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### Form 990-PF Department of the Treasury

or Section 4947(a)(1) Trust Treated as Private Foundation

| Do not enter social security numbers on this form as it may be made public

OMB No 1545-0052

| Information about Form 990-PF and its separate instructions is atwww.irs.gov/form990pf Internal Revenue Service NOV 30, 2015 DEC 1, 2014 , and ending For calendar year 2014 or tax year beginning A Employer identification number Name of foundation THE RUTTENBERG FOUNDATION 36-4264024 C/O DAVID W. RUTTENBERG Number and street (or P O box number if mail is not delivered to street address) Boom/suite B Telephone number 312 751-2777 1101 W MONROE ST STE 200 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here CHICAGO, IL 60607 Initial return of a former public charity D 1. Foreign organizations, check here Initial return G Check all that apply Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation H Check type of organization E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here X Cash I Fair market value of all assets at end of year J Accounting method Accrual If the foundation is in a 60-month termination Other (specify) under section 507(b)(1)(B), check here (from Part II, col. (c), line 16) 2,897,557. (Part I, column (d) must be on cash basis.) ▶\$ Part | Analysis of Revenue and Expenses (d) Disbursements for chantable purposes (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessanly equal the amounts in column (a) ) expenses per books income income (cash basis only) N/A 55,000 Contributions, gifts, grants, etc., received Check I if the foundation is not required to attach Sch. B 3,599 3,599 STATEMENT Interest on savings and temporary cash investments 51,935 51,935 STATEMENT 4 Dividends and interest from securities 5a Gross rents D Net rental income or (loss) 62,355 SCANNED MAR 1 4 2016 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 62,355 Capital gain net income (from Part IV, line 2) Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) STATEMENT -10,181-10,18111 Other income 162,708. .708 Total, Add lines 1 through 11 0. 0. Compensation of officers, directors, trustees, etc Other employee salaries and wages 14 Ö MAR 0 4 2016 15 Pension plans, employee benefits Expenses 16a Legal fees **b** Accounting fees c Other professional fees Operating and Administrative 17 Interest  $2,\overline{351}$ 30. 2,381 STMT 4 18 Taxes 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications Ō. 16,716 16,716. STMT 5 23 Other expenses 24 Total operating and administrative 30. 19,067 19,097. expenses. Add lines 13 through 23 47,500. 47,500 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. 66,597 19,067 47,530. Add lines 24 and 25 27 Subtract line 26 from line 12 96,111. 2 Excess of revenue over expenses and disbursements 88,641 b Net investment income (if negative, enter -0-) N/A c Adjusted net income (if negative, enter -0-)

LHA For Paperwork Reduction Act Notice, see instructions.

10,701.

632,663.

2,254,193.

2,897,557.

0.

THE RUTTENBERG FOUNDATION C/O DAVID W. RUTTENBERG Part II Balance Sheets Attached schedules and amounts in the description End of year Beginning of year (c) Fair Market Value (a) Book Value (b) Book Value 10,701. 4,684. 1 Cash - non-interest-bearing 617,724. 598,546. 2 Savings and temporary cash investments 3 Accounts receivable ► Less allowance for doubtful accounts 4 Pledges receivable ► Less allowance for doubtful accounts 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons 7 Other notes and toans receivable Less allowance for doubtful accounts 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Investments - U.S. and state government obligations 1,642,902. 1,911,950. STMT 6 **b** Investments - corporate stock c Investments - corporate bonds 11 Investments - land, buildings, and equipment basis Less accumulated depreciation 12 Investments - mortgage loans 198,132. STMT 7 13 Investments - other 14 Land, buildings, and equipment basis Less accumulated depreciation 15 Other assets (describe ▶ 16 Total assets (to be completed by all filers - see the 2,540,375. 2,444,264. instructions Also, see page 1, item i) 17 Accounts payable and accrued expenses 18 Grants payable

န္မ	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
Liabilities	21	Mortgages and other notes payable		
L	22	Other liabilities (describe		
			_	
	23	Total liabilities (add lines 17 through 22)	0.	0.
		Foundations that follow SFAS 117, check here		
		and complete lines 24 through 26 and lines 30 and 31.		
Ces	24	Unrestricted		
<u>a</u>	25	Temporarily restricted		
Ba	26			
Net Assets or Fund Balances		Foundations that do not follow SFAS 117, check here		
ぼ		and complete lines 27 through 31.		
S	27	Capital stock, trust principal, or current funds	0.	0.
set	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.
As	29	Retained earnings, accumulated income, endowment, or other funds	2,444,264.	2,540,375.
ž	30	Total net assets or fund balances	2,444,264.	2,540,375.
_				
	31	Total liabilities and net assets/fund balances	2,444,264.	2,540,375.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30		
	(must agree with end-of-year figure reported on prior year's return)	1	2,444,264.
	Enter amount from Part I, line 27a	2	96,111.
	Other increases not included in line 2 (itemize)	3	0.
_	Add lines 1, 2, and 3	4	2,540,375.
	Decreases not included in line 2 (itemize)	5	0.
	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,540,375.
<u> </u>	Total fiet assets of fathe balances at one of your time strained and of		200 55

Page 3

C/O DAVID W. RUTTENBERG

(a) List and desc	and Losses for Tax of cribe the kind(s) of property solvarehouse, or common stock, 2	d (e g , real estate,		(b) How acquired P - Purchase D - Donation	(c) Date acquir (mo , day, yr	
1a MORGAN STANLEY	SECURITIES				VARIOUS	11/30/15
b MORGAN STANLEY					VARIOUS	11/30/15
C						
d						
е						
(e) Gross sales price	(f) Depreciation allowed (or allowable)		t or other basis xpense of sale		(h) Gain or (e) plus (f) m	inus (g)
<u>a</u>						-4,071. 66,426.
b					<del></del>	00,420.
C					<del></del>	
d	<del> </del>					·
Complete aghi for coasts about	ung goup in column (h) and own	ad by the foundation	on 12/21/60		(I) Cours (Col. /h)	
Complete only for assets show				—   c	(I) Gains (Col. (h) ol. (k), but not les	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col (1) col (j), if any		Losses (from	
			(), <u>,</u>			-4.071
<u>a</u>	<del></del>					-4,071
<u>b</u>						00,120
<u>C</u>						
<u>d</u>						
<u>e</u>	C 16 man ala	la-				
2 Capital gain net income or (net o	capital loss) If (loss), e	o enter in Part I, line i nter -0- in Part I, line	7	) 2		62,355
3 Net short-term capital gain or (lo		2(5) and (6)		٦		
If gain, also enter in Part I, line 8				<b>}</b>   <b>,</b>	N	I/A
Part V Qualification	Under Section 4940(e	for Reduced	Tay on Net I	nvestment in		1/ A
<u> </u>						
(For optional use by domestic priva	te foundations subject to the se	ction 4940(a) tax on	Het myestment inc	ome )		
If section 4940(d)(2) applies, leave	this part blank					
Was the foundation liable for the se	otion 4042 tay on the distributa	ble amount of any ve	ear in the base perio	nd?		Yes X No
If "Yes," the foundation does not qu				,		
1 Enter the appropriate amount in				tries		
(a)	(t			(c)		(d)
Base periód years Calendar year (or tax year beginr	بأعيام بيم فممفق بياسة		Net value of non-	charitable-use asse	ts (col (i	Distribution ratio b) divided by col. (c))
2013		276,525.		2,766,79	3.	.099944
2012		412,478.		2,469,74		.167012
2011		215,250.		2,085,50	1.	.103213
2010		216,625.		1,850,33		.117073
2009		209,983.		1,570,49	6.	.133705
2 Total of line 1, column (d)					2	.620947
3 Average distribution ratio for the	e 5-year base period - divide the	total on line 2 by 5,	or by the number of	f years		
the foundation has been in exist	ence if less than 5 years				3	.124189
4 Enter the net value of noncharita	able-use assets for 2014 from F	Part X, line 5			4	2,686,118.
						222 526
5 Multiply line 4 by line 3					5	333,586.
						006
6 Enter 1% of net investment inco	ome (1% of Part I, line 27b)				6	886.
					_	224 472
7 Add lines 5 and 6					7	334,472
O Pakan ang tikanan dankata da karan da	om Dort VII. Iron 4					47 530
8 Enter qualifying distributions fro		un b 20	. I. A. Ab. A	- 40/ A	8	47,530

X

8b

10

IL

8a Enter the states to which the foundation reports or with which it is registered (see instructions)

of each state as required by General Instruction G? If "No," attach explanation

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

g is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar

Page 5

11 At any time during the year, and the foundation, directly or inducted, you'n a controlled entity within the meaning of section \$120(1)(3)(1)? 11** yes, "attach schedule (been instructions)  12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  15 Deposits retinicated at \$\bigs \text{DAV.ID} \times \text{RUTENBERG} \text{Text}	Pa	rt VII-A   Statements Regarding Activities (continued)		
12 Dot the foundation make a distribution to a denor advised fund over which the foundation or a disqualified person had advisory privileges?  13 Dot the foundation comply with the public inspection requirements for its annual returns and exemption application?  14 The books are in care of ▶ AVA  15 The books are in care of ▶ AVA  16 The books are in care of ▶ AVA  17 The books are in care of ▶ AVA  18 The books are in care of ▶ AVA  18 The books are in care of ▶ AVA  18 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 The books are in care of ▶ AVA  19 A tary time during cleanery are yold, did the foundation have an inhere in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  20 Be me matricutors for exceptions and filing requirements for FinCEN Form 314, (formerly TD F90-22.1) if Yes, enter the name of the financial form of the filing of the property of the	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		
If Yes, attach statement (see instructions)  30 th the foundation comply with the public inspection requirements for its annual returns and examption application?  Webstle address ► N/A  11 the books are in case of ► DAVID W. RUTTENBERG  Telephone no ► 31.2 − 75.1 − 2.77 →  15 Section 4947(h)(1) nonexempt charitable trusts filing form 950-PF in less of Form 1041 - Check here and enter the amount of tax-exempt interest reserved or accrued dump the year  18. At any time dump calendar year 2014, doll the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account and foreign country?  See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) if Yes, anter the name of the frozen occurity. ►  Pent VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  19. Burning the year of the foundation (eleft directly or indirectly)  (1) Figage in the sale or exchange, or issaing of properly with a disqualified person?  (2) Brown where from, lead money (or, or otherwase extend credit to (or accept if from) a disqualified person?  (3) Firmishing podes, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person?  (5) Firmishing podes, services, or facilities to (or accept them from) a disqualified person?  (6) Agree to pay money or property to a government fellow? (Exception, Check 'No' if the foundation appeal or have a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)  In any answer if "xe's to 1411-(b), dull any of the acts discorbed in Inc. Other than exceptions described in Regulations section 9442(i)(d) on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current oncice regarding disaster assistance (see		section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
13 Dut the foundation comply with the public inspection requirements for its annual returns and exemption application?  14 The books are in case of ▶ DAVID W. RUTTENBERG Telephone no ▶31.2-75.1-2777  15 Catched at ▶11.01 W. MONROE ST STE 20.0, CHICAGO, IL  27/F-14 ▶60507  15 Section 4376(1) more report charactable trials thing Form 990-Fin lieu of Form 1041 - Chick here and eater the amount of tax-exempt interest received or accrued during the year At 14 The money of their financial account in a foreign country?  15 At any time during calendary vas 21d. 4d, the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  15 At any time during calendary vas 21d. 4d, the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  16 At any time during calendary vas 21d. 4d, the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country.  18 X X  28 See the instructions for exceptione and thing requirements for FinCEN Form 114. (formerly TDF 90-221) If 1'Yes, 'enter the name of the foreign country.  29 Fart VII-B Statements Regarding Activities for Whileh Form 4720 May Be Required  File Form 4720 if any tenn is checked in the "Yes" column, unless an exception applies.  10 Jump the year did the foundation (either directly in indirectly)  11 Engine the sale or exchinge, or tessing of property with a disqualified person?  20 Jump the year did the foundation (either directly in indirectly)  12 Jump the year did the foundation or otherwise extend credit to for accept it from) a disqualified person?  20 Jump the year of year property to a government official for a period after termination of government service, if terminating within 90 days (1)  16 Jump the year of year property to a government official for a period after termination of government service, if the year subspinning in 20	12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?		
13 Dut he foundation comply with the public inspection requirements for its annual returns and exemption application?   13 X			12	X
14. The books are micrate of ▶ DAVID W. RUTTENBERG Located at № 1101 W. MONROE ST STE 200, CHICAGO, IL  21P44 ▶60607  15. Saction 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in leu of Form 1041 - Check here and enter the amount of tax-exempt interest necesed or accrued during the year  15. At any time during calendar year 2014, did the foundation have an interest in or a significant of the authority over a bank, securities, or other financial account in a foreign country?  16. At any time during calendar year 2014, did the foundation have an interest in or a significant of the representations for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-221) if Yes, enter the name of the foreign country.  17 Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  18 File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  19 Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  20 Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  21 Series with the subject exchange, or leasing of property with a disqualified person?  22 Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  23 Furnish goods, services, or facifities to (or accept them from) a disqualified person?  24 Fee X No  25 Transfer any income or assets to a disqualified person?  26 Agree to pay money or property to a government official? (Exception, Check No'  27 Transfer any income or assets to a disqualified person)?  28 Agree to pay money or property to a government official? (Exception, Check No'  29 Transfer any income or assets to a disqualified person)?  20 Agree to pay money or property to a government official? (Exception, Check No'  29 Transfer any income or assets to a disqualified person)?  20 Agree to pay money or property to a government official? (Exception, Check No'  20 Agree to pay money or property to a government official? (Exception, Check No'  21 Trans	13	·	13 X	
14. The books are micrate of ▶ DAVID W. RUTTENBERG Located at № 1101 W. MONROE ST STE 200, CHICAGO, IL  21P44 ▶60607  15. Saction 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in leu of Form 1041 - Check here and enter the amount of tax-exempt interest necesed or accrued during the year  15. At any time during calendar year 2014, did the foundation have an interest in or a significant of the authority over a bank, securities, or other financial account in a foreign country?  16. At any time during calendar year 2014, did the foundation have an interest in or a significant of the representations for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-221) if Yes, enter the name of the foreign country.  17 Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  18 File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  19 Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  20 Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  21 Series with the subject exchange, or leasing of property with a disqualified person?  22 Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  23 Furnish goods, services, or facifities to (or accept them from) a disqualified person?  24 Fee X No  25 Transfer any income or assets to a disqualified person?  26 Agree to pay money or property to a government official? (Exception, Check No'  27 Transfer any income or assets to a disqualified person)?  28 Agree to pay money or property to a government official? (Exception, Check No'  29 Transfer any income or assets to a disqualified person)?  20 Agree to pay money or property to a government official? (Exception, Check No'  29 Transfer any income or assets to a disqualified person)?  20 Agree to pay money or property to a government official? (Exception, Check No'  20 Agree to pay money or property to a government official? (Exception, Check No'  21 Trans		Website address ► N/A		
15 Section 4947(a)(1) nonexempt charatable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1). If "Yes," enter the name of the foreign country.  Pert VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  10 During the year did the foundation (either directly or indirectly)  11 Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Fransfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a prant to or to employ the official for a period after termination of government service, if terminating within 90 days:  10 bit any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53 4941(6)-3 or in a current notice regarding disaster assistance (see instructions)?  11 c	14	The books are in care of ▶ DAVID W. RUTTENBERG Telephone no ▶312-7	51-2777	7
and enter the amount of fax-exempt interest received or accrized during the year    NA		Located at ► 1101 W. MONROE ST STE 200, CHICAGO, IL ZIP+4 ►6	0607	
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other inancial account in a foreign country?  See the instructions for exceptions and fibring requirements for FinCEN Form 114, (formerly TD F 90-22 1): If 'Yes,' enter the name of the foreign country.  Fine Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly)  11) Engage in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  1b Itany answer is 'Yes' to 141/16, did any of the acts fall to quality under the exceptions described in Regulations section 34 941 (id)-30 in a current notice regarding disaster assistance (see instructions)?  N/A  1b Organizations relying on a current notice regarding disaster assistance (see instructions)?  N/A  1b Organizations relying on a current notice regarding disaster assistance (see instructions)?  N/A  1b Organizations relying on a current notice regarding disaster assistance (see instructions)?  At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?  If Yes, I ist the years lead of the see year is unot accept to the year's undistributed income? (if applying sectio	15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	<u> </u>	<b>I</b>
18. At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and thing requirements for FinoCN Form 114, (formerly TD F 90-22 1). If "Yes," enter the name of the foreign country.  Fire Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly).  1b Garge in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Interest any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government envice, if terminating within 90 days )  1b I any answer is "Yes" to 141/6, did any of the acts fail to quality under the exceptions described in Regulations section 53 4941(d)-30 rin a current notice regarding disaster assistance (see instructions)?  N/A  1b I any answer is "Yes" to 141/6, did any of the acts fails to quality under the exceptions described in Regulations agreed to make a grant to or to employ the official for a period after termination of government notice regarding disaster assistance (see instructions)?  N/A  1b I any answer is "Yes" to 141/6, did any of the acts fails to quality under the exceptions described any any any any any any of the acts fails of quality under the exceptions described any any any any any any any of the acts described in the foundation in ordice regarding disaster a		-	N/A	
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1). If Yes, 'enter the name of the foreign country. ▶  Part VII-B.   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?	16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank,	Yes	No
Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required			16	X
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of properly with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of povernment service, if thermating within 90 days.)  b If any answer is "Ves" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(g)-3 or in a current notice regarding disaster assistance (see instructions)?  C) potential of the tax year beginning in 2014?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(n)(3) or 4942(n)(5))  a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?  If "Yes," list the years beginning in 2014?  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years listed, answer "No' and attach statement - see instructions)  If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No' and attac		See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.    The property of the foundation (either directly or indirectly) or indirectly of the foundation (either directly or indirectly) or indirectly of the foundation (either directly or indirectly) or indirectly (1) Engage in the sale or exchange, or leasing of property with a disqualified person?		foreign country		1
1a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept the from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available to rich the benefit or use of a disqualified person) (or make any of either available to rich be person or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Ves' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(0)-3 or in a current notice regarding disaster assistance close instructions?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5))  a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?  If 'Yes,' list the years   1 D Are there any years isted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)  1 If 'Yes,' list the years's undistributed income? (if applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)  1 If 'Yes,' list the years's undistributed income? (if applyin	Pa			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check No if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b if any answers it "Yes" to fall," (16), did any of the acts fall to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  1b District of the first day of the tax year beginning in 2014?  1c Did the foundation engage in a prior year in any of the acts all to qualify under the exception days of the tax year beginning in 2014?  1c X  2 Taxes on failure to distribute incomes (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)  a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?  1t "Yes, list the years Islaed in 2s for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) all years listed, answer "No" and attach statement—see instructions)  1 If "Yes, if the provisions of section 4942(a)		File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
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a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?  If "Yes," list the years   b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation		
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b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)  c if the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here  3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  b if "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  N/A  da Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  X	а	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here		before 2014? Yes X No		
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions )  C if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		If "Yes," list the years		
statement - see instructions )  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  If the provisions of section 4942(a)(a)  If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time  If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time  If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time  If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time  If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time  If the foundation hold more than a 2% direct or indirect interest i	b			
the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here    Sa Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?    Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?    Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?    Yes				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  b if "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  4b X		statement - see instructions ) N/A	2b	
b if "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after  May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  N/A  Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  Ab  X	C	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here		1
b if "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after  May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  N/A  Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  Ab  X		<b>&gt;</b>		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after  May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  13b  14a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  15b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  15c Ala X  15d Ala X	3a			
May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  4b X		during the year?		
of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  4b X	b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after		1
Form 4720, to determine if the foundation had excess business holdings in 2014.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  Ab X				
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  4b X				
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  4b X		Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	1
had not been removed from jeopardy before the first day of the tax year beginning in 2014?			4a	<u> </u>
	b			1

Part VII-B   Statements Regarding Activities for Which	Form 4720 May Be I	Required (contin	ued)	
5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section			es X No	
(2) Influence the outcome of any specific public election (see section 4955),	or to carry on, directly or indir		(	
any voter registration drive?			es X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes	Ye	es X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	on described in section		TT	
4945(d)(4)(A)? (see instructions)			es X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary	, or educational purposes, or t		77	
the prevention of cruelty to children or animals?			es X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un	·	in Regulations	NT / 7	
section 53 4945 or in a current notice regarding disaster assistance (see instri			N/A ▶□	5b
Organizations relying on a current notice regarding disaster assistance check in		d		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption to		_ /		
expenditure responsibility for the grant?		1/A	es 🔛 No 📗	
If "Yes," attach the statement required by Regulations section 53 494				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on	[	s X No	
a personal benefit contract?	narranal hanafit contract?	L 16		6b X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a lif "Yes" to 6b, file Form 8870	personal benefit contract?		<del>-</del>	OU A
7a At any time during the tax year, was the foundation a party to a prohibited tax:	chalter transaction?		es X No	
b If "Yes," did the foundation receive any proceeds or have any net income attrib				7b
Part VIII Information About Officers, Directors, Trust		nagers Highly		70
Paid Employees, and Contractors	ices, i candation inc	inagers, mgm	,	
1 List all officers, directors, trustees, foundation managers and their	compensation.			
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other allowances
DAVID W. RUTTENBERG	PRESIDENT	Bitter -0-1	compensation	anowances
1101 W. MONROE STREET, SUITE 200				
CHICAGO, IL 60607	0.00	0.	ο.	0.
JONATHON MCCULLOCH	SECRETARY			
1101 W. MONROE STREET, SUITE 200				
CHICAGO, IL 60607	0.00	0.	o.	0.
PAMELA I. KAJI	TREASURER			
432 W. GRANT PL. #4W	1			}
CHICAGO, IL 60614	0.00	0.	0.	0.
		l		
2 Compensation of five highest-paid employees (other than those in		enter "NONE."	(d) Coottibutage to	(-) 5
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
	devoted to position	ļ	compensation	allowances
NONE	_			
	<del></del>		<del></del>	<del></del>
	_			
	<del> </del>			<del>                                     </del>
	-{			
	<del> </del>			
	-			
	<del>                                     </del>	<del> </del>		<del></del>
	-			
Total number of other employees paid over \$50,000	<u> </u>	<u></u>		0
- Case member of care ample too bare and and and		<del></del>	<del></del>	990-PF (2014)
				\ //

Form 990-PF (2014) C/O DAVID W. RUTTENBERG 36-	4264024 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)	
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."	
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE	
Total number of others receiving over \$50,000 for professional services  Part IX-A Summary of Direct Charitable Activities	<u>▶</u> 0
	<del></del>
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
1 11/11	
2	
3	
4	
Date IV Disc	
Part IX-B   Summary of Program-Related Investments  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
37/3	Aillouit
1 N/A	
2	
	1
	1
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.
	Form <b>990-PF</b> (2014)

C/O DAVID W. RUTTENBERG

P	Minimum Investment Return (All domestic foundations must complete this part. Foreign for	ındatıons, :	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
а	Average monthly fair market value of securities	1a	2,709,252. 17,771.
b	Average of monthly cash balances	1b	17,771.
C	Fair market value of all other assets	10	
ď	Total (add lines 1a, b, and c)	1d	2,727,023.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,727,023.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	40,905.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,686,118.
6	Minimum investment return. Enter 5% of line 5	6	134,306.
P	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations a foreign organizations check here and do not complete this part.)	nd certain	
1	Minimum investment return from Part X, line 6	1	134,306.
2a	Tax on investment income for 2014 from Part VI, line 5		· · · · · · · · · · · · · · · · · · ·
b	Income tax for 2014 (This does not include the tax from Part VI)	1	
C	Add lines 2a and 2b	2c	1,773.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	132,533.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	132,533.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	132,533.
P	Qualifying Distributions (see Instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	47,530.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	47,530.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	47,530.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation 4940(e) reduction of tax in those years	qualifies for	the section

# Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI,	Corpad			
line 7				132,533.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only	, , , , , , , , , , , , , , , , , , , ,		0.	
b Total for prior years		0		
3 Excess distributions carryover, if any, to 2014		0.	· · · · · · · · · · · · · · · · · · ·	
a From 2009   133,580.				
b From 2010 128, 217.				
c From 2011 116,535.				
d From 2012 294,523.				
B From 2013 139, 211.				
	812,066.			
f Total of lines 3a through e	012/0000			
4 Qualifying distributions for 2014 from Part XII, line 4 > \$ 47,530				
a Applied to 2013, but not more than line 2a			0.	
1				
b Applied to undistributed income of prior		0.		
years (Election required - see instructions)		<del></del>		<del>ta'</del>
c Treated as distributions out of corpus	0.			
(Election required - see instructions)		1 11 11 11 11 11 11 11 11 11 11 11 11 1		47,530.
d Applied to 2014 distributable amount  e Remaining amount distributed out of corpus	0.	·····		1,7500
· · · · · · · · · · · · · · · · · · ·	85,003.	<del>la' rensi (4.11)</del>	**************************************	85,003.
5 Excess distributions carryover applied to 2014 (if an amount appears in column (d), the same amount must be shown in column (a))	03/0031			
6 Enter the net total of each column as indicated below:				
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	727,063.			
b Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable				
amount - see instructions		0.		
e Undistributed income for 2013 Subtract line				
4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2015		Him to a second		0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2009				
not applied on line 5 or line 7	48,577.			
9 Excess distributions carryover to 2015.				
Subtract lines 7 and 8 from line 6a	678,486.			
10 Analysis of line 9				
a Excess from 2010   128,217.				
b Excess from 2011 116,535.				
c Excess from 2012 294,523.				
d Excess from 2013 139,211.				
e Excess from 2014				
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Part XV Supplementary Information	(continued)	<del></del>		
3 Grants and Contributions Paid During the Y	ear or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		<del></del>
a Paid during the year				
VARIOUS - SEE SCHEDULE ATTACHED	NONE	PUBLIC	CHARITABLE PURPOSE OF	
		CHARITIES	RECIPIENTS	
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
		-		<del></del>
		<u> </u>		
Total			▶ 3a	0.
b Approved for future payment				
NONE				
			1	
		•		
				<u></u>
Total			<b>▶</b> 3b	0.

iter gross amounts unless otherwise indicated	Unrelated	business income		section 512, 513, or 514	(e)
Program service revenue	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income
•	Code		·		<del></del>
ā					<del></del>
<b>b</b>					···-··································
<u> </u>	1				
d	l l	- mid'er kir.			
e	-				
1	-		<del></del>		
g Fees and contracts from government agencies	<del></del>			· · · ·	
Membership dues and assessments					
Interest on savings and temporary cash			اما	0 500	
investments			14	3,599. 51,935.	
Dividends and interest from securities		,, <u>, , , , , , , , , , , , , , , , , ,</u>	14	51,935.	
Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
Net rental income or (loss) from personal					
property			}		
Other investment income			14	-10,181.	
Gain or (loss) from sales of assets other		· · · · · · · · · · · · · · · · · · ·			
than inventory	ŀ		14	62,355.	
Net income or (loss) from special events					
Gross profit or (loss) from sales of inventory					
Other revenue					
a					
b					
d	1				
· · · · · · · · · · · · · · · · · · ·	-				
e Subtotal Add columns (b), (d), and (e)	_		0.	107,708.	0
Total. Add line 12, columns (b), (d), and (e)	1		<del> </del>	13	107,708
ee worksheet in line 13 instructions to verify calculations	`				20.7.00
Part XVI-B Relationship of Activities	s to the Accor	nplishment of	Exempt Pu	ırposes	
ine No. Explain below how each activity for which in	nome is reported in	column (a) of Part VI	/I-A contributed	importantly to the accomp	lichment of
				importantly to the accomp	iisiiiiidiit oi
the foundation's exempt purposes (other th	an by providing func	13 101 30011 parposos;	<u></u>		·····

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	0-PF (20	)14) C/O D	AVID W	RUTTENB	ERG		36-4	<u> 264024</u>	Pa	age 13
Part	XVII	Information Re Exempt Organ		nsfers To a	and Transactions a	and Relation	ships With Non	charitable	е	
1 DK	the ora	<del>,</del>		ny of the followin	g with any other organization	on described in sec	ction 501(c) of		Yes	No
	-				?7, relating to political organ		2001 00 1(0) 01			1.00
		rom the reporting found		•	- · ·	1124(10110				
	Cash	rom and reporting round		to brown proving	yaa			1a(1)		X
	Other:	assets						1a(2)		X
٠.	her trans							1:50-2		
		of assets to a noncharita	ible exempt organi	zation				1b(1)		Х
		ases of assets from a no						1b(2)		Х
٠,		of facilities, equipment,	•	J.				1b(3)	<del></del>	Х
		ursement arrangements						1b(4)		Х
		or loan guarantees						1b(5)		X
		mance of services or me	embership or fundi	raising solicitation	ins			1b(6)	$\overline{}$	X
		facilities, equipment, ma		-				10		X
d Ift	he answ	er to any of the above is	"Yes," complete th	ie following sche	dule Column (b) should al	ways show the fair	market value of the go	ods, other as:	sets,	
or	services	given by the reporting f	foundation. If the fo	oundation receiv	ed less than fair market vali	ue in any transactio	on or sharing arrangem	ent, show in		
CO	<u>lu</u> mn ( <b>d</b> )	the value of the goods,	other assets, or se	ervices received						
(a) Line r	10 (	b) Amount involved	(c) Name		e exempt organization	(d) Descripte	on of transfers, transactions	s, and shanng a	rangeme	ents
				N/A						
									<del></del> .	
					<del></del>					
		<del></del> .								
				<del></del>			<del></del>			
										-
					···					
			ļ <u>.                                    </u>				·			
			<del> </del>							
			J							
		•	•		or more tax-exempt organ	izations described		<u> </u>	ГŦ	<b>n</b>
		501(c) of the Code (othe		(c)(3)) or in sect	tion 527?			Yes	L	☐ No
<u> </u>	Yes, co	mplete the following sch			(h) Tune of organization	<u> </u>	(c) Description of rela	tionchin		
		(a) Name of org	yamzanon		(b) Type of organization		(c) Description of fela	tionship		
		N/A	<b></b>						_	
	_									
				<del></del>						
	Under	penalties of #8riury. I declare	that have examined	this return, including	l ng accompanying schedules and	statements, and to the	e best of my knowledge			
Sign	l	ief, it is true, correct, and co	molete Declaration of	preparer (other tha	n taxpayer) is based on all inform	nation of which prepar	er has any knowledge	May the IRS return with the	e prepar	rer
Here			munt		12/26/11	PRESI	DENT	xhown below		No
	Sign	ature of officer or trustee			Date	Title				
	1	Print/Type preparer's na		Preparer's s		Date	Check If P	TIN		
		- Abi bisheisi s m	-		· /		self- employed			
Paid		JAMES NOVA	ΑK	Jam	e New	2/15/16		P01283	183	
Prep		Firm's name ► NOV		TELLO C	PA GROUP, LI	<del></del>	Firm's EIN ▶ 46			
Hari	لساسا				•		1			

(312) 222-1400

Firm's address ▶ 225 WEST ILLINOIS STREET, #450

CHICAGO, IL 60654

### Schedule B (Form 990, 990-EZ,

Department of the Treasury Internal Revenue Service

### Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

2014

Name of the organization

THE RUTTENBERG FOUNDATION C/O DAVID W. RUTTENBERG

certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**Employer identification number** 

36-4264024

Organization type (check one): Filers of: Section: 501(c)( Form 990 or 990-EZ ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization X 501(c)(3) exempt private foundation Form 990-PF 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. **General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. **Special Rules** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization
THE RUTTENBERG FOUNDATION
C/O DAVID W. RUTTENBERG

Employer identification number

36-4264024

Part I	Contributors (	(see instructions)	Use duplicate co	pies of Part I if	additional space is needed.
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	Contributors (see instructions) Ose duplicate copies of Part III			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
1	DAVID W. RUTTENBERG  833 N. ORLEANS ST., SUITE 400  CHICAGO, IL 60610	\$\$.	Person X Payroll Noncash (Complete Part II for noncash contributions.)	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
		<b> \$</b>	Person Payroll Complete Part II for noncash contributions.)	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
		<b>\$</b>	Person Payroll Noncash (Complete Part II for noncash contributions.)	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
		<b>\$</b>	Person Payroll Noncash (Complete Part II for noncash contributions.)	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
		<b>\$</b>	Person Payroll Complete Part II for noncash contributions.)	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)	

Name of organization

THE RUTTENBERG FOUNDATION C/O DAVID W. RUTTENBERG

**Employer identification number** 

36-4264024

Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

art II I	Noncash Property (see instructions). Use duplicate copies of P	'art II if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. rom art I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. rom Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. rom Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		<b>\$</b>	
(a) No. rom Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-   -   -		\$	
(a) No. rom Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_   -		  \$	
453 11-05-14			 990, 990-EZ, or 990-PF) (

Schedule B (Form 990, 990-EZ, or 990-PF) (2014) Page 4 Name of organization Employer identification number THE RUTTENBERG FOUNDATION 36-4264024 C/O DAVID W. RUTTENBERG Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations Part III completing Part III, enter the total of exclusively religious, chantable, etc., contributions of \$1,000 or less for the year (Enter this info once) Use duplicate copies of Part III if additional space is needed. (a) No. from (c) Use of gift (d) Description of how gift is held (b) Purpose of gift Part I (e) Transfer of gift Relationship of transferor to transferee Transferee's name, address, and ZIP + 4 (a) No. from (c) Use of gift (d) Description of how gift is held (b) Purpose of gift Part (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (d) Description of how gift is held (b) Purpose of gift (c) Use of gift Part I (e) Transfer of gift Relationship of transferor to transferee Transferee's name, address, and ZIP + 4 (a) No. (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

FORM 990-PF INTERE	ST ON SAVING	GS AND TE	MPORA	ARY CASH	INV	VESTMENTS	STATEMENT
SOURCE	REV			IN	(B) VESTMENT COME	(C) ADJUSTED NET INCOME	
MORGAN STANLEY			3,599.			3,599.	
TOTAL TO PART I, LI	3,599.		:	3,599.			
FORM 990-PF	DIVIDENDS	AND INTE	REST	FROM SEC	UR	ITIES	STATEMENT
SOURCE	GROSS AMOUNT	CAPITA GAINS DIVIDEN		(A) REVENUE PER BOOK		(B) NET INVEST MENT INCOM	
MORGAN STANLEY	51,935.		0.	51,93	35.	51,935	· .
TO PART I, LINE 4	51,935.		0.	51,93	35.	51,935	). = ===================================
FORM 990-PF		OTHER	INCON	1E	<del></del>		STATEMENT
DESCRIPTION			REV	(A) VENUE BOOKS		(B) ET INVEST- ENT INCOME	(C) ADJUSTED NET INCOME
GALNET ALPHA FUND	_		-10,181.		-10,181.		
TOTAL TO FORM 990-P	LINE 11 =		-10,181.	 - =	-10,181.		
FORM 990-PF		ТА	XES				STATEMENT
DESCRIPTION		(A) EXPENSES PER BOOKS		(B) INVEST- NT INCOME		(C) ADJUSTED NET INCOME	(D) CHARITABL PURPOSES
FOREIGN TAX FILING FEES INCOME TAX MISCELLANEOUS INTEREST		895 30 1,100 353 3	•	1,100 353	). ).		0 30 0 0
TO FORM 990-PF, PG	<del></del> _	2,381			— - l.	<u> </u>	30

FORM 990-PF	OTHER E	STATEMENT 5			
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVES MENT INCO	<del>-</del>		
INVESTMENT FEES	16,716.	16,7	16.		0.
TO FORM 990-PF, PG 1, LN 23	16,716.	16,7	16.		0.
FORM 990-PF CORPORATE STOCK				STATEMENT	6
DESCRIPTION			BOOK VALUE	FAIR MARKET	ľ
MS SMITH BARNEY INTERNATIONAL FUND MS SMITH BARNEY LARGE CAP CORE PM MS SMITH BARNEY SMALL CAP GROWTH FUND MORGAN STANLEY SB SJR ACCOUNT			207,382. 1,085,196. 134,124. 485,248.	208,487 1,342,256 199,955 503,495	
TOTAL TO FORM 990-PF, PART II, LINE 10B			1,911,950.	2,254,19	)3. ==
FORM 990-PF	OTHER INV	ESTMENTS		STATEMENT	7
DESCRIPTION		LUATION IETHOD	BOOK VALUE	FAIR MARKET	Г
GALNET ALPHA FUND LLC		COST 0			0.
TOTAL TO FORM 990-PF, PART II, LINE 13			0.		0