



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation
**LOTTA FUND FOR AIDING DISCHARGED
CONVICTS #0710900 NUTTER MCCLENNEN FISH**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
POST OFFICE BOX 51400

City or town, state or province, country, and ZIP or foreign postal code
BOSTON, MA 02205

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 847101.** J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
04-2607208

B Telephone number
617-439-2000

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	25666.	25666.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1455.			
	b Gross sales price for all assets on line 6a	30846.			
	7 Capital gain net income (from Part IV, line 2)		1455.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	27121.	27121.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	1412.	706.	0.	706.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 1	3907.	1296.	0.	2611.
	b Accounting fees STMT 2	1925.	0.	0.	1925.
	c Other professional fees STMT 3	5649.	2825.	0.	2824.
	17 Interest				
	18 Taxes STMT 4	909.	652.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	360.	40.	0.	320.
	24 Total operating and administrative expenses. Add lines 13 through 23	14162.	5519.	0.	8386.
25 Contributions, gifts, grants and	34667.			34667.	
26 Total expenses and disbursements. Add lines 24 and 25	48829.	5519.	0.	43053.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-21708.				
b Net investment income (if negative, enter -0-)		21602.			
c Adjusted net income (if negative, enter -0-)			0.		

LOTTA FUND FOR AIDING DISCHARGED

Form 990-PF (2015)

CONVICTS #0710900 NUTTER MCCLENNEN FISH

04-2607208

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	500.	500.	500.
	2 Savings and temporary cash investments	20508.	15344.	15344.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	262.	225.	1596.
	b Investments - corporate stock STMT 7	386979.	385979.	710121.
	c Investments - corporate bonds STMT 8	73219.	62829.	60866.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other		64977.	59860.	58674.
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		546445.	524737.	847101.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	546445.	524737.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	546445.	524737.	
31 Total liabilities and net assets/fund balances	546445.	524737.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	546445.
2 Enter amount from Part I, line 27a	2	-21708.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	524737.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	524737.

LOTTA FUND FOR AIDING DISCHARGED

Form 990-PF (2015)

CONVICTS #0710900 NUTTER MCCLENNEN FISH

04-2607208

Page **3**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 30846.		29391.	1455.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1455.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1455.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	134.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	42063.	881773.	.047703
2013	37177.	829447.	.044821
2012	29355.	760844.	.038582
2011	34495.	588441.	.058621
2010	29696.	697079.	.042601

2 Total of line 1, column (d)	2	.232328
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046466
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	873460.
5 Multiply line 4 by line 3	5	40586.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	216.
7 Add lines 5 and 6	7	40802.
8 Enter qualifying distributions from Part XII, line 4	8	43053.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	216.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	216.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	216.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	500.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	284.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> 284. Refunded <input checked="" type="checkbox"/> 0.	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

LOTTA FUND FOR AIDING DISCHARGED

Form 990-PF (2015)

CONVICTS #0710900 NUTTER MCLENNEN FISH

04-2607208

Page 5

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► <u>ELIZABETH F. POTTER</u> Telephone no. ► <u>617-439-2000</u> Located at ► <u>NUTTER 155 SEAPORT BLVD., BOSTON, MA</u> ZIP+4 ► <u>02110-2604</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b	
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH POTTER	TRUSTEE			
NUTTER 155 SEAPORT BLVD				
BOSTON, MA 02210-2604	0.25	0.	0.	0.
NANCY T. HARMON	TRUSTEE			
PO BOX N184				
WESTPORT, MA 02790	0.10	1412.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 SEE ATTACHED SCHEDULE OF DISTRIBUTIONS

34667.

2

3

4

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A

2

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3

0.

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	866763.
b	Average of monthly cash balances	1b	19998.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	886761.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	886761.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13301.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	873460.
6	Minimum investment return. Enter 5% of line 5	6	43673.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	43673.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	216.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	216.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43457.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	43457.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43457.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	43053.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	43053.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	216.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42837.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

LOTTA FUND FOR AIDING DISCHARGED

Form 990-PF (2015)

CONVICTS #0710900 NUTTER MCCLENNEN FISH

04-2607208

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				43457.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			34667.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010 ..				
b From 2011 ..				
c From 2012 ..				
d From 2013 ..				
e From 2014 ..				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 43053.				
a Applied to 2014, but not more than line 2a			34667.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				8386.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 ..				35071.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 ..	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011 ..				
b Excess from 2012 ..				
c Excess from 2013 ..				
d Excess from 2014 ..				
e Excess from 2015 ..				

LOTTA FUND FOR AIDING DISCHARGED

Form 990-PF (2015)

CONVICTS #0710900 NUTTER MCCLENNEN FISH

04-2607208 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CENTER ON JUVENILE & CRIMINAL JUSTICE 43 BOARDMAN PL SAN FRANCISCO, CA 94103	NONE	PF	GENERAL	4300.
CORRECTIONAL ASSOCIATION OF NEW YORK 135 15TH STREET NEW YORK, NY 10003	NONE	PF	GENERAL	4300.
CRIMINAL JUSTICE MINISTRY 4127 FOREST PARK AVE ST. LOUIS, MO 63108	NONE	PF	GENERAL	4300.
JOHN HOWARD ASSN OF ILLINOIS 300 WEST ADAMS STREET CHICAGO, IL 60606	NONE	PF	GENERAL	4300.
MASS SOCIETY FOR AIDING DISCHARGED PRISONERS P.O. BOX 272 SALEM, MA 01970	NONE	PF	GENERAL	4300.
Total	SEE CONTINUATION SHEET(S)			3a 34667.
b Approved for future payment				
NONE				
Total				3b 0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FIRST REPUBLIC BANK - 200 SHS	P	12/19/12	03/05/15
b	VANGUARD SHORT TERM INVESTMENT GRADE FUND - 469.4	P	10/19/10	06/12/15
c	VOLKSWAGEN AG - 150 SHS	P	10/23/13	09/23/15
d	GNMA MAE 7% - 57.82 UNITS	P	10/16/01	12/21/15
e	GNMA MAE 6.5% - 80.99 UNITS	P	12/21/15	10/05/01
f	GNMA MAE 6.5% - 69.72 UNITS	P	12/21/15	10/16/01
g	GENERAL ELECTRIC CAPITAL CORP. - 10,000 PAR	P	01/11/13	11/09/15
h	LTCGD	P	12/31/15	12/31/15
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11506.		6727.	4779.
b 5000.		5117.	-117.
c 4120.		7120.	-3000.
d 58.		9.	49.
e 81.		12.	69.
f 70.		16.	54.
g 10000.		10390.	-390.
h 11.			11.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			4779.
b			-117.
c			-3000.
d			49.
e			** 69.
f			** 54.
g			-390.
h			** 11.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1455.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	134.

CONVICTS #0710900 NUTTER MCCLENNEN FISH 04-2607208

3 Grants and Contributions Paid During the Year (Continuation)

523831
04-01-15

FORM 990-PF LEGAL FEES STATEMENT 1

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NUTTER GRANT MAKING FEES	2431.	0.	0.	2431.
HARMON GRANTMAKING	180.	0.	0.	180.
NUTTER LEGAL	1296.	1296.	0.	0.
TO FM 990-PF, PG 1, LN 16A	3907.	1296.	0.	2611.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	1925.	0.	0.	1925.
TO FORM 990-PF, PG 1, LN 16B	1925.	0.	0.	1925.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NUTTER PROFESSIONAL FEES	5649.	2825.	0.	2824.
TO FORM 990-PF, PG 1, LN 16C	5649.	2825.	0.	2824.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX 2014	257.	0.	0.	0.
FOREIGN TAXES	652.	652.	0.	0.
TO FORM 990-PF, PG 1, LN 18	909.	652.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SURETY BOND PREMIUM	285.	0.	0.	285.
FILING FEES	35.	0.	0.	35.
ADR FEES	40.	40.	0.	0.
TO FORM 990-PF, PG 1, LN 23	360.	40.	0.	320.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GNMA 7%	X		76.	618.
GNMA 6.5%	X		72.	582.
GNMA 6.5%	X		77.	396.
TOTAL U.S. GOVERNMENT OBLIGATIONS			225.	1596.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			225.	1596.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	385979.	710121.
TOTAL TO FORM 990-PF, PART II, LINE 10B	385979.	710121.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
-------------	-----------------	-----------	---

<u>DESCRIPTION</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
	62829.	60866.
TOTAL TO FORM 990-PF, PART II, LINE 10C	62829.	60866.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
-------------	-------------------	-----------	---

<u>DESCRIPTION</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
	COST	59860.	58674.
TOTAL TO FORM 990-PF, PART II, LINE 13		59860.	58674.

LOTTA FUND FOR AIDING DISCHARGED CONVICTS
EIN 04-2607208
FEDERAL FORM 990-PF, PART II, LINE 10a, 10b and Line 13
CALENDAR YEAR 2015

<u>Part II, Line 10a - Investments - U.S. and State Government Obligations</u>		2015		2015	
	Units	Beginning of Year Book Value	End of Year Book Value	End of Year Fair Market Value	
GNMA 7%	511.08	85	76	618	
GNMA 6.5%	491.62	84	72	582	
GNMA 6.5%	342.78	93	77	396	
Totals		262	225	1,596	

<u>Part II, Line 10c - Investments - Corporate Bonds</u>		2015		2015	
	Interest Rate	Maturity Date	Beginning of Year Book Value	End of Year Book Value	End of Year Fair Market Value
ANHEUSER BUSCH	1.38%	7/15/2017	10,113	10,113	9,967
COCA COLA	1.65%	3/14/2018	10,305	10,305	10,069
JOHN DEERE CAPITAL	2.25%	6/7/2016	10,496	10,496	10,056
GENERAL ELECTRIC CAPITAL	2.25%	11/9/2015	10,390	0	0
JP MORGAN CHASE	4.25%	10/15/2020	11,125	11,125	10,608
US BANCORP	1.65%	5/15/2017	10,197	10,197	10,030
WELLS FARGO	2.63%	12/15/2016	10,593	10,593	10,136
			73,219	62,829	60,866

<u>Part II, Line 13 - Investments - Other</u>		2015		2015	
	Number of Shares	Beginning of Year Book Value	End of Year Book Value	End of Year Fair Market Value	
VANGUARD SHORT TERM INVESTMENT GRADE FUND	5,556.299	64977	59,860	58,674	

LOTTA FUND FOR AIDING DISCHARGED CONVICTS
EIN 04-2607208
FEDERAL FORM 990-PF, PART II, LINE 10b
CALENDAR YEAR 2015

Federal Form 990-PF
Part II, Line 10b - Investments - Corporate Stock

	2015 Number of Shares	2015 Beginning of Year Book Value	2015 End of Year Book Value	2015 End of Year Fair Market Value
AT&T	150	6,976	6,976	5,162
ACCENTURE PLC IRELAND	150	8,382	8,382	15,675
BOEING	150	8,292	8,292	21,689
CATERPILLAR	200	9,309	9,309	13,592
CHEVRON TEXACO	300	10,421	10,421	26,988
CHEMOURS CO	95	0	1061	509
CONSOLIDATED EDISON	150	6,197	6,197	9,641
DEERE	200	12,554	12,554	15,254
DOMINION RESOURCES	400	12,306	12,306	27,056
DOW CHEMICAL	175	5,483	5,483	9,009
DUPONT	475	21,201	20,140	31,635
EXXONMOBIL	400	6,307	6,307	31,180
GENERAL ELECTRIC	1200	1447	1447	37,380
INTEL	300	8,139	8,139	10,335
HERSHEY	200	19,056	19,056	17,854
JP MORGAN	480	14,972	14,972	31,694
MERCK	490	12,971	12,971	25,882
MICROSOFT	800	24,278	24,278	44,384
PAYCHEX	250	16,809	16,809	26,445
RAYTHEON	150	4,286	4,286	18,679
ROCHE HOLDINGS	500	10,972	10,972	17,235
SCHLUMBERGER	300	6,406	6,406	20,925
VOLKSWAGEN AG - ADR	0	7,120	0	0
UNION PACIFIC	800	12,416	12,416	62,560
VERIZON COMMUNICATIONS	704	28,527	28,527	32,539
WALMART STORES	150	8,084	8,084	9,195
WELLS FARGO	250	7,127	7,127	13,590
NOVARTIS	200	12,054	12,054	17,208
CHUBB	150	7,921	7,921	19,896
ANHEUSER BUSCH INBEV ADR	250	19,527	19,527	31,250
AGILENT TECHNOLOGIES	250	7,072	7,072	10,452
FIRST REPUBLIC BANK	0	6,727	0	0
NEXTERA ENERGY	200	13,769	13,769	20,778
KINDER MORGAN INC	500	17,701	17,701	7,460
MCDONALDS	100	9,473	9,473	11,814
KEYSIGHT TECHNOLOGIES INC	125	2,697	2,697	3,541
MICROCHIP TECHNOLOGY INC	250	0	12,847	11,635
Totals		386,979	385,979	710,121