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For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation THE ETTINGER FOUNDATION INC		A Employer identification number 06-6038938	
% C/O ROCKEFELLER TRUST CO NA		B Telephone number (see instructions) (212) 549-5544	
Number and street (or P O box number if mail is not delivered to street address) C/O RTC NA PO BOX 5016		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 101855016		C If exemption application is pending, check here	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,718,452		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)			

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,084,482			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	100,031	100,031		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	2,359,695			
	b Gross sales price for all assets on line 6a _____ 8,474,593				
	7 Capital gain net income (from Part IV, line 2)		2,359,695		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	37,742	37,742		
	12 Total.Add lines 1 through 11	3,581,950	2,497,468		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	26,328	0	0	26,328
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	180,551	145,987		34,564
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	58,496	2,746		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	11,660	11,358		302
	24 Total operating and administrative expenses. Add lines 13 through 23	277,035	160,091	0	61,194
	25 Contributions, gifts, grants paid	488,400			488,400
	26 Total expenses and disbursements.Add lines 24 and 25	765,435	160,091	0	549,594
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,816,515			
	b Net investment income (if negative, enter -0-)		2,337,377		
	c Adjusted net income(if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	404,586	2,488,629	2,488,629
	3 Accounts receivable ▶ <u>8,346</u> Less: allowance for doubtful accounts ▶ _____		8,346	8,346
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	4,927,131	6,070,625	6,307,104
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,494,611	2,992,468	2,914,373
	14 Land, buildings, and equipment basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	8,826,328	11,560,068	11,718,452	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	8,826,328	11,560,068	
	30 Total net assets or fund balances (see instructions)	8,826,328	11,560,068	
31 Total liabilities and net assets/fund balances (see instructions)	8,826,328	11,560,068		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,826,328
2	Enter amount from Part I, line 27a	2	2,816,515
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	11,642,843
5	Decreases not included in line 2 (itemize) ▶ _____	5	82,775
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,560,068

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,359,695
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	731,294	19,036,096	0 038416
2013	600,794	18,746,642	0 032048
2012	1,360,218	17,178,886	0 07918
2011	1,264,615	17,560,448	0 072015
2010	1,202,624	17,407,786	0 069085

2	Total of line 1, column (d).	2	0 290744
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 058149
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	11,969,356
5	Multiply line 4 by line 3.	5	696,006
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	23,374
7	Add lines 5 and 6.	7	719,380
8	Enter qualifying distributions from Part XII, line 4.	8	549,594

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

55,210

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

25,000

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☐ 33,462 **Refunded** ☐

1

46,748

46,748

46,748

80,210

33,462

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			No
1b				No
c	Did the foundation file Form 1120-POL for this year?			No
1c				No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>CO ROCKEFELLER TRUST CO NA</u> Telephone no ► <u>(212) 549-5544</u> Located at ► <u>10 ROCKEFELLER PLAZA 3RD FLOOR NEW YORK NY</u> ZIP+4 ► <u>10020</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐ Yes ☒ No	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ Yes ☒ No	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015). ☐ Yes ☒ No	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here. ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	PRESIDENT/MEMBER/TRUSTEE 1 0	0		
HEIDI ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	VICE PRESIDENT/MEMBER/TRUSTEE 1 0	0		
WENDY ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	SECRETARY/MEMBER/TRUSTEE 1 0	0		
CHRISTIAN ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	TREASURER 1 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000. ☐

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Rockefeller Trust Company NA 10 Rockefeller Plaza 3rd Floor NEW YORK, NY 10020	Admin/Tax/Investment	131,735
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,515,386
b	Average of monthly cash balances.	1b	2,087,587
c	Fair market value of all other assets (see instructions).	1c	3,548,657
d	Total (add lines 1a, b, and c).	1d	12,151,630
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	12,151,630
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	182,274
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,969,356
6	Minimum investment return. Enter 5% of line 5.	6	598,468

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	598,468
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	46,748
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	46,748
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	551,720
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	551,720
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	551,720

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	549,594
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	549,594
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	549,594

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				551,720
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				340,069
b From 2011.				398,449
c From 2012.				504,502
d From 2013.				
e From 2014.				
f Total of lines 3a through e.	1,243,020			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 549,594				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				549,594
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	2,126			2,126
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,240,894			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	337,943			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	902,951			
10 Analysis of line 9				
a Excess from 2011. . . .				398,449
b Excess from 2012. . . .				504,502
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . .				

Part XIV

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b

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	

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Part XV

1

a

b

2

Check here ☐ unsolicited re
other conditio

a

b

C

d

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED GRANT LIST SEE ATTACHED NEW YORK, NY 10020		PC	SEE ATTACHED	488,400
Total ▶ 3a				488,400
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of		
(1) Cash.	1a(1)	No
(2) Other assets.	1a(2)	No
b Other transactions		
(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
(3) Rental of facilities, equipment, or other assets.	1b(3)	No
(4) Reimbursement arrangements.	1b(4)	No
(5) Loans or loan guarantees.	1b(5)	No
(6) Performance of services or membership or fundraising solicitations.	1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2016-10-17	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name Susan E Leitner	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00297499
	Firm's name ☐ Rockefeller Trust Co NA			Firm's EIN ☐	
	Firm's address ☐ PO Box 5016 New York, NY 101855016			Phone no ☐	(212) 549-5544

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
S/T STATE STREEET A (SEE ATTACHED)	P		
S/T STATE STREET B (SEE ATTACHED)	P		
L/T STATE STREET B (SEE ATTACHED)	P		
S/T STATE STREET C (SEE ATTACHED)	P		
L/T STATE STREET C (SEE ATTACHED)	P		
L/T STATE STREET C (SEE ATTACHED)	P		
S/T STATE STREET D (SEE ATTACHED)	P		
L/T STATE STREET D (SEE ATTACHED)	P		
S/T STATE STREET E (SEE ATTACHED)	P		
L/T STATE STREET E (SEE ATTACHED)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
362,331		394,932	-32,035
9,238		7,368	1,873
190,660		154,789	35,882
48,218		51,603	-3,277
42,721		38,831	3,890
221,671		205,488	16,183
239,025		225,300	13,725
1,907,841		1,336,455	571,386
46,990		48,841	-1,851
2,421,696		1,352,306	1,069,390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-32,601
			1,870
			35,871
			-3,385
			3,890
			16,183
			13,725
			571,386
			-1,851
			1,069,390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
S/T STATE STREET F (SEE ATTACHED)	P		
L/T STATE STREET F (SEE ATTACHED)	P		
L/T STATE STREET F (SEE ATTACHED)	P		
S/T BRANDES (SEE ATTACHED)	P		
L/T BRANDES (SEE ATTACHED)	P		
S/T BROWN (SEE ATTACHED)	P		
L/T BROWN (SEE ATTACHED)	P		
S/T CARDINAL (SEE ATTACHED)	P		
L/T CARDINAL (SEE ATTACHED)	P		
S/T GRANITE (SEE ATTACHED)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
27,897		23,179	4,718
6,751		6,233	518
180,059		128,524	51,583
3,998		4,262	-264
27,665		20,464	7,201
19,471		17,203	2,268
57,586		29,976	27,610
37,142		32,524	4,618
69,043		43,562	25,481
102,082		84,267	17,815

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			4,718
			518
			51,535
			-264
			7,201
			2,268
			27,610
			4,618
			25,481
			17,815

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
L/T GRANITE (SEE ATTACHED)	P		
S/T WALDEN (SEE ATTACHED)	P		
L/T WALDEN (SEE ATTACHED)	P		
S/T WENTWORTH (SEE ATTACHED)	P		
L/T WENTWORTH (SEE ATTACHED)	P		
S/T GENERATION IM GLOBAL EQUITY FUND	P		
L/T GENERATION IM GLOBAL EQUITY FUND	P		
S/T LIBRA FUND	P		
L/T LIBRA FUND	P		
S/T NORTH SKY CLEANTECH VENTURES	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
182,327		97,434	84,893
25,914		23,414	2,500
40,873		30,434	10,439
1,228		1,837	-609
548,297		395,391	152,906
45,626			45,626
204,519			204,519
		1,129	-1,129
		17,320	-17,320
		496	-496

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			84,893
			2,500
			10,439
			-609
			152,906
			45,626
			204,519
			-1,129
			-17,320
			-496

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
L/T NORTH SKY CLEANTECH VENTURES	P		
L/T ALTERNATIVE INVESTMENTS INSTITUTIONAL	P		
GAIN ON LITIGATION SETTLEMENTS	P		
CAPITAL GAIN DIST (STATE STREET C)	P		
CAPITAL GAIN DIST (STATE STREET E)	P		
CAPITAL GAIN DIST (STATE STREET F)	P		
CAPITAL GAIN DIST (CAPITAL)	P		
CAPITAL GAIN DIST (BROWN)	P		
CAPITAL GAIN DIST (WALDEN)	P		
DISPOSITION OF LIBRA FUND QP	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
		173	-173
55,905			55,905
4,033			4,033
894			894
250			250
75			75
372			372
250			250
46			46
382,903		382,903	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-173
			55,905
			4,033
			894
			250
			75
			372
			250
			46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DISPOSITION OF ALTERNATIVE INVESTMENT INSTITUTIONAL LP	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
958,996		958,996	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: THE ETTINGER FOUNDATION INC

EIN: 06-6038938

TY 2015 Investments Corporate Stock Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	6,070,625	6,307,104

TY 2015 Investments - Other Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED	AT COST	2,992,468	2,914,373

TY 2015 Legal Fees Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROBINSON & COLE LLP	26,328			26,328

TY 2015 Other Decreases Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Description	Amount
TAX/BOOK TIMING DIFFERENCES	82,775

TY 2015 Other Expenses Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN EXCHANGE LOSS	202	202		
DELAWARE FILING FEE	302			302
BANK CHARGES	1,048	1,048		
NORTH SKY	6,602	6,602		
CUSTODY FEES	3,506	3,506		

TY 2015 Other Income Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ALTERNATIVE INVESTMENTS INST	25,296	25,296	
NONDIVIDEND DISTRIBUTIONS	2,650	2,650	
GENERATION IM GLOBAL EQUITY FUND, LLC	1,528	1,528	
LIBRA FUND	1,352	1,352	
Winthrop Realty Trust Liquidating Dist	6,916	6,916	

TY 2015 Other Professional Fees Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	37,253	37,253		
RTC FEES	131,735	108,734		23,001
FOUNDATION SOURCE	11,563			11,563

TY 2015 Substantial Contributors Schedule

Name: THE ETTINGER FOUNDATION INC

EIN: 06-6038938

Name	Address
EDUCATIONAL FOUNDATION OF AMERICA	c/o Foundation Source 55 Walls Dr 3 Fairfield, CT 06824

TY 2015 Taxes Schedule

Name: THE ETTINGER FOUNDATION INC

EIN: 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	55,750			
FOREIGN TAXES	2,746	2,746		

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2015
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Name of the organization THE ETTINGER FOUNDATION INC	Employer identification number 06-6038938
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Organization type (check one)

Filers of: Form 990 or 990-EZ Form 990-PF	Section: ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE ETTINGER FOUNDATION INC	Employer identification number 06-6038938
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDUCATIONAL FOUNDATION OF AMERICA	\$ 1,084,482	Person ☒
	c/o Foundation Source 55 Walls Dr 3		Payroll ☐
	Fairfield, CT 06824		Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE ETTINGER FOUNDATION INC	Employer identification number 06-6038938
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	



BNY MELLON
WEALTH MANAGEMENT

THE ETTINGER FND-CARDINAL CAP MGMT

2015 Tax Information

Account Number

Page 5

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount." We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ATMEL CORP			049513104							
	03/02/15	07/02/14	70	\$620.54	\$661.98	\$-41.44		\$0.00	\$0.00	\$0.00
	03/02/15	06/17/14	230	\$2,038.91	\$2,221.59	\$-182.68		\$0.00	\$0.00	\$0.00
EPR PPTYS COM SH BEN INT			26884U109							
	01/16/15	01/15/15	100	\$6,240.51	\$6,147.02	\$93.49		\$0.00	\$0.00	\$0.00
GENTHERM INC COM			37253A103							
	04/02/15	12/31/14	100	\$4,899.90	\$3,697.78	\$1,202.12		\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

THE ETTINGER FND-CARDINAL CAP MGMT

2015 Tax Information

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Short Term Transactions

DESCRIPTION		CUSIP					Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	
GLOBUS MEDICAL INC A 379577208								
02/06/15	09/02/14	100	\$2,489 74	\$1,816 96	\$672 78		\$0 00	\$0 00
04/01/15	09/02/14	15	\$381 93	\$272 54	\$109 39		\$0 00	\$0 00
04/01/15	08/06/14	58	\$1,476 78	\$1,052 90	\$423 88		\$0 00	\$0 00
MDC PARTNERS INC 552697104								
02/24/15	07/10/14	42	\$1,150 99	\$917 69	\$233 30		\$0 00	\$0 00
MEDIA GENERAL INC 58441K100								
03/13/15	03/28/14	31 71	\$510 17	\$761 59	\$-251 42		\$0 00	\$0 00
03/13/15	04/16/14	5 84	\$93 93	\$125 53	\$-31 60		\$0 00	\$0 00
03/13/15	06/25/14	62 45	\$1,004 55	\$1,546 23	\$-541 68		\$0 00	\$0 00
03/18/15	04/16/14	25 88	\$427 46	\$556 32	\$-128 86		\$0 00	\$0 00
POPEYES LOUISIANA KITCHEN IN 732872106								
02/11/15	10/06/14	50	\$3,097 46	\$2,087 04	\$1,010 42		\$0 00	\$0 00
02/26/15	10/06/14	65	\$3,904 74	\$2,713 16	\$1,191 58		\$0 00	\$0 00
PROGRESS SOFTWARE CORP 743312100								
01/13/15	01/24/14	58	\$1,532 64	\$1,401 45	\$131 19		\$0 00	\$0 00
02/12/15	03/11/14	53	\$1,393 64	\$1,192 43	\$201 21		\$0 00	\$0 00
03/09/15	03/11/14	5	\$133 22	\$112 49	\$20 73		\$0 00	\$0 00

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THE ETTINGER END-CARDINAL CAPMGMT

THE ETTINGER FUND-CARDINAL CAP MGMT

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BNY MELLON
WEALTH MANAGEMENT

THE ETTINGER FND-CARDINAL CAP MGMT

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Long Term Transactions

DESCRIPTION		CUSIP				Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments
CINEMARK HLDGS INC		17243V102					
03/04/15	12/11/12	58	\$2,434.56	\$1,542.19	\$892.37		\$0.00
03/04/15	11/21/12	42	\$1,762.96	\$1,081.83	\$681.13		\$0.00
04/02/15	11/21/12	73	\$3,261.82	\$1,880.33	\$1,381.49		\$0.00
04/02/15	11/14/12	27	\$1,206.42	\$688.18	\$518.24		\$0.00
CONVERGYS CORP		212485106					
04/02/15	08/08/07	42	\$964.49	\$687.42	\$277.07		\$0.00
04/02/15	09/13/07	58	\$1,331.92	\$970.94	\$360.98		\$0.00
04/06/15	08/08/07	16	\$370.07	\$261.87	\$108.20		\$0.00
04/06/15	06/02/08	84	\$1,942.88	\$1,322.67	\$620.21		\$0.00
GLOBUS MEDICAL INC A		379577208					
04/01/15	09/04/13	27	\$687.47	\$450.96	\$236.51		\$0.00
IAC / INTERACTIVECORP		44919P508					
03/09/15	02/04/11	50	\$3,493.87	\$1,511.97	\$1,981.90		\$0.00
MDC PARTNERS INC		552697104					
02/24/15	02/21/14	58	\$1,589.47	\$1,312.42	\$277.05		\$0.00
MEDIA GENERAL INC		58441K100					
03/18/15	02/27/14	62.88	\$1,038.79	\$1,235.81	\$-197.02		\$0.00
03/18/15	08/02/13	48.36	\$798.90	\$683.13	\$115.77		\$0.00



Account Number

THE ETTINGER FUND-CARDINAL CAP MGMT

DESCRIPTION

Date sold
or disposed

n/Loss

\$134.40

\$267 :

\$180

\$34

62,021 :

61,578

51,186 :

\$638

\$129

\$47

\$612

\$194

\$260

\$208

\$501

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WEALTH MANAGEMENT

THE ETTINGER FND-CARDINAL CAP MGMT

2015 Tax Information

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Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SILGAN HLDGS INC											
	01/02/15	09/13/07	42	827048109	\$2,264 17	\$1,030 46	\$1,233 71		\$0 00	\$0 00	\$0 00
	01/02/15	03/20/07	58		\$3,126 71	\$1,435 56	\$1,691 15		\$0 00	\$0 00	\$0 00
<i>Total Long Term Gain/Loss</i>					\$69,042 53	\$43,561 67	\$25,480 86		\$0 00	\$0 00	\$0 00



BNY MELLON
WEALTH MANAGEMENT

THE ETTINGER FOUNDATION-GRANITE

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Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

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Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMERICAN AIRLINES GROUP INC 02376R102										
	01/22/15	07/24/14	57	\$3,142.34	\$2,487.99	\$654.35		\$0.00	\$0.00	\$0.00
	01/23/15	06/03/14	30	\$1,650.06	\$1,250.70	\$399.36		\$0.00	\$0.00	\$0.00
	01/23/15	07/24/14	150	\$8,250.30	\$6,547.33	\$1,702.97		\$0.00	\$0.00	\$0.00
BIOMARIN PHARMACEUTICAL INC 09061G101										
	03/24/15	01/22/15	214	\$26,587.21	\$20,210.80	\$6,376.41		\$0.00	\$0.00	\$0.00
BRISTOL MYERS SQUIBB CO COM 110122108										
	01/22/15	05/01/14	48	\$2,983.61	\$2,371.63	\$611.98		\$0.00	\$0.00	\$0.00



Account Number

DESCRIPTION

DESCRIPTION	CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CBRE GROUP INC									
		12504L109							
02/05/15	06/20/14	195	\$6,770 08	\$5,974 63	\$795 45		\$0 00	\$0 00	\$0 00
02/05/15	10/08/14	389	\$13,505 43	\$11,394 70	\$2,110 73		\$0 00	\$0 00	\$0 00
NIKE INC CL B									
		654106103							
01/22/15	04/24/14	33	\$3,141 53	\$2,422 76	\$718 77		\$0 00	\$0 00	\$0 00
02/03/15	04/24/14	175	\$16,262 18	\$12,847 98	\$3,414 20		\$0 00	\$0 00	\$0 00
SCHWAB CHARLES CORP NEW									
		808513105							
01/23/15	02/19/14	94	\$2,573 06	\$2,428 65	\$144 41		\$0 00	\$0 00	\$0 00
01/23/15	01/23/14	412	\$11,277 67	\$10,818 63	\$459 04		\$0 00	\$0 00	\$0 00
THERMO ELECTRON CORP									
		883556102							
01/22/15	03/07/14	22	\$2,786 67	\$2,779 01	\$7 66		\$0 00	\$0 00	\$0 00
AON CORP									
		G0408V102							
01/22/15	01/22/14	33	\$3,151 76	\$2,732 27	\$419 49		\$0 00	\$0 00	\$0 00
Total Short Term Gain/Loss									
			\$102,081 90	\$84,267 08	\$17,814 82		\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	CUSIP						Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss		
GOOGLE INC			38259P508					
	04/06/15	01/10/11	6	\$3,268 74	\$1,841 39	\$1,427 35	\$0 00	\$0 00
	04/06/15	10/31/08	3	\$1,634 37	\$543 08	\$1,091 29	\$0 00	\$0 00
	04/06/15	12/22/10	14	\$7,627 06	\$4,236 24	\$3,390 82	\$0 00	\$0 00
	04/06/15	03/17/09	7	\$3,813 53	\$1,165 30	\$2,648 23	\$0 00	\$0 00
	04/06/15	11/23/10	12	\$6,537 48	\$3,477 79	\$3,059 69	\$0 00	\$0 00
GOOGLE INC			38259P706					
	01/22/15	12/21/12	1	\$530 01	\$356 50	\$173 51	\$0 00	\$0 00
	01/22/15	03/21/13	6	\$3,180 04	\$2,448 12	\$731 92	\$0 00	\$0 00
W W GRAINGER INCORPORATED			384802104					
	02/27/15	07/28/11	139	\$33,147 35	\$20,792 08	\$12,355 27	\$0 00	\$0 00
MARriott INTERNATIONAL INC CL A			571903202					
	02/05/15	05/10/11	235	\$17,824 87	\$8,236 18	\$9,588 69	\$0 00	\$0 00
MCKESSON CORPORATION			58155Q103					
	02/03/15	01/09/14	21	\$4,468 75	\$3,686 74	\$782 01	\$0 00	\$0 00
PALO ALTO NETWORKS INC			697435105					
	03/12/15	01/08/14	132	\$18,608 11	\$7,941 52	\$10,666 59	\$0 00	\$0 00
VISA INC			92826C839					
	01/23/15	09/15/11	35	\$9,073 88	\$3,118 85	\$5,955 03	\$0 00	\$0 00



BNY MELLON
WEALTH MANAGEMENT

THE ETTINGER FOUNDATION-GRANITE

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Long Term Transactions

DESCRIPTION		CUSIP		Units		Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired											
VISA INC												
92826C839												
01/23/15	03/21/13	14				\$3,629 55	\$2,231 45	\$1,398 10		\$0 00	\$0 00	\$0 00
<i>Total Long Term Gain/Loss</i>						\$182,327 09	\$97,434 11	\$84,892 98		\$0 00	\$0 00	\$0 00



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The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

DESCRIPTION		CUSIP					Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	
BEST BUY INC COM		086516101						
01/12/15	01/16/14	189	\$7,374 21	\$5,065 60	\$2,308 61		\$0 00	\$0 00
03/11/15	03/20/14	48	\$1,935 17	\$1,235 52	\$699 65		\$0 00	\$0 00
CALIFORNIA RESOURCES CORP		13057Q107						
02/17/15	03/20/14	76 4	\$509 58	\$610 06	\$-100 48		\$0 00	\$0 00
02/17/15	07/18/14	24 2	\$161 41	\$211 24	\$-49 83		\$0 00	\$0 00
EDWARDS LIFESCIENCES CORP		28176E108						
02/03/15	03/20/14	11	\$1,396 97	\$798 93	\$598 04		\$0 00	\$0 00



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THE ETTINGER FOUNDATION-BROWN ADVSRY

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Short Term Transactions

DESCRIPTION	CUSIP										Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments				
NOW INC/DE	67011P100											
02/17/15	08/04/14	178	\$4,606 55	\$5,704 77	\$-1,098 22		\$0 00	\$0 00	\$0 00	\$0 00		
OWENS CORNING NEW	690742101											
01/08/15	03/20/14	18	\$700 33	\$779 94	\$-79 61		\$0 00	\$0 00	\$0 00	\$0 00		
01/08/15	07/18/14	6	\$233 44	\$220 80	\$12 64		\$0 00	\$0 00	\$0 00	\$0 00		
01/09/15	07/18/14	23	\$896 98	\$846 40	\$50 58		\$0 00	\$0 00	\$0 00	\$0 00		
TIME WARNER CABLE INC.	88732J207											
01/30/15	03/20/14	5	\$690 09	\$694 10	\$-4 01		\$0 00	\$0 00	\$0 00	\$0 00		
01/30/15	07/18/14	7	\$966 13	\$1,035 86	\$-69 73		\$0 00	\$0 00	\$0 00	\$0 00		
Total Short Term Gain/Loss			\$19,470 86	\$17,203 22	\$2,267 64		\$0 00	\$0 00	\$0 00	\$0 00		

Long Term Transactions

DESCRIPTION		CUSIP				Portion Subject to 988 and Market Discount (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments		
BEST BUY INC COM 086516101									
01/12/15	05/31/13	71	\$2,770.21	\$1,969.13	\$801.08		\$0.00	\$0.00	\$0.00
03/06/15	01/16/14	63	\$2,521.42	\$1,688.53	\$832.89		\$0.00	\$0.00	\$0.00
03/10/15	01/16/14	43	\$1,718.67	\$1,152.49	\$566.18		\$0.00	\$0.00	\$0.00

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THE ETTINGER FOUNDATION-BROWN ADVSRY

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Long Term Transactions

DESCRIPTION	CUSIP		Units		Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BEST BUY INC COM	086516101										
03/11/15 03/04/13	79				\$3,184.96	\$1,382.76	\$1,802.20		\$0.00	\$0.00	\$0.00
03/11/15 01/16/14	91				\$3,668.76	\$2,438.99	\$1,229.77		\$0.00	\$0.00	\$0.00
CALIFORNIA RESOURCES CORP	13057Q107										
02/17/15 01/16/14	48				\$320.15	\$383.85	\$-63.70		\$0.00	\$0.00	\$0.00
02/17/15 05/13/09	242				\$1,614.11	\$1,312.63	\$301.48		\$0.00	\$0.00	\$0.00
02/17/15 10/04/11	45.6				\$304.15	\$270.48	\$33.67		\$0.00	\$0.00	\$0.00
02/17/15 02/05/14	47.6				\$317.49	\$362.61	\$-45.12		\$0.00	\$0.00	\$0.00
02/17/15 02/12/14	35.2				\$234.78	\$282.81	\$-48.03		\$0.00	\$0.00	\$0.00
EDWARDS LIFESCIENCES CORP	28176E108										
02/03/15 10/03/13	6				\$761.98	\$433.12	\$328.86		\$0.00	\$0.00	\$0.00
02/03/15 10/09/13	24				\$3,047.93	\$1,740.39	\$1,307.54		\$0.00	\$0.00	\$0.00
OWENS CORNING NEW	690742101										
01/09/15 02/15/12	22				\$857.98	\$664.34	\$193.64		\$0.00	\$0.00	\$0.00
01/09/15 10/12/12	63				\$2,456.94	\$1,873.65	\$583.29		\$0.00	\$0.00	\$0.00
01/09/15 11/15/11	32				\$1,247.97	\$936.30	\$311.67		\$0.00	\$0.00	\$0.00
TIME WARNER CABLE INC.	88732J207										
01/30/15 11/23/10	11				\$1,518.20	\$676.45	\$841.75		\$0.00	\$0.00	\$0.00
02/02/15 11/23/10	3				\$413.49	\$184.49	\$229.00		\$0.00	\$0.00	\$0.00



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WEALTH MANAGEMENT

THE ETTINGER FOUNDATION-BROWN ADVSRY

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Long Term Transactions

DESCRIPTION	CUSIP									
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TIME WARNER CABLE INC. 88732J207										
	02/02/15	11/26/10	14	\$1,929 62	\$854 49	\$1,075 13		\$0 00	\$0 00	\$0 00
	02/03/15	11/26/10	2	\$282 31	\$122 07	\$160 24		\$0 00	\$0 00	\$0 00
	02/03/15	11/29/10	43	\$6,069 62	\$2,622 51	\$3,447 11		\$0 00	\$0 00	\$0 00
	02/04/15	10/26/10	8	\$1,167 27	\$459 65	\$707 62		\$0 00	\$0 00	\$0 00
	02/04/15	11/29/10	55	\$8,025 02	\$3,354 37	\$4,670 65		\$0 00	\$0 00	\$0 00
	03/20/15	10/27/10	36	\$5,636 78	\$2,051 67	\$3,585 11		\$0 00	\$0 00	\$0 00
	03/20/15	10/26/10	48	\$7,515 70	\$2,757 93	\$4,757 77		\$0 00	\$0 00	\$0 00
Total Long Term Gain/Loss				\$57,585 51	\$29,975 71	\$27,609 80		\$0 00	\$0 00	\$0 00



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WEALTH MANAGEMENT

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THE ETTINGER FND-BOSTON TR-WALDEN

Income for 2015

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Short Term Transactions

DESCRIPTION		CUSIP		Units		Proceeds		Cost or other basis		Net Gain/Loss		Code		Adjustments		Portion Subject to 988 and Market Discount (Included in Net G/L)		Portion Subject to 28% Rates (Included in Net G/L)	
AMERICAN STS WTR CO		029899101																	
	01/16/15	06/27/14	58			\$2,330.86		\$1,904.49		\$426.37				\$0.00		\$0.00		\$0.00	
CENTENE CORP DEL		15135B101																	
	04/01/15	06/24/14	44			\$3,069.82		\$1,636.60		\$1,433.22				\$0.00		\$0.00		\$0.00	
CITY NATIONAL CORP		178566105																	
	02/04/15	10/28/14	25			\$2,185.20		\$1,891.75		\$293.45				\$0.00		\$0.00		\$0.00	
	02/05/15	10/28/14	4			\$353.13		\$302.68		\$50.45				\$0.00		\$0.00		\$0.00	



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WEALTH MANAGEMENT

THE ETTINGER FND-BOSTON TR-WALDEN

2015 Tax Information

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Short Term Transactions

DESCRIPTION		CUSIP				Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Adjustments	G/L
COMMERCIAL METALS CO 201723103							
04/02/15	10/28/14	29	\$466 49	\$477 05	\$-10 56	\$0 00	\$0 00
FRANKLIN ELEC INC COM 353514102							
02/27/15	10/28/14	50	\$1,770 58	\$1,883 74	\$-113 16	\$0 00	\$0 00
04/07/15	10/28/14	50	\$1,923 96	\$1,883 74	\$40 22	\$0 00	\$0 00
GENESEE & WYO INC 371559105							
02/27/15	10/28/14	21	\$2,088 83	\$1,974 84	\$113 99	\$0 00	\$0 00
HUB GROUP INC 443320106							
02/27/15	06/27/14	58	\$2,247 81	\$2,885 56	\$-637 75	\$0 00	\$0 00
IPG PHOTONICS CORP 44980X109							
04/01/15	01/16/15	14	\$1,284 89	\$1,012 20	\$272 69	\$0 00	\$0 00
MWI VETERINARY SUPPLY INC 55402X105							
02/05/15	01/08/15	5	\$948 68	\$882 67	\$66 01	\$0 00	\$0 00
ONE GAS INC 68235P108							
02/27/15	07/17/14	32	\$1,299 38	\$1,187 26	\$112 12	\$0 00	\$0 00
02/27/15	07/22/14	14	\$568 48	\$527 76	\$40 72	\$0 00	\$0 00
02/27/15	07/24/14	29	\$1,177 57	\$1,108 94	\$68 63	\$0 00	\$0 00
RIVERBED TECHNOLOGY INC 768573107							
01/07/15	10/28/14	29	\$590 76	\$553 23	\$37 53	\$0 00	\$0 00



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Short Term Transactions

DESCRIPTION		CUSIP							Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments			
TANGER FACTORY OUTLET CTRS INC COM										
02/27/15	02/06/15	50	\$1,708 21	\$1,943 35	\$-235 14		\$0 00	\$0 00	\$0 00	
WILLIAMS SONOMA INC COM										
01/16/15	02/18/14	25	\$1,899 45	\$1,357 81	\$541 64		\$0 00	\$0 00	\$0 00	
Total Short Term Gain/Loss			\$25,914 10	\$23,413 67	\$2,500 43		\$0 00	\$0 00	\$0 00	

Long Term Transactions

DESCRIPTION		CUSIP					Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	
AMERICAN STS WTR CO		029899101						
01/16/15	11/02/12	2	\$80 37	\$43 87	\$36 50		\$0 00	\$0 00
01/16/15	03/06/13	58	\$2,330 86	\$1,600 80	\$730 06		\$0 00	\$0 00
APTARGROUP INC		038336103						
02/27/15	03/06/13	19	\$1,179 68	\$1,032 27	\$147 41		\$0 00	\$0 00
BIO-TECHNE CORP		09073M104						
02/27/15	03/06/13	14	\$1,329 24	\$954 52	\$374 72		\$0 00	\$0 00
02/27/15	11/02/12	29	\$2,753 43	\$1,998 68	\$754 75		\$0 00	\$0 00



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Long Term Transactions

DESCRIPTION		CUSIP					Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	G/L
CITY NATIONAL CORP		178566105						
02/05/15	04/09/13	43	\$3,796 18	\$2,466 13	\$1,330 05		\$0 00	\$0 00
COMMERCIAL METALS CO		201723103						
04/02/15	11/02/12	21	\$337 80	\$303 87	\$33 93		\$0 00	\$0 00
04/06/15	11/02/12	75	\$1,207 72	\$1,085 25	\$122 47		\$0 00	\$0 00
HUB GROUP INC		443320106						
02/27/15	03/06/13	17	\$658 84	\$663 17	\$-4 33		\$0 00	\$0 00
ICU MED INC		44930G107						
01/13/15	03/06/13	14	\$1,176 46	\$801 64	\$374 82		\$0 00	\$0 00
01/13/15	11/02/12	26	\$2,184 86	\$1,548 82	\$636 04		\$0 00	\$0 00
LINDSAY MFG CO		535555106						
03/13/15	03/06/13	12	\$963 04	\$1,043 88	\$-80 84		\$0 00	\$0 00
MARKETAXESS HLDGS INC		57060D108						
01/16/15	11/02/12	36	\$2,571 43	\$1,115 23	\$1,456 20		\$0 00	\$0 00
01/16/15	03/06/13	14	\$1,000 00	\$550 32	\$449 68		\$0 00	\$0 00
04/02/15	11/02/12	18	\$1,505 49	\$557 61	\$947 88		\$0 00	\$0 00
POLYPORE INTL INC		73179V103						
03/11/15	04/29/13	14	\$827 53	\$579 32	\$248 21		\$0 00	\$0 00
03/11/15	04/30/13	58	\$3,428 32	\$2,432 30	\$996 02		\$0 00	\$0 00



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Long Term Transactions

DESCRIPTION		CUSIP		Units		Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired											
RIVERBED TECHNOLOGY INC 768573107												
01/07/15	11/02/12	71		\$1,446 33		\$1,353 26		\$93 07		\$0 00	\$0 00	\$0 00
01/08/15	03/12/13	20		\$408 81		\$317 97		\$90 84		\$0 00	\$0 00	\$0 00
01/08/15	11/02/12	30		\$613 22		\$571 80		\$41 42		\$0 00	\$0 00	\$0 00
01/16/15	03/12/13	23		\$471 78		\$365 67		\$106 11		\$0 00	\$0 00	\$0 00
01/16/15	03/06/13	29		\$594 86		\$445 73		\$149 13		\$0 00	\$0 00	\$0 00
01/16/15	03/19/13	115		\$2,358 92		\$1,699 52		\$659 40		\$0 00	\$0 00	\$0 00
VIRTUS INVT PARTNERS INC COM 92828Q109												
02/27/15	08/14/13	3		\$389 28		\$562 73		\$-173 45		\$0 00	\$0 00	\$0 00
02/27/15	10/28/13	6		\$778 56		\$1,062 15		\$-283 59		\$0 00	\$0 00	\$0 00
02/27/15	10/29/13	9		\$1,167 85		\$1,663 07		\$-495 22		\$0 00	\$0 00	\$0 00
WABTEC CORP 929740108												
04/02/15	11/02/12	10		\$950 98		\$409 73		\$541 25		\$0 00	\$0 00	\$0 00
WEST PHARMACEUTICAL SVCS INC 955306105												
02/27/15	12/20/13	14		\$697 06		\$690 31		\$6 75		\$0 00	\$0 00	\$0 00
02/27/15	07/02/13	1		\$49 79		\$35 59		\$14 20		\$0 00	\$0 00	\$0 00
03/02/15	07/02/13	28		\$1,542 97		\$996 38		\$546 59		\$0 00	\$0 00	\$0 00
03/02/15	03/06/13	7		\$385 74		\$213 25		\$172 49		\$0 00	\$0 00	\$0 00



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Long Term Transactions

DESCRIPTION	CUSIP		Units		Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired										
WOLVERINE WORLD WIDE INC											
01/07/15	11/02/12		25		\$707 19	\$537 90	\$169 29		\$0 00	\$0 00	\$0 00
01/08/15	11/02/12		34		\$977 96	\$731 54	\$246 42		\$0 00	\$0 00	\$0 00
<i>Total Long Term Gain/Loss</i>					\$40,872 55	\$30,434 28	\$10,438 27		\$0 00	\$0 00	\$0 00



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Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown, it is shown separately in the section entitled "Transactions with Unknown Cost Basis." Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount." We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TENARIS S A										
	01/02/15	07/30/14	41	\$1,227.73	\$1,836.66	\$-608.93		\$0.00	\$0.00	\$0.00
Total Short Term Gain/Loss				\$1,227.73	\$1,836.66	\$-608.93		\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP		Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABB LTD	000375204											
		25	01/02/15	10/09/09		\$525 80	\$516 65	\$9 15		\$0 00	\$0 00	\$0 00
		313	03/25/15	10/09/09		\$6,773 19	\$6,468 46	\$304 73		\$0 00	\$0 00	\$0 00
		101	03/25/15	07/27/09		\$2,185 60	\$1,777 35	\$408 25		\$0 00	\$0 00	\$0 00
AGRIUM INC	008916108											
		50	01/02/15	10/09/09		\$4,745 40	\$2,590 41	\$2,154 99		\$0 00	\$0 00	\$0 00
		216	03/25/15	12/31/08		\$23,812 26	\$7,470 36	\$16,341 90		\$0 00	\$0 00	\$0 00
		115	03/25/15	07/27/09		\$12,677 82	\$5,080 60	\$7,597 22		\$0 00	\$0 00	\$0 00
		99	03/25/15	10/09/09		\$10,913 95	\$5,129 01	\$5,784 94		\$0 00	\$0 00	\$0 00
AIR PRODUCTS AND CHEMICALS INC COMMO	009158106											
		90	03/25/15	10/20/09		\$13,704 55	\$7,415 11	\$6,289 44		\$0 00	\$0 00	\$0 00
		20	03/25/15	10/21/09		\$3,045 45	\$1,645 60	\$1,399 85		\$0 00	\$0 00	\$0 00
AXA ADR	054536107											
		25	01/02/15	10/09/09		\$572 73	\$682 47	\$-109 74		\$0 00	\$0 00	\$0 00
		14	03/25/15	03/14/11		\$362 73	\$277 76	\$84 97		\$0 00	\$0 00	\$0 00
		14	03/25/15	02/14/11		\$362 73	\$292 18	\$70 55		\$0 00	\$0 00	\$0 00
		65	03/25/15	10/09/09		\$1,684 12	\$1,774 42	\$-90 30		\$0 00	\$0 00	\$0 00
		29	03/25/15	07/27/09		\$751 38	\$587 25	\$164 13		\$0 00	\$0 00	\$0 00



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Long Term Transactions

DESCRIPTION	CUSIP		Units		Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BASF AG	055262505										
03/25/15	10/20/09	221		\$22,338.26	\$13,182.65	\$9,155.61			\$0.00	\$0.00	\$0.00
BAKER HUGHES INC	057224107										
01/02/15	10/09/09	75		\$4,255.61	\$3,349.95	\$905.66			\$0.00	\$0.00	\$0.00
03/25/15	10/09/09	88		\$5,522.59	\$3,930.61	\$1,591.98			\$0.00	\$0.00	\$0.00
03/25/15	07/27/09	58		\$3,639.89	\$2,384.73	\$1,255.16			\$0.00	\$0.00	\$0.00
03/25/15	06/10/09	248		\$15,563.67	\$10,048.56	\$5,515.11			\$0.00	\$0.00	\$0.00
BROOKFIELD ASSET MGMT INC	112585104										
03/25/15	10/09/09	123		\$6,758.66	\$2,816.52	\$3,942.14			\$0.00	\$0.00	\$0.00
03/25/15	07/27/09	29		\$1,593.50	\$548.96	\$1,044.54			\$0.00	\$0.00	\$0.00
CAMERON INTL CORP	13342B105										
01/02/15	03/20/13	25		\$1,253.47	\$1,595.00	\$-341.53			\$0.00	\$0.00	\$0.00
03/25/15	03/20/13	307		\$13,539.31	\$19,586.60	\$-6,047.29			\$0.00	\$0.00	\$0.00
CANADIAN NATL RY CO	136375102										
01/02/15	10/09/09	75		\$5,100.15	\$1,930.44	\$3,169.71			\$0.00	\$0.00	\$0.00
03/25/15	07/27/09	115		\$7,884.67	\$2,620.26	\$5,264.41			\$0.00	\$0.00	\$0.00
03/25/15	10/09/09	426		\$29,207.55	\$10,964.92	\$18,242.63			\$0.00	\$0.00	\$0.00
03/25/15	11/14/07	86		\$5,896.36	\$2,195.59	\$3,700.77			\$0.00	\$0.00	\$0.00



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DESCRIPTION		CUSIP					Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	
MANULIFE FINL CORP 56501R106								
03/25/15	10/09/09	198	\$3,472.85	\$4,282.44	\$-809.59		\$0.00	\$0.00
03/25/15	03/14/11	29	\$508.65	\$497.89	\$10.76		\$0.00	\$0.00
03/25/15	02/14/11	14	\$245.56	\$257.18	\$-11.62		\$0.00	\$0.00
POTASH CORP SASK INC 73755L107								
01/02/15	10/09/09	75	\$2,647.65	\$2,266.93	\$380.72		\$0.00	\$0.00
03/25/15	12/31/08	52	\$1,707.16	\$1,284.53	\$422.63		\$0.00	\$0.00
03/25/15	10/09/09	661	\$21,700.62	\$19,979.24	\$1,721.38		\$0.00	\$0.00
PRAXAIR INC 74005P104								
03/25/15	10/20/09	134	\$16,315.53	\$11,156.75	\$5,158.78		\$0.00	\$0.00
TENARIS S A 88031M109								
01/02/15	03/14/11	9	\$269.50	\$402.29	\$-132.79		\$0.00	\$0.00
03/25/15	10/09/09	346	\$9,951.88	\$12,987.97	\$-3,036.09		\$0.00	\$0.00
03/25/15	07/23/13	130	\$3,739.15	\$5,770.21	\$-2,031.06		\$0.00	\$0.00
03/25/15	03/14/11	34	\$977.93	\$1,519.75	\$-541.82		\$0.00	\$0.00
03/25/15	12/28/12	14	\$402.68	\$576.78	\$-174.10		\$0.00	\$0.00
03/25/15	07/27/09	101	\$2,905.03	\$2,955.87	\$-50.84		\$0.00	\$0.00
03/25/15	10/21/09	9	\$258.86	\$361.98	\$-103.12		\$0.00	\$0.00



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DESCRIPTION		CUSIP				Portion Subject to 988 and Market Discount (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments		
UNILEVER NV NY SHARE F NEW 904784709									
01/02/15	11/14/07	75	\$2,896 44	\$2,635 80	\$260 64		\$0 00	\$0 00	\$0 00
03/25/15	03/14/11	58	\$2,507 87	\$1,751 01	\$756 86		\$0 00	\$0 00	\$0 00
03/25/15	02/14/11	58	\$2,507 87	\$1,701 13	\$806 74		\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	403	\$17,425 39	\$11,883 87	\$5,541 52		\$0 00	\$0 00	\$0 00
03/25/15	07/27/09	101	\$4,367 16	\$2,674 45	\$1,692 71		\$0 00	\$0 00	\$0 00
03/25/15	11/14/07	9	\$389 15	\$316 30	\$72 85		\$0 00	\$0 00	\$0 00
VARA INTL ADR 984851204									
01/02/15	10/09/09	17	\$753 93	\$557 60	\$196 33		\$0 00	\$0 00	\$0 00
01/02/15	11/14/07	8	\$354 79	\$279 03	\$75 76		\$0 00	\$0 00	\$0 00
03/25/15	07/27/09	29	\$1,529 72	\$907 70	\$622 02		\$0 00	\$0 00	\$0 00
03/25/15	12/31/08	20	\$1,054 98	\$435 00	\$619 98		\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	127	\$6,699 12	\$4,165 60	\$2,533 52		\$0 00	\$0 00	\$0 00
ALLEGION PLC G0176J109									
03/25/15	03/14/11	9 67	\$585 89	\$267 30	\$318 59		\$0 00	\$0 00	\$0 00
03/25/15	02/14/11	4	\$242 44	\$114 53	\$127 91		\$0 00	\$0 00	\$0 00
03/25/15	10/21/09	3	\$181 83	\$63 82	\$118 01		\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	67 67	\$4,101 24	\$1,319 36	\$2,781 88		\$0 00	\$0 00	\$0 00
03/25/15	07/27/09	23 67	\$1,434 43	\$391 19	\$1,043 24		\$0 00	\$0 00	\$0 00



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DESCRIPTION		CUSIP							Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments			
EATON CORP PLC										
G29183103										
01/02/15	11/30/12	25	\$1,683 41	\$1,273 45	\$409 96		\$0 00	\$0 00	\$0 00	
03/25/15	11/30/12	297	\$20,214 42	\$14,965 28 *	\$5,249 14		\$0 00	\$0 00	\$0 00	
ENSCO PLC										
G3157S106										
01/02/15	04/29/11	25	\$761 02	\$1,488 63	\$-727 61		\$0 00	\$0 00	\$0 00	
03/25/15	04/29/11	321	\$6,816 62	\$19,114 01	\$-12,297 39		\$0 00	\$0 00	\$0 00	
03/25/15	12/28/12	14	\$297 30	\$808 36	\$-511 06		\$0 00	\$0 00	\$0 00	
INGERSOLL-RAND PLC										
G47791101										
01/02/15	02/14/11	26	\$1,631 20	\$973 59	\$657 61		\$0 00	\$0 00	\$0 00	
01/02/15	03/14/11	24	\$1,505 73	\$867 91	\$637 82		\$0 00	\$0 00	\$0 00	
03/25/15	10/09/09	202	\$13,712 22	\$5,150 84	\$8,561 38		\$0 00	\$0 00	\$0 00	
03/25/15	03/14/11	5	\$339 41	\$180 81	\$158 60		\$0 00	\$0 00	\$0 00	
03/25/15	10/21/09	9	\$610 94	\$250 37	\$360 57		\$0 00	\$0 00	\$0 00	
03/25/15	07/27/09	72	\$4,887 52	\$1,556 38	\$3,331 14		\$0 00	\$0 00	\$0 00	
WEATHERFORD INTERNATIONAL PL										
G48833100										
03/25/15	10/09/09	3	\$36 58	\$57 86	\$-21 28		\$0 00	\$0 00	\$0 00	
03/25/15	07/27/09	202	\$2,463 28	\$3,747 04	\$-1,283 76		\$0 00	\$0 00	\$0 00	
03/25/15	06/24/11	1094	\$13,340 75	\$19,385 35	\$-6,044 60		\$0 00	\$0 00	\$0 00	
03/25/15	07/23/13	259	\$3,158 37	\$3,711 26	\$-552 89		\$0 00	\$0 00	\$0 00	



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Long Term Transactions

DESCRIPTION		CUSIP				Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments
WEATHERFORD INTERNATIONAL PL G48833100							
03/25/15	10/02/13	29	\$353 64	\$459 34	\$-105 70		\$0 00
NABORS INDUSTRIES LTD COM G6359F103							
03/25/15	10/09/09	827	\$11,072 17	\$17,655 21	\$-6,583 04		\$0 00
03/25/15	07/27/09	216	\$2,891 88	\$3,788 58	\$-896 70		\$0 00
NOBLE CORP PLC G65431101							
01/02/15	10/09/09	13	\$220 86	\$457 74	\$-236 88		\$0 00
01/02/15	10/09/09	8	\$135 92	\$281 69	\$-145 77		\$0 00
01/02/15	10/09/09	29	\$492 70	\$1,021 13	\$-528 43		\$0 00
03/25/15	12/28/12	14	\$199 67	\$417 84	\$-218 17		\$0 00
03/25/15	02/14/11	43	\$613 26	\$1,501 30	\$-888 04		\$0 00
03/25/15	10/09/09	275	\$3,922 00	\$9,682 95	\$-5,760 95		\$0 00
03/25/15	07/27/09	115	\$1,640 11	\$3,409 14	\$-1,769 03		\$0 00
03/25/15	10/09/09	58	\$827 19	\$2,041 76	\$-1,214 57		\$0 00
PARTNERRE LTD BERMUDA G6852T105							
03/25/15	07/27/09	29	\$3,238 49	\$1,948 13	\$1,290 36		\$0 00
03/25/15	10/09/09	82	\$9,157 10	\$6,494 28	\$2,662 82		\$0 00
03/25/15	03/14/11	14	\$1,563 41	\$1,061 48	\$501 93		\$0 00

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Long Term Transactions

DESCRIPTION	CUSIP						Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss		
PARAGON OFFSHORE PLC								
G6S01W108								
03/25/15	10/09/09	8	\$10 80	\$120 69	\$-109 89	\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	19 33	\$26 10	\$291 58	\$-265 48	\$0 00	\$0 00	\$0 00
03/25/15	12/28/12	4 33	\$5 85	\$55 41	\$-49 56	\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	95 67	\$129 14	\$1,443 14	\$-1,314 00	\$0 00	\$0 00	\$0 00
03/25/15	07/27/09	38 67	\$52 20	\$491 09	\$-438 89	\$0 00	\$0 00	\$0 00
03/25/15	02/14/11	14	\$18 90	\$209 41	\$-190 51	\$0 00	\$0 00	\$0 00
UBS GROUP AG								
H42097107								
03/25/15	10/09/09	128	\$2,452 57	\$2,244 93	\$207 64	\$0 00	\$0 00	\$0 00
CNH INDUSTRIAL NV								
N20944109								
01/02/15	07/27/09	75	\$589 53	\$340 90	\$248 63	\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	220 8	\$1,832 60	\$985 18	\$847 42	\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	385 9	\$3,202 91	\$1,723 85	\$1,479 06	\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	220 8	\$1,832 60	\$984 60	\$848 00	\$0 00	\$0 00	\$0 00
03/25/15	07/27/09	23 5	\$195 05	\$105 82	\$88 23	\$0 00	\$0 00	\$0 00
CORE LABORATORIES N V								
N22717107								
01/02/15	10/09/09	25	\$2,991 68	\$1,310 52	\$1,681 16	\$0 00	\$0 00	\$0 00
03/25/15	10/09/09	112	\$11,495 67	\$5,871 15	\$5,624 52	\$0 00	\$0 00	\$0 00



BNY MELLON
WEALTH MANAGEMENT

ETTINGER FD-WENTWORTH HAUSER VIOLICH

2015 Tax Information

Account Number 70

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Long Term Transactions

DESCRIPTION		CUSIP		Units		Date acquired	Date sold or disposed	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CORE LABORATORIES N V		N22717107												
03/25/15		58				07/27/09		\$5,953 11	\$2,543 88	\$3,409 23		\$0 00	\$0 00	\$0 00
Total Long Term Gain/Loss								\$548,296 73	\$395,390 81	\$152,905 92		\$0 00	\$0 00	\$0 00

Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2015 To: 12/31/2015

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable				
1	American Academy in Rome (357)	Contribution- PC, Rome Sustainable Food Project	09/22/2015	15,000 00
2	American Jewish World Service (AJWS) 45 West 36th Street New York, NY, 10018	Contribution, PC - In honor of Barbara and Eric Dobkin	11/20/2015	2,700 00
3	Berkeley-Carroll Street School (BCSS) 181 Lincoln Place Brooklyn, NY, 11217	Contribution-PC, General Purpose	12/22/2015	3,500 00
4	Beyond Type 1 (BYNDTYP1) 545 Middlefield Rd Suite 220 Menlo Park, CA, 94025	Contribution- PC, General Purpose	12/17/2015	200 00
5	Boscobel Restoration, Inc (BOSCOBEL) 1601 Route 9D Garrison, NY, 10524	Contribution- PC, General Operating Funds	12/17/2015	2,500 00
6	Center for International Policy (CENTE) 1717 Massachusetts Avenue NW Suite 801 Washington, DC, 20036	Contribution	04/16/2015	5,000 00
		Contribution, PC - Bill Hartung's Project	11/20/2015	1,600 00
		Center for International Policy (CENTE) Sub Total		6,600 00
7	Chewonki Foundation, Inc (CHEWONKI) 485 Chewonki Neck Rd Wiscasset, ME, 04578	Contribution- PC, General Purpose	12/22/2015	2,500 00
8	Citizen Engagement Lab Education Fund (CTZENG) 2150 Allston Way, Suite 360 Berkeley, CA, 94704	Contribution-PC, Climate Parents	05/21/2015	5,000 00
9	Climate Central, Inc (CLIMATEC) 1 Palmer Square Suite 330 Princeton, NJ, 08542	Contribution- PC, General Purpose	12/17/2015	10,000 00
10	Columbia-Greene Hospital Foundation (2259)	Contribution-PC General Purpose	04/30/2015	2,000 00
		Contribution-PC Heart Hero Campaign	06/12/2015	5,000 00
		Columbia-Greene Hospital Foundation (2259) Sub Total		7,000 00

Run Date 10/17/2016 4 05 PM

Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2015 To: 12/31/2015

Item No	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
11	Cushing Academy (CRSHACAD) 39 School St Ashburnham, MA, 01430	Contribution	03/26/2015	2,000 00
12	Denison University (DENISON) Box 713 Granville, OH, 43023	Contribution	04/02/2015	10,000 00
13	Duke University (DKETBRNC) Tisch Brain Tumor Center at Duke DUMC 3624 Durham, NC, 27710	Preston Robert Tisch Brain Tumor Center	12/22/2015	10,000 00
14	Edible Schoolyard New York (EDIBLE) 55 Washington Street, Suite 257 Brooklyn, NY, 1121	Contribution	03/26/2015	5,000 00
15	Enormous Changes, Inc (ENRMSCHG) 1520 Lyon St San Francisco, CA, 94115	Contribution	04/16/2015	4,800 00
16	Fountain Valley School of Colorado (FVSCHCO) 6155 Fountain Valley School Rd Colorado Springs, CO, 80911	Contribution, PC- Annual Fund	06/18/2015	2,500 00
		Contribution, PC- Capital Campaign	06/18/2015	10,000 00
		Fountain Valley School of Colorado (FVSCHCO) Sub Total		12,500 00
17	Friends of the Environment Inc (FRNDENVR) 6401 Lyons Rd Coconut Creek, FL, 33073	Contribution	03/26/2015	5,000 00
18	Garrison Institute (GARRISIN) Office of Development P O Box 532 Garrison, NY, 10524	Contribution-PC, General Purpose	05/15/2015	10,000 00
		Contribution-PC, Transformative Trauma Initiative	11/03/2015	12,500 00
		Garrison Institute (GARRISIN) Sub Total		22,500 00
19	GrowNYC (GROWNVC) 51 Chambers Street, Room 228 New York, NY, 10007	Contribution-PC, General Purpose	12/22/2015	2,500 00

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Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

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From: 01/01/2015 To: 12/31/2015

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
20	Harmony Institute, Inc (HRMNYINS) 54 W 21st Street Suite 309/310 New York, NY, 10010	Contribution- PC, General Purpose	12/21/2015	10,000 00
21	Healthier Kids Foundation Santa Clara County (HLTHKFSC) 4010 Moorpark Ave , Suite 118 San Jose, CA, 95117	Contribution- PC, To support the Vision First program	12/04/2015	10,000 00
22	Historicana (HISTORIC) 1200 Edgehill Drive Burlingame, CA, 94010	Contribution-PC, General Purpose	05/11/2015	3,000 00
23	Hobart and William Smith Colleges (HOBERT) Coxe Hall Geneva,, NY, 14456	Contribution-PC,Initiative to Stop Sexual Violence on Campus	12/22/2015	3,000 00
24	Homes for our Troops (HOME FOR) 37 Main Street Taunton, MA, 02780	Contribution- PC, General Purpose	12/09/2015	10,000 00
25	Hudson Highlands Nature Museum (HHGHNATR) P O Box 451 120 Muser Dr Cromwell, NY, 12518	Contribution, PC- General Operations	10/21/2015	5,000 00
26	Hudson Valley Shakespeare Festival (HUDSONV) 140 Main St Cold Spring, NY, 10516	Contribution PC -General Operating Funds	04/02/2015 12/17/2015	7,500 00 10,400 00
		Hudson Valley Shakespeare Festival (HUDSONV) Sub Total		17,900 00
27	Indian River Land Trust, Inc (IDNRVRLD) 80 Royal Palm Pointe Suite 301 Vero Beach, FL, 32960	Contribution- PC, General Purpose	12/17/2015	7,400 00
28	Jazz Foundation of America (JAZZ FOU) 322 West 48th Street, 6th Floor New York, NY, 10036	Contribution-PC, General Purpose	12/22/2015	2,000 00
29	Kent School Corporation (KENTSCHC) PO Box 2006 Kent, CT, 06757	Contribution-PC, General Purpose	12/22/2015	2,000 00
30	Lincoln Center for the Performing Arts, Inc (5907)	Contribution- PC, General Purpose	08/21/2015	5,000 00

Run Date 10/17/2016 4 05 PM

Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

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From: 01/01/2015 To: 12/31/2015

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
31	Loaves and Fishes, Inc (LOAVEFIS) P O Box 318 Sagaponack, NY, 11962	Contribution- PC, General Purpose	12/04/2015	10,000 00
32	Lost Light Projects, Inc (LLGHTPRJ) 16 Court Street Suite 2307 Brooklyn, NY, 11241	Contribution- PC, InsideClimate News	12/22/2015	10,000 00
33	Love Your Brain Foundation Inc (LVEBRAIN) 109 Park Rd Windsor, VT, 05089	Contribution	03/26/2015	10,000 00
34	Mardy Fish Children's Foundation (MARDYFIS) PO Box 648158 Vero Beach, FL, 32964	Contribution	04/01/2015	10,000 00
35	Media Matters For America (MDAMATRS) 455 Massachusetts Ave NW Floor 6 Washington, DC, 20001	Contribution	03/26/2015	10,000 00
36	National Audubon Society (6864) 700 Broadway New York, NY, 10003-9562	PC - Constitution Marsh Audubon Ctr and Sanctuary- Gen Op Fd	12/17/2015	5,000 00
37	National Council for Research on Women (6905)	Contribution	03/26/2015	10,000 00
38	National Dance Institute of New Mexico Inc (NATION M) 1140 Alto Street Santa Fe, NM, 87501	Contribution- PC, General Purpose	08/21/2015	10,000 00
39	National Park Foundation (6956) 1101 17th Street, NW Suite 1102 Washington, DC, 20036-4704	Contribution- PC, General Purpose	12/17/2015	5,000 00
40	Nature Conservancy, Inc (9972) 1815 N Lynn Street Arlington, VA, 22209	Contribution- PC, Carbon Tax Work	12/17/2015	5,000 00
41	NEO Philanthropy (NEOPHILA) 45 West 36th Street, 6th Floor New York, NY, 10018	Contribution- PC, Foundation Membership	09/22/2015	1,000 00
42	New Venture Fund (NEWVEN) 1201 Connecticut Ave Suite 300 Washington, DC, 20036	Contribution, PC- Democracy Alliance Pooled Fund	10/21/2015	20,000 00

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Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

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From: 01/01/2015 To: 12/31/2015

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
42	New Venture Fund (NEWVEN) (cont'd)			
		Contribution, PC- Fair Elections Legal Network	10/21/2015	5,000 00
		New Venture Fund (NEWVEN) Sub Total		25,000 00
43	New York Foundation for the Arts, Inc (NYFA) 155 Avenue of the Americas, 14th Floor New York, NY, 10013			
		Contribution, PC - Dying in America Project	11/20/2015	1,000 00
44	Oceana (OCEANA) Attn: Membership 1350 Connecticut Ave NW 5th Floor Washington, DC, 20036-1722			
		Contribution-PC, General Purpose	10/21/2015	10,000 00
		Contribution- PC, General Purpose	12/21/2015	5,000 00
		Oceana (OCEANA) Sub Total		15,000 00
45	Our Family Coalition (OURFMCOL) 1385 Mission St., Ste 340 San Francisco, CA, 94103			
		Contribution	03/26/2015	5,000 00
46	Palo Alto Medical Foundation (PALO ALT) P O Box 3757 Sunnyvale, CA, 94086-3757			
		Contribution- PC, To support Liver Disease and Hepatology	12/04/2015	10,000 00
47	Re Gender (RE GENDR) 11 Hanover Square, Floor 24 New York, NY, 10005			
		Contribution-PC, General Purpose	12/22/2015	2,000 00
48	Refugee International (RFGINTER) 2001 S Street NW, Suite 700 Washington, DC, 20009			
		Contribution- PC, Work Disseminating Info in the US	12/23/2015	1,000 00
49	RefugePoint (RFGPOINT) 689 Massachusetts Avenue 2nd Floor Cambridge, MA, 02139			
		Contribution- PC, General Purpose	12/21/2015	10,000 00
50	Rockefeller University (C9940) 1230 York Avenue New York, NY, 10021			
		Contribution, PC - Parents and Science Initiative	11/20/2015	5,000 00
		Contribution- PC, Woman & Science Initiative	12/23/2015	4,200 00
		Rockefeller University (C9940) Sub Total		9,200 00

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Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

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From: 01/01/2015 To: 12/31/2015

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
51	Sailors for the Sea (SAILORS) 18 Market Street Newport, RI, 02840	Contribution- PC, General Purpose	12/21/2015	3,000 00
52	San Francisco Friends School (SFRNDSCH) 250 Valencia Street San Francisco, CA, 94103	Contribution	03/26/2015	10,000 00
53	San Francisco LGBT Community Center (SFLGBTCC) 1800 Market St San Francisco, CA, 94102	Contribution, PC- General Purpose	10/21/2015	3,700 00
54	San Jose Police Foundation (SJPOLICE) 101 West Santa Clara Street San Jose, CA, 95113	Contribution- PC, General Purpose	12/04/2015	11,900 00
55	Sarah Lawrence College (8731) 1 Mead Way Bronxville, NY, 10708-5999	Contribution, PC- Ray Seidelman Fund	10/21/2015	3,000 00
56	State Innovation Exchange (STINNVEX) 1920 N Cameron Street Arlington, VA, 22207	Contribution- PC, General Purpose	08/21/2015	5,000 00
57	Stone Soup Films (STNSOUP) 1921 Sunderland Place NW Washington, DC, 20036	Contribution- PC, General Purpose	12/21/2015	5,000 00
58	Storm King Art Center (9305) Mountainville, NY, 10953-0037	Contribution- PC, General Purpose	09/22/2015	6,000 00
		Contribution- PC, General Operating Funds	12/17/2015	6,000 00
		Storm King Art Center (9305) Sub Total		12,000 00
59	Taxpayers for Common Sense (9473) 651 Pennsylvania Ave, SE Second floor Washington, DC, 20003	Contribution- PC, General Purpose	08/21/2015	3,000 00
60	The Agenda Project, Inc (AGNDAPRJ) 1010 Wisconsin Ave, Nw 7105B Washington, DC, 20007	Contribution	03/26/2015	10,000 00
61	The Center for Reproductive Rights (TCRR) 120 Wall St New York, NY, 10005	Contribution-PC, General Purpose	12/22/2015	1,000 00

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Rockefeller Trust Company, N.A.

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Charitable Contributions

Ettinger Foundation

Tax ID: 06-6038938

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From: 01/01/2015 To: 12/31/2015

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
62	The Fund for Constitutional Government (FNDENSGO) 122 Maryland Avenue NE Washington, DC, 20002	Contribution, PC-2015 Peace and Security Funders Group Dues	07/07/2015	1,000 00
63	The Pathway Home (PTHWHME) P O Box 3930 Yountville, CA, 94599	Contribution, PC General Purpose	06/18/2015	1,000 00
64	The Peace Education Fund (PCEEDFND) 2201 Broadway Ste 321 Oakland, CA, 94612	Contribution- PC, Work to Prevent War with Iran	07/14/2015	5,000 00
65	The Prentice School (PRNTCSCH) 18341 Lassen Drive North Tustin, CA, 92705	Contribution, PC General Purpose	06/18/2015	1,000 00
		Contribution, PC General Purpose	10/21/2015	5,000 00
		The Prentice School (PRNTCSCH) Sub Total		6,000 00
66	Theatre Development Fund, Inc (THEATDEV) 520 8th Ave New York, NY, 10018	Contribution	04/02/2015	7,500 00
67	Tides Center (10139) San Francisco, CA, 94129	Contribution, PC- Voices For Progress Ed Fund	06/18/2015	2,500 00
		Contribution, PC- Chicken and Egg Pictures General Support	10/21/2015	5,000 00
		Contribution, PC- Chicken and Egg Pictures General Support	10/21/2015	6,000 00
		Tides Center (10139) Sub Total		13,500 00
68	Whittier College (WHTRCOLL) Office of Advancement 13406 E Philadelphia Street Whittier, CA, 90608-0634	Contribution, PC General Purpose	06/18/2015	1,000 00
69	Windsor Charitable Foundation (WINCHRTF) C/O Bankers Trust-Trust Dept PO Box Des Moines, IA, 50304	PC - Charity Polo Cup to benefit Alzheimer's Charities	12/02/2015	5,000 00
70	Workingfilms, Inc (WRKNGFLM) 624 1/2 South 7th St Wilmington, NC, 28401	PC, For Shore Stories Initiatives/Offshore Drilling	12/22/2015	7,000 00

Run Date 10/17/2016 4 05 PM

Rockefeller Trust Company, N.A.
Charitable Contributions Summary Report
Ettinger Foundation
Tax ID: 06-6038938

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(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2015 To: 12/31/2015

50% Charitable (cont'd)

71 Youthprise (YTHPRISE)
 615 1st Ave Ne
 Minneapolis, MN, 55413

Contribution- PC, Nexus Youth Leadership	12/23/2015	5,000 00
50% Charitable Sub Total:		488,400 00
Grand Total		488,400.00

2014 Balance Sheet
The Ettinger Foundation, Inc.

Cash	Cash	403,401 86	403,401 86
	Cash HVB	1,184 34	1,184 34
Cash Total		404,586 20	404,586 20
Corporate Stock	ABB Ltd - Spon ADR	8,762 46	9,284 85
Corporate Stock	Accenture Plc	36,971 29	56,711 85
Corporate Stock	ACI Worldwide, Inc	18,881 80	25,595 73
Corporate Stock	Aegon N V - American Reg Shs	12,951 67	14,602 50
Corporate Stock	AGL Resources Inc	5,190 90	7,086 30
Corporate Stock	Agrium Inc	20,270 38	45,465 60
Corporate Stock	Air Products and Chemicals, In	9,060 71	15,865 30
Corporate Stock	Albemarle Corporation	17,487 35	17,858 61
Corporate Stock	Alibaba Group Holding Ltd	18,303 10	17,669 80
Corporate Stock	Allegion PLC	2,156 20	5,989 68
Corporate Stock	Amazon Com Inc	13,689 87	50,897 40
Corporate Stock	America Movil S A de C V - A	7,261 12	8,051 34
Corporate Stock	American Airlines Group Inc	67,535 75	91,010 11
Corporate Stock	American Express Co	12,404 68	41,588 88
Corporate Stock	American States Water Company	7,936 16	11,975 88
Corporate Stock	Ameriprise Financial, Inc	39,101 76	39,542 75
Corporate Stock	Anika Therapeutics Inc	5,707 49	5,703 60
Corporate Stock	Anthem Inc	25,219 97	69,998 19
Corporate Stock	Aon PLC	38,748 62	44,380 44
Corporate Stock	Apple Inc	69,524 76	148,681 86
Corporate Stock	AptarGroup, Inc	8,066 82	10,627 56
Corporate Stock	Artisan Partners Asset Mgmt	7,250 46	6,669 96
Corporate Stock	Atmel Corporation	14,502 92	13,045 83
Corporate Stock	AXA SA - ADR	3,614 08	3,416 15
Corporate Stock	Baker Hughes Incorporated	19,713 85	26,296 83
Corporate Stock	Banco Santander Brasil- ADR	9,357 83	9,136 40
Corporate Stock	Bank of America Corporation	22,118 01	25,833 16
Corporate Stock	Bank of Hawaii Corporation	6,396 48	8,540 64
Corporate Stock	Barclays PLC-Spons ADR	14,032 74	14,814 87
Corporate Stock	BASF SE - Spon ADR	13,182 65	18,687 43
Corporate Stock	Belden CDT, Inc	5,365 47	9,063 15
Corporate Stock	Berkshire Hathaway Inc - Cl B	67,527 83	121,171 05
Corporate Stock	Best Buy Co , Inc	45,842 35	94,643 44
Corporate Stock	BGC Partners Inc CL-A	6,097 97	10,010 10
Corporate Stock	BioMed Realty Trust, Inc	4,412 33	4,674 18
Corporate Stock	Bio-Reference Laboratories Inc	7,008 81	7,325 64
Corporate Stock	Bio-Techne Corporation	2,953 20	3,973 20
Corporate Stock	Blackbaud	2,244 22	4,369 26
Corporate Stock	Boingo Wireless, Inc	5,141 12	4,855 11
Corporate Stock	BOK Financial Corporation	4,042 02	4,202 80
Corporate Stock	Bonanza Creek Energy Inc	7,192 15	8,304 00
Corporate Stock	BorgWarner, Inc	22,016 59	50,004 50

2014 Balance Sheet

The Ettinger Foundation, Inc.

Corporate Stock	Bright Horizons Family Solut	6,774 92	8,790 87
Corporate Stock	Bristol Myers Squibb Co	36,908 45	44,095 41
Corporate Stock	Brookfield Asset Management	3,365 48	7,619 76
Corporate Stock	Brown & Brown Inc	3,629 98	3,784 65
Corporate Stock	Bruker Biosciences Corporation	4,703 45	6,788 52
Corporate Stock	Calavo Growers, Inc	3,793 06	8,182 90
Corporate Stock	Calgon Carbon Corporation	6,192 37	8,665 26
Corporate Stock	California Resources Corp	3,433 68	2,859 69
Corporate Stock	Cameron International Corporat	21,181 60	16,583 40
Corporate Stock	Canadian National Railway Co	30,965 09	147,536 31
Corporate Stock	Canadian Pacific Railway Ltd	22,572 16	83,049 39
Corporate Stock	Canon Inc - Sponsored ADR	20,752 78	21,338 84
Corporate Stock	Cardinal Financial Corporation	8,583 58	10,271 94
Corporate Stock	CarMax, Inc	17,282 04	61,386 76
Corporate Stock	Carrefour SA - SP ADR	15,125 84	19,343 46
Corporate Stock	CB Richard Ellis Group, Inc	47,870 56	66,239 50
Corporate Stock	CBIZ, Inc	17,193 99	20,698 08
Corporate Stock	Celgene Corp	26,852 72	63,200 90
Corporate Stock	Cemex S A de C V Spons ADR	9,751 02	10,465 13
Corporate Stock	Centene Corporation	5,063 12	7,477 20
Corporate Stock	Chart Industries, Inc	5,484 05	3,420 00
Corporate Stock	Charter Financial Corporation	9,846 40	9,892 80
Corporate Stock	Cheesecake Factory Inc	7,182 47	7,948 98
Corporate Stock	China Mobile (Hong Kong) Ltd	10,945 32	12,881 58
Corporate Stock	Choice Hotels International, I	3,916 17	4,033 44
Corporate Stock	Cia Saneamento Basico - ADR	13,578 86	10,862 83
Corporate Stock	Cinemark Holdings Inc	7,435 48	10,247 04
Corporate Stock	City National Corporation	4,660 56	5,818 32
Corporate Stock	Clarcor Inc	5,058 90	7,330 40
Corporate Stock	CNH Industrial NV	4,141 35	7,463 56
Corporate Stock	Cohen & Steers, Inc	3,174 37	4,839 20
Corporate Stock	Coherent, Inc	2,633 74	3,461 04
Corporate Stock	Columbia Banking System, Inc	13,313 09	16,676 44
Corporate Stock	Commerce Bancshares, Inc	5,154 95	6,740 95
Corporate Stock	Commercial Metals Company	4,846 99	5,391 99
Corporate Stock	Commvault Systems, Inc	5,795 35	4,445 34
Corporate Stock	Convergys Corp	15,215 50	23,506 98
Corporate Stock	Copa Holdings SA CI A	58,641 05	45,808 88
Corporate Stock	Core Laboratories N V	9,725 55	23,466 30
Corporate Stock	Coresite Realty Corporation	3,014 58	4,490 75
Corporate Stock	Crown Castle Intl Corp	18,169 15	33,211 40
Corporate Stock	Cullen/Frost Bankers, Inc	2,820 50	3,532 00
Corporate Stock	Cyberonics, Inc	3,124 79	2,394 24
Corporate Stock	Dai Nippon Printing Co - ADR	12,270 15	13,200 57
Corporate Stock	Daichi Sankyo Company Ltd	22,929 53	19,541 65
Corporate Stock	Danone S A ADR	5,958 29	6,733 70
Corporate Stock	Del Frisco's Restaurant Group	8,837 36	9,590 96

2014 Balance Sheet

The Ettinger Foundation, Inc.

Corporate Stock	DENTSPLY Intl Inc	6,751 14	8,416 66
Corporate Stock	Diageo Plc - sponsored ADR	14,991 94	27,495 69
Corporate Stock	Digital Realty Trust, Inc	6,947 14	8,619 00
Corporate Stock	Donaldson Company, Inc	9,364 25	10,545 99
Corporate Stock	Dorman Products, Inc	5,301 35	6,950 88
Corporate Stock	DSW Inc - Class A	6,842 44	7,571 90
Corporate Stock	East West Bancorp, Inc	6,209 28	11,148 48
Corporate Stock	Eaton Corporation PLC	16,402 08	21,883 12
Corporate Stock	Eaton Vance Corp	4,939 10	6,466 94
Corporate Stock	eBay Inc	52,276 88	57,354 64
Corporate Stock	Edwards Lifesciences Corp	29,251 52	55,028 16
Corporate Stock	Embraer SA- ADR	15,400 42	16,513 28
Corporate Stock	Encore Capital Group, Inc	9,701 85	8,880 00
Corporate Stock	Ensco PLC - Class A	21,411 00	10,782 00
Corporate Stock	Ensign Energy Services Inc	8,071 73	4,211 52
Corporate Stock	EPR Properties	6,091 86	10,949 70
Corporate Stock	Ericsson (LM) Tel - Sp ADR	10,618 40	12,535 60
Corporate Stock	Erste Group Bank AG - ADR	16,247 62	15,478 14
Corporate Stock	Express Scripts Holding, Inc	66,628 95	102,620 04
Corporate Stock	F5 Networks, Inc	4,354 81	7,175 58
Corporate Stock	Facebook Inc	32,971 97	102,674 32
Corporate Stock	FactSet Research Systems, Inc	5,305 43	8,022 75
Corporate Stock	Finning International Inc	1,181 74	937 40
Corporate Stock	First Pacific Company Ltd- ADR	14,767 76	14,021 79
Corporate Stock	Flextronics International Ltd	6,868 65	10,565 10
Corporate Stock	Flowers Foods, Inc	7,082 85	7,196 25
Corporate Stock	Franklin Electric Co , Inc	10,293 22	11,259 00
Corporate Stock	Franklin Resources, Inc	22,631 14	41,859 72
Corporate Stock	Genesee & Wyoming Inc - CI A	19,946 34	20,771 52
Corporate Stock	Gentherm Inc	10,994 97	10,986 00
Corporate Stock	GlaxoSmithKline plc-ADR	32,215 38	27,738 26
Corporate Stock	Globus Medical Inc-A	11,417 99	17,803 73
Corporate Stock	Golub Capital BDC LLC	10,876 18	10,327 68
Corporate Stock	Google Inc - Class C	49,068 29	98,963 20
Corporate Stock	Google Inc - Class A	48,970 18	99,764 08
Corporate Stock	Grainger W W Inc	20,792 08	35,429 71
Corporate Stock	H Lundbeck A/S ADR	15,647 56	14,567 37
Corporate Stock	Haemonetics Corporation / MASS	4,736 49	4,303 30
Corporate Stock	HCC Insurance Holdings Inc	3,101 37	3,853 44
Corporate Stock	Hercules Tech Growth Capital	7,065 47	11,145 12
Corporate Stock	Hersha Hospitality Trust	15,612 43	18,221 76
Corporate Stock	Honda Motor Co , Ltd	21,536 70	19,542 24
Corporate Stock	Howard Hughes Corporation	15,996 19	26,344 84
Corporate Stock	HSBC Holdings plc - Spons ADR	15,369 04	14,121 77
Corporate Stock	Hub Group, Inc - Class A	10,720 85	10,967 04
Corporate Stock	Hubbell Incorporated - CL B	71,638 14	73,499 04
Corporate Stock	IAC/ InterActiveCorp	8,531 49	17,507 52

2014 Balance Sheet

The Ettinger Foundation, Inc.

Corporate Stock	ICU Medical Inc	2,350 46	3,276 00
Corporate Stock	IDEX Corporation	3,233 10	5,604 48
Corporate Stock	Ingersoll-Rand Plc	8,979 90	21,425 82
Corporate Stock	InterDigital, Inc	15,962 91	26,132 60
Corporate Stock	International Flavors & Fragra	7,859 82	10,136 00
Corporate Stock	Interval Leisure Group, Inc	3,409 21	3,279 73
Corporate Stock	Intesa Sanpaolo- Spon ADR	13,073 87	15,685 37
Corporate Stock	Int'l Business Machines Corp	26,454 96	27,435 24
Corporate Stock	IPG Photonics Corporation	7,374 14	9,664 68
Corporate Stock	Italcementi SPA - ADR	16,397 22	11,160 37
Corporate Stock	J Sainsbury PLC Spon ADR	20,891 17	16,586 97
Corporate Stock	j2 Global, Inc	5,537 91	17,050 00
Corporate Stock	Jones Lang LaSalle Inc	6,875 70	12,893 98
Corporate Stock	Kaman Corporation	14,890 12	23,172 02
Corporate Stock	Kansas City Southern	36,878 68	39,049 60
Corporate Stock	KAR Auction Services Inc	11,912 58	31,947 30
Corporate Stock	Kinder Morgan, Inc	66,257 97	91,601 15
Corporate Stock	Kingfisher plc - Spon ADR	19,324 72	20,568 23
Corporate Stock	Knowles Corp	13,630 72	14,130 00
Corporate Stock	Koninklijke Ahold NV-Spon ADR	11,924 33	17,086 57
Corporate Stock	Krispy Kreme Doughnuts, Inc	11,926 97	13,640 34
Corporate Stock	La Quinta Holdings Inc	38,227 70	42,950 82
Corporate Stock	Ligand Pharmaceuticals, Inc	26,644 69	27,775 62
Corporate Stock	Limoneira Company	8,033 39	11,490 80
Corporate Stock	Lincoln Electric Holdings, Inc	7,656 14	9,948 96
Corporate Stock	Lindsay Manufacturing Co	5,725 63	6,173 28
Corporate Stock	Lowe's Companies	28,421 60	74,785 60
Corporate Stock	LPL Financial Holdings, Inc	3,200 76	3,207 60
Corporate Stock	Manulife Financial Corporation	5,037 51	4,600 69
Corporate Stock	MarketAxess Holdings, Inc	5,011 23	11,330 18
Corporate Stock	Marks & Spencer Group plc -ADR	14,024 76	17,201 09
Corporate Stock	Marriott International Cl A	25,619 00	59,224 77
Corporate Stock	Mastercard Inc - Class A	13,025 24	98,222 40
Corporate Stock	McKesson HBOC Inc	48,233 55	59,783 04
Corporate Stock	MDC Partners Inc - Cl A	11,222 39	29,445 12
Corporate Stock	Media General, Inc	8,167 07	7,896 56
Corporate Stock	Medical Properties Trust Inc	19,583 44	24,611 08
Corporate Stock	Mednax, Inc	4,461 81	7,602 65
Corporate Stock	MellanoX Technologies Ltd	5,662 87	5,341 25
Corporate Stock	Merck & Co Inc	12,079 75	24,930 81
Corporate Stock	Mettler-Toledo International	7,616 09	8,771 34
Corporate Stock	Microsoft Corp	39,710 83	64,240 35
Corporate Stock	Middleby Corp	6,104 22	11,396 50
Corporate Stock	Minerals Technologies Inc	4,701 45	9,028 50
Corporate Stock	Mitsubishi UFJ Fin Grp - ADR	22,615 79	15,799 21
Corporate Stock	Mitsubishi Tanabe Pharma -ADR	14,926 74	15,397 70
Corporate Stock	Motocar Parts of America Inc	12,231 07	12,591 45

2014 Balance Sheet

The Ettinger Foundation, Inc.

Corporate Stock	MS&AD Insurance - ADR	34,144 62	28,758 16
Corporate Stock	Nabors Industries, Inc	21,443 79	13,538 14
Corporate Stock	Nelnet, Inc	1,829 94	8,061 42
Corporate Stock	New Jersey Resources Corp	2,535 18	3,549 60
Corporate Stock	Nike Inc - CL B	42,051 40	70,670 25
Corporate Stock	Nissan Motors Co , Ltd ADR	28,915 25	26,606 84
Corporate Stock	Noble Corporation PLC	18,813 55	9,196 35
Corporate Stock	Nordson Corporation	7,701 72	8,965 40
Corporate Stock	NOW Inc	5,704 77	4,579 94
Corporate Stock	Occidental Petroleum Corp	54,910 02	60,376 89
Corporate Stock	Omnicell, Inc	3,958 36	5,729 76
Corporate Stock	ONE Gas Inc	10,113 06	11,211 84
Corporate Stock	Orange SA - Spon ADR	16,796 94	18,544 32
Corporate Stock	Owens Corning Inc	28,593 69	36,096 48
Corporate Stock	PacWest Bancorp	13,952 78	30,503 66
Corporate Stock	Palo Alto Networks, Inc	20,274 93	41,306 09
Corporate Stock	Paragon Offshore PLC	2,611 32	498 60
Corporate Stock	PartnerRe Ltd	9,503 89	14,266 25
Corporate Stock	PDF Solutions, Inc	16,068 96	14,562 80
Corporate Stock	Pepsico, Inc	23,918 80	34,514 40
Corporate Stock	Performance Sports Group Ltd	9,913 39	10,362 24
Corporate Stock	Plantronics, Inc	5,022 98	7,634 88
Corporate Stock	Polycom, Inc	4,555 76	5,832 00
Corporate Stock	Polypore International, Inc	3,011 62	3,387 60
Corporate Stock	Popeyes Louisiana Kitchen Inc	4,800 20	6,471 05
Corporate Stock	Potash Corporation of Saskatch	23,530 70	27,832 16
Corporate Stock	Power Integrations Inc	1,764 60	3,104 40
Corporate Stock	Praxair, Inc	11,156 75	17,361 04
Corporate Stock	Priceline com Inc	37,858 51	41,047 56
Corporate Stock	Progress Software Corporation	13,026 28	15,617 56
Corporate Stock	Pure Cycle Corporation	8,803 41	13,592 00
Corporate Stock	Qualcomm Inc	49,165 83	69,572 88
Corporate Stock	Regions Financial Corporation	21,408 44	33,306 24
Corporate Stock	Riverbed Technology Inc	5,307 18	6,469 97
Corporate Stock	Roadrunner Transportation Syst	22,484 50	24,587 55
Corporate Stock	Salesforce com, Inc	55,972 15	58,005 18
Corporate Stock	Sanofi-Aventis - ADR	22,102 68	21,436 70
Corporate Stock	Schwab (Charles) Corp	96,643 48	152,308 55
Corporate Stock	SEI Investments Company	5,391 25	9,209 20
Corporate Stock	Sensata Technologies Holding	6,695 02	7,547 04
Corporate Stock	Signature Bank	6,165 34	10,832 56
Corporate Stock	Silgan Holdings Inc	10,851 61	30,284 00
Corporate Stock	Six Flags Entertainment Corp	7,345 23	22,351 70
Corporate Stock	Sky PLC ADR	14,220 86	13,569 18
Corporate Stock	Snap-on Inc	17,771 19	18,733 38
Corporate Stock	Solarwinds Inc	4,682 10	6,477 90
Corporate Stock	Sotheby's Holdings Inc Class A	3,335 84	3,713 48

2014 Balance Sheet

The Ettinger Foundation, Inc.

Corporate Stock	Starbucks Corporation	49,938 66	60,799 05
Corporate Stock	Starwood Property Trust, Inc	9,691 57	10,713 64
Corporate Stock	Starwood Waypoint Residential	11,061 21	10,811 70
Corporate Stock	STERIS Corporation	8,232 05	9,079 00
Corporate Stock	SVB Financial Group	4,873 62	9,982 02
Corporate Stock	Swiss Re Ltd-Spn ADR	9,962 97	12,627 69
Corporate Stock	Synaptics Incorporated	6,848 45	7,434 72
Corporate Stock	Syntel, Inc	8,912 16	11,020 10
Corporate Stock	T Rowe Price Group Inc	10,597 02	18,459 90
Corporate Stock	Takeda Pharmaceutical-SPN ADR	11,992 77	11,397 84
Corporate Stock	Tanger Factory Outlet Centers,	7,300 05	7,465 92
Corporate Stock	TechTarget	4,000 68	4,548 00
Corporate Stock	Telecom Italia SpA-SP ADR	14,914 19	14,049 82
Corporate Stock	Telefonica Brasil- ADR	8,167 67	6,736 08
Corporate Stock	Tenaris SA - ADR	26,411 51	20,663 64
Corporate Stock	Tenneco Automotive Inc	4,924 94	5,661 00
Corporate Stock	Teradata Corporation	5,163 04	5,023 20
Corporate Stock	Tesco plc - Sponsored ADR	27,276 51	19,618 18
Corporate Stock	Teva Pharmaceutical Industries	45,362 04	60,615 54
Corporate Stock	Texas Capital Bancshares Inc	4,002 94	4,672 38
Corporate Stock	Texas Roadhouse, Inc	7,246 36	9,250 24
Corporate Stock	The Cooper Companies, Inc	7,593 25	9,239 13
Corporate Stock	The Sherwin-Williams Company	39,383 87	40,245 12
Corporate Stock	The TJX Companies, Inc	10,499 64	51,297 84
Corporate Stock	The Walt Disney Company	16,859 28	79,213 79
Corporate Stock	Thermo Fisher Scientific Inc	56,602 84	58,761 01
Corporate Stock	Thoratec Laboratories Corp	5,846 86	5,128 68
Corporate Stock	Tim Participacoes ADR	3,957 50	4,486 42
Corporate Stock	Time Warner Cable, Inc	14,813 59	35,277 92
Corporate Stock	Time Warner Inc	54,102 05	60,648 20
Corporate Stock	Tokio Marine Holding Inc- ADR	16,832 77	14,074 59
Corporate Stock	Toyota Motor Corporation - ADR	8,416 77	12,297 04
Corporate Stock	Trinity Biotech PLC Spon ADR	15,506 42	12,116 92
Corporate Stock	TripAdvisor Inc	51,961 66	56,741 60
Corporate Stock	Tumi Holdings Inc	6,372 54	7,237 65
Corporate Stock	Tupperware Corporation	3,188 40	2,709 00
Corporate Stock	Two Harbors Investment Corp	17,440 77	18,456 84
Corporate Stock	Ubiquiti Networks, Inc	10,417 64	8,980 92
Corporate Stock	UBS Group AG	2,244 93	2,182 40
Corporate Stock	UMB Financial Corporation	2,580 42	3,299 62
Corporate Stock	UniFirst Corporation	7,258 86	8,622 95
Corporate Stock	Unilever NV - NY Shares	20,962 56	27,484 16
Corporate Stock	Unilever Plc - Sponsored ADR	9,620 23	12,751 20
Corporate Stock	United Financial Bancorp, Inc	19,734 79	19,989 12
Corporate Stock	United Natural Foods Inc	6,326 55	8,969 70
Corporate Stock	United Rentals, Inc	49,400 81	69,672 83
Corporate Stock	United Technologies Corp	26,898 28	48,300 00

2014 Balance Sheet

The Ettinger Foundation, Inc.

Corporate Stock	UnitedHealth Group Inc	39,211 42	39,728 37
Corporate Stock	Virtus Investment Partners	3,287 95	3,068 82
Corporate Stock	Visa Inc - Class A Shares	103,217 19	224,967 60
Corporate Stock	Vitamin Shoppe, Inc	8,087 41	7,675 64
Corporate Stock	WABCO Holdings Inc	52,955 79	50,608 74
Corporate Stock	Wabtec Corporation	5,736 27	12,164 60
Corporate Stock	Watts Industries, Inc	2,365 63	3,679 52
Corporate Stock	Weatherford International PLC	27,360 85	18,171 15
Corporate Stock	Wells Fargo Company	58,328 59	113,970 78
Corporate Stock	West Pharmaceutical Services,	6,445 52	11,499 84
Corporate Stock	WEX Inc	5,170 90	7,122 24
Corporate Stock	WhiteWave Foods Co - A Shares	1,507 19	2,974 15
Corporate Stock	Whole Foods Market, Inc	43,574 18	50,873 78
Corporate Stock	Williams-Sonoma, Inc	5,417 43	7,643 68
Corporate Stock	Willis Group Holdings Plc	15,799 29	16,758 94
Corporate Stock	Winthrop Realty Trust Inc	28,055 84	28,981 81
Corporate Stock	WM Morrison-Unspon ADR	30,341 49	25,045 24
Corporate Stock	Wolverine World Wide, Inc	5,572 64	7,632 73
Corporate Stock	World Fuel Services Corp	18,465 37	27,172 47
Corporate Stock	Yara International ASA-ADR	6,344 93	8,948 76
Corporate Stock Total		4,927,130 61	7,100,918 69
Other - Investment	F&C Emerging Equity ESG Fund (LP)	651,445 00	644,774 86
Other - Investment	Gen IM Climate Sol Fd II (Cay) (LP)	168,750 00	153,230 00
Other - Investment	Generation IM Global Eq Fd LLC (LP)	1,466,589 00	1,809,542 00
Other - Investment	North Sky Clean Tech Ven LP (LP)	280,078 00	268,741 00
Other - Investment	SS&C Fund Services - Alt Inv (LP)	927,749 00	966,168 61
Other - Investment Total		3,494,611 00	3,842,456 47
Grand Total		8,826,327 81	11,347,961 36

2015 Balance Sheet
The Ettinger Foundation, Inc.

Cash	Cash	178 40	178 40
Cash	Cash	2,488,450 21	2,488,450 21
Cash Total		2,488,628 61	2,488,628 61
Account Receivable Total		8,346 44	8,346 34
Corporate Stock	Roper Industries Inc	77,383 00	85,785 08
Corporate Stock	St Jude Medical	62,306 22	55,222 38
Corporate Stock	Mettler-Toledo International	9,663 59	11,869 55
Corporate Stock	East West Bancorp, Inc	4,592 28	8,852 28
Corporate Stock	Convergys Corp	8,222 72	17,522 56
Corporate Stock	Power Integrations Inc	2,222 70	3,404 10
Corporate Stock	DENTSPLY Intl Inc	6,751 14	9,614 30
Corporate Stock	Aflac, Inc	79,918 09	79,607 10
Corporate Stock	Applied Industrial Technologie	6,439 96	5,871 05
Corporate Stock	Oracle Corporation	72,167 24	70,174 13
Corporate Stock	Merck & Co Inc	77,030 03	74,317 74
Corporate Stock	Avery Dennison Corp	4,331 02	4,699 50
Corporate Stock	GlaxoSmithKline plc-ADR	39,351 19	32,643 15
Corporate Stock	Microsoft Corp	104,673 50	123,609 44
Corporate Stock	Softbank	63,085 01	56,145 50
Corporate Stock	Williams-Sonoma, Inc	4,059 62	4,439 16
Corporate Stock	Southwest Airlines Co	106,429 80	112,644 96
Corporate Stock	United Parcel Service Class B	44,840 46	43,014 81
Corporate Stock	ResMed Inc	4,309 38	4,026 75
Corporate Stock	F5 Networks, Inc	8,907 26	9,211 20
Corporate Stock	FactSet Research Systems, Inc	5,414 51	8,941 35
Corporate Stock	Polycom, Inc	4,555 76	5,438 88
Corporate Stock	Anadarko Petroleum Corporation	71,851 01	51,543 38
Corporate Stock	Becton Dickinson & Co	58,932 89	64,871 89
Corporate Stock	Canon Inc - Sponsored ADR	20,752 78	20,307 62
Corporate Stock	Nippon Telegraph & Telephone C	26,429 71	27,142 42
Corporate Stock	Novartis AG - ADR	123,764 65	111,938 04
Corporate Stock	Unilever Plc - Sponsored ADR	42,619 23	44,327 36
Corporate Stock	Donaldson Company, Inc	8,829 50	7,537 58
Corporate Stock	DST Systems Inc	5,763 36	5,703 00
Corporate Stock	Ford Motor Company	56,383 53	56,895 42
Corporate Stock	SMC Corporation	24,446 07	26,414 47
Corporate Stock	Nippon Telegraph and Telephone	115,598 52	120,623 57
Corporate Stock	ING Groep	125,654 99	111,742 91
Corporate Stock	Lloyds TSB Group PLC	54,681 10	53,569 22
Corporate Stock	Tenneco Automotive Inc	6,123 44	5,738 75
Corporate Stock	Regeneron Pharmaceuticals	43,983 34	43,429 60
Corporate Stock	Apache Corporation	57,593 81	56,387 96
Corporate Stock	Bayerische Motoren Werke (BMW)	19,292 64	20,898 74
Corporate Stock	J P Morgan Chase & Co	116,213 13	117,929 58
Corporate Stock	SBA Communications Corporation	66,329 27	62,306 51

2015 Balance Sheet
The Ettinger Foundation, Inc.

Corporate Stock	Schneider Electric SA	27,099 41	24,558 08
Corporate Stock	Tech Data Corporation	5,572 97	4,978 50
Corporate Stock	Varian Medical Systems, Inc	5,993 42	6,060 00
Corporate Stock	Annaly Capital Management Inc	53,791 74	50,473 78
Corporate Stock	M&T Bank Corporation	84,687 19	84,462 46
Corporate Stock	Wabtec Corporation	4,097 34	7,112 00
Corporate Stock	Everest Re Group, Ltd	3,640 31	3,661 80
Corporate Stock	Krispy Kreme Doughnuts, Inc	15,665 10	13,879 47
Corporate Stock	Comerica Incorporated	73,422 79	68,643 03
Corporate Stock	Amdocs Limited	6,968 91	6,821 25
Corporate Stock	Honda Motor Co , Ltd	26,021 30	25,607 86
Corporate Stock	AptarGroup, Inc	7,676 05	10,897 50
Corporate Stock	American States Water Company	5,213 25	8,390 00
Corporate Stock	Brown & Brown Inc	5,744 48	5,778 00
Corporate Stock	Cerner Corporation	53,435 95	53,852 15
Corporate Stock	Cheesecake Factory Inc	7,182 47	7,285 38
Corporate Stock	Coherent, Inc	2,633 74	3,711 27
Corporate Stock	Cullen/Frost Bankers, Inc	2,820 50	3,000 00
Corporate Stock	Eaton Vance Corp	9,178 29	8,918 25
Corporate Stock	Franklin Electric Co , Inc	5,548 34	4,730 25
Corporate Stock	IDEX Corporation	3,864 70	6,128 80
Corporate Stock	Lincoln Electric Holdings, Inc	5,933 04	6,174 91
Corporate Stock	Lindsay Manufacturing Co	4,681 75	4,344 00
Corporate Stock	Kaman Corporation	14,890 12	23,588 18
Corporate Stock	Minerals Technologies Inc	5,385 45	6,420 40
Corporate Stock	New Jersey Resources Corp	4,756 67	6,295 36
Corporate Stock	Owens & Minor, Inc	3,478 23	3,598 00
Corporate Stock	Plantronics, Inc	5,022 98	6,828 48
Corporate Stock	SEI Investments Company	4,671 75	10,742 00
Corporate Stock	The Toro Company	6,552 52	6,941 65
Corporate Stock	UniFirst Corporation	9,427 93	9,378 00
Corporate Stock	Valmont Industries, Inc	7,249 38	6,361 20
Corporate Stock	Watts Industries, Inc	2,920 33	3,377 56
Corporate Stock	Wolverine World Wide, Inc	3,765 30	2,924 25
Corporate Stock	Amada Co Ltd	42,663 83	45,485 76
Corporate Stock	BG Group plc	29,893 79	28,356 50
Corporate Stock	Compagnie de Saint-Gobain	56,454 47	53,909 87
Corporate Stock	HeidelbergCement AG	85,458 05	90,550 08
Corporate Stock	NGK Spark Plug Co , Ltd	41,113 87	42,768 66
Corporate Stock	Prudential plc	62,713 06	62,896 48
Corporate Stock	Tokyo Gas Co , Ltd	58,280 82	56,949 49
Corporate Stock	Aegon N V - American Reg Shs	22,086 30	18,824 40
Corporate Stock	Barclays PLC-Spons ADR	16,524 51	14,994 72
Corporate Stock	Cincinnati Financial Corp	6,553 01	7,396 25
Corporate Stock	Commerce Bancshares, Inc	5,799 66	7,572 12
Corporate Stock	Praxair, Inc	34,873 29	32,972 80
Corporate Stock	Telecom Italia SpA-SP ADR	14,914 19	16,862 45

2015 Balance Sheet
The Ettinger Foundation, Inc.

Corporate Stock	Teledyne Technologies Inc	20,434 00	17,740 00
Corporate Stock	Bank of Hawaii Corporation	6,396 48	9,057 60
Corporate Stock	Panera Bread Company	41,980 74	46,162 86
Corporate Stock	Tesco plc - Sponsored ADR	36,315 78	22,284 00
Corporate Stock	Cash America International, In	15,307 87	17,970 00
Corporate Stock	Columbia Banking System, Inc	13,313 09	19,636 04
Corporate Stock	Synaptics Incorporated	4,308 20	4,820 40
Corporate Stock	USG Corporation	33,850 97	29,075 13
Corporate Stock	World Fuel Services Corp	18,465 37	22,268 34
Corporate Stock	Genesee & Wyoming Inc - CI A	12,384 47	9,127 30
Corporate Stock	Jones Lang LaSalle Inc	6,361 41	11,190 20
Corporate Stock	Kinden Corporation	64,321 27	64,435 67
Corporate Stock	CTS Corporation	16,351 25	15,435 00
Corporate Stock	Tanger Factory Outlet Centers,	7,965 49	7,357 50
Corporate Stock	National Health Investors, Inc	5,950 09	6,087 00
Corporate Stock	Comcast Corporation - CL A	82,389 46	79,397 01
Corporate Stock	Choice Hotels International, I	7,648 00	7,057 40
Corporate Stock	MB Financial, Inc	13,844 48	12,948 00
Corporate Stock	PDF Solutions, Inc	32,347 88	22,005 20
Corporate Stock	International Flavors & Fragra	5,524 32	8,973 00
Corporate Stock	Marks & Spencer Group plc -ADR	14,024 76	15,362 96
Corporate Stock	America Movil S A de C V - A	13,100 38	9,799 82
Corporate Stock	The Cooper Companies, Inc	7,593 25	7,649 40
Corporate Stock	Flowers Foods, Inc	7,082 85	8,058 75
Corporate Stock	UMB Financial Corporation	5,767 62	5,586 00
Corporate Stock	China Mobile (Hong Kong) Ltd	12,134 80	13,462 87
Corporate Stock	Tupperware Corporation	3,188 40	2,392 95
Corporate Stock	Clarcor Inc	5,632 20	5,961 60
Corporate Stock	Encore Capital Group, Inc	10,737 60	6,543 00
Corporate Stock	Noble Energy, Inc	33,932 99	31,843 31
Corporate Stock	Bruker Biosciences Corporation	3,836 57	7,183 92
Corporate Stock	Netgear, Inc	5,577 91	5,238 75
Corporate Stock	Compass Minerals International	16,326 02	15,054 00
Corporate Stock	Silgan Holdings Inc	15,510 71	32,232 00
Corporate Stock	Cardinal Financial Corporation	8,583 58	11,784 50
Corporate Stock	Signature Bank	4,373 09	9,355 57
Corporate Stock	Providence Service Corporation	16,613 26	16,422 00
Corporate Stock	Big Lots, Inc	8,067 67	6,744 50
Corporate Stock	Kingfisher plc - Spon ADR	13,385 54	13,083 41
Corporate Stock	Nordson Corporation	7,701 72	7,377 25
Corporate Stock	United Natural Foods Inc	6,326 55	4,565 76
Corporate Stock	Horace Mann Educators Corporat	4,339 98	4,147 50
Corporate Stock	IAC/ InterActiveCorp	7,019 52	14,291 90
Corporate Stock	Chemed Corporation	3,547 77	3,745 00
Corporate Stock	Sanofi-Aventis - ADR	19,045 03	17,870 35
Corporate Stock	J Sainsbury PLC Spon ADR	23,332 08	18,889 28
Corporate Stock	Cemex S A de C V Spons ADR	21,208 16	14,777 21

2015 Balance Sheet
The Ettinger Foundation, Inc.

Corporate Stock	MarketAxess Holdings, Inc	1,703 82	6,137 45
Corporate Stock	Texas Capital Bancshares Inc	4,002 94	4,250 12
Corporate Stock	Middleby Corp	5,082 42	10,787 00
Corporate Stock	Syntel, Inc	8,912 16	11,086 25
Corporate Stock	Ferro Corporation	7,768 78	5,560 00
Corporate Stock	West Pharmaceutical Services,	1,599 90	3,613 20
Corporate Stock	Hub Group, Inc - Class A	4,936 03	4,942 50
Corporate Stock	SVB Financial Group	4,516 53	8,323 00
Corporate Stock	Texas Roadhouse, Inc	7,246 36	9,800 98
Corporate Stock	Medical Properties Trust Inc	20,710 58	21,707 86
Corporate Stock	Calgon Carbon Corporation	6,192 37	7,193 25
Corporate Stock	Mitsubishi UFJ Fin Grp - ADR	22,615 79	17,770 54
Corporate Stock	Nissan Motors Co , Ltd ADR	21,313 89	25,186 88
Corporate Stock	Reinsurance Group of America,	78,591 08	74,599 60
Corporate Stock	Digital Realty Trust, Inc	6,947 14	9,830 60
Corporate Stock	Intertek Group plc	13,165 49	13,672 14
Corporate Stock	Baidu com, Inc	57,607 83	65,218 80
Corporate Stock	Haemonetics Corporation / MASS	4,736 49	3,707 60
Corporate Stock	Air Methods Corp	16,155 94	15,723 75
Corporate Stock	SwedBank AB	56,285 14	54,575 15
Corporate Stock	Western Union Co	7,187 86	6,716 25
Corporate Stock	Anika Therapeutics Inc	6,036 29	5,724 00
Corporate Stock	Ctrip com International - ADR	26,620 77	35,581 44
Corporate Stock	Exlservice Holdings Inc	4,130 97	5,166 95
Corporate Stock	Sally Beauty Co , Inc	5,279 86	6,275 25
Corporate Stock	Ericsson (LM) Tel - Sp ADR	16,215 36	14,876 28
Corporate Stock	CVS Health Corporation	104,240 64	99,725 40
Corporate Stock	TriMas Corporation	13,467 60	13,987 50
Corporate Stock	Chart Industries, Inc	6,161 45	2,334 80
Corporate Stock	Commvault Systems, Inc	5,795 35	3,384 10
Corporate Stock	Discover Financial Services	52,007 72	50,563 66
Corporate Stock	ACI Worldwide, Inc	13,091 64	20,736 60
Corporate Stock	InterDigital, Inc	10,253 97	15,398 56
Corporate Stock	Teradata Corporation	10,136 84	7,001 30
Corporate Stock	Cohen & Steers, Inc	5,459 95	5,791 20
Corporate Stock	IPG Photonics Corporation	8,169 44	12,482 40
Corporate Stock	CBIZ, Inc	12,936 35	18,418 48
Corporate Stock	Visa Inc - Class A Shares	66,909 27	71,578 65
Corporate Stock	NetApp, Inc	3,420 79	2,653 00
Corporate Stock	BGC Partners Inc CL-A	22,425 19	27,899 64
Corporate Stock	American Water Works Co , Inc	122,952 01	134,736 25
Corporate Stock	PacWest Bancorp	15,183 51	30,213 10
Corporate Stock	DSW Inc - Class A	6,842 44	4,843 58
Corporate Stock	BOK Financial Corporation	4,042 02	4,185 30
Corporate Stock	Masimo Corporation	3,481 00	4,151 00
Corporate Stock	Dorman Products, Inc	5,603 63	7,120 50
Corporate Stock	National CineMedia Inc	7,086 28	7,855 00

2015 Balance Sheet
The Ettinger Foundation, Inc.

Corporate Stock	Cia Saneamento Basico - ADR	21,370 49	15,212 20
Corporate Stock	Interval Leisure Group, Inc	3,409 21	2,450 77
Corporate Stock	Monotype Imaging Holdings Inc	9,942 40	9,456 00
Corporate Stock	TechTarget	9,122 27	7,227 00
Corporate Stock	Mednax, Inc	4,461 81	8,240 90
Corporate Stock	Naver Corp	20,918 55	24,059 52
Corporate Stock	Willis Group Holdings Plc	15,799 29	18,165 18
Corporate Stock	Hercules Tech Growth Capital	7,065 47	9,130 31
Corporate Stock	The Cato Corporation	3,736 47	3,682 00
Corporate Stock	Golub Capital BDC LLC	10,876 18	9,578 88
Corporate Stock	United Continental Hld Inc	80,706 80	83,085 00
Corporate Stock	Howard Hughes Corporation	21,202 07	27,950 52
Corporate Stock	Starwood Property Trust, Inc	9,691 57	9,478 16
Corporate Stock	Ligand Pharmaceuticals, Inc	22,304 63	47,921 64
Corporate Stock	TE Connectivity Limited	40,061 95	42,642 60
Corporate Stock	Coresite Realty Corporation	5,622 64	8,791 60
Corporate Stock	Roadrunner Transportation Syst	22,484 50	9,929 79
Corporate Stock	Six Flags Entertainment Corp	9,533 07	31,205 92
Corporate Stock	Daichi Sankyo Company Ltd	16,843 24	23,123 41
Corporate Stock	Delphi Automotive PLC	68,136 23	75,185 21
Corporate Stock	j2 Global, Inc	5,104 71	20,991 60
Corporate Stock	Nabtesco Corp	28,909 30	28,843 90
Corporate Stock	Express Scripts Holding, Inc	63,201 74	65,033 04
Corporate Stock	InterXion Holding NV	24,838 45	26,592 30
Corporate Stock	Embraer SA- ADR	23,481 05	21,209 72
Corporate Stock	Swatch Group AG - ADR	15,600 49	13,924 03
Corporate Stock	Ubiquiti Networks, Inc	4,681 45	4,848 57
Corporate Stock	Telefonica Brasil- ADR	22,112 86	13,283 13
Corporate Stock	Johnson Matthey PLC	35,409 67	33,486 66
Corporate Stock	Globus Medical Inc-A	5,477 80	9,709 18
Corporate Stock	Gentherm Inc	3,459 03	4,740 00
Corporate Stock	EPR Properties	6,091 86	11,105 50
Corporate Stock	KAR Auction Services Inc	11,912 58	34,141 66
Corporate Stock	Tim Participacoes ADR	13,960 92	8,505 44
Corporate Stock	Artisan Partners Asset Mgmt	7,250 46	4,759 92
Corporate Stock	Vitamin Shoppe, Inc	8,087 41	5,166 60
Corporate Stock	WEX Inc	6,360 79	7,514 00
Corporate Stock	Banco Santander Brasil- ADR	10,703 73	8,351 83
Corporate Stock	Quitiles Transitional Holdings	7,457 53	7,552 60
Corporate Stock	Fossil Group, Inc	5,331 51	3,656 00
Corporate Stock	Shinhan Financial Group Co ADR	17,284 05	14,443 70
Corporate Stock	Schneider Electric SA-UNSP ADR	8,023 76	7,308 22
Corporate Stock	Takeda Pharmaceutical-SPN ADR	13,443 20	15,301 80
Corporate Stock	Dai Nippon Printing Co - ADR	12,270 15	14,544 68
Corporate Stock	Erste Group Bank AG - ADR	12,475 96	15,702 40
Corporate Stock	Mallinckrodt PLC	65,827 38	62,913 09
Corporate Stock	Orange SA - Spon ADR	16,690 51	19,240 91

2015 Balance Sheet
The Ettinger Foundation, Inc.

Corporate Stock	Sensata Technologies Holding	5,271 59	5,250 84
Corporate Stock	Boingo Wireless, Inc	5,141 12	4,190 46
Corporate Stock	HSBC Holdings plc - Spons ADR	17,760 68	14,169 73
Corporate Stock	Ultragenyx Pharmaceutical Inc	23,997 72	27,147 56
Corporate Stock	ONE Gas Inc	8,782 02	11,539 10
Corporate Stock	Starwood Waypoint Residential	16,182 12	13,810 40
Corporate Stock	Knowles Corp	15,761 27	9,331 00
Corporate Stock	First Pacific Company Ltd- ADR	21,648 78	14,683 69
Corporate Stock	United Financial Bancorp, Inc	12,353 74	11,488 96
Corporate Stock	Pentair, PLC	75,412 15	66,716 91
Corporate Stock	Diamondback Energy Inc	11,089 23	10,570 20
Corporate Stock	MDC Partners Inc - CI A	10,754 45	28,149 12
Corporate Stock	InterContinental Hotels Group	40,979 38	42,236 50
Corporate Stock	WM Morrison-Unspon ADR	36,035 93	24,114 89
Corporate Stock	Motocar Parts of America Inc	6,660 79	8,621 55
Corporate Stock	First NBC Bank Holding Co	12,815 79	13,086 50
Corporate Stock	Bank Rakyat Indonesia Perser	16,471 59	17,971 91
Corporate Stock	Carrefour SA - SP ADR	9,273 02	12,933 80
Corporate Stock	Swiss Re Ltd-Spn ADR	10,865 65	15,688 32
Corporate Stock	Italcementi SPA - ADR	16,000 65	26,021 33
Corporate Stock	MS&AD Insurance - ADR	10,854 24	15,550 75
Corporate Stock	Mitsubishi Tanabe Pharma -ADR	13,037 51	15,907 93
Corporate Stock	Del Frisco's Restaurant Group	19,342 40	16,084 08
Corporate Stock	Koninklijke Ahold NV-Spon ADR	2,955 80	8,187 22
Corporate Stock	National Storage Affiliates Tr	14,357 69	18,843 00
Corporate Stock	Hersha Hospitality Trust	18,507 69	16,537 60
Corporate Stock	Baxalta Inc	60,991 02	67,443 84
Corporate Stock	BWX Technologies, Inc	27,613 96	34,947 00
Corporate Stock	Babcock & Wilcox Enterprises	17,533 61	18,783 00
Corporate Stock	Alphabet Inc - Class C	144,655 03	166,953 60
Corporate Stock	LivaNova PLC	3,136 85	2,552 91
Corporate Stock	STERIS Plc	6,799 05	6,780 60
Corporate Stock	ABN AMRO Group N V - CVA	22,924 52	24,459 01
Corporate Stock	Hubbell Inc	9,021 17	9,598 80
Corporate Stock Total		6,070,624 91	6,307,103 66
Other - Investment	Generation IM Global Eq Fd LLC (LP)	1,718,262 00	1,746,309 00
Other - Investment	North Sky Clean Tech Ven LP (LP)	272,807 00	292,144 81
Other - Investment	F&C Emerging Equity ESG Fund (LP)	651,445 00	552,728 45
Other - Investment	Alt Investments Inst LP (LP)	49,954 00	39,779 78
Other - Investment	Gen IM Climate Sol Fd II (Cay) (LP)	300,000 00	283,411 00
Other - Investment Total		2,992,468 00	2,914,373 04
Grand Total		11,560,067 96	11,718,451 65