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EXTENDED TO NOVEMBER 15, 2016

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation CONNECTICUT HEALTH FOUNDATION, INC.		A Employer identification number 06-1057387
Number and street (or P O box number if mail is not delivered to street address) 100 PEARL STREET	Room/suite	B Telephone number 860-724-1580
City or town, state or province, country, and ZIP or foreign postal code HARTFORD, CT 06103		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 100,353,279	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,878,397.	1,329,223.	1,878,397.	SEE STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<2,795,463.>			
	b Gross sales price for all assets on line 6a	51,858,201.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<917,066.>	1,329,223.	1,878,397.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	636,528.	0.	0.	57,400.
	14 Other employee salaries and wages	461,503.	0.	0.	1,040,631.
	15 Pension plans, employee benefits	224,104.	0.	0.	224,998.
	16a Legal fees	5,653.	0.	0.	5,653.
	b Accounting fees				
	c Other professional fees	659,849.	472,920.	0.	186,929.
	17 Interest				
	18 Taxes	10,554.	0.	0.	348,389.
	19 Depreciation and depletion	46,179.	0.	0.	
	20 Occupancy	166,409.	0.	0.	172,950.
	21 Travel, conferences, and meetings	25,118.	0.	0.	25,118.
	22 Printing and publications				
	23 Other expenses	821,564.	0.	0.	817,083.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,057,461.	472,920.	0.	2,879,151.
	25 Contributions, gifts, grants paid	2,951,534.			3,000,470.
26 Total expenses and disbursements. Add lines 24 and 25	6,008,995.	472,920.	0.	5,879,621.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<6,926,061.>				
b Net investment income (if negative, enter -0-)		856,303.			
c Adjusted net income (if negative, enter -0-)			1,878,397.		

523501 11-24-15 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2015)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,245,468.	726,743.	726,743.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts	24,905.		
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	38,216.	327,134.	327,134.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	108,156,409.	99,124,788.	99,124,788.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis		429,090.		
Less: accumulated depreciation		254,476.	203,951.	174,614.
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		109,668,949.	100,353,279.	100,353,279.
17 Accounts payable and accrued expenses		232,429.	172,584.	
18 Grants payable		1,592,488.	1,543,553.	
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)	1,824,917.	1,716,137.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	107,844,032.	98,637,142.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	107,844,032.	98,637,142.		
31 Total liabilities and net assets/fund balances	109,668,949.	100,353,279.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	107,844,032.
2 Enter amount from Part I, line 27a	2	<6,926,061.>
3 Other increases not included in line 2 (itemize) <u>ADJUSTMENT FOR GAINS (LOSSES) REPORTED ON K-1</u>	3	602,229.
4 Add lines 1, 2, and 3	4	101,520,200.
5 Decreases not included in line 2 (itemize) <u>UNREALIZED LOSSES</u>	5	2,883,058.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	98,637,142.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLIC TRADED SECURITIES		P	VARIOUS	VARIOUS
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 51,858,201.		54,653,664.	<2,795,463.>	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			<2,795,463.>	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2 <2,795,463.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		3 <2,795,463.>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	6,056,424.	110,827,603.	.054647
2013	5,985,834.	108,460,028.	.055189
2012	6,132,061.	104,449,945.	.058708
2011	6,820,913.	107,406,374.	.063506
2010	6,874,691.	110,332,877.	.062309

2 Total of line 1, column (d)	2	.294359
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058872
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	105,055,621.
5 Multiply line 4 by line 3	5	6,184,835.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,563.
7 Add lines 5 and 6	7	6,193,398.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	5,879,621.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	17,126.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17,126.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	17,126.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	264,264.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	264,264.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	42.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	247,096.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> 247,096. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.CTHEALTH.ORG	13	X
14 The books are in care of CONNECTICUT HEALTH FOUNDATION, INC. Telephone no. 860-724-1580 Located at 100 PEARL STREET, HARTFORD, CT ZIP+4 06103		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		636,528.	62,090.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARYLAND GRIER	SENIOR COMMUNICATIONS OFFICER			
100 PEARL STREET, HARTFORD, CT 06103	40.00	85,534.	9,534.	0.
YOLANDA CALDERA-DURANT	SENIOR PROGRAM OFFICER			
100 PEARL STREET, HARTFORD, CT 06103	40.00	66,358.	6,861.	0.
ROCHEL LANTZ	CONTROLLER			
100 PEARL STREET, HARTFORD, CT 06103	24.00	67,242.	2,000.	0.
YOLANDA WILSON	OFFICE MANAGER			
100 PEARL STREET, HARTFORD, CT 06103	40.00	57,952.	8,042.	0.
KELLY RAGO	GRANTS MANAGER			
100 PEARL STREET, HARTFORD, CT 06103	40.00	49,367.	10,694.	0.
Total number of other employees paid over \$50,000				0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES, LLC 125 HIGH STREET, BOSTON, MA 02110	INVESTMENT MANAGEMENT SERVICES	286,961.
NEW ENGLAND APPLIED RESEARCH GROUP, SIGMAWORKS 17 ROSEMARY STREET, NEEDHAM, MA 02155	CONSULTING	50,000.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	104,878,045.
b Average of monthly cash balances	1b	1,275,660.
c Fair market value of all other assets	1c	501,748.
d Total (add lines 1a, b, and c)	1d	106,655,453.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	106,655,453.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,599,832.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	105,055,621.
6 Minimum investment return. Enter 5% of line 5	6	5,252,781.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	5,252,781.
2a Tax on investment income for 2015 from Part VI, line 5	2a	17,126.
b Income tax for 2015. (This does not include the tax from Part VI.)	2b	6,549.
c Add lines 2a and 2b	2c	23,675.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	5,229,106.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	5,229,106.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,229,106.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,879,621.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,879,621.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,879,621.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				5,229,106.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	1,517,571.			
b From 2011	1,500,283.			
c From 2012	990,195.			
d From 2013	640,812.			
e From 2014	782,633.			
f Total of lines 3a through e	5,431,494.			
4 Qualifying distributions for 2015 from Part XII, line 4: \blacktriangleright \$				5,879,621.
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				5,229,106.
e Remaining amount distributed out of corpus	650,515.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,082,009.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	1,517,571.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	4,564,438.			
10 Analysis of line 9:				
a Excess from 2011	1,500,283.			
b Excess from 2012	990,195.			
c Excess from 2013	640,812.			
d Excess from 2014	782,633.			
e Excess from 2015	650,515.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 8		501 (C) (3)	ORAL HEALTH	472,083.
SEE STATEMENT 8		501 (C) (3)	CHILDREN'S MENTAL HEALTH	97,071.
SEE STATEMENT 8		501 (C) (3)	HEALTH EQUITY	1,930,071.
SEE STATEMENT 8		501 (C) (3)	CROSS PRIORITY	427,500.
SEE STATEMENT 8		501 (C) (3)	OTHER	73,745.
Total				3,000,470.
b Approved for future payment				
SEE STATEMENT 8		501 (C) (3)	ORAL HEALTH	299,131.
SEE STATEMENT 8		501 (C) (3)	CHILDREN'S MENTAL HEALTH	50,429.
SEE STATEMENT 8		501 (C) (3)	HEALTH EQUITY	1,008,993.
Total				1,543,553.

SEE CONTINUATION SHEET(S)

3b

Form 990-PF (2015)

2015.04030 CONNECTICUT HEALTH FOUNDATI CHF002_1

3		Grants and Contributions Approved for Future Payment (Continuation)	
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Total from continuation sheets

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM INVESTMENTS	1,878,397.	0.	1,878,397.	0.	1,878,397.
TO PART I, LINE 4	1,878,397.	0.	1,878,397.	0.	1,878,397.

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	5,653.	0.	0.	5,653.
TO FM 990-PF, PG 1, LN 16A	5,653.	0.	0.	5,653.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	186,929.	0.	0.	186,929.
INVESTMENT FEES	472,920.	472,920.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	659,849.	472,920.	0.	186,929.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	10,554.	0.	0.	348,389.
TO FORM 990-PF, PG 1, LN 18	10,554.	0.	0.	348,389.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SUPPLIES	19,150.	0.	0.	19,150.	
TELEPHONE	18,966.	0.	0.	18,966.	
POSTAGE AND SHIPPING	4,725.	0.	0.	4,725.	
RENTAL EQUIPMENT	13,900.	0.	0.	13,900.	
BOARD EXPENSES	20,888.	0.	0.	20,888.	
OTHER	28,765.	0.	0.	28,765.	
DUES AND SUBSCRIPTIONS	5,552.	0.	0.	5,552.	
INSURANCE	20,695.	0.	0.	20,695.	
PROGRAM INITIATIVES	685,313.	0.	0.	680,832.	
OTHER CONTRIBUTIONS	3,610.	0.	0.	3,610.	
TO FORM 990-PF, PG 1, LN 23	821,564.	0.	0.	817,083.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
EQUITIES	99,124,788.	99,124,788.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	99,124,788.	99,124,788.		

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 7
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SANFORD CLOUD, JR. 100 PEARL STREET HARTFORD, CT 06103	CHAIR 4.00	8,000.	0.	0.
MARILYN ALVERIO 100 PEARL STREET HARTFORD, CT 06103	SECRETARY 3.00	4,750.	0.	0.
TINA BROWN-STEVENSON 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 3.00	3,900.	0.	0.
GREGORY B. BUTLER 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 3.00	3,250.	0.	0.
MARYANN PEREZ-BRESCIA 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	2,000.	0.	0.
J.C. DAVID HADDEN 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	5,500.	0.	0.
STEVEN J. HULEATT 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 3.00	5,500.	0.	0.
ROBERT KRZYS 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 4.00	0.	0.	0.
ELAINE O'KEEFE 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	4,000.	0.	0.
HAROLD L. RIVES 100 PEARL STREET HARTFORD, CT 06103	TREASURER 2.00	5,000.	0.	0.
ROBERT SCHREIBMAN 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 4.00	0.	0.	0.

CONNECTICUT HEALTH FOUNDATION, INC.

06-1057387

KENNETH ALLEYNE, M.D. 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	3,000.	0.	0.
DAVID NEWTON 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	4,500.	0.	0.
VICTOR VILLAGRA, M.D. 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	4,250.	0.	0.
JOHN CRAWFORD 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	2,250.	0.	0.
CHEKESHA C. KIDD 100 PEARL STREET HARTFORD, CT 06103	DIRECTOR 2.00	1,500.	0.	0.
PATRICIA BAKER 100 PEARL STREET HARTFORD, CT 06103	PRESIDENT & CEO 40.00	224,194.	11,810.	0.
CAROL POLLACK 100 PEARL STREET HARTFORD, CT 06103	VICE PRESIDENT FINANCE & O 40.00	144,928.	27,137.	0.
ELIZABETH KRAUSE 100 PEARL STREET HARTFORD, CT 06103	VICE PRESIDENT COMMUNICATI 40.00	108,994.	18,963.	0.
TIFFANY DONELSON 100 PEARL STREET HARTFORD, CT 06103	VICE PRESIDENT PROGRAM 40.00	101,012.	4,180.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		636,528.	62,090.	0.

CONNECTICUT HEALTH FOUNDATION
GRANTS APPROVED, PAID & OUTSTANDING IN 2015
EIN: 06-1009205
IRS FORM 990-PF
STATEMENT #8

	OUT- STANDING 12/31/14	GRANTS APPROVED	PAID THRU 12/31/15	OUT- STANDING 12/31/2015
HEALTH EQUITY				
Goal #1				
Objective 1 (a) - Health Insurance Navigation & Enrollment - System				
UCONN Health Disparities Institute		155,244		155,244
Eastern AHEC		25,000	25,000	-
Sub-total	-	180,244	25,000	155,244
Objective 1 (b) - Health Insurance Navigation & Enrollment - Consumer				
FAIR Health, Inc		120,000	60,000	60,000
Asian Pacific Is. Am Health Forum/APIAHF & APAAC)		50,000	25,000	25,000
Hispanic Federation		50,000	25,000	25,000
Hispanic Health Council		50,000	25,000	25,000
Hispanic Alliance		50,000	25,000	25,000
Sub-total	-	320,000	160,000	160,000
HE - Goal 1 - Objective 1	-	500,244	185,000	315,244
Objective 2 - Integration				
National Alliance on Mental Illness (NAMI)		25,000	25,000	-
Community Health Center, Inc		50,000		50,000
St Francis Hospital & Medical Center		75,000	37,500	37,500
Cliffords Bears Child Guidance Clinic		50,000	25,000	25,000
HE - Goal 1 - Objective 2	-	200,000	87,500	112,500
HEALTH EQUITY				
Objective 3 - Quality				
CT Hospital Association - 18 months		100,000	100,000	-
CT Children's Medical Center - PCMH/Care coordin		75,000	37,500	37,500
CT State Medical Society		105,000		105,000
CT Children's Medical Cntr		120,000		120,000
Office of the Healthcare Advocate		125,000	62,500	62,500
Hartford Healthcare (Natchaug Hospital)		100,000	50,000	50,000
HE - Goal 1 - Objective 3	-	625,000	250,000	375,000
Objective 4 - Safety net				
CT Assn Sch Based Health Centers		75,000	75,000	-
Partnership for Strong Communities		75,000	37,500	37,500

	OUT- STANDING 12/31/14	GRANTS APPROVED	PAID THRU 12/31/15	OUT- STANDING 12/31/2015
HE - Goal 1 - Objective 4	-	150,000	112,500	37,500
GOAL 1 - TOTAL	-	1,475,244	635,000	840,244

Goal #2

Health Equity Solutions (Fiscal Agt CT Legal Services)		300,000	150,000	150,000
Connecticut Legal Services (Fiscal Agnt HealthJusticeCT)	300,000		300,000	-
GOAL 2 - TOTAL	300,000	300,000	450,000	150,000

Health Equity/REHD (previous to 2014)

Community Catalyst	50,000		50,000	-
Community Health Center Assn of CT	25,000		25,000	-
Community Health Services, Inc.	75,000		56,250	18,750
CT Assn School Based Health Ctrs	75,000		75,000	-
CT Children's Medical Center		(205) **	(205)	0
CT Choosing Wisely Collaborative/CT Cntr Primary	45,000		45,000	-
Connecticut State Medical Society	38,313		38,313	-
Gr New England Minority Supplier Dev Cncl (GNEM)	24,375		24,375	-
Harvard College - Pres & Fellows/School Public Hea	49,913		49,913	-
Hispanic Alliance of S E CT/AA Health Cncl S E CT	25,000		25,000	-
Hispanic Federation	25,000		25,000	-
NAMI CT	30,000		30,000	-
Optimus Health Care Inc.	50,000		50,000	-
Society for New Communications	70,000		70,000	-

Health Equity/REHD (previous to 2014)

University of CT Health Center (2010-2013)		(18,532) **	(18,532)	-
Saint Francis Hospital 2012 (two years)	50,000		50,000	-
Sub-total Health Equity	632,601	(18,737)	595,114	18,750

TOTAL HEALTH EQUITY	932,601	1,756,507	1,680,114	1,008,994
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ORAL HEALTH

Goal #1

CT Oral Health Initiative		100,000	75,000	25,000
Bridgeport Child Advocacy Coalition		40,000	20,000	20,000
Community Health Center Assn of CT		40,000	20,000	20,000
CT Latino & Puerto Rican Affairs Commission		40,000	20,000	20,000
	-	220,000	135,000	85,000

Goal #2

Cornell Scott-Hill Health		125,000	47,370	77,630
	-	125,000	47,370	77,630

Cross Goal

	OUT- STANDING 12/31/14	GRANTS APPROVED	PAID THRU 12/31/15	OUT- STANDING 12/31/2015
Children's Dental Health Project		25,000	12,500	12,500
<u>Oral Health (previous to 2014)</u>				
Connecticut Assn School Based Health Centers	20,000		20,000	-
Connecticut Oral Health Initiative (COHI)	25,000		25,000	-
CT Voices for Children return of unused grant funds	-	(4,000) **	(4,000) **	-
Gr Hartford Legal Aid	248,000		124,000	124,000
Quinnipiac Valley Health District	20,000		20,000	-
United CT Action for Neighborhoods (UCAN)	20,000		20,000	-
The Institute for Community Research	15,000		15,000	-
UConn Health Cntr/Low Income write off		(4,675)	-	(4,675)
UConn Health Center/Low Income Pregnant Women	61,888		57,213	4,675
	409,888	(8,675)	277,213	124,001
Sub-total Oral Health	409,888	361,325	472,083	299,131

CHILDREN'S MENTAL HEALTH

Goal #1

Obj. #1 -Early ID and intervention

Center for Children's Advocacy	65,000	32,500	32,500
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Children's Mental Health (previous to 2014)

Center for Children's Advocacy Year 2 (2014)	37,500		37,500	-
Manchester Youth Service Bureau 2012 (8 months)	25,000		7,071	17,929
Sub-total Children's Mental Health	62,500	65,000	77,071	50,429

CROSS PRIORITY

CT Voices for Children	100,000	50,000	50,000
CT Legal Services Collaborative (N H Legal Assis Assn Fiscal Agt)	70,000	35,000	35,000
UCAN	50,000	50,000	-
CT News Project	75,000	37,500	37,500
Legal Services Advoc Collab (Fiscal Agt. CT Legal Svcs)	75,000	37,500	37,500
Connecticut Public Broadcasting	50,000	25,000	25,000

Cross Priority (previous to 2014)

Connecticut News Project	37,500		37,500	-
Connecticut Voices for Children	100,000		100,000	-
New Haven Legal Svcs Adv Collab (fiscal agent New Haven Legal Assistance Assn)	50,000		50,000	-
Sub-total Cross Priority	187,500	420,000	422,500	185,000
SUB-TOTAL	1,592,489	2,602,832	2,651,767	1,543,554

OTHER GRANTS

COF

	OUT- STANDING 12/31/14	GRANTS APPROVED	PAID THRU 12/31/15	OUT- STANDING 12/31/2015
CT Center for Primary Care - Choosing Wisely		5,000	5,000	-
CT Food Bank		10,000	10,000	
Dental Benefit Plans of Minnestoa		3,000	3,000	
Food Share		10,000	10,000	
GIH		5,000	5,000	-
GIH (Funding Ptnr Contribution)		6,325	6,325	-
Grantmakers for Effective Organizations		1,420	1,420	-
Operation Fuel		10,000	10,000	-
CT Council for Philanthropy Leadership Fund		11,200	11,200	-
CT Council for Philanthropy		11,800	11,800	-
Sub-total Other	-	73,745	73,745	-

DISCRETIONARY GRANTS

Oral Health

Sub-total		-	-	-
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Childrens' Mental Health

Middlesex Hospital		20,000	20,000	-
Sub-total		20,000	20,000	-

Health Equity

Active City, Inc		5,000	5,000	-
Center for Medicare Advocacy		5,000	5,000	-
City of Hartford Dept Health & Human Svcs		25,000	25,000	-
Community Health Services, Inc		(25,000)	(25,000)	-
CSMS Phy Health & Edu Fund		25,000	25,000	-
CT Association of Non Profits		10,000	10,000	-
CT Health I-Team		25,000	25,000	-
CT Health Quarterly, Inc		25,000	25,000	-
Generations Family Health Center, Inc.		25,000	25,000	-
Hartford Communities That Care		5,000	5,000	-
Hartford Public Access TV		5,000	5,000	-
NAMI - CT		5,000	5,000	-
Reg Data Coop Gr N H dba DataHaven		15,000	15,000	-
Southwestern AHEC		2,500	2,500	-
St Francis Hospital & Med Cntr		25,000	25,000	-
University of CT Health Center		22,457	22,457	-
URU, The Right to Be, Inc		25,000	25,000	-
YMCA of Metro Hartford, Inc		25,000	25,000	-
Sub-total		249,957	249,957	-

Cross Priority

CHART		5,000	5,000	-
Sub-total		5,000	5,000	-

Other Grants

	OUT- STANDING 12/31/14	GRANTS APPROVED	PAID THRU 12/31/15	OUT- STANDING 12/31/2015
Sub-total		-	-	-
Sub-total Discretionary	-	\$ 274,957	274,957	\$ -
TOTAL GRANTS	1,592,489	2,951,534	3,000,469	1,543,554