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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 12-01-2014 , and ending 11-30-2015

Name of foundation
LIVING ROCK FOUNDATION

A Employer identification number
45-1656663

Number and street (or P O box number if mail is not delivered to street address) 1544 OXBOW DRIVE SUITE 200
Room/suite

B Telephone number (see instructions)
(970) 497-5001

City or town, state or province, country, and ZIP or foreign postal code
MONTROSE, CO 81401

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 5,442,783

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	181,174	181,174		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	21,176			
	b	Gross sales price for all assets on line 6a 1,525,760				
	7	Capital gain net income (from Part IV, line 2)		21,176		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	10,000	0		
	12	**Total.** Add lines 1 through 11	212,350	202,350		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	167,345	0		37,428
	14	Other employee salaries and wages	19,810	0		4,431
	15	Pension plans, employee benefits	54,975	0		12,296
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	40,786	0		9,122
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	11,287	651		2,379
	19	Depreciation (attach schedule) and depletion	4,637	0		
	20	Occupancy	5,592	0		1,251
	21	Travel, conferences, and meetings	10,830	0		2,422
	22	Printing and publications				
	23	Other expenses (attach schedule)	313,698	4,304		304,419
	24	**Total operating and administrative expenses.** Add lines 13 through 23	628,960	4,955		373,748
	25	Contributions, gifts, grants paid	98,000			98,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	726,960	4,955		471,748
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-514,610			
	b	**Net investment income** (if negative, enter -0-)		197,395		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash—non-interest-bearing	38,522	92,464	92,464
2 Savings and temporary cash investments	48,467	44,554	44,554
3 Accounts receivable ▶ 41,363			
Less allowance for doubtful accounts ▶	6,662	41,363	41,363
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments—U S and state government obligations (attach schedule)			
b Investments—corporate stock (attach schedule)	5,324,162	4,723,434	5,249,633
c Investments—corporate bonds (attach schedule)			
11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)	0	2,686	2,686
14 Land, buildings, and equipment basis ▶ 30,929			
Less accumulated depreciation (attach schedule) ▶ 19,278	15,086	11,651	11,651
15 Other assets (describe ▶)	0	432	432
16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,432,899	4,916,584	5,442,783
Liabilities			
17 Accounts payable and accrued expenses	1,705		
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	1,705	0	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted	5,431,194	4,916,584	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	5,431,194	4,916,584	
31 **Total liabilities and net assets/fund balances** (see instructions)	5,432,899	4,916,584	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,431,194
2	Enter amount from Part I, line 27a	2	-514,610
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	4,916,584
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,916,584

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	21,176
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	760,739	6,565,112	0 115876
2012	482,803	6,725,562	0 071786
2011	572,899	6,710,584	0 085372
2010	175,272	7,073,399	0 024779
2009	190,382	7,075,783	0 026906

2	**Total** of line 1, column (d).	2	0 324719
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 064944
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	5,764,712
5	Multiply line 4 by line 3.	5	374,383
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,974
7	Add lines 5 and 6.	7	376,357
8	Enter qualifying distributions from Part XII, line 4.	8	471,748

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,974
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	1,974
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,974
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,680		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,680
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	294
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO, MD			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW LIVINGROCKFOUNDATION ORG

14 The books are in care of ▶ALEX BRUBAKER Telephone no ▶(970) 497-5001

Located at ▶1544 OXBOW DRIVE SUITE 200 MONTROSE CO ZIP+4 ▶81401

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	5,684,055
b	Average of monthly cash balances		1b	168,445
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	5,852,500
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	5,852,500
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	87,788
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	5,764,712
6	**Minimum investment return.** Enter 5% of line 5		6	288,236

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	288,236
2a	Tax on investment income for 2014 from Part VI, line 5	2a 1,974		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,974
3	Distributable amount before adjustments Subtract line 2c from line 1		3	286,262
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	286,262
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	286,262

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	471,748
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	471,748
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,974
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	469,774

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				286,262
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011. 242,382				
d From 2012. 156,927				
e From 2013. 435,787				
f **Total** of lines 3a through e.	835,096			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 471,748				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				286,262
e Remaining amount distributed out of corpus	185,486			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,020,582			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	1,020,582			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . . 242,382				
c Excess from 2012. . . . 156,927				
d Excess from 2013. . . . 435,787				
e Excess from 2014. . . . 185,486				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				98,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	181,174	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	21,176	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a RETURNED GRANT					10,000
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		202,350	10,000

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 212,350

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
11A	REFUND PRIOR YEAR GRANT

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		No
(2) Other assets	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
(4) Reimbursement arrangements	**1b(4)**		No
(5) Loans or loan guarantees	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-01-11	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
DAVID A SEEBA CPA				P00747048

Firm's name ▶ SEEBA & ASSOCIATES INCCPAS

Firm's EIN ▶ 94-2767324

Firm's address ▶ 1825 HAMILTON AVE SAN JOSE, CA 951255624

Phone no (408) 264-7800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
33043 616 SHARES DFA COMMODITY STRATEGY PORT	P	2014-11-18	2014-12-23
11308 061 SHARES DFA EMERGING MKTS PORT INSTL	P	2014-11-20	2015-01-14
2274 315 SHARES DFA TAX MANAGED INTL VALUE PORTFOLIO	P	2011-01-28	2015-05-21
407 396 SHARES DFA TAX MANAGED INTL VALUE PORTFOLIO	P	2014-03-10	2015-05-21
389 191 SHARES DFA TAX MANAGED INTL VALUE PORTFOLIO	P	2014-06-09	2015-05-21
1803 746 SHARES DFA TAX MANAGED US MARKETWIDE VALUE PORT	P	2012-12-18	2015-03-05
44 375 SHARES DFA TAX MANAGED US MARKETWIDE VALUE PORT	P	2013-09-10	2015-03-05
59 774 SHARES DFA TAX MANAGED US MARKETWIDE VALUE PORT	P	2013-12-12	2015-03-05
1160 988 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2012-12-18	2015-03-05
22 783 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2013-06-10	2015-03-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
238,219		261,394	-23,175
283,129		294,600	-11,471
37,030		35,328	1,702
6,633		6,628	5
6,337		6,342	-5
47,271		31,286	15,985
1,163		947	216
1,566		1,359	207
38,479		27,150	11,329
755		641	114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-23,175
			-11,471
			1,702
			5
			-5
			15,985
			216
			207
			11,329
			114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
19 338 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2013-09-10	2015-03-05
30 326 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2013-12-12	2015-03-05
238 526 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2013-12-12	2015-03-05
19 179 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2014-06-09	2015-03-05
17 455 SHARES DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	P	2014-09-09	2015-03-05
25679 112 SHARES PIMCO COMMODITY REAL RETURN STRAT INST	P	2014-12-23	2015-11-20
364 226 SHARES PIMCO COMMODITY REAL RETURN STRAT INST	P	2015-06-18	2015-11-20
507 191 SHARES PIMCO COMMODITY REAL RETURN STRAT INST	P	2015-09-17	2015-11-20
861 987 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2007-08-17	2015-10-28
1384 175 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2011-12-28	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
641		569	72
1,005		936	69
7,906		7,361	545
636		649	-13
579		586	-7
171,512		237,300	-65,788
2,433		3,132	-699
3,388		3,738	-350
7,926		8,247	-321
12,728		14,839	-2,111

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			72
			69
			545
			-13
			-7
			-65,788
			-699
			-350
			-321
			-2,111

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
0 362 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2011-12-28	2015-10-28
123 536 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2011-12-30	2015-10-28
99 095 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-01-31	2015-10-28
106 772 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-02-29	2015-10-28
23 99 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-03-30	2015-10-28
108 072 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-04-30	2015-10-28
127 711 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-05-31	2015-10-28
124 77 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-06-29	2015-10-28
111 137 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-07-31	2015-10-28
0 157 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-09-09	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3		4	-1
1,136		1,337	-201
911		1,088	-177
982		1,156	-174
221		259	-38
994		1,193	-199
1,174		1,379	-205
1,147		1,350	-203
1,022		1,227	-205
1		2	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1
			-201
			-177
			-174
			-38
			-199
			-205
			-203
			-205
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
32 356 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-09-09	2015-10-28
84 981 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-09-13	2015-10-28
89 713 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-10-07	2015-10-28
ADJUST BASIS FOR PIMCO FOREIGN BOND	P	2012-11-09	2015-10-28
85 473 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-11-09	2015-10-28
95 297 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-12-09	2015-10-28
4 473 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-12-25	2015-10-28
0 444 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2012-12-25	2015-10-28
52 809 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-01-31	2015-10-28
59 279 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-02-28	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
298		357	-59
781		935	-154
825		1,018	-193
		5,216	-5,216
786		963	-177
876		1,067	-191
41		49	-8
4		5	-1
486		562	-76
545		617	-72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-59
			-154
			-193
			-5,216
			-177
			-191
			-8
			-1
			-76
			-72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
48 877 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-03-28	2015-10-28
59 678 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-04-30	2015-10-28
68 044 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-05-31	2015-10-28
63 014 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-06-28	2015-10-28
62 748 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-07-31	2015-10-28
74 556 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-08-30	2015-10-28
64 78 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-09-30	2015-10-28
80 098 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-10-31	2015-10-28
66 3 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-11-29	2015-10-28
66 947 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2013-12-31	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
449		505	-56
549		620	-71
626		675	-49
579		616	-37
577		627	-50
686		737	-51
596		653	-57
737		815	-78
610		665	-55
616		667	-51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-56
			-71
			-49
			-37
			-50
			-51
			-57
			-78
			-55
			-51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
74 324 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-01-31	2015-10-28
75 139 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-02-28	2015-10-28
77 579 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-03-31	2015-10-28
88 377 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-04-30	2015-10-28
0 053 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-05-30	2015-10-28
93 365 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-05-30	2015-10-28
65 234 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-06-30	2015-10-28
60 841 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-07-31	2015-10-28
71 362 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-08-29	2015-10-28
70 374 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-09-30	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
683		743	-60
691		770	-79
713		802	-89
813		926	-113
		1	-1
859		979	-120
600		695	-95
559		642	-83
656		759	-103
647		716	-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-60
			-79
			-89
			-113
			-1
			-120
			-95
			-83
			-103
			-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
68 757 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-10-31	2015-10-28
54 556 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-11-28	2015-10-28
56 979 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2014-12-31	2015-10-28
42 045 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-01-30	2015-10-28
33 369 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-02-27	2015-10-28
29 754 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-03-31	2015-10-28
42 63 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-04-30	2015-10-28
64 591 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-05-29	2015-10-28
57 814 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-06-30	2015-10-28
77 603 SHARES PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	P	2015-07-31	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
632		632	0
502		504	-2
524		564	-40
387		412	-25
307		326	-19
274		286	-12
392		419	-27
594		609	-15
532		539	-7
714		717	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			0
			-2
			-40
			-25
			-19
			-12
			-27
			-15
			-7
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2668 945 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2009-03-11	2015-10-28
68 622 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2013-10-17	2015-10-28
66 281 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-03-23	2015-10-28
9 493 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-06-22	2015-10-28
80 933 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-11-17	2015-10-28
102 531 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-12-15	2015-10-28
910 998 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-12-29	2015-10-28
0 483 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-12-29	2015-10-28
114 078 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-12-31	2015-10-28
70 035 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-01-30	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,436		24,652	1,784
680		693	-13
657		675	-18
94		97	-3
802		802	0
1,016		1,016	0
9,023		9,146	-123
5		5	0
1,130		1,145	-15
694		705	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,784
			-13
			-18
			-3
			0
			0
			-123
			0
			-15
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
70 867 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-02-27	2015-10-28
72 678 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-03-31	2015-10-28
163 279 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-04-30	2015-10-28
0 06 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-04-30	2015-10-28
176 892 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-05-29	2015-10-28
0 065 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-05-29	2015-10-28
132 422 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-06-30	2015-10-28
0 056 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-06-30	2015-10-28
180 16 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-07-31	2015-10-28
0 07 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-07-31	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
702		704	-2
720		733	-13
1,617		1,643	-26
1		1	0
1,752		1,776	-24
1		1	0
1,312		1,326	-14
1		1	0
1,784		1,802	-18
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-13
			-26
			0
			-24
			0
			-14
			0
			-18
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
158 766 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-08-31	2015-10-28
0 06 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2015-08-31	2015-10-28
4552 467 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2009-03-11	2014-12-12
68 622 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2013-09-30	2014-12-12
66 281 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-03-06	2014-12-12
9 493 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-06-05	2014-12-12
80 933 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-10-31	2014-12-12
102 531 SHARES PIMCO LOW DURATION FUND INSTL CL	P	2014-11-28	2014-12-12
2071 529 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	1998-11-25	2015-10-28
0 161 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-07-31	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,573		1,577	-4
1		1	0
46,639		42,049	4,590
703		703	0
679		679	0
97		97	0
829		829	0
1,050		1,050	0
21,823		21,383	440
2		2	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			0
			4,590
			0
			0
			0
			0
			0
			440
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
217 76 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-16	2015-10-28
0 134 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-16	2015-10-28
960 142 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-27	2015-10-28
684 466 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-29	2015-10-28
1 517 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-29	2015-10-28
119 525 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-01-30	2015-10-28
0 084 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-01-30	2015-10-28
10 249 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-02-27	2015-10-28
0 187 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-02-27	2015-10-28
0 087 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-02-28	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,294		2,294	0
1		1	0
10,115		10,219	-104
7,211		7,283	-72
16		16	0
1,259		1,259	0
1		1	0
108		110	-2
2		2	0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			0
			0
			-104
			-72
			0
			0
			0
			-2
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
115 45 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-02-28	2015-10-28
140 352 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-03-31	2015-10-28
0 099 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-04-01	2015-10-28
85 178 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-05-01	2015-10-28
0 194 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-05-01	2015-10-28
0 298 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-05-01	2015-10-28
338 546 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-08-31	2015-10-28
0 247 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-08-31	2015-10-28
2009 156 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	1998-11-25	2015-07-30
0 101 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-01-31	2015-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,216		1,216	0
1,479		1,489	-10
1		1	0
897		897	0
2		2	0
3		3	0
3,566		3,572	-6
3		3	0
21,387		20,739	648
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			0
			-10
			0
			0
			0
			0
			-6
			0
			648
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
170 605 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-01-31	2015-07-30
186 025 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-02-28	2015-07-30
0 109 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-02-28	2015-07-30
0 132 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-03-31	2015-07-30
218 634 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-03-31	2015-07-30
230 716 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-04-30	2015-07-30
0 141 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-07-23	2015-07-30
0 161 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-07-31	2015-07-30
0 148 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-09-21	2015-07-30
192 796 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-09-21	2015-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,816		1,842	-26
1,980		2,017	-37
1		1	0
1		1	0
2,327		2,357	-30
2,456		2,501	-45
2		2	0
2		2	0
2		2	0
2,052		2,068	-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-26
			-37
			0
			0
			-30
			-45
			0
			0
			0
			-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
0 117 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-09-21	2015-07-30
198 261 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-11-17	2015-07-30
0 124 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-11-17	2015-07-30
0 134 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-15	2015-07-30
217 76 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-15	2015-07-30
0 184 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-31	2015-07-30
285 082 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-31	2015-07-30
119 525 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-01-30	2015-07-30
0 084 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-01-30	2015-07-30
125 886 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-02-27	2015-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	0
2,110		2,118	-8
1		1	0
1		1	0
2,318		2,318	0
2		2	0
3,035		3,039	-4
1,272		1,272	0
1		1	0
1,340		1,340	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-8
			0
			0
			0
			0
			-4
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
0 087 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-02-27	2015-07-30
140 352 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-03-31	2015-07-30
0 099 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-03-31	2015-07-30
284 565 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-04-30	2015-07-30
0 194 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-04-30	2015-07-30
315 784 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-05-29	2015-07-30
0 221 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2015-05-29	2015-07-30
4414 804 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	1998-11-25	2015-01-28
0 102 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-12-31	2015-01-28
170 337 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-12-31	2015-01-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1		1	0
1,494		1,494	0
1		1	0
3,029		3,054	-25
2		2	0
3,361		3,379	-18
2		2	0
48,141		45,571	2,570
1		1	0
1,857		1,817	40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			0
			0
			0
			-25
			0
			-18
			0
			2,570
			0
			40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5063 08 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	1998-11-25	2014-12-12
507 692 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2011-12-28	2014-12-12
210 942 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-06-28	2014-12-12
0 128 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-06-28	2014-12-12
260 535 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-07-31	2014-12-12
0 154 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-07-31	2014-12-12
281 343 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-08-30	2014-12-12
0 169 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-08-30	2014-12-12
215 621 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-09-30	2014-12-12
0 129 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-09-30	2014-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55,174		52,263	2,911
5,532		5,486	46
2,299		2,265	34
1		1	0
2,839		2,805	34
2		2	0
3,066		2,990	76
2		2	0
2,350		2,328	22
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,911
			46
			34
			0
			34
			0
			76
			0
			22
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
0 141 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-11-29	2014-12-12
231 774 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-11-29	2014-12-12
133 851 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-12-11	2014-12-12
700 323 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-12-11	2014-12-12
0 421 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-12-11	2014-12-12
0 081 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2013-12-11	2014-12-12
0 141 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-07-06	2014-12-12
0 148 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-09-04	2014-12-12
192 796 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-09-04	2014-12-12
0 117 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-09-04	2014-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	0
2,526		2,516	10
1,459		1,436	23
7,632		7,512	120
5		5	0
1		1	0
2		2	0
2		2	0
2,101		2,101	0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			10
			23
			120
			0
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
0 124 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-10-31	2014-12-12
198 261 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-10-31	2014-12-12
0 134 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-11-28	2014-12-12
217 76 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-11-28	2014-12-12
960 142 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-10	2014-12-12
0 599 SHARES PIMCO TOTAL RETURN FUND INSTL CL	P	2014-12-10	2014-12-12
162 742 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2012-12-18	2015-01-28
44 169 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2013-12-23	2015-01-28
31 341 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2014-09-19	2015-01-28
32 402 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2014-12-17	2015-01-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1		1	0
2,161		2,161	0
1		1	0
2,373		2,373	0
10,463		10,463	0
7		7	0
30,065		21,831	8,234
8,160		7,439	721
5,790		5,812	-22
5,986		6,012	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			8,234
			721
			-22
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
221 456 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2012-12-18	2015-05-21
32 362 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2014-03-21	2015-05-21
227 327 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2012-12-18	2015-07-30
29 501 SHARES VANGUARD 500 INDEX FD ADMIRAL SHRS	P	2014-06-20	2015-07-30
559 482 SHARES VANGUARD INTL GROWTH FD ADMIRAL SHARE	P	2012-12-18	2015-05-21
91 207 SHARES VANGUARD INTL GROWTH FD ADMIRAL SHARE	P	2013-12-17	2015-05-21
1020 731 SHARES VANGUARD SMALL CAP GWTH INDEX FD ADM	P	2012-11-06	2015-07-30
37 958 SHARES VANGUARD SMALL CAP GWTH INDEX FD ADM	P	2013-12-23	2015-07-30
0 483 SHARES VANGUARD SMALL CAP GWTH INDEX FD ADM	P	2014-03-24	2015-07-30
0 904 SHARES VANGUARD SMALL CAP GWTH INDEX FD ADM	P	2015-03-24	2015-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
43,625		29,707	13,918
6,375		5,567	808
44,257		30,495	13,762
5,743		5,340	403
42,992		34,124	8,868
7,008		6,464	544
48,144		31,334	16,810
1,790		1,620	170
23		21	2
43		43	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			13,918
			808
			13,762
			403
			8,868
			544
			16,810
			170
			2
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
27,087			27,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			27,087

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
KENNETH A ELDRED 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	CHAIRMAN/TRUSTEE 20 00	7,569	22,678	0
ROBERTA E ELDRED 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	PRESIDENT/TRUSTEE 2 00	0	0	0
ALEX BRUBAKER 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	VICE PRESIDENT/TRUSTEE 40 00	109,772	12,842	0
KARY ELDRED 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	TRUSTEE 2 00	0	0	0
MONICA ELDRED 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	TRUSTEE 2 00	0	0	0
JUSTIN ELDRED 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	TREASURER/TRUSTEE 40 00	50,004	11,880	0
RACHEL ELDRED 1544 OXBOW DRIVE SUITE 200 MONTROSE, CO 81401	TRUSTEE 2 00	0	0	0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

KENNETH A ELDRED
ROBERTA E ELDRED

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACCTS USAMILITARY MINISTRIES INTERNATIONAL PO BOX 27239 DENVER,CO 80227	NONE	OTHER PUBLIC CHARITY	CHARITABLE SUPPORT	10,000
FOUNDATION FOR FAMILIES 2975 RUSSETT ROAD PETOSKEY,MI 49770	NONE	OTHER PUBLIC CHARITY	CHARITABLE AND RELIGIOUS SUPPORT	25,000
HERITAGE ACADEMY 858 UNIVERSITY AVENUE LOS ALTOS,CA 94024	NONE	SCHOOL	EDUCATIONAL SUPPORT	20,000
YOUNG LIFE 236 SOUTH 3RD STREET BOX 350 MONTROSE,CO 81401	NONE	OTHER PUBLIC CHARITY	CHARITABLE AND RELIGIOUS SUPPORT	30,000
NATIONAL CHRISTIAN CHARITABLE FOUNDATION 11625 RAINWATER DRIVE ALPHARETTA,GA 30009	NONE	OTHER PUBLIC CHARITY	CHARITABLE AND RELIGIOUS SUPPORT	5,000
COMMON GROUND MONTROSE 1800 6450 ROAD MONTROSE,CO 81401	NONE	OTHER PUBLIC CHARITY	CHARITABLE SUPPORT	8,000
Total . . . . ▶ **3a**				98,000

TY 2014 Accounting Fees Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	2,656	0		594
ACCOUNTING & BOOKKEEPING	38,130	0		8,528

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
3 LCD TV'S FOR OFFICE	2011-05-30	1,447	988	SL	5 000000000000	289	0		
AVAYA IPO 500 SERVER SYSTEM	2011-07-01	11,216	7,664	SL	5 000000000000	2,243	0		
OFFICE SHREDDER	2011-07-15	1,013	489	SL	7 000000000000	145	0		
WINDOW BLINDS	2011-07-01	1,828	892	SL	7 000000000000	261	0		
HEXAGON TABLE, BOOKCASE, 3 ARM CHAIRS	2011-09-01	622	289	SL	7 000000000000	89	0		
LEASEHOLD IMPROVEMENTS	2011-07-01	11,293	3,858	SL	10 000000000000	1,129	0		
ASUS ZENBOOK PRIME ULTRABOOK LAPTOP	2013-06-14	716	143	SL	5 000000000000	143	0		
PRINTER/COPIER/SCANNER/FAX	2013-06-17	122	24	SL	5 000000000000	24	0		
APPLE MACBOOK PRO	2013-11-20	1,470	294	SL	5 000000000000	294	0		
DELL LATITUDE	2015-10-23	1,202		SL	5 000000000000	20	0		

TY 2014 Investments Corporate Stock Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS MUTUAL FUNDS	4,723,434	5,249,633

TY 2014 Investments - Other Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CEMOF II	AT COST	2,686	2,686

TY 2014 Land, Etc. Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
3 LCD TV'S FOR OFFICE	1,447	1,277	170	
AVAYA IPO 500 SERVER SYSTEM	11,216	9,907	1,309	
OFFICE SHREDDER	1,013	634	379	
WINDOW BLINDS	1,828	1,153	675	
HEXAGON TABLE, BOOKCASE, 3 ARM CHAIRS	622	378	244	
LEASEHOLD IMPROVEMENTS	11,293	4,987	6,306	
ASUS ZENBOOK PRIME ULTRABOOK LAPTOP	716	286	430	
PRINTER/COPIER/SCANNER/FAX	122	48	74	
APPLE MACBOOK PRO	1,470	588	882	
DELL LATITUDE	1,202	20	1,182	

TY 2014 Other Assets Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXPENSES		432	432

TY 2014 Other Expenses Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WORKERS COMPENSATION INSURANCE	218	0		49
PAYROLL & 401K ADMIN FEES	705	0		158
BANK CHARGES	84	0		19
BOARD EXPENSES	18	0		4
COMPUTER EXPENSES	786	0		176
DUES & SUBSCRIPTIONS	862	0		193
OFFICE AND POSTAGE EXPENSE	483	0		108
PROJECT SUPPORT - AHOP	147,716	0		147,716
INVESTMENT EXPENSES AND ADR FEES	4,304	4,304		0
INSURANCE	3,041	0		680
PROJECT SUPPORT-LSFCT	155,269	0		155,269
MEETING/MEALS	212	0		47

TY 2014 Other Income Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
RETURNED GRANT	10,000		10,000

TY 2014 Taxes Schedule

Name: LIVING ROCK FOUNDATION

EIN: 45-1656663

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	10,620	0		2,375
STATE FILING FEES	16	0		4
FEDERAL TAXES	651	651		0