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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

**2015****Open to Public Inspection**

For calendar year 2015 or tax year beginning

, 2015, and ending

, 20

Name of foundation

R T VANDERBILT TRUST

A Employer identification number

06-6040981

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

1525 WEST WT HARRIS BLVD

336-747-8186

City or town, state or province, country, and ZIP or foreign postal code

CHARLOTTE, NC 28262

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method ☒ Cash ☐ Accrual

end of year (from Part II, col (c), line

☐ Other (specify)

16) ▶ \$ 9,214,892.

(Part I, column (d) must be on cash basis)

C If exemption application is  
pending, check here. ▶ ☐D 1 Foreign organizations, check here. ▶ ☐2 Foreign organizations meeting the  
85% test, check here and attach  
computation. ▶ ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here. ▶ ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here. ▶ ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	191,236.	189,495.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	217,268.			
b Gross sales price for all assets on line 6a 2,039,386.				
7 Capital gain net income (from Part IV, line 2)		217,268.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	408,504.	406,763.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT. 5	1,000.	NONE	NONE	1,000.
Other professional fees (attach schedule) STMT. 6	68,258.	68,258.		
17 Interest STMT. 7				
18 Taxes (attach schedule) (see instructions) STMT. 7	22,544.	2,952.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT. 8	13,161.	161.		13,000.
24 Total operating and administrative expenses. Add lines 13 through 23.	104,963.	71,371.	NONE	14,000.
25 Contributions, gifts, grants paid	468,130.			468,130.
26 Total expenses and disbursements. Add lines 24 and 25	573,093.	71,371.	NONE	482,130.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-164,589.			
b Net investment income (if negative, enter -0-)		335,392.		
c Adjusted net income (if negative, enter -0-)				

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing . . . . .		1,719.	3,667.	3,667.
	2	Savings and temporary cash investments . . . . .		213,987.	341,051.	341,051.
	3	Accounts receivable ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .	NONE			
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U S and state government obligations (attach schedule) . . . . .	STMT 9	735,712.	714,503.	697,958.
	b	Investments - corporate stock (attach schedule) . . . . .	STMT 10	4,622,938.	4,487,672.	5,136,304.
	c	Investments - corporate bonds (attach schedule) . . . . .	STMT 17	1,532,212.	1,398,438.	1,367,474.
	11	Investments - land, buildings, and equipment basis . . . . .				
	Less: accumulated depreciation ▶ (attach schedule) . . . . .					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .	STMT 19	285,962.	285,962.	1,668,438.	
14	Land, buildings, and equipment basis . . . . .					
	Less: accumulated depreciation ▶ (attach schedule) . . . . .					
15	Other assets (describe ▶ . . . . .)					
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .		7,392,530.	7,231,293.	9,214,892.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ▶ . . . . .)					
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .		7,392,530.	7,231,293.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		7,392,530.	7,231,293.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		7,392,530.	7,231,293.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	7,392,530.
2	Enter amount from Part I, line 27a . . . . .	2	-164,589.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 20 . . . . .	3	9,236.
4	Add lines 1, 2, and 3 . . . . .	4	7,237,177.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 21 . . . . .	5	5,884.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	7,231,293.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
<b>1a PUBLICLY TRADED SECURITIES</b>					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 2,039,386.		1,822,118.	217,268.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			217,268.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	217,268.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . .			3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	430,975.	10,192,229.	0.042285
2013	440,933.	8,900,027.	0.049543
2012	437,433.	8,712,530.	0.050207
2011	428,103.	8,897,093.	0.048117
2010	515,740.	8,560,484.	0.060247
2 Total of line 1, column (d) . . . . .			2 0.250399
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0.050080
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 . . . . .			4 9,698,996.
5 Multiply line 4 by line 3 . . . . .			5 485,726.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			6 3,354.
7 Add lines 5 and 6 . . . . .			7 489,080.
8 Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 482,130.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	6,708.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	6,708.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,708.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015 . . . . .	6a	3,711.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	3,711.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	2,997.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	X
14 The books are in care of ▶ <u>WELLS FARGO BANK NA</u> Telephone no. ▶ <u>(336) 747-8186</u> Located at ▶ <u>1W 4TH STREET, WINSTON SALEM, NC</u> ZIP+4 ▶ <u>27101</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☐ **5b** X

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . STMT. 22 ☒ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If "Yes" to 6b, file Form 8870 **6b** X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation** (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Hugh B Vanderbilt Jr PO Box 5150, Norwalk, CT 06856	Co-Trustee 5	-0-	-0-	-0-
Paul Vanderbilt PO Box 5150, Norwalk, CT 06856	Co-Trustee 5	-0-	-0-	-0-

**2 Compensation of five highest-paid employees** (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3. Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
<b>Total number of others receiving over \$50,000 for professional services</b> . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	9,584,305.
b	Average of monthly cash balances	1b	262,391.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	<b>Total</b> (add lines 1a, b, and c)	1d	9,846,696.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	9,846,696.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	147,700.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,698,996.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	484,950.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	484,950.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	6,708.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,708.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	478,242.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	478,242.
6	Deduction from distributable amount (see instructions)	6	NONE
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	478,242.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	482,130.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	482,130.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	482,130.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				478,242.
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .			456,592.	
<b>b</b> Total for prior years '20 <u>13</u> , '20 <u>      </u> , '20 <u>      </u>		NONE		
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010 . . . . .	NONE			
<b>b</b> From 2011 . . . . .	NONE			
<b>c</b> From 2012 . . . . .	NONE			
<b>d</b> From 2013 . . . . .	NONE			
<b>e</b> From 2014 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>482,130.</u>				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .			456,592.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2015 distributable amount . . . . .				25,538.
<b>e</b> Remaining amount distributed out of corpus. . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016 . . . . .				452,704.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011 . . . . .	NONE			
<b>b</b> Excess from 2012 . . . . .	NONE			
<b>c</b> Excess from 2013 . . . . .	NONE			
<b>d</b> Excess from 2014 . . . . .	NONE			
<b>e</b> Excess from 2015 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV. Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE STATEMENT 40				468,130.
<b>Total</b> . . . . .			<b>3a</b>	468,130.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount
<b>1</b> Program service revenue				
<b>a</b> _____				
<b>b</b> _____				
<b>c</b> _____				
<b>d</b> _____				
<b>e</b> _____				
<b>f</b> _____				
<b>g</b> Fees and contracts from government agencies				
<b>2</b> Membership dues and assessments . . . . .				
<b>3</b> Interest on savings and temporary cash investments				
<b>4</b> Dividends and interest from securities . . . . .			14	191,236.
<b>5</b> Net rental income or (loss) from real estate				
<b>a</b> Debt-financed property . . . . .				
<b>b</b> Not debt-financed property . . . . .				
<b>6</b> Net rental income or (loss) from personal property				
<b>7</b> Other investment income . . . . .				
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	217,268.
<b>9</b> Net income or (loss) from special events . . .				
<b>10</b> Gross profit or (loss) from sales of inventory . .				
<b>11</b> Other revenue <b>a</b> _____				
<b>b</b> _____				
<b>c</b> _____				
<b>d</b> _____				
<b>e</b> _____				
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . .				408,504.
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .				408,504.

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  | Yes          | No |
|----------|--|--------------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |    |
|          | (1) Cash . . . . .   | <b>1a(1)</b> | X  |
|          | (2) Other assets . . . . .   | <b>1a(2)</b> | X  |
| <b>b</b> | Other transactions:  |              |    |
|          | (1) Sales of assets to a noncharitable exempt organization . . . . .   | <b>1b(1)</b> | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization . . . . .   | <b>1b(2)</b> | X  |
|          | (3) Rental of facilities, equipment, or other assets . . . . .   | <b>1b(3)</b> | X  |
|          | (4) Reimbursement arrangements . . . . .   | <b>1b(4)</b> | X  |
|          | (5) Loans or loan guarantees . . . . .   | <b>1b(5)</b> | X  |
|          | (6) Performance of services or membership or fundraising solicitations . . . . .   | <b>1b(6)</b> | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .   | <b>1c</b>    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this document, which includes the statements of \_\_\_\_\_, and the accompanying Declaration of preparer (other than the declarant) and I declare that the foregoing is true and correct.

Signature of Taxpayer: Hughes Walsh

05/03/2016

► **TRUSTEE**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

CYNTHIA PETERS, AVP

Prepare a signature

Firm's name	▶ WELLS FARGO BANK, N.A.
Firm's address	▶ 1525 W W.T. HARRIS BLVD. 01114-044 CHARLOTTE NC 28262

Date \_\_\_\_\_

04/19/2016

Check ☐ if self-employed

PTIN	P00116953
------	-----------

Phone no. 800-691-8916

Form **990-PF** (2015)

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AT&T INC	1,092.	1,092.
ABBOTT LABS	28.	28.
AMERICAN TOWER CORP	24.	24.
AMERIPRISE FINL INC	707.	707.
ANHEUSER-BUSCH INBEV SPN ADR	2,742.	2,742.
APACHE CORP	235.	235.
APPLE INC	3,931.	3,931.
BP CAPITAL MARKETS	619.	619.
BANK OF AMERICA CORP	247.	247.
BANK OF MONTREAL	925.	925.
BAXTER INTL INC	1,505.	1,505.
BLACKROCK INC	1,437.	1,437.
BOEING CO	2,923.	2,923.
BRISTOL MYERS SQUIBB CO	34.	34.
CME GROUP INC	3,918.	3,918.
CVS HEALTH CORPORATION	1,498.	1,498.
CANADIAN PAC RY LTD	3.	3.
CELANESE CORP	1,535.	1,535.
CISCO SYSTEMS INC	3,151.	3,151.
CITIGROUP INC.	275.	275.
COMCAST CORP CLASS A	1,803.	1,803.
COSTCO WHOLESALE CORP	13.	13.
CUMMINS INC.	2,475.	2,475.
DIAGEO PLC - ADR	1,639.	1,639.
DIRECTV HLDG/FIN INC	1,088.	1,088.
WALT DISNEY CO	2,156.	2,156.
DOLLAR GENERAL CORP	12.	12.
E M C CORP MASS	1,100.	1,100.
ECOLAB INC	900.	900.
EXPRESS SCRIPTS HOLD	219.	219.
FED NATL MTG ASSN	788.	788.
FED NATL MTG ASSN	342.	342.

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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FED NATL MTG ASSN 1.500% 6/22/20	559.	559.
FED NATL MTG ASSN 1.750% 11/26/19	203.	203.
FED HOME LN MTG CORP 3.750% 3/27/19	3,188.	3,188.
FED HOME LN MTG CORP 2.000% 8/25/16	1,809.	1,809.
JP MORGAN MID CAP VALUE-I #758	5,874.	5,874.
GENERAL ELEC CAP COR 5.500% 1/08/20	715.	715.
GENERAL ELEC CAP COR 5.000% 1/08/16	1,519.	1,519.
GILEAD SCIENCES INC	823.	823.
GOLDMAN SACHS GROUP INC	783.	783.
GOLDMAN SACHS GROUP 5.950% 1/18/18	1,468.	1,468.
HSBC - ADR	3,898.	3,898.
HARBOR INTERNATIONAL FD INST #2011	5,886.	5,886.
HEWLETT-PACKARD CO 2.600% 9/15/17	847.	847.
HOME DEPOT INC	7.	7.
INTERNATIONAL BUSINESS MACHS CORP	1,015.	1,015.
IBM CORP 1.950% 7/22/16	878.	878.
JPMORGAN CHASE & CO	2,791.	2,791.
JPMORGAN CHASE & CO 4.950% 3/25/20	934.	934.
JPMORGAN CHASE & CO 3.400% 6/24/15	269.	269.
JOHNSON CONTROLS INC	1,503.	1,503.
KEELEY SMALL CAP VAL FD CL I #2251	423.	423.
KROGER CO	13.	13.
LAS VEGAS SANDS CORP	2,880.	2,880.
MARRIOTT INTERNATIONAL INC CLASS A	15.	15.
MASTERCARD INC	18.	18.
MCDONALDS CORP	25.	25.
MCGRAW-HILL FINANCIAL INC	12.	12.
MCKESSON CORP	460.	460.
MERCK & CO INC 1.300% 5/18/18	312.	312.
METLIFE INC 5.000% 6/15/15	442.	442.
MICROSOFT CORP	2,733.	2,733.
MONSANTO CO NEW	595.	595.
MORGAN STANLEY	15.	15.



## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
NATIONAL OILWELL INC COM	1,132.	1,132.
NESTLE S.A. REGISTERED SHARES - ADR	364.	364.
ORACLE CORPORATION	955.	955.
ORACLE CORP 2.375% 1/15/19	1,069.	1,069.
OPPENHEIMER DEVELOPING MKT-I #799	522.	522.
PIMCO HIGH YIELD FD-INST #108	7,761.	7,761.
PIMCO FOREIGN BD FD USD H-INST #103	5,826.	5,826.
PIMCO EMERG MKTS BD-INST #137	6,988.	6,988.
PNC FINANCIAL SERVICES GROUP	801.	801.
PNC FUNDING CORP 2.700% 9/19/16	999.	999.
PEPSICO INC 2.500% 5/10/16	578.	578.
QUALCOMM INC 2.250% 5/20/20	145.	145.
ROCHE HOLDINGS LTD - ADR	1,636.	1,636.
SCHLUMBERGER LTD	2,195.	2,195.
SHERWIN WILLIAMS CO	8.	8.
STARBUCKS CORP COM	26.	26.
SUNCOR ENERGY INC NEW F	1,510.	1,510.
TJX COMPANIES INC	937.	937.
TARGET CORP	2,652.	2,652.
TARGET CORP 2.300% 6/26/19	805.	805.
THERMO FISHER SCIENTIFIC INC	312.	312.
TIFFANY & CO NEW	14.	14.
TIME WARNER INC	17.	17.
TIME WARNER CABLE IN 5.850% 5/01/17	1,755.	1,755.
TOTAL S.A. - ADR	2,803.	2,803.
US BANCORP	887.	887.
UNION PACIFIC CORP	2,902.	2,902.
UNITED PARCEL SERVICE-CL B	1,694.	1,694.
US TREASURY NOTE 3.500% 2/15/18	2,800.	2,800.
US TREASURY NOTE 4.000% 8/15/18	3,200.	3,200.
US TREASURY NOTE 3.250% 5/31/16	1,372.	1,372.
US TREASURY NOTE 3.625% 8/15/19	1,645.	1,645.
US TREASURY NOTE 3.625% 2/15/20	1,115.	1,115.
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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
US TREASURY NOTE 3.000% 2/28/17	2,250.	2,250.
US TREASURY NOTE 2.250% 11/30/17	1,688.	1,688.
UNITED TECHNOLOGIES 1.800% 6/01/17	666.	666.
UNITEDHEALTH GROUP INC	1,681.	1,681.
VANGUARD SHORT TERM BOND ETF	191.	191.
VANGUARD INFLAT-PROT SECS-ADM #5119	1,180.	1,180.
VERIZON COMMUNICATIO 3.650% 9/14/18	1,095.	1,095.
VISA INC-CLASS A SHRS	21.	21.
VOYA REAL ESTATE FUND CLASS I #2190	3,993.	3,993.
WALGREEN CO 1.800% 9/15/17	488.	488.
WFT SHRT TRM HI YLD-INS #3170	8,804.	8,804.
EATON CORP PLC	1,741.	
RT VANDERBILT COMPANY, INC.	27,702.	27,702.
FEDERATED TREAS OBL FD 68	35.	35.
	-----	-----
TOTAL	191,236.	189,495.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	1,000.			1,000.
TOTALS	1,000.	NONE	NONE	1,000.
	=====	=====	=====	=====

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
WELLS FARGO - MGMT FEES	68,170.	68,170.
JENNISON ASSOC - ADVISER FEES	88.	88.
TOTALS	68,258.	68,258.
	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	2,454.	2,454.
FEDERAL TAX PAYMENT - PRIOR YE	15,881.	
FEDERAL ESTIMATES - PRINCIPAL	3,711.	
FOREIGN TAXES ON QUALIFIED FOR	415.	415.
FOREIGN TAXES ON NONQUALIFIED	83.	83.
	-----	-----
TOTALS	22,544.	2,952.
	=====	=====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
GRANT ADMINISTRATIVE SVCS ADR FEES	13,000. 161.	161.	13,000.
TOTALS	----- 13,161. =====	----- 161. =====	----- 13,000. =====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV -----
31359MZC0 FED NATL MTG ASSN		
3135G0BA0 FED NATL MTG ASSN		
3137EACA5 FED HOME LN MTG CORP	93,002.	91,006.
3137EACW7 FED HOME LN MTG CORP		
912828HR4 US TREASURY NOTE	87,469.	83,994.
912828JH4 US TREASURY NOTE	89,503.	85,822.
912828KW9 US TREASURY NOTE		
912828LJ7 US TREASURY NOTE	54,424.	53,684.
912828MS6 US TREASURY NOTE	80,180.	76,817.
912828PK0 US TREASURY NOTE	78,410.	76,658.
3135G0D75 FED NATL MTG ASSN	74,000.	74,066.
3135G0ZY2 FED NATL MTG ASSN	80,952.	80,410.
912828MP2 US TREASURY NOTE	76,563.	75,501.
	-----	-----
TOTALS	714,503.	697,958.
	=====	=====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
364760108 GAP INC		
580135101 MCDONALDS CORP		
654106103 NIKE INC CL B	3,148.	3,308.
778296103 ROSS STORES INC	8,400.	9,375.
194162103 COLGATE PALMOLIVE CO		
370334104 GENERAL MILLS INC		
487836108 KELLOGG CO		
742718109 PROCTER & GAMBLE CO		
166764100 CHEVRON CORP		
171798101 CIMAREX ENERGY		
25179M103 DEVON ENERGY CORP		
30231G102 EXXON MOBIL CORPORAT		
674599105 OCCIDENTAL PETE CORP		
001055102 AFLAC INC		
025816109 AMERICAN EXPRESS CO		
03076C106 AMERIPRISE FINL INC		
037389103 AON CORP	74,689.	65,980.
171232101 CHUBB CORP		
46625H100 JPMORGAN CHASE & CO		
693475105 PNC FINANCIAL SERVIC	86,144.	104,327.
857477103 STATE STREET CORP	75,337.	74,818.
91529Y106 UNUM GROUP		
110122108 BRISTOL MYERS SQUIBB		
14149Y108 CARDINAL HEALTH INC	6,414.	7,292.
478160104 JOHNSON & JOHNSON		
58405U102 MEDCO HEALTH SOLUTIO		
91324P102 UNITEDHEALTH GROUP I		
94973V107 WELLPOINT INC	56,026.	92,936.
369604103 GENERAL ELECTRIC CO		



R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
502424104 L-3 COMMUNICATIONS		
913017109 UNITED TECHNOLOGIES		
88579Y101 3M CO		
17275R102 CISCO SYSTEMS INC	70,027.	88,118.
428236103 HEWLETT PACKARD		
458140100 INTEL CORP		
459200101 INTERNATIONAL BUSINE		
594918104 MICROSOFT CORP		
882508104 TEXAS INSTRUMENTS IN	65,798.	114,566.
74005P104 PRAXAIR INC COM		
81211K100 SEALED AIR CORP		
156700106 CENTURYLINK, INC		
H0023R105 ACE LIMITED		
22544R305 CREDIT SUISSE COMM R	34,711.	22,174.
44981V706 ING REAL ESTATE FUND		
057224107 BAKER HUGHES INC COM		
14040H105 CAPITAL ONE FINANCIA		
20030N101 COMCAST CORP CLASS A		
26203E836 DREYFUS/THE BOSTON C	37,678.	84,363.
26203E851 DREYFUS/THE BOSTON C		
314172560 FEDERATED STRAT VAL		
345370860 FORD MOTOR COMPANY		
38142Y401 GOLDMAN SACHS GRTH O		
437076102 HOME DEPOT INC	2,257.	2,248.
438516106 HONEYWELL INTERNATIO		
637071101 NATIONAL OILWELL INC		
744573106 PUBLIC SVC ENTERPRIS		
747525103 QUALCOMM INC		
784924425 SSGA EMERGING MARKET		

R T VANDERBILT TRUST

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FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
87612E106 TARGET CORP	58,030.	75,877.
882508104 TEXAS INSTRUMENTS IN		
94984B348 WELLS FARGO ADV INTL		
983134107 WYNN RESORTS LTD		
023135106 AMAZON COM INC COM		
037833100 APPLE INC	11,815.	15,545.
04314H105 ARTISAN FDS INC SMAL	136,162.	196,205.
148887102 CATAMARAN CORP		
339128100 JP MORGAN MID CAP VA	445,000.	565,449.
375558103 GILEAD SCIENCES INC	14,882.	59,196.
411511306 HARBOR INTERNATIONAL F	314,922.	300,174.
58155Q103 MCKESSON CORP	69,247.	85,795.
741503403 THE PRICELINE GROUP	5,036.	5,100.
80004C101 SANDISK CORP COM		
892356106 TRACTOR SUPPLY CO CO		
G1151C101 ACCENTURE PLC		
H89128104 TYCO INTERNATIONAL L		
015351109 ALEXION PHARMACEUTIC	5,703.	6,104.
150870103 CELANESE CORP	56,120.	74,400.
151020104 CELGENE CORP COM	6,931.	6,946.
156782104 CERNER CORP COM		
169656105 CHIPOTLE MEXICAN GRI		
20605P101 CONCHO RESOURCES INC	2,397.	2,043.
30303M102 FACEBOOK INC	12,337.	14,443.
34964C106 FORTUNE BRANDS HOME		
38259P508 GOOGLE INC		
45866F104 INTERCONTINENTALEXCH		
487300808 KEELEY SMALL CAP VAL	350,000.	325,210.
517834107 LAS VEGAS SANDS CORP	63,848.	45,374.

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FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
518439104 ESTEE LAUDER COMPANI		
609207105 MONDELEZ INTERNATION		
61166W101 MONSANTO CO NEW	82,403.	76,649.
611740101 MONSTER BEVERAGE COR		
731068102 POLARIS INDS INC COM		
740189105 PRECISION CASTPARTS		
806857108 SCHLUMBERGER LTD	75,530.	65,914.
855244109 STARBUCKS CORP COM	7,110.	7,684.
858912108 STERICYCLE INC COM		
87817A107 TEAM HEALTH HOLDINGS		
907818108 UNION PACIFIC CORP	89,268.	93,136.
966244105 WHITEWAVE FOODS CO		
966837106 WHOLE FOODS MKT INC		
G50871105 JAZZ PHARMACEUTICALS		
008252108 AFFILIATED MANAGERS	63,613.	53,520.
03524A108 ANHEUSER-BUSCH INBEV		
06738C778 BARCLAYS BANK PLC IP	20,055.	10,735.
071813109 BAXTER INTL INC		
084670702 BERKSHIRE HATHAWAY I	81,899.	99,030.
09247X101 BLACKROCK INC	46,719.	50,737.
097023105 BOEING CO	109,363.	125,070.
12572Q105 CME GROUP INC	56,676.	70,215.
126650100 CVS HEALTH CORPORATI	65,610.	97,281.
172967424 CITIGROUP INC.	85,437.	87,716.
231021106 CUMMINS INC.	92,163.	62,047.
25243Q205 DIAGEO PLC - ADR	39,623.	37,084.
254687106 WALT DISNEY CO	85,720.	125,150.
268648102 E M C CORP MASS		
38141G104 GOLDMAN SACHS GROUP	47,093.	52,267.

R T VANDERBILT TRUST

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FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
38259P706 GOOGLE INC-CL C		
404280406 HSBC - ADR	74,614.	57,626.
478366107 JOHNSON CONTROLS INC	48,366.	43,242.
641069406 NESTLE S.A. REGISTER	60,076.	60,280.
68389X105 ORACLE CORPORATION	53,535.	54,430.
683974604 OPPENHEIMER DEVELOPI	53,565.	45,461.
872540109 TJX COMPANIES INC	55,336.	68,712.
883556102 THERMO FISHER SCIENT	45,244.	56,740.
89151E109 TOTAL S.A. - ADR	69,377.	58,210.
902973304 US BANCORP DEL NEW		
911312106 UNITED PARCEL SERVIC	54,391.	55,813.
92913K595 VOYA REAL ESTATE FUN	143,875.	265,353.
G29183103 EATON CORP PLC	54,077.	40,903.
002824100 ABBOTT LABS	5,158.	5,210.
00724F101 ADOBE SYS INC	5,877.	6,952.
01609W102 ALIBABA GROUP HOLDIN	4,944.	5,445.
02079K107 ALPHABET INC CL C	71,624.	121,421.
02079K305 ALPHABET INC CL A	9,096.	10,892.
03027X100 AMERICAN TOWER CORP	3,117.	3,296.
042068106 ARM HOLDINGS PLC - A	2,776.	2,895.
04314H758 ARTISAN SMALL CAP FU	167,000.	224,287.
09061G101 BIOMARIN PHARMACEUTI	4,828.	3,981.
09062X103 BIOGEN INC	4,327.	4,289.
22160K105 COSTCO WHOLESALE COR	4,662.	5,330.
339041105 FLEETCOR TECHNOLOGIE	3,152.	3,002.
405217100 HAIN CELESTIAL GROUP	45,192.	44,510.
452327109 ILLUMINA INC	4,958.	4,799.
47215P106 JD.COM INC-ADR	2,906.	3,775.
501044101 KROGER CO	4,466.	5,271.

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FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	----
53578A108 LINKEDIN CORP	5,223.	6,527.
56501R106 MANULIFE FINANCIAL C	45,780.	46,678.
571903202 MARRIOTT INTERNATIONAL	4,895.	4,559.
57636Q104 MASTERCARD INC	10,246.	10,807.
580645109 MCGRAW-HILL FINANCIA	1,606.	1,676.
61174X109 MONSTER BEVERAGE COR	2,944.	3,128.
617446448 MORGAN STANLEY	3,346.	3,086.
64110L106 NETFLIX.COM INC	7,492.	8,350.
670100205 NOVO NORDISK A/S - A	3,500.	3,659.
67103H107 O'REILLY AUTOMOTIVE	5,133.	5,322.
697435105 PALO ALTO NETWORKS I	1,362.	1,409.
756577102 RED HAT INC	4,316.	5,051.
75886F107 REGENERON PHARMACEUT	4,699.	4,886.
771195104 ROCHE HOLDINGS LTD -	73,000.	73,938.
79466L302 SALESFORCE COM INC	7,012.	7,918.
819047101 SHAKE SHACK INC	1,168.	950.
824348106 SHERWIN WILLIAMS CO	3,099.	3,115.
82481R106 SHIRE PLC ADR	6,750.	6,150.
848637104 SPLUNK INC	3,102.	2,999.
867224107 SUNCOR ENERGY INC NE	78,600.	76,239.
88160R101 TESLA MOTORS INC	4,020.	3,840.
886547108 TIFFANY & CO NEW	2,890.	2,670.
887317303 TIME WARNER INC	3,427.	3,104.
904311107 UNDER ARMOUR INC	5,939.	4,998.
92826C839 VISA INC-CLASS A SHR	10,692.	11,555.
98138H101 WORKDAY INC	3,541.	3,904.
G0177J108 ALLERGAN PLC	4,276.	4,375.
N6596X109 NXP SEMICONDUCTORS N	1,734.	1,685.

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FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
TOTALS	----- 4,487,672. =====	----- 5,136,304. =====

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FORM 990PF, PART II - CORPORATE BONDS  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
04315J209 ARTIO TOTAL RTN BD		
51855Q655 LAUDUS MONDRIAN INT	56,741.	47,774.
693390841 PIMCO HIGH YIELD FD-	60,417.	75,498.
693390304 PIMCO LOW DURATION F		
693390866 PIMCO TOTAL RETURN I		
922031737 VANGUARD INFLAT-PROT	127,574.	135,349.
921937850 VANGUARD SHORT TERM		
94975P843 WELLS FARGO ADV S/T		
949917744 WELLS FARGO ADVTG		
693391559 PIMCO EMERG MKTS BD-	79,216.	68,605.
26203E836 DREYFUS/THE BOSTON		
26203E851 DREYFUS/THE BOSTON		
38142Y401 GOLDMAN SACHS GRWTH		
784924425 SSGA EMERGING MKTS		
94984B348 WELLS FARGO ADVTG		
921937827 VANGUARD SHORT TERM	14,438.	14,641.
94987W752 WF SHRT TRM HI YLD-I	271,140.	263,375.
00206RAW2 AT&T INC	38,675.	37,225.
05565QCE6 BP CAPITAL MARKETS	44,398.	44,355.
06366QW86 BANK OF MONTREAL	38,672.	37,441.
25459HAY1 DIRECTV HLDG/FIN INC	31,623.	30,431.
278865AK6 ECOLAB INC	33,677.	32,309.
36962GU69 GENERAL ELEC CAP COR	46,328.	45,269.
38141GFG4 GOLDMAN SACHS GROUP		
428236BW2 HEWLETT-PACKARD CO		
459200GX3 IBM CORP		
46625HHR4 JPMORGAN CHASE & CO		
59156RAN8 METLIFE INC	45,713.	45,695.
68389XAQ8 ORACLE CORP		

R T VANDERBILT TRUST

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FORM 990PF, PART II - CORPORATE BONDS  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
693390882 PIMCO FOREIGN BD FD	75,000.	66,659.
693476BM4 PNC FUNDING CORP	38,669.	37,363.
713448BT4 PEPSICO INC		
87612EBB1 TARGET CORP	35,103.	35,406.
88732JAH1 TIME WARNER CABLE IN	33,992.	31,384.
913017BU2 UNITED TECHNOLOGIES	37,857.	37,209.
92343VBP8 VERIZON COMMUNICATIO	32,027.	31,371.
931422AJ8 WALGREEN CO		
06051GFD6 BANK OF AMERICA CORP	35,411.	35,085.
30219GAH1 EXPRESS SCRIPTS HOLD	29,721.	29,823.
36962G4J0 GENERAL ELEC CAP COR	45,949.	44,863.
46625HHQ6 JPMORGAN CHASE & CO	38,939.	37,952.
58933YAG0 MERCK & CO INC	34,814.	34,918.
747525AD5 QUALCOMM INC	39,890.	39,611.
96950FAD6 WILLIAMS PARTNERS LP	32,454.	27,863.
	-----	-----
TOTALS	1,398,438.	1,367,474.
	=====	=====



R T VANDERBILT TRUST

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FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	-----
81 RT VANDERBILT VOTING	C	23,830.	145,719.
51855Q655 LAUDUS MONDRIAN INTL	C		
693390304 PIMCO LOW DURATION F	C		
693390841 PIMCO HIGH YIELD FD-	C		
693390866 PIMCO TOTAL RETURN I	C		
693391559 PIMCO EMERG MKTS BD-	C		
921937827 VANGUARD SHORT TERM	C		
922031737 VANGUARD INFLAT-PROT	C		
891 RT VANDERBILT NON-VOTING	C		
TOTALS		262,132.	1,522,719.
		285,962.	1,668,438.
		=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE  
ACCRUED INT POSTING PRIOR YR EFF CURR YR

8,751.

485.

TOTAL

9,236.

=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
MUTUAL FUND TAX EFFECTIVE DATE AFTER TYE	4,260.
ROUNDING	19.
ACCRUED INT POSTING CURR EFFECTIVE NEXT TX YR	35.
ROC ADJUSTMENT	1,539.
WASH SALES ADJUSTMENT	31.
	-----
TOTAL	5,884.
	=====

## FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

## NAME:

MIDDLE PATENT CEMETERY

## ADDRESS:

BANKSVILLE ROAD

BEDFORD, NY 10506

GRANT DATE: 12/07/2015

GRANT AMOUNT ..... 500.

## GRANT PURPOSE:

UPKEEP OF CEMETERY

AMOUNT EXPENDED BY GRANTEE ..... 500.

## ANY DIVERSION BY GRANTEE:

NO FUNDS HAVE BEEN DIVERTED TO ANY ACTIVITY OTHER THAN THE  
ACTIVITY FOR WHICH THE GRANT WAS ORIGINALLY MADE.

## DATES OF REPORTS BY GRANTEE:

12/18/2015

DATE OF VERIFICATION: 12/18/2015

## RESULTS OF VERIFICATION:

THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY  
OR RELIABILITY OF INFORMATION SUPPLIED BY THE GRANTEE.

## NAME:

BETHEL VOLUNTEER FIRE DEPARTMENT

## ADDRESS:

38 S STREET

BETHEL, CT 06801

GRANT DATE: 12/07/2015

GRANT AMOUNT ..... 600.

## GRANT PURPOSE:

FIRE AND EMS EQUIPMENT, TRAINING AND SUPPLIES

AMOUNT EXPENDED BY GRANTEE ..... 600.

## ANY DIVERSION BY GRANTEE:

NO FUNDS HAVE BEEN DIVERTED TO ANY ACTIVITY OTHER THAN THE  
ACTIVITY FOR WHICH THE GRANT WAS ORIGINALLY MADE.

## DATES OF REPORTS BY GRANTEE:

12/11/2015

DATE OF VERIFICATION: 12/11/2015

## RESULTS OF VERIFICATION:

THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY  
OF INFORMATION SUPPLIED BY THE GRANTEE.

=====

## RECIPIENT NAME:

CASCADE BROOKE SCHOOL

## ADDRESS:

162 LEARNING LANE

FARMINGTON, ME 04938

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

HOSPICE OF SOUTHERN MAINE

## ADDRESS:

180 US ROUTE ONE

SCARBOROUGH, ME 04074

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

MUSIC FOR YOUTH INC

## ADDRESS:

PO BOX 403

WESTPORT, CT 06881

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 20,000.

=====

## RECIPIENT NAME:

UNIVERSITY OF MAINE SYSTEM INC

## ADDRESS:

16 CENTRAL ST  
BANGOR, ME 04401

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

WG MALLET SCHOOL

## ADDRESS:

116 MIDDLE STREET  
FARMINGTON, ME 04938

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

BRUNSWICK SCHOOL INC

## ADDRESS:

100 MAHER AVE  
GREENWICH, CT 06830

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

RIDGEFIELD VISITING NURSE ASSOC

ADDRESS:

90 EAST RIDGE

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

RECIPIENT NAME:

GREENWICH COUNTRY DAY SCHOOL

ADDRESS:

PO BOX 623

GREENWICH, CT 06836

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:

GREENWICH HOSPITAL

ADDRESS:

5 PERRYRIDGE ROAD

GREENWICH, CT 06830

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
GREENWICH ACADEMY INC  
ADDRESS:  
200 NORHT MAPLE AVE  
GREENWICH, CT 06830  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 4,900.

RECIPIENT NAME:  
THE CONNECTICUTT AUDUBON SOCIETY  
ADDRESS:  
2325 BURR ST  
FAIRFIELD, CT 06430  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
BANK SOUTH ENDOWMENT/GENERAL OPERATIO  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 110,000.

RECIPIENT NAME:  
RIDGEFIELD VETERANS MEMORIAL  
COMMUNITY ASSOCIATION  
ADDRESS:  
316 MAIN STREET  
REDGEFIELD, CT 06877  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 500.



R T VANDERBILT TRUST

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FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

RIDGEFILED LIBRARY ASSOCIATION

ADDRESS:

472 MAIN STREET

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

SAINT MATTHEW CHURCH

ADDRESS:

216 SCRIBNER AVENUE

NORWALK, CT 06854

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:

MIDDLE PATENT RURAL CEMETERY ASSOC

ADDRESS:

4 BEDFORD BANKVILLE ROAD

BEDFORD, NY 10506

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

NC

AMOUNT OF GRANT PAID ..... 500.

STATEMENT 27

=====

## RECIPIENT NAME:

BETHEL VOLUNTEER FIRE DEPARTMENT

## ADDRESS:

38 S STREET

BETHEL, CT 06801

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

NC

AMOUNT OF GRANT PAID ..... 600.

## RECIPIENT NAME:

THE MARITIME AQUARIUM AT

NORWALK INC

## ADDRESS:

10 N WATER STREET

NORWALK, CT 06854

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

EAGLE HILL FOUNDATION

## ADDRESS:

45 GLENVILLE RD

GREENWICH, CT 06831

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 50,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

RIDGEFIELD PLAYHOUSE

ADDRESS:

80 E RIDGE

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

RIDGEFIELD OPERATION FOR

ANIMAL RESCUE INC

ADDRESS:

45 SOUTH STREET

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

RECIPIENT NAME:

TOWN OF RIDGEFIELD

ADDRESS:

400 MAIN STREET

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT/HOLIDAY TRUST FUND

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,500.

=====

## RECIPIENT NAME:

GREENWICH HISTORICAL SOCIETY INC

## ADDRESS:

39 STRICKLAND ROAD

COS COB, CT 06807

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 118,000.

## RECIPIENT NAME:

AMOGERONE VOLUNTEER FIRE CO #1

## ADDRESS:

PO BOX 121

GREENWICH, CT 06836

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

NEWTOWN HISTORICAL SOCIETY INC

## ADDRESS:

PO BOX 189

NEWTOWN, CT 06470

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

AUDUBON GREENWICH

ADDRESS:

613 RIVERSVILLE RD  
GREENWICH, CT 06831

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:

PLANNED PARENTHOOD FEDERATION OF  
AMERICA INC

ADDRESS:

434 W 33RD ST  
NEW YORK, NY 10001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

INTERNATIONAL/GLOBAL ASSISTANCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 41,350.

RECIPIENT NAME:

WILDLIFE CONSERVATION SOCIETY

ADDRESS:

2300 SOUTHERN BLVD  
BRONX, NY 10460

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,750.

STATEMENT 31

R T VANDERBILT TRUST

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FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CLARKSTOWN DUTCH REFORMED CHURCH

ADDRESS:

107 STRAWTOWN ROAD

WEST NYACK, NY 10994

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 7,000.

RECIPIENT NAME:

GALAPAGOS CONSERVANCY INC

ADDRESS:

11150 FAIRFAX BOULEVARD

FAIRFAX, VA 22030

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 15,000.

RECIPIENT NAME:

WOLF CONSERVATION CENTER INC

ADDRESS:

PO BOX 421

SOUTH SALEM, NY 10590

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

ADAPTIVE SPORTS FOUNDATION INC

ADDRESS:

PO BOX 266

WINDHAM, NY 12496

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

TREETOPS CHAMBER MUSIC SOCIETY

ADDRESS:

PO BOX 8151

STAMFORD, CT 06905

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

COMBINED ORGANIZATION OF POLICE

ADDRESS:

PO BOX 1611

GREENWICH, CT 06836

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

GREENWICH EMERGENCY MEDICAL  
SERVICE

ADDRESS:

1111 EAST PUTNAM AVE  
RIVERSIDE, CT 06878

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

RECIPIENT NAME:

GETTYSBURG COLLEGE

ADDRESS:

300 N WASHINGTON STREET  
GETTYSBURG, PA 17325

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,500.

RECIPIENT NAME:

ST LUKES FOUNDATION

ADDRESS:

377 NORTH WILTON ROAD  
NEW CANAAN, CT 06840

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.



=====

## RECIPIENT NAME:

MEALS ON WHEELS OF RIDGEFIELD

## ADDRESS:

25 GILBERT STREET

RIDGEFIELD, CT 06877

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 250.

## RECIPIENT NAME:

SKYS THE LIMIT FUND

## ADDRESS:

4546 EL CAMINO REAL STE 282

LOS ALTOS, CA 94022

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,000.

## RECIPIENT NAME:

AMERICAN FRIENDS OF WIGMORE HALL INC

## ADDRESS:

1000 N WEST ST 1200

WILMINGTON, DE 19801

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 17,030.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CAMPING & EDUCATION FOUNDATION

ADDRESS:

3515 MICHIGAN AVENUE

CINCINNATI, OH 45208

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:

FOUNDERS HALL

ADDRESS:

193 DANBURY ROAD

RIDGEFIELD, CT 06877

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PF

AMOUNT OF GRANT PAID ..... 500.

RECIPIENT NAME:

UNIVERSITY OF NOTRE DAME

ADDRESS:

400 MAIN BUILDING

NOTRE DAME, IN 46556

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MAYO CLINIC ROCHESTER

ADDRESS:

200 FIRST ST SW

ROCHESTER, MN 55905

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:

ST JOSEPHS INDIAN SCHOOL

ADDRESS:

1301 N MAIN STREET

CHAMBERLAIN, SD 57326

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 250.

RECIPIENT NAME:

ROLLINS COLLEGE

ADDRESS:

1000 HOLT AVE

WINTER PARK, FL 32789

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

STATEMENT 37

=====

## RECIPIENT NAME:

MURRAY CALLOWAY NEED LINE ASSOC

## ADDRESS:

638 S 4TH STREET

MURRAY, KY 42071

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

ANGELS CIOMMUNITY CLINIC

## ADDRESS:

1005 POPLAR STREET

MURRAY, KY 42071

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

HOP A LING HOLLOW RABBIT RESCUE

AND ADOPTION INC

## ADDRESS:

1 WOODSIDE AVE

NORWALK, CT 06855

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

=====

## RECIPIENT NAME:

OUR LADY OF THE LAKE FOUNDATION

## ADDRESS:

43 RANGELEY AVENUE

OQUOSSOC, ME 04964

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

UNIVERSITY OF DENVER

## ADDRESS:

2190 EAST ASBURY AVE

DENVER, CO 80208

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

CHALLENGE ASPEN

## ADDRESS:

PO BOX 6639

SNOWMASS VILLAGE, CO 81615

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
HOLY FAMILY PARISH  
ADDRESS:  
700 OLD STRATFIELD ROAD  
FAIRFIELD, CT 06825  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:  
AMERICAN UNIVERSITY OF PARIS  
ADDRESS:  
950 S CHERRY STREET  
DENVER, CO 80246  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 5,000.

TOTAL GRANTS PAID: 468,130.  
=====

**WELLS FARGO  
NC TRUST CENTER**

**MAY 06 2016**

**WINSTON-SALEM**