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ENVELOPE
POSTMARK DATE APR 0 2016

Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning 12/01, 2014, and ending 11/30, 2015

Name of foundation
THE NEUBERGER BERMAN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
605 THIRD AVENUE, 19TH FLOOR

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10158

A Employer identification number
31-1736689

B Telephone number (see instructions)
(212) 476-5779

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization
 Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) Accounting method Cash Accrual
 9,700,093. (Other (specify) _____)
 (Part I, column (d) must be on cash basis)

SCANNED APR 14 2016

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	267,459.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	198,369.	198,369.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	160,476.			
	b Gross sales price for all assets on line 6a	2,677,781.			
	7 Capital gain net income (from Part IV, line 2)		272,022.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total Add lines 1 through 11	626,304.	470,391.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	5,728.			5,728.
	b Accounting fees (attach schedule) ATCH 3	105,237.	52,619.		57,068.
	c Other professional fees (attach schedule) [4]	7,135.	7,135.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [5]	8,680.	475.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	940.	18.		1,067.
	24 Total operating and administrative expenses. Add lines 13 through 23.	127,720.	60,247.		63,863.
	25 Contributions, gifts, grants paid	1,000,000.			1,068,046.
26 Total expenses and disbursements Add lines 24 and 25	1,127,720.	60,247.	0	1,131,909.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-501,416.				
b Net investment income (if negative, enter -0-)		410,144.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	50,000.	49,983.	49,983.
	2	Savings and temporary cash investments	347,759.	116,600.	116,600.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable	8,836.		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule) [7]	716,763.	611,364.	611,364.
	b	Investments - corporate stock (attach schedule) ATCH 8	994,988.	910,139.	910,139.
	c	Investments - corporate bonds (attach schedule) ATCH 9	2,119,874.	1,513,790.	1,513,790.
	11	Investments - land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 10	6,595,840.	6,489,564.	6,489,564.	
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe ATCH 11)	1,502.	8,653.	8,653.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	10,835,562.	9,700,093.	9,700,093.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	68,046.		
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ATCH 12)	25,000.	10,000.	
23	Total liabilities (add lines 17 through 22)	93,046.	10,000.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	10,742,516.	9,690,093.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, <input type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	10,742,516.	9,690,093.	
31	Total liabilities and net assets/fund balances (see instructions)	10,835,562.	9,700,093.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	10,742,516.
2	Enter amount from Part I, line 27a	-501,416.
3	Other increases not included in line 2 (itemize) ATCH 13	12,500.
4	Add lines 1, 2, and 3	10,253,600.
5	Decreases not included in line 2 (itemize) ATCH 14	563,507.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	9,690,093.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	272,022.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	1,076,540.	10,683,734.	0.100764
2012	1,386,551.	10,542,590.	0.131519
2011	1,531,863.	10,760,358.	0.142362
2010	2,521,823.	12,578,689.	0.200484
2009	3,940,867.	14,976,371.	0.263139
2 Total of line 1, column (d)			2 0.838268
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.167654
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 10,220,400.
5 Multiply line 4 by line 3			5 1,713,491.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,101.
7 Add lines 5 and 6			7 1,717,592.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 1,131,909.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Credits/Payments'. Total tax based on investment income is 8,203.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Includes questions about political campaigns, tax on political expenditures, and substantial contributors. Includes a 'Yes/No' column for each statement.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 X
14 The books are in care of ELIZABETH CRIBBS, PRESIDENT Telephone no 212-476-5779 Located at 605 THIRD AVENUE NEW YORK, NY ZIP+4 10158
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? X Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870 **6b** X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 17		91,136.

Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	

2	

3	

4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 NONE		

2		

All other program-related investments See instructions		
3 NONE		

Total. Add lines 1 through 3		▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	10,017,212.
b	Average of monthly cash balances	1b	348,862.
c	Fair market value of all other assets (see instructions)	1c	9,967.
d	Total (add lines 1a, b, and c)	1d	10,376,041.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	10,376,041.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	155,641.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,220,400.
6	Minimum investment return. Enter 5% of line 5	6	511,020.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	511,020.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	8,203.
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	8,203.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	502,817.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	502,817.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	502,817.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,131,909.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,131,909.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,131,909.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				502,817.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years 20 12 , 20 11 , 20 10				
3 Excess distributions carryover, if any, to 2014				
a From 2009 3,213,429.				
b From 2010 1,896,069.				
c From 2011 1,003,777.				
d From 2012 867,262.				
e From 2013 552,818.				
f Total of lines 3a through e	7,533,355.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 1,131,909.				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				502,817.
e Remaining amount distributed out of corpus	629,092.			
5 Excess distributions carryover applied to 2014 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,162,447.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	3,213,429.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	4,949,018.			
10 Analysis of line 9				
a Excess from 2010 1,896,069.				
b Excess from 2011 1,003,777.				
c Excess from 2012 867,262.				
d Excess from 2013 552,818.				
e Excess from 2014 629,092.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT A			SEE ATTACHED	1,000,000.
Total ▶ 3a				1,000,000.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Gain or loss from sales, and Subtotal/Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					174,904.	
66,203.		SALE OF PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 83,998.				P	VAR -17,795.	VAR
227,262.		SALE OF PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 223,794.				P	VAR 3,468.	VAR
655,276.		SALE OF PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 638,554.				P	VAR 16,722.	VAR
263,466.		SALE OF PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 273,906.				P	VAR -10,440.	VAR
140,189.		SALE OF PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 29,006.				D	VAR 111,183.	VAR
1,149,018.		SALE OF PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 1,155,451.				P	VAR -6,433.	VAR
1,463.		1.049911 SHS OF LB DIVERSIFIED ARBITRAGE PROPERTY TYPE: SECURITIES 1,050.				P	VAR 413.	VAR
TOTAL GAIN (LOSS)							<u>272,022.</u>	

Schedule of Contributors

2014

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization THE NEUBERGER BERMAN FOUNDATION	Employer identification number 31-1736689
--	---

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE NEUBERGER BERMAN FOUNDATION	Employer identification number 31-1736689
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEUBERGER BERMAN GROUP 605 THIRD AVENUE NEW YORK, NY 10158	\$ 125,352.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	JAMES BAKER 605 THIRD AVENUE, 39TH FLOOR NEW YORK, NY 10158	\$ 5,417.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
3	DAVID PEDOWITZ 605 THIRD AVENUE, 39TH FLOOR NEW YORK, NY 10158	\$ 5,475.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
4	JUDITH M. VALE 605 THIRD AVENUE, 41ST FLOOR NEW YORK, NY 10158	\$ 100,911.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
5	ANN BENJAMIN 190 S LASALLE CHICAGO, IL 60603	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
---	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE NEUBERGER BERMAN FOUNDATION

Employer identification number
31-1736689

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	50 SHS APPLE INC. ----- ----- -----	\$ 5,417.	12/17/2014
3	10 SHS GOOGLE INC. ----- ----- -----	\$ 5,475.	05/01/2015
4	763 SHS IDEXX LABORATORIES ----- ----- -----	\$ 100,911.	06/04/2015
	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----

Name of organization THE NEUBERGER BERMAN FOUNDATION

Employer identification number

31-1736689

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	-----	-----	-----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----
	-----	-----	-----

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND INCOME	178,153.	178,153.
INTEREST INCOME	20,216.	20,216.
TOTAL	<u>198,369.</u>	<u>198,369.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	5,728.			5,728.
TOTALS	<u>5,728.</u>			<u>5,728.</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	105,237.	52,619.		57,068.
TOTALS	<u>105,237.</u>	<u>52,619.</u>		<u>57,068.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES	7,135.	7,135.
TOTALS	<u>7,135.</u>	<u>7,135.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAX	8,205.	
FOREIGN TAXES PAID	394.	394.
FOREIGN TAXES WITHHELD	81.	81.
TOTALS	<u>8,680.</u>	<u>475.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
BANK CHARGES	18.	18.	
PUBLICATION EXPENSE	775.		145.
NYS FILING FEES	147.		775.
DE FRANCHISE TAX			147.
TOTALS	<u>940.</u>	<u>18.</u>	<u>1,067.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US TREASURY OBLIGATIONS	716,763.	611,364.	611,364.
US OBLIGATIONS TOTAL	<u>716,763.</u>	<u>611,364.</u>	<u>611,364.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COMMON STOCK	994,988.	910,139.	910,139.
TOTALS	<u>994,988.</u>	<u>910,139.</u>	<u>910,139.</u>

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CORPORATE BONDS	2,119,874.	1,513,790.	1,513,790.
TOTALS	<u>2,119,874.</u>	<u>1,513,790.</u>	<u>1,513,790.</u>

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MUTUAL FUNDS	6,585,740.	6,481,345.	6,481,345.
ALTERNATIVE INVESTMENTS	10,100.	8,219.	8,219.
TOTALS	<u>6,595,840.</u>	<u>6,489,564.</u>	<u>6,489,564.</u>

ATTACHMENT 11

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ACCRUED INCOME	1,502.	5,893.	5,893.
PREPAID FEDERAL EXCISE TAX		2,760.	2,760.
TOTALS	<u>1,502.</u>	<u>8,653.</u>	<u>8,653.</u>

ATTACHMENT 12

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DEFERRED FED EXCISE TAX PAYABLE	22,500.	10,000.
FEDERAL EXCISE TAX PAYABLE	2,500.	
TOTALS	<u>25,000.</u>	<u>10,000.</u>

ATTACHMENT 13

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
DEFERRED EXCISE TAX EXPENSE	12,500.
TOTAL	<u>12,500.</u>

ATTACHMENT 14

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	563,507.
TOTAL	<u>563,507.</u>

FORM 990PF, PART VII-A, LINE 3 - CONFORMED COPY OF THE CHANGES

BYLAWS WERE UPDATED 10/15/2015 - SEE STATEMENT C ATTACHED

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
FRANCINE S. KITTRIDGE 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0	0	0
ELIZABETH R. CRIBBS 605 THIRD AVENUE NEW YORK, NY 10158	PRESIDENT 20.00	0	0	0
INGRID S. DYOTT 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0	0	0
DAVID PEDOWITZ 605 THIRD AVENUE NEW YORK, NY 10158	TREASURER 2.00	0	0	0
JUDITH M. VALE 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0	0	0
MELISSA PAPINI 605 THIRD AVENUE NEW YORK, NY 10158	VICE PRESIDENT/SECRETARY 20.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
HEATHER P. ZUCKERMAN 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0	0	0
MARIA ANGELOV 605 THIRD AVENUE NEW YORK, NY 10158	ASSISTANT TREASURER 20.00	0	0	0
JAMES ISELIN 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0	0	0
ROBERT CONTI 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0	0	0
GRAND TOTALS		0	0	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
MARCUM, LLP 10 MELVILLE PARK RD. MELVILLE, NY 11747		\$ 91,137
	TOTAL COMPENSATION	<u>\$ 91,137</u>

FEDERAL CAPITAL GAIN DIVIDENDS

ATTACHMENT 1

15% RATE CAPITAL GAIN DIVIDENDS		
LONG TERM CAPITAL GAIN DISTRIBUTION	174,904.	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS	<hr/>	174,904.
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		<hr/> <u>174,904.</u>

THE NEUBERGER BERMAN FOUNDATION
EIN 31-1736689

SCHEDULE OF CHARITABLE CONTRIBUTIONS

		FISCAL YEAR ENDED 11/30/2015					
Recipient's Name	Street	Recipient's Address			Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
		City	State	ZIP Code			
Stamford Family YMCA	10 Bell Street	Stamford	CT	06901	PC	After-school programming \$ 10,000.00	
University of Rochester - Eastman School of Music	26 Gibbs Street	Rochester	NY	14604	PC	RocMusic after school music program 10,000.00	
StreetSquash	40 West 116th Street	New York	NY	10026	PC	College access and success program 10,000.00	
Vogel Alcove	1738 Gano Street	Dallas	TX	75215	PC	Therapeutic Early Childhood Program 10,000.00	
Highbridge Voices	1360 Merriam Avenue	Bronx	NY	10452	PC	Academic Support 9,348.78	
ArtsConnection	520 Eighth Avenue, Suite 321	New York	NY	10018	PC	Young Talent Program 9,348.79	
A Broader Way	420 Lexington Avenue, Suite 2520	New York	NY	10170	PC	General operating support 9,348.79	
A Broader Way	420 Lexington Avenue, Suite 2520	New York	NY	10170	PC	General operating support 15,000.00	
Anxter Center	6610 N. Clark Street	Chicago	IL	60626	PC	National Leketek Center 10,000.00	
Association to Benefit Children	419 East 86th Street	New York	NY	10028	PC	general operating support 200,000.00	
Baruch College	One Bernard Baruch Way, Box A-1603	New York	NY	10010	PC	Academic Promise Program 25,000.00	
Blythedale Children's Hospital	95 Bradhurst Avenue	Valhalla	NY	10595	PC	Early Childhood Center 10,000.00	
Blythedale Children's Hospital	95 Bradhurst Avenue	Valhalla	NY	10595	PC	Educational and supportive workshops for parents of patients 20,000.00	
Boys and Girls Clubs of Dorchester	1135 Dorchester Avenue	Boston	MA	02125	PC	Education Program 15,000.00	
Changing Young Lives Foundation	Unit 1-14, G/F, Block 10, Pak Tin Estate	Hong Kong	Hong Kong		Expenditure Responsibility	General operating support for Hong Kong programs 15,000.00	
Children of Promise	600 Lafayette Avenue, 6th Floor	Brooklyn	NY	11216	PC	after-school program 15,000.00	
Children's Museum of the Arts	103 Charlton Street	New York	NY	10014	PC	Young Arts Kollektive and Girl Stories programs 15,000.00	
City Harvest	575 Eighth Avenue, 4th Floor	New York	NY	10018	PC	Fruit Bowl program 15,000.00	
City Year	36 South Wabash Avenue, Suite 1300	Chicago	IL	60603	PC	Whole Year, Whole Child - Chicago 15,000.00	

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689

SCHEDULE OF CHARITABLE CONTRIBUTIONS

		FISCAL YEAR ENDED 11/30/2015							
		Dallas	TX	75228	PC				
Dallas Children's Advocacy Center	5351 Samuell Blvd	New York	NY	10001	PC	Therapy program creation and implementation of a financial literacy curriculum in 2 centers			15,000.00
Digital Citizen Fund	145 W 27th Street, Suite 6E	Rochester	NY	14627	PC	RocMusic program			22,000.00
Eastman School of Music, University of Rochester	300 East River Road	New York	NY	10002	PC	Afterschool program at PS 142			10,000.00
Educational Alliance	197 East Broadway	New York	NY	10018	PC	Investment Camp for Fiver students			25,000.00
Fiver Children's Foundation	519 8th Avenue,	Purchase	NY	10577	PC	outreach and education programs for underserved youth			20,000.00
Friends of Neuberger Museum	735 Anderson Hill Road	New York	NY	10026	PC	Project SOAR			15,000.00
Friends of the Children New York	218 West 113th Street	New York	NY	10003	PC	Year-round programming at the Avenues			15,000.00
Go Project	86 4th Avenue	Brooklyn	NY	11206	PC	General operating support			20,000.00
Green City Force	630 Flushing Avenue, 8th Floor	New York	NY	10001	PC	Children's Safety Project			15,000.00
Greenwich House	224 West 30th Street, Suite 302	Bronx	NY	10452	PC	Academic Support			15,000.00
Highbridge Voices	1360 Merriam Avenue	New York	NY	10004	PC	General operating support for New York programs			15,000.00
iMentor	30 Broad Street, 9th Floor	London	UK		Expenditure Responsibility	General operating support for learning center in Lambeth			15,000.00
Into University	95 Sirdar Road	Union	NJ	07083	PC	Pilot program for environmentally friendly community gardens in Newark			25,000.00
Kean University Foundation	1000 Morris Avenue	New York	NY	10009	PC	General operating support			15,000.00
Lower Eastside Girls Club	101 Avenue D	Norwalk	CT	06854	PC	Whole School Partnership			15,000.00
Maritime Aquarium at Norwalk	10 North Water Street	New York	NY	10007	PC	Summer of Service program			40,000.00
Mayor's Fund to Advance New York City	253 Broadway, 8th Floor	Newark	NJ	07102	PC	Scholars program			15,000.00
New Jersey SEEDS	494 Broad Street, Suite 105	Queens	NY	11368	PC	Science Career Ladder Institute			15,000.00
New York Hall of Science	47-01 111th Street	New York	NY	10005	PC	General operating support for New York programs			10,000.00
PEER Health Exchange	55 Exchange Place, Suite 405	New York	NY	10010	PC	School Partnership with Fredenck Douglass Academy II			20,000.00
PENCIL	30 West 26th Street, 2nd Floor	Bronx	NY	10454	PC	General operating support for New York programs			20,000.00
Per Scholas	804 E 138th Street	New York	NY	10018	PC	Free Student Matinee Program			15,000.00
Primary Stages	307 W 38th Street, Suite 1510	New York	NY	10016	PC	Next Steps program			25,000.00
Publicolor	149 Madison Avenue, Suite 1201								

THE NEUBERGER BERMAN FOUNDATION
EIN 31-1736689

SCHEDULE OF CHARITABLE CONTRIBUTIONS

Reading Partners	225 W 35th Street, 9th Floor	New York	NY					10001	PC	General operating support for New York programs	15,000.00		
Resurgo	St. Paul's Place, Macbeth Street	London	UK						Expenditure Responsibility	Spear program	15,000.00		
Samaritans of New York	P.O. Box 1259	New York	NY					10159	PC	Suicide Prevention Public Education project	13,000.00		
Staten Island Mental Health Society	669 Castleton Avenue	Staten Island	NY					10301	PC	general operating support	15,000.00		
Step Up Women's Network	3540 Wilshire Boulevard, Suite 502	Los Angeles	CA					90010	PC	Teen Empowerment Program in Chicago	15,000.00		
Studio in a School	410 West 59th Street	New York	NY					10019	PC	Teen Apprenticeship Program	15,000.00		
TADA Youth Theater	15 West 28th Street, 3rd Floor	New York	NY					10001	PC	Arts Education Programs	15,000.00		
Teach for America	519 8th Avenue, 15th Floor	New York	NY					10018	PC	General operating support for Teach for America-New York	15,000.00		
Teen Living Programs	162 W Hubbard Street, Suite 400	Chicago	IL					60654	PC	Comprehensive Support Services for Education and Employment	10,000.00		
Vogel Alcove	1738 Gano Street	Dallas	TX					75215	PC	Children's Programs	15,000.00		
Westchester Children's Museum	50 Main Street, Suite 205	New York	NY					10606	PC	Makespace program	15,000.00		
Writing Revolution	22 Cortlandt Street, 33rd Floor	New York	NY					10007	PC	General operating support for New York programs	15,000.00		
YCS (Youth Consultation Service)	284 Broadway	Newark	NJ					07104	PC	General operating support	15,000.00		
YMCA of Greater New York	5 West 63rd Street	New York	NY					10023	PC	Y Roads Initiative	15,000.00		
TOTAL CHARITABLE CONTRIBUTIONS PAID											\$ 1,068,046.36		

THE NEUBERGER BERMAN FOUNDATION
EIN 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2015

**ATTACHMENT B: EXPENDITURE RESPONSIBILITY
FORM 990-PF, PART XV, LINE 3a**

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE:
CHANGING YOUNG LIVES FOUNDATION
UNIT 1-14, G/F, BLOCK 10
PAK TIN ESTATE, KOWLOON

AMOUNT OF GRANT: \$15,000.00

DATE OF GRANT: September 9, 2015

PURPOSE OF GRANT: GENERAL OPERATING SUPPORT HONG KONG PROGRAM

**TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS
DIVERTED A PORTION OF FUNDS FROM THE
PURPOSE OF THE GRANT: (YES, NO)**

NO, TO THE KNOWLEDGE OF THE GRANTOR NO FUNDS HAVE BEEN DIVERTED TO ANY OTHER
ACTIVITY

DATES OF REPORTS RECEIVED FROM GRANTEE:

THE GRANTEE VERIFICATION REPORT HAS NOT YET BEEN RECEIVED AS THE ORGANIZATIONS FISCAL
PERIOD ENDS MARCH, 2016. THEREFORE, IT IS ANTICIPATED THE INITIAL REPORT WILL BE RECEIVED
BY MAY 31, 2016.

**DATES AND RESULTS OF ANY VERIFICATION
OF THE GRANTEE'S REPORTS:**

THE \$ 15,000 00 GRANT TO CHANGING YOUNG LIVES FOUNDATION HAS BEEN UTILIZED EFFECTIVELY.
NO FURTHER INDEPENDENT VERIFICATION WAS NECESSARY

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2015

ATTACHMENT B: EXPENDITURE RESPONSIBILITY
FORM 990-PF, PART XV, LINE 3a

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE: RESURGO
ST PAUL'S PLACE- MACBETH STREET
LONDON, W6 9JJ

AMOUNT OF GRANT: \$15,000.00

DATE OF GRANT: September 14, 2015

PURPOSE OF GRANT: SPEAR PROGRAM: HAMMERSMITH CENTRE.

DIVERTED A PORTION OF FUNDS FROM THE PURPOSE OF THE GRANT: (YES, NO)
NO, TO THE KNOWLEDGE OF THE GRANTOR NO FUNDS HAVE BEEN DIVERTED TO ANY OTHER ACTIVITY.

DATES OF REPORTS RECEIVED FROM GRANTEE:
GRANTEE VERIFICATION REPORTS RECEIVED DECEMBER, 2015.

DATES AND RESULTS OF ANY VERIFICATION OF THE GRANTEE'S REPORTS:
THE \$15,000.00 GRANT TO RESURGO HAS BEEN UTILIZED EFFECTIVELY.
NO FURTHER INDEPENDENT VERIFICATION WAS NECESSARY.

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2015

**ATTACHMENT B: EXPENDITURE RESPONSIBILITY
FORM 990-PF, PART XV, LINE 3a**

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE:
INTO UNIVERSITY
95 SIRDAR ROAD
LONDON W11 4EQ

AMOUNT OF GRANT: \$15,000.00

DATE OF GRANT: September 9, 2015

PURPOSE OF GRANT: LAMBETH CENTER: 2015/2016 ACADEMIC YEAR

**TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS
DIVERTED A PORTION OF FUNDS FROM THE
PURPOSE OF THE GRANT: (YES, NO)**

NO, TO THE KNOWLEDGE OF THE GRANTOR NO FUNDS HAVE BEEN DIVERTED TO ANY OTHER ACTIVITY.

DATES OF REPORTS RECEIVED FROM GRANTEE:

VERIFICATION REPORT RECEIVED JANUARY 29, 2016.

**DATES AND RESULTS OF ANY VERIFICATION
OF THE GRANTEE'S REPORTS:**

THE \$15,000.00 GRANT TO INTO UNIVERSITY HAS BEEN UTILIZED EFFECTIVELY.
NO FURTHER INDEPENDENT VERIFICATION WAS NECESSARY.

THE NEUBERGER BERMAN
EIN: 31-1736689
PART VII-A, LINE 3 SUPPLEMENTAL ATTACHMENT

**AMENDED AND RESTATED BY-LAWS
OF
THE NEUBERGER BERMAN FOUNDATION**

Effective Date [June 1, 2009]

Amended Date [October 27, 2015]

STATEMENT A: PART VII-A, LINE 3 SUPPLEMENTAL
ATTACHMENT

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THE NEUBERGER BERMAN

EIN: 31-1736689

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AMENDED AND RESTATED BY-LAWS
OF
THE NEUBERGER BERMAN FOUNDATION

ARTICLE I

PURPOSE AND POWER

The Corporation shall have the purposes and powers as set forth in the Certificate of Incorporation of the Corporation and such other powers as are now or may be granted hereinafter by law but subject to the restrictions and limitations set forth in the Certificate of Incorporation.

ARTICLE II

MEMBERSHIP

Section 2.01 Membership. The Corporation shall have no members.

ARTICLE III

BOARD OF DIRECTORS

Section 3.01 Powers and Number. The Board of Directors shall have general power to control and manage the affairs and property of the Corporation in accordance with the purposes and limitations set forth in the Certificate of Incorporation. For a period of three (3) years from [June 1, 2009] (the "Effective Date") until May 31, 2012 (the "Transition Period"), the Board of Directors shall consist of seven (7) Directors, and thereafter of such number of persons as determined from time to time by the Board of Directors, but in no event shall the entire Board consist of less than one (1) Director or more than twenty (20) Directors. Each Director shall be at least eighteen (18) years of age.

Section 3.02 Appointment and Term of Office. (a) During the Transition Period, four (4) of the seven (7) Directors (the "Transition Directors") shall be such persons who shall be appointed by a majority of the individuals identified in Exhibit A to these By-laws (the "Transition Electors") and the remaining three (3) Directors (the "NB Directors") shall be such persons who shall be appointed from time to time by Neuberger Berman Group LLC (together with its successors and assigns, "NBLLC"). The Transition Electors may act by a vote taken at a meeting or without a meeting if all Transition Electors consent in writing to the adoption of a resolution authorizing the action. The Transition Directors shall serve during the Transition Period until their successors are elected by the Transition Electors or until their earlier death,

resignation or removal by the Transition Electors. Without limiting the foregoing, the terms of all Transition Directors shall terminate as of the end of the Transition Period. The NB Directors shall serve during the Transition Period until their successors are elected by NBLLC or until their earlier death, resignation or removal by NBLLC.

(b) Upon the expiration of the Transition Period, NBLLC shall have the authority to appoint all Directors of the Corporation. Directors shall hold office for one year terms or until their earlier death, resignation or removal, provided, however, that any Director elected to fill an unexpired term (whether resulting from the death, resignation or removal or created by an increase in the number of Directors) shall hold office until the next annual meeting of the Board. Directors may be elected to any number of consecutive terms.

Section 3.03 Removal. During the Transition Period, (i) the Transition Directors may be removed at any time with or without cause solely by action of a majority of the Transition Electors and (ii) the NB Directors may be removed at any time with or without cause solely by action of NBLLC. Upon the expiration of the Transition Period, any Director may be removed at any time with or without cause by action of NBLLC.

Section 3.04 Resignation. Any Director may resign from office at any time. Such resignation shall be made in writing, and shall take effect at the time specified therein, and if no time be specified, at the time of its receipt by the Corporation or its Chairperson. The acceptance of a resignation by the Board of Directors shall not be necessary to make it effective, but no resignations shall discharge any accrued obligation or duty of a Director.

Section 3.05 Vacancies and Newly Created Directorships. During the Transition Period, any vacancies on the Board of Directors caused by the death, resignation, removal or otherwise of (i) a Transition Director shall be filled by a majority of the Transition Electors and (ii) a NB Director shall be filled by NBLLC. During the Transition Period, no new Directorships shall be created without the unanimous approval of the entire Board of Directors then in office. Upon the expiration of the Transition Period, any newly created Directorships and any vacancies on the Board of Directors arising at any time and from any cause shall be filled by NBLLC.

Section 3.06 Meetings. Meetings of the Board may be held at any place within or without the State of Delaware as the Board may from time to time fix, or as shall be specified in the notice or waivers of notice thereof. The annual meeting of the Board shall be held at a time and place fixed by the Board. Other regular meetings of the Board shall be held no less than three times during the year. Special meetings of the Board shall be held whenever called by a majority of the Board of Directors or the Chairperson, in each case at such time and place as shall be fixed by the person or persons calling the meeting; provided, however, that during the Transition Period, a majority of the NB Directors then in office may call a special meeting of the Board.

Section 3.07 Notice of Meetings. Meetings of the Board of Directors may be held without notice at such time and place as shall be designated by resolution of the Board of Directors. Notice of the time and place of each other regular or special meeting of the Board shall be mailed to each Director postage prepaid, addressed to him or her at his or her residence

or usual place of business (or at such other address as he or she may have designated in a written request filed with the Secretary), at least seven days before the day on which the meeting is to be held; provided, however, that notice of special meetings to discuss matters requiring prompt action may be sent to him or her at such address by facsimile or given personally or by telephone, no less than forty-eight hours before the time at which such meeting is to be held, unless the meeting must be held within forty-eight hours. Notice of a meeting need not be given to any Director who submits a signed waiver of notice whether before or after the meeting, or who attends the meeting without protesting, prior thereto or at its commencement, the lack of notice to him or her. No notice need be given of any adjourned meeting

Section 3.08 Business Transacted at Meetings. Any business may be transacted and any corporate action may be taken at any regular or special meeting of the Board of Directors at which a quorum shall be present, whether such business or proposed action be stated in the notice of such meeting or not, unless special notice of such business or proposed action shall be required by law.

Section 3.09 Quorum and Voting. Unless a greater proportion is required by law or pursuant to these By-laws, a majority of the entire Board of Directors then in office shall constitute a quorum for the transaction of business or of any specified item of business. Except as otherwise provided by statute or by these By-laws, the vote of a majority of the Directors present at the time of the vote, if a quorum is present at such time, shall be the act of the Board. If at any meeting of the Board there shall be less than a quorum present, the Directors present may adjourn the meeting until a quorum is obtained.

Section 3.10 Action by the Board. Any action required or permitted to be taken by the Board or by any committee thereof may be taken without a meeting if all members of the Board or the committee consent in writing to the adoption of a resolution authorizing the action. The resolution and the written consents thereto by the members of the Board or committee shall be filed with the minutes of the proceedings of the Board or committee. Any one or more members of the Board or any committee thereof may participate in a meeting of the Board or committee by means of a conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other at the same time. Participation by such means shall constitute presence in person at a meeting.

Section 3.11 Compensation and Reimbursement. Any Director of the Corporation is authorized to receive a reasonable salary or other reasonable compensation for services rendered to the Corporation and reimbursement for expenses actually and reasonably incurred in the performance of their duties. The Board of Directors may establish by resolution reasonable compensation of all directors for services to the Corporation as directors, including a fixed fee, if any, incurred in attending each meeting.

Section 3.12 Grant Making. (a) The Board of Directors shall disburse the long-term commitments in the amount of approximately \$11 million as described in Exhibit B to these By-laws (the "Long-Term Commitments") in accordance with the schedule set forth in Exhibit B. At the discretion of the Board of Directors, all Long-Term Commitments disbursed on or after the Effective Date shall be made in the name of "The Neuberger Berman Foundation", unless impractical.

(b) Notwithstanding the provisions of Section 3.12(a) to the contrary, the Board of Directors shall not disburse any Long-Term Commitment if (i) the designated grant recipient of such Long-Term Commitment is dissolved or otherwise no longer in existence, (ii) the designated grant recipient of such Long-Term Commitment willfully violates the terms of the commitment, (iii) the disbursement of such Long-Term Commitment would be unlawful, (iv) the disbursement of such Long-Term Commitment would subject the Corporation or its directors, officers, or any of their affiliates to excise taxes under Chapter 42 of the Internal Revenue Code, or the corresponding provision of any future United States Internal Revenue law, or (v) due diligence discloses information about the designated grant recipient of a Long-Term Commitment that is materially inconsistent with the information available to the Directors at the time the commitment was originally approved (any such Long-Term Commitments not disbursed pursuant to clauses (i), (ii), (iii), (iv) or (v) being referred to herein as “Released Long-Term Commitments”).

(c) During the Transition Period all disbursements of grants not described in Section 3.12(a) above, including the disbursement of all Released Long-Term Commitments, shall be approved by a majority of the Board of Directors, which majority shall include at least two (2) of the NB Directors. Notwithstanding the foregoing, the Corporation shall hold a reserve in the amount of \$5.5 million (the “Reserve Amount”), and disbursements from such Reserve Amount shall only be made, (i) during the Transition Period, if a majority of the entire Board of Directors then in office, including at least two (2) of the NB Directors, shall determine that it is prudent to disburse such funds and (ii) after the expiration of the Transition Period, if a majority of the entire Board of Directors then in office shall determine that it is prudent to disburse such funds.

(d) Upon the expiration of the Transition Period, all disbursements of grants shall be approved by a majority of the Board of Directors.

ARTICLE IV

OFFICERS, EMPLOYEES AND AGENTS

Section 4.01 Officers. The officers of the Corporation shall be a Chairperson, a President, one or more Vice Presidents, a Secretary and a Treasurer, and may include such other officers (including but not limited to one or more Assistant Secretaries and/or Assistant Treasurers) as the Board of Directors or a duly empowered committee thereof may from time to time appoint, which officers shall have such powers and perform such duties as may be assigned to them by these By-laws or by the Board of Directors or the committee appointing them. One person may hold more than one office in the Corporation except that no one person may hold the offices of Chairperson or President and Secretary. The Chairperson shall be a Director of the Corporation. The other officers may, but need not, be Directors of the Corporation. No instrument required to be signed by more than one officer may be signed by one person in more than one capacity.

Section 4.02 Election and Term of Office and Removal. The officers of the Corporation shall be elected for a one year term at the annual meeting of the Board of Directors

and each shall continue in office until his or her successor shall have been elected and qualified, or until his or her death, resignation or removal.

Section 4.03 Other Agents and Employees. The Board of Directors may from time to time appoint such agents and employees as it shall deem necessary, each of whom shall hold such position during the pleasure of the Board of Directors, and shall have such authority, perform such duties and receive such reasonable compensation, if any, as the Board of Directors may from time to time determine. No such other agent or employee need be a Director of the Corporation. To the full extent allowed by law, the Board of Directors may delegate to any employee or agent any powers possessed by the Board of Directors and may prescribe their respective title, terms, authorities and duties.

Section 4.04 Removal. Any officer, employee or agent of the Corporation may be removed with or without cause by the affirmative vote of a majority of the Board of Directors.

Section 4.05 Vacancies In case of any vacancy in any office, a successor to fill the unexpired portion of the term may be elected by the Board of Directors.

Section 4.06 Chairperson. Powers and Duties. The Chairperson shall preside at all meetings of the Board of Directors. The Chairperson shall have general supervision over the affairs of the Corporation, and shall keep the Board of Directors fully informed about the activities of the Corporation. The Chairperson shall perform all the duties incident to the office of the Chairperson, and shall perform such other duties as from time to time may be assigned by the Board of Directors.

Section 4.07 President: Powers and Duties. The President shall be the Chief Executive Officer of the Corporation, shall have responsibility for the general direction of the business affairs and property of the Corporation, and supervision of its several officers, and shall have and exercise all such powers and discharge such duties as usually pertain to the office of President, in all cases subject to the control of the Board of Directors. The President shall perform such other duties incidental to his or her office as the Board may from time to time direct. In the absence of the Chairperson, the President shall perform the Chairperson's duties, but shall have no vote on the Board of Directors, except to the extent he or she is otherwise a director. The President shall have the authority to approve individual grants of up to \$25,000 (each a "Discretionary Grant"). The total aggregate amount of Discretionary Grants shall not exceed (i) \$200,000 between regular meetings of the Board, and (ii) \$800,000 in any calendar year, in each case unless a majority of the Board approves such Discretionary Grants in writing, including, during the Transition Period, at least one NB Director¹.

Section 4.08 Vice President(s): Powers and Duties. In the absence of the President or in the event of his or her inability or refusal to act, any Vice President(s) so designated by the Board of Directors shall perform the duties of the President and, when so acting, shall have all the powers of and be subject to all the restrictions upon the President. Any

¹ Amended by Board of Directors on October 27, 2015

Vice President shall perform such other duties as from time to time may be assigned to him or her by the President or by the Board of Directors.

Section 4.09 Secretary: Powers and Duties The Secretary shall attend all meetings of the Board of Directors and any committee thereof and shall record all votes and keep the minutes of such meetings in books provided for that purpose. He or she shall be responsible for the giving and serving of all required notices of meetings of the Board of Directors of the Corporation, shall perform all the duties customarily incident to the office of Secretary, subject to the control of the Board of Directors, and shall perform such other duties as shall from time to time be assigned to him or her by the Board of Directors. The Secretary shall keep in safe custody the seal of the Corporation and affix it to any instrument when so authorized by the Board of Directors.

Section 4.10. Assistant Secretary. Any Assistant Secretary shall be empowered and authorized to perform all of the duties of the Secretary in the absence of the Secretary.

Section 4.11 Treasurer: Powers and Duties. The Treasurer shall keep or cause to be kept full and accurate accounts of receipts and disbursements of the Corporation and shall deposit or cause to be deposited all moneys, evidences of indebtedness and other valuable documents of the Corporation in the name and to the credit of the Corporation in such banks or depositories as the Board of Directors may designate. The Treasurer shall disburse the funds of the Corporation as may be ordered by the Board of Directors, taking proper vouchers for such disbursements. At the regular meetings and whenever else required by the Board of Directors, he or she shall render an account of all of the transactions effected by the Treasurer and of the financial condition of the Corporation. He or she shall at all reasonable times exhibit the Corporation's books and accounts to any officer or Director of the Corporation, shall perform all duties incident to the position of Treasurer, subject to the control of the Board of Directors, and shall, when required, give such security for the faithful performance of his or her duties as the Board of Directors may determine.

Section 4.12 Assistant Treasurer. Any Assistant Treasurer shall be empowered and authorized to perform all the duties of the Treasurer in the absence of the Treasurer.

Section 4.13 Compensation and Reimbursement. Any officer, employee or agent of the Corporation is authorized to receive a reasonable salary or other reasonable compensation for services rendered to the Corporation and reimbursement for expenses actually and reasonably incurred in the performance of their duties. The compensation of the officers, employees and agents shall be fixed by the Board of Directors or by any officer(s) or committee to whom such authority may be delegated by the Board of Directors.

ARTICLE V

COMMITTEES OF THE BOARD

Section 5.01 Executive Committee. The Board of Directors, by resolution passed by a majority of the entire Board of Directors then in office (including, during the

Transition Period, at least two (2) NB Directors), may designate one (1) or more directors to constitute an Executive Committee to hold office at the pleasure of the Board of Directors, which Committee shall, during the intervals between meetings of the Board of Directors, have and exercise all of the powers of the Board of Directors in the management of the business and affairs of the Corporation, subject only to such restrictions or limitations set forth in these Bylaws, such other restrictions or limitations as the Board of Directors may from time to time specify, and as limited by the Delaware General Corporation Law, and shall have power to authorize the seal of the Corporation to be affixed to all instruments which may require it. Any member of the Executive Committee may be removed at any time, with or without cause, by a resolution of a majority of the entire Board of Directors then in office. Any person ceasing to be a director shall ipso facto cease to be a member of the Executive Committee. Any vacancy in the Executive Committee occurring from any cause whatsoever may be filled from among the directors by a resolution of a majority of the entire Board of Directors then in office.

Section 5.02 Other Committees. The Board of Directors, by resolution passed by a majority of the entire Board of Directors then in office (including, during the Transition Period, at least two (2) NB Directors), or any committee duly appointed by the Board of Directors with such authority, may appoint other committees, whose members are to be directors, and which committees shall exist for such time and have such powers and perform such duties as may from time to time be assigned to them by the Board of Directors or the committee appointing them. Any member of such a committee may be removed at any time, with or without cause, by the Board of Directors or the committee appointing such committee. Any vacancy in a committee occurring from any cause whatsoever may be filled by the Board of Directors or the committee appointing such committee. Any person ceasing to be a director shall ipso facto cease to be a member of any such committees.

Section 5.03 Resignation. Any member of a committee may resign at any time. Such resignation shall be made in writing and shall take effect at the time specified therein, or, if no time be specified, at the time of its receipt by the Chairman of the Board, or if none, by the President or the Secretary. The acceptance of a resignation shall not be necessary to make it effective unless so specified therein.

Section 5.04 Quorum. A majority of the members of a committee shall constitute a quorum. The act of a majority of the members of a committee present at any meeting at which a quorum is present shall be the act of such committee. The members of a committee shall act only as a committee, and the individual members thereof shall have no powers as such.

Section 5.05 Record of Proceedings. Each committee shall keep a record of its acts and proceedings, and shall report the same to the Board of Directors when and as required by the Board of Directors.

Section 5.06 Organization, Meetings, Notices. A committee may hold its meetings at the principal office of the Corporation, or at any other place upon which a majority of the committee may at any time agree. Each committee may make such rules as it may deem expedient for the regulation and carrying on of its meetings and proceedings. Unless otherwise ordered by the Executive Committee, any notice of a meeting of such Committee may be given

by the Secretary or by the Chairman of the Committee and shall be sufficiently given if mailed to each member at such member's residence or usual place of business at least five (5) days before the day on which the meeting is to be held, or, if sent to him at such place by telegraph, facsimile or cable, or delivered personally or by telephone, not later than 24 hours prior to the time at which the meeting is to be held.

Section 5.07. Compensation. The members of any committee are authorized to receive reasonable compensation for services rendered to the Corporation and reimbursement for expenses actually and reasonably incurred in the performance of their duties. The Board of Directors may establish by resolution reasonable compensation of such committee members for services to the Corporation as committee members, including a fixed fee, if any, incurred in attending each committee meeting.

ARTICLE VI

CONTRACTS, CHECKS, BANK ACCOUNTS AND INVESTMENTS

Section 6.01 Contracts, Checks, Drafts. Except as otherwise required by statute, the Certificate of Incorporation or these By-laws, any bills, notes, receipts, acceptances, endorsements, checks, releases, contracts or other instruments may be executed and delivered in the name and on behalf of the Corporation by such officer or officers (including any assistant officer or officers) of the Corporation as the Board of Directors or a duly authorized committee thereof may from time to time direct. Such authority may be general or confined to specific instances as the Board of Directors may determine. Unless authorized by the Board of Directors or expressly permitted by these By-laws, any officer or agent or employee shall not have any power or authority to bind the Corporation by any contract or engagement or to pledge its credit or to render it pecuniarily liable for any purpose or to any amount.

Section 6.02 Deposits. All funds of the Corporation shall be deposited from time to time to the credit of the Corporation in such bank or banks, trust companies or other depositories as persons authorized by the Board of Directors may select, and, for the purpose of such deposit, checks, drafts, and other orders for the payment of money which are payable to the order of the Corporation, may be endorsed for deposit, assigned and delivered by any officer of the Corporation, or by such agents of the Corporation, or by such person as the Board of Directors may authorize for that purpose.

Section 6.03 Investments. The funds of the Corporation may be retained in whole or in part in cash or be invested and reinvested from time to time in such property, real, personal or otherwise, including, but not limited to, stocks, bonds or other securities, as the Board of Directors may deem desirable.

ARTICLE VII

OFFICE AND BOOKS

Section 7.01 Office. The office of the Corporation shall be located at such place as the Board of Directors may from time to time determine.

Section 7.02 Books. There shall be kept at the office of the Corporation correct books of account of the activities and transactions of the Corporation, including a minute book, which shall contain a copy of the Certificate of Incorporation of the Corporation, a copy of these By-laws, and all minutes of meetings of the Board of Directors.

ARTICLE VIII

FISCAL YEAR

The fiscal year of the Corporation shall be such date as shall be fixed by resolution of the Board of Directors from time to time.

ARTICLE IX

AMENDMENTS

The Board of Directors shall have the power to make, rescind, alter, amend and repeal these By-laws. During the Transition Period, all such amendments to these By-laws or to the Company's certificate of incorporation must be unanimously approved by the entire Board of Directors then in office. Upon the expiration of the Transition Period, unless a higher percentage is required by law or by the Certificate of Incorporation as to any matter which is the subject of these By-laws, all such amendments must be approved by a majority of the entire Board of Directors then in office.

ARTICLE X

INDEMNIFICATION

THE CORPORATION SHALL INDEMNIFY EACH PERSON WHO SHALL SERVE AS A DIRECTOR OR OFFICER OF THIS CORPORATION OR WHO, WHILE HE IS A DIRECTOR OR OFFICER OF THIS CORPORATION, SHALL SERVE AS A DIRECTOR, OFFICER, PARTNER, TRUSTEE, FIDUCIARY, EMPLOYEE OR AGENT OF ANY OTHER CORPORATION AT THE REQUEST OF THIS CORPORATION, TO THE FULLEST EXTENT PERMITTED UNDER AND IN ACCORDANCE WITH THE LAWS OF THE STATE OF DELAWARE. The Corporation may indemnify, in the same manner and to the same extent, any employee or agent of the Corporation. The foregoing provision shall not limit or restrict in any way the power of the Corporation to indemnify or advance expenses and costs to any such person in any other way permitted by law or be deemed

exclusive of, or invalidate, any right to which any person seeking indemnification or advancement of expenses and costs may be entitled under any law, agreement, vote of disinterested directors or otherwise, both as to action in such person's capacity as an officer or director of the Corporation and as to action in any other capacity.

ARTICLE XI

MISCELLANEOUS

Section 11.01 Corporate Seal. The corporate seal shall be in such form as approved by the Board of Directors and may be altered at their pleasure. The corporate seal may be used by causing it or a facsimile thereof to be impressed, affixed or reproduced by the Secretary or Assistant Secretary.

Section 11.02 Notices. Except as otherwise expressly provided herein, any notice required by these By-laws to be given shall be sufficient if given by depositing the same in a post-office or letter box in a sealed wrapper with a first-class postage prepaid stamp thereon and addressed to the person entitled thereto at such person's business or home address, as the same appears upon the books of the Corporation, or by email or faxing the same to such person; and such notice shall be deemed to be given at the time it is so deposited, if mailed, and upon transmission, if emailed or faxed.

Section 11.03 Waiver of Notice. Any director may at any time, by writing, by telegraph, by fax or by cable, waive any notice required to be given under these By-laws, and if any director shall be present at any meeting, his presence shall constitute a waiver of such notice for such meeting, unless he protests his lack of notice by the end of the meeting.

* * *

Neuberger Berman Foundation EIN #: 31-1736689 Attachment D - U.S. Treasury Obligations Held at November 30, 2015	At December 1, 2014				At November 30, 2015			
	Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Fair Market Value	
	Security							
United States Treasury Notes rate 2.125% due 11/30/2014	60,000.00	08/15/13	20,000.00	20,497.72	-	-		
United States Treasury Notes rate 0.250% due 12/15/2014	65,000.00	08/28/14	65,000.00	65,038.30	-	-		
United States Treasury Notes rate 0.500% due 01/30/2015	30,000.00	02/02/15	30,000.00		-	-		
United States Treasury Notes rate 0.025% due 02/15/2015	60,000.00	05/01/14	60,000.00	60,079.89	-	-		
United States Treasury Notes rate 3.75% due 04/15/2015	60,000.00	08/15/13	50,000.00	50,078.29	-	-		
United States Treasury Notes rate .250% due 05/15/2015	60,000.00	08/23/13	50,000.00	49,910.33				
United States Treasury Notes rate .250% due 05/15/2015	35,000.00	02/26/15	35,000.00		-	-		
United States Treasury Notes rate 250% due 07/15/2015	20,000.00	06/24/14	20,000.00	20,018.82	-	-		
United States Treasury Notes rate 250% due 08/15/2015	40,000.00	04/16/15	40,000.00					
United States Treasury Notes rate 250% due 08/15/2015								
United States Treasury Notes rate 250% due 08/15/2015								
United States Treasury Notes rate 1.250% due 08/31/2015	60,000.00	08/15/13	45,000.00	45,824.57				
United States Treasury Notes rate 1.250% due 08/31/2015								
United States Treasury Notes rate 1.250% due 08/31/2015								
United States Treasury Notes rate 250% due 09/30/2015	100,000.00	05/15/15	100,000.00					
United States Treasury Notes rate .250% due 09/30/2015								
United States Treasury Notes rate 250% due 09/30/2015								
United States Treasury Notes rate 1.375% due 11/30/2015	25,000.00	08/31/15	25,000.00					
United States Treasury Notes rate 1.375% due 11/30/2015								

Neuberger Berman Foundation		At December 1, 2014		At November 30, 2015		
Security	Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Fair Market Value
United States Treasury Notes rate 1.375% due 11/30/2015						
United States Treasury Notes rate 375% due 02/15/2016	45,000.00	09/30/15	45,000.00	-		
United States Treasury Notes rate 375% due 02/15/2016	-		-	-		
United States Treasury Notes rate 375% due 02/15/2016	-		-	-		
United States Treasury Notes rate 250% due 04/15/2016	60,000.00	08/15/13	10,000.00	9,919.57		
United States Treasury Notes rate 250% due 04/15/2016	-		-	-		
United States Treasury Notes rate 250% due 04/15/2016	-		-	-	10,000.00	9,995.70
United States Treasury Notes rate .500% due 08/31/2016	55,000.00	09/02/14	55,000.00	54,995.89		
United States Treasury Notes rate 500% due 08/31/2016						
United States Treasury Notes rate .500% due 08/31/2016					35,000.00	34,997.38
United States Treasury Notes rate 375% due 10/31/2016	30,000.00	11/03/14	30,000.00	29,930.96		
United States Treasury Notes rate 375% due 10/31/2016						
United States Treasury Notes rate .375% due 10/31/2016					20,000.00	19,953.97
United States Treasury Notes rate 500% due 11/30/2016	55,000.00	12/01/14	55,000.00			
United States Treasury Notes rate 500% due 11/30/2016						
United States Treasury Notes rate 500% due 11/30/2016					45,000.00	44,991.36
United States Treasury Notes rate 625% due 12/15/2016	120,000.00	12/31/13	35,000.00	34,860.67	(460,000.00)	34,960.45
United States Treasury Notes rate 625% due 12/31/2016	45,000.00	12/30/14	45,000.00			
United States Treasury Notes rate .625% due 12/31/2016						
United States Treasury Notes rate 625% due 12/31/2016					35,000.00	34,963.20
United States Treasury Notes rate 750% due 01/15/2017	35,000.00	02/03/14	35,000.00	35,067.11		
United States Treasury Notes rate 0.750% due 01/15/2017						
United States Treasury Notes rate 750% due 01/15/2017					25,000.00	25,047.94
United States Treasury Notes rate 0.500% due 01/31/2017	30,000.00	01/30/15	30,000.00			
United States Treasury Notes rate 0.500% due 01/31/2017						
United States Treasury Notes rate 0.500% due 01/31/2017					20,000.00	20,013.35
United States Treasury Notes rate 0.500% due 02/28/2017	15,000.00	03/11/15	15,000.00			
United States Treasury Notes rate 0.500% due 02/28/2017						

Neuberger Berman Foundation EIN #: 31-1736689 Attachment D - U.S. Treasury Obligations Held at November 30, 2015		At December 1, 2014				At November 30, 2015			
		Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Fair Market Value	
United States Treasury Notes rate 0.500% due 02/28/2017		-		-		5,000 00	4,980.68	4,982.60	
United States Treasury Notes rate 0.625% due 07/31/2017		55,000 00	07/31/15	55,000 00					
United States Treasury Notes rate 0.625% due 07/31/2017									
United States Treasury Notes rate 0.625% due 07/31/2017									
United States Treasury Notes rate 0.625% due 07/31/2017									
United States Treasury Notes rate 0.625% due 07/31/2017									
United States Treasury Notes rate 0.625% due 07/31/2017									
United States Treasury Notes rate 0.625% due 08/31/2017		40,000 00	08/31/15	40,000 00					
United States Treasury Notes rate 0.625% due 08/31/2017									
United States Treasury Notes rate 0.625% due 08/31/2017									
United States Treasury Notes rate 0.625% due 08/31/2017						15,000 00	14,974.27	14,929.65	
United States Treasury Notes rate 0.625% due 09/30/2017		45,000 00	10/28/15	45,000 00					
United States Treasury Notes rate 0.625% due 09/30/2017									
United States Treasury Notes rate 0.625% due 09/30/2017									
United States Treasury Notes rate 0.625% due 09/30/2017						45,000 00	44,928.08	44,775 00	
United States Treasury Notes rate 0.75% due 10/31/2017		55,000 00	11/23/15	55,000 00					
United States Treasury Notes rate 0.75% due 10/31/2017									
United States Treasury Notes rate 0.75% due 10/31/2017									
United States Treasury Notes rate 0.75% due 10/31/2017						55,000 00	54,851.94	54,808.60	
United States Treasury Notes rate 1.00% due 02/15/2018									
United States Treasury Notes rate 1.00% due 02/15/2018									
United States Treasury Notes rate 1.00% due 02/15/2018		20,000 00	02/26/15	20,000 00					
United States Treasury Notes rate 0.750% due 04/15/2018		35,000 00	04/28/15	35,000 00					
United States Treasury Notes rate 0.750% due 04/15/2018									
United States Treasury Notes rate 0.750% due 04/15/2018									
United States Treasury Notes rate 0.750% due 04/15/2018									
United States Treasury Notes rate 1.00% due 05/15/2018		25,000 00	05/28/15	25,000 00					
United States Treasury Notes rate 1.00% due 05/15/2018									
United States Treasury Notes rate 1.00% due 05/15/2018									
United States Treasury Notes rate 1.00% due 05/15/2018									
United States Treasury Notes rate 1.125% due 06/15/2018		30,000 00	06/30/15	30,000 00					
United States Treasury Notes rate 1.125% due 06/15/2018		10,000 00	07/28/15	10,000 00					

		At December 1, 2014			At November 30, 2015			
		Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Fair Market Value
Neuberger Berman Foundation								
EIN #: 31-1736689								
Attachment D - U.S. Treasury Obligations								
Held at November 30, 2015								
	Security							
	United States Treasury Notes rate 1.125% due 06/15/2018							
	United States Treasury Notes rate 1.125% due 06/15/2018							
	United States Treasury Notes rate 1.125% due 06/15/2018							
	United States Treasury Notes rate 1.125% due 06/15/2018							
	United States Treasury Notes rate 1.00% due 09/15/2018	20,000.00	09/30/15	20,000.00				
	United States Treasury Notes rate 1.00% due 09/15/2018	-		-				
	United States Treasury Notes rate 1.00% due 09/15/2018	-		-				
	United States Treasury Notes rate 1.25% due 11/15/2018	45,000.00	11/30/15	45,000.00				
	United States Treasury Notes rate 1.25% due 11/15/2018	-		-				
	United States Treasury Notes rate 1.25% due 11/15/2018	-		-				
	Federal Home Loan Bank .380% due 02/19/2016	-	01/24/14	45,000.00	44,912.25	45,000.00	44,912.25	45,011.70
	Federal Home Loan Bank .625% due 12/28/2016	80,000.00	11/15/13	25,000.00	24,626.13	25,000.00	24,626.13	24,953.75
	Federal Home Loan Bank .625% due 10/26/2017	10,000.00	10/09/15	10,000.00				
	Federal Home Loan Bank .625% due 10/26/2017	-		-				
	Federal Home Loan Bank .625% due 10/26/2017	-		-				
	Federal Home Loan Mtg 0.875% due 05/24/2017	50,000.00	04/11/14	35,000.00	34,932.45	35,000.00	34,932.45	34,995.10
	FNMA rate 3.5% due 10/01/2025 AE6134	63,201.00	08/14/13	10,290.89	10,757.20	10,102.61	10,560.39	8,478.19
	Federal Home Loan Mtg 3.5% due 05/01/2026 G14828	25,988.00	08/14/13	20,218.00	21,078.84	20,218.00	21,078.84	16,771.51
	FNMA rate 4.00% due 05/01/2027 AL3909	90,000.00	09/17/13	55,381.57	58,557.37	54,290.51	57,403.74	44,552.08
	FNMA rate 3.0% due 09/01/2027 AP7539	35,542.00	08/14/13	25,167.31	25,788.64	24,871.92	25,485.96	21,832.39
	Federal Home Loan Mtg 3.0% due 07/01/2028 J24746	30,128.00	08/15/13	17,075.87	17,468.09	16,974.71	17,364.61	15,655.83
	Total US Government & Agency						635,855.11	611,364.48

Neuberger Berman Foundation EIN #: 31-1736689 Attachment D - Common Stock Held at November 30, 2015		Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Aggregate Cost Basis	Fair Market Value
Accenture PLC Ireland	50	03/23/12	50	3,153.00		50	3,153.00		
Accenture PLC Ireland	75	02/01/12	75	4,237.17		75	4,237.17		
Accenture PLC Ireland	25	03/12/12	25	1,491.00		25	1,491.00		
Accenture PLC Ireland	50	04/02/12	50	3,226.37		50	3,226.37		
Accenture PLC Ireland	100	11/05/14	100	8,102.14		100	8,102.14	20,209.68	32,166
Aercap Holdings	250	04/06/15	250			250	11,177.90		
Aercap Holdings	150	07/30/15	150			150	6,925.13	18,103.03	18,176
Alaska Air Group Inc	175	01/16/15				175	10,845.42		
Alaska Air Group Inc	75	06/01/15				75	4,970.99	15,816.41	19,933
American Airlines Group Inc.	550	12/26/13	550	14,476.28		550	14,476.28		
American Airlines Group Inc	100	05/05/14	100	3,514.53		100	3,514.53	17,990.81	26,819
Anadarko Petroleum Corp	50	04/11/12	50	3,918.16		50	3,918.16		
Anadarko Petroleum Corp	50	03/23/12	50	4,111.88		50	4,111.88		
Anadarko Petroleum Corp	65	07/22/10	65	2,919.15		65	2,919.15		
Anadarko Petroleum Corp	15	02/01/12	15	1,192.23		15	1,192.23		
Anadarko Petroleum Corp	70	03/12/12	70	5,911.74		70	5,911.74	18,053.16	14,975
Apache Corp	175	09/23/14	175	17,018.61		-	-		
Apache Corp	75	11/05/14	75	5,774.35		-	-		
Aon Corp	100	11/01/11	100	5,069.71		100	5,069.71		
Aon Corp	100	03/12/12	100	4,909.75		100	4,909.75		
Aon Corp	50	03/26/12	50	2,433.00		50	2,433.00		
Aon Corp	50	03/30/12	50	2,438.45		50	2,438.45		
Aon Corp	50	04/05/12	50	2,480.05		50	2,480.05	17,330.96	33,159
Apple Inc	125	07/27/15	125			125	15,637.03		
Apple Inc.						-	-	15,637.03	14,788
Assurant Inc.	200	08/28/15	200			200	14,443.32		
Assurant Inc						-	-	14,443.32	17,104
Bed Bath and Beyond Inc.	150	01/30/15				-	-		

Neuberger Berman Foundation EIN #: 31-1736689		Attachment D - Common Stock Held at November 30, 2015		Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Aggregate Cost Basis	Fair Market Value
Bed Bath and Beyond Inc		50	06/01/15								
Cabot Oil****		700	03/12/12	700	11,852.37						
Cabot Oil****		100	03/23/12	100	1,663.66						
Carpenter Technology Corp		75	03/26/12	75	3,894.39						
Carpenter Technology Corp		50	03/30/12	50	2,618.00						
Carpenter Technology Corp		90	08/09/10	90	3,227.40						
Carpenter Technology Corp		35	09/15/10	35	1,185.45						
Carpenter Technology Corp		100	04/12/13	100	4,754.32						
CDW Corporation		400	06/01/15					400	14,798.00	14,798.00	17,268
Cisco		850	03/22/12	850	17,083.64			850	17,083.64		
Cisco		150	11/05/14	150	3,663.39			150	3,663.39	20,747.03	27,250
Citigroup		300	07/30/15	300				300	17,602.11		
Citigroup										17,602.11	16,227
Comcast Corp		150	10/05/11	150	3,170.64			150	3,170.64		
Comcast Corp		100	04/02/12	100	2,960.74			100	2,960.74		
Comcast Corp		100	03/26/12	100	2,943.76			100	2,943.76		
Comcast Corp		50	04/05/12	50	1,472.40			50	1,472.40	10,547.54	24,416
Delta		600	04/10/13	200	2,809.00			200	2,809.00		
Delta		400	04/12/13	400	5,944.16			400	5,944.16	8,753.16	27,876
Devon Energy Corporation		250	04/06/15								
DR Horton Inc		500	04/06/15					500	14,365.60	14,365.60	16,155
EMC		450	04/02/12	450	13,415.40						
EMC		50	04/05/12	50	1,487.00						
EMC		150	04/12/13	150	3,468.84						
EMC		200	06/28/13	200	4,735.20						
EOG Resources		200	10/30/15	200				200	16,481.80		
EOG Resources										16,481.80	16,686

Neuberger Berman Foundation EIN #: 31-1736689		Attachment D - Common Stock Held at November 30, 2015		Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Aggregate Cost Basis	Fair Market Value
Express Scripts Holdings Co		150	04/06/15						12,763.02		
Express Scripts Holdings Co									-	12,763.02	12,822
FedEx Corp		75	09/10/15	75				75	11,147.87		
FedEx Corp.									-	11,147.87	11,891
Golar LNG Partner LP		175	03/27/12	175			6,393.24				
Golar LNG Partner LP		125	11/08/11	125			3,541.69				
Golar LNG Partner LP		50	12/15/11	50			1,474.00				
International Paper Co		300	04/10/13	150			6,970.79				
International Paper Co		100	06/28/13	100			4,129.24				
Lincoln National Corp-Ind		300	10/31/13	300			13,319.10		13,319.10		
Lincoln National Corp-Ind		100	07/30/15	100					5,714.73	19,033.83	21,996
Lyondell Basell Industries		150	06/01/15					150	15,295.26		
Lyondell Basell Industries		100	07/30/15	100				100	9,365.18	24,660.44	23,955
Metlife Inc		275	10/04/13	275			13,037.26				
Metlife Inc		75	10/31/13	75			3,634.67				
Microsoft Corp		400	07/25/13	400			12,729.72		12,729.72		
Microsoft Corp		100	11/05/14	100			4,681.41		4,681.41	17,411.13	27,175
Motorola Inc		250	06/01/15					250	14,936.38	14,936.38	17,945
Nestle		65	03/12/12	65			3,953.75				
Nestle		85	03/22/12	85			5,261.50				
Nestle		50	03/27/12	50			3,092.50				
Novartis AG-Sponsored ADR		50	03/30/12	50			2,771.00				
Novartis AG-Sponsored ADR		65	12/09/10	65			3,542.96				
Novartis AG-Sponsored ADR		85	03/22/12	85			4,706.44				

Neuberger Berman Foundation EIN #: 31-1736689															
Attachment D - Common Stock Held at November 30, 2015															
Security	Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Aggregate Cost Basis	Shares On Hand	Cost Basis	Aggregate Cost Basis	Fair Market Value				
Occidental Pete Corp	95	07/22/10	95	7,536.67	95	7,536.67		95	7,536.67						
Occidental Pete Corp	5	07/27/10	5	411.15	5	411.15		5	411.15						
Occidental Pete Corp	100	03/22/12	100	10,159.99	100	10,159.99		100	10,159.99						
Occidental Pete Corp	50	06/28/13	50	4,426.47	50	4,426.47		50	4,426.47	22,534.28					18,897
Oracle Corp	150	03/22/12	150	4,469.82	150	4,469.82		150	4,469.82						
Oracle Corp	150	04/11/12	150	4,409.21	150	4,409.21		150	4,409.21						
Oracle Corp	200	07/22/10	200	4,708.52	200	4,708.52		200	4,708.52	13,587.55					19,485
Packaging Corp Amer	350	04/02/12	350	10,284.40	350	10,284.40		350	10,284.40						
Packaging Corp Amer	50	04/18/12	50	1,444.00	50	1,444.00		50	1,444.00	8,790.00					20,397
Party City Holding Company Inc.	500	04/16/15													
Pfizer Inc	315	07/22/10	315	4,623.48	315	4,623.48		315	4,623.48						
Pfizer Inc	35	12/09/10	35	592.83	35	592.83		35	592.83						
Pfizer Inc	300	03/22/12	150	3,284.93	150	3,284.93		150	3,284.93						
Pfizer Inc	100	03/27/12	100	2,177.00	100	2,177.00		100	2,177.00						
Pfizer Inc	150	04/06/15			150	5,181.75		150	5,181.75	15,859.99					24,578
Pioneer Natural Resources Co	25	03/26/12	25	2,596.75	25	2,596.75		25	2,596.75						
Pioneer Natural Resources Co	125	07/22/10	125	7,387.49	125	7,387.49		125	7,387.49						
Pioneer Natural Resources Co	25		25	3,691.26	25	3,691.26		25	3,691.26	13,675.50					25,331
PNC	250	06/28/13	250	18,089.20	250	18,089.20		250	18,089.20	18,089.20					23,877
Qualcomm	200	06/27/12	200	11,107.92	200	11,107.92									
Qualcomm	50	04/12/13	50	3,299.44											
Range Resources Corp	200	07/23/13	200	15,452.28											
Sandisk Corp	175	07/23/14	175	16,589.58											
Sandisk Corp	75	06/01/15													
Sanofi	425	11/12/14	425	19,498.15											
Seedrill Limited SHS	250	07/22/10	250	5,174.62											

Neuberger Berman Foundation EIN #: 31-1736689													
Attachment D - Common Stock Held at November 30, 2015													
Security	Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Aggregate Cost Basis	Shares On Hand	Cost Basis	Aggregate Cost Basis	Fair Market Value		
Seadrill Limited SHS	50	06/28/13	50	1,962.73	-	-	-	-	-	-			
Seadrill Partners	500	04/11/13	500	13,753.35	-	-	-	-	-	-			
SPDR	400	06/28/13	400	11,600.00	400	11,600.00							
SPDR	100	11/05/14	100	3,111.99	100	3,111.99	14,711.99				18,175		
SPDR GOLD	1,960	03/22/13	1,960	305,779.60	1,960	305,779.60	305,779.60				199,763		
Teva Pharmaceuticals Industries	250	01/28/15			250	14,547.13							
Teva Pharmaceuticals Industries	50	07/30/15	50		50	3,486.25	18,033.38				18,879		
The Travelers Companies Inc	110	03/22/12	35	2,070.53	-	-							
The Travelers Companies Inc	50	03/26/12	50	2,926.50	10	585.30							
The Travelers Companies Inc	120	07/27/10	120	5,972.29	120	5,972.29							
The Travelers Companies Inc	20	08/09/10	20	1,011.00	20	1,011.00	7,568.59				17,186		
Tolls Brothers Inc	400	04/06/15			400	15,983.48	15,983.48				14,872		
United Continental	400	06/28/13	400	12,204.00	400	12,204.00							
United Continental	100	09/23/14	100	4,975.08	100	4,975.08	17,179.08				27,865		
Verizon Communications	100	03/23/12	100	3,958.75	100	3,958.75							
Verizon Communications	100	08/04/10	100	2,896.99	100	2,896.99	6,855.74				9,090		
Viacom Inc	75	01/12/12	75	3,496.08	-	-							
Viacom Inc	25	03/12/12	25	1,185.38	-	-							
Viacom Inc	100	03/22/12	50	2,414.64	-	-							
Viacom Inc	50	03/30/12	50	2,382.62	-	-							
Viacom Inc	100	08/09/12	100	4,821.50	-	-							
Wells Fargo	325	03/26/12	325	11,157.19	225	7,724.21							
Wells Fargo	75	03/29/12	75	2,571.58	75	2,571.58							
Wells Fargo	100	04/19/12	100	3,301.75	100	3,301.75	13,597.54				22,040		
Western Digital Corp	175	11/24/15	175		175	11,024.32							
Western Digital Corp					-	-	11,024.32				10,922		

Neuberger Berman Foundation EIN #: 31-1736689													
Attachment D - Common Stock Held at November 30, 2015													
Security	Shares On Hand	Purchase Date	Shares on Hand	Cost	Shares On Hand	Cost Basis	Aggregate Cost Basis	Fair Market Value					
Whiting Petroleum Corporation	15	10/05/11	15	532.65	-	-							
Whiting Petroleum Corporation	25	03/23/12	25	1,432.00	-	-							
Whiting Petroleum Corporation	50	03/26/12	50	2,835.50	-	-							
Whiting Petroleum Corporation	110	07/22/10	110	4,343.90	-	-							
Whiting Petroleum Corporation	100	06/28/13	100	4,507.37	-	-							
Total Equities							844,102.54	844,102.54	910,139.00				

Neuberger Berman Foundation EIN #: 31-1736689 Attachment D - Corporate Bonds Held at November 30, 2015		At December 1, 2014			At November 30, 2015		
		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value
Corporate Bonds		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value
Abbvie Inc.		5,000.00	05/05/15	5,000.00	5,000.00	4,994.90	5,000
Actavis PGD		10,000.00	03/03/15	10,000.00	-	-	
American Express		30,000.00	08/16/13	25,000.00	-	-	
American Honda		5,000.00	10/10/13	5,000.00	5,000.00	4,982.60	5,012
Apple, Inc.		5,000.00	05/06/15	5,000.00	5,000.00	4,996.55	4,998
AT&T Inc. - 1.40%		15,000.00	04/28/15	15,000.00			
AT&T Inc. - 1.40%					15,000.00	14,992.80	14,977
AT&T Inc. - 1.40%							
AT&T Inc - 1.70%		5,000.00	11/05/15	5,000.00			
AT&T Inc. - 1.70%							
AT&T Inc. - 1.70%		-		-	5,000.00	5,023.30	5,025
Bank of America		15,000.00	08/26/14	15,000.00	15,000.00	14,984.25	15,010
Berkshire Hathaway		20,000.00	08/15/13	15,000.00	15,000.00	14,992.05	15,031
BHP Billiton		10,000.00	08/19/13	10,000.00	-	-	
BP Capital Markets		15,000.00	08/19/13	15,000.00	-	-	
Caterpillar - 1.00% @ 11.25.16		10,000.00	11/26/13	10,000.00	10,000.00	9,992.10	10,020
Caterpillar - 1.25% @ 08.18.17		5,000.00	08/20/14	5,000.00	5,000.00	4,997.50	4,997
Cellegene Corp		5,000.00	08/12/15	5,000.00			

Neuberger Berman Foundation EIN #: 31-1736689		At December 1, 2014		At November 30, 2015			
Attachment D - Corporate Bonds Held at November 30, 2015		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value
Security							
Corporate Bonds							
Celegene Corp					5,000.00	4,999.70	5,017
Cisco Sys		5,000.00	09/30/14	5,000.00			
Cisco Sys		20,000.00	06/10/15	20,000.00			
Cisco Sys					15,000.00	14,997.45	15,091
Chevron Corp.		10,000.00	11/17/15	10,000.00			
Chevron Corp					10,000.00	10,000.00	10,016
Chevron Corp							
Citigroup		10,000.00	08/08/13	2,000.00	-	-	
Citigroup		15,000.00	11/25/14	15,000.00	15,000.00	14,997.00	15,007
ConocoPhillips		5,000.00	09/18/15	5,000.00			
ConocoPhillips		-		-	5,000.00	5,237.25	5,189
ConocoPhillips		-		-			
CVS Caremark Corp		5,000.00	12/05/13	5,000.00			
CVS Caremark Corp.		5,000.00	07/20/15	5,000.00			
CVS Caremark Corp.		10,000.00	10/08/15	10,000.00	15,000.00	15,085.90	15,084
Deere John Capital		20,000.00	10/11/13	20,000.00	20,000.00	19,974.60	20,061
Deutsche BK AG		15,000.00	05/30/14	15,000.00	-	-	
Direct TV		20,000.00	04/08/14	15,000.00			
Walt Disney		15,000.00	08/09/13	15,000.00	15,000.00	14,957.70	15,000
Enterprise Products Oper LLC - 1.25 % @ 08.13.15		5,000.00	08/06/13	5,000.00	-	-	

Neuberger Berman Foundation EIN #: 31-1736689		At December 1, 2014			At November 30, 2015		
Attachment D - Corporate Bonds Held at November 30, 2015		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value
Security							
Corporate Bonds							
Enterprise Products Oper LLC - 1.65% @ 05 07.18		5,000.00	05/04/15	5,000.00	5,000.00	4,994.05	4,935
Exelon Corp		5,000.00	06/08/15	5,000.00	5,000.00	4,996.60	4,986
GE Capital		40,000.00	08/06/13	30,000.00	30,000.00	30,147.90	30,150
Gilead Sciences Inc.		5,000.00	09/14/15	5,000.00			
Gilead Sciences Inc.		-		-	5,000.00	4,999.45	5,043
Gilead Sciences Inc.		-		-			
Goldman sachs - 1.60 %		15,000.00	08/08/13	15,000.00			
Goldman sachs - 1.60 %		-		-			
Goldman sachs - 1.60 %		-		-			
Goldman sachs - 2.9%		15,000.00	10/21/15	15,000.00			
Goldman sachs - 2.9%		-		-			
Goldman sachs - 2.9%		-		-	15,000.00	15,426.60	15,383
HP Corp		10,000.00	08/07/13	10,000.00			
JP Morgan Chase		35,000.00	02/18/14	35,000.00			
JP Morgan Chase							
JP Morgan Chase					30,000.00	29,985.00	30,010
Kinder Morgan		5,000.00	11/26/14	5,000.00			
Marathon Oil		10,000.00	08/16/13	10,000.00			
Mckesson Corp		5,000.00	03/10/14	5,000.00	5,000.00	5,000.00	4,987
Microsoft Corp		25,000.00	10/29/15	25,000.00			

Neuberger Berman Foundation EIN #: 31-1736689 Attachment D - Corporate Bonds Held at November 30, 2015		At December 1, 2014				At November 30, 2015			
		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value		
Corporate Bonds		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value		
Microsoft Corp					25,000.00	24,975.00	24,951		
Morgan Stanley		5,000.00	08/06/13	5,000.00	5,000.00	4,997.10	5,012		
Morgan Stanley		10,000.00	08/28/14	10,000.00	10,000.00	10,124.10	10,024		
MJFG Amers Holdings Corp.		5,000.00	02/05/15	5,000.00	5,000.00	4,996.65	4,969		
NBC Universal Media		15,000.00	08/19/13	15,000.00	-	-			
Oracle Corp		25,000.00	09/22/14	25,000.00	25,000.00	25,053.85	24,996		
Qualcomm Inc.		10,000.00	05/13/15	10,000.00	-	-			
RIO Tinto		10,000.00	08/08/13	10,000.00	-	-			
Shell International		5,000.00	11/10/15	5,000.00					
Shell International									
Shell International					5,000.00	4,991.55	4,992		
Thermo Fischer		5,000.00	12/11/13	5,000.00	5,000.00	4,997.05	4,990		
Time Warner		10,000.00	05/27/14	10,000.00	-	-			
Toyota Mtr Corp		15,000.00	08/28/14	15,000.00	15,000.00	14,987.55	15,003		
Transcanada Pipelines		15,000.00	08/09/13	15,000.00					
UnitedHealth Group		10,000.00	08/07/13	10,000.00	-	-			
UnitedHealth Group		5,000.00	07/23/15	5,000.00					
UnitedHealth Group					5,000.00	4,999.05	5,016		
Ventas Realty		5,000.00	09/26/13	5,000.00	-	-			

Neuberger Berman Foundation		At December 1, 2014		At November 30, 2015		
EIN #: 31-1736689		Shares	Purchase	Cost	Shares	Fair
Attachment D - Corporate Bonds		On Hand	Date		On Hand	Market Value
Held at November 30, 2015						
Security						
Corporate Bonds						
NB HIGH INCOME BD FD INSTL CL					31,430.40	259,615
NB INCOME FDS NEW FLOATING		-	03/15/12	-		
NB INCOME FDS NEW FLOATING		-	04/02/12	-		
NB INCOME FDS NEW FLOATING		-	05/02/12	-		
NB INCOME FDS NEW FLOATING		-	06/01/12	-		
NB INCOME FDS NEW FLOATING		-	07/02/12	-		
NB INCOME FDS NEW FLOATING		-	08/02/12	-		
NB INCOME FDS NEW FLOATING		-	09/02/12	-		
NB INCOME FDS NEW FLOATING		-	10/01/12	-		
NB INCOME FDS NEW FLOATING		-	11/01/12	-		
NB INCOME FDS NEW FLOATING		-	12/03/12	-		
NB INCOME FDS NEW FLOATING		-	01/02/13	-		
NB INCOME FDS NEW FLOATING		-	02/01/13	-		
NB INCOME FDS NEW FLOATING		-	03/01/13	-		
NB INCOME FDS NEW FLOATING		14,346.00	03/04/13	140,357.22		
NB INCOME FDS NEW FLOATING		313.05	04/01/13	3,233.85		
NB INCOME FDS NEW FLOATING		298.36	05/01/13	3,091.05		
NB INCOME FDS NEW FLOATING		325.47	06/14/13	3,358.82		
NB INCOME FDS NEW FLOATING		288.75	07/01/13	2,955.80		
NB INCOME FDS NEW FLOATING		32,476.33	07/24/13	334,831.00		
NB INCOME FDS NEW FLOATING		311.63	08/01/13	3,199.17		
NB INCOME FDS NEW FLOATING		387.89	09/03/13	3,968.13		
NB INCOME FDS NEW FLOATING		414.37	10/01/13	4,238.96		
NB INCOME FDS NEW FLOATING		421.08	11/01/13	4,332.89		
NB INCOME FDS NEW FLOATING		395.89	12/02/13	4,069.75		
NB INCOME FDS NEW FLOATING		434.44	01/02/14	4,470.39		
NB INCOME FDS NEW FLOATING		395.96	02/03/14	4,078.37		
NB INCOME FDS NEW FLOATING		380.91	03/03/14	3,915.80		
NB INCOME FDS NEW FLOATING		312.57	04/01/14	3,210.09		
NB INCOME FDS NEW FLOATING		155.94	05/01/14	1,596.78		
NB INCOME FDS NEW FLOATING		161.38	06/01/14	1,655.78		
NB INCOME FDS NEW FLOATING		156.08	07/01/14	1,602.95		
NB INCOME FDS NEW FLOATING		164.95	08/01/14	1,684.12		

Neuberger Berman Foundation EIN #: 31-1736689 Attachment D - Corporate Bonds Held at November 30, 2015		At December 1, 2014			At November 30, 2015		
Security	Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value	
Corporate Bonds							
NB INCOME FDS NEW FLOATING	164.60	09/01/14	1,680.57				
NB INCOME FDS NEW FLOATING	166.88	10/01/14	1,685.44				
NB INCOME FDS NEW FLOATING	172.15	11/03/14	1,740.45				
NB INCOME FDS NEW FLOATING	175.96	12/01/14					
NB INCOME FDS NEW FLOATING	178.72	01/02/15					
NB INCOME FDS NEW FLOATING	184.23	02/02/15					
NB INCOME FDS NEW FLOATING	163.45	03/02/15					
NB INCOME FDS NEW FLOATING	188.82	04/01/15					
NB INCOME FDS NEW FLOATING	162.10	05/01/15					
NB INCOME FDS NEW FLOATING	192.92	06/01/15					
NB INCOME FDS NEW FLOATING	175.29	07/01/15					
NB INCOME FDS NEW FLOATING	175.63	08/03/15					
NB INCOME FDS NEW FLOATING	178.16	09/01/15					
NB INCOME FDS NEW FLOATING	174.00	10/01/15					
NB INCOME FDS NEW FLOATING	181.88	11/02/15					
NB INCOME FDS NEW FLOATING	-			54,775.84	556,354.43	532,418	
NB INCOME FDS NEW EMERGING MARKETS	54,194.75	03/21/14	526,231.02				
NB INCOME FDS NEW EMERGING MARKETS	81.09	04/01/14	805.20				
NB INCOME FDS NEW EMERGING MARKETS	255.26	05/01/14	2,557.71				
NB INCOME FDS NEW EMERGING MARKETS	223.64	06/01/14	2,292.30				
NB INCOME FDS NEW EMERGING MARKETS	240.96	07/01/14	2,479.50				
NB INCOME FDS NEW EMERGING MARKETS	235.80	08/01/14	2,400.40				
NB INCOME FDS NEW EMERGING MARKETS	219.54	09/01/14	2,243.67				
NB INCOME FDS NEW EMERGING MARKETS	222.92	10/01/14	2,189.06				
NB INCOME FDS NEW EMERGING MARKETS	230.06	11/03/14	2,286.81				
NB INCOME FDS NEW EMERGING MARKETS	265.41	12/01/14					
NB INCOME FDS NEW EMERGING MARKETS	56.90	12/18/14					
NB INCOME FDS NEW EMERGING MARKETS	250.47	01/02/15					
NB INCOME FDS NEW EMERGING MARKETS	246.63	02/02/15					
NB INCOME FDS NEW EMERGING MARKETS	221.91	03/02/15					
NB INCOME FDS NEW EMERGING MARKETS	232.81	04/01/15					
NB INCOME FDS NEW EMERGING MARKETS	255.64	05/01/15					
NB INCOME FDS NEW EMERGING MARKETS	265.47	06/01/15					

Neuberger Berman Foundation		At December 1, 2014		At November 30, 2015	
EIN #: 31-1736689		Shares	Purchase	Shares	
Attachment D - Corporate Bonds		On Hand	Date	On Hand	Cost Basis
Held at November 30, 2015					Market Value
Security					
Corporate Bonds					
NB INCOME FDS NEW EMERGING MARKETS		252.02	07/01/15		
NB INCOME FDS NEW EMERGING MARKETS		247.08	08/03/15		
NB INCOME FDS NEW EMERGING MARKETS		270.41	09/01/15		
NB INCOME FDS NEW EMERGING MARKETS		284.90	10/01/15		
NB INCOME FDS NEW EMERGING MARKETS		233.69	11/02/15	36,686.87	355,146.15
					304,868
Total Corporate Bonds					1,624,711.25
					1,513,790

Neuberger Berman Foundation		At December 1, 2014		At November 30, 2015	
EIN #: 31-1736689		Shares	Purchase	Shares	
Attachment D - Mutual Funds		On Hand	Date	On Hand	Fair
Held at November 30, 2015					Market Value
Security					
Mutual Funds					
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		357,243	09/25/14		4,608.44
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		353,764	12/16/14		-
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		353,313	12/16/14		-
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		2,003,159	12/16/14		-
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		401,036	03/25/15		-
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		428,664	06/24/15		-
N & B EQUITY FDS EQUITY INCOME FD INSTL CL		481,386	09/24/15	58,596	653,713.82
N & B EQUITY FD REAL ESTATE FD INSTL CL		7,480,180	07/19/10		74,727.00
N & B EQUITY FD REAL ESTATE FD INSTL CL		1,543,393	08/18/10		15,650.00
N & B EQUITY FD REAL ESTATE FD INSTL CL		47,742	09/30/10		508.93
N & B EQUITY FD REAL ESTATE FD INSTL CL		42,316	12/20/10		460.82
N & B EQUITY FD REAL ESTATE FD INSTL CL		45,881	03/25/11		534.06
N & B EQUITY FD REAL ESTATE FD INSTL CL		47,917	06/24/11		579.80
N & B EQUITY FD REAL ESTATE FD INSTL CL		52,936	09/26/11		561.65
N & B EQUITY FD REAL ESTATE FD INSTL CL		56,600	12/19/11		656.56
N & B EQUITY FD REAL ESTATE FD INSTL CL		8,153,500	03/15/12		105,914.00
N & B EQUITY FD REAL ESTATE FD INSTL CL		96,145	03/26/12		1,242.15
N & B EQUITY FD REAL ESTATE FD INSTL CL		82,737	06/25/12		1,083.86
N & B EQUITY FD REAL ESTATE FD INSTL CL		82,174	09/24/12		1,122.50
N & B EQUITY FD REAL ESTATE FD INSTL CL		2,153	12/17/12		28.37
N & B EQUITY FD REAL ESTATE FD INSTL CL		119,466	12/17/12		1,574.56
N & B EQUITY FD REAL ESTATE FD INSTL CL		325,571	12/17/12		4,291.03
N & B EQUITY FD REAL ESTATE FD INSTL CL		470,990	03/04/13		6,575.00
N & B EQUITY FD REAL ESTATE FD INSTL CL		86,321	03/25/13		1,208.50
N & B EQUITY FD REAL ESTATE FD INSTL CL		104,763	06/24/13		1,390.21
N & B EQUITY FD REAL ESTATE FD INSTL CL		93,786	09/24/13		1,269.87
N & B EQUITY FD REAL ESTATE FD INSTL CL - Per Period Adjustm		-			(263.43)
N & B EQUITY FD REAL ESTATE FD INSTL CL		42,894	12/17/13		530.17
N & B EQUITY FD REAL ESTATE FD INSTL CL		137,261	12/17/13		1,696.54
N & B EQUITY FD REAL ESTATE FD INSTL CL		1,033,435	12/17/13		12,773.26

Neuberger Berman Foundation EIN #: 31-1736689		At December 1, 2014		At November 30, 2015		
Attachment D - Mutual Funds Held at November 30, 2015		Shares On Hand	Purchase Date	Cost	Shares On Hand	Fair Market Value
Security						
Mutual Funds						
N & B EQUITY FD REAL ESTATE FD INSTL CL	100.968	03/25/14	1,343.88			
N & B EQUITY FD REAL ESTATE FD INSTL CL	112.322	06/24/14	1,601.71			
N & B EQUITY FD REAL ESTATE FD INSTL CL	117.192	09/25/14	1,620.77			
N & B EQUITY FD REAL ESTATE FD INSTL CL	169.184	12/16/14				
N & B EQUITY FD REAL ESTATE FD INSTL CL	27.912	12/16/14				
N & B EQUITY FD REAL ESTATE FD INSTL CL	793.085	12/16/14				
N & B EQUITY FD REAL ESTATE FD INSTL CL	103.250	03/25/15				
N & B EQUITY FD REAL ESTATE FD INSTL CL	136.885	06/24/15				
N & B EQUITY FD REAL ESTATE FD INSTL CL	139.232	09/24/15				
N & B EQUITY FD REAL ESTATE FD INSTL CL				21,848	258,361.18	321,168
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	9,660.892	07/16/10	48,376.81			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	2,316.367	08/11/10	37,363.00			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	2,432.091	08/16/10	38,500.00			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	90.192	12/19/11	1,217.59			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	1,150.760	03/15/12	18,297.00			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	65.932	12/17/12	1,072.05			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	19,974.540	03/04/13	337,370.00			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	188.362	12/17/13	3,087.25			
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	329.397	12/16/14				
N & B EQUITY FD EMERGING MKTS EQUITY FD INST	9,491.733	02/18/15				
NB ALTERNATIVE FDS LONG SHORT FD	40,284.360	03/15/12	372,016.78			
NB ALTERNATIVE FDS LONG SHORT FD	118.187	12/21/12	1,321.33			
NB ALTERNATIVE FDS LONG SHORT FD	218.357	12/21/12	2,441.23			
NB ALTERNATIVE FDS LONG SHORT FD	19.490	12/17/13	245.77			
NB ALTERNATIVE FDS LONG SHORT FD	150.837	12/17/13	1,902.05			
NB ALTERNATIVE FDS LONG SHORT FD	72.684	12/16/14				
NB ALTERNATIVE FDS LONG SHORT FD	128.510	12/16/14				
				45,700	645,425.59	572,702

Neuberger Berman Foundation EIN #: 31-1736689		At December 1, 2014				At November 30, 2015			
Attachment D - Mutual Funds Held at November 30, 2015		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value		
Security									
Mutual Funds									
NB EQUITY FDS SOCIALLY RESPONSIVE		20,430,906	03/15/12	503,232.72					
NB EQUITY FDS SOCIALLY RESPONSIVE		176,047	12/17/12	4,721.58					
NB EQUITY FDS SOCIALLY RESPONSIVE		81,599	12/17/13	2,747.43					
NB EQUITY FDS SOCIALLY RESPONSIVE		197,328	12/17/13	6,644.02					
NB EQUITY FDS SOCIALLY RESPONSIVE		1,156,279	12/17/13	38,931.93					
NB EQUITY FDS SOCIALLY RESPONSIVE		197,180	12/16/14						
NB EQUITY FDS SOCIALLY RESPONSIVE		73,330	12/16/14						
NB EQUITY FDS SOCIALLY RESPONSIVE		2,103,274	12/16/14						
NB EQUITY FDS SOCIALLY RESPONSIVE		4,443,815	02/18/15						
					28,860	792,941.87			949,558
NB EQUITY FDS GBL THEMATIC		22,478,070	03/15/12	205,000.00					
NB EQUITY FDS GBL THEMATIC		125,232	12/17/12	1,150.88					
NB EQUITY FDS GBL THEMATIC		22,273,050	02/28/13	214,044.00					
NB EQUITY FDS GBL THEMATIC		208,550	12/17/13	2,216.89					
NB EQUITY FDS GBL THEMATIC		335,846	12/16/14						
NB INTRINSIC VALUE CL		17,976,990	03/15/12	187,500.00					
NB INTRINSIC VALUE CL		300,015	12/17/12	3,153.16					
NB INTRINSIC VALUE CL		16,496,910	02/28/13	192,024.00					
NB INTRINSIC VALUE CL		70,304	12/17/13	970.19					
NB INTRINSIC VALUE CL		92,730	12/17/13	1,279.68					
NB INTRINSIC VALUE CL		1,263,200	12/17/13	17,432.16					
NB INTRINSIC VALUE CL		450,622	12/16/14						
NB INTRINSIC VALUE CL		1,680,299	12/16/14						
					38,331	431,084.01			561,167

Neuberger Berman Foundation EIN #: 31-1736689		At December 1, 2014			At November 30, 2015		
Attachment D - Mutual Funds Held at November 30, 2015		Shares On Hand	Purchase Date	Cost	Shares On Hand	Cost Basis	Fair Market Value
Security							
Mutual Funds							
NB GENESIS FD INSTITUTIONAL CLASS		3,877.893	07/16/10	106,905.84			
NB GENESIS FD INSTITUTIONAL CLASS		808.625	08/11/10	30,000.00			
NB GENESIS FD INSTITUTIONAL CLASS		65.624	12/19/11	2,987.19			
NB GENESIS FD INSTITUTIONAL CLASS		113.426	12/19/11	5,163.14			
NB GENESIS FD INSTITUTIONAL CLASS		0.720	12/17/12	34.61			
NB GENESIS FD INSTITUTIONAL CLASS		19.809	12/17/12	952.81			
NB GENESIS FD INSTITUTIONAL CLASS		164.641	12/17/12	7,919.24			
NB GENESIS FD INSTITUTIONAL CLASS		3,831.020	02/28/13	199,941.00			
NB GENESIS FD INSTITUTIONAL CLASS		0.452	12/17/13	27.22			
NB GENESIS FD INSTITUTIONAL CLASS		41.246	12/17/13	2,483.44			
NB GENESIS FD INSTITUTIONAL CLASS		581.194	12/17/13	34,993.71			
NB GENESIS FD INSTITUTIONAL CLASS		28.826	12/16/14				
NB GENESIS FD INSTITUTIONAL CLASS		753.511	12/16/14				
					10,287	434,225.50	548,316
NB ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL		19,579.140	07/19/13	209,301.00			
NB ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL		129.752	12/17/13	1,419.49			
NB ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL		44,948.470	03/21/14	500,725.96			
NB ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL		436.874	12/22/14				
NB ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL		1,407.035	12/22/14				
NB ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL		4.821	12/22/14				
					66,506	731,283.32	692,328
NB ALTERNATIVE FBS LONG SHORT MULT MANAGER		78,428.830	03/21/14	813,306.97			
NB ALTERNATIVE FBS LONG SHORT MULT MANAGER		116.163	12/16/14				
NB ALTERNATIVE FBS LONG SHORT MULT MANAGER		544.371	12/16/14				
					79,089	820,130.28	790,894
Total Mutual Funds						5,941,628.29	6,481,345