NORWOTTOCK 07/22/2016 9 26 AM

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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

◆ Do not enter social security numbers on this form as it may be made public.
◆ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: NORWOTTOCK CHARITABLE TRUST

A Employer identification number: 04-6561597

Number and street (or P O box number if mail is not delivered to street address): 2440 NORTH LAKEVIEW AVENUE, #15A

Room/suite:

B Telephone number (see instructions): 773-404-5566

City or town, state or province, country, and ZIP or foreign postal code: CHICAGO IL 60614

C If exemption application is pending, check here ◆ [ ]

D 1. Foreign organizations, check here ◆ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ◆ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ◆ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ◆ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ◆ $ 450,032

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ◆ [X] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	3	3		
	4	Dividends and interest from securities	10,465	10,465		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	80,231			
	b	Gross sales price for all assets on line 6a 199,810				
	7	Capital gain net income (from Part IV, line 2)		80,231		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	90,699	90,699	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 1	3,850			3,850
	c	Other professional fees (attach schedule) Stmt 2	3,496	3,496		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) Stmt 3	1,195	1,195		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 4	37	37		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,578	4,728	0	3,850
	25	Contributions, gifts, grants paid	194,380			194,380
	26	**Total expenses and disbursements.** Add lines 24 and 25	202,958	4,728	0	198,230
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	-112,259			
	b	**Net investment income** (if negative, enter -0-)		85,971		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2015)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	5,842	-8,516	-8,516
	3	Accounts receivable ◆			
		Less. allowance for doubtful accounts ◆			
	4	Pledges receivable ◆			
		Less. allowance for doubtful accounts ◆			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ◆			
		Less allowance for doubtful accounts ◆ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) See Stmt 5	231,506	141,918	383,630
	c	Investments – corporate bonds (attach schedule) See Stmt 6	43,438	35,778	34,695
	11	Investments – land, buildings, and equipment basis ◆			
		Less accumulated depreciation (attach sch) ◆			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) See Statement 7	40,957	40,303	40,223
	14	Land, buildings, and equipment basis ◆			
		Less accumulated depreciation (attach sch) ◆			
	15	Other assets (describe ◆)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	321,743	209,483	450,032
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ◆)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ◆ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ◆ ☒			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	321,743	209,483	
	30	**Total net assets or fund balances** (see instructions)	321,743	209,483	
	31	**Total liabilities and net assets/fund balances** (see instructions)	321,743	209,483	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	321,743
2	Enter amount from Part I, line 27a	2	-112,259
3	Other increases not included in line 2 (itemize) ◆	3	
4	Add lines 1, 2, and 3	4	209,484
5	Decreases not included in line 2 (itemize) ◆ See Statement 8	5	1
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	209,483

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Worksheet			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	80,231
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-3,070

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	136,725	669,647	0.204175
2013	437,028	743,322	0.587939
2012	80,630	750,863	0.107383
2011	141,487	778,082	0.181841
2010	77,125	721,413	0.106908

2	Total of line 1, column (d)	2	1.188246
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.237649
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	561,563
5	Multiply line 4 by line 3	5	133,455
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	860
7	Add lines 5 and 6	7	134,315
8	Enter qualifying distributions from Part XII, line 4	8	198,230

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ◆ [ ] and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ◆ [X] and enter 1% of Part I, line 27b		1	860
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3	Add lines 1 and 2		3	860
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	860
6	Credits/Payments.			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 2,500		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	2,500
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	20
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ◆		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ◆		10	1,620
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ◆ 1,620 **Refunded** ◆		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ◆ $ ________ **(2)** On foundation managers ◆ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ◆ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ◆ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ◆ NONE

14 The books are in care of ◆ ADELE SIMMONS Telephone no ◆ 773-404-5566
2440 NORTH LAKEVIEW AVENUE #15A
Located at ◆ CHICAGO IL ZIP+4 ◆ 60614

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ◆ ☐
and enter the amount of tax-exempt interest received or accrued during the year ◆ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ◆			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	◆ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ◆ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ◆ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here ◆ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ADELE SMITH SIMMONS 2440 NORTH LAKEVIEW AVENUE #15A	CHICAGO IL 60614	TRUSTEE 0.50	0	0	0
ERICA S. SIMMONS 25 N PROSPECT AVENUE	MADISON WI 53726-3973	TRUSTEE 0.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ◆		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:			
a	Average monthly fair market value of securities		1a	557,425
b	Average of monthly cash balances		1b	12,690
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	570,115
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	570,115
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)		4	8,552
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	561,563
6	**Minimum investment return.** Enter 5% of line 5		6	28,078

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ◆ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	28,078
2a	Tax on investment income for 2015 from Part VI, line 5	2a 860		
b	Income tax for 2015. (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	860
3	Distributable amount before adjustments Subtract line 2c from line 1		3	27,218
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	27,218
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	27,218

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	198,230
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	198,230
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	860
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	197,370

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7					27,218
2	Undistributed income, if any, as of the end of 2015:					
a	Enter amount for 2014 only					
b	Total for prior years 20____ , 20____ , 20____					
3	Excess distributions carryover, if any, to 2015:					
a	From 2010	41,404				
b	From 2011	141,775				
c	From 2012	43,352				
d	From 2013	400,102				
e	From 2014	104,668				
f	**Total** of lines 3a through e		731,301			
4	Qualifying distributions for 2015 from Part XII, line 4: ◆ $ 198,230					
a	Applied to 2014, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required – see instructions)					
c	Treated as distributions out of corpus (Election required – see instructions)					
d	Applied to 2015 distributable amount					27,218
e	Remaining amount distributed out of corpus		171,012			
5	Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		902,313			
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount – see instructions					
e	Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount – see instructions					
f	Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		41,404			
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		860,909			
10	Analysis of line 9:					
a	Excess from 2011	141,775				
b	Excess from 2012	43,352				
c	Excess from 2013	400,102				
d	Excess from 2014	104,668				
e	Excess from 2015	171,012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ◆

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ◆ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

ADELE SIMMONS 773-404-5566
2440 NORTH LAKEVIEW AVE #15A CHICAGO IL 60614

b The form in which applications should be submitted and information and materials they should include.

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT	NONE	501(C)(3)	UNRESTRICTED	194,380
Total			◆ 3a	194,380
b Approved for future payment N/A				
Total			◆ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3	
4 Dividends and interest from securities			14	10,465	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	80,231	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		90,699	0

13 Total. Add line 12, columns (b), (d), and (e) 13 90,699

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Andrew Simmons 9/20/16 TRUSTEE

Signature of officer or trustee Date Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
Robert G. Bernstein		07/22/16	
Firm's name ④ Old Greenwich Financial, Inc.		PTIN	P00365707
Firm's address ④ 5214 N. Lakewood Ave Chicago, IL 60640		Firm's EIN ④	06-1546854
		Phone no	773-271-9551

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

2015

For calendar year 2015, or tax year beginning , and ending

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	200 MYLAN N V FOREIGN STOCK EUR	P	03/02/15	10/09/15
(2)	10 ISHARES INTERMEDIATE CREDIT BOND	P	05/08/12	03/05/15
(3)	10 ISHARES INTERMEDIATE CREDIT BOND	P	05/08/12	05/04/15
(4)	10 ABBVIE INC COM	P	07/15/08	10/15/15
(5)	10 ABBVIE INC COM	P	07/15/08	10/26/15
(6)	10 ABBVIE INC COM	P	07/15/08	12/15/15
(7)	10 ABBVIE INC COM	P	07/15/08	12/24/15
(8)	10 AMERICAN TOWER CORP REIT SBI	P	12/23/10	12/15/15
(9)	10 AMERICAN TOWER CORP REIT SBI	P	12/23/10	12/24/15
(10)	10 AMGEN INC	P	12/15/08	07/09/15
(11)	10 AMGEN INC	P	12/15/08	07/10/15
(12)	10 AMGEN INC	P	12/15/08	07/16/15
(13)	10 AMGEN INC	P	12/15/08	07/20/15
(14)	10 AMGEN INC	P	12/15/08	08/25/15
(15)	10 AMGEN INC	P	12/15/08	09/02/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	8,470		11,540	-3,070
(2)	1,100		1,093	7
(3)	1,100		1,093	7
(4)	551		302	249
(5)	517		302	215
(6)	560		302	258
(7)	585		302	283
(8)	958		506	452
(9)	981		506	475
(10)	1,534		578	956
(11)	1,530		578	952
(12)	1,631		578	1,053
(13)	1,639		578	1,061
(14)	1,505		578	927
(15)	1,482		578	904

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-3,070
(2)				7
(3)				7
(4)				249
(5)				215
(6)				258
(7)				283
(8)				452
(9)				475
(10)				956
(11)				952
(12)				1,053
(13)				1,061
(14)				927
(15)				904

Form 990-PF	Capital Gains and Losses for Tax on Investment Income For calendar year 2015, or tax year beginning , and ending	2015

Name	Employer Identification Number
NORWOTTOCK CHARITABLE TRUST	04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	5 AMGEN INC	P	12/15/08	12/24/15
(2)	10 COLGATE PALMOLIVE CO	P	07/24/06	12/24/15
(3)	100 EMC CORP MASS	P	07/22/09	11/16/15
(4)	100 EMC CORP MASS	P	07/22/09	11/30/15
(5)	100 EMC CORP MASS	P	07/22/09	12/15/15
(6)	10 EMC CORP MASS	P	07/22/09	12/24/15
(7)	10 ISHARES TRUST CORE S&P SMALL CAP	P	11/09/07	10/19/15
(8)	10 ISHARES TRUST CORE S&P SMALL CAP	P	11/09/07	10/26/15
(9)	80 ISHARES TRUST CORE S&P SMALL CAP	P	06/27/03	12/24/15
(10)	173.250 MFS EMERGING MARKETS DEBT FD	P	06/28/11	03/18/15
(11)	178.571 MFS EMERGING MARKETS DEBT FD	P	06/28/11	08/25/15
(12)	142.248 MFS EMERGING MARKETS DEBT FD	P	06/28/11	09/02/15
(13)	142.552 MFS EMERGING MARKETS DEBT FD	P	06/28/11	11/16/15
(14)	288.809 MFS EMERGING MARKETS DEBT FD	P	06/28/11	12/11/15
(15)	108.853 MFS EMERGING MARKETS DEBT FD	P	06/28/11	12/15/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	816		289	527
(2)	670		312	358
(3)	2,532		1,440	1,092
(4)	2,561		1,440	1,121
(5)	2,599		1,440	1,159
(6)	260		144	116
(7)	1,127		658	469
(8)	1,132		658	474
(9)	8,965		2,932	6,033
(10)	2,500		2,545	-45
(11)	2,500		2,623	-123
(12)	2,000		2,090	-90
(13)	2,000		2,094	-94
(14)	4,000		4,243	-243
(15)	1,500		1,599	-99

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)				527
(2)				358
(3)				1,092
(4)				1,121
(5)				1,159
(6)				116
(7)				469
(8)				474
(9)				6,033
(10)				-45
(11)				-123
(12)				-90
(13)				-94
(14)				-243
(15)				-99

Form 990-PF Capital Gains and Losses for Tax on Investment Income 2015

For calendar year 2015, or tax year beginning , and ending

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	25 MICROSOFT CORP	P	06/27/03	05/19/15
(2)	100 MICROSOFT CORP	P	06/27/03	05/21/15
(3)	100 MICROSOFT CORP	P	06/27/03	06/03/15
(4)	10 MICROSOFT CORP	P	06/27/03	10/16/15
(5)	10 MICROSOFT CORP	P	06/27/03	12/24/15
(6)	10 MONDELEZ INTL INC	P	12/21/11	05/19/15
(7)	10 MONDELEZ INTL INC	P	12/21/11	05/21/15
(8)	10 MONDELEZ INTL INC	P	12/21/11	06/02/15
(9)	10 MONDELEZ INTL INC	P	12/21/11	06/03/15
(10)	10 MONDELEZ INTL INC	P	12/21/11	12/04/15
(11)	10 MONDELEZ INTL INC	P	12/21/11	12/15/15
(12)	10 MONDELEZ INTL INC	P	12/21/11	12/24/15
(13)	200 MYLAN INC	P	12/23/10	03/02/15
(14)	100 ORACLE CORP	P	07/22/09	10/15/15
(15)	100 ORACLE CORP	P	07/22/09	10/16/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	1,187		644	543
(2)	4,746		2,575	2,171
(3)	4,749		2,575	2,174
(4)	470		258	212
(5)	557		258	299
(6)	400		243	157
(7)	403		243	160
(8)	405		243	162
(9)	410		243	167
(10)	431		243	188
(11)	439		243	196
(12)	455		243	212
(13)	11,540		4,287	7,253
(14)	3,750		2,176	1,574
(15)	3,753		2,176	1,577

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				543
(2)				2,171
(3)				2,174
(4)				212
(5)				299
(6)				157
(7)				160
(8)				162
(9)				167
(10)				188
(11)				196
(12)				212
(13)				7,253
(14)				1,574
(15)				1,577

Form 990-PF Capital Gains and Losses for Tax on Investment Income 2015

For calendar year 2015, or tax year beginning , and ending

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	10 ORACLE CORP	P	07/22/09	10/26/15
(2)	10 ORACLE CORP	P	07/22/09	11/16/15
(3)	10 ORACLE CORP	P	07/22/09	11/30/15
(4)	10 ORACLE CORP	P	07/22/09	12/04/15
(5)	10 ORACLE CORP	P	07/22/09	12/15/15
(6)	10 ORACLE CORP	P	07/22/09	12/24/15
(7)	100 PNC FINANCIAL SERVICES GROUP	P	07/22/09	01/07/15
(8)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	01/13/15
(9)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	03/05/15
(10)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	03/12/15
(11)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	03/16/15
(12)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	03/18/15
(13)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	03/30/15
(14)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	04/14/15
(15)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	04/15/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	385		218	167
(2)	379		218	161
(3)	391		218	173
(4)	381		218	163
(5)	382		218	164
(6)	371		218	153
(7)	8,627		3,692	4,935
(8)	867		369	498
(9)	924		369	555
(10)	964		369	595
(11)	962		369	593
(12)	957		369	588
(13)	938		369	569
(14)	935		369	566
(15)	926		369	557

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				167
(2)				161
(3)				173
(4)				163
(5)				164
(6)				153
(7)				4,935
(8)				498
(9)				555
(10)				595
(11)				593
(12)				588
(13)				569
(14)				566
(15)				557

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** **2015**

For calendar year 2015, or tax year beginning , and ending

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	10 PNC FINANCIAL SERVICES GROUP	P	07/22/09	04/28/15
(2)	100 PEPSICO INC	P	Various	12/15/15
(3)	10 PEPSICO INC	P	02/04/04	12/24/15
(4)	10 QUALCOMM INC	P	04/01/04	05/01/15
(5)	10 QUALCOMM INC	P	04/01/04	05/04/15
(6)	10 QUALCOMM INC	P	04/01/04	05/08/15
(7)	10 QUALCOMM INC	P	04/01/04	05/18/15
(8)	10 QUALCOMM INC	P	04/01/04	05/19/15
(9)	10 QUALCOMM INC	P	04/01/04	05/21/15
(10)	10 QUALCOMM INC	P	04/01/04	06/01/15
(11)	10 QUALCOMM INC	P	04/01/04	06/02/15
(12)	10 QUALCOMM INC	P	04/01/04	07/09/15
(13)	10 QUALCOMM INC	P	04/01/04	09/03/15
(14)	10 SPDR GOLD TRUST	P	03/24/10	12/15/15
(15)	10 SPDR S&P MIDCAP 400 ETF	P	10/28/03	09/08/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	914		369	545
(2)	9,936		5,593	4,343
(3)	1,007		482	525
(4)	682		331	351
(5)	690		331	359
(6)	691		331	360
(7)	710		331	379
(8)	697		331	366
(9)	701		331	370
(10)	697		331	366
(11)	696		331	365
(12)	629		331	298
(13)	564		331	233
(14)	1,016		1,036	-20
(15)	2,558		988	1,570

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				545
(2)				4,343
(3)				525
(4)				351
(5)				359
(6)				360
(7)				379
(8)				366
(9)				370
(10)				366
(11)				365
(12)				298
(13)				233
(14)				-20
(15)				1,570

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** — **2015**

For calendar year 2015, or tax year beginning , and ending

Name	Employer Identification Number
NORWOTTOCK CHARITABLE TRUST	04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	10 SPDR S&P MIDCAP 400 ETF	P	10/28/03	09/14/15
(2)	10 SPDR S&P MIDCAP 400 ETF	P	11/09/07	10/19/15
(3)	10 SPDR S&P MIDCAP 400 ETF	P	11/09/07	10/26/15
(4)	10 SPDR S&P MIDCAP 400 ETF	P	11/09/07	11/09/15
(5)	10 SPDR S&P MIDCAP 400 ETF	P	11/09/07	12/07/15
(6)	10 SPDR S&P MIDCAP 400 ETF	P	Various	12/21/15
(7)	10 SPDR S&P MIDCAP 400 ETF	P	Various	12/24/15
(8)	10 SCHLUMBERGER LTD NETHERLANDS ANTI	P	12/23/03	12/24/15
(9)	10 SEMPRA ENERGY	P	03/24/10	12/15/15
(10)	10 SEMPRA ENERGY	P	03/24/10	12/24/15
(11)	50 UNTD TECHNOLOGIES CORP	P	10/19/06	06/03/15
(12)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	10/15/15
(13)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	10/16/15
(14)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	10/23/15
(15)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	10/26/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	2,575		988	1,587
(2)	2,613		1,570	1,043
(3)	2,618		1,570	1,048
(4)	2,628		1,570	1,058
(5)	2,626		1,570	1,056
(6)	16,256		10,204	6,052
(7)	19,313		11,483	7,830
(8)	708		270	438
(9)	934		495	439
(10)	960		495	465
(11)	5,936		3,252	2,684
(12)	928		643	285
(13)	935		643	292
(14)	1,004		643	361
(15)	1,003		643	360

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)				1,587
(2)				1,043
(3)				1,048
(4)				1,058
(5)				1,056
(6)				6,052
(7)				7,830
(8)				438
(9)				439
(10)				465
(11)				2,684
(12)				285
(13)				292
(14)				361
(15)				360

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** | **2015**

For calendar year 2015, or tax year beginning , and ending

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	11/03/15
(2)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	11/16/15
(3)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	11/20/15
(4)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	11/30/15
(5)	10 UNTD TECHNOLOGIES CORP	P	07/06/06	12/04/15
(6)	SPDR GOLD TRUST	P	Various	01/14/15
(7)	SPDR GOLD TRUST	P	Various	02/13/15
(8)	SPDR GOLD TRUST	P	Various	03/13/15
(9)	SPDR GOLD TRUST	P	Various	04/10/15
(10)	SPDR GOLD TRUST	P	Various	05/14/15
(11)	SPDR GOLD TRUST	P	Various	06/12/15
(12)	SPDR GOLD TRUST	P	Various	07/08/15
(13)	SPDR GOLD TRUST	P	Various	08/07/15
(14)	SPDR GOLD TRUST	P	Various	09/04/15
(15)	SPDR GOLD TRUST	P	Various	10/05/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	991		643	348
(2)	972		643	329
(3)	992		643	349
(4)	970		643	327
(5)	950		643	307
(6)	2		2	
(7)	2		2	
(8)	2		2	
(9)	2		2	
(10)	2		2	
(11)	2		2	
(12)	2		2	
(13)	2		2	
(14)	2		2	
(15)	2		2	

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				348
(2)				329
(3)				349
(4)				327
(5)				307
(6)				
(7)				
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** — **2015**

For calendar year 2015, or tax year beginning , and ending

Name	Employer Identification Number
NORWOTTOCK CHARITABLE TRUST	04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	SPDR GOLD TRUST	P	Various	11/04/15
(2)	SPDR GOLD TRUST	P	Various	12/03/15
(3)	UBS			
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	2		2	
(2)	2		2	
(3)	297			297
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				
(2)				
(3)				297
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX PREPARATION FEES	$ 3,850	$	$	$ 3,850
Total	$ 3,850	$ 0	$ 0	$ 3,850

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	$ 3,496	$ 3,496	$	$
Total	$ 3,496	$ 3,496	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	$ 92	$ 92	$	$
FEDERAL INCOME TAXES	1,103	1,103		
Total	$ 1,195	$ 1,195	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
BANK CHARGES	37	37		
Total	$ 37	$ 37	$ 0	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED STATEMENT	$ 231,506	$ 141,918	Cost	$ 383,630
Total	$ 231,506	$ 141,918		$ 383,630

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED	$ 43,438	$ 35,778	Cost	$ 34,695
Total	$ 43,438	$ 35,778		$ 34,695

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
NON TRADITIONAL-MUTUAL FUNDS	$ 35,727	$ 36,139	Cost	$ 36,165
COMMODITIES	5,230	4,164	Cost	4,058
Total	$ 40,957	$ 40,303		$ 40,223

Federal Statements

Statement 8 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
ROUNDING	$ 1
Total	$ 1

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/interest period	Days in period	Cap amount ($)
UBS BANK USA DEP ACCT	1,700 45	54,733 74					250,000 00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBVIE INC COM Symbol ABBV Exchange NYSE EAI. $137 Current yield 3 85%	Jul 15, 08	60 000	30 189	1,811 36	59 240	3,554 40	1,743 04	LT
AMERICAN TOWER CORP REIT Symbol. AMT Exchange. NYSE EAI. $157 Current yield 2 02%	Dec 23, 10	80 000	50 565	4,045 20	96 950	7,756 00	3,710 80	LT
AMGEN INC Symbol. AMGN Exchange OTC EAI. $40 Current yield 2 46%	Dec 15, 08	10 000	57 845	578 45	162 330	1,623 30	1,044 85	LT
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI $441 Current yield 2 28%	Jun 21, 06	250 000	30 163	7,540 96	66 620	16,655 00	9,114 04	LT
	Jul 24, 06	40 000	31 150	1,246 02	66 620	2,664 80	1,418 78	LT
Security total		290 000	30 300	8,786 98		19,319 80	10,532 82	

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DANAHER CORP Symbol DHR Exchange. NYSE EAI. $108 Current yield 0 58%	Jun 27, 03	200 000	17 097	3,419.50	92 880	18,576 00	15,156 50	LT
EMC CORP MASS Symbol. EMC Exchange: NYSE EAI $41 Current yield 1 77%	Jul 22, 09	90 000	14 397	1,295 76	25 680	2,311 20	1,015 44	LT
INTEL CORP Symbol INTC Exchange. OTC EAI $576 Current yield 2 79%	Jan 8, 92	600 000	1 585	951 50	34 450	20,670 00	19,718 50	LT
LOWES COMPANIES INC Symbol LOW Exchange NYSE EAI $224 Current yield 1 47%	Mar 24, 10	200 000	24 470	4,894 00	76 040	15,208 00	10,314 00	LT
MARSH & MCLENNAN COS INC Symbol MMC Exchange NYSE EAI $1,116 Current yield 2 24%		900 000	—This information was unavailable—		55 450	49,905 00		
MCKESSON CORP Symbol MCK Exchange NYSE EAI $112 Current yield 0 57%	Mar 24, 10	100 000	64 185	6,418 50	197 230	19,723 00	13,304 50	LT
MICROSOFT CORP Symbol MSFT Exchange OTC EAI: $115 Current yield 2 59%	Jun 27, 03	80 000	25 750	2,060 00	55 480	4,438 40	2,378 40	LT
MONDELEZ INTL INC Symbol MDLZ Exchange OTC EAI. $7 Current yield 1 56%	Dec 21, 11	10 000	24 291	242 91	44 840	448 40	205 49	LT
ORACLE CORP Symbol ORCL Exchange NYSE EAI: $24 Current yield 1 64%	Jul 22, 09	40 000	21 757	870 29	36 530	1,461 20	590 91	LT
PEPSICO INC Symbol PEP Exchange NYSE EAI $253 Current yield 2 81%	Feb 4, 04	90 000	48 160	4,334 40	99 920	8,992 80	4,658 40	LT
PNC FINANCIAL SERVICES GROUP Symbol. PNC Exchange NYSE EAI $20 Current yield 2 10%	Jul 22, 09	10 000	36 917	369 17	95 310	953 10	583 93	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange. NYSE								
EAI: $230 Current yield 2 87%	Earnings	115 000	27 010	3,106 15	69 750	8,021 25	4,915.10	
SEMPRA ENERGY								
Symbol SRE Exchange NYSE								
EAI. $224 Current yield. 2.98%	Mar 24, 10	80.000	49 515	3,961.20	94 010	7,520 80	3,559.60	LT
UNTD TECHNOLOGIES CORP								
Symbol: UTX Exchange NYSE								
EAI $26 Current yield 2 71%	Jul 6, 06	10 000	64 262	642 62	96 070	960 70	318 08	LT
WALT DISNEY CO (HOLDING CO) DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $249 Current yield 1 35%	Sep 24, 08	175 000	32 044	5,607 70	105 080	18,389 00	12,781.30	LT
Total				**$53,395.69**		**$209,832.35**	**$106,531.66**	

Total estimated annual income: $4,100

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES TRUST CORE S&P SMALL-CAP ETF									
Symbol IJR									
Trade date Jun 27, 03	420 000	36 653	15,394 40	15,394 40	110 110	46,246 20	30,851 80		LT
Trade date Nov 9, 07	30 000	65 756	1,972 69	1,972 69	110 110	3,303 30	1,330 61		LT
Trade date May 7, 09	150 000	44 230	6,634 50	6,634 50	110 110	16,516 50	9,882 00		LT
EAI $981 Current yield 1 48%									

continued next page

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	600 000	40 003	24,001 59	24,001 59		66,066 00	42,064 41	42,064 41	
ISHARES RUSSELL 1000 GROWTH									
ETF									
Symbol IWF									
Trade date Nov 14, 14	100 000	95 156	9,515 68	9,515 68	99.480	9,948 00	432 32	432 32	LT
EAI $136 Current yield 1 37%									
ISHARES MSCI EAFE ETF									
Symbol EFA									
Trade date. Dec 23, 03	800.000	44 066	35,253 33	35,253.33	58.720	46,976 00	11,722 67	11,722 67	LT
EAI $1,296 Current yield. 2 76%									
SPDR S&P MIDCAP 400 ETF TR									
Symbol MDY									
Trade date Oct 28, 03	200 000	98 760	19,752 00	19,752 00	254 040	50,808 00	31,056 00	31,056 00	LT
EAI. $688 Current yield 1.35%									
Total			**$88,522.60**	**$88,522.60**		**$173,798.00**	**$85,275.40**	**$85,275.40**	

Total estimated annual income: $3,101

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES INTER CREDIT BOND ETF									
Symbol CIU									
Trade date May 8, 12	60 000	109 340	6,560 40	6,560 40	107 280	6,436 80	-123 60	-123 60	LT
EAI $162 Current yield 2 52%									

Your assets › **Fixed income** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
MANNING & NAPIER FD INC									
UNCONSTRAINED BOND SERIE									
FUND CLASS S									
Symbol: EXCPX									
Trade date Nov 9, 15	775 194	10 319	8,000 00	8,000 00	10 120	7,844 96	-155 04		ST
Total reinvested	10 224	10 099		103 26	10 120	103 47	0 21		
EAI: $212 Current yield 2 67%									
Security total	785 418	10 317	8,000 00	8,103 26		7,948 43	-154 83	-51 57	
MFS EMERGING MARKETS									
DEBT FUND CLASS I									
Symbol: MEDIX									
Trade date Jun 28, 11	445 283	14 690	6,541 21	6,541 21	13 770	6,131 54	-409 67		LT
Total reinvested	360 531	15 120		5,451 52	13 770	4,964 51	-487 01		
EAI $540 Current yield 4 87%									
Security total	805 814	14 883	6,541.21	11,992 73		11,096 05	-896 68	4,554 84	
PRINCIPAL PREFERRED									
SECURITIES FUND									
Symbol: PPSPX									
Trade date Jan 14, 14	803 725	9 953	8,000 00	8,000 00	10 090	8,109 58	109 58		LT
Total reinvested	109 443	10 249		1,121 73	10 090	1,104 28	-17 45		
EAI $474 Current yield 5 14%									
Security total	913 168	9 989	8,000 00	9,121 73		9,213 86	92 13	1,213 86	
Total			**$22,541.21**	**$29,217.72**		**$28,258 34**	**-$959.38**	**$5,717.13**	

Total estimated annual income: $1,226

Your assets (continued)

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ARBITRAGE FUND INSTL									
CLASS									
Symbol· ARBNX									
Trade date Aug 3, 12	303 523	13 132	3,985 93	3,985 93	12 860	3,903 31	-82 62		LT
Trade date Sep 4, 12	190 817	13 101	2,500 00	2,500 00	12 860	2,453 91	-46 09		LT
Total reinvested	32 134	12 789		410 98[2]	12 860	413 25	2 27		
Security total	526 475	13 100	6,485 93	6,896 91		6,770 46	-126 44	284 54	
CALAMOS MARKET									
NEUTRAL INCOME									
FUND CLASS I									
Symbol CMNIX									
Trade date Jul 27, 12	400 471	12 485	5,000 00	5,000 00	12 620	5,053 94	53 94		LT
Trade date Jan 22, 14	116 809	12 841	1,500 00	1,500 00	12 620	1,474 12	-25 88		LT
Trade date Oct 15, 14	157 356	12 710	2,000 00	2,000 00	12 620	1,985 83	-14 17		LT
Total reinvested	50 427	12 732		642 04	12.620	636 38	-5 66		
EAI $124 Current yield 1 36%									
Security total	725 062	12 609	8,500 00	9,142 04		9,150 28	8 23	650 27	
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol MFLDX									
Trade date Apr 9, 12	1,353 180	14 779	20,000 00	20,000 00	14 890	20,148 84	148 84		LT
Total reinvested	6 412	15 572		99 85	14 890	95 47	-4 38		
Security total	1,359 592	14 784	20,000 00	20,099 85		20,244 32	144 46	244 31	

continued next page

Your assets › **Non-traditional** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total			**$34,985.93**	**$36,138.80**		**$36,165.06**	**$26.25**	**$1,179.13**	

Total estimated annual income: $124

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Commodities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
SPDR GOLD TRUST									
Symbol GLD									
Trade date Mar 24, 10	40 000	104 111	4,164 46	4,164 46	101.460	4,058 40	-106 06	-106 06	LT

Norwottock Charitable Trust
Contributions Paid 12-31-15
2015 Form 990-PF, Page 11, Part XV, Line 3a

Name	Paid Amount
Latin American Studies Association	1,000.00
Southern Poverty Law Center	25.00
New York University Medical Center	2,500.00
The american Fund for Charities	500.00
WTTW Channel 11	150.00
Hampshire College	5,000.00
Planned Parenthood of IL	100.00
Chicago Humanities Festival	2,500.00
Community Media Workshop	2,500.00
Brushwood Center @ Ryerson Woods	300.00
Brushwood Center @ Ryerson Woods	1,000.00
Chicago Council on Global Affairs	1,750.00
American Prospect	10,000.00
Chicago Community Trust	1,000.00
ecoAmerica	1,000.00
Council on Foreign Relations	200.00
The Chicago Council on Global Affairs	3,000.00
Common School	500.00
Harvard University	1,000.00
Garrison Forest School	50.00
Brushwood Center @ Ryerson Woods	100.00
WTTW Channel 11	150.00
Peggy Notebaert Museum	1,000.00
High Concept Laboratories	6,000.00
Women's Global Education Project	1,000.00
Field Museum	5,000.00
Chicago Humanities Festival	1,700.00
Women's Board of Northwestern Hospital	400.00
Common Cause Education Fund	230.00
Field Museum	4,600.00
Better Government Association	860.00
The Nature Conservancy	50.00
Alliance For Justice	100.00
Bulletin for Atomic Scientists	2,300 00
Center for International Policy	1,000.00
Chicago Public Library Foundation	100.00
Chicago Shakespeare Theater	150 00
Grant Park Orchestra Association	150.00
human Rights Watch	50.00
Independent Maps	250.00
International Crane Foundation	500.00
Metropolitan Opera	100.00
Nation	100.00
Openlands Project	500.00
USA for UNHCR	45.00
WTTW Channel 11	150.00
YouthBuild USA	250.00
Bulletin for Atomic Scientists	100.00
Catalyst	500.00
Chicago Community Trust	5,000.00
Chicago Symphony Orchestra	3,500.00
Gaples Institute	2,500.00
Lincoln Park Zoo	140 00
Save the Dunes Conservation Fund	100.00
The Resurrection Project	1,900.00

All receipients are Section 501)(c)(3) organizations.

Norwottock Charitable Trust
Contributions Paid 12-31-15
2015 Form 990-PF, Page 11, Part XV, Line 3a

Name	Paid Amount
American Prospect	15,000.00
CERES	5,000.00
Chicago Arts Orchestra	100.00
122 E 66th St Foundation, Inc.	500.00
Museum of Science & Industry	300.00
The Metropolitan Museum of Art	180.00
WFMT Fine Arts Station	150.00
Arizona Center for Integrative Medicine	2,500.00
Center for Neighborhood Technology	250.00
American Academy of Arts & Sciences	250.00
Better Government Association	250.00
Council on Foreign Relations	200.00
Environmental Defense Fund	500.00
Opportunity International	1,000.00
Robert Packard Fund ALS @ John Hopkins	1,000.00
UNA-USA	250.00
university Club Foundaiton	250.00
Adlai Stevenson Center on Democracy	1,000.00
Art Institute	2,500.00
Better Government Association	250.00
Dune Acres Civic Improvement Foundation	250.00
Lyric Opera of Chicago	3,500.00
Newberry Library	500.00
WFMT Fine Arts Station	250.00
Chicago Architecture Foundation	150 00
Chicago History Museum	250 00
Delta Institute	250.00
Global Fund for Women	250.00
Health & Medicine Policy Research Group	500.00
Interfaith Youth Core	500.00
Newberry Library	500.00
Union of Concerned Scientists	5,000.00
World Resources Institute	250.00
Kensington Conservancy	2,500.00
Lincoln Park Conservancy	300.00
African Women in Agricultural R & D	250.00
Planned Parenthood of IL	100.00
University of Chicago	5,500.00
High Concept Laboratories	4,000.00
Kartemquin Films	500.00
Lake Tanganyika Floating Health Clinic	500.00
Women Make Movies	500.00
Field Museum	10,000.00
Manufacturing Renaissance	500.00
Strategic Learning Initiatives	50,000.00
A Better Balance	1,000.00
Voices for Progress	500.00
TOTAL	**194,380.00**

All receipients are Section 501)(c)(3) organizations.