY 112173120		PC	BROOKLYN WORKFORCE COLLABORATION	200,000
LOCAL INITIATIVES SUPPORT CORPORATION 501 7TH AVENUE 7TH FLOOR NEWYORK,NY 10018		PC	ADVANCING RAD PUBLIC HOUSING CONVERSION	100,000
LONG ISLAND HOUSING PARTNERSHIP INC 180 OSER AVENUE SUITE 800 HAUPPAUGE,NY 117883736		PC	PRE-PURCHASE HOMEBUYER EDUCATION AND FAIR HOUSING INITIATIVES PROGRAM	50,000
Total				7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOW INCOME INVESTMENT FUND 100 PINE STREET SUITE 1800 SAN FRANCISCO, CA 94111		PC	LIIFS NEW YORK AFFORDABLE HOUSING INVESTMENT INITIATIVE	20,000
LOW INCOME INVESTMENT FUND 50 CALIFORNIA ST SUITE 2900 SAN FRANCISCO, CA 94111		PC	AFFORDABLE HOUSING LENDING IMPROVEMENT INITIATIVE (AHLII)	100,000
MINKWON CENTER FOR COMMUNITY ACTION INC 136-19 41ST AVENUE 3FL FLUSHING, NY 113552442		PC	FLUSHING WEST REZONING PROJECT	15,000
MISSION ASSET FUND 3269 MISSION STREET SAN FRANCISCO, CA 941101898		PC	LENDING CIRCLES APP	100,000
MONTGOMERY COLLEGE FOUNDATION INC 40 WEST GUDE DRIVE SUITE 220 ROCKVILLE, MD 208501728		PC	PROGRAM SUPPORT	50,000
NATIONAL COALITION FOR ASIAN PACIFIC AMERICAN COMMUNITY DEV 1628 16TH STREET NW 4TH FLOOR WASHINGTON, DC 200093064		PC	HOUSING COUNSELING & FINANCIAL CAPABILITY INTERMEDIARY PROGRAM	100,000
NATIONAL COMMUNITY STABILIZATION TRUST LLC 910 17TH ST NW STE 1030 WASHINGTON, DC 200062623		PC	NEIGHBORHOOD STABILIZATION INITIATIVE - NORTHEAST REGION	75,000
NATIONAL FEDERATION OF COMMUNITY DEVELOPMENT CREDIT UNIONS INC 39 BROADWAY SUITE 2140 NEW YORK, NY 10006		PC	CDCU BUSINESS LENDING PLATFORM TRAINING AND SUPPORT	30,000
NATIONAL LOW INCOME HOUSING COALITION AND LOW INCOME HOUSING 1000 VERMONT AVENUE NW SUITE 500 WASHINGTON, DC 200052168		PC	PUBLIC HOUSING AUTHORITY'S WAITING LISTS	50,000
NEIGHBORHOOD HOUSING SERVICES OF JAMAICA INC 89-70 162ND ST JAMAICA, NY 114325072		PC	COONSTRUCTION SERVICES PROGRAM	15,000
NEIGHBORHOOD HOUSING SERVICES OF NEW YORK CITY INC 307 WEST 36TH STREET 12TH FLOOR NEW YORK, NY 10018		PC	THE NHSNYC HOMEOWNERSHIP PROMOTION INITIATIVE	30,000
NEIGHBORHOOD REINVESTMENT CORP 999 NORTH CAPITOL STREET NE WASHINGTON, DC 20002		PC	PARTNERSHIP IN COMMUNITY DEVELOPMENT NEIGHBORWORKS AMERICA AND CAPITAL ONE FOUNDATION	200,000
NEW DESTINY HOUSING CORPORATION 12 WEST 37TH STREET 7TH FLOOR NEW YORK, NY 10018		PC	FAMILY SUPPORT PROGRAM	20,000
NEW JERSEY COMMUNITY DEVELOPMENT CORPORATION INC 32 SPRUCE STREET PATERSON, NJ 07501		PC	PARK CORNER PLAZA	15,000
NEW LEADERS 1500 UNION AVENUE SUITE 1400 BALTIMORE, MD 21211		PC	EMERGING LEADERS/ASPIRING PRINCIPALS/LEADERSHIP PRACTICE IMPROVEMENT	50,000
Total			3a	7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK COMMUNITIES ORGANIZING FUND INC ONE METROTECH CENTER NORTH 11TH FLOOR BROOKLYN,NY 11201		PC	RESIDENT EDUCATION AND ORGANIZING FOR REZONINGS	10,000
NEW YORK MORTGAGE COALITION INC 50 BROAD ST STE 1402 NEW YORK,NY 100042376		PC	NYMC HOMEBUYER PROGRAM	75,000
NEW YORK UNIVERSITY 139 MACDOUGAL STREET 2ND FLOOR NEW YORK,NY 10012		PC	SUBSIDIZED HOUSING INFORMATION PROJECT AND STATE OF NEW YORK CITY'S HOUSING AND NEIGHBORHOODS REPORT	15,000
NORTHERN MANHATTAN IMPROVEMENT CORP 45 WADSWORTH AVENUE NEW YORK,NY 100337038		PC	AFFORDABLE HOUSING TECHNICAL ASSISTANCE PROJECT (2015-16)	15,000
ONEGOAL 55 EXCHANGE PLACE SUITE 503 NEW YORK,NY 10007		PC	ONEGOAL*NEW YORK LAUNCH	40,000
PARAPROFESSIONAL HEALTHCARE INSTITUTE INC 400 EAST FORDHAM ROAD 11TH FLOOR BRONX,NY 10458		PC	STRENGTHENING HOME CARE EMPLOYMENT EXPANDING OPPORTUNITIES FOR ECONOMIC SECURITY IN LOW-INCOME NEW YORK CITY COMMUNITIES	40,000
PER SCHOLAS INC 700 ROEDER ROAD SILVER SPRING,MD 20901		PC	CYBERSECURITY TRAINING FOR MILITARY VETERANS	10,000
PIEDMONT ENVIRONMENTAL COUNCIL 316 F STREET NE WASHINGTON,DC 20002		PC	THE LASTING AFFORDABILITY INITIATIVE	5,000
PLANO ISD EDUCATION FOUNDATION 2700 W 15TH ST PLANO,TX 750757524		PC	BARRON MAKERSPACE PROJECT AND PLANO FAMILY LITERACY SCHOOL	40,000
PRATT AREA COMMUNITY COUNCIL INC 201 DEKALB AVE BROOKLYN,NY 11205		PC	NEIGHBORHOOD STABILIZATION INITIATIVE	40,000
PRINCE GEORGES COMMUNITY COLLEGE FOUNDATION INC 301 LARGO RD KENT 100 LARGO,MD 207742109		PC	PGCC LOCAL ECONOMIC DEVELOPMENT	49,935
PROJECT GRAD HOUSTON 3000 RICHMOND AVE STE 400 HOUSTON,TX 770983111		PC	COMMUNITY SPECIFIC COMMUNICATIONS PLANS PROGRAM	50,000
PROJECT HOSPITALITY INC 100 PARK AVE STATEN ISLAND,NY 103021440		PC	411 VANDERBILT AVENUE	20,000
QUEENS COMMUNITY HOUSE INC 108-25 62ND DR QUEENS,NY 113751217		PC	HOUSING PRESERVATION AND OUTREACH	20,000
RED HOOK INITIATIVE 767 HICKS ST BROOKLYN,NY 112312547		PC	RED HOOK DIGITAL STEWARDS CREATING A COMMUNITY WIFI NETWORK	30,000
Total			3a	7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY UNIVERSITY OF CALIFORNIA BERKELEY SPONSORED PROJECTS OFFICE 2150 SHAT BERKELEY,CA 947045940		PC	Y-PLAN 2 0 CREATING A NEW DIGITAL-TECHNOLOGY PLATFORM AND REGIONAL, SYSTEM BUILDING HUB OPERATIONAL STRUCTURE	175,000
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 2001 ORIENTAL BOULEVARD BROOKLYN,NY 11235		PC	BUILDING A FRAMEWORK FOR SUSTAINED LEADERSHIP THROUGH WORKFORCE DEVELOPMENT AT KINGSBOROUGH COMMUNITY COLLEGE	50,000
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 230 W 41ST ST FL 7 NEW YORK,NY 100367207		PC	QUEENSBOROUGH COMMUNITY COLLEGE STRATEGIES FOR WORKFORCE DEVELOPMENT SUCCESS	49,860
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK BMCC GRANTS OFFICE 199 CHAMBERS STREET NEW YORK,NY 10007		PC	BMCC - WORKFORCE AND ECONOMIC DEVELOPMENT PROJECT	50,000
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK CUNY GRADUATE CENTER 365 FIFTH AVENUE RM 620304 NEW YORK,NY 100164309		PC	COLLEGE BRIDGE EXPANSION PILOT	50,000
RESTORED HOMES HOUSING DEVELOPMENT FUND CORPORATION 150 BROADWAY RM 2101 NEW YORK,NY 100384484		PC	SMALL HOMES REHAB - NYCHA	25,000
SAN ANTONIO EDUCATION FUND 131 EL PASO ST SAN ANTONIO,TX 782043108		PC	SAEP STEM SYMPOSIUM	10,000
SOUTHERN METHODIST UNIVERSITY 6425 BOAZ STE G03 DALLAS,TX 75205		PC	ENGAGED LEARNING	10,000
SOUTHWEST KEY PROGRAMS INC 6002 JAIN LN AUSTIN,TX 787213104		PC	EAST AUSTIN COLLEGE PREP BLENDED LEARNING/YOUTH DIGITAL PROGRAM	10,000
STELLA AND CHARLES COMMUNITY COLLEGE FOUNDATION INC 50 W 40TH ST NEW YORK,NY 100182602		PC	GUTTMAN COMMUNITY COLLEGE WORKFORCE DEVELOPMENT GRANT	50,000
SUMMER SEARCH 90 BROAD STREET SUITE 701 NEW YORK,NY 10004		PC	USING TECHNOLOGY TO SUPPORT COLLEGE SUCCESS	35,000
THE ASPEN INSTITUTE INC 1 DUPONT CIRCLE NW SUITE 700 WASHINGTON,DC 20036		PC	ELM SQUARED ALUMNI AND MICROFINANCE IMPACT COLLABORATIVE	195,000
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON,DC 20036		PC	HUMAN CAPITAL INNOVATION FUND	150,000
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE STE 700 WASHINGTON,DC 20036		PC	PROPOSAL TO INVESTIGATE AND ADVISE ON A PROSPECTIVE COMMUNITY COLLEGE INITIATIVE	50,750
THE BREAKTHROUGH COLLABORATIVE INC 545 SANSOME ST STE 700 SAN FRANCISCO,CA 941112913		PC	BREAKTHROUGH ONLINE ALUMNI PORTAL EXPANSION	200,000
Total			3a	7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BRONX COMMUNITY COLLEGE FOUNDATION INC 2155 UNIVERSITY AVE BRONX,NY 104532804		PC	COMMUNITY COLLEGE WORKFORCE DEVELOPMENT COHORT	50,000
THE CRENULATED COMPANY LTD 1512 TOWNSEND AVENUE BRONX,NY 10452		PC	COMMUNITY ORGANIZING TO PRESERVE AFFORDABLE AND SAFE HOUSING FOR LOW AND MODERATE INCOME FAMILIES AND INDIVIDUALS	25,000
THE CRENULATED COMPANY LTD 1512 TOWNSEND AVENUE BRONX,NY 10452		PC	COLLEGE SUCCESS PROGRAM	50,000
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMERICAS SUITE 506 NEW YORK,NY 100131535		PC	THE DOORS EPOCH CAREER AND EDUCATION PROGRAM	25,000
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMERICAS SUITE 506 NEW YORK,NY 100131535		PC	THE DOOR'S ADVANCE-IN-RETAIL PROGRAM	200,000
THE GREATER NEW ORLEANS FOUNDATION 1055 ST CHARLES AVE STE 100 NEW ORLEANS,LA 701303981		PC	NEW ORLEANS WORKS	200,000
THE NEW YORK OPPORTUNITY NETWORK INC 55 EXCHANGE PL STE 503 NEW YORK,NY 100053303		PC	THE OPPORTUNITY NETWORK CAREER FLUENCY OPPNET FELLOWS AND PREP	65,000
TRI-CITY PEOPLES CORPORATION 55 WASHINGTON STREET EAST ORANGE,NJ 07017		PC	AFFORDABLE HOUSING	10,000
TRINITY RIVER MISSION INC 2060 SINGLETON BLVD SUITE 104 DALLAS,TX 752122807		PC	BELIEVE & ACHIEVE YOUTH DEVELOPMENT AND SCHOLARSHIP PROGRAM	10,000
UNCOMMON SCHOOLS INC 826 BROADWAY FL 9 NEW YORK,NY 100034826		PC	DATA-DRIVEN COLLEGE ACCESS AND SUCCESS	45,000
UNITED WAY OF METROPOLITAN DALLAS INC 1800 N LAMAR ST DALLAS,TX 752021701		PC	PATHWAYS TO WORK	20,000
UNITED WAY OF NEW YORK CITY 205 EAST 42 STREET NEW YORK CITY,NY 10017		PC	CHANGE CAPITAL FUND	75,000
UNIVERSITY NEIGHBORHOOD HOUSING PROGRAM INC 2751 GRAND CONCOURSE BRONX,NY 104683001		PC	UNHP MULTIFAMILY ASSISTANCE CENTER	25,000
UNIVERSITY OF DC FOUNDATION 801 N CAPITOL STREET NE ROOM 311 WASHINGTON,DC 20002		PC	LEADING ECONOMIC DEVELOPMENT IN DC	50,000
URBAN ALLIANCE FOUNDATION INC 2030 Q ST NW WASHINGTON,DC 200091010		PC	HIGH SCHOOL INTERNSHIP PROGRAM IN DC, BALTIMORE, AND NORTHERN VIRGINIA	60,000
Total			3a	7,067,915

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
URBAN HOMESTEADING ASSISTANCE BOARD INC 120 WALL ST FL 20 NEW YORK,NY 100054029		PC	CROWN HEIGHTS TENANT UNION	10,000
URBAN LEAGUE OF ESSEX COUNTY 508 CENTRAL AVE NEWARK,NJ 071071430		PC	HOUSING AND FINANCIAL LITERACY COUNSELING	10,000
VOLUNTEERS OF AMERICA INC 1660 DUKE ST ALEXANDRIA,VA 22314		PC	VETERAN FAMILY HOUSING SOLUTIONS COMMUNITY PLANNING	75,000
WESTCHESTER RESIDENTIAL OPPORTUNITIES INC 470 MAMARONECK AVE SUITE 410 WHITE PLAINS,NY 106051830		PC	RESIDENTIAL STABILITY	5,000
WINDY HILL FOUNDATION INC 102 W WASHINGTON ST NO USPS MAIL DELIVERED HERE MIDDLEBURG,VA 20117		PC	RESIDENT SERVICES PROGRAM AT SHREVEPORT RIDGE	10,000
WYANDANCH COMMUNITY DEVELOPMENT CORPORATION 59 CUMBERBACH ST WYANDANCH,NY 117984506		PC	PRE-PURCHASE AND POST-PURCHASE EDUCATION	5,000
YEAR UP INC 45 MILK STREET 9TH FLOOR BOSTON,MA 02109		PC	BUILDING AN ALUMNI GENERATION, YEAR 4	194,000
Total			3a	7,067,915

TY 2015 General Explanation Attachment

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Identifier	Return Reference	Explanation
INFORMATION REGARDING CONTRIBUTION, GRANT, LOAN, SCHOLARSHIP, ETC , PROGRAMS	FORM 990-PF, PART XV, LINE 2	THE FOUNDATION FOCUS AREAS INCLUDE EDUCATION, WORKFORCE DEVELOPMENT, AFFORDABLE HOUSING AND COMMUNITY DEVELOPMENT BELOW IS THE PROCESS IN WHICH A DISBURSEMENT IS MADE - THE FOUNDATION'S GEOGRAPHIC FOOTPRINT SERVES - VA - DC METRO AREA - LA - GREATER NEW ORLEANS - TX - GREATER DALLAS AND GREATER HOUSTON - NY - NEW YORK CITY (FIVE BOROUGHES AND LONG ISLAND) - NJ - NORTHERN AND MID NEW JERSEY - NATIONAL - WITHIN EACH GEOGRAPHIC LOCATION ARE RELATIONSHIP MANAGERS WHO WORK DIRECTLY WITH THE NON-PROFIT PARTNERS IN THE SPECIFIC FOCUS AREAS THAT HAVE PROGRAMMING ACROSS THE FOUNDATION'S FOOTPRINT - GRANTS ARE ONLY GIVEN TO 501(C)3'S - WHEN RELATIONSHIP MANAGERS HAVE AN APPROPRIATE OPPORTUNITY FOR THE FOUNDATION, THEY GO TO AN ONLINE GRANT APPLICATION MANAGEMENT SYSTEM, CYBERGRANTS, WHERE INFORMATION IS COLLECTED FROM THE ORGANIZATION THAT INCLUDES THE PURPOSE OF THE PROGRAM, MISSION OF THE ORGANIZATION, GOALS AND PROGRAM METRICS TO ACCESS THE FOUNDATION'S CYBERGRANTS SYSTEM GO TO WWW.CYBERGRANTS.COM/CAPITALONE/FOUNDATION - OTHER ORGANIZATIONS MAY ALSO APPLY FOR A GRANT THROUGH THE CYBERGRANTS SYSTEM, HOWEVER THE POINT OF ENTRY IS DIFFERENT FROM THE FOUNDATION'S WEBSITE - ONCE PROVIDED WITH A GRANT INVITATION FROM THE RELATIONSHIP MANAGER, A NON-PROFIT CAN GO TO THE SITE, CREATE AN ACCOUNT, VIEW AN OVERVIEW OF THE FOUNDATION, AND THEN APPLY FOR A GRANT (BASED ON YEARLY BUDGET) - NOTE THE GRANT APPLICATION SERVER IS USERNAME AND PASSWORD PROTECTED FOR ACCESS OTHER THAN SIMPLY FILLING OUT AN APPLICATION BELOW IS A LISTING OF THOSE WHO HAVE ACCESS TO THE GRANT APPLICATION SERVER - RELATIONSHIP MANAGERS - ACCESS TO APPLICATION ENTRY LISTING - CATHERINE FOCA, NAOMI SMOUHA, MARIADELE PRIEST, DANIEL KAMINS, DAVID HANZEL, JESSA THOMAS, CARLYE GREENE, VICKI ELIS AND CHRISTIAN MARTINEZ

TY 2015 Investments Corporate Bonds Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ADVISORS SER TR PIA BBB BOND FUND	651,691	651,691
AGILENT TECHNOLOGIES INC SR NT	34,221	34,221
AMERICAN INTL GROUP INC MEDIUM TERM	37,810	37,810
ASSOCIATED BANC CORP SR GLBL NT	39,803	39,803
AT&T INC GLOBAL NT	43,497	43,497
BOEING CAP CORP SR NT	38,510	38,510
CADENCE DESIGN SYSTEM INC SR NT	44,776	44,776
CF INDS INC SR NT	39,608	39,608
CITIGROUP INC SR NT	37,595	37,595
CONTINENTAL RESOURCES INC SR NT	32,403	32,403
COSTCO WHSL CORP NEW SR BD	34,804	34,804
CRANE CO SR NT	34,991	34,991
F M C CORP SR NT	34,636	34,636
GILEAD SCIENCES INC SR NT DUE 04/01/24	46,197	46,197
GOLDMAN SACHS GROUP INC SR GBL	38,807	38,807
HUNT J B TRANS SVCS INC SR NT DUE 03/15/19	45,382	45,382
JPMORGAN CHASE & CO SR NT DUE 01/24/22	43,252	43,252
LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CL I	207,283	207,283
MCKESSON CORP NEW SR NT DUE 03/15/19	44,921	44,921
MONSANTO CO NEW SR GLBL NT DUE 07/15/24	38,231	38,231

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MOSAIC CO NEW SR NT DUE 11/15/23	34,764	34,764
NBCUNIVERSAL MEDIA LLC SR NT	34,853	34,853
ORACLE CORP SR NT DUE 10/15/22	34,278	34,278
PACKAGING CORP AMER SR NT DUE 11/01/23	36,856	36,856
PARKER HANNIFIN CORP MTNS SR NT DUE 11/21/24	30,359	30,359
PIEDMONT OPER PARTNERSHIP LP SR NT DUE 03/15/24	35,115	35,115
RYDER SYS MTN FR DUE 09/03/19	44,430	44,430
SENIOR HSG PPTYS TR SR GLBL NT DUE 05/01/19	39,957	39,957
STATE STR CORP SR NT	34,830	34,830
STATOIL ASA GTD NT	32,832	32,832
TEXTRON INC SR NT	45,381	45,381
TORONTO DOMINION BANK FR	35,772	35,772
WEATHERFORD INTL LTD BERMUDA SR NT	25,272	25,272
WELLS FARGO ADVANTAGE SHORT-TERMHIGH YIELD BOND FUND	1,169,939	1,169,939
WELLS FARGO CO MTN SR L NT	34,950	34,950
WHIRLPOOL CORP SR GLBL NT	34,894	34,894
WYETH NT	36,883	36,883

TY 2015 Investments Corporate Stock Schedule

Name: CAPITAL ONE FOUNDATION INC
EIN: 11-3276603

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALLEGHANY CORP DEL COM	195,473	195,473
ALTRIA GROUP INC COM	360,320	360,320
BANK OF AMERICA CORP COM	172,508	172,508
BARCLAYS BK PLC IPATH DOW JONES UBS	139,576	139,576
BERKSHIRE HATHAWAY INC DEL CL B NEW	276,360	276,360
BLACKROCK INC COM	222,360	222,360
BRISTOL MYERS SQUIBB CO COM	288,161	288,161
CARMAX INC COM	252,849	252,849
CARNIVAL CORP PAIRED CTF	308,411	308,411
CHEVRON CORP NEW COM	136,739	136,739
CISCO SYS INC COM	150,493	150,493
COCA COLA CO COM	137,128	137,128
CONOCOPHILLIPS COM	101,411	101,411
DEERE & CO COM	161,845	161,845
DOLLAR TREE INC COM	257,451	257,451
E M C CORP MASS COM	166,124	166,124
EDGEWELL PERS CARE CO COM	121,630	121,630
FEDEX CORP COM	108,018	108,018
GENERAL DYNAMICS CORP COM	275,269	275,269
INTERNATIONAL BUSINESS MACHS CORP	96,334	96,334
ISHARES CORE S&P 500 ETF	6,197,522	6,197,522
ISHARES MSCI EAFE ETF	3,464,539	3,464,539
LILLY ELI & CO COM	268,958	268,958
LOWES COS INC COM	260,437	260,437
MICROSOFT CORP COM	132,375	132,375
MOSAIC CO NEW COM	90,633	90,633
NEWMARKET CORP COM	219,300	219,300
NORFOLK SOUTHN CORP COM	191,173	191,173
O REILLY AUTOMOTIVE INC NEW COM	187,531	187,531
PFIZER INC COM	123,051	123,051

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SPDR S&P MIDCAP 400 ETF	3,937,874	3,937,874
UBS ALERIAN MLP INDEX ETN	1,020,266	1,020,266
VANGUARD FTSE EMERGING MARKETS ETF	425,263	425,263
VERIZON COMMUNICATIONS INC COM	112,869	112,869
VISA INC COM CL A	318,653	318,653
WELLS FARGO & CO NEW COM	259,623	259,623

TY 2015 Investments Government Obligations Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

**US Government Securities - End of
Year Book Value:**

1,807,979

**US Government Securities - End of
Year Fair Market Value:**

1,807,979

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2015 Investments - Other Schedule**Name:** CAPITAL ONE FOUNDATION INC**EIN:** 11-3276603

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALLIANZGI NFJ DIVIDEND VALUE FUND CLASS I	FMV	398,873	398,873
CASH EQUIVALENTS	FMV	3,849,698	3,849,698
DODGE & COX INTERNATIONAL STOCK FUND	FMV	0	0
HOMESTEAD SMALL-COMPANY STOCK FUND	FMV	1,897,140	1,897,140
ISHARES J.P. MORGAN USD EMERGING MARKETS BOND ETF	FMV	212,089	212,089
PIA MBS BOND FUND #1620	FMV	180,724	180,724
VANGUARD BD INDEX FD INC TOTAL BD	FMV	3,068,961	3,068,961
VANGUARD BD INDEX FD INC TOTAL BDMARKET ETF	FMV	4,253,261	4,253,261

TY 2015 Other Assets Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLE	3,666	3,556	3,556

TY 2015 Other Decreases Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Description	Amount
UNREALIZED LOSSES ON INVESTMENTS	4,694,947

TY 2015 Other Expenses Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEE	107,528	53,764		53,764

TY 2015 Other Professional Fees Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEES	91,756	45,878		45,878

TY 2015 Taxes Schedule

Name: CAPITAL ONE FOUNDATION INC

EIN: 11-3276603

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	30,574	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2015
---	--	---

Name of the organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
---	---

Organization type (check one)

Filers of: Form 990 or 990-EZ Form 990-PF	Section: ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CAPITAL ONE NATIONAL ASSOCIATION	\$ 5,508,247	Person ☒
	1680 CAPITAL ONE DRIVE		Payroll ☐
	MCLEAN, VA 22102		Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
---	---

Part II **Noncash Property**
(see instructions) Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization CAPITAL ONE FOUNDATION INC	Employer identification number 11-3276603
---	---

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		