



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning **NOV 1, 2014**, and ending **OCT 31, 2015**

Name of foundation

THE KELVIN & ELEANOR SMITH FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

30195 CHAGRIN BLVD.

Room/suite

275

City or town, state or province, country, and ZIP or foreign postal code

CLEVELAND, OH 44124

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 150,350,812.

J Accounting method:

☒

Cash

☐

Accrual

Other (specify) _____

(Part I, column (d) must be on cash basis)

A Employer identification number

34-6555349

B Telephone number

216-591-9111C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a **43,231,627.**

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees **STMT 3**b Accounting fees **STMT 4**c Other professional fees **STMT 5**

17 Interest

18 Taxes **STMT 6**

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses **STMT 7**

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

N/A

2,836,992.**2,836,992.****STATEMENT 1****8,785,495.****8,785,495.****RECEIVED****SEP 19 2015****OGDEN, UT****137,338.****100,275.****STATEMENT 2****11,759,825.****11,722,762.****234,375.****0.****234,375.****119,583.****0.****119,583.****30,790.****0.****30,790.****9,308.****0.****9,308.****22,360.****0.****22,360.****982,146.****952,146.****30,000.****286,635.****78,362.****20,273.****4,485.****0.****4,485.****2,386.****0.****2,386.****356,513.****323,969.****32,544.****2,048,581.****1,354,477.****506,104.****7,644,107.****7,644,107.****9,692,688.****1,354,477.****8,150,211.****2,067,137.****10,368,285.**

N/A

423501
11-24-14

LHA For Paperwork Reduction Act Notice, see instructions.

1

Form **990-PF** (2014)

17140912 138919 12862.0

2014.06020 THE KELVIN & ELEANOR SMIT 12862.01

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		7,230,383.	3,004,800.	3,004,800.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 8		82,407,536.	87,674,203.	101,858,543.
	c	Investments - corporate bonds STMT 9		14,516,769.	12,336,741.	12,342,525.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10		30,065,170.	29,150,111.	33,144,944.	
14	Land, buildings, and equipment; basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		134,219,858.	132,165,855.	150,350,812.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		134,219,858.	132,165,855.	
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		134,219,858.	132,165,855.		
31	Total liabilities and net assets/fund balances		134,219,858.	132,165,855.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	134,219,858.
2	Enter amount from Part I, line 27a	2	2,067,137.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	136,286,995.
5	Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	4,121,140.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	132,165,855.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	43,231,627.	34,446,132.	8,785,495.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			8,785,495.	
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	8,785,495.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	8,242,732.	155,452,901.	.053024
2012	7,830,723.	145,762,351.	.053723
2011	7,172,796.	136,410,448.	.052582
2010	7,464,074.	141,769,767.	.052649
2009	6,551,829.	127,370,454.	.051439
2 Total of line 1, column (d)			2 .263417
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .052683
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 152,629,889.
5 Multiply line 4 by line 3			5 8,041,000.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 103,683.
7 Add lines 5 and 6			7 8,144,683.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 8,150,211.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	103,683.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	103,683.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	103,683.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	156,699.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	156,699.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	53,016.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☒ 53,016. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>OH</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of PAMELA EICHENAUER Telephone no. 216-591-9111			
Located at 30195 CHAGRIN BLVD., CLEVELAND, OH		ZIP+4	44124	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		234,375.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAMELA EICHENAUER - 30195 CHAGRIN BLVD. SUITE 275, CLEVELAND, OH 44124	GRANTS MANAGER 40.00	119,583.	0.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CM WEALTH ADVISORS - 30195 CHAGRIN BLVD. SUITE 250, CLEVELAND, OH 44124	INVESTMENT COUNSEL	414,896.
BNY, EDGEWOOD MANAGEMENT - 535 MADISON AVE. 15TH FLOOR, NEW YORK, NY 10022	INVESTMENT COUNSEL	93,602.
BNY, DIAMOND HILL - 325 JOHN H. MCCONNELL BLVD. SUITE 200, COLUMBUS, OH 43215	INVESTMENT COUNSEL	77,296.
BNY MELLON N.A. P.O. BOX 223230, PITTSBURGH, PA 15251	INVESTMENT COUNSEL	67,068.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	151,132,613.
b	Average of monthly cash balances	1b	3,821,589.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	154,954,202.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	154,954,202.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,324,313.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	152,629,889.
6	Minimum investment return. Enter 5% of line 5	6	7,631,494.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,631,494.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	103,683.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	3,844.
c	Add lines 2a and 2b	2c	107,527.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,523,967.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,523,967.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,523,967.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,150,211.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,150,211.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	103,683.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,046,528.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				7,523,967.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	250,494.			
b From 2010	615,012.			
c From 2011	498,860.			
d From 2012	746,189.			
e From 2013	761,609.			
f Total of lines 3a through e	2,872,164.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 8,150,211.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				7,523,967.
e Remaining amount distributed out of corpus	626,244.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,498,408.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	250,494.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	3,247,914.			
10 Analysis of line 9:				
a Excess from 2010	615,012.			
b Excess from 2011	498,860.			
c Excess from 2012	746,189.			
d Excess from 2013	761,609.			
e Excess from 2014	626,244.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED (STMT.13)	N/A	PC	SEE ATTACHED	7,644,107.
Total			3a	7,644,107.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

19/13/16
Date

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
**CHRISTOPHER B.
ANDERSON**

Preparer's signature
Chris Sh...

Date
9/13/16

Check ☐ if
self-employed

PTIN

P00226559

Firm's name ► **MALONEY + NOVOTNY LLC**

Firm's EIN ▶ 34-0677006

Firm's address ► 1111 SUPERIOR AVE, SUITE 700
CLEVELAND, OH 44114-2540

Phone no. (216) 363-0100

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BNY ACCT. 80010002	P	VARIOUS	10/31/15
b	BNY ACCT. 80010002	P	VARIOUS	10/31/15
c	BNY ACCT. 80080002	P	VARIOUS	10/31/15
d	BNY ACCT. 80080002	P	VARIOUS	10/31/15
e	BNY ACCT. 80040002	P	VARIOUS	10/31/15
f	BNY ACCT. 80040002	P	VARIOUS	10/31/15
g	BNY ACCT. 80030002	P	VARIOUS	10/31/15
h	BNY ACCT. 80030002	P	VARIOUS	10/31/15
i	BNY ACCT. 80090002	P	VARIOUS	10/31/15
j	BNY ACCT. 80090002	P	VARIOUS	10/31/15
k	BNY ACCT. 80050002	P	VARIOUS	10/31/15
l	BNY ACCT. 80050002	P	VARIOUS	10/31/15
m	BNY ACCT. 80100002	P	VARIOUS	10/31/15
n	BNY ACCT. 80100002	P	VARIOUS	10/31/15
o	BNY ACCT. 80110002	P	VARIOUS	10/31/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,158,339.	1,035,268.	123,071.
b	1,559,221.	1,256,366.	302,855.
c	125,644.	124,642.	1,002.
d	620,400.	664,311.	<43,911.>
e	362,541.	398,652.	<36,111.>
f	2,053,531.	1,384,186.	669,345.
g	963,527.	1,019,273.	<55,746.>
h	3,818,313.	2,321,236.	1,497,077.
i	2,025,578.	1,911,390.	114,188.
j	1,847,063.	1,437,197.	409,866.
k	2,342,565.	2,339,259.	3,306.
l	7,153,839.	7,201,785.	<47,946.>
m	654,431.	794,093.	<139,662.>
n	1,796,432.	1,166,272.	630,160.
o	59,183.	55,361.	3,822.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			123,071.
b			302,855.
c			1,002.
d			<43,911.>
e			<36,111.>
f			669,345.
g			<55,746.>
h			1,497,077.
i			114,188.
j			409,866.
k			3,306.
l			<47,946.>
m			<139,662.>
n			630,160.
o			3,822.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BNY ACCT. 80110002	P	VARIOUS	10/31/15
b	BNY ACCT. 80120002	P	VARIOUS	10/31/15
c	BNY ACCT. 80120002	P	VARIOUS	10/31/15
d	BNY ACCT. 80130002	P	VARIOUS	10/31/15
e	BNY ACCT. 80130002	P	VARIOUS	10/31/15
f	BNY ACCT. 80150002	P	VARIOUS	10/31/15
g	BNY ACCT. 80150002	P	VARIOUS	10/31/15
h	ST LOSS FROM INVEST.PARTNERSHIPS	P	VARIOUS	10/31/15
i	LT GAIN FROM INVEST.PARTNERSHIPS	P	VARIOUS	10/31/15
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	874,466.	482,872.	391,594.
b	2,682,133.	2,612,510.	69,623.
c	2,424,576.	1,752,510.	672,066.
d	343,479.	286,744.	56,735.
e	1,117,008.	477,141.	639,867.
f	3,267,648.	3,171,567.	96,081.
g	3,521,512.	1,618,135.	1,903,377.
h		935,362.	<935,362.>
i	2,022,057.		2,022,057.
j	438,141.		438,141.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			391,594.
b			69,623.
c			672,066.
d			56,735.
e			639,867.
f			96,081.
g			1,903,377.
h			<935,362.>
i			2,022,057.
j			438,141.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	8,785,495.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	1,981,891.	438,141.	1,543,750.	1,543,750.	
INT.&DIV.FROM INVEST,P'SHIPS	842,109.	0.	842,109.	842,109.	
U.S.TREASURY, GNMA, AND CORP. INTEREST	451,133.	0.	451,133.	451,133.	
TO PART I, LINE 4	3,275,133.	438,141.	2,836,992.	2,836,992.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INCOME FROM PARTNERSHIP INVEST.OTHER THAN CAP.GAIN UNRELATED BUSINESS INC.FROM PARTNERSHIP INVEST.	100,275.	100,275.	
	37,063.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	137,338.	100,275.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,308.	0.		9,308.
TO FM 990-PF, PG 1, LN 16A	9,308.	0.		9,308.

FORM 990-PF	ACCOUNTING FEES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES & TAX PREP	22,360.	0.		22,360.
TO FORM 990-PF, PG 1, LN 16B	22,360.	0.		22,360.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	982,146.	952,146.		30,000.
TO FORM 990-PF, PG 1, LN 16C	982,146.	952,146.		30,000.

FORM 990-PF	TAXES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID-K-1'S	59,199.	59,199.		0.
FOREIGN TAXES				
PAID-INVESTMENTS	19,163.	19,163.		0.
PAYROLL TAXES	20,273.	0.		20,273.
FEDERAL EXCISE TAX	188,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	286,635.	78,362.		20,273.

FORM 990-PF	OTHER EXPENSES		STATEMENT 7	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GENERAL OFFICE EXPENSES	20,564.	0.		20,564.
OTHER EXPENSES FROM K-1'S	324,598.	323,969.		629.
CHARITABLE EXPENSES	11,351.	0.		11,351.
TO FORM 990-PF, PG 1, LN 23	356,513.	323,969.		32,544.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BNY MELLON WEALTH MANAGEMENT, CO-TRUSTEE	87,674,203.	101,858,543.
TOTAL TO FORM 990-PF, PART II, LINE 10B	87,674,203.	101,858,543.

FORM 990-PF	CORPORATE BONDS	STATEMENT 9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BNY MELLON WEALTH MANAGEMENT, CO-TRUSTEE	12,336,741.	12,342,525.
TOTAL TO FORM 990-PF, PART II, LINE 10C	12,336,741.	12,342,525.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VENTURE CAPITAL/PRIVATE EQUITY	COST	29,150,111.	33,144,944.
TOTAL TO FORM 990-PF, PART II, LINE 13		29,150,111.	33,144,944.



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL 31 OCTOBER 2015 2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 1
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
INVESTMENTS CASH EQUIVALENTS					
DREYFUS TAX EXEMPT CASH FD 264					
1 606% 12/31/2075 DD 04/09/97					
760,969 2500	K&E SMITH FDN - CASH	760,969 25	100 0000	760,969 25	0 00
124,926 0100	K&E SMITH FDN-PE	124,926 01	100 0000	124,926 01	0 00
192,898 6600	K&E SMITH FDN - DIAM	192,898 66	100 0000	192,898 66	0 00
152,172 5400	K&E SMITH FDN - D SM	152,172 54	100 0000	152,172 54	0 00
281,206 6700	K&E SMITH FDN - KENN	281,206 67	100 0000	281,206 67	0 00
245,643 0000	K&E SMITH FDN - RUTA	245,643 00	100 0000	245,643 00	0 00
446,400 2600	K&E SMITH FDN NEWSOU	446,400 26	100 0000	446,400 26	0 00
38,651 7600	K&E SMTH FDN-REAL AS	38,651 76	100 0000	38,651 76	0 00
121,679 0900	K&E SMITH FDN-DENT	121,679 09	100 0000	121,679 09	0 00
2,364,547 2400		2,364,547 24		2,364,547 24	0 00
TOTAL INVESTMENTS CASH EQUIVALENTS		2,364,547 24		2,364,547 24	0 00

INVESTMENTS FIXED INCOME SECURITIES					
FHLMC POOL #G0-1880					
5 000% 08/01/2035 DD 07/01/05					
120,622 3070	K&E SMITH FDN - RICH	126,502 75	109 9120	132,578 39	6,075 64
FHLMC POOL #G1-3240					
5 000% 08/01/2023 DD 07/01/08					
74,156 4800	K&E SMITH FDN - RICH	77,622 74	107 8410	79,971 09	2,348 35
FHLMC POOL #G1-3562					
4 500% 05/01/2024 DD 04/01/09					
35,787 2500	K&E SMITH FDN - RICH	36,047 65	107 1590	38,349 26	2,301 61



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23.16 09 RUN DATE 13-NOV-15
PAGE 2
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
93,101 4500	FHLMC POOL #G1-3833 4 500% 05/01/2025 DD 05/01/10 K&E SMITH FDN - RICH	95,094 39	108 2600	100,791 63	5,697 24
36,114.6500	FHLMC POOL #G1-1736 5 000% 04/01/2020 DD 06/01/05 K&E SMITH FDN - RICH	36,148 81	105 2120	37,996 95	1,848 14
213,864 9100	FHLMC POOL #C0-3920 3 500% 05/01/2042 DD 05/01/12 K&E SMITH FDN - RICH	225,732 60	104 2860	223,031 16	2,701 44-
575,000 0000	FEDERAL HOME LN BK CONS BD 5 000% 11/17/2017 DD 10/19/07 K&E SMITH FDN - RICH	619,714 23	108 4230	623,432 25	3,718 02
750,000 0000	FEDERAL FARM CR BK CONS BD 4 875% 12/16/2015 DD 11/18/05 K&E SMITH FDN - RICH	754,559 20	100 5830	754,372 50	186 70-
500,000 0000	FEDERAL NATL MTG ASSN 5 000% 03/15/2016 DD 02/16/06 K&E SMITH FDN - RICH	508,560 04	101 7410	508,705 00	144 96
500,000 0000	FEDERAL HOME LN MTG CORP 1 000% 03/08/2017 DD 01/30/12 K&E SMITH FDN - RICH	501,505 61	100 5420	502,710.00	1,204 39
200,000 0000	FEDERAL HOME LN MTG CORP 0 875% 10/14/2016 DD 08/16/13 K&E SMITH FDN - RICH	200,805 57	100 3610	200,722 00	83 57-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 3
M1101

31 OCTOBER 2015

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
777 2040	FNMA POOL #0253883 6 000% 08/01/2016 DD 07/01/01 K&E SMITH FDN - RICH	794 84	101 1290	785 98	8 86-
3,158 9440	FNMA POOL #0254259 5 500% 04/01/2017 DD 03/01/02 K&E SMITH FDN - RICH	3,223 79	102 2030	3,228 54	4 75
155 2900	FNMA POOL #0545093 7 500% 06/01/2016 DD 06/01/01 K&E SMITH FDN - RICH	160 04	100 5410	156 13	3 91-
152 5180	FNMA POOL #0578715 6 000% 09/01/2016 DD 09/01/01 K&E SMITH FDN - RICH	154 38	100 7680	153 69	0 69-
303 4340	FNMA POOL #0580052 6 000% 07/01/2016 DD 07/01/01 K&E SMITH FDN - RICH	320 81	100 8050	305 88	14.93-
46,574 6800	FNMA GTD REMIC P/T 10-M1 A2 4 450% 09/25/2019 DD 02/01/10 K&E SMITH FDN - RICH	51,377 68	108 6130	50,586 16	791.52-
32,801 1900	FNMA POOL #0745275 5 000% 02/01/2036 DD 01/01/06 K&E SMITH FDN - RICH	35,386 62	110 2150	36,151 83	765 21
68,594 3340	FNMA POOL #0745505 5 424% 02/01/2016 DD 04/01/06 K&E SMITH FDN - RICH	74,172.09	100 4400	68,896 15	5,275 94-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16.09 RUN DATE 13-NOV-15
PAGE 4
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
176,424.9750	FNMA POOL #0745506 5.661% 02/01/2016 DD 04/01/06 K&E SMITH FDN - RICH	186,167.87	99.9850	176,398.51	9,769.36-
31,526.2250	FNMA POOL #0928491 6.000% 06/01/2037 DD 07/01/07 K&E SMITH FDN - RICH	34,033.55	113.4030	35,751.68	1,718.13
34,181.2760	FNMA POOL #0938440 6.000% 07/01/2037 DD 07/01/07 K&E SMITH FDN - RICH	35,301.59	113.7070	38,866.50	3,564.91
84,992.1300	FNMA POOL #0964571 5.500% 07/01/2023 DD 07/01/08 K&E SMITH FDN - RICH	89,896.54	108.9310	92,582.78	2,686.24
141,048.8900	FNMA POOL #0AC5849 5.000% 05/01/2040 DD 05/01/10 K&E SMITH FDN - RICH	143,775.68	111.8930	157,823.83	14,048.15
159,201.2700	FNMA POOL #0MA0265 5.000% 11/01/2024 DD 11/01/09 K&E SMITH FDN - RICH	164,884.69	107.7760	171,580.76	6,696.07
56,574.7900	FNMA POOL #0MA0484 5.000% 06/01/2025 DD 06/01/10 K&E SMITH FDN - RICH	58,240.19	107.3640	60,740.96	2,500.77
55,739.3830	FNMA POOL #0MA0500 5.000% 08/01/2040 DD 07/01/10 K&E SMITH FDN - RICH	61,357.85	110.4230	61,549.10	191.25



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

COO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 5
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
138,224 4200	FNMA POOL #0MA0737 4 500% 05/01/2026 DD 04/01/11 K&E SMITH FDN - RICH	148,811 66	107.9120	149,160 74	349 08
92,098 9000	GNMA 11 POOL #0AA1000 3 000% 05/20/2027 DD 05/01/12 K&E SMITH FDN - RICH	97,834 35	104 7620	96,484 65	1,349 70-
144,978 5520	GNMA 11 POOL #0MA0075 4,000% 05/20/2027 DD 05/01/12 K&E SMITH FDN - RICH	154,625 74	105 6060	153,106 05	1,519 69-
464,542 1460	METROPOLITAN WEST T/R BOND-I K&E SMITH FDN - CASH	5,058,761 92	10 8200	5,026,346 02	32,415 90-
250,000 0000	TENNESSEE VALLEY AUTH BD 6 250% 12/15/2017 DD 12/15/97 K&E SMITH FDN - RICH	277,621 74	111 1220	277,805 00	183 26
500,000 0000	TENNESSEE VALLEY AUTH BD 5 500% 07/18/2017 DD 07/18/07 K&E SMITH FDN - RICH	538,666 93	107 9330	539,665 00	998 07
500,000 0000	U S TREASURY NOTE 3 250% 05/31/2016 DD 05/31/09 K&E SMITH FDN - RICH	507,623 07	101 6690	508,345 00	721 93
500,000 0000	U S TREASURY NOTE 2 000% 04/30/2016 DD 04/30/11 K&E SMITH FDN - RICH	504,285 75	100 8290	504,145 00	140 75-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

C00 G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23-16 09 RUN DATE 13-NOV-15
PAGE 6
M1101

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
700,000 0000	U S TREASURY NOTE 2.625% 04/30/2018 DD 04/30/11 K&E SMITH FDN - RICH	727,467 29	104 2410	729,687 00	2,219 71
200,000 0000	U S TREASURY NOTE 0 625% 09/30/2017 DD 09/30/12 K&E SMITH FDN - RICH	199,500 67	99 7810	199,562 00	61 33
	TOTAL INVESTMENTS FIXED INCOME SECURITIES	12,336,740 92		12,342,525 17	5,784 25
<u>INVESTMENTS EQUITY</u>					
1,602 0000	ALLERGAN PLC K&E SMITH FDN - EDGE	426,162 15	308 4700	494,168 94	68,006 79
910 0000	AON PLC K&E SMITH FDN WESTFI	68,756 69	93 3100	84,912 10	16,155.41
1,300 0000	ASPEN INSURANCE HOLDINGS LTD K&E SMITH FDN - D SM	60,117 46	48 6100	63,193 00	3,075 54
858 0000	ATLAS FINANCIAL HOLDINGS INC K&E SMITH FDN - KENN	10,725 00	19 0200	16,319 16	5,594 16
6,600 0000	BELMOND LTD K&E SMITH FDN NEWSOU	75,887.99	10,7400	70,884.00	5,003.99-
7,000 0000	BLUE CAPITAL REINSURANCE HOLDI K&E SMITH FDN - D SM	129,964 10	17 7300	124,110 00	5,854 10-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 7
M1101

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
519 0000	ENDURANCE SPECIALTY HOLDINGS L K&E SMITH FDN - D SM	33,162 89	63,1300	32,764 47	398 42-
1,425 0000	ICON PLC K&E SMITH FDN WESTFI	64,249.49	63 8700	91,014 75	26,765.26
843 0000	JAZZ PHARMACEUTICALS PLC K&E SMITH FDN WESTFI	112,560 32	137 2800	115,727 04	3,166 72
5,525.0000	MEDTRONIC PLC K&E SMITH FDN - DIAM	414,485 50	73 9200	408,408 00	6,077 50-
9,000.0000	PARAGON OFFSHORE PLC K&E SMITH FDN - D SM	89,720.40	0 2400	2,160 00	87,560 40-
1,900 0000	NIELSEN HOLDINGS PLC K&E SMITH FDN WESTFI	73,823.41	47 5100	90,269 00	16,445 59
795 0000	SIGNET JEWELERS LTD K&E SMITH FDN-DENT	101,386 91	150 9400	119,997 30	18,610 39
115 0000	WHITE MOUNTAINS INSURANCE GROU K&E SMITH FDN- LONDO	43,180 13	790 0000	90,850 00	47,669 87
1,940 0000	RADWARE LTD K&E SMITH FDN - KENN	40,146 34	14 9100	28,925 40	11,220 94-
4,600 0000	AERCAP HOLDINGS NV K&E SMITH FDN - D SM	71,415 00	41 5000	190,900 00	119,485 00



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 8
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1,285 0000	PLANET PAYMENT INC K&E SMITH FDN - KENN	3,002 17	3 0000	3,855 00	852 83
3,200 0000	ARDMORE SHIPPING CORP K&E SMITH FDN - D SM	44,350 00	14 3500	45,920 00	1,570 00
3,627 0000	AAC HOLDINGS INC K&E SMITH FDN-DENT	109,652 87	23 2000	84,146 40	25,506 47-
1,895 0000	AMAG PHARMACEUTICALS INC K&E SMITH FDN WESTFI	127,511 48	40 0000	75,800 00	51,711 48-
1,230 0000	AMC NETWORKS INC K&E SMITH FDN WESTFI	60,947 57	73 8900	90,884 70	29,937 13
3,980 0000	API TECHNOLOGIES CORP K&E SMITH FDN - KENN	9,546 94	2,1800	8,676 40	870 54-
9,340 0000	ABBOTT LABORATORIES K&E SMITH FDN - DIAM	309,228.73	44 8000	418,432 00	109,203 27
21,762 0000	ACCURIDE CORP K&E SMITH FDN - RUTA	103,957 09	2 8200	61,368 84	42,588.25-
3,545 0000	ACCURAY INC K&E SMITH FDN - KENN	24,681.52	6 7000	23,751 50	930 02-
643 0000	ACETO CORP K&E SMITH FDN - KENN	11,314.71	30 1600	19,392 88	8,078 17



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL 31 OCTOBER 2015 2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 9
M1101

COO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
11,490 0000	ADVANTAGE OIL & GAS LTD K&E SMITH FDN - D SM	42,856.55	5.5700	63,999 30	21,142 75
3,676.0000	AEROJET ROCKETDYNE HOLDINGS IN K&E SMITH FDN - KENN	56,974.24	16 9400	62,271 44	5,297 20
5,485.0000	K&E SMITH FDN NEWSOU	78,125.58	16 9400	92,915 90	14,790 32
9,161 0000		135,099 82		155,187 34	20,087 52
249 0000	AEROVIRONMENT INC K&E SMITH FDN - KENN	5,398 21	23.0700	5,744 43	346.22
1,990 0000	AGILENT TECHNOLOGIES INC K&E SMITH FDN WESTFI	70,496.77	37 7600	75,142 40	4,645 63
15,200 0000	AIR FRANCE-KLM ADR K&E SMITH FDN - D SM	124,359 87	7 3690	112,008 80	12,351.07 -
21,480 0000	ALAMOS GOLD INC K&E SMITH FDN - D SM	225,163 55	3 8400	82,483 20	142,680 35-
147 0000	ALBANY INTERNATIONAL CORP K&E SMITH FDN - KENN	4,364 27	37 5700	5,522 79	1,158.52
309 0000	ALBANY MOLECULAR RESEARCH INC K&E SMITH FDN - KENN	5,091 18	18 0400	5,574 36	483 18
1,946 0000	ALEXANDER & BALDWIN INC K&E SMITH FDN- LONDO	30,954 90	37 7400	73,442 04	42,487.14



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16:09 RUN DATE 13-NOV-15
PAGE 10
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
1.335 0000	ALIGN TECHNOLOGY INC K&E SMITH FDN WESTFI	73.966 35	65 4600	87,389 10	13,422 75
30,000 0000	ALL AMERICAN GROUP INESCROW K&E SMITH FDN - D SM	0 00	0 0000	0 00	0 00
2,791 0000 335.0000 3,126 0000	ALLIANCE DATA SYSTEMS CORP K&E SMITH FDN - EDGE K&E SMITH FDN WESTFI	733.984 61 18,794.42 752,779 03	297 3100 297 3100	829,792 21 99,598 85 929,391.06	95,807 60 80,804 43 176,612 03
1.375 0000	ALLIANCE ONE INTERNATIONAL INC K&E SMITH FDN - D SM	49,362.50	17 6000	24,200 00	25,162 50-
5,740 0000	ALLSCRIPTS HEALTHCARE SOLUTION K&E SMITH FDN WESTFI	90,760.55	14 0600	80,704 40	10,056 15-
1,692 0000	ALON USA ENERGY INC K&E SMITH FDN - KENN	22,737.84	16 7500	28,341 00	5,603 16
633 0000	ALPHABET INC K&E SMITH FDN - EDGE	166,239 00	710 8100	449,942 73	283,703 73
774 0000 485.0000 1,259 0000	ALPHABET INC K&E SMITH FDN - EDGE K&E SMITH FDN - DIAM	206,316 78 269,582 03 475,898 81	737 3900 737 3900	570,739 86 357,634 15 928,374.01	364,423 08 88,052 12 452,475 20
1,597 0000	AMAZON.COM INC K&E SMITH FDN - EDGE	195,244 97	625 9000	999,562 30	804,317 33



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 11
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2.475 0000	AMERICAN EAGLE OUTFITTERS INC K&E SMITH FDN- LONDO	29,343 09	15 2800	37,818 00	8,474 91
7.650 0000	AMERICAN INTERNATIONAL GROUP I K&E SMITH FDN - DIAM	275,840 52	63 0600	482,409 00	206,568 48
1,956.0000	AMERICAN NATIONAL BANKSHARES I K&E SMITH FDN - KENN	41,800.88	25 2000	49,291 20	7,490 32
2.644 0000	AMERICAN MEDICAL ALERT CORP CONTRA K&E SMITH FDN - KENN	0 00	0 0000	0 00	0 00
1,146 0000	AMERICAN NATIONAL INSURANCE CO K&E SMITH FDN - D SM	115,387 73	103 2800	118,358 88	2,971 15
6.816 0000	AMERICAN TOWER CORP K&E SMITH FDN - EDGE	285,528 91	102 2300	696,799 68	411,270 77
2.565 0000	AMERISAFE INC K&E SMITH FDN NEWSOU	68,226.47	54 7300	140,382.45	72,155 98
810 0000	AMERIPRISE FINANCIAL INC K&E SMITH FDN WESTFI	57,552 99	115 3600	93,441 60	35,888 61
121 0000	ANIKA THERAPEUTICS INC K&E SMITH FDN - KENN	4,172 08	38 5200	4,660 92	488 84
3,230 0000	ANSYS INC K&E SMITH FDN-DENT	205,259 89	95 3100	307,851 30	102,591 41



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 12
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2.695 0000	APPLE INC K&E SMITH FDN - DIAM	163,761 85	119 5000	322,052 50	158,290 65
3,760 0000	APPLIED MATERIALS INC K&E SMITH FDN WESTFI	59,887 90	16 7700	63,055 20	3,167 30
3,170 0000	ARES CAPITAL CORP K&E SMITH FDN NEWSOU	49,271 66	15 2300	48,279 10	992 56-
16,872 0000	ARM HOLDINGS PLC ADR K&E SMITH FDN - EDGE	747,109 56	47 4300	800,238 96	53,129 40
725 0000	ASHFORD HOSPITALITY PRIME INC K&E SMITH FDN - KENN	11,126 37	14 7000	10,657 50	468 87-
3,324 0000	ASHFORD HOSPITALITY TRUST INC K&E SMITH FDN - KENN	28,216 38	6 8800	22,869 12	5,347 26-
870 0000	ASSURANT INC K&E SMITH FDN WESTFI	67,461.82	81 5300	70,931 10	3,469.28
5,521 0000	ASTRO-MED INC K&E SMITH FDN - RUTA	43,814.53	13 3300	73,594 93	29,780 40
873 0000	ASURE SOFTWARE INC K&E SMITH FDN - KENN	5,189 90	5 4000	4,714 20	475 70-
3,245 0000	ATWOOD OCEANICS INC K&E SMITH FDN- LONDO	80,337.64	16 5500	53,704 75	26,632 89-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 13
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
9.446 0000	AURICO METALS INC K&E SMITH FDN - D SM	6,357 05	0 6080	5,743 17	613 88-
1,320 0000	AUTODESK INC K&E SMITH FDN WESTFI	65,325.58	55 1900	72,850 80	7,525 22
47,000 0000	AXCELIS TECHNOLOGIES INC K&E SMITH FDN - D SM	273,599 48	2 8000	131,600 00	141,999 48-
1,213 0000	BNC BANCORP K&E SMITH FDN - KENN	20,013 28	22 4500	27,231 85	7,218 57
741 0000	BSB BANCORP INC/MA K&E SMITH FDN - KENN	10,727 65	21 9900	16,294 59	5,566 94
575 0000	BANK MUTUAL CORP K&E SMITH FDN - KENN	4,123 56	7 2400	4,163 00	39 44
2,540 0000	BANKUNITED INC K&E SMITH FDN WESTFI	72,260 82	37 1800	94,437 20	22,176 38
2,580 0000	BAXALTA INC K&E SMITH FDN - DIAM	66,159 45	34 4600	88,906 80	22,747 35
1,067 0000	BERKSHIRE HILLS BANCORP INC K&E SMITH FDN - KENN	21,621 35	28.6000	30,516 20	8,894 85
1,001 0000	BIG 5 SPORTING GOODS CORP K&E SMITH FDN - KENN	10,689 31	9 1500	9,159 15	1,530 16-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE: 14
M1101

CQO G800000

K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
753 0000	BIO-TECHNE CORP K&E SMITH FDN-DENT	69,095 35	88 2000	66,414 60	2,680 75-
9,935 0000	BLACK DIAMOND INC K&E SMITH FDN - KENN	72,559 83	5 5400	55,039 90	17,519 93-
1,078 0000	BLACKBAUD INC K&E SMITH FDN-DENT	48,002 70	62 6900	67,579 82	19,577 12
462,978 7090	WILLIAM BLAIR EMG MKTS GR-IN K&E SMITH FDN - CASH	6,100,144 31	11 7100	5,421,480 68	678,663 63-
2,298 0000	BLUE HILLS BANCORP INC K&E SMITH FDN - KENN	31,050 65	14 1900	32,608 62	1,557 97
6,305 0000	BORGWARNER INC K&E SMITH FDN - DIAM	324,912 20	42 8200	269,980 10	54,932 10-
1,130 0000	K&E SMITH FDN WESTFI	60,239 69	42 8200	48,386 60	11,853 09-
7,435 0000		385,151 89		318,366 70	66,785 19-
18,470 0000	BOSTON SCIENTIFIC CORP K&E SMITH FDN - DIAM	114,514 48	18 2800	337,631 60	223,117 12
2,819 0000	BRAVO BRIO RESTAURANT GROUP IN K&E SMITH FDN - KENN	37,981 84	11 7400	33,095 06	4,886 78-
3,735 0000	BROOKDALE SENIOR LIVING INC K&E SMITH FDN NEWSOU	69,421 29	20 9100	78,098 85	8,677 56
2,275 0000	BROOKLINE BANCORP INC K&E SMITH FDN - KENN	20,213 76	11 3500	25,821 25	5,607 49



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 15
M1101

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1,630 0000	CBRE GROUP INC K&E SMITH FDN WESTFI	27,451.02	37 2800	60,766 40	33,315 38
4,412.0000	CME GROUP INC//IL K&E SMITH FDN - EDGE	421,916 06	94 4700	416,801 64	5,114 42-
280 0000	CSS INDUSTRIES INC K&E SMITH FDN - KENN	8,020 58	27 3000	7,644 00	376 58-
5,146 0000	CPI AEROSTRUCTURES INC K&E SMITH FDN - RUTA	33,749 13	8 4900	43,689 54	9,940 41
1,135 0000	CNB FINANCIAL CORP/PA K&E SMITH FDN - KENN	19,582 47	18 5800	21,088 30	1,505 83
2,109 0000	CABELA'S INC K&E SMITH FDN- LONDO	34,962 37	39 1700	82,609 53	47,647 16
416 0000	CALLAWAY GOLF CO K&E SMITH FDN - KENN	3,579 90	9 9500	4,139 20	559 30
4,381 0000	CAMPUS CREST COMMUNITIES INC K&E SMITH FDN - KENN	29,645 58	6 6300	29,046 03	599 55-
1,147 0000	CAPE BANCORP INC K&E SMITH FDN - KENN	10,360 75	12 8500	14,738 95	4,378 20
4,405 0000	CAPITAL ONE FINANCIAL CORP K&E SMITH FDN - DIAM	353,862 76	78 9000	347,554 50	6,308 26-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO COOG800000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 16
M1101

COO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
2,590 0000	CARDTRONICS INC K&E SMITH FDN NEWSOU	87,215 27	34 5000	89,355 00	2,139 73
1,006 0000	CARETRUST REIT INC K&E SMITH FDN - KENN	10,576 46	11 3200	11,387 92	811 46
3,052 0000	CARMAX INC K&E SMITH FDN-DENT	178,993 59	59 0100	180,098 52	1,104.93
1,222 0000	CARRIAGE SERVICES INC K&E SMITH FDN - KENN	22,149 42	21 5100	26,285 22	4,135 80
4,386 0000 19,700 0000 24,086 0000	CASELLA WASTE SYSTEMS INC K&E SMITH FDN - KENN K&E SMITH FDN - RUTA	24,017 52 92,913 25 116,930 77	6 0700 6 0700	26,623 02 119,579 00 146,202 02	2,605 50 26,665 75 29,271 25
586 0000	CELADON GROUP INC K&E SMITH FDN - KENN	11,242 57	14 4800	8,485 28	2,757 29-
1,050 0000	CELANESE CORP K&E SMITH FDN WESTFI	72,838 84	71 0500	74,602 50	1,763 66
8,603 0000	CELGENE CORP K&E SMITH FDN - EDGE	232,562 87	122 7100	1,055,674 13	823,111 26
100 0000	CENTRAL GARDEN & PET CO K&E SMITH FDN - RUTA	631 57	15 9100	1,591 00	959 43
5,183 0000	CENTRAL GARDEN & PET CO K&E SMITH FDN - RUTA	32,035 13	16 8800	87,489 04	55,453 91



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

31 OCTOBER 2015

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 17
M1101

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
415 0000	CHARTER COMMUNICATIONS INC	72.037 39	190 9400	79,240 10	7,202 71
250 0000	K&E SMITH FDN - DIAM	46.409 10	190 9400	47,735 00	1,325 90
665 0000	K&E SMITH FDN WESTFI	118,446 49		126,975 10	8,528 61
295 0000	CHECKPOINT SYSTEMS INC	2,210 57	7 4800	2,206 60	3 97-
	K&E SMITH FDN - KENN				
2,059 0000	CHEVIOT FINANCIAL CORP	22,416.20	14 4100	29,670 19	7,253 99
	K&E SMITH FDN - KENN				
576 0000	CHICOPEE BANCORP INC	9,504 26	16 8250	9,691 20	186 94
	K&E SMITH FDN - KENN				
2,920 0000	CIMAREX ENERGY CO	264,294 60	118 0600	344,735 20	80,440 60
	K&E SMITH FDN - DIAM				
9,280 0000	CISCO SYSTEMS INC	193,143 08	28 8500	267,728 00	74,584 92
	K&E SMITH FDN - DIAM				
600 0000	CITIZENS & NORTHERN CORP	11,641 83	19 8100	11,886 00	244 17
	K&E SMITH FDN - KENN				
8,935 0000	CITIGROUP INC	355,678 13	53 1700	475,073 95	119,395 82
	K&E SMITH FDN - DIAM				
2,171 0000	CITI TRENDS INC	17,954.83	26 5700	57,683 47	39,728.64
	K&E SMITH FDN - RUTA				
2,200 0000	CLOUD PEAK ENERGY INC	31,407 86	2 9700	6,534 00	24,873 86-
	K&E SMITH FDN - D SM				



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 18
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
17,300 0000	COEUR MINING INC K&E SMITH FDN - D SM	140,946 37	2 7000	46,710 00	94,236 37-
12,429 0000	COGNIZANT TECHNOLOGY SOLUTIONS K&E SMITH FDN - EDGE	208,530 69	68 1100	846,539 19	638,008 50
4,105 0000	COLUMBUS MCKINNON CORP/NY K&E SMITH FDN - RUTA	57,294 25	18 6900	76,722 45	19,428 20
5,225 0000	COMCAST CORP K&E SMITH FDN - DIAM	179,819 64	62 6200	327,189 50	147,369 86
17,700 0000	COMMERCIAL VEHICLE GROUP INC K&E SMITH FDN - RUTA	137,380.38	4 1600	73,632 00	63,748 38-
282 0000	COMMUNITY BANKERS TRUST CORP K&E SMITH FDN - KENN	1,422 03	5 2600	1,483 32	61 29
496 0000	COMMUNITY TRUST BANCORP INC K&E SMITH FDN - KENN	15,902.70	34 4700	17,097 12	1,194 42
659 0000	COMTECH TELECOMMUNICATIONS COR K&E SMITH FDN - KENN	20,031 52	24 1600	15,921 44	4,110 08-
1,235 0000	CONNECTONE BANCORP INC K&E SMITH FDN - KENN	22,109 05	17 8500	22,044 75	64 30-
2,880 0000	CONSOL ENERGY INC K&E SMITH FDN WESTFI	91,937 81	6 6600	19,180 80	72,757 01-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 19
M1101

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
870 0000	CONSTELLATION BRANDS INC K&E SMITH FDN WESTFI	75,270 14	134.8000	117,276 00	42,005 86
520 0000	COOPER COS INC/THE K&E SMITH FDN WESTFI	40,949.81	152 3600	79,227 20	38,277 39
3,105 0000 3,218.0000 6,323.0000	CORRECTIONS CORP OF AMERICA K&E SMITH FDN NEWSOU K&E SMITH FDN- LONDO	67,344.98 68,759.15 136,104 13	28 5000 28 5000	88,492 50 91,713 00 180,205.50	21,147 52 22,953 85 44,101 37
735 0000	COSTAR GROUP INC K&E SMITH FDN-DENT	109,114 37	203 0700	149,256 45	40,142 08
405 0000	CROSS COUNTRY HEALTHCARE INC K&E SMITH FDN - KENN	4,982 86	13 5000	5,467 50	484 64
780 0000	CROWN CASTLE INTERNATIONAL COR K&E SMITH FDN WESTFI	62,875.23	85 4600	66,658 80	3,783 57
843 0000	CRYOLIFE INC K&E SMITH FDN - KENN	4,106 05	10 5400	8,885 22	4,779 17
370 0000	CYNOSURE INC K&E SMITH FDN - KENN	8,537 95	37 6400	13,926 80	5,388 85
618 0000	DGSE COS INC K&E SMITH FDN - KENN	792 87	0.3600	222 48	570 39-
822 0000	DSP GROUP INC K&E SMITH FDN - KENN	7,352 94	10 1000	8,302 20	949 26



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16:09 RUN DATE 13-NOV-15
PAGE 20
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
919 0000	DST SYSTEMS INC K&E SMITH FDN- LONDO	111,464 63	122 1500	112,255 85	791 22
3,829 0000	DANA HOLDING CORP K&E SMITH FDN- LONDO	85,741.20	16 8000	64,327 20	21,414 00-
1,187 0000	DECKERS OUTDOOR CORP K&E SMITH FDN- LONDO	68,763 41	55 6600	66,068 42	2,694 99-
207 0000	DELTA NATURAL GAS CO INC K&E SMITH FDN - KENN	4,078 62	20 4300	4,229 01	150 39
365 0000	DIAMOND FOODS INC K&E SMITH FDN - KENN	5,063 97	39 6200	14,461 30	9,397 33
2,400 0000	WALT DISNEY CO/THE K&E SMITH FDN - DIAM	86,727 58	113 7400	272,976 00	186,248 42
135,593 4520	DODGE & COX INTL STOCK FUND K&E SMITH FDN - CASH	4,672,393 89	39 8900	5,408,822 80	736,428 91
1,030 0000	DOLLAR GENERAL CORP K&E SMITH FDN WESTFI	46,149 40	67 7700	69,803 10	23,653 70
960 0000	DOLLAR TREE INC K&E SMITH FDN WESTFI	75,852 52	65 4900	62,870 40	12,982.12-
1,085 0000	DONEGAL GROUP INC K&E SMITH FDN - KENN	16,912 59	14 1500	15,352 75	1,559 84-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL 31 OCTOBER 2015 2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15 PAGE 21 M1101

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
2.685 0000	DORMAN PRODUCTS INC K&E SMITH FDN NEWSOU	115,383.48	46 6800	125,335.80	9,952.32
2.050 0000	DOUGLAS DYNAMICS INC K&E SMITH FDN - RUTA	26,571.92	21 9400	44,977.00	18,405.08
9.000 0000	DYNAMIC MATERIALS CORP K&E SMITH FDN - RUTA	99,743.20	7 1300	64,170.00	35,573.20-
1.343 0000	EMC INSURANCE GROUP INC K&E SMITH FDN - KENN	19,824.07	25 0000	33,575.00	13,750.93
3,790.0000	EOG RESOURCES INC K&E SMITH FDN - DIAM	207,261.02	85 8500	325,371.50	118,110.48
1,632 0000	EPIQ SYSTEMS INC K&E SMITH FDN - KENN	25,912.53	13 8000	22,521.60	3,390.93-
1,630 0000 917 0000 2,547 0000	EASTMAN CHEMICAL CO K&E SMITH FDN - DIAM K&E SMITH FDN WESTFI	124,156.95 51,013.33 175,170.28	72 1700 72 1700	117,637.10 66,179.89 183,816.99	6,519.85- 15,166.56 8,646.71
1,554 0000	EATON VANCE CORP K&E SMITH FDN- LONDO	36,783.74	36 1100	56,114.94	19,331.20
3,343 0000 1,207 0000 4,550 0000	ECOLAB INC K&E SMITH FDN - EDGE K&E SMITH FDN-DENT	186,046.61 127,004.25 313,050.86	120 3500 120 3500	402,330.05 145,262.45 547,592.50	216,283.44 18,258.20 234,541.64



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 22
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1.054 0000	PERRY ELLIS INTERNATIONAL INC K&E SMITH FDN - KENN	24,528 11	21 4700	22,629 38	1,898 73-
337 0000	ENCORE WIRE CORP K&E SMITH FDN - KENN	12,333 29	42 7700	14,413 49	2,080 20
2,398 0000	ENERGIZER HOLDINGS INC K&E SMITH FDN- LONDO	97,623.35	42 8300	102,706 34	5,082 99
1,079 0000	ENNIS INC K&E SMITH FDN - KENN	15,803.97	20 0300	21,612 37	5,808 40
2,525 0000	INVESTNET INC K&E SMITH FDN-DENT	80,897.88	29 8600	75,396 50	5,501.38-
2,272 8740	EQUINIX INC K&E SMITH FDN - EDGE	421,200 45	296 6800	674,316 26	253,115 81
1,559 0000	ESCO TECHNOLOGIES INC K&E SMITH FDN - KENN	57,407 74	37 0900	57,823 31	415 57
505 0000	EVANS BANCORP INC K&E SMITH FDN - KENN	10,980 83	25 2500	12,751 25	1,770 42
656 0000	EVOLVING SYSTEMS INC K&E SMITH FDN - KENN	4,677 77	5 7300	3,758 88	918 89-
1,622 0000	EXAR CORP K&E SMITH FDN - KENN	10,238 94	5 6900	9,229 18	1,009 76-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 23
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
5.196 0000	EXAMWORKS GROUP INC K&E SMITH FDN-DENT	200.095 45	28 2400	146,735 04	53,360.41-
530 0000	EXPEDIA INC K&E SMITH FDN WESTFI	57,071.73	136 3000	72,239.00	15,167 27
1,901 0000	EXPONENT INC K&E SMITH FDN-DENT	74,160.75	51 4100	97,730 41	23,569 66
2,910 0000	EXPRESS SCRIPTS HOLDING CO K&E SMITH FDN - DIAM	172.635 97	86 3800	251,365 80	78,729.83
797 0000	FTD COS INC K&E SMITH FDN - KENN	25,819 46	28 3200	22,571 04	3,248 42-
1,787 0000	FRP HOLDINGS INC K&E SMITH FDN - RUTA	38,987 51	33 0000	58,971 00	19,983 49
4,832 0000	FACEBOOK INC K&E SMITH FDN - EDGE	437,593 34	101 9700	492,719 04	55,125 70
3,980 0000	FARMERS NATIONAL BANC CORP K&E SMITH FDN - KENN	30,296 08	8 2500	32,835 00	2,538 92
1,384 0000	FASTENAL CO K&E SMITH FDN-DENT	29,111 41	39 1600	54,197 44	25,086 03
1,691 0000	FINANCIAL ENGINES INC K&E SMITH FDN-DENT	55,462 60	32 1600	54,382.56	1,080 04-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 24
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1,250 0000	FINANCIAL INSTITUTIONS INC K&E SMITH FDN - KENN	20,272 41	26 1200	32,650 00	12,377 59
1,075 0000	FIRST BANCORP/SOUTHERN PINES N K&E SMITH FDN - KENN	17,183 21	18 5300	19,919 75	2,736 54
360 0000	FIRST BUSINESS FINANCIAL SERVI K&E SMITH FDN - KENN	7,830 06	24 6500	8,874 00	1,043 94
2,375 0000	FIRST CASH FINANCIAL SERVICES K&E SMITH FDN NEWSOU	91,048 47	38 1500	90,606 25	442 22-
5,968 0000	FIRST CONNECTICUT BANCORP INC/ K&E SMITH FDN - RUTA	82,398 38	17 3800	103,723 84	21,325 46
965 0000	FIRST FINANCIAL CORP/IN K&E SMITH FDN - KENN	29,989 11	34 2700	33,070 55	3,081 44
5,182 0000	FIRST INDUSTRIAL REALTY TRUST K&E SMITH FDN- LONDO	50,459 02	21 6800	112,345 76	61,886 74
891 0000	FLUSHING FINANCIAL CORP K&E SMITH FDN - KENN	18,297 31	21 0400	18,746 64	449 33
3,040.0000	FLY LEASING LTD ADR K&E SMITH FDN - D SM	47,696 08	13 1900	40,097 60	7,598 48-
473 0000	FORESTAR GROUP INC K&E SMITH FDN - KENN	6,312 93	14 1500	6,692 95	380 02



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE: 25
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
363 0000	FORMFACTOR INC K&E SMITH FDN - KENN	2,437 84	8 2400	2,991 12	553 28
1,620 0000	FORTUNE BRANDS HOME & SECURITY K&E SMITH FDN WESTF1	83,566.80	52 3300	84,774 60	1,207 80
5,005 0000	FRANKLIN RESOURCES INC K&E SMITH FDN - DIAM	251,296 97	40 7600	204,003 80	47,293 17-
4,812 0000	FRANKLIN WIRELESS CORP K&E SMITH FDN - KENN	8,640 42	1 6500	7,939 80	700 62-
2,614 0000	FREQUENCY ELECTRONICS INC K&E SMITH FDN - KENN	24,934 20	10 9200	28,544 88	3,610 68
13,600 0000	FURMANITE CORP K&E SMITH FDN - RUTA	102,393 62	6.9500	94,520 00	7,873 62-
1,760 0000	GATX CORP K&E SMITH FDN- LONDO	91,745 94	46 7000	82,192 00	9,553 94-
7,295 0000	GANNETT CO INC K&E SMITH FDN NEWSOU	97,519 07	15 8200	115,406 90	17,887 83
4,000 0000	GERBER SCIENTIFIC INC COM ESCROW K&E SMITH FDN - RUTA	0 00	0 0000	0 00	0.00
458.0000	GERMAN AMERICAN BANCORP INC K&E SMITH FDN - KENN	12,464 59	31 3300	14,349.14	1,884 55



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 26
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2,872 0000	GETTY REALTY CORP K&E SMITH FDN - KENN	51,000.44	16 8800	48,479 36	2,521 08-
213.0000	GIBALTAR INDUSTRIES INC K&E SMITH FDN - KENN	3,058 38	25 3200	5,393.16	2,334 78
9,516 0000	GILEAD SCIENCES INC K&E SMITH FDN - EDGE	376,018 80	108.1300	1,028,965 08	652,946.28
4,267 0000	GLOBALSCAPE INC K&E SMITH FDN - KENN	10,449.97	3 7800	16,129 26	5,679 29
7,363 0000	GLOBAL POWER EQUIPMENT GROUP I K&E SMITH FDN - RUTA	101,386 96	4 3300	31,881 79	69,505 17-
6,785 0000	GOODYEAR TIRE & RUBBER CO/THE K&E SMITH FDN - DIAM	148,029 90	32 8400	222,819 40	74,789 50
665 0000	WR GRACE & CO K&E SMITH FDN WESTFI	65,651 64	100 3000	66,699 50	1,047 86
285 0000	GRAHAM CORP K&E SMITH FDN - KENN	5,663 40	16 9700	4,836 45	826 95-
5,100 0000	GRANITE REAL ESTATE INVESTMENT K&E SMITH FDN - D SM	199,767 00	28 9200	147,492 00	52,275 00-
13,103 0000	GREAT LAKES DREDGE & DOCK CORP K&E SMITH FDN - RUTA	82,308 84	4 0000	52,412 00	29,896 84-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16:09 RUN DATE 13-NOV-15
PAGE 27
M1101

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2.947 0000	GUARANTY BANCORP K&E SMITH FDN - KENN	47,621 31	16 4800	48,566 56	945 25
2.090 0000	HSN INC K&E SMITH FDN NEWSOU	82,422 16	61 8500	129,266 50	46,844 34
2.348 0000	HANDY & HARMAN LTD K&E SMITH FDN - KENN	45,434 15	23 7500	55,765 00	10,330 85
671.0000	HANMI FINANCIAL CORP K&E SMITH FDN - KENN	14,486.85	25 5000	17,110 50	2,623 65
77,980 9270	HARBOR INTERNATIONAL-INST K&E SMITH FDN - CASH	4,666,849 05	65 6700	5,121,007 48	454,158 43
1.164 0000	HARSCO CORP K&E SMITH FDN - KENN	12,452 57	10 7300	12,489 72	37 15
1.310 0000	HAYNES INTERNATIONAL INC K&E SMITH FDN NEWSOU	66,375.70	39 4500	51,679 50	14,696 20-
3.405 0000	HEALTHCARE SERVICES GROUP INC K&E SMITH FDN-DENT	101,639 59	37 2600	126,870 30	25,230 71
442 0000	HEALTHSTREAM INC K&E SMITH FDN - KENN	11,564 48	23 8100	10,524 02	1,040 46-
2.305 0000	HERITAGE COMMERCE CORP K&E SMITH FDN - KENN	20,769 79	10 5800	24,386 90	3,617 11



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE

13-NOV-15
PAGE 28
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1.911 0000	HERITAGE FINANCIAL CORPWA K&E SMITH FDN - KENN	27,288.16	18 4200	35,200 62	7,912 46
26.950 0000	HILL INTERNATIONAL INC K&E SMITH FDN - RUTA	87,713.88	3 3800	91,091 00	3,377 12
5.605.0000	HOMETRUST BANCSHARES INC K&E SMITH FDN - RUTA	64,583.25	18 9400	106,158 70	41,575 45
1.352 0000	HORACE MANN EDUCATORS CORP K&E SMITH FDN - KENN	24,528 00	34 2400	46,292 48	21,764 48
1.591 0000 8,600 0000 10,191 0000	HORIZON GLOBAL CORP K&E SMITH FDN - KENN K&E SMITH FDN - RUTA	15,450 60 110,076 08 125,526 68	8 7900 8 7900	13,984 89 75,594 00 89,578 89	1,465 71- 34,482.08- 35,947.79-
11.600 0000	HOUSTON WIRE & CABLE CO K&E SMITH FDN - RUTA	111,850 10	6 2000	71,920 00	39,930.10-
955 0000	HOWARD HUGHES CORP/THE K&E SMITH FDN NEWSOU	53,574 80	123 5800	118,018 90	64,444 10
4,000 0000	HUDBAY MINERALS INC K&E SMITH FDN - D SM	39,767 20	5 2100	20,840.00	18,927 20-
885 0000	JB HUNT TRANSPORT SERVICES INC K&E SMITH FDN WESTFI	43,710.88	76 3700	67,587 45	23,876 57
5,001.0000 610.0000 5,611 0000	IHS INC K&E SMITH FDN - EDGE K&E SMITH FDN WESTFI	494,789 13 51,611 21 546,400 34	119 5400 119 5400	597,819 54 72,919 40 670,738 94	103,030 41 21,308 19 124,338 60



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 29
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2.750 0000	ILLINOIS TOOL WORKS INC K&E SMITH FDN - DIAM	143,092 82	91 9400	252,835 00	109,742 18
5.407 0000	ILLUMINA INC K&E SMITH FDN - EDGE	304,958 47	143.2800	774,714 96	469,756 49
5.426 0000	IMAX CORP K&E SMITH FDN-DENT	163,469 02	38 3900	208,304 14	44,835 12
1.295 0000	INFINITY PROPERTY & CASUALTY C K&E SMITH FDN NEWSOU	77,715 89	80 5200	104,273 40	26,557 51
166 0000	INNOSPEC INC K&E SMITH FDN - KENN	4,394 19	55 2400	9,169 84	4,775.65
5.984 0000	INSTEEL INDUSTRIES INC K&E SMITH FDN - RUTA	110,257 06	21.3900	127,997 76	17,740 70
4.000 0000	INTEGRATED SILICON SOLUTION IN K&E SMITH FDN - D SM	35,727 60	22 4800	89,920 00	54,192 40
3.631 0000	INTERNAP CORP K&E SMITH FDN - KENN	27,312.49	6 7600	24,545 56	2,766 93-
1,370 0000	INTERNATIONAL BUSINESS MACHINE K&E SMITH FDN - DIAM	243,793 36	140 0800	191,909 60	51,883 76-
1,353 0000	INTEST CORP K&E SMITH FDN - KENN	4,313 53	4 0400	5,466 12	1,152 59



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 30
M1101

CQO G8000000

K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
684 0000	INTUITIVE SURGICAL INC	200.075 78	496 6000	339,674 40	139,598 62
243 0000	K&E SMITH FDN - EDGE	119,738 76	496 6000	120,673 80	935 04
927 0000	K&E SMITH FDN-DENT	319,814 54		460,348 20	140,533 66
5,200 0000	ISHARES RUSSELL 1000 ETF	491,210 72	115 7300	601,796 00	110,585 28
1,469.0000	ITERIS INC	2,626 25	2,3900	3,510 91	884.66
5,998 0000	K&E SMITH FDN - KENN				
	JPMORGAN CHASE & CO				
	K&E SMITH FDN - DIAM	247,712 93	64 2500	385,371 50	137,658 57
2,525 0000	JAMBA INC	34,402 98	13 2500	33,456 25	946 73-
4,225 0000	K&E SMITH FDN - KENN				
1,972 0000	JARDEN CORP	78,691 78	44 8000	189,280 00	110,588 22
6,197 0000	K&E SMITH FDN NEWSOU	37,110 10	44 8000	88,345 60	51,235 50
	K&E SMITH FDN WESTFI	115,801 88		277,625 60	161,823 72
1,044 0000	JASON INDUSTRIES INC	10,865 02	3 3300	3,476 52	7,388.50-
6,265 0000	K&E SMITH FDN - KENN				
	JUNIPER NETWORKS INC				
	K&E SMITH FDN - DIAM	122,803 08	31,3900	196,658 35	73,855 27
1,032 0000	KAMAN CORP	33,937 61	38 8900	40,134 48	6,196 87
	K&E SMITH FDN- LONDO				



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 31
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
1,700 0000	KB HOME K&E SMITH FDN - D SM	23,448.27	13 1000	22,270 00	1,178 27-
1,600 0000	KELLY SERVICES INC K&E SMITH FDN - D SM	22,768.00	15 8000	25,280 00	2,512 00
1,928.0000	KEY TECHNOLOGY INC K&E SMITH FDN - RUTA	20,007.62	11 1900	21,574 32	1,566 70
3,243 0000	KIMBALL ELECTRONICS INC K&E SMITH FDN - D SM	18,304 39	11 3900	36,937 77	18,633 38
2,675 0000	KIMBERLY-CLARK CORP K&E SMITH FDN - DIAM	192,173 99	119 7100	320,224 25	128,050 26
798 0000	LCNB CORP K&E SMITH FDN - KENN	12,534.16	15 9700	12,744 06	209 90
9,583 0000	LKQ CORP K&E SMITH FDN-DENT	182,683 09	29 6100	283,752 63	101,069 54
6,900 0000	LMI AEROSPACE INC K&E SMITH FDN - RUTA	95,403.68	10 4900	72,381 00	23,022 68-
4,463 0000	LSI INDUSTRIES INC K&E SMITH FDN - KENN	37,993 47	10 7400	47,932 62	9,939 15
69 0000	LANDAUER INC K&E SMITH FDN - KENN	2,239 49	39 4900	2,724 81	485 32



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 32
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
1,292 0000	LANDSTAR SYSTEM INC K&E SMITH FDN- LONDO	86,401 75	63 0400	81,447 68	4,954 07-
878.0000	LATTICE SEMICONDUCTOR CORP K&E SMITH FDN - KENN	5,382 14	4 5800	4,021 24	1,360 90-
779 0000	LENNOX INTERNATIONAL INC K&E SMITH FDN WESTFI	80,345.55	132 8100	103,458 99	23,113 44
2,817 0000	LIBBEY INC K&E SMITH FDN - RUTA	27,288 87	33 6400	94,763 88	67,475 01
4,465 0000	LINEAR TECHNOLOGY CORP K&E SMITH FDN - DIAM	158,573 02	44 4200	198,335 30	39,762 28
382 0000	LINKEDIN CORP K&E SMITH FDN WESTFI	54,017 54	240 8700	92,012 34	37,994 80
2,135 0000	LIONBRIDGE TECHNOLOGIES INC K&E SMITH FDN - KENN	7,565 67	5 3900	11,507 65	3,941 98
3,715 0000	LOEWS CORP K&E SMITH FDN - DIAM	139,439 92	36 4600	135,448 90	3,991 02-
6,155 0000	MBIA INC K&E SMITH FDN- LONDO	44,007.30	7 5100	46,224 05	2,216 75
2,445 0000	MRC GLOBAL INC K&E SMITH FDN- LONDO	67,755 30	11 9000	29,095 50	38,659.80-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 33
M1101

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1.475 0000	MSC INDUSTRIAL DIRECT CO INC K&E SMITH FDN NEWSOU	106,534.53	62.7700	92,585.75	13,948.78-
1,455 0000	MTS SYSTEMS CORP K&E SMITH FDN NEWSOU	64,143.38	66.0300	96,073.65	31,930.27
640 0000	MACQUARIE INFRASTRUCTURE CORP K&E SMITH FDN WESTFI	41,749.38	79.5500	50,912.00	9,162.62
750 0000	MANPOWERGROUP INC K&E SMITH FDN WESTFI	62,605.65	91.7800	68,835.00	6,229.35
799 0000	MARCHEX INC K&E SMITH FDN - KENN	2,917.89	4.3200	3,451.68	533.79
6,107 0000	MARINEMAX INC K&E SMITH FDN - RUTA	69,520.95	15.8000	96,490.60	26,969.65
230.0000	MARKEL CORP K&E SMITH FDN-DENT	158,778.35	868.0000	199,640.00	40,861.65
5,095 0000	MARSH & MCLENNAN COS INC K&E SMITH FDN - DIAM	230,858.91	55.7400	283,995.30	53,136.39
2,048 0000	MATSON INC K&E SMITH FDN- LONDO	33,178.22	45.8300	93,859.84	60,681.62
1,950 0000	MAXIM INTEGRATED PRODUCTS INC K&E SMITH FDN WESTFI	65,943.90	40.9800	79,911.00	13,967.10



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 34
M1101

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
891 0000	MAXLINEAR INC K&E SMITH FDN - KENN	6,456 55	13 0000	11,583 00	5,126 45
815 0000	MEDIFAST INC K&E SMITH FDN - KENN	22,650.16	27 9700	22,795 55	145 39
1,667 0000 6,164 0000 7,831.0000	MERCURY SYSTEMS INC K&E SMITH FDN - KENN K&E SMITH FDN - RUTA	19,425 22 47,629.06 67,054.28	17 1600 17 1600	28,605 72 105,774 24 134,379.96	9,180 50 58,145 18 67,325 68
3,105 0000	METLIFE INC K&E SMITH FDN - DIAM	158,414 28	50 3800	156,429 90	1,984 38-
8,075 0000	MICROSOFT CORP K&E SMITH FDN - DIAM	215,380 21	52 6400	425,068 00	209,687 79
790 0000	MIDDLEBY CORP/THE K&E SMITH FDN WESTFI	86,136 24	116 9400	92,382 60	6,246 36
221 0000	MIDDLESEX WATER CO K&E SMITH FDN - KENN	4,317 04	25,7700	5,695 17	1,378 13
469 0000	MISONIX INC K&E SMITH FDN - KENN	4,886 81	11 1400	5,224 66	337 85
5,907 0000	MODUSLINK GLOBAL SOLUTIONS INC K&E SMITH FDN - KENN	19,639.82	2 8900	17,071 23	2,568 59-
1,853 0000	MONSTER WORLDWIDE INC K&E SMITH FDN - KENN	12,916.98	6 2700	11,618 31	1,298 67-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 35
M1101

31 OCTOBER 2015

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
2,293 0000	MOODY'S CORP K&E SMITH FDN-DENT	224,334 95	96 1600	220,494 88	3,840 07-
12,125 0000	MORGAN STANLEY K&E SMITH FDN - DIAM	260,833 32	32 9700	399,761 25	138,927 93
20,000 0000	NATUZZI SPA ADR K&E SMITH FDN - D SM	41,000 00	1 7900	35,800 00	5,200 00-
265 0000	NATUS MEDICAL INC K&E SMITH FDN - KENN	3,028 63	45 5300	12,065 45	9,036 82
65 0000	NEENAH PAPER INC K&E SMITH FDN - KENN	1,822 60	67 4100	4,381 65	2,559 05
438 0000	NEWMARKET CORP K&E SMITH FDN- LONDO	38,110.07	393 7400	172,458 12	134,348 05
1,315 0000	NICE-SYSTEMS LTD ADR K&E SMITH FDN WESTFI	36,035 27	61 8200	81,293 30	45,258 03
4,725 0000	NIKE INC K&E SMITH FDN - EDGE	421,961 06	131 0300	619,116 75	197,155 69
580 0000	NORFOLK SOUTHERN CORP K&E SMITH FDN WESTFI	46,305.25	80 0300	46,417 40	112 15
3,700 0000	NORTHERN TECHNOLOGIES INTERNAT K&E SMITH FDN - RUTA	66,224.48	16 9900	62,863 00	3,361.48-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE: 36
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2.995 0000	NORTHWEST BANCSHARES INC K&E SMITH FDN - KENN	37,046.35	13 4600	40,312 70	3,266 35
139 0000	OLD LINE BANCSHARES INC K&E SMITH FDN - KENN	2,228 98	17 1500	2,383 85	154 87
4,640 0000	OLIN CORP K&E SMITH FDN- LONDO	113,056 33	19 1800	88,995 20	24,061.13-
1,563 0000	OMEGA PROTEIN CORP K&E SMITH FDN - KENN	23,825 96	18 2000	28,446 60	4,620 64
760 0000 5,321.0000 6,081 0000	OMNOVA SOLUTIONS INC K&E SMITH FDN - KENN K&E SMITH FDN - RUTA	5,858 73 6,020 49 11,879 22	7 1800 7 1800	5,456 80 38,204 78 43,661.58	401 93- 32,184 29 31,782 36
1,657 0000	1-800-FLOWERS COM INC K&E SMITH FDN - KENN	14,263 12	9 9300	16,454 01	2,190 89
1,115 0000	ORASURE TECHNOLOGIES INC K&E SMITH FDN - KENN	5,445 03	5 2000	5,798 00	352.97
2,121 0000	ORBITAL ATK INC K&E SMITH FDN- LONDO	109,608 11	85 6200	181,600 02	71,991 91
3,049 0000	ORITANI FINANCIAL CORP K&E SMITH FDN - KENN	42,786 92	15 9200	48,540 08	5,753 16
1,341 0000	PC-TEL INC K&E SMITH FDN - KENN	10,085 40	5 7150	7,663 82	2,421 58-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 37
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
3,200 0000	PNC FINANCIAL SERVICES GROUP I K&E SMITH FDN - DIAM	182,109 22	90 2600	288,832 00	106,722 78
3,309 0000	PRA GROUP INC K&E SMITH FDN-DENT	188,510 16	54 8000	181,333 20	7,176 96-
2,340 0000	PACIFIC CONTINENTAL CORP K&E SMITH FDN - KENN	25,799 46	14,3400	33,555.60	7,756.14
1,708 0000	PACIFIC MERCANTILE BANCORP K&E SMITH FDN - KENN	10,221 88	6 7800	11,580 24	1,358 36
1,680 0000	PARK STERLING CORP K&E SMITH FDN - KENN	9,828 73	7 2500	12,180 00	2,351 27
2,065 0000	PARKER-HANNIFIN CORP K&E SMITH FDN - DIAM	145,421 53	104 7000	216,205 50	70,783 97
629 0000	PATRIOT TRANSPORTATION HOLDING K&E SMITH FDN - RUTA	9,165 92	22 5150	14,161 94	4,996 02
2,375 0000	PEOPLES BANCORP INC/OH K&E SMITH FDN - KENN	53,589 70	19 1600	45,505 00	8,084 70-
877 0000	PEOPLE'S UTAH BANCORP K&E SMITH FDN - KENN	12,716 50	16,4600	14,435 42	1,718 92
1,248 0000	PEP BOYS-MANNY MOE & JACK/THE K&E SMITH FDN - KENN	11,347 13	15 0400	18,769 92	7,422 79



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 38
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
13,165 0000	PFIZER INC K&E SMITH FDN - DIAM	278,456 04	33 8200	445,240 30	166,784 26
1,577 0000	PHARMERICA CORP K&E SMITH FDN - KENN	25,217 19	28 5700	45,054 89	19,837.70
2,500 0000	PHILIP MORRIS INTERNATIONAL IN K&E SMITH FDN - DIAM	223,925 46	88 4000	221,000 00	2,925.46-
398 0000	PHOENIX COS INC/THE K&E SMITH FDN - KENN	13,268 87	34 3800	13,683 24	414 37
30,000 0000	PHOTRONICS INC K&E SMITH FDN - D SM	179,700 00	9 5900	287,700 00	108,000 00
970 0000	POOL CORP K&E SMITH FDN NEWSOU	35,260.43	81 5400	79,093 80	43,833 37
1,600 0000	PRAXAIR INC K&E SMITH FDN - DIAM	207,201 97	111 0900	177,744 00	29,457 97-
2,029 0000	PREFERRED APARTMENT COMMUNITIE K&E SMITH FDN - KENN	11,020 53	10 9500	22,217 55	11,197 02
5,490 0000	T ROWE PRICE GROUP INC K&E SMITH FDN - EDGE	261,285 92	75 6200	415,153 80	153,867 88
612 0000	PRICELINE GROUP INC/THE K&E SMITH FDN - EDGE	757,366 35	1,454 2400	889,994 88	132,628 53



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 39
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
1,276 0000	PRICESMART INC K&E SMITH FDN- LONDO	33,603.02	85 9800	109,710 48	76,107 46
6,496 0000	PRIMERO MINING CORP K&E SMITH FDN - D SM	27,823.67	2 2900	14,875.84	12,947.83-
6,160 0000	PROCTER & GAMBLE CO/THE K&E SMITH FDN - DIAM	381,005.68	76 3800	470,500 80	89,495 12
8,120 0000	PROGRESSIVE CORP/THE K&E SMITH FDN - DIAM	184,539 60	33 1300	269,015 60	84,476 00
3,615 0000	PRUDENTIAL FINANCIAL INC K&E SMITH FDN - DIAM	201,780 97	82 5000	298,237 50	96,456 53
1,779 0000	PSYCHEMEDICS CORP K&E SMITH FDN - KENN	20,455 05	10 4100	18,519 39	1,935 66-
4,041 0000	PURE CYCLE CORP K&E SMITH FDN - KENN	19,952 92	5 1000	20,609 10	656 18
521 0000	QCR HOLDINGS INC K&E SMITH FDN - KENN	10,131.84	22 7800	11,868 38	1,736 54
4,000 0000	QAD INC K&E SMITH FDN - RUTA	41,989 20	25 5400	102,160.00	60,170 80
1,582 0000	QUANEX BUILDING PRODUCTS CORP K&E SMITH FDN - KENN	29,021 43	18 8700	29,852 34	830 91



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 40
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
5.534 0000	QUANTUM CORP K&E SMITH FDN - KENN	6,024.80	0.8400	4,648.56	1,376.24-
14,149 0000	RAND LOGISTICS INC K&E SMITH FDN - RUTA	53,513.92	2.1200	29,995.88	23,518.04-
2,451 0000	RANGE RESOURCES CORP K&E SMITH FDN-DENT	106,830.49	30.4400	74,608.44	32,222.05-
1,020 0000 2,647 0000 3,667 0000	RED HAT INC K&E SMITH FDN WESTFI K&E SMITH FDN-DENT	53,734.31 128,061.43 181,795.74	79.1100 79.1100	80,692.20 209,404.17 290,096.37	26,957.89 81,342.74 108,300.63
711 0000	REIS INC K&E SMITH FDN - RUTA	5,579.19	24.3400	17,305.74	11,726.55
453 0000	RENASANT CORP K&E SMITH FDN - KENN	6,774.41	34.6300	15,687.39	8,912.98
19 0000	RENT-A-CENTER INC/TX K&E SMITH FDN - KENN	329.16	18.3900	349.41	20.25
4,869 0000	RENTECH INC K&E SMITH FDN - KENN	51,030.56	5.8500	28,483.65	22,546.91-
10,800 0000	RESOLUTE FOREST PRODUCTS INC K&E SMITH FDN - D SM	162,422.28	7.4700	80,676.00	81,746.28-
1,411 0000	ROCKY BRANDS INC K&E SMITH FDN - KENN	17,422.04	12.7500	17,990.25	568.21



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 41
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
864 0000	ROPER TECHNOLOGIES INC K&E SMITH FDN-DENT	93,537.95	186 3500	161,006 40	67,468 45
223 0000	ROVI CORP K&E SMITH FDN - KENN	1,981 05	9 1500	2,040 45	59.40
4,000 0000	RUBY TUESDAY INC K&E SMITH FDN - D SM	24,761.60	5 2300	20,920 00	3,841 60-
4,405 0000	RUSH ENTERPRISES INC K&E SMITH FDN NEWSOU	98,286.89	24 3800	107,393 90	9,107 01
660 0000	SBA COMMUNICATIONS CORP K&E SMITH FDN-DENT	71,987.06	119 0200	78,553 20	6,566 14
4,074 0000	SEI INVESTMENTS CO K&E SMITH FDN-DENT	165,212.75	51 8200	211,114 68	45,901 93
1,551 0000	SI FINANCIAL GROUP INC K&E SMITH FDN - KENN	14,647 99	12 6500	19,620 15	4,972 16
4,720 0000	S&W SEED CO K&E SMITH FDN - KENN	19,363 06	4 5900	21,664 80	2,301 74
2,120 0000	SABRE CORP K&E SMITH FDN WESTFI	46,415 70	29 3200	62,158 40	15,742 70
246 0000	SANDY SPRING BANCORP INC K&E SMITH FDN - KENN	4,300 22	27 5000	6,765 00	2,464 78



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 42
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
479 0000	JOHN B SANFILIPPO & SON INC K&E SMITH FDN - KENN	17,037.32	64,7200	31,000 88	13,963 56
11,500 0000	SANMINA CORP K&E SMITH FDN - D SM	138,504 85	20 6700	237,705 00	99,200 15
24,387 0000	CHARLES SCHWAB CORP/THE K&E SMITH FDN - EDGE	647,266 45	30 5200	744,291 24	97,024 79
340 0000	SCOTTS MIRACLE-GRO CO/THE K&E SMITH FDN WESTFI	20,801.00	66 1600	22,494 40	1,693.40
369 0000	SENECA FOODS CORP K&E SMITH FDN - KENN	7,749 04	29 1800	10,767 42	3,018 38
4,695 0000	SERVICE CORP INTERNATIONAL/US K&E SMITH FDN- LONDO	52,225 63	28 2600	132,680 70	80,455 07
562.0000	SHOE CARNIVAL INC K&E SMITH FDN - KENN	11,424.74	22 4700	12,628 14	1,203 40
1,831.0000	SHORETEL INC K&E SMITH FDN - KENN	11,909 96	9 4400	17,284 64	5,374 68
2,530 0000	SIX FLAGS ENTERTAINMENT CORP K&E SMITH FDN WESTFI	118,212 25	52 0400	131,661 20	13,448 95
430 0000	SKYWORKS SOLUTIONS INC K&E SMITH FDN WESTFI	34,632 85	77 2400	33,213 20	1,419 65-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 43
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
233 0000	SPAN-AMERICA MEDICAL SYSTEMS I K&E SMITH FDN - KENN	4,238 02	18 1430	4,227 32	10 70-
791 0000	SPARTANNASH CO K&E SMITH FDN - KENN	18,577 07	27 9000	22,068 90	3,491 83
2,047 0000	SPARTON CORP K&E SMITH FDN - KENN	50,581 93	23 5300	48,165 91	2,416 02-
900 0000	STAGE STORES INC K&E SMITH FDN - RUTA	8,607 40	9 7300	8,757 00	149 60
1,355 0000	STARWOOD HOTELS & RESORTS WORL K&E SMITH FDN WESTFI	89,927 92	79 8700	108,223 85	18,295 93
667 0000	STATE BANK FINANCIAL CORP K&E SMITH FDN - KENN	10,176 04	21 3700	14,253 79	4,077 75
1,150 0000	STEEL EXCEL INC K&E SMITH FDN - KENN	27,890 88	16 8000	19,320 00	8,570.88-
1,478 0000	STERICYCLE INC K&E SMITH FDN-DENT	122,375.29	121 3700	179,384 86	57,009 57
1,273 0000	STONEGATE BANK K&E SMITH FDN - KENN	34,836 18	31 2100	39,730 33	4,894 15
8,950 0000	STONERIDGE INC K&E SMITH FDN - RUTA	104,473.89	12 6900	113,575.50	9,101 61



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 44
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
1,835 0000	STRYKER CORP K&E SMITH FDN - DIAM	149,501 93	95 6200	175,462 70	25,960 77
1,274 0000	STURM RUGER & CO INC K&E SMITH FDN- LONDO	18,098.71	56 9400	72,541 56	54,442 85
1,453 0000	SUMMER INFANT INC K&E SMITH FDN - KENN	3,446 19	1 7300	2,513 69	932 50-
4,487 0000	SUPERIOR ENERGY SERVICES INC K&E SMITH FDN- LONDO	84,915.30	14 1600	63,535 92	21,379 38-
1,319 0000	SUPERIOR INDUSTRIES INTERNATIO K&E SMITH FDN - KENN	24,557.00	19 6800	25,957 92	1,400.92
11,964 0000	SUPPORT COM INC K&E SMITH FDN - KENN	26,445.81	1 1600	13,878 24	12,567.57-
504 0000	SYKES ENTERPRISES INC K&E SMITH FDN - KENN	7,442 03	29 0000	14,616 00	7,173 97
564 0000	SYMMETRY SURGICAL INC K&E SMITH FDN - KENN	3,620 89	8 5000	4,794 00	1,173.11
10,000 0000	SYSCO CORP K&E SMITH FDN - DIAM	349,919 35	41 2500	412,500 00	62,580 65
4,490 0000	TJX COS INC/THE K&E SMITH FDN - DIAM	193,639 60	73 1900	328,623 10	134,983.50



BNY MELLON
WEALTH MANAGEMENT

TRDCQ CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 45
M1101

31 OCTOBER 2015

COO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
12,963 0000	TANGOE INC K&E SMITH FDN-DENT	152,170 08	8 2800	107,333 64	44,836 44-
5,570 0000	TEGNA INC K&E SMITH FDN - DIAM	123,908 32	27 0400	150,612 80	26,704 48
1,408 0000	TEJON RANCH CO K&E SMITH FDN- LONDO	32,866 48	22 5300	31,722 24	1,144 24-
367 0000	TEJON RANCH CO WT EXP 08/31/2016 K&E SMITH FDN- LONDO	0 00	0 1155	42 39	42 39
4,663 0000	TELECOMMUNICATION SYSTEMS INC K&E SMITH FDN - KENN	15,691 44	4 0900	19,071 67	3,380 23
445 0000	TELEFLEX INC K&E SMITH FDN WESTFI	58,565 89	133 0000	59,185 00	619 11
1,997 0000	TEMPUR SEALY INTERNATIONAL INC K&E SMITH FDN- LONDO	88,002 58	77,8400	155,446 48	67,443 90
2,595 0000	TENET HEALTHCARE CORP K&E SMITH FDN- LONDO	42,318.43	31 3700	81,405 15	39,086 72
1,470 0000	TESCO CORP K&E SMITH FDN NEWSOU	20,249.25	8 0000	11,760 00	8,489.25-
525 0000	TESORO CORP K&E SMITH FDN WESTFI	10,081 99	106 9300	56,138 25	46,056 26



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 46
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
2,590 0000	THERMON GROUP HOLDINGS INC K&E SMITH FDN NEWSOU	47,694 62	20 1100	52,084 90	4,390 28
800 0000	TIDEWATER INC K&E SMITH FDN - D SM	38,571 12	12 3500	9,880 00	28,691 12-
287 0000	TILE SHOP HOLDINGS INC K&E SMITH FDN - KENN	3,110 35	14 5100	4,164 37	1,054 02
7,550 0000	TITAN MACHINERY INC K&E SMITH FDN - RUTA	96,862.35	12 2300	92,336 50	4,525 85-
2,070 0000	TOTAL SYSTEM SERVICES INC K&E SMITH FDN WESTFI	50,234.71	52 4500	108,571 50	58,336 79
270.0000	TRANSDIGM GROUP INC K&E SMITH FDN WESTFI	23,312.50	219 8500	59,359 50	36,047 00
303 0000	K&E SMITH FDN-DENT	68,558.11	219 8500	66,614 55	1,943 56-
573 0000		91,870.61		125,974 05	34,103 44
1,207 0000	TRAVELCENTERS OF AMERICA LLC K&E SMITH FDN - KENN	14,128.60	11 5200	13,904 64	223 96-
653 0000	TRECORA RESOURCES K&E SMITH FDN - KENN	8,146 40	14 2400	9,298 72	1,152.32
1,824 0000	TREDEGAR CORP K&E SMITH FDN- LONDO	25,232.74	14 2600	26,010 24	777 50
1,135 0000	TRICO BANCSHARES K&E SMITH FDN - KENN	27,558 80	26.3600	29,918 60	2,359 80



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

COO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 47
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
773 0000	TRIMAS CORP K&E SMITH FDN - KENN	17,240 44	20 0100	15,467 73	1,772 71-
5,637 0000	TRIMBLE NAVIGATION LTD K&E SMITH FDN-DENT	123,583.19	22 7500	128,241 75	4,658 56
14,527 0000	TWENTY-FIRST CENTURY FOX INC K&E SMITH FDN - EDGE	530,744 29	30 6900	445,833 63	84,910 66-
5,820 0000	TWENTY-FIRST CENTURY FOX INC K&E SMITH FDN - DIAM	196,968 64	30 8800	179,721 60	17,247 04-
534 0000	TYLER TECHNOLOGIES INC K&E SMITH FDN-DENT	47,308.82	170 3600	90,972 24	43,663 42
11,150 0000	UMH PROPERTIES INC K&E SMITH FDN - RUTA	102,867 20	9 8900	110,273 50	7,406 30
3,887 0000	USG CORP K&E SMITH FDN- LONDO	105,268 73	23 5700	91,616 59	13,652 14-
665 0000	ULTA SALON COSMETICS & FRAGRAN K&E SMITH FDN WESTFI	86,471.94	173 9600	115,683.40	29,211 46
967 0000	UNIFI INC K&E SMITH FDN - KENN	27,297.83	30 5900	29,580 53	2,282 70
770 0000	UNIFIRST CORP/MA K&E SMITH FDN NEWSOU	51,394 51	105 0700	80,903 90	29,509 39



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23.16 09 RUN DATE 13-NOV-15
PAGE 48
M1101

31 OCTOBER 2015

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
3.834 0000	UNISYS CORP K&E SMITH FDN - KENN	69,559 19	13 4000	51,375 60	18,183.59-
2,300 0000	UNITED CONTINENTAL HOLDINGS IN K&E SMITH FDN WESTFI	116,663 29	60 3100	138,713 00	22,049 71
1,574 0000	UNITED FINANCIAL BANCORP INC K&E SMITH FDN - KENN	20,569.08	12 9800	20,430 52	138 56-
1,820 0000	UNITED PARCEL SERVICE INC K&E SMITH FDN - DIAM	179,508 60	103 0200	187,496 40	7,987 80
4,465 0000	UNITED TECHNOLOGIES CORP K&E SMITH FDN - DIAM	302,119 04	98 4100	439,400 65	137,281 61
730 0000	UNITED THERAPEUTICS CORP K&E SMITH FDN WESTFI	113,712 29	146 6300	107,039 90	6,672 39-
264 0000	UNITIL CORP K&E SMITH FDN - KENN	7,628 42	35 4700	9,364 08	1,735 66
5,081 0000	UNIVERSAL STAINLESS & ALLOY PR K&E SMITH FDN - RUTA	146,466 62	8 7000	44,204 70	102,261 92-
1,889.0000	UNIVEST CORP OF PENNSYLVANIA K&E SMITH FDN - KENN	35,251.77	19 6900	37,194 41	1,942 64
3,380 0000	UNUM GROUP K&E SMITH FDN WESTFI	119,257 00	34 6500	117,117 00	2,140 00-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE: 13-NOV-15
PAGE 49
M1101

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
801 0000	URSTADT BIDDLE PROPERTIES INC K&E SMITH FDN - KENN	14,824 57	20 1000	16,100 10	1,275 53
4,215 0000	VF CORP K&E SMITH FDN - DIAM	157,989 01	67 5200	284,596 80	126,607 79
670 0000	K&E SMITH FDN WESTFI	50,235 44	67 5200	45,238 40	4,997 04-
4,885 0000		208,224 45		329,835 20	121,610 75
1,020 0000	VALERO ENERGY CORP K&E SMITH FDN WESTFI	26,791 12	65 9200	67,238 40	40,447 28
30,825 0000	VANGUARD DIVIDEND APPREC ETF K&E SMITH FDN - CASH	1,724,931 58	78 7100	2,426,235 75	701,304 17
64,098 0080	VANGUARD RUSSELL 1000-INST K&E SMITH FDN - CASH	11,050,550 99	185 1300	11,866,464 22	815,913 23
4,885 0000	VANTIV INC K&E SMITH FDN - DIAM	155,706 28	50 1500	244,982 75	89,276 47
2,667 0000	VERISK ANALYTICS INC K&E SMITH FDN-DENT	168,028 47	71 6100	190,983 87	22,955 40
277 0000	VERITIV CORP K&E SMITH FDN - D SM	10,317 23	42 0000	11,634 00	1,316 77
2,754 0000	VERSAR INC K&E SMITH FDN - KENN	9,295 91	3 2100	8,840 34	455 57-
930 0000	VERTEX PHARMACEUTICALS INC K&E SMITH FDN WESTFI	110,607 36	124 7400	116,008 20	5,400 84



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

31 OCTOBER 2015

C00 G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 50
M1101

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
2,231 0000	VIAD CORP K&E SMITH FDN - KENN	55,742 13	30 1100	67,175 41	11,433 28
1,720 0000	VIASAT INC K&E SMITH FDN NEWSOU	98,187 97	65 9600	113,451 20	15,263 23
13,024 0000	VISA INC K&E SMITH FDN - EDGE	200,685 63	77 5800	1,010,401 92	809,716 29
4,400 0000	VISHAY PRECISION GROUP INC K&E SMITH FDN - RUTA	50,139 78	11 7300	51,612 00	1,472 22
2,330 0000	VISTA OUTDOOR INC K&E SMITH FDN- LONDO	63,522 79	44 7200	104,197 60	40,674 81
895 0000	VULCAN MATERIALS CO K&E SMITH FDN WESTFI	45,755 06	96 5800	86,439 10	40,684 04
420 0000	WABCO HOLDINGS INC K&E SMITH FDN WESTFI	48,292 15	112 2300	47,136 60	1,155 55-
2,376 0000	WADDELL & REED FINANCIAL INC K&E SMITH FDN- LONDO	104,327 90	36 9400	87,769 44	16,558.46-
3,690 0000	WAGEWORKS INC K&E SMITH FDN-DENT	189,565 63	48 0200	177,193 80	12,371 83-
3,670 0000	WALTER INVESTMENT MANAGEMENT C K&E SMITH FDN NEWSOU	73,579 02	11 9600	43,893 20	29,685.82-



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

C00 G8000000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 51
M1101

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
154,936 7130	WASATCH INTERNATIONAL GROWTH K&E SMITH FDN - CASH	4,086,939 95	29 2000	4,524,152 02	437,212 07
2,770 0000	WASTE CONNECTIONS INC K&E SMITH FDN-DENT	125,049 21	54 4800	150,909 60	25,860 39
535 0000	WELLS FARGO & CO K&E SMITH FDN - DIAM	27,463 32	54 1400	28,964 90	1,501 58
1,480 0000	WHIRLPOOL CORP K&E SMITH FDN - DIAM	203,079 66	160 1400	237,007 20	33,927 54
6,068 0000	WILLIS LEASE FINANCE CORP K&E SMITH FDN - RUTA	83,290 43	15 6000	94,660 80	11,370 37
926 0000	WINNEBAGO INDUSTRIES INC K&E SMITH FDN - KENN	22,193.45	20 9900	19,436 74	2,756.71-
921 0000	WORLD FUEL SERVICES CORP K&E SMITH FDN- LONDO	37,846.88	44 4600	40,947 66	3,100 78
208 0000	YORK WATER CO/THE K&E SMITH FDN - KENN	3,835 09	23 1800	4,821 44	986 35
1,930 0000 600.0000 2,530 0000	ZEBRA TECHNOLOGIES CORP K&E SMITH FDN NEWSOU K&E SMITH FDN WESTFI	82,549 18 46,013 08 128,562 26	76 9000 76 9000	148,417 00 46,140.00 194,557 00	65,867 82 126 92 65,994.74
1,117 0000	ZIX CORP K&E SMITH FDN - KENN	2,755 75	5 1800	5,786 06	3,030 31



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 52
M1101

31 OCTOBER 2015

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
7,902,951 0000	GLASFUNDS LTD K&E SMITH FDN - CASH	8,432,400.00	1 0000	7,902,951.00	529,449 00-
40,000 0000	CROSS SHORE CLASS E K&E SMITH FDN - CASH	4,000,000 00	91 1800	3,647,200 00	352,800 00-
26,084 4840	BERNSTEIN EMERGING MARKETS FUND K&E SMITH FDN - CASH	3,607,452 27	113 6356	2,964,125 99	643,326 28-
TOTAL INVESTMENTS EQUITY		87,674,202 75		101,858,542 62	14,184,339 87

INVESTMENTS PRIVATE EQUITY

POST CAPITAL EQUITY PARTNERS III LP					
60,692 8800	K&E SMITH FDN-PE	70,518 91	1 0000	60,692 88	9,826 03-
SIGULER GUFF DISTRESSED OPPORTUNITIES IV FUND					
1,928,166 8500	K&E SMITH FDN-PE	1,456,054 48	1 0000	1,928,166 85	472,112 37
CUYAHOGA CAPITAL PARTNERS IV					
656,508 9900	K&E SMITH FDN-PE	535,239 28	1 0000	656,508 99	121,269 71
LINSALATA CAPITAL VI					
282,076 0000	K&E SMITH FDN-PE	223,253 00	1 0000	282,076 00	58,823 00
CHAMPION 2012 FUND					
615,528 1400	K&E SMITH FDN-REAL AS	81,581 06	1 0000	615,528 14	533,947 08



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601 INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23:16 09 RUN DATE 13-NOV-15
PAGE 53
M1101

<u>SHARES/ PAR VALUE</u>	<u>SECURITY DESCRIPTION</u>	<u>COST</u>	<u>PRICE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
50 0000	CLANCO PRIVATE EQUITY 2006 K&E SMITH FDN-PE	1,286,575 23	38,624,1800	1,931,209 00	644,633 77
40 0000	CLANCO PRIVATE EQUITY 2007 K&E SMITH FDN-PE	1,600,585 85	68,856,2500	2,754,250 00	1,153,664 15
2,507,906 0000	PINEBRIDGE PRIVATE EQUITY PORTFOLIO III K&E SMITH FDN-PE	1,509,003 00	1 0000	2,507,906 00	998,903 00
804,329 4700	KENNEDY WILSON RE FUND IV K&E SMTH FDN-REAL AS	575,508 26	1 0000	804,329 47	228,821 21
466,377 9900	FLAG PRIVATE EQUITY V K&E SMITH FDN-PE	391,484 38	1 0000	466,377 99	74,893 61
365,773 0000	COMMONFUND IX K&E SMTH FDN-REAL AS	366,070 00	1 0000	365,773.00	297 00-
4,338,207 5000	ABERDEEN INTERNATIONAL SMALL CAP K&E SMITH FDN - CASH	4,000,000 00	1 0000	4,338,207 50	338,207 50
3,190,538 0000	SIT OPPORTUNITY BOND FUND K&E SMITH FDN - CASH	3,000,000 00	1 0000	3,190,538 00	190,538 00
1,583,041 0000	SIT CUSTOM ALPHA FUND K&E SMITH FDN - CASH	1,500,000 00	1 0000	1,583,041 00	83,041 00
91,144 0000	FLAG PRIVATE EQUITY VI K&E SMITH FDN-PE	90,872.00	1 0000	91,144 00	272 00



BNY MELLON
WEALTH MANAGEMENT

TRDCQO CQOG80000000 MONTHLY FINAL 231601

INVESTMENT DETAIL

CQO G800000
K&E SMITH FOUNDATION
K&E SMITH FOUNDATION

31 OCTOBER 2015

2015-10-31 CYCLE A 23 16 09 RUN DATE 13-NOV-15
PAGE 54
M1101

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
TOTAL INVESTMENTS PRIVATE EQUITY					
		16,686,745 45		21,575,748 82	4,889,003 37
INVESTMENTS OTHER					
157,015 0620	WESTWOOD INCOME OPPORTUN - I K&E SMITH FDN - CASH	1,873,085 17	14 3900	2,259,446 74	386,361 57
71,834 6130	FPA CRESCENT FUND K&E SMITH FDN - CASH	2,008,463 23	33 3800	2,397,839 38	389,376.15
172,970 3530	PIMCO ALL ASSET FUND-INSTITU K&E SMITH FDN - CASH	2,056,165 69	10 7500	1,859,431 29	196,734 40-
5,052,478 7100	WESTWOOD EMERGING MARKETS TRUST K&E SMITH FDN - CASH	6,525,652 50	1 0000	5,052,478 71	1,473,173 79-
TOTAL INVESTMENTS OTHER		12,463,366 59		11,569,196 12	894,170 47-
TOTAL INVESTMENT		131,525,602 95		149,710,559 97	18,184,957 02

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JULIE A. BOLAND 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
CHARLES P. BOLTON 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
CAREY F. JAROS 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
TREVOR O. JONES 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
WILLIAM B, LAPLACE 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 1.00	0.	0.	0.
ELLEN STIRN MAVEC 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	PRESIDENT & CHAIR 40.00	234,375.	0.	0.
VANESSA MAVEC 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
J.T. MULLEN 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
JOHN G. NESTOR 30195 CHAGRIN BLVD. SUITE 275 CLEVELAND, OH 44124	TRUSTEE 0.50	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		234,375.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

PAMELA EICHENAUER
30195 CHAGRIN BLVD SUITE 275
CLEVELAND, OH 44124

TELEPHONE NUMBER

216-591-9111

FORM AND CONTENT OF APPLICATIONS

- (1) WRITTEN APPLICATION;
- (2) CURRENT AUDITED FINANCIAL STATEMENTS; AND
- (3) IRS TAX EXEMPTION LETTER

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

APPLICATION MAY BE MADE ONLY BY INTERNAL REVENUE CODE SECTION 509(A)(1),
(2), OR (3) ORGANIZATIONS. PREFERENCE IS GIVEN TO THE GREATER CLEVELAND
AREA.

FY2015 Tax File Reconciliation

NAME OF ORGANIZATION	PURPOSE OF GRANT	DOLLAR AMOUNT OF GRANT
Achievement Centers for Children 4255 Northfield Road Highland Hills, Ohio 44128	General Operating	\$ 5,500
Art Therapy Studio 12200 Fairhill Road Cleveland, Ohio 44120	General Operating	\$ 5,000
The Beck Center for the Arts 17801 Detroit Avenue Lakewood, Ohio 44107	General Operating	\$ 12,000
Beech Brook 3737 Lander Road Cleveland, Ohio 44124	General Operating	\$ 3,750
	General Operating	\$ 25,000
Boys & Girls Clubs of Cleveland 6114 Broadway Avenue Cleveland, Ohio 44127	General Operating	\$ 10,000
	Campaign	\$ 50,000
BVU: The Center for Nonprofit Excellence 1300 East 9 th Street - Suite 1805 Cleveland, Ohio 44114	General Operating	\$ 15,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, Ohio 44106-7001	General Operating	\$ 15,000
	Capital - Thinkbox	\$ 125,000
	Capital - Univ. Ctr	\$ 500,000
	Capital - Univ. Ctr	\$ 500,000
	Capital - Univ. Ctr	\$ 365,000
	Capital - Thinkbox	\$ 125,000
Catholic Charities 1404 East 9th Street - 8th Floor Cleveland, Ohio 44114-1722	General Operating	\$ 2,500
Center for Arts-Inspired Learning 13110 Shaker Square Cleveland, Ohio 44120	General Operating	\$ 30,000
The Children's Museum of Cleveland 10730 Euclid Avenue Cleveland, Ohio 44106	General Operating	\$ 15,000
Cleveland Botanical Garden 11030 East Boulevard Cleveland, Ohio 44106	General Operating	\$ 35,000
Cleveland Hearing & Speech Center 11206 Euclid Avenue Cleveland, Ohio	General Operating	\$ 15,000
Cleveland International Piano Competition 20600 Chagrin Blvd, Suite 1110 Shaker Hts, Ohio 44122	General Operating	\$ 10,000
Cleveland Leadership Center	General Operating	\$ 32,000

1422 Euclid Avenue - Suite 940 Cleveland, Ohio 44115		
The Cleveland Museum of Art 11150 East Boulevard Cleveland, Ohio 44106	General Operating General Operating Capital	\$ 3,750 \$ 150,000 \$ 240,000
Cleveland Public Theatre 6415 Detroit Road Cleveland, Ohio 44102	General Operating	\$ 10,000
The Cleveland Rotary Foundation 1122 Prospect Avenue Cleveland, Ohio 44115	General Operating	\$ 1,000
Cleveland Sight Center 1909 East 101st Street P.O. Box 1988 Cleveland, Ohio 44106-8696	General Operating	\$ 30,000
Cleveland State University Foundation, Inc. 2121 Euclid Avenue, KB 300 Cleveland, Ohio 44115-2214	Film & Media Center	\$ 11,300
College Now Greater Cleveland 200 Public Square – Suite 3820 Cleveland, Ohio 44114-3304	General Operating	\$ 40,000
Conservancy for Cuyahoga Valley National Park Hines Hill Center 1403 W. Hines Hill Road Peninsula, Ohio 44264	General Operating	\$ 25,000
Cuyahoga County Public Library 2111 Snow Road Parma, Ohio 44134	Campaign	\$ 100,000
Cuyahoga Valley Scenic Railroad P.O. Box 158 Peninsula, Ohio 44264-0158	General Operating	\$ 15,000
Downtown Cleveland Alliance 50 Public Square, Suite 825 Cleveland, Ohio 44113	General Operating	\$ 50,000
Family Promise of Greater Cleveland 3470 East 152nd Street Cleveland, Ohio 44120	General Operating	\$ 25,000
Fieldstone Farm Therapeutic Riding Center 16497 Snyder Road P.O. Box 23129 Chagrin Falls, Ohio 44023	General Operating	\$ 40,000
Flying Horse Farms	General Operating	\$ 20,000

5260 State Route 95 Mt. Gilead, Ohio 43338		
The Foundation Center – Cleveland 1422 Euclid Avenue - Suite 1600 Cleveland, Ohio 44115	General Operating	\$ 6,000
The Free Medical Clinic of Greater Cleveland 12201 Euclid Avenue Cleveland, Ohio 44106-4399	General Operating	\$ 45,000
Fund for Our Economic Future 1360 East 9 th Street Cleveland, Ohio 44114	Phase 4	\$ 333,333
The Gathering Place 23300 Commerce Park Beachwood, Ohio 44122	General Operating	\$ 30,000
Great Lakes Basin Conservancy P.O. Box 504 Gates Mills, Ohio 44040	Georgian Bay Land Trust	\$ 5,000
Greater Cleveland Food Bank 15500 S. Waterloo Road Cleveland, Ohio 44110	General Operating Children's Nutrition Initiative	\$ 3,750 \$ 50,000
Greater Cleveland Habitat for Humanity 2110 West 110th Street Cleveland, Ohio 44102	General Operating	\$ 20,000
Group Plan Commission 1939 West 25th Street - Suite 200 Cleveland, Ohio 44113	Capital - Public Square	\$ 125,000
Happy Trails Farm Animal Sanctuary 5623 New Milford Road Ravenna, Ohio 44266	Capital	\$ 25,000
Hathaway Brown School 19600 North Park Boulevard Shaker Heights, Ohio 44122	General Operating	\$ 1,000
Hattie Larlham Foundation 7996 Darrow Road, Suite 10 Twinsburg, Ohio 44087	General Operating	\$ 5,000
Hawken School 12465 County Line Road Gates Mills, Ohio 44040-8002	Capital - Stirn Hall Capital - Stirn Hall Annual Fund Capital - Stirn Hall Capital - Stirn Hall Capital - Stirn Hall	\$ 5,000 \$ 503,701 \$ 20,000 \$ 1,000,000 \$ 365,000 \$ 500,000
The Hiram House	2015 Campership Fund	\$ 10,000

33775 Hiram Trail Chagrin Falls, Ohio 44022		
The Holden Arboretum 9500 Sperry Road Kirtland, Ohio 44094	CBG/Holden Integration	\$ 125,000
Hopewell 9637 State Road Route 534 P.O. Box 193 Mesopotamia, Ohio 44439	General Operating	\$ 35,000
ideastream 1375 Euclid Avenue Cleveland, Ohio 44115	General Operating Campaign for Community	\$ 37,000 \$ 100,000
Junior Achievement of Greater Cleveland, Inc. 1422 Euclid Avenue - Suite 525 Cleveland, Ohio 44115	General Operating	\$ 15,000
Lake Erie Nature and Science Center 28728 Wolf Road Bay Village, Ohio 44140	General Operating	\$ 30,000
Lakewood Hospital Foundation 14601 Detroit Avenue - Suite 240 Lakewood, Ohio 44107	General Operating	\$ 6,500
Lawrence School 10036 Olde Eight Road Sagamore Hills, Ohio 44067	General Operating	\$ 50,000
May Dugan Center 4115 Bridge Avenue Cleveland, Ohio 44113	General Operating	\$ 7,500
Museum of Contemporary Art Cleveland 11400 Euclid Avenue Cleveland, Ohio 44106	General Operating	\$ 40,000
The Musical Arts Association 11001 Euclid Avenue Cleveland, Ohio 44106	General Operating General Operating	\$ 3,750 \$ 150,000
National Academy of Engineering 1975 Highgate Court Hudson, Ohio 44236	Concussion Conference	\$ 25,000
Nature Center at Shaker Lakes 2600 South Park Boulevard Cleveland, Ohio 44120-1699	General Operating	\$ 7,500

NewBridge Cleveland Center for Arts & Technology 3634 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$ 100,000
North Union Farmers Market 13111 Shaker Square, Suite 301 Cleveland, Ohio 44120	General Operating	\$ 5,000
Ohio Wesleyan University 61 S. Sandusky Street Delaware, Ohio 43015	Capital	\$ 14,238.50
Open Doors Academy 3311 Perkins Avenue Cleveland, Ohio 44114	General Operating	\$ 20,000
Planned Parenthood of Greater Ohio 25350 Rockside Road Bedford Heights, Ohio 44146	General Operating	\$ 50,000
PlayhouseSquare Foundation 1501 Euclid Avenue - Suite 200 Cleveland, Ohio 44115-2197	General Operating	\$ 35,000
	Campaign	\$ 600,000
Pollinator Partnership 423 Washington Street, 5 th Floor San Francisco, California 94111-2339	Monarch Wings Across Ohio	\$ 36,034
Project Learn 2728 Euclid Avenue, Suite 400 Cleveland, Ohio 44115	General Operating	\$ 7,500
Providence House 2037 West 32 nd Street Cleveland, Ohio 44113-4017	General Operating	\$ 30,000
Rainey Institute 1705 East 55th Street Cleveland, Ohio 44103	2015 Summer Camp	\$ 10,000
Saint Martin de Porres High School 6111 Lausche Avenue Cleveland, Ohio 44103	Capital Campaign	\$ 100,000
St. Edward High School 13500 Detroit Avenue Lakewood, Ohio 44107	General Operating	\$ 5,000
Teach For America - Northeast Ohio 3500 Lorain Avenue, Suite 400 Cleveland, Ohio 44113	General Operating	\$ 20,000
Trinity Cathedral 2230 Euclid Avenue Cleveland, Ohio 44115-2405	General Operating	\$ 25,000
The Trust for Public Land 1422 Euclid Avenue	General Operating	\$ 30,000

Cleveland, Ohio 44115		
University Circle Inc. 10831 Magnolia Drive Cleveland, Ohio 44106	General Operating	\$ 25,000
University School 2785 SOM Center Road Hunting Valley, Ohio 44022	General Operating	\$ 1,000
Urban Community School 4909 Lorain Avenue Cleveland, Ohio 44102	Capital Scholarship Support	\$ 50,000 \$ 21,000
Vocational Guidance Services 2239 East 55 th Street Cleveland, Ohio 44103	General Operating	\$ 17,500
West Creek Conservancy P.O. Box 347113 Parma, Ohio 44134	General Operating	\$ 15,000
Western Reserve Land Conservancy P.O. Box 314 Novelty, Ohio 44072	General Operating	\$ 50,000
Youth Opportunities Unlimited 1361 Euclid Avenue Cleveland, Ohio 44115-1819	General Operating	\$ 15,000
YWCA Greater Cleveland 4019 Prospect Avenue Cleveland, Ohio 44103	NIA	\$ 20,000
Total		\$ 7,644,107