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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 12-01-2014 , and ending 11-30-2015

Name of foundation
ROBERT DAVID LION GARDINER
FOUNDATION INC

A Employer identification number
13-3354308

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
148 EAST MONTAUK HIGHWAY SUITE 1

B Telephone number (see instructions)
(631) 594-3990

City or town, state or province, country, and ZIP or foreign postal code
HAMPTON BAYS, NY 11946

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 84,810,625

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	43	43	43	
	4	Dividends and interest from securities	1,018,578	1,018,578	1,018,578	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	6,270,398			
	b	Gross sales price for all assets on line 6a 83,916,313				
	7	Capital gain net income (from Part IV, line 2)		3,512,505		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	11,682		11,682	
	12	**Total.** Add lines 1 through 11	7,300,701	4,531,126	1,030,303	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	203,461			83,461
	14	Other employee salaries and wages	180,096			180,096
	15	Pension plans, employee benefits	51,650			51,650
	16a	Legal fees (attach schedule)	126,396			126,396
	b	Accounting fees (attach schedule)	13,664			13,664
	c	Other professional fees (attach schedule)	4,655			4,655
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	101,559			1,500
	19	Depreciation (attach schedule) and depletion	632			
	20	Occupancy	23,085			23,085
	21	Travel, conferences, and meetings	29,266			30,243
	22	Printing and publications	864			204
	23	Other expenses (attach schedule)	824,882	789,876	789,876	35,006
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,560,210	789,876	789,876	549,960
	25	Contributions, gifts, grants paid	3,975,135			3,975,135
	26	**Total expenses and disbursements.** Add lines 24 and 25	5,535,345	789,876	789,876	4,525,095
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,765,356			
	b	**Net investment income** (if negative, enter -0-)		3,741,250		
	c	**Adjusted net income** (if negative, enter -0-)			240,427	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	13	13	13
	2	Savings and temporary cash investments	57,449	1,429,583	1,429,583
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	78,502,783	80,251,464	83,381,029
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,354,827		
	14	Land, buildings, and equipment basis ▶ 3,413			
		Less accumulated depreciation (attach schedule) ▶ 2,465	1,580	948	
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	79,916,652	81,682,008	84,810,625
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	79,916,652	81,682,008	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	79,916,652	81,682,008	
	31	**Total liabilities and net assets/fund balances** (see instructions)	79,916,652	81,682,008	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	79,916,652
2	Enter amount from Part I, line 27a	2	1,765,356
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	81,682,008
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	81,682,008

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,512,505
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	1,766,472	86,757,468	0 020361
2012	922,434	30,053,336	0 030693
2011	539,383	1,954,486	0 275972
2010	64,158	1,053,306	0 060911
2009	48,891	1,021,754	0 047850

2	**Total** of line 1, column (d)	2	0 435787
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 087157
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	86,869,743
5	Multiply line 4 by line 3	5	7,571,306
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	37,413
7	Add lines 5 and 6	7	7,608,719
8	Enter qualifying distributions from Part XII, line 4	8	4,525,095

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	74,825
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	74,825
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	74,825
6	Credits/Payments			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a 53,145		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	53,145
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	21,680
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW RDLGFOUNDATION ORG

14 The books are in care of ▶KATHY M CURRAN Telephone no ▶(631) 594-3990

Located at ▶148 EAST MONTAUK HWY SUITE 1 HAMPTON BAYS NY ZIP+4 ▶11946

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JENNIFER ATTONITO 780 NE 69TH STREET UNIT 305 MIAMI, FL 33138	EXECUTIVE DI 15 00	98,558		
TERRY TIRADO 1 STONY HOLLOW DRIVE RIDGE, NY 11961	ADMINISTRATI 40 00	81,538	2,169	
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
WILLKIE FARR GALLAGHER 787 SEVENTH AVENUE NEW YORK, NY 10019	ATTORNEY	126,396
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION'S DIRECT CHARITABLE ACTIVITIES CONSIST OF PROVIDING FOR SCHOLARSHIPS AND DONATIONS TO EDUCATIONAL FACILITIES & OTHER CHARITABLE ORGANIZATIONS TO EDUCATE AND PRESERVE THE HISTORY AND HISTORICAL ARTIFACTS OF LONG ISLAND, NEW YORK THE FOUNDATION'S PURPOSE ALSO INCLUDES EDUCATION AND INFORMATION TO THE GENERAL PUBLIC IN THE STATE OF NEW YORK, PARTICULARLY IN THE AREA OF THE TOWN OF ISLIP AND MORE GENERALLY IN SUFFOLK COUNTY, CONCERNING THE CULTURE, ART AND TRADITION OF THE LOCALITY, TO CULTIVATE, FOSTER AND PROMOTE INTEREST IN, AND UNDERSTANDING AND APPRECIATION OF THE SOCIETAL HERITAGE OF THE TOWN OF ISLIP, PARTICULARLY DURING THE NINETEENTH CENTURY, TO ENCOURAGE AND SPONSOR THE CREATION AND PERPETUATION BY EXISTING AND FUTURE HISTORICAL SOCIETIES OF COLLECTIONS AND REPOSITORIES OF THE DEPOSIT, COLLECTION AND EXAMINATION OF DOCUMENTS AND ARTIFACTS OF VARIOUS KINDS OF RELEVANT TO SUCH HERITAGE AND TRADITIONS, AND TO SPONSOR AND ENCOURAGE THE PRESERVATION, RESTORATION AND EX	3,975,135
2 THE FOUNDATION DOES NOT MAKE ANY PAYMENTS TO INDIVIDUAL BENEFICIARIES WITHIN THE MEANING OF REG SEC 53 4949(G)-2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	87,049,332
b	Average of monthly cash balances	1b	1,143,300
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	88,192,632
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	88,192,632
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,322,889
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	86,869,743
6	**Minimum investment return.** Enter 5% of line 5	6	4,343,487

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	4,343,487
2a	Tax on investment income for 2014 from Part VI, line 5	2a	74,825		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	74,825
3	Distributable amount before adjustments Subtract line 2c from line 1			3	4,268,662
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	4,268,662
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	4,268,662

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	4,525,095
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,525,095
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	4,525,095

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7					4,268,662
2 Undistributed income, if any, as of the end of 2014					
a Enter amount for 2013 only.				2,518,300	
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2014					
a From 2009.					
b From 2010.					
c From 2011.					
d From 2012.					
e From 2013.					
f Total of lines 3a through e.					
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 4,525,095					
a Applied to 2013, but not more than line 2a				2,518,300	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2014 distributable amount.					2,006,795
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					2,261,867
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .					
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2010. . . .					
b Excess from 2011. . . .					
c Excess from 2012. . . .					
d Excess from 2013. . . .					
e Excess from 2014. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

KATHRYN CURRAN
148 EAST MONTAUK HIGHWAY
HAMPTON BAYS, NY 11946
(631) 594-3990

b The form in which applications should be submitted and information and materials they should include

ALL APPLICANTS MUST COMPLETE THE MAIN APPLICATION FORM, PROPOSAL CHECKLIST AND PARTS A - E, PLUS ATTACHMENTS, AS WELL AS ANY ADDITIONAL FORMS THAT ARE APPLICABLE TO THE PROJECT THE APPLICATION FORMS CAN BE FOUND ON THE FOUNDATION'S WEBSITE

c Any submission deadlines

GRANTS ARE REVIEWED TWICE PER YEAR APPLICATIONS ARE DUE THE LAST FRIDAY IN APRIL, BY 5P M AND THE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE FOUNDATION GIVES GRANTS UP TO 500,000 AWARD AMOUNTS, AS WELL AS MATCHING LEVELS, VARY DEPENDING ON THE TYPE OF PROJECT THE DIFFERENT CATEGORIES AND FUNDING LIMITS CAN BE FOUND ON THE FOUNDATION'S WEBSITE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				3,975,135
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	43	
4 Dividends and interest from securities. . . .			14	1,018,578	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	3,512,505	2,757,893
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a PUBLICALLY TRADED PARTNERSHIP					11,682
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				4,531,126	2,769,575

13 Total. Add line 12, columns (b), (d), and (e). 13 7,300,701

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** 2016-04-05 ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JAMES DANOWSKI CPA		2016-04-05		P00036673

Firm's name ▶ CULLEN & DANOWSKI LLP

Firm's EIN ▶ 11-2459188

Firm's address ▶ 1650 ROUTE 112 PORT JEFFERSON STA, NY 117763060

Phone no (631) 473-3400

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JOSEPH ATTONITO 8 SARALINS WAY EAST QUOGUE,NY 11942	PRESIDENT/CH 5 00	30,000	0	0
ROBERT WATKINS 3025 VIA VIEJAS OESTE 3025 VIA VIEJAS ALPINE,CA 91901	VICE PRES /D 1 00	30,000	0	0
JAMES MAHONEY 7193 CRYSTAL LAKE DRIVE WEST PALM BEACH,FL 33411	TREASURER/DI 2 00	0	0	0
JUSTICE PETER FOX COHALAN 45 SUNSET DRIVE SAYVILLE,NY 11782	DIRECTOR 1 00	30,000	0	0
LYNNE C NOWICK 41 KING ARTHURS COURT ST JAMES,NY 11780	SECRETARY/DI 1 00	75,865	0	0
KATHRYN M CURRAN 44 DUNE ROAD RIVERHEAD,NY 11901	EXECUTIVE DI 40 00	37,596	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COLUMBIA UNIVERSITY 116TH ST BROADWAY NEW YORK, NY 10027			PROFESSORSHIP	1,600,000
NEW YORK UNIVERSITY 25 WEST FOURTH STREET SUITE 201A NEW YORK, NY 10012			EDUCATIONAL PROJECT FUNDING	310,000
STONY BROOK FOUNDATION 230 ADMINISTRATION STONY BROOK UNIVERSITY STONY BROOK, NY 11794			SCHOLARSHIP	300,000
SUFFOLK COUNTY HISTORICAL SOCIETY 300 W MAIN STREET RIVERHEAD, NY 11901			RESTORATION PROJECT FUNDING	227,000
KETCHAM INN FOUNDATION INC 81 MAIN ST PO BOX 626 CENTER MORICHES, NY 11934			RESTORATION PROJECT FUNDING	220,000
THE THOMAS MORAN TRUST INC 101 MAIN ST EAST HAMPTON, NY 11937			RESTORATION PROJECT FUNDING	200,000
SUFFOLK COUNTY VANDERBILT MUSEUM 180 LITTLE NECK RD CENTERPORT, NY 11721			RESTORATION PROJECT FUNDING	135,000
NATIONAL HERITAGE TRUST 625 BROADWAY ALBANY, NY 12207			RESTORATION PROJECT FUNDING	126,900
NATURE CONSERVANCY OF LONG ISLAND 142 ROUTE 114 PO BOX 5125 EAST HAMPTON, NY 11937			RESTORATION PROJECT FUNDING	100,000
PARRISH ART MUSEUM 279 MONTAUK HWY WATER MILL, NY 11976			RESTORATION PROJECT FUNDING	100,000
SAN DIEGO HISTORY CENTER 1649 EL PRADO SAN DIEGO, CA 92101			RESTORATION PROJECT FUNDING	100,000
WS COMMERDINGER JR COUNTY PARK 2 EDGEWODD AVE NESCONSET, NY 11767			RESTORATION PROJECT FUNDING	100,000
SYLVESTER MANOR EDUCATIONAL FARM 80 N FERRY ROAD SHELTER ISLAND, NY 11964			CARRIAGE PROJECT FUNDING	50,000
FRIENDS OF CONNETQUOT STATE PARK PO BOX 472 OAKDALE, NY 11769			RESTORATION PROJECT FUNDING	33,000
SHELTER ISLAND HISTORICAL SOCIETY 16 S FERRY ROAD PO BOX 847 SHELTER ISLAND, NY 11964			CAPITAL CAMPAIGN FUNDING	30,000
Total . . . . ▶ **3a**				3,975,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GENERAL MACARTHUR MEMORIAL FUND MACARTHUR SQUARE NORFOLK, VA 23510			RESTORATION PROJECT FUNDING	30,000
OYSTERPONDS HISTORICAL SOCIETY 1555 VILLAGE LN ORIENT, NY 11957			RESTORATION PROJECT FUNDING	28,300
HALLOCKVILLE MUSEUM FARM 6038 SOUND AVE RIVERHEAD, NY 11901			RESTORATION PROJECT FUNDING	26,400
STONY BROOK FOUNDATION 230 ADMINISTRATION STONY BROOK UNIVERSITY STONY BROOK, NY 11794			SCHOLARSHIP	25,000
SUFFOLK COUNTRY ARCHEOLOGICAL ASSOC PO BOX 1542 STONY BROOK, NY 11790			DOCUMENTARY PROJECT FUNDING	25,000
THE LONG ISLAND MUSEUM 1200 ROUTE 25A STONY BROOK, NY 11790			MUSEUM EXHIBITION FUNDING	25,000
CEMETERY PRESBYTERIAN CHURCH OF SOU MAIN ROAD SOUTHOLD, NY 11971			RESTORATION PROJECT FUNDING	22,750
WARD MELVILLE HERITAGE ORGANIZATION PO BOX 572 STONY BROOK, NY 11790			RESTORATION PROJECT FUNDING	22,500
HISTORICAL SOCIETY OF GREATER PORT 115 PROSPECT STREET PO BOX 586 PORT JEFFERSON, NY 11777			RESTORATION PROJECT FUNDING	22,000
CUTCHOGUE-NEW SUFFOLK HISTORICAL CO MAIN ROAD CUTCHOGUE, NY 11935			RESTORATION PROJECT FUNDING	16,500
WESTHAMPTON BEACH HISTORICAL SOCIET 101 MILL RD WESTHAMPTON BEACH, NY 11978			RESTORATION PROJECT FUNDING	15,000
CARMAN'S RIVER MARITIME CENTER INC 22 NEWEY LN BROOKHAVEN, NY 11719			RESTORATION PROJECT FUNDING	14,000
SOUTHAMPTON TOWN CLERK 116 NY-27A SOUTHAMPTON, NY 11968			ACTIVITY BOOK & BURYING GROUND FUNDI	12,290
BOHEMIA HISTORICAL SOCIETY PO BOX 67 BOHEMIA, NY 11716			RESTORATION PROJECT FUNDING	12,000
EASTVILLE COMMUNITY HISTORICAL SOCI 139 HAMPTON ST SAG HARBOR, NY 11963			RESTORATION PROJECT FUNDING	10,825
Total . . . . ▶ **3a**				3,975,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ISLIP ARTS COUNCIL 50 IRISH LN EAST ISLIP, NY 11730			RESTORATION PROJECT FUNDING	10,170
ROCKY POINT HISTORICAL SOCIETY PO BOX 1720 ROCKY POINT, NY 11778			RESTORATION PROJECT FUNDING	7,500
LONG ISLAND MARITIME MUSEUM 88 WEST AVENUE WEST SAYVILLE, NY 11976			RESTORATION PROJECT FUNDING	5,000
SMITHTOWN 350 FOUNDATION INC PO BOX 350 SMITHTOWN, NY 11787			SPONSOR	5,000
FRIENDS OF SCIENCE INC PO BOX 552 SHOREHAM, NY 11786			RESTORATION PROJECT FUNDING	3,800
HAMPTON BAYS LIONS CLUB 5E SQUIRES AVENUE EAST QUOGUE, NY 11942			FUNDRAISER CONTRIBUTION	3,000
MASTIC PENINSULA HISTORICAL SOCIETY PO BOX 333 MASTIC, NY 11950			RESTORATION PROJECT FUNDING	1,200
Total . . . . ▶ **3a**				3,975,135

TY 2014 Accounting Fees Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	13,664			13,664

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TELEPHONE SYSTEM	2011-09-22	1,170	1,170	200DB	7 0000				
LENOVO COMPUTER	2013-12-17	1,284	471	200DB	5 0000	325			
COMPUTER	2014-06-11	959	192	200DB	5 0000	307			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Gain/Loss from Sale of Other Assets Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ALPS/KOTAK INDIA GROWTH FUND I	2014-05	PURCHASE	2015-04		2,261,880	2,341,854			-79,974	
BLACKROCK BASIC VALUE FUND I	2014-03	PURCHASE	2015-01		3,249,984	3,634,313			-384,329	
BLACKROCK CORE BOND FUND PORTFOLIO F	2015-01	PURCHASE	2015-06		2,000,000	2,056,309			-56,309	
BLACKROCK CORE BOND FUND PORTFOLIO F	2015-01	PURCHASE	2015-10		1,951,728	1,993,599			-41,871	
BLACKROCK HEALTH SCIENCES	2015-02	PURCHASE	2015-10		1,925,549	2,000,000			-74,451	
BLACKSTONE GROUP	2015-05	PURCHASE	2015-08		384,443	422,298			-37,855	
BROOKFIELD GLOBAL LISTED INFRASTRUCT	2014-07	PURCHASE	2014-12		500,000	418,538			81,462	
BROOKFIELD GLOBAL LISTED INFRASTRUCT	2012-12	PURCHASE	2015-02		3,866,920	3,537,662			329,258	
CLOUGH CHINA I	2015-04	PURCHASE	2015-06		3,808,561	4,000,000			-191,439	
CLOUGH CHINA I	2015-06	PURCHASE	2015-07		1,710,676	2,000,000			-289,324	
COLUMBIA CONTRAIAN FUND CLASS Z	2013-03	PURCHASE	2015-10		1,000,000	896,381			103,619	
COLUMBIA CONTRARIAN CORE FUND Z	2013-03	PURCHASE	2015-10		750,000	697,532			52,468	
COLUMBIA STRATEGIC INCOME FUND Y	2014-08	PURCHASE	2015-01		1,178,411	1,243,301			-64,890	
DELAWARE HELATHCARE FUND I	2012-11	PURCHASE	2015-02		2,000,000	1,701,392			298,608	
DELAWARE HELATHCARE FUND I	2014-07	PURCHASE	2015-10		1,000,000	850,275			149,725	
DEUTSCHE GLOBAL INFRASTRUCTURE FUND	2013-03	PURCHASE	2015-03		658,788	518,377			140,411	
DREYFUS DYNAMIC TOTAL RETURN FUND	2014-11	PURCHASE	2015-08		2,819,809	2,750,000			69,809	
DREYFUS OPPORTUNISTIC MIDCAP VALUE F	2013-01	PURCHASE	2015-01		1,391,259	1,292,418			98,841	
GILEAD SCIENES INC	2014-08	PURCHASE	2015-04		616,401	619,381			-2,980	
GOLDMAN SACHS MLP ENERGY INFRAST	2014-01	PURCHASE	2015-02		2,142,580	2,115,430			27,150	
GOLDMAN SACHS RISING DIVIDEND	2012-10	PURCHASE	2015-07		1,292,416	956,767			335,649	
HI-CRUSH PARTNERS LP MLP	2014-10	PURCHASE	2014-12		322,822	467,218			-144,396	
HI-CRUSH PARTNERS LP MLP	2014-10	PURCHASE	2015-02		190,450	233,609			-43,159	
INVESCO EQUALLY WEIGHTED	2014-12	PURCHASE	2015-07		768,531	768,714			-183	
JANUS BLANCED CLASS I	2013-06	PURCHASE	2015-02		2,447,007	2,073,056			373,951	
JANUS CONSTRARIAN FUND I	2014-07	PURCHASE	2015-04		1,600,539	1,654,371			-53,832	
LOOMIS SAYLES STRATEGIC INCOME FUND	2014-06	PURCHASE	2015-01		1,000,047	1,045,906			-45,859	
MAGELLAN MIDSTREAM PARTNERS LP	2013-08	PURCHASE	2015-04		950,522	597,576			352,946	
MFS DIVERSIFIED INCOME FUND CLASS I	2012-10	PURCHASE	2015-10		1,000,000	996,020			3,980	
MFS EQUITY OPPORTUNITIES FUND I	2014-07	PURCHASE	2015-01		2,000,000	1,827,017			172,983	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MFS EQUITY OPPORTUNITIES FUND I	2013-10	PURCHASE	2015-08		1,000,000	865,517			134,483	
MFS GLOBAL EQUITY FUND I	2014-07	PURCHASE	2015-01		1,000,000	896,557			103,443	
MFS GROWTH FUND I	2014-07	PURCHASE	2015-01		1,000,000	877,339			122,661	
MFS MASSACHUSETTS INVESTORS TRUST CL	2013-06	PURCHASE	2015-02		1,000,000	852,384			147,616	
MFS UTILITIES FUND I	2011-06	PURCHASE	2015-03		4,306,662	3,785,129			521,533	
MFS VALUE FUND	2008-12	PURCHASE	2015-08		2,000,000	1,777,556			222,444	
MICRON TECHNOLOGY	2014-07	PURCHASE	2014-12		705,356	653,400			51,956	
MICRON TECHNOLOGY	2014-06	PURCHASE	2015-02		880,557	671,700			208,857	
NEUBERGER STRATEGIC INCOME	2014-10	PURCHASE	2015-08		1,989,935	2,067,676			-77,741	
NUVEEN SANTA BARBARA DIV GROWTH I	2012-05	PURCHASE	2015-05		1,500,000	1,137,641			362,359	
NUVEEN SANTA BARBARA DIV GROWTH I	2012-05	PURCHASE	2015-08		780,657	608,879			171,778	
PRICELINE GROUP INC	2015-10	PURCHASE	2015-11		377,993	411,339			-33,346	
PUTNAM CAPITAL SPECTRUM Y	2014-07	PURCHASE	2015-04		2,046,580	1,502,048			544,532	
SALIENT MLP & ENERGY INFRASTRUCTURE	2014-08	PURCHASE	2015-01		1,236,450	1,514,187			-277,737	
SANDISK CORP	2014-06	PURCHASE	2015-01		783,005	965,089			-182,084	
SPDR S&P BIOTECH	2013-04	PURCHASE	2015-10		579,219	540,849			38,370	
STERLING CAPITAL EQUITY INCOME FUND	2013-06	PURCHASE	2015-01		2,245,322	2,001,063			244,259	
TCW GALILEO T/R BOND FUND - INCOME	2009-05	PURCHASE	2015-04		118,925	107,995			10,930	
TORTOIS MLP & PIPELINE FUND CLASS IN	2014-04	PURCHASE	2015-02		1,000,000	1,043,521			-43,521	
TORTOIS MLP & PIPELINE FUND CLASS IN	2014-04	PURCHASE	2015-03		972,802	1,075,764			-102,962	
TOUCHSTONE SMALL CAP CORE FUND Y	2015-04	PURCHASE	2015-10		1,319,379	1,500,000			-180,621	
UNTS FT SABRIENT BAKER S DOZEN PORT	2015-02	PURCHASE	2015-11		1,837,662	2,011,801			-174,139	
UNTS FT SABRIENT FORWARD LOOKING VAL	2015-06	PURCHASE	2015-10		910,668	1,073,050			-162,382	
UNTS FT SABRIENT FORWARD LOOKING VAL	2015-06	PURCHASE	2015-11		804,541	926,949			-122,408	
WALT DISNEY CO	2015-05	PURCHASE	2015-09		516,341	549,790			-33,449	
WALT DISNEY CO	2015-05	PURCHASE	2015-10		534,669	549,790			-15,121	
WELLS FARGO DIVERSIFIED INCOME BUILD	2013-06	PURCHASE	2015-02		1,167,762	1,060,803			106,959	
WELLS FARGO INTERNATIONAL EQUITY FUN	2015-05	PURCHASE	2015-11		500,000	564,148			-64,148	
WELLS FARGO PREMIER LARGE CO GROWTH	2014-07	PURCHASE	2015-05		500,000	344,637			155,363	

TY 2014 Investments Corporate Stock Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYSTEMS INC	308,859	320,110
ALASKA AIR GROUP INC	393,459	398,650
ALPHABET INC	468,284	519,820
ALPS/KOTAK INDIA GROWTH		
ALTRIA GROUP INC	303,635	288,000
AMAZON.COM INC	340,200	398,880
AMERICAN CENTURY ALL CAP	500,000	498,321
BLACKROCK BASIC		
BROOKFIELD GLOBAL LISTED INFRAST		
CLEARBRIDGE AGGRESSIVE GROWTH	2,097,507	2,655,432
COLUMBIA CONTRARIAN CORE FUND	2,310,916	2,433,664
COLUMBIA GLOBAL TECHNOLOGY GROWTH	1,500,000	1,692,453
COLUMBIA STRATEGIC INCOME FUND		
CTRIP.COM INTL LTD	411,057	588,555
DELAWARE HEALTHCARE FUND	3,431,809	3,369,332
DELAWARE US GROWTH FUND	1,000,000	1,064,323
DELAWARE VALUE FUND INSTITUTIONAL CL	1,530,624	1,570,608
DEUTSCHE GLOBAL INFRASTRUCTURE FUND		
DOW CHEMICAL	511,086	521,300
DREYFUS DYNAMIC TOTAL RETURN		
DREYFUS OPPORTUNISTIC MIDCAP		
EATON VANCE WORLD WIDE HEALTH SCIENC	2,000,000	1,921,340
FACEBOOK INC	407,960	416,960
FRANKLIN BIOTECH	2,819,296	3,676,977
GENERAL ELECTRIC CO	296,045	299,400
GILEAD SCIENCES		
GOLDMAN SACHS MLP ENERGY INFRASTRUCT		
GOLDMAN SACHS RISING DIVIDEND		
GUGGENHEIM TOTAL RETURN BOND FUND	4,145,396	4,021,017
HOME DEPOT	1,383,169	1,606,560

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JANUS BALANCED		
JANUS CONTRARIAN FUND I		
JANUS FORTY FUND	3,500,000	3,744,893
JANUS GLOBAL LIFE SCIENCES	5,000,000	4,882,344
LOCKHEED MARTIN CORP	436,331	438,320
LONGBOARD MANAGED FUTURES STRATEGY	5,000,000	5,049,329
LOOMIS SAYLES STRATEGIC INCOME FUND		
LORD ABBETT GROWTH LEADERS	1,111,230	1,147,345
LOWES COMPANIES INC	218,212	229,800
MFS CORPORATE BOND FUND	4,113,274	3,952,927
MFS DIVERSIFIED INCOME FUND	1,996,711	1,934,006
MFS EQUITY OPPORTUNITY FUND	2,011,514	2,233,885
MFS GLOBAL EQUITY FUND	1,576,257	1,642,328
MFS GROWTH	3,271,344	3,389,425
MFS INTERNATIONAL GROWTH FUND	1,000,000	934,769
MFS MASSACHUSETTS INVESTOR	4,785,842	5,150,656
MFS UTILITIES FUND		
MFS VALUE FUND CLASS I	1,834,922	1,600,589
MICRON TECHNOLOGIES		
NEUBERGER BERMAN MULTI-CAP OPP FUND	1,750,000	1,743,454
NEUBERGER STRATEGIC INCOME		
NUVEEN SANTA BARBARA DIV GROWTH		
O'REILLY AUTOMOTIVE INC	1,679,244	1,847,090
PIONEER PIONEER FUNDAMENTAL GROWTH	1,039,979	1,037,252
PUTNAM CAPITAL SPECTRUM BOND FUND		
RAYTHEON CO	593,516	620,150
RS SMALL CAP GROWTH FUND	3,000,000	2,927,846
SALESFORCE.COM	234,224	239,070
SALIENT MLP & ENERGY INFRASTRUCTURE		
SANDISK		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SENTINEL INTERNALTIONAL EQUITY FUND	600,000	573,690
SERVICENOW INC	230,850	261,030
SPDR S&P BIOTECH		
STARBUCKS CORP	355,859	368,340
STERLING CAPITAL EQUITY INCOME		
STERLING CAPITAL SPECIAL OPPORTUNITI	2,048,902	2,123,788
TCW GALILEO T/R BOND FUND		
THE HARTFORD GROWTH OPPORTUNITIES	2,500,000	2,576,659
TORTOISE MLP & PIPELINE		
TRANSAMERICA CAPITAL GROWTH	1,500,000	1,491,132
WELLS FARGO ADVANTAGE LG CP CORE	1,005,886	1,109,414
WELLS FARGO INTERNATIONAL EQUITY	946,186	839,335
WELLS FARGO PREMIER LARGE COMPANY GR	751,879	1,030,461
WFA DIVERSIFIED INCOME BUILDER		

TY 2014 Investments - Other Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HI-CRUSH PARTNERS	AT COST		
MAGELLAN MIDSTREAM PARTNERS	AT COST		

TY 2014 Land, Etc. Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
EQUIPMENT	3,413	2,465	948	

TY 2014 Legal Fees Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT LEGAL FEES	126,396			126,396

TY 2014 Other Expenses Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	789,876	789,876	789,876	
INSURANCE	17,203			17,203
EQUIPMENT LEASE	6,397			7,685
CLEANING & MAINTENANCE	2,683			1,395
TELEPHONE	2,675			2,675
SUPPLIES	1,204			1,204
PRINTING & COPYING	1,051			1,051
UTILITIES	1,002			1,002
POSTAGE & MAILING	977			977
WORKERS COMP INSURANCE	838			838
COMPLIANCE	763			763
BOOKS AND SUBSCRIPTIONS	213			213

TY 2014 Other Income Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PUBLICALLY TRADED PARTNERSHIP	11,682		11,682

TY 2014 Other Professional Fees Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER CONTRACT SERVICES	4,655			4,655

TY 2014 Taxes Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	1,500			1,500
US FILING FEE	100,059			