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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private FoundationDo not enter social security numbers on this form as it may be made public.
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OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning DEC 1, 2014, and ending NOV 30, 2015

Name of foundation The Smith Family Foundation		A Employer identification number 91-2056433
Number and street (or P O box number if mail is not delivered to street address) 120 Edisto Avenue		B Telephone number 803-254-0542
City or town, state or province, country, and ZIP or foreign postal code Columbia, SC 29205		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 927,965.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		20.	20.		Statement 1
4 Dividends and interest from securities		19,380.	19,380.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		15,435.			
b Gross sales price for all assets on line 6a 276,977.					
7 Capital gain net income (from Part IV, line 2)			15,435.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		730.	52.		Statement 3
12 Total. Add lines 1 through 11		35,565.	34,887.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 4		4,083.	0.		4,082.
c Other professional fees Stmt 5		9,182.	9,182.		0.
17 Interest					
18 Taxes Stmt 6		1,120.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		14,385.	9,182.		4,082.
25 Contributions, gifts, grants paid		49,100.			49,100.
26 Total expenses and disbursements. Add lines 24 and 25		63,485.	9,182.		53,182.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<27,920.>			
b Net investment income (if negative, enter -0-)			25,705.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	
		Beginning of year	End of year
		(a) Book Value	(b) Book Value (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	8,166.	
	2 Savings and temporary cash investments	30,020.	18,964. 18,964.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶		
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶		
	5 Grants receivable		
	6 Receivables due from officers, directors, trustees, and other disqualified persons		
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶		
	8 Inventories for sale or use		
	9 Prepaid expenses and deferred charges		
	10a Investments - U.S. and state government obligations		
	b Investments - corporate stock		
	c Investments - corporate bonds		
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶		
	12 Investments - mortgage loans		
	13 Investments - other		
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶		
	15 Other assets (describe ▶ Securities)	813,264.	804,024. 909,001.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	851,450.	822,988. 927,965.
Liabilities	17 Accounts payable and accrued expenses		
	18 Grants payable		
	19 Deferred revenue		
	20 Loans from officers, directors, trustees, and other disqualified persons		
	21 Mortgages and other notes payable		
	22 Other liabilities (describe ▶)		
	23 Total liabilities (add lines 17 through 22)	0.	0.
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.		
	24 Unrestricted		
	25 Temporarily restricted		
	26 Permanently restricted		
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.		
	27 Capital stock, trust principal, or current funds	771,763.	806,375.
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	1,832.	0.
	29 Retained earnings, accumulated income, endowment, or other funds	77,855.	16,613.
	30 Total net assets or fund balances	851,450.	822,988.
	31 Total liabilities and net assets/fund balances	851,450.	822,988.

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 851,450.
2 Enter amount from Part I, line 27a	2 <27,920.>
3 Other increases not included in line 2 (itemize) ▶	3 0.
4 Add lines 1, 2, and 3	4 823,530.
5 Decreases not included in line 2 (itemize) ▶ Cost Basis Adjustment	5 542.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 822,988.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AIG Class Action Proceeds		Various	12/15/14
b Schedule Attached		Various	11/30/15
c Schedule Attached		Various	11/30/15
d Capital Gains Dividends			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 35.			35.
b 77,186.		83,554.	<6,368.>
c 176,719.		177,988.	<1,269.>
d 23,037.			23,037.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			35.
b			<6,368.>
c			<1,269.>
d			23,037.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	15,435.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	61,612.	989,828.	.062245
2012	36,864.	938,613.	.039275
2011	47,608.	841,800.	.056555
2010	41,989.	927,003.	.045295
2009	32,233.	853,853.	.037750

2 Total of line 1, column (d)	2	.241120
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048224
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	965,656.
5 Multiply line 4 by line 3	5	46,568.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	257.
7 Add lines 5 and 6	7	46,825.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	53,182.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	257.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	257.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	257.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	657.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	657.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	400.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input checked="" type="checkbox"/> 400. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> None		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

See Statement 7

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Joel Smith, III Telephone no. ► 803-254-0542 Located at ► 120 Edisto Avenue, Columbia, SC ZIP+4 ► 29205			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	947,587.
b	Average of monthly cash balances	1b	32,774.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	980,361.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	980,361.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,705.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	965,656.
6	Minimum investment return. Enter 5% of line 5	6	48,283.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	48,283.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	257.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	257.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	48,026.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	48,026.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	48,026.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	53,182.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	53,182.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	257.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	52,925.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				48,026.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			31,305.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 53,182.				
a Applied to 2013, but not more than line 2a			31,305.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				21,877.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				26,149.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Achieve Columbia P.O. Box 50860 Columbia, SC 29250		Public	Charitable	2,500.
Church Health Center 1210 Peabody Avenue Memphis, TN 38104		Public	Charitable	1,500.
Columbia Museum of Art PO Box 2068 Columbia, SC 29202		Public	Charitable	5,000.
Communities In Schools PO Box 8884 Columbia, SC 29202		Public	Charitable	2,500.
Conservation Voters of South Carolina Education Fund (CVSC) 701 Whaley Street, Suite 207 Columbia, SC 29201		Public	Charitable	2,500.
Total	See continuation sheet(s)			49,100.
b Approved for future payment				
None				
Total				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Memphis Athletic Ministries 2107 Ball Road Memphis, TN 38114		Public	Charitable	1,500.
Midlands Mediation Center PO Box 5942 Columbia, SC 29250		Public	Charitable	2,600.
South Carolina Campaign to Prevent Teen Pregnancy 1331 Elmwood Avenue, Suite 140 Columbia, SC 29201		Public	Charitable	1,000.
Southern Environmental Law Center 201 West Main Street, Suite 14 Charlottesville, VA 22902		Public	Charitable	10,000.
The University of the South Capital Campaign 735 University Avenue Sewanee, TN 37383		Public	Charitable	20,000.
Total from continuation sheets				35,100.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
US Trust I/M	20.	20.	
Total to Part I, line 3	20.	20.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
US Trust I/M	42,417.	23,037.	19,380.	19,380.	
To Part I, line 4	42,417.	23,037.	19,380.	19,380.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Etracs Alerian	52.	52.	
Return of Capital	678.	0.	
Total to Form 990-PF, Part I, line 11	730.	52.	

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Nexsen Pruet	4,083.	0.		4,082.
To Form 990-PF, Pg 1, ln 16b	4,083.	0.		4,082.

Form 990-PF	Other Professional Fees	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
US Trust Agency Fees	9,182.	9,182.		0.
To Form 990-PF, Pg 1, ln 16c	9,182.	9,182.		0.

Form 990-PF	Taxes	Statement	6
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	887.	0.		0.
Foreign Tax	233.	0.		0.
To Form 990-PF, Pg 1, ln 18	1,120.	0.		0.

Form 990-PF	Explanation Concerning Part VII-A, Line 8b	Statement	7
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Explanation

The South Carolina Attorney General no longer requires the filing of Forms 990-PF with them.

The Smith Family Fund
91-2056433

Form 990 PF, Part XV – Name, address and phone number for applications

Joel A. Smith, III
120 Edisto Avenue
Columbia, SC 29205
803-254-0542



Bank of America Private Wealth Management

SETTLEMENT DATE

A S S E T S U M M A R Y

AS OF 11/30/15

ACCOUNT
07-02-002-2797280

SMITH FAMILY FOUNDATION - COMB

PAGE 2

	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
CASH AND CASH EQUIVALENTS	18,964.49	18,964.49	2.044	0.070	13.28
MONEY MARKET FUNDS					
FIXED INCOME					
MUTUAL FUNDS-FIXED	104,033.79	98,813.69	10.648	3.474	3,432.30
OTHER FIXED INCOME	34,631.52	33,220.00	3.580	5.682	1,887.60
TOTAL FIXED INCOME	138,665.31	132,033.69	14.228	4.029	5,319.90
EQUITIES					
CONSUMER DISCRETIONARY	25,869.44	33,179.40	3.576	1.874	621.92
CONSUMER STAPLES	13,544.13	13,318.16	1.435	1.643	218.87
ENERGY	23,394.43	18,104.66	1.951	4.681	847.39
FINANCIALS	21,902.62	23,901.96	2.576	2.406	574.98
HEALTH CARE	16,070.50	16,959.83	1.828	0.936	158.79
INDUSTRIALS	24,328.94	26,693.34	2.877	2.185	583.28
INFORMATION TECHNOLOGY	30,683.28	43,706.70	4.710	0.741	323.72
MATERIALS	8,375.91	8,409.72	0.906	2.877	241.92
MUTUAL FUNDS-EQUITY	312,855.65	384,031.72	41.384	0.687	2,636.64
OTHER EQUITIES	188,334.51	208,661.32	22.486	2.545	5,310.72
TOTAL EQUITIES	665,359.41	776,966.81	83.728	1.482	11,518.23
ACCOUNT TOTAL	822,989.21	927,964.99	100.000	1.816	16,851.41

COST

138665

665359

804024

MARKET VALUE

132034

776967

909001

US Trust

Page 1 of 14
2/25/2016 08:27:41Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

Account Id: 020020188748

IM THE SMITH FAMILY FOUNDATION
Trust Year 12/1/2014 - 11/30/2015

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
0075W0536	CBRE CLARION LONG/SHORT FUND								
						020020188748			
Sold		09/02/15	33.74	347.51					
Acq		11/24/14	33.74	347.51	367.08	367.08	-19.57	-19.57	S
Note			Total for 9/2/2015		367.08	367.08	-19.57	-19.57	
037833100	APPLE COMPUTER INC								
						020022797272			
Sold		09/02/15	2	219.47					
Acq		05/15/14	2	219.47	169.91	169.91	49.56	49.56	L
Note			Total for 9/2/2015		169.91	169.91	49.56	49.56	
054937107	BB & T CORP								
						020022797272			
Sold		04/08/15	97	3,775.89					
Acq		07/02/14	97	3,775.89	3,845.49	3,845.49	-69.60	-69.60	S
Note			Total for 4/8/2015		3,845.49	3,845.49	-69.60	-69.60	
054937107	BB & T CORP								
						020022797272			
Sold		04/08/15	50	1,946.33					
Acq		07/25/14	50	1,946.33	1,888.65	1,888.65	57.68	57.68	S
Note			Total for 4/8/2015		1,888.65	1,888.65	57.68	57.68	
19765H362	COLUMBIA FDS SER TR SHORT TERM BD								
						020020188748			
Sold		12/16/14	1185.03	11,779.22					
Acq		11/11/11	1185.03	11,779.22	11,719.97	11,719.97	59.25	59.25	L
Note			Total for 12/16/2014		11,719.97	11,719.97	59.25	59.25	
19765L694	COLUMBIA FDS SER TR I STRATEGIC								
						020020188748			
Sold		01/05/15	1282.85	7,402.05					
Acq		12/13/11	1282.85	7,402.05	7,645.79	7,645.79	-243.74	-243.74	L
Note			Total for 1/5/2015		7,645.79	7,645.79	-243.74	-243.74	

Account Id: 020020188748

SMITH FAMILY FOUNDATION - MTP
Trust Year 12/1/2014 - 11/30/2015

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
235851102	DANAHER CORP					020022797272			
Sold	06/03/15	48	4,188.59						
Acq	07/02/14	48	4,188.59	3,779.54	3,779.54	409.05	409.05	S	
Note		Total for 6/3/2015		3,779.54	3,779.54	409.05	409.05		
254687106	DISNEY WALT CO					020022797272			
Sold	09/02/15	1	100.51						
Acq	03/20/14	1	100.51	80.82	80.82	19.69	19.69	L	
Note		Total for 9/2/2015		80.82	80.82	19.69	19.69		
258620103	DOUBLELINE TOTAL RETURN BD FUND					020020188748			
Sold	05/13/15	1344.04	14,717.19						
Acq	01/05/15	1344.04	14,717.19	14,824.71	14,824.71	-107.52	-107.52	S	
Note		Total for 5/13/2015		14,824.71	14,824.71	-107.52	-107.52		
278865100	ECOLAB INC					020022797272			
Sold	01/12/15	10	1,008.68						
Acq	03/20/14	10	1,008.68	1,098.35	1,098.35	-89.67	-89.67	S	
Note		Total for 1/12/2015		1,098.35	1,098.35	-89.67	-89.67		
278865100	ECOLAB INC					020022797272			
Sold	01/12/15	40	4,034.73						
Acq	11/26/13	40	4,034.73	4,317.40	4,317.40	-282.67	-282.67	L	
Note		Total for 1/12/2015		4,317.40	4,317.40	-282.67	-282.67		
278865100	ECOLAB INC					020022797272			
Sold	01/12/15	3	302.61						
Acq	05/15/14	3	302.61	318.60	318.60	-15.99	-15.99	S	
Note		Total for 1/12/2015		318.60	318.60	-15.99	-15.99		

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
38145N220	GOLDMAN SACHS ABSOLUTE RETURN			020020188748					
Sold	09/02/15		143.43	1,288.02					
Acq	11/24/14		143.43	1,288.02	1,371.21	1,371.21	-83.19	-83.19	S
Note			Total for 9/2/2015		1,371.21	1,371.21	-83.19	-83.19	
38259P706	GOOGLE INC			020022797272					
Sold	05/14/15		5	2,689.01					
Acq	11/26/13		5	2,689.01	2,640.38	2,640.38	48.63	48.63	L
Note			Total for 5/14/2015		2,640.38	2,640.38	48.63	48.63	
38259P706	GOOGLE INC			020022797272					
Sold	05/19/15		0.01	7.53					
Acq	11/26/13		0.01	7.53	7.39	7.39	0.14	0.14	L
Note			Total for 5/19/2015		7.39	7.39	0.14	0.14	
437076102	HOME DEPOT INC			020022797272					
Sold	09/02/15		1	115.35					
Acq	11/26/13		1	115.35	80.80	80.80	34.55	34.55	L
Note			Total for 9/2/2015		80.80	80.80	34.55	34.55	
438516106	HONEYWELL INTL INC			020022797272					
Sold	01/15/15		37	3,594.74					
Acq	03/20/14		37	3,594.74	3,434.90	3,434.90	159.84	159.84	S
Note			Total for 1/15/2015		3,434.90	3,434.90	159.84	159.84	
438516106	HONEYWELL INTL INC			020022797272					
Sold	01/15/15		1	97.16					
Acq	05/15/14		1	97.16	92.47	92.47	4.69	4.69	S
Note			Total for 1/15/2015		92.47	92.47	4.69	4.69	

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
464286103	ISHARES INC MSCI AUSTRALIA INDEX					020020188748			
Sold	11/12/15	140	2,572.84						
Acq	12/22/09	140	2,572.84	3,052.00	3,052.00	-479.16	-479.16	L	
Note		Total for 11/12/2015		3,052.00	3,052.00	-479.16	-479.16		
464286103	ISHARES INC MSCI AUSTRALIA INDEX					020020188748			
Sold	11/12/15	220	4,043.04						
Acq	06/29/09	220	4,043.04	3,731.20	3,731.20	311.84	311.84	L	
Note		Total for 11/12/2015		3,731.20	3,731.20	311.84	311.84		
464286509	ISHARES INC MSCI CDA INDEX FD					020020188748			
Sold	11/12/15	155	3,534.47						
Acq	12/22/09	155	3,534.47	3,992.80	3,992.80	-458.33	-458.33	L	
Note		Total for 11/12/2015		3,992.80	3,992.80	-458.33	-458.33		
464286509	ISHARES INC MSCI CDA INDEX FD					020020188748			
Sold	11/12/15	172	3,922.13						
Acq	06/29/09	172	3,922.13	3,751.32	3,751.32	170.81	170.81	L	
Note		Total for 11/12/2015		3,751.32	3,751.32	170.81	170.81		
464286673	ISHARES INC MSCI SINGAPORE INDEX					020020188748			
Sold	11/12/15	350	3,731.18						
Acq	06/29/09	350	3,731.18	3,185.00	3,185.00	546.18	546.18	L	
Note		Total for 11/12/2015		3,185.00	3,185.00	546.18	546.18		
464286822	ISHARES INC MSCI MEXICO FREE INDEX					020020188748			
Sold	11/12/15	35	1,875.43						
Acq	02/12/13	35	1,875.43	2,559.38	2,559.38	-683.95	-683.95	L	
Note		Total for 11/12/2015		2,559.38	2,559.38	-683.95	-683.95		

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
464286822	ISHARES INC MSCI MEXICO FREE INDEX					020020188748			
Sold		11/12/15	30	1,607.52					
Acq		01/08/13	30	1,607.52	2,155.24	2,155.24	-547.72	-547.72	L
Note			Total for 11/12/2015		2,155.24	2,155.24	-547.72	-547.72	
464286871	ISHARES INC MSCI HONG KONG INDEX					020020188748			
Sold		04/30/15	215	5,104.03					
Acq		09/14/10	215	5,104.03	3,696.93	3,696.93	1,407.10	1,407.10	L
Note			Total for 4/30/2015		3,696.93	3,696.93	1,407.10	1,407.10	
464286871	ISHARES INC MSCI HONG KONG INDEX					020020188748			
Sold		04/30/15	264	6,267.27					
Acq		06/29/09	264	6,267.27	3,719.76	3,719.76	2,547.51	2,547.51	L
Note			Total for 4/30/2015		3,719.76	3,719.76	2,547.51	2,547.51	
464287499	ISHARES TR RUSSELL MIDCAP INDEX FD					020020188748			
Sold		09/02/15	50	7,884.10					
Acq		01/07/15	50	7,884.10	8,200.75	8,200.75	-316.65	-316.65	S
Note			Total for 9/2/2015		8,200.75	8,200.75	-316.65	-316.65	
464287614	ISHARES TR RUSSELL 1000 GROWTH					020020188748			
Sold		09/02/15	210	19,663.19					
Acq		02/12/13	210	19,663.19	14,530.01	14,530.01	5,133.18	5,133.18	L
Note			Total for 9/2/2015		14,530.01	14,530.01	5,133.18	5,133.18	
466001864	IVY ASSET STRATEGY FUND					020020188748			
Sold		09/02/15	282.45	6,603.77					
Acq		11/24/14	282.45	6,603.77	9,010.29	9,010.29	-2,406.52	-2,406.52	S
Note			Total for 9/2/2015		9,010.29	9,010.29	-2,406.52	-2,406.52	

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
466001864	IVY ASSET STRATEGY FUND				020020188748				
Sold		09/02/15	528.78	12,362.83					
Acq		10/16/13	528.78	12,362.83	16,111.87	16,111.87	-3,749.04	-3,749.04	L
Note			Total for 9/2/2015		16,111.87	16,111.87	-3,749.04	-3,749.04	
466001864	IVY ASSET STRATEGY FUND				020020188748				
Sold		09/02/15	271.56	6,348.96					
Acq		10/08/13	271.56	6,348.96	8,000.00	8,000.00	-1,651.04	-1,651.04	L
Note			Total for 9/2/2015		8,000.00	8,000.00	-1,651.04	-1,651.04	
4812A4351	JPMORGAN STRATEGIC INCOME OPPTYS				020020188748				
Sold		09/02/15	1230.47	14,138.04					
Acq		10/16/13	1230.47	14,138.04	14,605.62	14,605.62	-467.58	-467.58	L
Note			Total for 9/2/2015		14,605.62	14,605.62	-467.58	-467.58	
4812A4351	JPMORGAN STRATEGIC INCOME OPPTYS				020020188748				
Sold		09/02/15	522.31	6,001.37					
Acq		11/24/14	522.31	6,001.37	6,152.84	6,152.84	-151.47	-151.47	S
Note			Total for 9/2/2015		6,152.84	6,152.84	-151.47	-151.47	
585055106	MEDTRONIC INC				020022797272				
Sold		01/26/15	38	2,924.10					
Acq		01/23/15	38	2,924.10	2,931.39	2,931.39	-7.29	-7.29	S
Note			Total for 1/26/2015		2,931.39	2,931.39	-7.29	-7.29	
585055106	MEDTRONIC INC				020022797272				
Sold		01/26/15	13	1,000.35					
Acq		01/12/15	13	1,000.35	968.81	968.81	31.54	31.54	S
Note			Total for 1/26/2015		968.81	968.81	31.54	31.54	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)
SMITH FAMILY FOUNDATION - MTP
Trust Year 12/1/2014 - 11/30/2015

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
609207105	MONDELEZ INTL INC					020022797272			
Sold		03/13/15	7	240.78					
Acq		05/15/14	7	240.78	262.75	262.75	-21.97	-21.97	S
Note			Total for 3/13/2015		262.75	262.75	-21.97	-21.97	
609207105	MONDELEZ INTL INC					020022797272			
Sold		03/13/15	65	2,235.82					
Acq		03/20/14	65	2,235.82	2,227.51	2,227.51	8.31	8.31	S
Note			Total for 3/13/2015		2,227.51	2,227.51	8.31	8.31	
609207105	MONDELEZ INTL INC					020022797272			
Sold		03/13/15	90	3,095.74					
Acq		11/26/13	90	3,095.74	3,067.65	3,067.65	28.09	28.09	L
Note			Total for 3/13/2015		3,067.65	3,067.65	28.09	28.09	
641069406	NESTLE S A ADR REG					020022797272			
Sold		01/23/15	2	154.91					
Acq		05/15/14	2	154.91	158.89	158.89	-3.98	-3.98	S
Note			Total for 1/23/2015		158.89	158.89	-3.98	-3.98	
641069406	NESTLE S A ADR REG					020022797272			
Sold		01/23/15	25	1,936.40					
Acq		11/26/13	25	1,936.40	1,836.13	1,836.13	100.27	100.27	L
Note			Total for 1/23/2015		1,836.13	1,836.13	100.27	100.27	
641069406	NESTLE S A ADR REG					020022797272			
Sold		01/23/15	11	852.02					
Acq		03/20/14	11	852.02	803.61	803.61	48.41	48.41	S
Note			Total for 1/23/2015		803.61	803.61	48.41	48.41	

Account Id: 020020188748

SMITH FAMILY FOUNDATION - MTP
Trust Year 12/1/2014 - 11/30/2015

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
641069406	NESTLE S A ADR REG					020022797272			
Sold		01/23/15	9	697.10					
Acq		01/09/14	9	697.10	650.48	650.48	46.62	46.62	L
Note			Total for 1/23/2015		650.48	650.48	46.62	46.62	
693475105	PNC BANK CORP					020022797272			
Sold		09/02/15	2	177.57					
Acq		07/02/14	2	177.57	177.91	177.91	-0.34	-0.34	L
Note			Total for 9/2/2015		177.91	177.91	-0.34	-0.34	
722005626	PIMCO FDS PAC INVT MGMT SER ALL					020020188748			
Sold		09/02/15	1177.87	12,567.91					
Acq		10/16/13	1177.87	12,567.91	14,605.62	14,605.62	-2,037.71	-2,037.71	L
Note			Total for 9/2/2015		14,605.62	14,605.62	-2,037.71	-2,037.71	
722005626	PIMCO FDS PAC INVT MGMT SER ALL					020020188748			
Sold		09/02/15	496.32	5,295.69					
Acq		11/24/14	496.32	5,295.69	6,124.54	6,124.54	-828.85	-828.85	S
Note			Total for 9/2/2015		6,124.54	6,124.54	-828.85	-828.85	
744336504	PRUDENTIAL GLOBAL REAL ESTATE					020020188748			
Sold		11/18/15	607.27	14,453.05					
Acq		03/19/13	607.27	14,453.05	13,681.81	13,681.81	771.24	771.24	L
Note			Total for 11/18/2015		13,681.81	13,681.81	771.24	771.24	
747525103	QUALCOMM INC					020022797272			
Sold		01/22/15	2	142.64					
Acq		05/15/14	2	142.64	160.83	160.83	-18.19	-18.19	S
Note			Total for 1/22/2015		160.83	160.83	-18.19	-18.19	

Account Id: 020020188748

SMITH FAMILY FOUNDATION - MTP
Trust Year 12/1/2014 - 11/30/2015

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
747525103	QUALCOMM INC				020022797272				
Sold		01/22/15	26	1,854.30					
Acq		03/20/14	26	1,854.30	2,033.07	2,033.07	-178.77	-178.77	S
Note			Total for 1/22/2015		2,033.07	2,033.07	-178.77	-178.77	
747525103	QUALCOMM INC				020022797272				
Sold		01/22/15	40	2,852.76					
Acq		11/16/04	40	2,852.76	1,592.80	1,592.80	1,259.96	1,259.96	L
Note			Total for 1/22/2015		1,592.80	1,592.80	1,259.96	1,259.96	
771195104	ROCHE HLDG LTD SPONSORED ADR				020022797272				
Sold		01/23/15	5	176.50					
Acq		05/15/14	5	176.50	186.37	186.37	-9.87	-9.87	S
Note			Total for 1/23/2015		186.37	186.37	-9.87	-9.87	
771195104	ROCHE HLDG LTD SPONSORED ADR				020022797272				
Sold		01/23/15	59	2,082.68					
Acq		03/20/14	59	2,082.68	2,187.43	2,187.43	-104.75	-104.75	S
Note			Total for 1/23/2015		2,187.43	2,187.43	-104.75	-104.75	
771195104	ROCHE HLDG LTD SPONSORED ADR				020022797272				
Sold		01/23/15	60	2,117.97					
Acq		11/26/13	60	2,117.97	2,100.75	2,100.75	17.22	17.22	L
Note			Total for 1/23/2015		2,100.75	2,100.75	17.22	17.22	
773903109	ROCKWELL INTL CORP NEW				020022797272				
Sold		09/24/15	9	903.98					
Acq		03/20/14	9	903.98	1,106.02	1,106.02	-202.04	-202.04	L
Note			Total for 9/24/2015		1,106.02	1,106.02	-202.04	-202.04	

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
773903109	ROCKWELL INTL CORP NEW					020022797272			
Sold	09/24/15	2	200.88						
Acq	05/15/14	2	200.88	239.69	239.69	-38.81	-38.81	L	
Note		Total for 9/24/2015		239.69	239.69	-38.81	-38.81		
773903109	ROCKWELL INTL CORP NEW					020022797272			
Sold	09/24/15	5	502.21						
Acq	01/09/14	5	502.21	579.23	579.23	-77.02	-77.02	L	
Note		Total for 9/24/2015		579.23	579.23	-77.02	-77.02		
773903109	ROCKWELL INTL CORP NEW					020022797272			
Sold	09/24/15	20	2,008.85						
Acq	11/26/13	20	2,008.85	2,285.30	2,285.30	-276.45	-276.45	L	
Note		Total for 9/24/2015		2,285.30	2,285.30	-276.45	-276.45		
806857108	SCHLUMBERGER LTD					020022797272			
Sold	02/20/15	25	2,136.88						
Acq	03/20/14	25	2,136.88	2,280.27	2,280.27	-143.39	-143.39	S	
Note		Total for 2/20/2015		2,280.27	2,280.27	-143.39	-143.39		
806857108	SCHLUMBERGER LTD					020022797272			
Sold	02/20/15	11	940.23						
Acq	11/26/13	11	940.23	985.88	985.88	-45.65	-45.65	L	
Note		Total for 2/20/2015		985.88	985.88	-45.65	-45.65		
81369Y605	SECTOR SPDR TR SHS BEN					020022797272			
Sold	09/02/15	6	137.55						
Acq	05/15/14	6	137.55	131.37	131.37	6.18	6.18	L	
Note		Total for 9/2/2015		131.37	131.37	6.18	6.18		

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
880208400	TEMPLETON INCOME TR GLOBAL BD FD				020020188748				
Sold		01/05/15	890.01	10,947.09					
Acq		02/12/13	890.01	10,947.09	11,952.80	11,952.80	-1,005.71	-1,005.71	L
Note			Total for 1/5/2015		11,952.80	11,952.80	-1,005.71	-1,005.71	
902641646	ETRACS ALERIAN MLP INFRA				020022797272				
Sold		02/11/15	93	3,607.87					
Acq		11/26/13	93	3,607.87	3,668.39	3,668.39	-60.52	-60.52	L
Note			Total for 2/11/2015		3,668.39	3,668.39	-60.52	-60.52	
902641646	ETRACS ALERIAN MLP INFRA				020022797272				
Sold		02/11/15	16	620.71					
Acq		01/09/14	16	620.71	611.76	611.76	8.95	8.95	L
Note			Total for 2/11/2015		611.76	611.76	8.95	8.95	
907818108	UNION PACIFIC CORP				020022797272				
Sold		03/26/15	2	216.91					
Acq		05/15/14	2	216.91	192.23	192.23	24.68	24.68	S
Note			Total for 3/26/2015		192.23	192.23	24.68	24.68	
907818108	UNION PACIFIC CORP				020022797272				
Sold		03/26/15	18	1,952.21					
Acq		03/20/14	18	1,952.21	1,692.38	1,692.38	259.83	259.83	L
Note			Total for 3/26/2015		1,692.38	1,692.38	259.83	259.83	
911363109	UNITED RENTALS INC				020022797272				
Sold		10/02/15	16	929.33					
Acq		09/17/14	16	929.33	1,880.40	1,880.40	-951.07	-951.07	L
Note			Total for 10/2/2015		1,880.40	1,880.40	-951.07	-951.07	

US Trust

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(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

Account Id: 020020188748

SMITH FAMILY FOUNDATION - MTP
Trust Year 12/1/2014 - 11/30/2015

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
911363109	UNITED RENTALS INC								
									020022797272
Sold		10/02/15	17	987.41					
Acq		08/20/14	17	987.41	1,986.31	1,986.31	-998.90	-998.90	L
Note			Total for 10/2/2015		1,986.31	1,986.31	-998.90	-998.90	
911363109	UNITED RENTALS INC								
									020022797272
Sold		10/02/15	9	522.75					
Acq		10/09/14	9	522.75	921.43	921.43	-398.68	-398.68	S
Note			Total for 10/2/2015		921.43	921.43	-398.68	-398.68	
911363109	UNITED RENTALS INC								
									020022797272
Sold		10/02/15	5	290.41					
Acq		06/08/15	5	290.41	452.74	452.74	-162.33	-162.33	S
Note			Total for 10/2/2015		452.74	452.74	-162.33	-162.33	
911363109	UNITED RENTALS INC								
									020022797272
Sold		10/02/15	6	348.50					
Acq		07/08/15	6	348.50	481.16	481.16	-132.66	-132.66	S
Note			Total for 10/2/2015		481.16	481.16	-132.66	-132.66	
928563402	VMWARE INC CL A								
									020022797272
Sold		01/29/15	37	2,745.68					
Acq		03/20/14	37	2,745.68	4,089.21	4,089.21	-1,343.53	-1,343.53	S
Note			Total for 1/29/2015		4,089.21	4,089.21	-1,343.53	-1,343.53	
928563402	VMWARE INC CL A								
									020022797272
Sold		01/29/15	19	1,409.94					
Acq		05/15/14	19	1,409.94	1,784.30	1,784.30	-374.36	-374.36	S
Note			Total for 1/29/2015		1,784.30	1,784.30	-374.36	-374.36	

Account Id: 020020188748

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
949746101	WELLS FARGO & CO (NEW)					020022797272			
Sold		09/02/15	4	208.00					
Acq		03/20/14	4	208.00	196.10	196.10	11.90	11.90	L
Note			Total for 9/2/2015		196.10	196.10	11.90	11.90	
98310W108	WYNDHAM WORLDWIDE CORP					020022797272			
Sold		11/24/15	11	831.62					
Acq		01/12/15	11	831.62	941.05	941.05	-109.43	-109.43	S
Note			Total for 11/24/2015		941.05	941.05	-109.43	-109.43	
98310W108	WYNDHAM WORLDWIDE CORP					020022797272			
Sold		11/24/15	16	1,209.63					
Acq		03/20/14	16	1,209.63	1,162.05	1,162.05	47.58	47.58	L
Note			Total for 11/24/2015		1,162.05	1,162.05	47.58	47.58	
98310W108	WYNDHAM WORLDWIDE CORP					020022797272			
Sold		11/30/15	1	75.88					
Acq		03/20/14	1	75.88	72.63	72.63	3.25	3.25	L
Note			Total for 11/30/2015		72.63	72.63	3.25	3.25	
98310W108	WYNDHAM WORLDWIDE CORP					020022797272			
Sold		11/30/15	9	682.95					
Acq		01/09/14	9	682.95	649.94	649.94	33.01	33.01	L
Note			Total for 11/30/2015		649.94	649.94	33.01	33.01	
98310W108	WYNDHAM WORLDWIDE CORP					020022797272			
Sold		11/30/15	3	227.65					
Acq		05/15/14	3	227.65	215.38	215.38	12.27	12.27	L
Note			Total for 11/30/2015		215.38	215.38	12.27	12.27	

Account Id: 020020188748

G5960L103	MEDTRONIC PLC			020022797272				
Sold	09/02/15	2	140 75					
Acq	03/26/15	2	140 75	154.06	154.06	-13 31	-13 31	S
		Total for 9/2/2015		154.06	154.06	-13 31	-13 31	
Note								

Total Proceeds:	Fed	State
253,905.39	-6,367.34	-6,367.34
	-1,268.47	-1,268.47

S-T	77186.19	83,553.53	-6367.34
L-T	176719.20	177987.67	-1268.47