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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2015

Open to Public
Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation THE HARMAN CAIN FAMILY FOUNDATION		A Employer identification number 04-3340178		
Number and street (or P O box number if mail is not delivered to street address) 397 SOUTH STREET		B Telephone number (see instructions) (781) 449-4189		
City or town, state or province, country, and ZIP or foreign postal code NEEDHAM, MA 02492		C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►		
G Check all that apply Initial return Final return Address change		Initial return of a former public charity Amended return Name change		
H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust		I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 1,642,221 J Accounting method Cash Accrual Other (specify) (Part I, column (d) must be on cash basis)		
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)
1 Contributions, gifts, grants, etc, received (attach schedule)		0		
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments		37	37	
4 Dividends and interest from securities		28,085	27,951	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10		-14,701		
b Gross sales price for all assets on line 6a 1,013,964				
7 Capital gain net income (from Part IV, line 2)			0	
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11		13,421	27,988	
13 Compensation of officers, directors, trustees, etc		0	0	0
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)		1,500	0	0
c Other professional fees (attach schedule)		12,526	0	0
17 Interest				
18 Taxes (attach schedule) (see instructions)		1,914	0	0
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)		35	0	0
24 Total operating and administrative expenses.				
Add lines 13 through 23		15,975	0	0
25 Contributions, gifts, grants paid		101,732		101,732
26 Total expenses and disbursements. Add lines 24 and 25		117,707	0	101,732
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements		-104,286		
b Net investment income (if negative, enter -0-)			27,988	
c Adjusted net income (if negative, enter -0-)				

	Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	245,721	211,221	211,221
	3 Accounts receivable ► _____			
	Less allowance for doubtful accounts ► _____			
	4 Pledges receivable ► _____			
	Less allowance for doubtful accounts ► _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ► _____			
	Less allowance for doubtful accounts ► _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	251,084	250,502	635,258
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ► _____			
Less accumulated depreciation (attach schedule) ► _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	907,175	795,119	795,742	
14 Land, buildings, and equipment basis ► _____				
Less accumulated depreciation (attach schedule) ► _____				
15 Other assets (describe ► _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,403,980	1,256,842	1,642,221	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ► _____)	99,795	56,943	56,943
	23 Total liabilities (add lines 17 through 22)	99,795	56,943	56,943
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	652,332	652,332	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	651,853	547,567	
	30 Total net assets or fund balances (see instructions)	1,304,185	1,199,899	
	31 Total liabilities and net assets/fund balances (see instructions)	1,403,980	1,256,842	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,304,185
2	Enter amount from Part I, line 27a	2	-104,286
3	Other increases not included in line 2 (itemize) ► _____	3	0
4	Add lines 1, 2, and 3	4	1,199,899
5	Decreases not included in line 2 (itemize) ► _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,199,899

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired (b) P—Purchase D—Donation	Date acquired (c) (mo, day, yr)	Date sold (d) (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 -14,701
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

Yes No

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	133,360	1,845,839	0 072249
2013	86,271	1,300,788	0 066322
2012	80,359	1,158,532	0 069363
2011	69,486	1,149,810	0 060433
2010	90,221	1,171,620	0 077005
2	Total of line 1, column (d).		2 0 345372
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0 069074
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.		4 1,768,612
5	Multiply line 4 by line 3.		5 122,165
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 280
7	Add lines 5 and 6.		7 122,445
8	Enter qualifying distributions from Part XII, line 4.		8 101,732

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1	560
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only) Others enter -0-)	2	0
3	Add lines 1 and 2.	3	560
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only) Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	560
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868). . .	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	0
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> If Form 2220 is attached	8	13
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	573
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ►	Refunded	►
		11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<input type="checkbox"/>	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	<input type="checkbox"/>	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
1c	Did the foundation file Form 1120-POL for this year?	<input type="checkbox"/>	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____ 0	(2)	On foundation managers ► \$ _____ 0	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	<input type="checkbox"/>	Yes	No
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>			
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input type="checkbox"/>	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	<input type="checkbox"/>	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	<input type="checkbox"/>	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	● By language in the governing instrument, or			
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input type="checkbox"/>	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	<input type="checkbox"/>	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)	►MA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input type="checkbox"/>	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>			
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>			

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►BARBARA L HARMAN Located at ►397 SOUTH STREET NEEDHAM MA	Telephone no ►(781) 449-4189 ZIP+4 ►02492	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ► 1b		
c	Organizations relying on a current notice regarding disaster assistance check here. ►		
2	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c	Yes	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) 2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3b		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) 4a		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b	Yes	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	_____

2	_____

All other program-related investments See instructions	
3	_____

All other program-related investments. See instructions

3 _____

Total. Add lines 1 through 3

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,663,638
b	Average of monthly cash balances.	1b	131,907
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,795,545
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,795,545
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	26,933
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,768,612
6	Minimum investment return. Enter 5% of line 5.	6	88,431

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	88,431
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	560
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	560
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	87,871
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	87,871
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	87,871

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	101,732
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required).	3b	
b	Cash distribution test (attach the required schedule).	4	101,732
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	6	101,732
6	Adjusted qualifying distributions. Subtract line 5 from line 4.		
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years		

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				87,871
2 Undistributed income, if any, as of the end of 2015			0	
a Enter amount for 2014 only.		0		
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	35,298			
b From 2011.	14,823			
c From 2012.	23,314			
d From 2013.	24,690			
e From 2014.	47,778			
f Total of lines 3a through e.	145,903			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ <u>101,732</u>			0	
a Applied to 2014, but not more than line 2a		0		
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.			87,871	
e Remaining amount distributed out of corpus	13,861			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0		0	
6 Enter the net total of each column as indicated below:	159,764			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0		
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	35,298			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	124,466			
10 Analysis of line 9				
a Excess from 2011.	14,823			
b Excess from 2012.	23,314			
c Excess from 2013.	24,690			
d Excess from 2014.	47,778			
e Excess from 2015.	13,861			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. ►				
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.				
b 85% of line 2a.				
c Qualifying distributions from Part XII, line 4 for each year listed.				
d Amounts included in line 2c not used directly for active conduct of exempt activities.				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets.				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			► 3a	101,732
b Approved for future payment				
Total			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments.					37
4 Dividends and interest from securities.	900001				28,085
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property.					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory.					-14,701
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).		0		0	13,421
13 Total Add line 12, columns (b), (d), and (e).					13,421

Part V: B. Relationships of Activities to the Accomplishment of Event Purpose

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-02-20	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-03-12	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-03-13	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-03-20	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-04-17	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-05-22	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-06-17	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-06-18	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-06-19	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-07-17	2015-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
219		226	-7
329		341	-12
1,100		1,140	-40
226		233	-7
226		234	-8
227		235	-8
2,147		2,227	-80
5,518		5,722	-204
257		265	-8
259		266	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			-7
			-12
			-40
			-7
			-8
			-8
			-80
			-204
			-8
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-08-21	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-09-18	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-10-16	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-11-20	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-12-18	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2015-01-22	2015-01-30
ISHARES MSCI EMERGING MARKETS ETF	P	2014-03-12	2015-02-17
ISHARES MSCI EMERGING MARKETS ETF	P	2014-03-13	2015-02-17
ISHARES MSCI EMERGING MARKETS ETF	P	2014-06-18	2015-02-17
ISHARES MSCI EMERGING MARKETS ETF	P	2015-01-30	2015-02-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
274		281	-7
273		278	-5
279		280	-1
277		279	-2
550		548	2
283		283	0
568		541	27
933		895	38
122		130	-8
15,289		14,844	445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			-7
			-5
			-1
			-2
			2
			0
			27
			38
			-8
			445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
NEUBERGER BERMAN ABS RET MULTI-MGR CLASS I	P	2014-03-13	2015-01-30
NEUBERGER BERMAN ABS RET MULTI-MGR CLASS I	P	2014-08-07	2015-01-30
NEUBERGER BERMAN ABS RET MULTI-MGR CLASS I	P	2014-12-19	2015-01-30
NEUBERGER BERMAN ABS RET MULTI-MGR CLASS I	P	2014-12-19	2015-01-30
NEUBERGER BERMAN ABS RET MULTI-MGR CLASS I	P	2014-12-19	2015-01-30
PIMCO EQS LONG/SHORT FUND CLASS P	P	2014-08-07	2015-01-30
PIMCO EQS LONG/SHORT FUND CLASS P	P	2014-12-11	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-03-07	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-03-21	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-04-18	2015-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
25,414		26,560	-1,146
27,980		28,899	-919
361		363	-2
1,162		1,170	-8
4		4	0
55,291		55,243	48
261		261	0
55,165		57,378	-2,213
167		174	-7
234		243	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-1,146
			-919
			-2
			0
			48
			0
			-2,213
			-7
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo, day, yr)	(d) Date sold (mo, day, yr)
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-05-16	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-06-20	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-07-18	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-08-22	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-09-09	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-09-19	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-10-17	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-11-21	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2013-12-19	2015-01-30
ALLIANZ GI SHORT DURATION HIGH INCOME	P	2014-01-16	2015-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
174		181	-7
261		266	-5
211		217	-6
244		249	-5
483		495	-12
195		201	-6
212		218	-6
275		284	-9
281		290	-9
218		226	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			-7
			-5
			-6
			-5
			-12
			-6
			-6
			-9
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
EIC VALUE FUND CLASS I	P	2012-06-07	2015-01-30
EIC VALUE FUND CLASS I	P	2012-11-16	2015-01-30
EIC VALUE FUND CLASS I	P	2012-12-06	2015-01-30
EIC VALUE FUND CLASS I	P	2012-12-06	2015-01-30
EIC VALUE FUND CLASS I	P	2013-12-10	2015-01-30
ISHARES MSCI EMERGING MARKETS ETF	P	2013-03-06	2015-02-17
ISHARES MSCI EMERGING MARKETS ETF	P	2013-03-07	2015-02-17
ISHARES MSCI EMERGING MARKETS ETF	P	2013-07-08	2015-02-17
ISHARES MSCI EMERGING MARKETS ETF	P	2013-09-09	2015-02-17
ISHARES RUSSELL MIDCAP ETF	P	2013-03-07	2015-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,013		37,964	12,049
2,116		1,649	467
721		577	144
36		29	7
180		169	11
5,556		5,963	-407
9,652		10,395	-743
527		486	41
1,217		1,217	0
8,423		6,373	2,050

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			12,049
			467
			144
			7
			11
			-407
			-743
			41
			0
			2,050

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) (mo, day, yr)	(d) Date sold (mo, day, yr)
ISHARES RUSSELL 1000 GROWTH ETF	P	2013-03-07	2015-01-30
EIC VALUE FUND CLASS I	P	2014-12-09	2015-09-02
EIC VALUE FUND CLASS I	P	2014-12-09	2015-09-02
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-09-30	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-10-31	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-11-28	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-12-26	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-12-31	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-01-30	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-02-27	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) Gain or (loss) (h) (e) plus (f) minus (g)
52,030		38,566	13,464
538		582	-44
1,713		1,850	-137
114		121	-7
135		141	-6
191		199	-8
394		408	-14
137		141	-4
147		149	-2
140		143	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(j) as of 12/31/69	(k) over col (j), if any
	13,464
	-44
	-137
	-7
	-6
	-8
	-14
	-4
	-2
	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-03-31	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-04-30	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-05-29	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-06-30	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-07-31	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2015-08-31	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-09-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-10-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-11-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-12-16	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) Gain or (loss) (h) (e) plus (f) minus (g)
163		165	-2
189		191	-2
189		192	-3
202		203	-1
208		209	-1
183		182	1
658		715	-57
582		612	-30
582		615	-33
605		617	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) FMV as of 12/31/69	Adjusted basis	Excess of col. (i) over col. (j), if any	
			-2
			-2
			-3
			-1
			-1
			1
			-57
			-30
			-33
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-01-13	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-02-13	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-03-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-04-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-05-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-06-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-07-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2015-08-14	2015-09-14
EIC VALUE FUND CLASS I	P	2013-12-10	2015-09-02
EIC VALUE FUND CLASS I	P	2013-12-10	2015-09-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
583		598	-15
600		622	-22
602		624	-22
609		627	-18
609		629	-20
682		697	-15
667		678	-11
697		703	-6
266		262	4
14		13	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	
(j) as of 12/31/69			
			-15
			-22
			-22
			-18
			-20
			-15
			-11
			-6
			4
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
EIC VALUE FUND CLASS I	P	2013-12-10	2015-09-02
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-08-07	2015-09-14
GOLDMAN SACHS STRATEGIC INCOME CL I	P	2014-08-29	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-02-22	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-03-22	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-04-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-05-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-06-21	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-07-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-07-20	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,030		1,014	16
67,585		71,310	-3,725
105		111	-6
17,184		17,839	-655
421		440	-19
424		444	-20
428		448	-20
436		451	-15
436		455	-19
9,181		9,606	-425

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			16
			-3,725
			-655
			-19
			-20
			-20
			-15
			-19
			-425

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-08-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-09-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-10-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-11-22	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2010-12-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-01-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-02-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-02-22	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-03-21	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-04-20	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
509		541	-32
516		545	-29
512		550	-38
474		505	-31
483		508	-25
480		512	-32
12,247		13,284	-1,037
468		504	-36
472		507	-35
327		353	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-32
			-29
			-38
			-31
			-25
			-32
			-1,037
			-36
			-35
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-05-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-06-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-07-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-08-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-09-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-10-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-11-21	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2011-12-20	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-01-13	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-02-14	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
329		356	-27
249		265	-16
253		266	-13
264		266	-2
268		268	0
279		269	10
278		270	8
284		271	13
284		274	10
278		277	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-27
			-16
			-13
			-2
			0
			10
			8
			13
			10
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-03-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-04-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-05-15	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-06-07	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-06-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-07-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-08-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-09-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-10-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-11-14	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
274		280	-6
279		282	-3
269		273	-4
1,190		1,188	2
274		273	1
272		275	-3
268		278	-10
267		280	-13
269		282	-13
271		282	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-6
			-3
			-4
			2
			1
			-3
			-10
			-13
			-13
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2012-12-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-01-15	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-02-13	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-03-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-04-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-05-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-06-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-07-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-08-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-09-16	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
265		280	-15
251		269	-18
250		271	-21
245		268	-23
249		269	-20
250		271	-21
254		272	-18
255		273	-18
255		274	-19
254		272	-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(j) as of 12/31/69	Adjusted basis
(k) as of 12/31/69	Excess of col. (i) over col. (j), if any
	-15
	-18
	-21
	-23
	-20
	-21
	-18
	-19
	-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-10-15	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-11-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2013-12-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-01-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-02-13	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-03-12	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-03-13	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-03-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-04-14	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-05-14	2015-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
255		274	-19
257		275	-18
257		276	-19
256		277	-21
259		279	-20
343		374	-31
1,250		1,359	-109
266		288	-22
266		289	-23
231		252	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-19
			-18
			-19
			-21
			-20
			-31
			-109
			-22
			-23
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-06-16	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-06-17	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-06-18	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-07-15	2015-09-14
PUTNAM DIVERSIFIED INCOME FUND CLASS Y	P	2014-08-14	2015-09-14
ARDEN ALTERNATIVE STRATEGIES II CLASS I	P	2014-12-16	2015-11-09
BLACKROCK GLOBAL LONG SHORT EQUITY FUND CLASS I	P	2014-12-17	2015-11-09
BLACKROCK GLOBAL LONG SHORT EQUITY FUND CLASS I	P	2015-01-30	2015-11-09
FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS ADV	P	2014-12-29	2015-11-09
FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS ADV	P	2015-01-30	2015-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
231		253	-22
27,023		29,597	-2,574
65,945		72,046	-6,101
605		661	-56
664		712	-48
2,030		2,019	11
61		62	-1
41,354		41,424	-70
491		487	4
41,806		41,424	382

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-22
			-2,574
			-6,101
			-56
			-48
			11
			-1
			-70
			4
			382

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
WELLS FARGO ADVANTAGE ABSOLUTE RETURNS FUND CLASS INSTL	P	2014-12-11	2015-11-09
WELLS FARGO ADVANTAGE ABSOLUTE RETURNS FUND CLASS INSTL	P	2014-12-30	2015-11-09
ARDEN ALTERNATIVE STRATEGIES II CLASS I	P	2014-08-07	2015-11-09
BLACKROCK GLOBAL LONG SHORT EQUITY FUND CLASS I	P	2014-08-07	2015-11-09
FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS ADV	P	2014-08-07	2015-11-09
WELLS FARGO ADVANTAGE ABSOLUTE RETURNS FUND CLASS INSTL	P	2014-08-07	2015-11-09
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-21
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-22
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-23
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
487		517	-30
1,509		1,563	-54
53,655		55,243	-1,588
56,580		55,243	1,337
57,283		55,243	2,040
50,926		55,243	-4,317
32		42	-10
62		84	-22
62		84	-22
32		42	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) over col (j), if any (k)	
			-30
			-54
			-1,588
			1,337
			2,040
			-4,317
			-10
			-22
			-22
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) (mo, day, yr)	(d) Date sold (mo, day, yr)
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-27
ORANGE SPON ADR	P	2014-08-18	2015-01-02
ORANGE SPON ADR	P	2014-08-18	2015-01-06
ORANGE SPON ADR	P	2014-08-18	2015-01-08
ORANGE SPON ADR	P	2014-08-18	2015-01-09
ORANGE SPON ADR	P	2014-08-18	2015-01-12
ORANGE SPON ADR	P	2014-08-18	2015-01-13
SAGE GROUP, PLC	P	2014-08-18	2015-01-20
SAGE GROUP, PLC	P	2014-08-18	2015-01-21
SAGE GROUP, PLC	P	2014-08-18	2015-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
32		42	-10
17		15	2
127		120	7
348		316	32
50		45	5
321		286	35
119		105	14
57		53	4
86		80	6
115		107	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			-10
			2
			7
			32
			5
			35
			14
			4
			6
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
SAGE GROUP, PLC	P	2014-08-18	2015-01-23
SAGE GROUP, PLC	P	2014-08-18	2015-01-26
SAGE GROUP, PLC	P	2014-08-18	2015-01-27
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO	P	2014-08-18	2015-01-01
CAP GEMINI SA ADR	P	2014-08-18	2015-02-19
CAP GEMINI SA ADR	P	2014-08-18	2015-02-20
CAP GEMINI SA ADR	P	2014-08-18	2015-02-23
CAP GEMINI SA ADR	P	2014-08-18	2015-02-24
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-02-19
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-02-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
257		240	17
201		187	14
117		107	10
215		299	-84
350		314	36
346		314	32
78		70	8
119		105	14
192		192	0
44		44	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) over col (j), if any (k)	(l)
			17
			14
			10
			-84
			32
			8
			14
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-02-24
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-28
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-29
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-01-30
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-02-09
RENAISSANCERE HOLDINGS, LTD	P	2014-08-18	2015-02-18
RENAISSANCERE HOLDINGS, LTD	P	2014-08-18	2015-02-20
RENAISSANCERE HOLDINGS, LTD	P	2014-08-18	2015-02-23
RENAISSANCERE HOLDINGS, LTD	P	2014-08-18	2015-02-24
SAGE GROUP PLC	P	2014-08-18	2015-01-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
79		79	0
32		42	-10
31		42	-11
63		84	-21
32		42	-10
103		101	2
102		101	1
102		101	1
618		607	11
87		80	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			-10
			-11
			-21
			-10
			2
			1
			1
			11
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) (mo, day, yr)	(d) Date sold (mo, day, yr)
SAGE GROUP PLC	P	2014-08-18	2015-01-29
SAGE GROUP PLC	P	2014-08-18	2015-01-30
VALE SA-SP SPON ADR	P	2014-08-18	2015-02-18
VALE SA-SP SPON ADR	P	2014-08-18	2015-02-19
VALE SA-SP SPON ADR	P	2015-02-02	2015-02-19
CAP GEMINI SA ADR	P	2014-08-18	2015-02-27
CAP GEMINI SA ADR	P	2014-08-18	2015-03-03
CAP GEMINI SA ADR	P	2014-08-18	2015-03-05
CARLSBERG AS SPON ADR	P	2014-08-18	2015-03-23
CARLSBERG AS SPON ADR	P	2014-08-18	2015-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
87		80	7
29		27	2
808		1,192	-384
85		151	-66
262		443	-181
40		35	5
41		35	6
81		70	11
150		175	-25
237		272	-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			7
			2
			-384
			-66
			-181
			5
			6
			11
			-25
			-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
CARLSBERG AS SPON ADR	P	2014-08-18	2015-03-25
CHINA PETROLEUM & CHEMICAL CORP SPON ADR	P	2014-08-18	2015-03-25
CHINA PETROLEUM & CHEMICAL CORP SPON ADR	P	2014-08-18	2015-03-26
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-02-26
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-02-27
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-03-02
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-03-04
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-03-05
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-03-06
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
301		349	-48
77		99	-22
77		99	-22
129		126	3
36		36	0
106		106	0
22		22	0
54		80	-26
53		80	-27
133		208	-75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis
(j) as of 12/31/69	Excess of col (i) over col (j), if any
	-48
	-22
	-22
	3
	0
	0
	-26
	-27
	-75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
CIA PARANAENSE ENERGI SPON ADR	P	2014-08-18	2015-03-12
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-02-25
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-02-26
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-03-03
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-03-04
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-03-05
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-03-06
GOLDEN AGRI RESOURCES LTD ADR	P	2014-08-18	2015-03-09
RENAISSANCERE HOLDINGS, LTD	P	2015-02-02	2015-02-25
RENAISSANCERE HOLDINGS, LTD	P	2015-02-02	2015-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
53		80	-27
120		169	-49
90		127	-37
151		211	-60
216		295	-79
150		211	-61
150		211	-61
147		211	-64
103		95	8
103		95	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-27
			-49
			-37
			-60
			-64
			8
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
STATOIL ASA SPON ADR	P	2014-08-18	2015-03-23
UBS 04647 APRIL 2016	P	2015-01-01	2015-12-31
UBS 04647 MAY 2016	P	2015-01-01	2015-12-31
RTS TATA MOTORS, LTD	P	2014-08-18	2015-04-27
UBS 04647 JUNE 2016	P	2015-01-01	2015-12-31
UBS 04647 JULY 2016	P	2015-01-01	2015-12-31
UBS 04647 AUGUST 2016	P	2015-01-01	2015-12-31
UBS 04647 AUGUST 2016	P	2014-01-01	2015-12-31
UBS 04647 SEPTEMBER 2016	P	2015-01-01	2015-12-31
UBS 04647 SEPTEMBER 2016	P	2014-01-01	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,093		1,704	-611
3,752		4,286	-534
7,833		7,964	-131
7			7
5,191		5,521	-330
2,036		1,903	133
3,050		4,118	-1,068
805		1,298	-493
50,413		57,616	-7,203
48,423		56,402	-7,979

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) over col (j), if any (k)	
			-611
			-534
			-131
			7
			-330
			133
			-1,068
			-493
			-7,203
			-7,979

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired (b) P—Purchase D—Donation	Date acquired (c) (mo, day, yr)	(d) Date sold (mo, day, yr)
CHECK POINT SOFTWARE TECH LTD	P	2015-09-11	2015-10-13
CHECK POINT SOFTWARE TECH LTD	P	2015-09-11	2015-10-14
ADIDAS AG SPON ADR	P	2015-09-11	2015-11-09
AXA ADR	P	2015-09-11	2015-10-29
AXA ADR	P	2015-09-11	2015-10-29
AXA ADR	P	2015-09-11	2015-10-29
BG GROUP, PLC	P	2015-09-11	2015-11-11
EMBRAER S A SPON ADR	P	2015-09-11	2015-11-09
HEINEKEN NV SPON ADR	P	2015-09-11	2015-11-02
NIPPON TELEG & TEL CORP	P	2015-09-11	2015-10-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,191		1,188	3
866		871	-5
748		598	150
901		912	-11
1,113		1,106	7
106		104	2
1,981		2,039	-58
408		341	67
2,365		2,034	331
1,987		2,027	-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
			3
			-5
			150
			-11
			7
			2
			-58
			67
			331
			-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PEARSON PLC	P	2015-09-11	2015-11-17
PEARSON PLC	P	2015-09-11	2015-11-19
ADIDAS AG SPON ADR	P	2015-09-11	2015-12-04
INFINEON TECHNOLOGIES ADR	P	2015-09-11	2015-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
587		881	-294
814		1,163	-349
1,188		897	291
633		483	150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (I) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	-294
			-349
			291
			150

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

BARBARA L HARMAN

WILLIAM E CAIN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A WIDER CIRCLE 4808 MOORLAND LANE SUITE 802 BETHESDA, MD 20814	NONE	501(C)(3) ORGANIZATI	CHARITABLE	2,000
ACCION EAST 80 MAIDEN LANE NEW YORK, NY 10048	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
ARLINGTON FOOD ASSISTANCE NETWORK 2708 SOUTH NELSON STREET ARLINGTON, VA 22206	NONE	501(C)(3) ORGANIZATI	CHARITABLE	2,000
BISHOP JOHN T WALKER SCHOOL FOR BOYS 3640 MARTIN LUTHER KING JR AVE SE WASHINGTON, DC 20032	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
CASA PRINCE GEORGE'S COUNTY 6525 BELCREST ROAD HYATTSVILLE, MD 20782	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
CATALOGUE FOR PHILANTHROPY GREATER WASHINGTON 1889 L STREET NW SUITE 900 WASHINGTON, DC 20036	NONE	501(C)(3) ORGANIZATI	CHARITABLE	25,732
DANA FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST BROOKLINE, MA 02445	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
DC CREATIVE WRITING WORKSHOP 601 MISSISSIPPI AVENUE WASHINGTON, DC 20032	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
FIRST GENERATION COLLEGE BOUND 8101 SANDY SPRING ROAD LAUREL, MD 20707	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
GUGGENHEIM MUSEUM 1071 FIFTH AVENUE NEW YORK, NY 10128	NONE	501(C)(3) ORGANIZATI	CHARITABLE CHARITABLE	1,500
HOMELESS CHILDREN'S PLAYTIME PROJECT 1525 NEWTON STREET NW WASHINGTON, DC 20010	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
INNER CITY INNER CHILD 3133 DUMBARTON STREET NW WASHINGTON, DC 20007	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
JUBILEE JUMPSTART 2525 ONTARIO ROAD NW WASHINGTON, DC 20009	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
LEGAL COUNCIL FOR THE ELDERLY 601 E STREET NW WASHINGTON, DC 20049	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
LIVE IT LEARN IT 735 8TH STREET SE WASHINGTON, DC 20003	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
Total				101,732
			► 3a	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NATIONAL GALLERY OF ART 2000B SOUTH CLUB DRIVE LANDOVER, MD 20785	NONE	501(C)(3) ORGANIZATI	CHARITABLE	9,500
RAW ART WORKS 37 CENTRAL SQUARE LYNN, MA 01901	NONE	501(C)(3) ORGANIZATI	CHARITABLE	5,000
READING PARTNERS 600 NEW HAMPSHIRE AVENUE NW WASHINGTON, DC 20037	NONE	501(C)(3) ORGANIZATI	CHARITABLE	2,500
SKIDMORE COLLEGE 815 N BROADWAY SARATOGA SPRINGS, NY 12866	NONE	501(C)(3) ORGANIZATI	CHARITABLE	11,000
ST JOHN'S PREP 72 SPRING STREET DANVERS, MA 01923	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
THE LITERACY LAB PO BOX 3462 WASHINGTON, DC 20010	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
THE MICHAEL J FOX FOUNDATION FOR PARKINSON'S RESEARCH CHURCH STREET STATION PO BOX 780 NEW YORK, NY 10008	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
THE MOUNTAIN INSTITUTE 3000 CONNECTICUT AVENUE NW WASHINGTON, DC 20008	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
CASA FAIRFAX 4103 CHAIN BRIDGE ROAD SUITE 200 FAIRFAX, VA 22030	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
TURNING THE PAGE 1625 K STREET NW SUITE 102 WASHINGTON, DC 20006	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
FAMILY & YOUTH INITIATIVE 515 M STREET SE SUITE 217 WASHINGTON, DC 20003	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
OUR MILITARY KIDS 6861 ELM STREET SUITE 2A MCLEAN, VA 22101	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
CENTER FOR ALEXANDRIA'S CHILDREN 1900 N BEAUREGARD STREET SUITE 200 ALEXANDRIA, VA 22311	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
ALICE FERGUSON FOUNDATION 2001 BRYAN POINT ROAD ACCOKEEK, MD 20607	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
DOWNTOWN CLUSTER GERIATRIC DAY CARE CENTER 926 11TH STREET NW WASHINGTON, DC 20001	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
Total				► 3a 101,732

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LIFE PIECES TO MASTERPIECES 5600 EADS STREET NE WASHINGTON, DC 20019	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
SITAR ARTS CENTER 1700 KALORAMA ROADE NW WASHINGTON, DC 20009	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
DC STUDENTS CONSTRUCTION TRADES FOUNDATION 5151 WISCONSIN AVENUE NW WASHINGTON, DC 20016	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
FIHANKRA AKOMA NTOASO 3301 WHEELER ROAD SE LOWER LEVEL WASHINGTON, DC 20032	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
KID POWER 755 8TH STREET NW WASHINGTON, DC 20001	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
SAN MIGUEL SCHOOL 7705 GEORGIA AVENUE NW WASHINGTON, DC 20012	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
TRACY'S KIDS 5509 DEVON ROAD BETHESDA, MD 20814	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
BREAK THE CYCLE PO BOX 66165 WASHINGTON, DC 20035	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,000
LITTLE LIGHTS URBAN MINISTRIES 760 7TH STREET SE WASHINGTON, DC 20003	NONE	501(C)(3) ORGANIZATI	CHARITABLE	1,500
OPERATION SECOND CHANCE PO BOX 461 CLARKSBURG, MD 20871	NONE	501(C)(3) ORGANIZATI	CHARITABLE	3,000
Total				101,732

TY 2015 Accounting Fees Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BERGER, KATZ, WEISHAUS & LENZA	1,500	0	0	0

TY 2015 Investments Corporate Stock Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	250,502	635,258

TY 2015 Investments - Other Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION**EIN:** 04-3340178

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIXED INCOME	AT COST	170,696	162,378
ALTERNATIVE STRATEGIES	AT COST	300,000	304,000
EQUITIES	AT COST	324,423	329,364

TY 2015 Other Expenses Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MA ANNUAL FILING FEE	35	0	0	0

TY 2015 Other Liabilities Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Description	Beginning of Year - Book Value	End of Year - Book Value
ADJUSTMENT FOR CHECKS NOT CLEARED	90,500	44,500
DUE TO OFFICER	9,295	12,443

TY 2015 Other Professional Fees Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS FINANCIAL	12,526	0		0

TY 2015 Taxes Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION**EIN:** 04-3340178

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	301	0		0
FEDERAL INCOME TAXES	1,613	0		0