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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

2015

Department of the Treasury
Internal Revenue Service

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015, or tax year beginning _____, 2015, and ending _____

The Ruth W. Brown Foundation
Wilmot L. Harris, Jr., Trustee
170 Mason Street
Greenwich, CT 06830

A Employer identification number
06-1409590

B Telephone number (see instructions)
(203) 661-6000

C If exemption application is pending, check here ▶

D 1 Foreign organizations, check here ▶
2 Foreign organizations meeting the 85% test, check here and attach computation ▶

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶

G Check all that apply

| | |
|---|--|
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity |
| <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return |
| <input type="checkbox"/> Address change | <input type="checkbox"/> Name change |

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

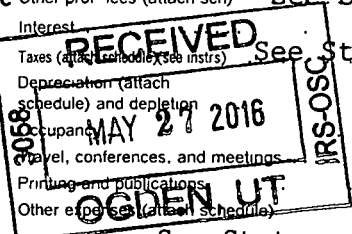
I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 3,151,474.

J Accounting method: Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| 1 | Contributions, gifts, grants, etc. received (attach schedule) | | | | |
| 2 | Chk ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | 18. | 18. | 18. | |
| 4 | Dividends and interest from securities | 62,147. | 62,147. | 62,147. | |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 205,625. | | | |
| b | Gross sales price for all assets on line 6a 729,649. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 205,625. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less: Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | | | | |
| | See Statement 1 | 325. | | | |
| 12 | Total. Add lines 1 through 11 | 268,115. | 267,790. | 62,165. | |
| 13 | Compensation of officers, directors, trustees, etc | 49,000. | 22,050. | 22,050. | 26,950. |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees (attach schedule) See St 2 | 8,010. | 3,604. | 3,604. | 4,405. |
| b | Accounting fees (attach sch) | | | | |
| c | Other prof fees (attach sch) See St 3 | 39,943. | 19,972. | 19,972. | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see instrs) See Stm 4 | 6,315. | | | |
| 19 | Depreciation (attach schedule) and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | 750. | | | |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (attach schedule) | | | | |
| | See Statement 5 | 419. | | | |
| 24 | Total operating and administrative expenses. Add lines 13 through 23 | 104,437. | 45,626. | 45,626. | 31,355. |
| 25 | Contributions, gifts, grants paid Part XV | 176,500. | | | 176,500. |
| 26 | Total expenses and disbursements. Add lines 24 and 25 | 280,937. | 45,626. | 45,626. | 207,855. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | Excess of revenue over expenses and disbursements | -12,822. | | | |
| b | Net investment income (if negative, enter -0-) | | 222,164. | | |
| c | Adjusted net income (if negative, enter -0-) | | | 16,539. | |

SCANNED JUN 03 2016

ADMINISTRATIVE AND EXPENSES



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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | | |
|-----------------------------|---|---|---|------------|------------|
| | | Beginning of year (a) Book Value | End of year (b) Book Value (c) Fair Market Value | | |
| ASSETS | 1 | Cash – non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 118,997. | 214,704. | 214,704. |
| | 3 | Accounts receivable | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 4 | Pledges receivable | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach sch) | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments – U.S. and state government obligations (attach schedule) | | | |
| | b | Investments – corporate stock (attach schedule) | 2,033,072. | 1,924,542. | 2,936,770. |
| | c | Investments – corporate bonds (attach schedule) | | | |
| | 11 | Investments – land, buildings, and equipment: basis | | | |
| | Less: accumulated depreciation (attach schedule) | | | | |
| 12 | Investments – mortgage loans | | | | |
| 13 | Investments – other (attach schedule) | | | | |
| 14 | Land, buildings, and equipment basis | | | | |
| | Less: accumulated depreciation (attach schedule) | | | | |
| 15 | Other assets (describe _____) | | | | |
| 16 | Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I). | 2,152,069. | 2,139,246. | 3,151,474. | |
| LIABILITIES | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, & other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe _____) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | |
| NET ASSETS OR FUND BALANCES | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/> | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/> | | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | 2,152,069. | 2,139,246. | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | | |
| 30 | Total net assets or fund balances (see instructions) | 2,152,069. | 2,139,246. | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | 2,152,069. | 2,139,246. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,152,069. |
| 2 | Enter amount from Part I, line 27a | 2 | -12,822. |
| 3 | Other increases not included in line 2 (itemize) | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 2,139,247. |
| 5 | Decreases not included in line 2 (itemize) <u>See Statement 6</u> | 5 | 1. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 2,139,246. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a See Statement 7 | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|--|---|----------|
| 2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] | 2 | 205,625. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8] | 3 | 0. |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

| 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries | | | |
|---|---------------------------------------|--|--|
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
| 2014 | 190,282. | 3,367,911. | 0.056499 |
| 2013 | 175,229. | 3,081,272. | 0.056869 |
| 2012 | 175,481. | 2,766,320. | 0.063435 |
| 2011 | 182,810. | 2,827,073. | 0.064664 |
| 2010 | 173,642. | 2,451,696. | 0.070825 |

| | | |
|--|---|------------|
| 2 Total of line 1, column (d) | 2 | 0.312292 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.062458 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 3,238,780. |
| 5 Multiply line 4 by line 3 | 5 | 202,288. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 2,222. |
| 7 Add lines 5 and 6 | 7 | 204,510. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 207,855. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | |
|---|-----|--------|--------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instrs) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 2,222. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 2,222. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 2,222. |
| 6 Credits/Payments: | | | |
| a 2015 estimated tax pmts and 2014 overpayment credited to 2015 | 6 a | 4,079. | |
| b Exempt foreign organizations – tax withheld at source | 6 b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6 c | | |
| d Backup withholding erroneously withheld | 6 d | | |
| 7 Total credits and payments Add lines 6a through 6d | | 7 | 4,079. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | | 8 | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | 0. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 10 | 1,857. |
| 11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> 1,857. Refunded <input type="checkbox"/> | | 11 | 0. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|-----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i> | | X |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year? | | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | X |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i> | X | |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT | | |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i> | | X |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|----|---|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u> | 13 | X | |
| 14 | The books are in care of <u>Wilmot L. Harris, Jr.</u> Telephone no <u>(203) 661-6000</u> Located at <u>170 Mason Street, Greenwich CT</u> ZIP + 4 <u>06830</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u> | 15 | | N/A |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u> </u> | 16 | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

| | | Yes | No |
|-----|--|-----|-----|
| 1 a | During the year did the foundation (either directly or indirectly): | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> | 1 b | N/A |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1 c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u> | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) | 2 b | N/A |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u> | | |
| 3 a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) | 3 b | N/A |
| 4 a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4 a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4 b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

6 b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| Wilmot L. Harris, Jr. 170 Mason Street Greenwich, CT 06830 | Trustee 3.00 | 0. | 0. | 0. |
| Sally C. Harris 170 Mason Street Greenwich, CT 06830 | Trustee 1.00 | 0. | 0. | 0. |
| Stuart D. Adelberg 170 Mason Street Greenwich, CT 06830 | Trustee 1.00 | 0. | 0. | 0. |
| ----- | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| None | | | | |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |

Total number of other employees paid over \$50,000 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|-----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1 a | |
| b | Average of monthly cash balances | 1 b | |
| c | Fair market value of all other assets (see instructions) | 1 c | 3,288,102. |
| d | Total (add lines 1a, b, and c) | 1 d | 3,288,102. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1 e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 3,288,102. |
| 4 | Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 49,322. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 3,238,780. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 161,939. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|----|---|-----|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 161,939. |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2 a | 2,222. |
| b | Income tax for 2015. (This does not include the tax from Part VI) | 2 b | |
| c | Add lines 2a and 2b | 2 c | 2,222. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 159,717. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 159,717. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 159,717. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|-----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc – total from Part I, column (d), line 26 | 1 a | 207,855. |
| b | Program-related investments – total from Part IX-B | 1 b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3 a | |
| b | Cash distribution test (attach the required schedule) | 3 b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 207,855. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 2,222. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 205,633. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 159,717. |
| 2 Undistributed income, if any, as of the end of 2015: | | | | |
| a Enter amount for 2014 only | | | 0. | |
| b Total for prior years. 20 __, 20 __, 20 __ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2015: | | | | |
| a From 2010 | 51,511. | | | |
| b From 2011 | 42,010. | | | |
| c From 2012 | 39,487. | | | |
| d From 2013 | 24,396. | | | |
| e From 2014 | 25,807. | | | |
| f Total of lines 3a through e | 183,211. | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 207,855. | | | | |
| a Applied to 2014, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required – see instructions) | 0. | | | |
| d Applied to 2015 distributable amount | | | | 159,717. |
| e Remaining amount distributed out of corpus | 48,138. | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 231,349. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount – see instructions | | 0. | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount – see instructions. | | | 0. | |
| f Undistributed income for 2015 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) | 51,511. | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 179,838. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011 | 42,010. | | | |
| b Excess from 2012 | 39,487. | | | |
| c Excess from 2013 | 24,396. | | | |
| d Excess from 2014 | 25,807. | | | |
| e Excess from 2015 | 48,138. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a 'Assets' alternative test – enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test – enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))
 None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
 None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-----------------------|
| <p><i>a Paid during the year</i> See Attachment for Names & Addresses ** 00000</p> | None | Public | See Attached | 176,500. |
| Total | | | | ▶ 3 a 176,500. |
| <i>b Approved for future payment</i> | | | | |
| Total | | | | ▶ 3 b |

Client BROWNFD

The Ruth W. Brown Foundation
Wilmot L. Harris, Jr., Trustee

06-1409590

Statement 1
Form 990-PF, Part I, Line 11
Other Income

| | (a) Revenue <u>per Books</u> | (b) Net Investment <u>Income</u> | (c) Adjusted <u>Net Income</u> |
|-------------------------|------------------------------------|--|--------------------------------------|
| Other Investment Income | \$ 325. | | |
| Total | <u>\$ 325.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> |

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

| | (a) Expenses <u>Per Books</u> | (b) Net Investment <u>Income</u> | (c) Adjusted <u>Net Income</u> | (d) Charitable <u>Purposes</u> |
|-----------------------|-------------------------------------|--|--------------------------------------|--------------------------------------|
| Ivey, Barnum & O'Mara | \$ 8,010. | \$ 3,604. | \$ 3,604. | \$ 4,405. |
| Total | <u>\$ 8,010.</u> | <u>\$ 3,604.</u> | <u>\$ 3,604.</u> | <u>\$ 4,405.</u> |

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

| | (a) Expenses <u>per Books</u> | (b) Net Investment <u>Income</u> | (c) Adjusted <u>Net Income</u> | (d) Charitable <u>Purposes</u> |
|------------------------------------|-------------------------------------|--|--------------------------------------|--------------------------------------|
| MSSB - Reilly, advisory fees | \$ 12,167. | \$ 6,084. | \$ 6,084. | |
| MSSB-Perkins, advisory fees | 17,574. | 8,787. | 8,787. | |
| Neil S. Kenagy, CFA, advisory fees | 10,202. | 5,101. | 5,101. | |
| Total | <u>\$ 39,943.</u> | <u>\$ 19,972.</u> | <u>\$ 19,972.</u> | <u>\$ 0.</u> |

Statement 4
Form 990-PF, Part I, Line 18
Taxes

| | (a) Expenses <u>per Books</u> | (b) Net Investment <u>Income</u> | (c) Adjusted <u>Net Income</u> | (d) Charitable <u>Purposes</u> |
|--------------------|-------------------------------------|--|--------------------------------------|--------------------------------------|
| 2015 Tax Deposit | \$ 4,000. | | | |
| Foreign Taxes Paid | 2,315. | | | |
| Total | <u>\$ 6,315.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> |

Client BROWNF

The Ruth W. Brown Foundation
Wilmot L. Harris, Jr., Trustee

06-1409590

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| ADR Fees | \$ 141. | | | |
| Chase, service fees | 140. | | | |
| Deluxe, check order | 138. | | | |
| Total | <u>\$ 419.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> |

Statement 6
Form 990-PF, Part III, Line 5
Other Decreases

| | |
|--|--------------|
| To Correct Rounding Issue on Balance Sheet | \$ 1. |
| Total | <u>\$ 1.</u> |

Statement 7
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

| Item | (a) Description | (b) How Acquired | (c) Date Acquired | (d) Date Sold |
|------|---|---------------------|----------------------|------------------|
| 1 | See Attached Short Term Gains | Purchased | Various | Various |
| 2 | See Attached Long Term Gains | Purchased | Various | Various |
| 3 | See Attached Capital Gain Distributions | Purchased | Various | Various |
| 4 | See Attached Short Term Losses | Purchased | Various | Various |
| 5 | See Attached Long Term Losses | Purchased | Various | Various |
| 6 | See Attached No Gain/No Loss/Expired | Purchased | Various | Various |

| Item | (e) Gross Sales | (f) Deprec. Allowed | (g) Cost Basis | (h) Gain (Loss) | (i) FMV 12/31/69 | (j) Adj. Bas. 12/31/69 | (k) Excess (i) - (j) | (l) Gain (Loss) |
|--------------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 1 | 9,724. | | 8,208. | 1,516. | | | | \$ 1,516. |
| 2 | 579,115. | | 325,140. | 253,975. | | | | 253,975. |
| 3 | 2,857. | | 0. | 2,857. | | | | 2,857. |
| 4 | 30,171. | | 48,622. | -18,451. | | | | -18,451. |
| 5 | 107,782. | | 142,054. | -34,272. | | | | -34,272. |
| 6 | 0. | | 0. | 0. | | | | 0. |
| Total | | | | | | | | <u>\$ 205,625.</u> |

The Trustees' commissions in the amount of \$49,000 are allocable to Wilmot L. Harris, Jr. The commissions are not paid to Mr. Harris personally, but instead paid to his law firm and divided by the partners of the firm.

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|--|--|--|---|
| 600 shs., Abbott Labs De | \$ 14,725.84 | \$ 14,725.84 | \$ 26,946.00 |
| 783 shs., Accenture Ltd CL A De | 22,246.96 | 20,513.80 | 75,449.00 |
| 722 shs., Accenture Ltd CL A De | | | |
| 606 shs., Adobe Systems Inc | 20,328.03 | 18,885.61 | 52,888.22 |
| 563 shs., Adobe Systems Inc | | | |
| 500 shs., Alcoa Inc 5.375% Pfd Ser 1 Due 10/1/17 | Purchased During Year | 22,383.95 | 16,655.00 |
| 33 shs., Alphabet Inc CL A | Formerly Google Inc CL A | 17,447.17 | 25,674.33 |
| 33 shs., Alphabet Inc CL C | Formerly Google Inc CL C | 18,946.02 | 25,043.04 |
| 655 shs., Altria Group Inc | 13,799.69 | 13,799.69 | 38,127.55 |
| 87 shs., Amazon Com Inc CGMI | 15,280.84 | 13,524.42 | 52,043.53 |
| 77 shs., Amazon Com Inc CGMI | | | |
| 744 shs., American Airils Group Inc | 22,542.53 | 22,209.24 | 31,042.55 |
| 733 shs., American Airils Group Inc | | | |
| 290 shs., Anadarko Petroleum Corp | 10,117.17 | 9,663.64 | 13,456.66 |
| 277 shs., Anadarko Petroleum Corp | | | |

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|---|--|--|---|
| 298 shs., AON Plc CL A | 24,819.20 | 24,402.77 | 27,017.53 |
| 293 shs., AON Plc CL A | | | |
| 162 shs., Apache Corp De | 14,612.35 | Sold During Year | Sold During Year |
| 434 shs., Apple Inc | 23,974.63 | 23,974.63 | 45,682.84 |
| 134 shs., Arkema Spns Adr | 9,732.42 | 9,078.75 | 8,693.75 |
| 125 shs., Arkema Spns Adr | | | |
| 2,578 rts., Banco Bilbao Adr Rts | - | Retired As Worthless | Retired As Worthless |
| 2,578 shs., Banco Bilbao Viz Arg Sa Ads | 26,095.13 | 33,654.72 | 24,548.17 |
| 3,349 shs., Banco Bilbao Viz Arg Sa Ads | | | |
| 708 shs., Bank of New York Mellon Corp | 19,725.52 | 18,332.47 | 27,122.76 |
| 658 shs., Bank of New York Mellon Corp | | | |
| 500 shs., Baxalta Incorporated | Received During Year | 14,820.69 | 19,515.00 |
| 500 shs., Baxter International Inc | 33,081.90 | 18,261.21 | 19,075.00 |
| 300 shs., Becton Dickinson & Co | 21,533.47 | 14,355.65 | 30,818.00 |
| 200 shs., Becton Dickinson & Co | | | |
| 220 shs., Berkshire Hathaway Inc CL B | 16,864.98 | 16,864.98 | 29,048.80 |

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|---|--|--|---|
| 44 shs., Biogen Idec Inc | 12,153.24 | 12,153.24 | 13,479.40 |
| 960 shs., Blackstone Group LP | 20,072.53 | 22,756.64 | 29,269.24 |
| 1,001 shs., Blackstone Group LP | | | |
| 693 shs., CBS Corp New CL B | 9,276.74 | 8,634.20 | 30,398.85 |
| 645 shs., CBS Corp New CL B | | | |
| 216 shs., Cdn Pacific Ry Ltd New | 21,115.27 | 25,937.87 | 31,644.80 |
| 248 shs., Cdn Pacific Ry Ltd New | | | |
| 716 shs., Celgene Corp De | 20,358.32 | 20,358.32 | 85,748.16 |
| 594 shs., Centurylink Inc. | 20,432.00 | Sold During Year | Sold During Year |
| 213 shs., Chevron Corp | 19,872.09 | 19,872.09 | 19,161.48 |
| 624 shs., Citigroup Inc | 30,372.48 | 30,372.48 | 32,292.00 |
| 1,339 shs., Cognizant Tech Solutions Crp De | 34,858.47 | 33,348.54 | 76,885.62 |
| 1,281 shs., Cognizant Tech Solutions Crp De | | | |
| 2,000 shs., Corning, Inc. | 33,206.40 | Sold During Year | Sold During Year |
| 200 shs., CVS Health Corp | Purchased During Year | 18,668.95 | 19,554.00 |

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|--------------------------------------|--|--|---|
| 774 shs., Danaher Corp De | 29,596.18 | 28,219.61 | 68,545.44 |
| 738 shs., Danaher Corp De | | | |
| 500 shs., Enesco Plc Class A | 25,432.35 | Sold During Year | Sold During Year |
| 300 shs., E O G Resources Inc | 30,926.41 | 30,926.41 | 21,237.00 |
| . 100 shs., ETFS Gold Trust Etf | 14,988.83 | 14,988.83 | 10,349.00 |
| . 200 shs., Everest RE Group Ltd | Purchased During Year | 35,621.30 | 36,618.00 |
| 500 shs., Fireeye Inc | Purchased During Year | 16,372.95 | 10,370.00 |
| 671 shs., General Electric Company | 10,554.76 | | |
| 2,071 shs., General Electric Company | | 46,149.46 | 64,511.65 |
| 300 shs., Genesee & Wyoming Class A | Purchased During Year | 20,926.51 | 16,107.00 |
| 302 shs., Genl Dynamics Corp | 18,578.83 | | |
| 260 shs., Genl Dynamics Corp | | 15,995.02 | 35,713.60 |
| 500 shs., Glaxosmithkline Plc Adr | Purchased During Year | 23,151.72 | 20,175.00 |
| 33.091 shs., Google Inc CL C | 18,998.27 | | |
| 33 shs., Google Inc CL C | | | |
| | | Now Alphabet Inc CL C | Now Alphabet Inc CL C |

Ruth W. Brown Foundation
 E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|------------------------------------|--|--|---|
| 33 shs., Google Inc Class A | 17,447.17 | Now Alphabet Inc CL A | Now Alphabet Inc CL A |
| 658 shs., Grubhub Inc. | Purchased During Year | 20,455.45 | 15,923.60 |
| 485 shs., Gulfport Energy Corp New | 20,303.51 | Sold During Year | Sold During Year |
| 462 shs., Hess Corp | 28,250.62 | 28,250.62 | 22,397.76 |
| 303 shs., Honeywell Intl Inc | Purchased During Year | 31,120.25 | 31,381.71 |
| 1,500 shs., Intel Corp., | 14,963.53 | 7,980.55 | 27,560.00 |
| 800 shs., Intel Corp., | | | |
| 1,768,768 shs., Ivy Sci & Tech I | 75,358.97 | 55,158.26 | 70,467.45 |
| 1,286,137 shs., Ivy Sci & Tech I | | | |
| 600 shs., Johnson & Johnson | 36,996.92 | 34,263.11 | 49,921.92 |
| 486 shs., Johnson & Johnson | | | |
| 281 shs., JP Morgan Chase & Co | 10,434.06 | 10,434.06 | 18,554.43 |
| 536 shs., L Brands Inc | 25,917.32 | 15,908.20 | 31,524.78 |
| 329 shs., L Brands Inc | | | |
| 427 shs., Lyondellbasell Nv CL A | 18,139.01 | 16,737.17 | 34,238.60 |
| 394 shs., Lyondellbasell Nv CL A | | | |

Ruth W. Brown Foundation
 E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|--|--|--|---|
| 314 shs., Mastercard Inc CL A | 23,121.72 | 23,121.72 | 30,571.04 |
| 670 shs., MetLife Inc | 28,812.41 | 28,812.41 | 32,300.70 |
| 384 shs., Michael Kors Holdings Ltd | 24,459.23 | Sold During Year | Sold During Year |
| 250 shs., Monsanto Company | 17,830.53 | 17,830.53 | 24,630.00 |
| 800 shs., Mosaic Company | 34,633.30 | Sold During Year | Sold During Year |
| 1,795 shs., Muenchener Rueck-Unsps Adr | 28,413.79 | Sold During Year | Sold During Year |
| 1,776 shs., Mylan Inc De | 26,552.22 | Now Mylan N V | Now Mylan N V |
| 1,776 shs., Mylan N V | Formerly Mylan Inc De | 10,614.91 | 38,389.70 |
| 710 shs., Mylan N V | | | |
| 874 shs., Nasper's Ltd Spon Adr De | 19,547.62 | | |
| 629 shs., Nasper's Ltd Spon Adr De | | 14,068.02 | 85,764.15 |
| 1,322 shs., Nestle S A Reg B ADR | 43,292.22 | | |
| 1,122 shs., Nestle S A Reg B ADR | | 36,742.72 | 83,499.24 |
| 945 shs., Newalta Inc | Purchased During Year | 3,829.05 | 2,331.31 |

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|---|--|--|---|
| 600 shs., Nextera Energy Inc | 28,057.15 | 18,704.77 | 41,556.00 |
| 400 shs., Nextera Energy Inc | | | |
| 354 shs., Novartis AG Spon Adr | 19,014.69 | 19,014.69 | 30,458.16 |
| 104 shs., Occidental Petroleum Crp De | Purchased During Year | 7,836.15 | 7,031.44 |
| 1,781 shs., Oracle Corp | 48,200.40 | 44,438.55 | 59,982.26 |
| 1,642 shs., Oracle Corp | | | |
| 395 shs., Orix Corp | 15,284.08 | 14,084.57 | 25,567.36 |
| 364 shs., Orix Corp | | | |
| 988 shs., Pennymac Mortgage Investment Tr | 17,782.92 | Sold During Year | Sold During Year |
| 1,119 shs., Perficient Inc De | 7,996.63 | 7,589.29 | 18,181.44 |
| 1,062 shs., Perficient Inc De | | | |
| 700 shs., Philip Morris International Inc | 32,068.13 | 13,743.49 | 26,373.00 |
| 300 shs., Philip Morris International Inc | | | |
| 19.275 shs., PJT Partners Inc | Received During Year | Sold During Year | Sold During Year |
| 500 shs., Plum Creek Timber Co | 10,873.72 | 10,873.72 | 23,860.00 |
| 500 shs., Potash Corp Sask Inc Canada De | 17,723.45 | Sold During Year | Sold During Year |

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|---|--|--|---|
| 118 shs., Praxair Inc De | 12,958.00 | Sold During Year | Sold During Year |
| 346 shs., Proctor & Gamble Co. | 15,647.55 | 15,647.55 | 27,475.86 |
| 1,751 shs., Qualcomm Inc | 83,425.39 | 80,042.64 | 83,974.79 |
| 1,680 shs., Qualcomm Inc | | | |
| .1,200 shs., Rayonier Inc | 41,780.03 | 41,780.03 | 26,640.00 |
| .1,000 shs., Realty Income Corp REIT | 22,953.58 | 22,953.58 | 51,630.00 |
| 53 shs., Roche Hldg Ag Genus Ord | 15,990.10 | 14,481.60 | 13,253.94 |
| 48 shs., Roche Hldg Ag Genus Ord | | | |
| 500 shs., Rowe T Price Group Inc | 23,114.55 | 23,114.55 | 35,745.00 |
| 736 shs., Schlumberger Ltd Netherlands Antilles | 48,389.46 | 81,892.46 | 78,050.25 |
| 1,119 shs., Schlumberger Ltd Netherlands Antilles | | | |
| 218 shs., Siemens AG Spon Adr | 20,546.72 | Sold During Year | Sold During Year |
| 300 shs., SPDR Gold Tr Gold Shs | 37,904.98 | 37,904.98 | 30,438.00 |
| 131 shs., SPDR Trust Series 1 | Purchased During Year | 27,521.02 | 26,706.97 |
| 1,000 shs., Starwood PPY Trust Inc | Purchased During Year | 20,499.45 | 20,560.00 |

Ruth W. Brown Foundation
E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|--|--|--|---|
| 2,193 shs., Steel Dynamics Inc | 27,354.86 | 30,611.25 | 42,476.99 |
| 2,377 shs., Steel Dynamics Inc | | | |
| 381 shs., TE Connectivity Ltd | Purchased During Year | 26,482.97 | 24,616.41 |
| 347 shs., Teleflex Inc | 29,566.50 | 29,225.68 | 45,087.35 |
| 343 shs., Teleflex Inc | | | |
| 700 shs., The Southern Company | 21,807.07 | 15,576.48 | 23,395.00 |
| 500 shs., The Southern Company | | | |
| 680 shs., Thermo Fisher Scientific Inc | 30,111.91 | 27,809.23 | 89,081.80 |
| 628 shs., Thermo Fisher Scientific Inc | | | |
| 600 shs., Untd Technologies Corp De | 42,562.02 | 21,281.01 | 28,821.00 |
| 300 shs., Untd Technologies Corp De | | | |
| 1,000 shs., Verizon Communications Inc | 47,795.95 | 47,795.95 | 46,220.00 |
| 377 shs., Visa Inc CL A | 27,146.04 | 22,969.73 | 98,953.80 |
| 1,276 shs., Visa Inc CL A | | | |
| 596 shs., Walt Disney Co | 18,958.27 | 17,495.05 | 57,794.00 |
| 550 shs., Walt Disney Co | | | |
| 772 shs., Whole Foods Markets Inc | 35,764.44 | Sold During Year | Sold During Year |

Ruth W. Brown Foundation
 E.I.N. 06-1409590
Balance Sheet - Part II

| <u>Line 10b -Corporate Stocks</u> | <u>Book Value at the Start of the Year</u> | <u>Book Value at the End of the Year</u> | <u>Fair Market Value at the End of Year</u> |
|-----------------------------------|--|--|---|
| 600 shs., Williams Co Inc | 27,391.69 | 27,391.69 | 15,420.00 |
| 576 shs., Xilinx Inc | <u>30,153.73</u> | <u>30,153.73</u> | <u>27,054.72</u> |
| Total Line 10b: | <u>\$ 2,033,071.99</u> | <u>\$ 1,924,542.28</u> | <u>\$ 2,936,769.90</u> |

The Ruth W. Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date</u> | | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|--|---------------------|-----------------|-------------|--------------------|--------------------|---------------------|
| | | <u>Acquired</u> | <u>Sold</u> | | | |
| <u>A. Property Sold for a Gain</u> | | | | | | |
| <u>Short Term Gain</u> | | | | | | |
| American Airils Group Inc 11 shares | Purchased | 10/20/2014 | 3/16/2015 | \$ 524.25 | \$ 333.29 | \$ 190.96 |
| AON Plc CL A 5 shares | Purchased | 3/20/2014 | 3/16/2015 | 480.40 | 416.43 | 63.97 |
| Whole Foods Markets Inc 161 shares | Purchased | 4/8/2014 | 3/16/2015 | 8,719.04 | 7,458.65 | 1,260.39 |
| <u>Short Term Capital Gain</u> | | | | | | |
| <u>Long Term Gain</u> | | | | | | |
| Accenture Ltd CL A De 61 shares | Purchased | 5/31/2006 | 3/16/2015 | \$ 5,398.79 | \$ 1,733.16 | \$ 3,665.63 |
| Adobe Systems Inc 43 shares | Purchased | Various | 3/16/2015 | 3,276.53 | 1,442.42 | 1,834.11 |
| Amazon Com Inc 10 shares | Purchased | 2/1/2012 | 5/12/2015 | 4,270.98 | 1,756.42 | 2,514.56 |
| Anadarko Petroleum Corp 13 shares | Purchased | 5/5/2010 | 12/17/2015 | 604.16 | 453.53 | 150.63 |
| | | | | <u>\$ 9,723.69</u> | <u>\$ 8,208.37</u> | <u>\$ 1,515.32</u> |

The Ruth W Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|---|---------------------|----------------------|------------------|--------------------|-------------------|---------------------|
| | | | | | | |
| Arkema Spoons Adr 9 shares | Purchased | 2/22/2011 | 3/16/2015 | 691.75 | 653.67 | 38.08 |
| Bank of New York Mellon Corp 50 shares | Purchased | 10/30/2009 | 3/16/2015 | 1,975.11 | 1,393.05 | 582.06 |
| Becton Dickinson & Co 100 shares | Purchased | 6/8/2012 | 6/24/2015 | 14,097.22 | 7,177.82 | 6,919.40 |
| Blackstone Group LP 58 shares | Purchased | 6/17/2013 | 3/16/2015 | 2,230.79 | 1,212.72 | 1,018.07 |
| 131 shares | Purchased | 6/17/2013 | 5/12/2015 | 5,529.60 | 2,739.06 | 2,790.54 |
| CBS Corp New CL B 48 shares | Purchased | 2/24/2010 | 3/16/2015 | 2,852.90 | 642.54 | 2,210.36 |
| Cdn Pacific Ry Ltd New 29 shares | Purchased | 12/5/2012 | 3/16/2015 | 5,371.79 | 2,834.92 | 2,536.87 |
| Centurylink Inc 594 shares | Purchased | 10/18/2011 | 5/12/2015 | 20,558.61 | 20,432.00 | 126.61 |
| Cognizant Tech Solutions 58 shares | Purchased | 5/21/2009 | 3/16/2015 | 3,511.05 | 1,509.93 | 2,001.12 |
| Corning Inc 2,000 shares | Purchased | Various | 5/5/2015 | 42,657.06 | 33,206.40 | 9,450.66 |

The Ruth W. Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|--|---------------------|----------------------|------------------|--------------------|-------------------|---------------------|
| | | | | | | |
| Danaher Corp De 36 shares | Purchased | 5/7/2008 | 3/16/2015 | 3,052.12 | 1,376.57 | 1,675.55 |
| Genl Dynamics Corp 42 shares | Purchased | 10/17/2011 | 3/16/2015 | 5,591.60 | 2,583.81 | 3,007.79 |
| Intel Corp 700 shares | Purchased | Various | 3/16/2015 | 22,916.89 | 6,982.98 | 15,933.91 |
| Ivy Sci & Tech I 511,323 shares | Purchased | 3/12/2013 | 3/16/2015 | 30,485.05 | 21,785.09 | 8,699.96 |
| Johnson & Johnson 300 shares | Purchased | 6/7/2006 | 7/22/2015 | 30,014.56 | 21,150.06 | 8,864.50 |
| L Brands Inc 171 shares | Purchased | 1/15/2013 | 3/16/2015 | 15,482.02 | 8,268.40 | 7,213.62 |
| 36 shares | Purchased | 1/15/2013 | 4/7/2015 | 3,384.29 | 1,740.72 | 1,643.57 |
| Lyondellbasell Nv CL A 33 shares | Purchased | 4/17/2012 | 3/16/2015 | 2,776.17 | 1,401.84 | 1,374.33 |
| Muenchener Rueck-Unsps Adr 165 shares | Purchased | 5/5/2011 | 1/23/2015 | 3,287.13 | 2,611.85 | 675.28 |
| 407 shares | Purchased | 5/5/2011 | 5/14/2015 | 7,863.90 | 6,442.57 | 1,421.33 |
| 1,223 shares | Purchased | Various | 5/15/2015 | 23,640.15 | 19,359.37 | 4,280.78 |

The Ruth W Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|---------------------------------|---------------------|----------------------|------------------|--------------------|-------------------|---------------------|
| | | | | | | |
| Mylan N V | | | | | | |
| 155 shares | Purchased | 11/9/2007 | 3/16/2015 | 9,109.18 | 2,317.34 | 6,791.84 |
| 694 shares | Purchased | Various | 4/14/2015 | 49,503.77 | 10,375.70 | 39,128.07 |
| 217 shares | Purchased | Various | 4/24/2015 | 15,939.61 | 3,244.27 | 12,695.34 |
| Nasper's Ltd Spon Adr | | | | | | |
| 66 shares | Purchased | 5/5/2008 | 3/16/2015 | 9,175.74 | 1,476.14 | 7,699.60 |
| 179 shares | Purchased | 5/5/2008 | 3/27/2015 | 27,301.23 | 4,003.46 | 23,297.77 |
| Nestle S A Reg B Adr | | | | | | |
| 200 shares | Purchased | 3/17/2003 | 2/2/2015 | 15,404.87 | 6,549.50 | 8,855.37 |
| Nextera Energy Inc | | | | | | |
| 200 shares | Purchased | 2/9/2010 | 6/24/2015 | 20,394.71 | 9,352.38 | 11,042.33 |
| Oracle Corp | | | | | | |
| 139 shares | Purchased | Various | 3/16/2015 | 5,803.82 | 3,761.85 | 2,041.97 |
| Orix Corp | | | | | | |
| 31 shares | Purchased | 10/4/2010 | 3/16/2015 | 2,152.03 | 1,199.51 | 952.52 |
| Pennymac Mortgage Investment Tr | | | | | | |
| 988 shares | Purchased | 2/11/2011 | 5/12/2015 | 17,994.70 | 17,782.92 | 211.78 |
| Perficient Inc De | | | | | | |
| 57 shares | Purchased | 3/13/2008 | 3/16/2015 | 1,109.82 | 407.34 | 702.48 |

The Ruth W Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|---|---------------------|----------------------|------------------|--------------------|-------------------|---------------------|
| | | | | | | |
| Philip Morris International Inc 200 shares | Purchased | 9/23/2008 | 2/26/2015 | 16,536.11 | 9,162.32 | 7,373.79 |
| 200 shares | Purchased | 11/19/2008 | 4/23/2015 | 16,966.94 | 9,162.32 | 7,804.62 |
| PJT Partners Inc 0.275 shares | Spin Off | 6/17/2013 | 10/1/2015 | 5.73 | 3.99 | 1.74 |
| 19 shares | Spin Off | 6/17/2013 | 10/5/2015 | 397.59 | 275.91 | 121.68 |
| Praxair Inc De 118 shares | Purchased | 1/30/2013 | 4/7/2015 | 14,256.16 | 12,958.00 | 1,298.16 |
| Qualcomm Inc 71 shares | Purchased | Various | 3/16/2015 | 5,056.75 | 3,382.75 | 1,674.00 |
| Schlumberger Ltd 30 shares | Purchased | Various | 3/16/2015 | 2,436.72 | 2,131.92 | 304.80 |
| Siemens AG Spon Adr 218 shares | Purchased | Various | 10/28/2015 | 21,931.57 | 20,546.72 | 1,384.85 |
| Steel Dynamics Inc 28 shares | Purchased | 6/24/2010 | 3/16/2015 | 487.33 | 360.59 | 126.74 |
| Teleflex Inc 4 shares | Purchased | 2/22/2013 | 3/16/2015 | 477.88 | 340.82 | 137.06 |
| The Southern Company 200 shares | Purchased | 8/11/2009 | 3/11/2015 | 8,808.91 | 6,230.59 | 2,578.32 |

The Ruth W. Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|---|---------------------|----------------------|------------------|----------------------|----------------------|----------------------|
| | | | | | | |
| Thermo Fisher Scientific Inc 52 shares | Purchased | 11/17/2008 | 3/16/2015 | 6,637.09 | 2,302.68 | 4,334.41 |
| United Technologies Corp 300 shares | Purchased | Various | 7/27/2015 | 30,428.49 | 21,281.01 | 9,147.48 |
| Visa Inc CL A 50 shares | Purchased | 6/4/2010 | 2/3/2015 | 12,392.54 | 3,600.27 | 8,792.27 |
| | Purchased | 6/4/2010 | 3/16/2015 | 2,125.42 | 576.04 | 1,549.38 |
| Walt Disney Co Holding 46 shares | Purchased | 8/25/2008 | 3/16/2015 | 4,760.24 | 1,463.22 | 3,297.02 |
| Long Term Capital Gain | | | | <u>\$ 579,115.17</u> | <u>\$ 325,140.46</u> | <u>\$ 253,974.71</u> |
| <u>Capital Gain Distribution</u> | | | | | | |
| Blackstone Group LP 1,001 shares | Purchased | Various | N/A | \$ 1,272.45 | \$ - | \$ 1,272.45 |
| Ivy Sci & Tech I 1,286.137 shares | Purchased | 3/12/2013 | N/A | 1,584.38 | - | 1,584.38 |
| Capital Gain Distribution | | | | \$ 2,856.83 | \$ - | \$ 2,856.83 |
| Total Gain | | | | <u>\$ 591,695.69</u> | <u>\$ 333,348.83</u> | <u>\$ 258,346.86</u> |

The Ruth W. Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|---|---------------------|----------------------|------------------|---------------------|---------------------|-----------------------|
| <u>B. Property Sold at a Loss</u> | | | | | | |
| <u>Short Term Loss</u> | | | | | | |
| Enesco Plc Class A 500 shares | Purchased | 5/19/2014 | 3/3/2015 | 12,115.48 | 25,432.35 | (13,316.87) |
| Grubhub Inc 532 shares | Purchased | 5/12/2015 | 12/3/2015 | 13,637.56 | 16,538.44 | (2,900.88) |
| Michael Kors Holdings Ltd 74 shares | Purchased | 2/10/2015 | 12/4/2015 | 3,126.74 | 5,142.59 | (2,015.85) |
| Roche Hldg Ag Genus Ord 5 shares | Purchased | 12/12/2014 | 3/16/2015 | 1,291.27 | 1,508.50 | (217.23) |
| <u>Short Term Capital Loss</u> | | | | | | |
| <u>Long Term Loss</u> | | | | | | |
| Apache Corp De 12 shares | Purchased | Various | 3/16/2015 | 718.76 | 1,082.40 | (363.64) |
| 150 shares | Purchased | Various | 11/10/2015 | 7,802.06 | 13,529.95 | (5,727.89) |
| Banco Bilbao Viz Arg Sa Ads 194 shares | Purchased | 3/20/2013 | 3/16/2015 | 1,822.59 | 1,963.71 | (141.12) |
| | | | | <u>\$ 30,171.05</u> | <u>\$ 48,621.88</u> | <u>\$ (18,450.83)</u> |

The Ruth W Brown Foundation, Inc.
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|--|---------------------|----------------------|------------------|--------------------|-------------------|---------------------|
| Google Inc CL C 0.091 shares | Received | 4/22/2010 | 5/4/2015 | 50.66 | 52.25 | (1.59) |
| Gulfport Energy Corp 485 shares | Purchased | 3/11/2013 | 8/24/2015 | 15,780.48 | 20,303.51 | (4,523.03) |
| Michael Kors Holdings Ltd 384 shares | Purchased | Various | 12/4/2015 | 16,225.23 | 24,459.23 | (8,234.00) |
| Mosaic Company 800 shares | Purchased | Various | 9/14/2015 | 32,409.65 | 34,633.30 | (2,223.65) |
| Potash Corp Sask Inc Canada De 500 shares | Purchased | 8/22/2014 | 10/29/2015 | 10,955.80 | 17,723.45 | (6,767.65) |
| Whole Foods Markets Inc 611 shares | Purchased | Various | 7/30/2015 | 22,016.30 | 28,305.79 | (6,289.49) |
| Long Term Capital Loss | | | | \$ 107,781.53 | \$ 142,053.59 | \$ (34,272.06) |
| Total Loss | | | | \$ 137,952.58 | \$ 190,675.47 | \$ (52,722.89) |
| <u>No Gain/No Loss/Expired</u> | | | | | | |
| Banco Bilbao Adr Rts 2,578 rights | Received | 12/22/2014 | 1/22/2015 | - | - | - |

The Ruth W Brown Foundation, Inc
 EIN 06-1409590
 Part IV-Capital Gains and Losses

| <u>Describe Property Sold</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Sales Price</u> | <u>Cost/basis</u> | <u>Gain or Loss</u> |
|--------------------------------------|---------------------|----------------------|------------------|--------------------|-------------------|---------------------|
| Banco Bilbao Adr Rts 2,384 rights | Received | 3/30/2015 | 5/1/2015 | - | - | - |
| Banco Bilbao Adr Rts 3,349 rights | Received | 10/5/2015 | 11/2/2015 | - | - | - |
| Total No Gain/No Loss/Expired | | | | \$ - | \$ - | \$ - |

The Ruth W. Brown Foundation, Inc
 E.I.N. 06-1409590
 Part XV-Charitable Contributions

| <u>Name and Address of Recipient</u> | <u>Status</u> | <u>Purpose of Grant</u> | <u>Amount of Grant</u> |
|---|---------------|---------------------------------------|------------------------|
| Child Care Learning Center 64 Palmers Hill Road Stamford, CT 06902 | Public | To support general operating expenses | \$ 3,000.00 |
| Child Guidance Center 196 Greyrock Place Stamford, CT 06901 | Public | To support general operating expenses | 6,000.00 |
| Children's Day School 8 Riverside Avenue Riverside, CT 06878 | Public | To support general operating expenses | 2,500.00 |
| Community Health Center, Inc. 635 Main Street Middletown, CT 06457 | Public | To support general operating expenses | 4,000.00 |
| Domus 83 Lockwood Avenue Stamford, CT 06902 | Public | To support general operating expenses | 6,000.00 |
| Fairfield County Community Foundation 383 Main Avenue Norwalk, CT 06851 | Public | To support general operating expenses | 2,000.00 |
| Family Centers 90 Arch Street PO Box 7550 Greenwich, CT 06836-7550 | Public | To support general operating expenses | 27,000.00 |

The Ruth W. Brown Foundation, Inc
 EIN 06-1409590
 Part XV-Charitable Contributions

| <u>Name and Address of Recipient</u> | <u>Status</u> | <u>Purpose of Grant</u> | <u>Amount of Grant</u> |
|---|---------------|---------------------------------------|------------------------|
| Greenwich Arts Council 299 Greenwich Avenue Greenwich, CT 06830 | Public | To support general operating expenses | 4,000.00 |
| Hill House Senior Residence 10 Riverside Avenue Riverside, CT 06878 | Public | To support the capital campaign | 4,000.00 |
| Inspirica, Inc. 141 Franklin Street Stamford, CT 06901 | Public | To support general operating expenses | 18,000.00 |
| Jewish Family Services of Greenwich One Holly Hill Lane Greenwich, CT 06830 | Public | To support general operating expenses | 4,000.00 |
| Junior League of Greenwich 231 East Putnam Avenue Greenwich, CT 06830 | Public | To support general operating expenses | 2,000.00 |
| Kids in Crisis One Salem Street Cos Cob, CT 06807 | Public | To support general operating expenses | 8,000.00 |
| Kids in Need Foundation 3055 Kettering Blvd., Suite 119 Dayton, OH 45439 | Public | To support general operating expenses | 1,000.00 |

The Ruth W Brown Foundation, Inc
 EIN 06-1409590
 Part XV-Charitable Contributions

| <u>Name and Address of Recipient</u> | <u>Status</u> | <u>Purpose of Grant</u> | <u>Amount of Grant</u> |
|--|---------------|---------------------------------------|------------------------|
| Lighthouse Guild International, Inc. 15 West 65th Street New York, NY 10023-6601 | Public | To support general operating expenses | 1,000.00 |
| Meals-on-Wheels 89 Maple Avenue Greenwich, CT 06830-5652 | Public | To support general operating expenses | 2,000.00 |
| Neighbor to Neighbor 248 East Putnam Avenue Greenwich, CT 06830 | Public | To support capital campaign | 5,000.00 |
| Neighbors Link Stamford 75 Selleck Street Stamford, CT 06902 | Public | To support general operating expenses | 6,000.00 |
| Operation Smile 3641 Faculty Boulevard Virginia Beach, VA 23453 | Public | To support general operating expenses | 1,000.00 |
| Person-to-Person 1864 Post Road Darien, CT 06820 | Public | To support general operating expenses | 12,000.00 |
| Portsmouth Music and Arts Center 973 Islington Street Portsmouth, NH 03801 | Public | To support capital campaign | 5,000.00 |

The Ruth W Brown Foundation, Inc.
 E I N. 06-1409590
 Part XV-Charitable Contributions

| <u>Name and Address of Recipient</u> | <u>Status</u> | <u>Purpose of Grant</u> | <u>Amount of Grant</u> |
|--|---------------|---------------------------------------|------------------------|
| River House Adult Day Care Center 125 River Road Extension Cos Cob, CT 06807 | Public | To support general operating expenses | 1,000.00 |
| Shakespeare on the Sound P.O. Box 15 Norwalk, CT 06853 | Public | To support general operating expenses | 6,000.00 |
| Sound Waters Cove Island Park 1281 Cove Road Stamford, CT 06902 | Public | To support general operating expenses | 6,000.00 |
| Stamford Public Education Foundation 733 Summer Street, Suite 203 Stamford, CT 06901 | Public | To support general operating expenses | 2,000.00 |
| Stamford Symphony 263 Tresser Boulevard Stamford, CT 06901 | Public | To support general operating expenses | 1,000.00 |
| Teach for America 370 James Street, Suite 404 New Haven, CT 06513 | Public | To support general operating expenses | 5,000.00 |
| United Way of Greenwich/Stamford 62 Palmers Hill Road Stamford, CT 06902 | Public | To support general operating expenses | 1,000.00 |

The Ruth W. Brown Foundation, Inc.
 E.I.N. 06-1409590
 Part XV-Charitable Contributions

| <u>Name and Address of Recipient</u> | <u>Status</u> | <u>Purpose of Grant</u> | <u>Amount of Grant</u> |
|---|---------------|---------------------------------------|------------------------|
| Women's Mentoring Network, Inc. 141 Franklin Street Stamford, CT 06901 | Public | To support general operating expenses | 10,000.00 |
| Women's Business Dev Ctr 184 Bedford Street, Suite 201 Stamford, CT 06901 | Public | To support general operating expenses | 20,000.00 |
| Wounded Warrior Proejct PO Box 758517 Topeka, KS 66675 | Public | To support general operating expenses | 1,000.00 |
| Total Contributions | | | \$ 176,500.00 |