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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 11-01-2014 , and ending 10-31-2015

Name of foundation PEIERLS FOUNDATION		A Employer identification number 13-6082503	
% BRIAN ELIOT PEIERLS		B Telephone number (see instructions) (303) 278-2889	
Number and street (or P O box number if mail is not delivered to street address) 73 SOUTH HOLMAN WAY		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code GOLDEN, CO 80401		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 110,914,400		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	13,812,708			
	2 Check ▶ <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	14,143	14,143		
	4 Dividends and interest from securities.	133,183	133,186		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	8,904,525			
	b Gross sales price for all assets on line 6a 26,922,574				
	7 Capital gain net income (from Part IV, line 2) . . .		8,904,525		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	22,864,559	9,051,854		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	126,007	125,807	200	
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	102,456	10,618		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	305,326	305,326		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	533,789	441,751	200	0
	25 Contributions, gifts, grants paid	6,711,200			6,711,200
	26 Total expenses and disbursements. Add lines 24 and 25	7,244,989	441,751	200	6,711,200
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	15,619,570			
	b Net investment income (if negative, enter -0-)		8,610,103		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	16,318,357	25,094,292	25,094,196
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	103,565,044	95,943,588	80,215,406
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,825,428	4,900,479	5,604,798
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	123,708,829	125,938,359	110,914,400	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	123,708,829	125,938,359	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	123,708,829	125,938,359	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	123,708,829	125,938,359	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 123,708,829
2	Enter amount from Part I, line 27a	2 15,619,570
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 139,328,399
5	Decreases not included in line 2 (itemize) ▶ _____	5 13,390,040
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 125,938,359

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	8,904,525
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	6,097,365	114,259,981	0 053364
2012	5,590,695	105,019,425	0 053235
2011	4,975,041	97,098,410	0 051237
2010	5,925,300	99,707,161	0 059427
2009	4,803,500	94,605,101	0 050774

2	Total of line 1, column (d).	2	0 268037
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053607
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	120,604,658
5	Multiply line 4 by line 3.	5	6,465,254
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	86,101
7	Add lines 5 and 6.	7	6,551,355
8	Enter qualifying distributions from Part XII, line 4.	8	6,711,200

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	86,101
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		3	86,101
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	86,101
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	181,103	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	181,103
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	95,002
11		Enter the amount of line 10 to be Credited to 2015 estimated tax 40,000 Refunded		11	55,002

Part VII-AStatements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	Yes	No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?		1b		No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.						
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		No
If "Yes," attach a detailed description of the activities.						
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
If "Yes," attach the statement required by General Instruction T.						
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
• By language in the governing instrument, or						
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes			
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions) NY _____				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of <u>BRIAN ELIOT PEIERLS</u> Telephone no <u>(512) 789-7994</u> Located at <u>3017 MCCURDY STREET AUSTIN TX</u> ZIP +4 <u>78723</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
E JEFFREY PEIERLS	PRESIDENT	0		
73 SOUTH HOLMAN WAY GOLDEN, CO 80401	0			
BRIAN E PEIERLS	VICE PRESIDENT	0		
3017 MCCURDY STREET AUSTIN, TX 78723	0			
MALCOLM A MOORE	SECRETARY	0		
1201 THIRD AVENUE SEATTLE, WA 981013035	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	88,309,882
b	Average of monthly cash balances.	1b	28,529,459
c	Fair market value of all other assets (see instructions).	1c	5,601,936
d	Total (add lines 1a, b, and c).	1d	122,441,277
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	122,441,277
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,836,619
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	120,604,658
6	Minimum investment return. Enter 5% of line 5.	6	6,030,233

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,030,233
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	86,101
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	86,101
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	5,944,132
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	5,944,132
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	5,944,132

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	6,711,200
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,711,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	86,101
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,625,099
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				5,944,132
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			1,771,918	
b Total for prior years 2012 , 2011 , 2010				
3 Excess distributions carryover, if any, to 2014				
a From 2009.				
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 6,711,200				
a Applied to 2013, but not more than line 2a			1,771,918	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				4,939,282
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				1,004,850
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .	0			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) ☐ 4942(j)(5)

Tax year	Prior 3 years			(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

EJEFFREY PEIERLS
73 SOUTH HOLMAN WAY
GOLDEN,CO 80401
(303) 922-6654

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORM REQUIRED, BUT INCLUDE FINANCIAL STATEMENTS, AND COPY OF IRS EXEMPTION LETTER

c Any submission deadlines

NONE, NO RESPONSE WILL BE SENT UNLESS FOUNDATION IS FAVORABLY INCLINED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS ☐ TO INDIVIDUALS, ONLY RECOGNIZED PUBLIC CHARITIES

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	6,711,200
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes	No
-----	----

No

(1) Cash.

(2) Other assets.

1a(1)

No

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization.

1b(1)

No

(3) Rental of facilities, equipment, or other assets. . . .

1b(3)

No

(4) Reimbursement arrangements. . . .

1b(4)

No

(5) Loans or loan guarantees

1b(5)

No

(6) Performance of services or membership or fundraising solicitations

1b(6)

No

d. Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

10

No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization

(b) Type of organization

(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2016-06-06

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Print/Type preparer's name
HUGH P MARRS

Preparer's Signature

Date
2016-09-02

Check if self-employed ☐

PTIN P00506771

Firm's name
MARRS SEVIER & COMPANY LLC

Firm's EIN 

Firm's address ▼
230 south holland street lakewood, CO 80226

Phone no (303) 922-6654

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2536 ANI PHARMCEUTICALS INC		2009-11-02	2015-02-18
2330 ANI PHARMCEUTICALS INC		2009-11-03	2015-02-18
3242 ANI PHARMCEUTICALS INC		2009-10-05	2015-02-18
296 ANI PHARMCEUTICALS INC		2009-10-14	2015-02-18
2706 ANI PHARMCEUTICALS INC		2009-10-06	2015-02-18
997 ANI PHARMCEUTICALS INC		2009-10-02	2015-02-18
639 ANI PHARMCEUTICALS INC		2009-11-18	2015-02-20
42 ANI PHARMCEUTICALS INC		2009-11-03	2015-02-20
1619 ANI PHARMCEUTICALS INC		2009-11-05	2015-02-20
0 7 ARCA BIOPHARMA, INC		2006-02-22	2015-09-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
167,624		139,844	27,780
154,008		128,432	25,576
214,289		215,872	-1,583
19,565		19,607	-42
178,860		175,456	3,404
65,899		67,162	-1,263
42,847		36,110	6,737
2,816		2,315	501
108,558		91,438	17,120
1			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27,780
			25,576
			-1,583
			-42
			3,404
			-1,263
			6,737
			501
			17,120
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
776 AVENTINE RENEWABLE ENERGY WTS		2010-03-16	2015-10-31
180118 CARMENTA BIOSCI SER A CV PFD		2014-01-16	2015-03-30
119813 CARMENTA BIOSCI SER A CV PFD		2013-07-17	2015-03-30
131396 CARMENTA BIOSCI SER A CV PFD		2014-06-27	2015-03-30
0 4 CERECOR INC UNITS(COM + WTS)		2012-03-23	2015-10-20
0 6 CERTES NETWORKS INC COMMON		2012-02-29	2015-02-18
0 8 CERTES NETWORKS INC COMMON		2010-12-23	2015-02-18
800 DESCARTES SYSTEMS GROUP, INC		2002-09-19	2014-11-13
2000 DESCARTES SYSTEMS GROUP, INC		2002-09-03	2014-11-13
1100 DESCARTES SYSTEMS GROUP, INC		2002-09-20	2014-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		2,328	-2,328
656,851		263,080	393,771
436,932		174,999	261,933
479,172		191,917	287,255
3			3
		292,900	-292,900
		785,100	-785,100
12,064		1,882	10,182
30,161		4,820	25,341
16,599		2,552	14,047

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,328
			393,771
			261,933
			287,255
			3
			-292,900
			-785,100
			10,182
			25,341
			14,047

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6000 DESCARTES SYSTEMS GROUP, INC		2002-09-24	2014-11-17
3400 DESCARTES SYSTEMS GROUP, INC		2002-10-07	2014-11-17
10100 DESCARTES SYSTEMS GROUP, INC		2002-09-19	2014-11-17
7900 DESCARTES SYSTEMS GROUP, INC		2004-03-24	2014-11-18
1600 DESCARTES SYSTEMS GROUP, INC		2002-10-07	2014-11-18
577 DESCARTES SYSTEMS GROUP, INC		2004-03-24	2014-11-18
8523 DESCARTES SYSTEMS GROUP, INC		2004-03-24	2014-11-20
3700 INTEGRATED SILICON SOLUTION		2008-10-22	2015-05-21
3500000 INTERACT911 SER CC-1 CV PFD		2012-11-19	2015-10-31
10600000 INTERACT911 SER CC-1 CV PFD		2012-01-31	2015-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
90,538		13,830	76,708
51,305		7,536	43,769
152,406		23,756	128,650
119,208		15,768	103,440
24,143		3,547	20,596
8,707		1,147	7,560
128,609		16,948	111,661
74,518		6,555	67,963
		250,649	-250,649
		797,063	-797,063

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			76,708
			43,769
			128,650
			103,440
			20,596
			7,560
			111,661
			67,963
			-250,649
			-797,063

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3500000 INTERACT911 WTS EXP 11-16-14		2012-11-19	2014-11-16
0 4 LEXICON PHARMACEUTICALS INC		2014-12-03	2015-05-21
275 MOVE, INC		2005-08-09	2014-11-14
11250 MOVE, INC		2002-01-30	2014-11-14
11250 MOVE, INC		2002-01-30	2014-11-14
5000 MOVE, INC		2002-01-29	2014-11-14
5000 MOVE, INC		2002-01-29	2014-11-14
5000 MOVE, INC		2000-04-24	2014-11-14
5000 MOVE, INC		2000-04-24	2014-11-14
5463 OVASCIENCE INC COMMON		2011-07-13	2014-12-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		25	-25
2			2
5,775		22,683	-16,908
236,250		67,550	168,700
236,250		67,550	168,700
105,000		30,050	74,950
105,000		30,050	74,950
105,000		411,180	-306,180
105,000		411,180	-306,180
153,061		11,052	142,009

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-25
			2
			-16,908
			168,700
			168,700
			74,950
			74,950
			-306,180
			-306,180
			142,009

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4500 OVASCIENCE INC COMMON		2011-07-13	2015-01-22
6714 OVASCIENCE INC COMMON		2011-07-13	2015-01-26
2786 OVASCIENCE INC COMMON		2012-03-30	2015-01-26
9000 OVASCIENCE INC COMMON		2012-03-30	2015-01-27
114441 PEREGRINE SEMICONDUCTOR INC		2008-08-29	2014-12-12
5000 PHARMACYCLICS, INC		2009-07-31	2015-02-26
5800 PHARMACYCLICS, INC		2009-07-31	2015-02-27
11300 PHARMACYCLICS, INC		2009-07-31	2015-03-02
2900 PHARMACYCLICS, INC		2009-07-31	2015-03-02
5700 PHARMACYCLICS, INC		2009-07-31	2015-03-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
224,803		9,104	215,699
335,769		13,582	322,187
139,022		15,323	123,699
449,461		49,500	399,961
1,430,513		1,248,658	181,855
1,079,763		6,400	1,073,363
1,261,864		7,424	1,254,440
2,493,670		14,464	2,479,206
639,969		3,712	636,257
1,282,077		7,296	1,274,781

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			215,699
			322,187
			123,699
			399,961
			181,855
			1,073,363
			1,254,440
			2,479,206
			636,257
			1,274,781

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
80 PHARMACYCLICS, INC		2009-07-31	2015-03-09
7500 PHARMACYCLICS, INC		2009-07-31	2015-03-09
32474 PHARMACYCLICS, INC		2009-07-31	2015-03-16
1700 PLANAR SYSTEMS INC		1999-10-26	2014-12-29
2900 PLANAR SYSTEMS INC		1999-10-21	2014-12-29
1300 PLANAR SYSTEMS INC		1999-10-26	2014-12-30
4000 PLANAR SYSTEMS INC		1999-10-28	2014-12-30
896114 QUANTIA INC SER A-1 CV PFD		2008-02-29	2015-07-13
1497999 QUANTIA INC SER A-2 CV PFD		2012-11-21	2015-07-13
3256000 QUANTIA INC SER B-1 CV PFD		2013-08-16	2015-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,620		102	20,518
1,907,410		9,606	1,897,804
8,303,535		41,567	8,261,968
15,057		8,976	6,081
25,686		15,312	10,374
11,554		6,864	4,690
35,550		21,120	14,430
		900,000	-900,000
		610,182	-610,182
		547,334	-547,334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20,518
			1,897,804
			8,261,968
			6,081
			10,374
			4,690
			14,430
			-900,000
			-610,182
			-547,334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6000 RALLY SOFTWARE		2003-08-11	2015-05-28
6000 RALLY SOFTWARE		2003-09-03	2015-05-28
6000 RALLY SOFTWARE		2004-11-24	2015-05-28
1666 RALLY SOFTWARE		2011-05-27	2015-05-28
1666 RALLY SOFTWARE		2011-05-27	2015-05-28
1666 RALLY SOFTWARE		2011-05-27	2015-05-28
2000 RALLY SOFTWARE		2003-08-11	2015-07-08
2000 RALLY SOFTWARE		2003-09-03	2015-07-08
2000 RALLY SOFTWARE		2004-11-24	2015-07-08
1300000 REFLEX SECURITY SER B CV PFD		2007-09-07	2014-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
116,401		15,000	101,401
116,401		15,000	101,401
115,473		15,000	100,473
32,321		21,450	10,871
32,321		21,450	10,871
32,321		21,450	10,871
39,000		5,000	34,000
39,000		5,000	34,000
39,000		5,000	34,000
		1,300,000	-1,300,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			101,401
			101,401
			100,473
			10,871
			10,871
			10,871
			34,000
			34,000
			34,000
			-1,300,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12600 REFLEX SECURITY SER C CV PFD		2009-03-27	2014-12-31
1400 RISCORP INC CONTINGENT RIGHTS		2000-07-24	2015-10-31
96500 RISCORP INC CONTINGENT RIGHTS		2000-07-24	2015-10-31
25000 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-03
159700 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-05
68700 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-06
68900 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-09
112800 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-10
49800 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-12
18900 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		12,600	-12,600
35,059		14,885	20,174
232,262		95,088	137,174
100,656		40,905	59,751
101,077		41,024	60,053
159,853		67,163	92,690
72,560		29,652	42,908
27,616		11,253	16,363

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-12,600
			20,174
			137,174
			59,751
			60,053
			92,690
			42,908
			16,363

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23300 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-03-17
406234 ROOT9B TECHNOLOGIES INC COM		2012-12-26	2015-05-01
56286 SOLFOCUS INC COMMON		2010-04-09	2015-07-13
1633 SOLFOCUS INC COMMON		2010-11-01	2015-07-13
63535 SOLFOCUS INC COMMON		2008-12-19	2015-07-13
60977 SOLFOCUS INC COMMON		2010-04-09	2015-07-13
1770 SOLFOCUS INC COMMON		2010-11-01	2015-07-13
155700 SOLFOCUS INC SER A CV PFD		2012-06-28	2015-07-13
296550 SOLFOCUS INC SER A-2 CV PFD		2011-05-18	2015-07-13
14663 SOLFOCUS INC SER A-2 CV PFD		2012-05-08	2015-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33,558		13,873	19,685
649,471		241,878	407,593
		212,640	-212,640
		6,172	-6,172
		1,000,100	-1,000,100
		230,360	-230,360
		6,687	-6,687
		258,213	-258,213
		245,900	-245,900
		12,158	-12,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19,685
			407,593
			-212,640
			-6,172
			-1,000,100
			-230,360
			-6,687
			-258,213
			-245,900
			-12,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
192 1 SUNIVA INC SER B CV PFD		2008-02-01	2015-10-15
28 5 SUNIVA INC SER D CV PFD		2011-04-19	2015-10-15
112 SUNIVA INC SER E CV PFD		2012-12-21	2015-10-15
185913 3 SUNIVA/SAFE_SHARES		2015-09-17	2015-10-15
536377 TABULA INC SER D CV PFD		2011-03-01	2015-10-31
8666 VOLTARI CORP		2007-12-31	2015-04-21
8670 VOLTARI CORP		2011-07-06	2015-04-21
80 PHARMACYCLICS, INC	P	2009-07-31	2015-04-20
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,500,324	-1,500,324
34,360		359,955	-325,595
35,297		369,771	-334,474
380,526		185,913	194,613
		1,394,999	-1,394,999
140,588		1,260,220	-1,119,632
140,653		661,404	-520,751
20,620		103	20,517
			30,361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,500,324
			-325,595
			-334,474
			194,613
			-1,394,999
			-1,119,632
			-520,751
			20,517


Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

EJEFFREY PEIERLS
BRIAN ELIOT PEIERLS


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FOUNDATION FOR THE BLIND 2 PENN PLAZA SUITE 1102 NEWYORK,NY 10001			SUPPORT	21,200
AMERICAN RED CROSS 2025 EAST STREET NW WASHINGTON,DC 20006			SUPPORT	795,000
CHIEF SEATTLE COUNCIL BOY SCOUTS OF AMERICA PO BOX 440408 SEATTLE,WA 981149758			SUPPORT	33,000
MEHARRY MEDICAL COLLEGE 1005 DRIVE DB TODD JR BLVD NASHVILLE,TN 372083599			SUPPORT	61,000
PLANNED PARENTHOOD OF THE GREAT NORTHWEST 2001 EAST MADISON STREET SEATTLE,WA 981222959			SUPPORT	85,500
LEARNING ALLY 20 ROSZEL ROAD PRINCETON,NJ 085409983			SUPPORT	32,000
THAT MAN MAY SEE INC 10 KORET WAY BOX 0352 SAN FRANCISCO,CA 941430352			SUPPORT	111,000
NARAL PRO-CHOICE AMERICA FOUNDATION 1156 15TH STREET NW SUITE 700 WASHINGTON,DC 20005			SUPPORT	58,000
ARTHRITIS FOUNDATION 1330 WEST PEACHTREE STREET SUITE 1 ATLANTA,GA 383092904			SUPPORT	29,200
NORTHERN NEW JERSEY COUNCIL BSA PO BOX 670 OAKLAND,NJ 074361709			SUPPORT	33,000
SAYWHATCLUB 18620 89TH AVENUE NE BOTHELL,WA 98011			SUPPORT	8,500
PLANNED PARENTHOOD FEDERATION OF AMERICA 434 WEST 33RD STREET NEWYORK,NY 10001			SUPPORT	240,000
PLANNED PARENTHOOD OF METROPOLITAN NEW JERSEY 151 WASHINGTON STREET NEWARK,NJ 07102			SUPPORT	85,500
LAKE WASHINGTON COLLEGE FOUNDATION 11605 132ND AVENUE NE KIRKLAND,WA 980348506			SUPPORT	66,000
PLANNED PARENTHOOD OF GREATER TEXAS 201 EAST BEN WHITE BLVD SUITE B AUSTIN,TX 78704			SUPPORT	85,500
Total			3a	6,711,200


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PACIFIC LEGAL FOUNDATION 930 G STREET SACRAMENTO,CA 95814			SUPPORT	41,100
VIRGINIA GARCIA MEMORIAL HEALTH CENTER PO BOX 486 CORNELIUS,OR 97113			SUPPORT	74,500
BIG BROTHERS BIG SISTERS OF AUSTIN TEXAS 1400 TILLERY STREET AUSTIN,TX 78721			SUPPORT	79,500
BOY SCOUTS OF AMERICA CAPITAL AREA COUNCIL 12500 N IH 35 AUSTIN,TX 787531312			SUPPORT	33,000
AUSTIN COMMUNITY COLLEGE FOUNDATION 5930 MIDDLE FISKVILLE ROAD AUSTIN,TX 78752			SUPPORT	38,400
RAISING AUSTIN (TOGETHER4CHILDREN) PO BOX 10047 AUSTIN,TX 787661047			SUPPORT	71,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK,NY 10011			SUPPORT	60,300
THE INTERFAITH ALLIANCE FOUNDATION 1250 24TH STREET NW SUITE 300 WASHINGTON,DC 200371186			SUPPORT	58,500
AMERICAN HEART ASSOCIATION OF COLORADO 1777 SOUTH HARRISON STREET SUITE 5 DENVER,CO 80210			SUPPORT	18,000
BOY SCOUTS OF AMERICA DENVER AREA COUNCIL 10455 WEST 6TH AVENUE SUITE 100 DENVER,CO 80215			SUPPORT	30,400
CARE INC 465 CALIFORNIA STREET SUITE 475 SAN FRANCISCO,CA 94104			SUPPORT	553,000
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK,NY 101681289			SUPPORT	790,400
PLANNED PARENTHOOD OF THE ROCKY MOUNTAINS 7155 EAST 38TH AVENUE DENVER,CO 802032706			SUPPORT	84,400
STANFORD GRADUATE SCHOOL OF BUSINESS 655 KNIGHT WAY STANFORD,CA 943057298			SUPPORT	38,000
THE NATURE CONSERVANCY 2424 SPRUCE STREET BOULDER,CO 803024617			SUPPORT	792,900
Total  3a				6,711,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE UNIVERSITY OFFICE OF DEVELOPMENT PO BOX 2038 NEW HAVEN,CT 065212038			SUPPORT	44,000
COLORADO UPLIFT 3914 KING STREET DENVER,CO 802111932			SUPPORT	145,000
AMERICAN INDIAN COLLEGE FUND 8333 GREENWOOD BLVD DENVER,CO 802214488			SUPPORT	124,000
HEARING HEALTH FOUNDATION 363 SEVENTH AVENU 10TH FLOOR NEW YORK,NY 100013904			SUPPORT	36,000
UNITARIAN UNIVERSALIST ASSOCIATION 24 FARNSWORTH STREET BOSTON,MA 022101409			SUPPORT	23,300
UNITARIAN-UNIVERSALIST SERVICE COMMITTEE 689 MASSACHUSETTS AVENUE CAMBRIDGE,MA 021393302			SUPPORT	48,000
SOUTHERN INSTITUTE FOR EDUCATION & RESEARCH MR BOX 1692 31 MCALISTER DRIVE NEW ORLEANS,LA 70112			SUPPORT	17,500
METROPOLITAN STATE COLLEGE OF DENVER FOUNDATION PO BOX 173362 - CAMPUS BOX 14 DENVER,CO 802173362			SUPPORT	90,000
GIRLS INCORPORATED OF METRO DENVER 1499 JULIAN STREET DENVER,CO 80204			SUPPORT	41,000
COLORADO I HAVE A DREAM FOUNDATION 1836 GRANT STREET DENVER,CO 802032022			SUPPORT	142,500
DOCTORS WITHOUT BORDERS USA 333 SEVENTH AVENUE SECOND FLOOR NEW YORK,NY 100015004			SUPPORT	730,000
ENERGY OUTREACH COLORADO 225 EAST 16TH AVENUE SUITE 200 DENVER,CO 802031612			SUPPORT	36,200
HOPE CENTER 3400 ELIZABETH STREET DENVER,CO 802054244			SUPPORT	57,000
BIG BROTHERS BIG SISTERS OF COLORADO 1391 NORTH SPEER BLVD SUITE 450 DENVER,CO 802042561			SUPPORT	70,000
AMERICAN UNITED FOR SEPARATION OF CHURCH AND STATE 1901 L STREET SUITE 400 WASHINGTON,DC 20005			SUPPORT	59,000
Total  3a				6,711,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILE HIGH UNITED WAY (READING CORP) 711 PARK AVENUE WEST DENVER,CO 80205			SUPPORT	102,000
THIRD SECTOR NEW ENGLAND (TOOLS OF THE MIND) 89 SOUTH STREET SUITE 700 BOSTON,MA 021112680			SUPPORT	70,000
READING PARTNERS COLORADO 3131 OSCEOLA STREET 303 DENVER,CO 80212			SUPPORT	78,000
DISTRICT 50-EDUCATION FOUNDATION 7002 RELEIGH STREET WESTMINSTER,CO 80030			SUPPORT	72,000
DENVER SCHOLARSHIP FOUNDATION 303 EAST 17TH AVENUE SUITE 200 DENVER,CO 80203			SUPPORT	50,000
ACCESS ESPERANZA CLINICS INC 916 E HACKBERRY AVENUE MCALLEN,TX 785015737			SUPPORT	117,900
HEART OF TEXAS WORKFORCE BOARD 801 WASHINGTON AVENUE SUITE 700 WACO,TX 76701			SUPPORT	15,000
SIERRA CLUB FOUNDATION 1536 WYNCOOP STREET SUITE 200 DENVER,CO 80202			SUPPORT	35,000
Total			 3a	6,711,200

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: PEIERLS FOUNDATION

EIN: 13-6082503

**TY 2014 Investments Corporate
Stock Schedule**

Name: PEIERLS FOUNDATION
EIN: 13-6082503

Name of Stock	End of Year Book Value	End of Year Fair Market Value
37830 ACUSPHERE INC.	1,844,198	2,648
577688 AEROGROW INT'L INC.	1,121,719	687,449
18850 AGENUS INC.	1,006,286	85,956
30196 AGENUS INC.	88,684	137,694
72949 ALIEN TECHNOLOGY SER. A'	208,113	104,317
6880 AMERICA'S SUPPLIERS INC.	348,995	826
1228 AMERICAN INT'L GP WT EXP	20,004	32,137
2300 AMERICAN INTERNATIONAL GR	2,255,578	145,038
14407 ANI PHARMACEUTICAL		
146830 ARCA BIOPHARMA, INC.	1,733,166	107,182
295900 ARRAY BIOPHARMA INC.	1,275,345	1,515,008
22920 ASTEA INTERNATIONAL INC.	251,546	59,592
5 BACTOLAC PHARMACEUTICAL INC.	160,650	593,000
538649 BAROFOLD INC SER. A CV.	199,300	199,300
169049 BAROFOLD INC SER. A CV.	62,548	62,548
391566 BENECHILL INC. SER D CV	650,000	391,566
473000 BIOCEPT, INC WARRANTS		231,770
473000 BIOCEPT, INC COMMON	591,250	969,650
243900 BIOCRYST PHARMACEUTICAL	1,299,997	2,192,661
722891 BIOPTIX DIAG SERIES B	899,999	7,229
1944000 BIOPTIX DIAGNOSTICES C	486,000	19,440
972000 BIOPTIX DIAG PFD WAR		
60000 BIOPTIX DIAG SERIES C PF	150,000	6,000
300000 BIOPTIX SER C PFD WTS		
525251 CALIBER THERAPEUT B CV	57,778	57,778
700335 CALIBER THER SERIES B	77,037	77,037
2791187 CALIBER THER SERIES A1	307,031	307,031
1575752 CALIBER THERAPEUTICS B	173,333	173,333
700344 CALIBER THERAPEUTICS WT		
77036.74 CALIBER THERA NOTES	77,037	77,037

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1876000 CALIENT TECH. SER 4 CV	938,000	2,345,000
800000 CALIENT TECH. SER 5 CV	600,000	1,000,000
513938 CALIENT TECH SER 6 PER	416,290	642,423
702000 CALIENT TECH SER 7 CV	877,500	877,500
276600 CAPSTONE THERAPEUTICS C	1,250,076	41,767
58840 CARDIOVASCULAR SYSTEMS I	673,998	806,108
431327 CARMENTA BIOSCIENCE		107,832
172000 CASTLE BIOSCIENCES SER	1,001,178	1,001,178
50428 CATALYST BIOSCIENCES CS	440,089	194,652
1880 CEL-SCI CORP.	92,834	987
53571 CERECOR INC. UNITS	900,000	307,498
10714 CERECOR INC. WTS		
CERTES NETWORKS COMMON		
225000 CERTES NETWORKS SER A P	225,000	225,000
296134 CERTES NETWORKS SER A P	296,134	296,134
1 CGROWTH CAP INC COMMON		
81840 CHEMOCENTRYX	930,940	572,062
25102 CIBER, INC.	183,709	89,614
226000 CODEXIS INC.	874,271	733,370
151000 COVALENT DATA SER A CV	350,229	175,160
190747 CONTRAFECT COMMON	914,177	774,433
383000 CORTEX PHARMACEUTICALS	795,840	9,613
88400 CROSSROADS SYSTEMS, INC.	1,054,245	121,992
645006 CUR MEDIA COMMON	645,006	412,804
645000 CUR MEDIA COMMON	322,494	412,800
62579 CYCLACEL PHARMACEUTICALS	835,683	36,296
11000 DATA I/O CORP.	60,500	33,000
49000 DIGI INTERNATIONAL INC.	450,280	632,100
1260025 DR SEARS FAM. ESS. SER	900,018	126,003
43146 EASIC CORP. COMMON	927,438	32,360

Name of Stock	End of Year Book Value	End of Year Fair Market Value
102479 EASIC CORP. COMMON	14,146	76,859
34159 EASIC CORP. SER A-2	32,645	179,335
9459 EASIC CORP. SER A-1 P	130,575	709,425
19600 EDCI HOLDINGS, INC.	326,064	7,252
196252 EDGE THERAPEUTIC	870,100	3,508,986
9687 ENSERVCO CORP.	32,645	5,328
635000 ENUMERAL BIOMEDICAL CS	635,000	184,150
635000 ENUMERAL BIOMEDICAL WTS		
450000 ETUBICS UNITS EXPIRED		
450000 ETUBICS CON PFD NOTE	450,000	450,000
77100 EVOKE PHARMACEUTICAL	901,699	285,270
40000 EVOKE PHARMACEUTICAL	222,250	148,000
195395 EVOTEC AG ADS	2,235,113	1,712,442
88684 EXELIXIS INC.	1,578,428	533,878
335000 FLUROFINDER CONV NOTE		
516240 FLUOROFINDER CL A	245,833	245,833
1552441 FLUOROFINDER CL A-1	591,126	739,272
297640 FORCE10 SER. RE. ESCROW		8,929
54500 FORESTAR GROUP INC.	1,282,556	771,175
163328 GENMARK DIAGNOSTICS, IN	1,250,000	1,038,766
71500 GENMARK DIAGNOSTICES, IN	349,635	454,740
13654 GOOD TIMES RESTAURANTS I	284,495	80,968
138500 GTX, INC.	1,399,767	146,810
19400 GTX, INC.	11,414	20,564
420100 HARBOR DIVERSIFIED INC.	1,165,104	37,809
79000 HEAT BIOLOGICS	790,000	320,740
150000 HIBERNA CORP. COMMON	150,000	9,000
2285000 HYPERACTIVE '08 SER C	799,750	799,750
700000 HYPERACTIVE '10 SER D C	245,000	245,000
319000 HYPERACTIVE '12 SER D C	111,650	111,650

Name of Stock	End of Year Book Value	End of Year Fair Market Value
228500 HYPERATIVE SEC C PFD WT		
95700 HYPERACTIVE SEC D PFD WT		
1150000 IMTHERA MED, SER 1-A .	1,145,607	4,140,000
230000 IMTHERA MED. SER 1-A	230,000	828,000
75400 INCYTE CORP	466,635	8,861,762
309492 INTEREACT911 SER PFD	123,594	12,380
10600000 INTERACT911 SEC C-1		
3500000 INTERACT911 SEC C-1		
3500000 INTERACT911 WARRANTS S		
97200 KINDRED BIOSCIENCES INC.	1,002,725	522,936
36000 KINDRED BIOSCIENCES INC.	244,991	193,680
8680 KINROSS GOLD CORP.	71,507	17,447
88500 LANDEC CORP	279,218	1,088,550
58933 LANTRONIX INC.	779,706	71,898
200000 LEAPFROG ENTERPRISES A	787,880	160,000
128571 LEXICON PHARMA INC CL A	885,987	1,222,710
520000 LINEAGEN INC SER C-1 PF	520,000	520,000
26910 LIVE MICROSYSTEMS INC.	1,140,033	10,495
49286 LPATH WARRANTS 3/9/17	110,400	2,464
566297 LPATH INC. COMMON	2,855,338	103,859
57400 MAGNUM SEMICOND CV NOTE	57,400	57,400
1496997 MAGNUM SEMI. SER E-1	1,634,786	1,796,396
250000 MARKET FORCE SER B CV P	665,000	250,000
301524 MIRAGEN THERA SER A PRE	904,572	1,335,751
50028 MIRAGEN THERA SER B PREF	300,168	221,624
77154 MIRAGEN THERA SER C PFD	341,793	341,792
42775 MOVE, INC.		
38190 MS & AD INSURANCE GROUP	1,350,346	1,136,764
170000 MYREXIS, INC.	477,685	18,700
81400 NEPHROGENEX INC COMMON	976,800	247,456

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1068000 NEUROTROPE SER A PFD	1,068,000	598,080
2850824 NEW FRONTIER ENERGY IN	644,030	5,702
575.3 NEW FRONTIER ENERGY SER	99,120	1
1500 NEW FRONTIER SER B CV PFD	313,990	2
1 NEWRON SPORT		
511000 NEXTIO INC. SER. E CV P		
107520 NEXTIO INC. SERIES F CV		
10077 NEXTIO WT. EXP 12/30/202		
104800 OMEROS CORP.	555,620	1,313,144
2200 OMEROS CORP.	23,628	27,566
119000 ONCOGENEX PHARMACEUTICA	1,101,292	246,330
290000 OSTENDO TECH	731,820	730,800
57580 OVASCIENCE INC. COMMON	403,469	746,813
177400 PAIN THERAPEUTICS INC.	408,724	328,190
5314 PAION AG	450,055	13,032
488383 PEERNOVA SER A-1 CV PFD	781,510	781,510
369800 PEREGRINE PHARMACEUTICA	634,242	414,176
114441.4 PEREGRINE SEMI		
22100 PHARMACYCLICS, INC.		
2014 PHOTOMEDEX, INC.	366,788	967
9900 PLANAR SYSTEMS INC.		
700 PREMIER ALLI. GROUP SER D		
233334 PREMIER ALLIANCE GR WTS	31,500	
123681 PREMIER ALLIANCE GR COM		
94900 PROGENICS PHARMACEUTICAL	385,668	696,566
50546 QUALMARK CORP.	394,916	6,571
1497999 QUANTIA INC SER A-2 CV		
3256000 QUANTIA INC SER B-1 CV		
896114 QUANTIA INC SER A-1		
22998 RALLY SOFTWARE		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
910746 RAPT MEDIA SER B PFD	350,000	350,000
1300000 REFLEX SECURITY SER. B		
12600 REFLEX SECURITY SER. C C		
382066 REFLEX SYS LLC SER B	15,265	38,206
2367693 REFLEX SYS LLC SER A		236,769
140000 RING ENERGY	770,000	1,454,600
158645 ROOT9B TECH INC	112,778	222,103
246000 SANGAMO BIOSCIENCES INC	1,127,936	1,734,300
353900 SCICLONE PHARMACEUTICAL	1,400,033	2,696,718
360000 SIGNAL GENETICS	971,770	298,872
311213 SOLFOCUS INC. SER A 2		
155700 SOLFOCUS INC. SER A		
184201 SOLFOCUS COMMON		
5884 SOLITARIO EXPL. & ROYALTY	6,884	2,943
62725 SOMPO JAPAM NIPPONKOA	1,817,571	1,817,582
80200 SORRENTO EXPLOR	581,450	692,928
2954 STRASBAUGH	21,886	89
5000 SUGGESTION BOX INC COM	353,645	45
192.1 SUNIVA INC. SER. B CV P		
28.5 SUNIVA INC. SER. D CV PF	359,955	34,360
112 SUNIVA INC. SER E CV P	369,771	35,297
SUNIVA INC. - SAFE	185,913	380,526
10700 SUNRISE TELECOM, INC.	20,066	326
700700 SYNCHR SER A CV PFD	729,429	729,429
15602 SYNERON MEDICAL LTD.	148,704	126,532
536377 TABULA INC. SER. D CV P		
100000 TABULA CONV PROM NOTE	100,000	20,000
353000 TARGACEPT INC. COM		
381240 TARGACEPT PROM NOTE	343,116	343,116
27928 TITAN PHARMACEUTICALS	1,047,803	118,973

Name of Stock	End of Year Book Value	End of Year Fair Market Value
59000 TOKIO MARINE HOLDINGS IN	896,155	2,293,979
740000 UR-ENERGY, INC.	772,283	400,784
182000 UR-ENERGY, INC	200,200	98,571
91000 UR-ENERGY, INC WTS		
31300 URSTADT BIDDLE PROP. (A)	194,305	629,130
31300 URSTADT BIDDLE PREF COMM	196,966	544,933
385841 VENAXIS, INC.	2,880,831	109,965
16667 VENAXIS, INC. WTS		
203828.5 VERASTEM INC. COM	1,499,050	375,044
78403 VERASTEM INC. COM	491,088	144,262
250000 VERASTEM INC.COM	441,499	460,000
1242 VERNALIS PLC	498,746	2,744
81359 VIOLIN MEMORY COMMON	960,000	130,988
1425192 VITAL ACCESS CORP SER		
278000 VITAL ACC CV PROM NOTE		
1930656 VITAL ACCESS A-1 PFD	1,216,314	1,216,314
145619 VITAL ACCESS WTS		
41126 VITAL ACCESS WTS(KICKER)		
8666 VOLTARI COMMON		
8670 VOLTARI COMMON		
68000 YAMANASHI CHUO BANK	709,115	320,620

TY 2014 Investments - Other Schedule**Name:** PEIERLS FOUNDATION**EIN:** 13-6082503

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BOULDER VENTURES IV ANNEX, LP	AT COST	159,975	356,853
BOULDER VENTURES V, LP	AT COST	1,544,822	1,877,077
ORCHESTRA MEDICAL VENTURES II	AT COST	1,918,473	1,917,091
LONGWOOD FOUNDERS FUND LP	AT COST	341,243	190,207
SOFINNOVA HEALTHQUEST PARTNERS	AT COST	148,905	560,323
BOULDER VENTURES VI	AT COST	787,061	703,247

TY 2014 Other Decreases Schedule**Name:** PEIERLS FOUNDATION**EIN:** 13-6082503

Description	Amount
FMV IN EXCESS OF COST OF DONATED ASSETS	12,836,325
BOULDER VENTURES IV ANNEX, LP NON-DED	30
BOULDER VENTURES V. L.P. NON-DEDUCTIBLE	37
BOULDER VENTURES VI, L.P. NON-DEDUCTIBLE	30
EXCISE TAXES PAID IN EXCESS OF DED	88,162
DEEMED TAXABLE TRANSACTIONS	465,456

TY 2014 Other Expenses Schedule**Name:** PEIERLS FOUNDATION**EIN:** 13-6082503

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOULDER VENTURES IV, ANNEX, LP	1,739	1,739		
ORCHESTRA MEDICAL VENTURES II	71,665	71,665		
BOULDER VENTURES V, LP	63,925	63,925		
LONGWOOD VENTURES LP	51,928	51,928		
SONFINNOVA HEALTHQUEST VENTURE	63,130	63,130		
BOULDER VENTURES VI, LP	52,939	52,939		

TY 2014 Other Income Schedule

Name: PEIERLS FOUNDATION

EIN: 13-6082503

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
REFLEX SYSTEMS, LLC			

TY 2014 Other Professional Fees Schedule**Name:** PEIERLS FOUNDATION**EIN:** 13-6082503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	19,000	18,800	200	
AGENCY FEES	107,007	107,007		

TY 2014 Taxes Schedule**Name:** PEIERLS FOUNDATION**EIN:** 13-6082503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
US EXCISE TAXES	91,838			
FOREIGN TAXES	10,618	10,618		

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div>
		<div>2014</div>

<div>Name of the organization</div> <div>PEIERLS FOUNDATION</div>	<div>Employer identification number</div> <div>13-6082503</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div></div>
<div>Form 990-PF</div>	<div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization PEIERLS FOUNDATION	Employer identification number 13-6082503
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 ____	See Additional Data Table _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
 ____	 _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
 ____	 _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
 ____	 _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
 ____	 _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
 ____	 _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
 ____	 _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)

Name of organization PEIERLS FOUNDATION	Employer identification number 13-6082503
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Part II	Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

Name of organization PEIERLS FOUNDATION	Employer identification number 13-6082503
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
<div></div>		<div></div>	
<div></div>		<div></div>	
<div></div>		<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
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<div></div>		<div></div>	
<div></div>		<div></div>	
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	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
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<div></div>		<div></div>	
<div></div>		<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
<div></div>		<div></div>	
<div></div>		<div></div>	
<div></div>		<div></div>	

Additional Data

Software ID:
Software Version:
EIN: 13-6082503
Name: PEIERLS FOUNDATION

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	E JEFFREY PEIERLS 73 SOUTH HOLMAN WAY GOLDEN, CO 80401 	\$ <u>116,940</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contribution)
<u>2</u>	UD ETHEL F PEIERLS CHAR LEAD TRUST C/O US TRUST 114 WEST 47TH STREET NEW YORK, NY 10036 	\$ <u>787,121</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
<u>3</u>	E JEFFREY PEIERLS 73 SOUTH HOLMAN WAY GOLDEN, CO 80401 	\$ <u>75,091</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contribution)
<u>4</u>	BRIAN PEIERLS 3017 MCCURDY STREET AUSTIN, TX 78723 	\$ <u>1,910,625</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contribution)
<u>5</u>	ANTHONY PETRELLI 6250 RAIN DANCE TRAIL LITTLETON, CO 80125 	\$ <u>625</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)
<u>6</u>	MICHAEL MORGAN 5969 PONDEROSA WAY PARKER, CO 80134 	\$ <u>1,875</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>7</u>	<div>E JEFFREY PEIERLS</div> <div>73 SOUTH HOLMAN WAY</div> <div>GOLDEN, CO 80401</div>	\$ <div>631,890</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contribution)</div>
<u>8</u>	<div>E JEFFREY PEIERLS</div> <div>73 SOUTH HOLMAN WAY</div> <div>GOLDEN, CO 80401</div>	\$ <div>134,678</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contribution)</div>
<u>9</u>	<div>E JEFFREY PEIERLS</div> <div>73 SOUTH HOLMAN WAY</div> <div>GOLDEN, CO 80401</div>	\$ <div>1,856,482</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contribution)</div>
<u>10</u>	<div>ALICE GAY THAMES</div> <div>3333 E FLORIDA AVENUE</div> <div>DENVER, CO 80210</div>	\$ <div>17,550</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contribution)</div>
<u>11</u>	<div>E JEFFREY PEIERLS</div> <div>73 SOUTH HOLMAN WAY</div> <div>GOLDEN, CO 80401</div>	\$ <div>8,259,112</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contribution)</div>
<u>12</u>	<div>ALICE GAY THAMES</div> <div>3333 E FLORIDA AVENUE</div> <div>DENVER, CO 80210</div>	\$ <div>20,719</div>	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contribution)</div>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	6000 RALLY SOFTWARE	\$ <u>116,940</u>	<u>2015-07-08</u>
<u>2</u>	3700 INTEGRATED SILICON	\$ <u>75,092</u>	<u>2015-05-18</u>
<u>3</u>	7500 PHARMACYCLICS	\$ <u>1,910,625</u>	<u>2015-03-05</u>
<u>4</u>	42000 DESCRATES SYSTEMS	\$ <u>631,890</u>	<u>2014-11-12</u>
<u>5</u>	5463 OVASCIENCE INC	\$ <u>134,678</u>	<u>2014-11-17</u>
<u>6</u>	8600 PHARMACYCLICS INC	\$ <u>1,856,482</u>	<u>2015-02-26</u>
<u>7</u>	80 PHARMACYCLICS, INC	\$ <u>17,550</u>	<u>2015-03-02</u>
<u>8</u>	32474 PHARMACYCLICS, INC	\$ <u>8,259,112</u>	<u>2015-03-11</u>
<u>9</u>	80 PHARNACYCLICS, INC	\$ <u>20,719</u>	<u>2015-04-16</u>