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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending

JAMES W. O'BRIEN FOUNDATION, INC.
C/O MCINNIS LAW OFFICES
807 TURNPIKE STREET
NORTH ANDOVER, MA 01845-6131

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

G Check all that apply Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **► \$ 18,219,675.** J Accounting method Cash Accrual
 Other (specify) **(Part I, column (d) must be on cash basis)**

| | |
|---|--|
| A | Employer identification number 04-3528495 |
| B | Telephone number (see instructions) 978-686-6112 |
| C | If exemption application is pending, check here ► <input type="checkbox"/> |
| D | 1 Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/> |
| E | If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> |
| F | If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/> |

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| R E V E N U E | 1 Contributions, gifts, grants, etc, received (attach schedule) | | | | |
| | 2 Ck ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 80,619. | 80,619. | 80,619. | |
| | 4 Dividends and interest from securities | 396,951. | 396,951. | 396,951. | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 341,961. | | | |
| | b Gross sales price for all assets on line 6a 2,513,326. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 341,961. | | |
| | 8 Net short-term capital gain | | | 61,393. | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| SEE STATEMENT 1 | | 2,004. | 2,004. | | |
| 12 Total. Add lines 1 through 11 | 821,535. | 821,535. | 538,963. | 47,481. | |
| A D M I N I S T R A T I V E O P E R A T I N G A N D E X P E N S E S | 13 Compensation of officers, directors, trustees, etc | 46,000. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) SEE ST 2 | 10,694. | 3,208. | | 7,486. |
| | b Accounting fees (attach sch) SEE ST 3 | 13,940. | 9,758. | | 4,182. |
| | c Other prof fees (attach sch) SEE ST 4 | 157,805. | 121,805. | | 36,000. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule)(see instrs) SEE STM 5 | 11,494. | 4,699. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) SEE STATEMENT 6 | 3,030. | | | |
| | 24 Total operating and administrative expenses Add lines 13 through 23 | 242,963. | 139,470. | | 95,149. |
| P A R T X V | 25 Contributions, gifts, grants paid | 429,920. | | | 639,842. |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 672,883. | 139,470. | 0. | 734,991. |
| | 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements | | | | |
| | b Net investment income (if negative, enter -0-) | 148,652. | 682,065. | | |
| | c Adjusted net income (if negative, enter -0-) | | | 538,963. | |

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | Beginning of year | End of year | |
|--------------------------------------|---|---|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| ASSETS | 1 | Cash – non-interest-bearing | 13,393. | 65,670. | 65,670. |
| | 2 | Savings and temporary cash investments | 2,662,137. | 2,438,311. | 2,438,311. |
| | 3 | Accounts receivable | | | |
| | | Less allowance for doubtful accounts | | | |
| | 4 | Pledges receivable | | | |
| | | Less allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach sch) | | | |
| | | Less allowance for doubtful accounts | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | 10,530. | 10,485. |
| | 10a | Investments – U S and state government obligations (attach schedule) | | | |
| | b | Investments – corporate stock (attach schedule) | 14,755,353. | 13,715,746. | 13,715,746. |
| | c | Investments – corporate bonds (attach schedule) | 2,224,210. | 1,989,204. | 1,989,204. |
| | 11 | Investments – land, buildings, and equipment: basis | | | |
| LIABILITIES | | Less accumulated depreciation (attach schedule) | | | |
| | 12 | Investments – mortgage loans | | | |
| | 13 | Investments – other (attach schedule) | -15,043. | -11,298. | -11,298. |
| | 14 | Land, buildings, and equipment basis | | | |
| | | Less: accumulated depreciation (attach schedule) | | | |
| | 15 | Other assets (describe ▶ SEE STATEMENT 7) | 12,907. | 11,557. | 11,557. |
| | 16 | Total assets (to be completed by all filers – see the instructions Also, see page 1, item I) | 19,652,957. | 18,219,720. | 18,219,675. |
| | 17 | Accounts payable and accrued expenses | 44,481. | 40,000. | |
| | 18 | Grants payable | 371,500. | 161,578. | |
| | 19 | Deferred revenue | | | |
| NET ASSETS | 20 | Loans from officers, directors, trustees, & other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ SEE STATEMENT 8) | 99,302. | 27,899. | |
| | 23 | Total liabilities (add lines 17 through 22) | 515,283. | 229,477. | |
| | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. | | X | | |
| | 24 | Unrestricted | 19,137,674. | 17,990,243. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. | | □ | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | Total net assets or fund balances (see instructions) | 19,137,674. | 17,990,243. | |
| | 31 | Total liabilities and net assets/fund balances (see instructions) | 19,652,957. | 18,219,720. | |

Part III **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|--|---|-------------|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 19,137,674. |
| 2 | Enter amount from Part I, line 27a | 2 | 148,652. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9 | 3 | 54,994. |
| 4 | Add lines 1, 2, and 3 | 4 | 19,341,320. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10 | 5 | 1,351,077. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 17,990,243. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) | | (b) How acquired P — Purchase D — Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|---|---|---|
| 1a VARIOUS - RBC WEALTH MANAGEMENT | | P | VARIOUS | VARIOUS |
| b VARIOUS - RBC WEALTH MANAGEMENT | | P | VARIOUS | VARIOUS |
| c VARIOUS - RBC WEALTH MANAGEMENT | | P | VARIOUS | VARIOUS |
| d CAPITAL GAIN DIVIDENDS | | | | |
| e | | | | |
| (e) Gross sales price | | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
| a 1,045,052. | | | 967,138. | 77,914. |
| b 505,110. | | | 443,713. | 61,397. |
| c 943,176. | | | 760,514. | 182,662. |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | (l) Gains (Col (h)) gain minus col (k), but not less than -0- or Losses (from col (h)) |
| (i) FMV as of 12/31/69 | | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 77,914. |
| b | | | | 61,397. |
| c | | | | 182,662. |
| d | | | | 19,988. |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | 2 341,961. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | | If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 61,393. |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|---|---|--|
| 2014 | 77,086. | 19,260,537. | 0.004002 |
| 2013 | 928,867. | 18,986,546. | 0.048922 |
| 2012 | 888,539. | 17,334,175. | 0.051259 |
| 2011 | 684,951. | 16,077,455. | 0.042603 |
| 2010 | 1,002,005. | 16,077,336. | 0.062324 |
| 2 Total of line 1, column (d) | | | 2 0.209110 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.041822 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | | | 4 18,564,890. |
| 5 Multiply line 4 by line 3 | | | 5 776,421. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 6,821. |
| 7 Add lines 5 and 6 | | | 7 783,242. |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 856,796. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | |
|---|---|-------------|
| 1 a | Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1 | |
| Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 6,821. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 0. |
| 3 | Add lines 1 and 2 | 3 6,821. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | 5 6,821. |
| 6 Credits/Payments | | |
| a | 2015 estimated tax pmts and 2014 overpayment credited to 2015 | 6 a 17,351. |
| b | Exempt foreign organizations – tax withheld at source | 6 b |
| c | Tax paid with application for extension of time to file (Form 8868) | 6 c |
| d | Backup withholding erroneously withheld | 6 d |
| 7 | Total credits and payments Add lines 6a through 6d | 7 17,351. |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 8 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 0. |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 10,530. |
| 11 | Enter the amount of line 10 to be: Credited to 2016 estimated tax ► 6,840. Refunded ► 11 3,690. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|-----|--|----|
| 1 a | X | |
| 1 b | X | |
| 1 c | X | |
| 2 | X | |
| 3 | X | |
| 4 a | X | |
| 4 b | N/A | |
| 5 | X | |
| 6 | X | |
| 7 | X | |
| 8 a | | |
| MA | | |
| b | If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If 'Yes,' complete Part XIV | |
| 10 | Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses | |

Part VII-A Statements Regarding Activities (continued)

| | | |
|---|---|-----------------------------|
| 11 | | X |
| 12 | | X |
| 13 | X | |
| Website address | ► N/A | |
| 14 | The books are in care of ► MCINNIS LAW OFFICES | Telephone no ► 978-686-6112 |
| | Located at ► 807 TURNPIKE STREET NORTH ANDOVER MA | ZIP + 4 ► 01845 |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year | |
| 16 | ► 15 N/A ► <input type="checkbox"/> N/A | |
| 16 | Yes | No |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ► | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | Yes | No |
|--|------------------------------|--|
| 1a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | 1 b | N/A |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1 c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If 'Yes,' list the years ► 20 __ , 20 __ , 20 __ , 20 __ | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions) | 2 b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 __ , 20 __ , 20 __ , 20 __ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) | 3 b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4 a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4 b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

 Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

 Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

 Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)

 Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?**5 b** N/AOrganizations relying on a current notice regarding disaster assistance check here ► **c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A Yes No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No**6 b** X**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 11 | | 46,000. | 0. | 0. |
| ----- | | | | |
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2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
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| ----- | | | | |

Total number of other employees paid over \$50,000

► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

| organizations and other beneficiaries served, conferences convened, research papers produced, etc | |
|---|-----|
| 1 | N/A |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

| 1 | Name of the 10 largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|--|--------|
| 1 | N/A | |
| 2 | | |

All other program-related investments See instructions

3 _____

Total. Add lines 1 through 3 ► **0.**

Total. Add lines 1 through 3

Form 990-PF (2015)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|--|----|-------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes | | |
| a Average monthly fair market value of securities | 1a | 16,624,043. |
| b Average of monthly cash balances | 1b | 2,223,561. |
| c Fair market value of all other assets (see instructions) | 1c | |
| d Total (add lines 1a, b, and c) | 1d | 18,847,604. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 Subtract line 2 from line 1d | 3 | 18,847,604. |
| 4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 282,714. |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 18,564,890. |
| 6 Minimum investment return. Enter 5% of line 5 | 6 | 928,245. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

| | | |
|---|----|----------|
| 1 Minimum investment return from Part X, line 6 | 1 | 928,245. |
| 2a Tax on investment income for 2015 from Part VI, line 5 | 2a | 6,821. |
| b Income tax for 2015 (This does not include the tax from Part VI) | 2b | |
| c Add lines 2a and 2b | 2c | 6,821. |
| 3 Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 921,424. |
| 4 Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 Add lines 3 and 4 | 5 | 921,424. |
| 6 Deduction from distributable amount (see instructions) | 6 | |
| 7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 921,424. |

Part XII Qualifying Distributions (see instructions)

| | | |
|--|----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes | | |
| a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26 | 1a | 734,991. |
| b Program-related investments – total from Part IX-B | 1b | |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | 121,805. |
| 3 Amounts set aside for specific charitable projects that satisfy the | | |
| a Suitability test (prior IRS approval required) | 3a | |
| b Cash distribution test (attach the required schedule) | 3b | |
| 4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 856,796. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 6,821. |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 849,975. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 921,424. |
| 2 Undistributed income, if any, as of the end of 2015 | | | 0. | |
| a Enter amount for 2014 only | | | 0. | |
| b Total for prior years 20 ___, 20 ___, 20 ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010 213,398. | | | | |
| b From 2011 | | | | |
| c From 2012 34,748. | | | | |
| d From 2013 5,290. | | | | |
| e From 2014 | | | | |
| f Total of lines 3a through e 253,436. | | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 856,796. | | | 0. | |
| a Applied to 2014, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required — see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required — see instructions) | 0. | | | |
| d Applied to 2015 distributable amount 856,796. | | | | 856,796. |
| e Remaining amount distributed out of corpus 0. | | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a)) | 64,628. | | | 64,628. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e. Subtract line 5 188,808. | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b 0. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed 0. | | | | |
| d Subtract line 6c from line 6b Taxable amount — see instructions 0. | | | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount — see instructions 0. | | | | |
| f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016 0. | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions) 0. | | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) 148,770. | | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a 40,038. | | | | |
| 10 Analysis of line 9. | | | | |
| a Excess from 2011 | | | | |
| b Excess from 2012 34,748. | | | | |
| c Excess from 2013 5,290. | | | | |
| d Excess from 2014 | | | | |
| e Excess from 2015 | | | | |

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Form 990-PF (2015)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

| | | | | | | |
|-----|---|--|--|--|--|------------|
| 1 a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling | | | | ► | |
| b | Check box to indicate whether the foundation is a private operating foundation described in section | | | | <input type="checkbox"/> 4942(j)(3) or | 4942(j)(5) |
| 2 a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b | 85% of line 2a | | | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a | 'Assets' alternative test – enter | | | | | |
| (1) | Value of all assets | | | | | |
| (2) | Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b | 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c | 'Support' alternative test – enter | | | | | |
| (1) | Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) | Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) | | | | | |
| (3) | Largest amount of support from an exempt organization | | | | | |
| (4) | Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV: Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|---|---------------|
| <i>a Paid during the year</i> | | | | |
| VARIOUS ORGANIZATIONS PLEASE SEE ATTACHED | | | VARIOUS DONATIONS TO CHARITABLE ORGANIZATIONS | 639,842. |
| ADJUSTMENT TO ACCOUNT FOR DIFFERENCE IN ACCRUAL | | | | -209,922. |
| Total | | | | ► 3a 429,920. |
| <i>b Approved for future payment</i> | | | | |
| Total | | | | ► 3b |

Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|---|---------------------------|---------------|--------------------------------------|---------------|--|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 80,619. | |
| 4 Dividends and interest from securities | | | 14 | 396,951. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 2,004. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 321,973. | 19,988. |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 801,547. | 19,988. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 821,535. |
| (See worksheet in line 13 instructions to verify calculations) | | | | | |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions) |
|---------------|--|
| N/A | |
| | |
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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|-----|---|---------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| (1) | Cash | 1 a (1) | X |
| (2) | Other assets | 1 a (2) | X |
| b | Other transactions: | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1 b (1) | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1 b (2) | X |
| (3) | Rental of facilities, equipment, or other assets | 1 b (3) | X |
| (4) | Reimbursement arrangements | 1 b (4) | X |
| (5) | Loans or loan guarantees | 1 b (5) | X |
| (6) | Performance of services or membership or fundraising solicitations | 1 b (6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1 c | X |

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|--------------------|----------------------------|--|---|
| N/A | | | |
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2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If 'Yes,' complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---------------------------------|---------------------------------|--|
| N/A | | |
| | | |
| | | |

| | | | |
|---|--|-------|----------|
| Sign Here | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. | | |
|  | | Date | 10/14/16 |
| | | Title | TRUSTEE |
| Signature of officer or trustee | | | |

| | | | | | |
|--|--------------------------------------|--------------------------|----------|---|-----------|
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed | PTIN |
| | DANIEL O'SULLIVAN | <i>Daniel O'Sullivan</i> | 10/12/14 | | P00756430 |
| | Firm's name ▶ O'SULLIVAN & CO., P.C. | Firm's EIN ▶ 04-3077253 | | | |
| Firm's address ▶ 871 TURNPIKE ST., STE 205 NORTH ANDOVER, MA 01845-6156 | | | | Phone no (978) 686-7970 | |

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2015**FEDERAL STATEMENTS**
JAMES W. O'BRIEN FOUNDATION, INC.
C/O MCINNIS LAW OFFICES**PAGE 1****04-3528495****STATEMENT 1**
FORM 990-PF, PART I, LINE 11
OTHER INCOME

| | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| OTHER INVESTMENT INCOME | \$ 2,004. | \$ 2,004. | \$ 0. |
| TOTAL | <u>\$ 2,004.</u> | <u>\$ 2,004.</u> | <u>\$ 0.</u> |

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES | \$ 10,694. | \$ 3,208. | \$ 0. | \$ 7,486. |
| TOTAL | <u>\$ 10,694.</u> | <u>\$ 3,208.</u> | <u>\$ 0.</u> | <u>\$ 7,486.</u> |

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES | \$ 13,940. | \$ 9,758. | \$ 0. | \$ 4,182. |
| TOTAL | <u>\$ 13,940.</u> | <u>\$ 9,758.</u> | <u>\$ 0.</u> | <u>\$ 4,182.</u> |

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| CONSULTING FEES | \$ 36,000. | \$ 121,805. | \$ 0. | \$ 36,000. |
| INVESTMENTS FEES | <u>\$ 121,805.</u> | <u>\$ 121,805.</u> | <u>\$ 0.</u> | <u>\$ 36,000.</u> |

2015

FEDERAL STATEMENTS
JAMES W. O'BRIEN FOUNDATION, INC.
C/O MCINNIS LAW OFFICES

PAGE 2

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STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|--------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| FEDERAL EXCISE TAX | \$ 6,795. | | | |
| FOREIGN TAXES | 4,699. | \$ 4,699. | | |
| TOTAL | \$ 11,494. | \$ 4,699. | \$ 0. | \$ 0. |

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| ANNUAL REPORT FEE | \$ 320. | | | |
| DUES | 2,250. | | | |
| OFFICE EXPENSE | 374. | | | |
| POSTAGE | 86. | | | |
| TOTAL | \$ 3,030. | \$ 0. | \$ 0. | \$ 0. |

STATEMENT 7
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

| | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------|-------------------|----------------------|
| ACCRUED INTEREST RECEIVABLE | \$ 11,557. | \$ 11,557. |
| TOTAL | \$ 11,557. | \$ 11,557. |

STATEMENT 8
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

| | |
|-----------------------------|-------------------|
| DEFERRED EXCISE TAX PAYABLE | \$ 27,899. |
| TOTAL | \$ 27,899. |

STATEMENT 9
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

| | |
|---------------------|-------------------|
| DEFERRED EXCISE TAX | \$ 54,994. |
| TOTAL | \$ 54,994. |

2015**FEDERAL STATEMENTS**
JAMES W. O'BRIEN FOUNDATION, INC.
C/O MCINNIS LAW OFFICES**PAGE 3**

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STATEMENT 10
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

UNREALIZED LOSSES

TOTAL \$ 1,351,077.
TOTAL \$ 1,351,077.**STATEMENT 11**
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

| <u>NAME AND ADDRESS</u> | <u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u> | <u>COMPEN- SATION</u> | <u>CONTRI- BUTION TO EBP & DC</u> | <u>EXPENSE ACCOUNT/ OTHER</u> |
|--|---|---------------------------|---|---------------------------------------|
| JAMES J MCINNIS 807 TURNPIKE STREET NORTH ANDOVER, MA 01845 | TRUSTEE 10.00 | \$ 33,000. | \$ 0. | \$ 0. |
| THOMAS STRANGIE 21 THOMPSON LANE LYNN, MA 01904 | TRUSTEE 1.00 | 4,000. | 0. | 0. |
| MARK DIFABIO 94 COURT ST EXETER, NH 03833 | TRUSTEE 1.00 | 2,000. | 0. | 0. |
| MARYBETH MCINNIS 807 TURNPIKE STREET NORTH ANDOVER, MA 01845 | TRUSTEE 2.00 | 3,000. | 0. | 0. |
| CHRISTOPHER HOPEY 11 HOLLYHOCK AVENUE NASHUA, NH 03062 | TRUSTEE 1.00 | 4,000. | 0. | 0. |
| | | TOTAL \$ 46,000. | \$ 0. | \$ 0. |