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**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**2014**

Open to Public Inspection

For calendar year 2014, or tax year beginning 11/01, 2014, and ending 10/31, 2015

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC  
1710 WATERS EDGE DRIVE  
MINOOKA, IL 60447

**A** Employer identification number  
13-3603275

**B** Telephone number (see instructions)  
(815) 467-5500

**C** If exemption application is pending, check here ▶ ☐

**D 1** Foreign organizations, check here ▶ ☐

**2** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ 33,006,269.  
**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>REVENUE</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)	90,000.			
2 Ck ▶ <input type="checkbox"/> if the foundn is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	790,150.	790,150.		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	148,605.			
b Gross sales price for all assets on line 6a 6,735,030.				
7 Capital gain net income (from Part IV, line 2)		148,605.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
See Statement 1	2,560.	2,560.		
12 Total. Add lines 1 through 11	1,031,315.	941,315.	0.	
<b>ADMINISTRATIVE EXPENSES</b>				
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach sch) See St 2	18,050.	9,025.		9,025.
c Other prof fees (attach sch) See St 3	129,164.	128,830.		334.
17 Interest				
18 Taxes (attach schedule) (see instrs) See Stmt 4	28,000.			
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
See Statement 5	93,316.	93,290.		
24 Total operating and administrative expenses Add lines 13 through 23	268,530.	231,145.		9,359.
25 Contributions, gifts, grants paid Part XV	1,684,200.			1,684,200.
26 Total expenses and disbursements Add lines 24 and 25	1,952,730.	231,145.	0.	1,693,559.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-921,415.			
b Net investment income (if negative, enter -0-)		710,170.		
c Adjusted net income (if negative, enter -0-)			0.	

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	497,371.	1,335,002.	1,335,002.
	3 Accounts receivable ▶ 32.			
	Less allowance for doubtful accounts ▶		32.	32.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	1,271,010.	1,303,329.	1,009,152.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis ▶			
LIABILITIES	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	27,742,977.	25,951,580.	30,662,115.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)	29,511,358.	28,589,943.	33,006,301.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUNDS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
NET ASSETS OR FUND BALANCES	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	7,781,377.	7,781,377.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	21,729,981.	20,808,566.	
	30 Total net assets or fund balances (see instructions)	29,511,358.	28,589,943.	
	31 Total liabilities and net assets/fund balances (see instructions)	29,511,358.	28,589,943.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	29,511,358.
2	Enter amount from Part I, line 27a	2	-921,415.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	28,589,943.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	28,589,943.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a See Statement 6			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) — [ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]	2	148,605.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	2,785,785.	35,630,765.	0.078185
2012	1,442,641.	35,366,562.	0.040791
2011	2,210,816.	36,188,212.	0.061092
2010	3,042,148.	38,235,740.	0.079563
2009	2,607,508.	36,378,319.	0.071678

2 Total of line 1, column (d)	2	0.331309
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.066262
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	33,311,550.
5 Multiply line 4 by line 3	5	2,207,290.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,102.
7 Add lines 5 and 6	7	2,214,392.
8 Enter qualifying distributions from Part XII, line 4	8	1,693,559.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	14,203.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	14,203.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,203.
6 Credits/Payments			
a 2014 estimated tax pmts and 2013 overpayment credited to 2014	6 a	37,585.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	37,585.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	23,382.	
11 Enter the amount of line 10 to be Credited to 2015 estimated tax 23,382. Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) VT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>TG TAX &amp; ACCOUNTING SVCS, LLC</u> Telephone no <u>(815) 467-5500</u> Located at <u>1710 WATERS EDGE DRIVE MINOOKA IL</u> ZIP + 4 <u>60447</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If 'Yes,' enter the name of the foreign country				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7	0	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b> Average monthly fair market value of securities	<b>1 a</b>	32,859,267.
<b>b</b> Average of monthly cash balances	<b>1 b</b>	959,565.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d</b> Total (add lines 1a, b, and c)	<b>1 d</b>	33,818,832.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	33,818,832.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	507,282.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	33,311,550.
<b>6</b> Minimum investment return. Enter 5% of line 5	<b>6</b>	1,665,578.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6		<b>1</b>	1,665,578.
<b>2a</b> Tax on investment income for 2014 from Part VI, line 5	<b>2 a</b>	14,203.	
<b>b</b> Income tax for 2014. (This does not include the tax from Part VI.)	<b>2 b</b>		
<b>c</b> Add lines 2a and 2b	<b>2 c</b>	14,203.	
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,651,375.	
<b>4</b> Recoveries of amounts treated as qualifying distributions	<b>4</b>		
<b>5</b> Add lines 3 and 4	<b>5</b>	1,651,375.	
<b>6</b> Deduction from distributable amount (see instructions)	<b>6</b>		
<b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,651,375.	

**Part XII** Qualifying Distributions (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	1,693,559.
<b>b</b> Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,693,559.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	1,693,559.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Form 990-PF (2014)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,651,375.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010	63,058.			
c From 2011	413,837.			
d From 2012				
e From 2013	1,038,485.			
f Total of lines 3a through e	1,515,380.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 1,693,559.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2014 distributable amount				1,651,375.
e Remaining amount distributed out of corpus	42,184.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,557,564.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	1,557,564.			
10 Analysis of line 9:				
a Excess from 2010	63,058.			
b Excess from 2011	413,837.			
c Excess from 2012				
d Excess from 2013	1,038,485.			
e Excess from 2014	42,184.			

N/A

- (4) Gross investment income.**

[illegible]

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 9				1,684,200.
<b>Total</b>			<b>3 a</b>	1,684,200.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3 b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b>	Program service revenue					
<b>a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>f</b>						
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments					
<b>3</b>	Interest on savings and temporary cash investments					
<b>4</b>	Dividends and interest from securities			14	790,150.	
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property					
<b>b</b>	Not debt-financed property					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income					
<b>8</b>	Gain or (loss) from sales of assets other than inventory			18	148,605.	
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory					
<b>11</b>	Other revenue:					
<b>a</b>	EJF ARMADILLO FD - 11A			14	2,560.	
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>12</b>	Subtotal. Add columns (b), (d), and (e)				941,315.	
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e)				13	941,315.

(See worksheet in line 13 instructions to verify calculations.)

### **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization **ARIA FOUNDATION**  
**C/O TG TAX & ACCOUNTING SERVICES, LLC**

Employer identification number  
**13-3603275**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization

Employer identification number

ARIA FOUNDATION

13-3603275

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ADAM & RACHEL ALBRIGHT C/O TG TAX, 1710 WATERS EDGE MINOOKA, IL 60447	\$ 90,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization

Employer identification number

ARIA FOUNDATION

13-3603275

**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Employer identification number

13-3603275

or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

► \$ \_\_\_\_\_ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2014

## Federal Statements

Page 1

Client ALB06

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

2/01/16

09 47AM

Statement 1  
Form 990-PF, Part I, Line 11  
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
EJF ARMADILLO FD - 11A	\$ 2,560.	\$ 2,560.	
Total	<u>\$ 2,560.</u>	<u>\$ 2,560.</u>	<u>\$ 0.</u>

Statement 2  
Form 990-PF, Part I, Line 16b  
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	\$ 18,050.	\$ 9,025.		\$ 9,025.
Total	<u>\$ 18,050.</u>	<u>\$ 9,025.</u>	<u>\$ 0.</u>	<u>\$ 9,025.</u>

Statement 3  
Form 990-PF, Part I, Line 16c  
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BMO HARRIS BANK - AGENCY FEES	\$ 308.	\$ 308.		
MANAGEMENT / ADVISORY FEES	128,522.	128,522.		
REGISTERED AGENT FEE (VT)	334.			\$ 334.
Total	<u>\$ 129,164.</u>	<u>\$ 128,830.</u>	<u>\$ 0.</u>	<u>\$ 334.</u>

Statement 4  
Form 990-PF, Part I, Line 18  
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAXES PAID	\$ 28,000.			
Total	<u>\$ 28,000.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC**

**13-3603275**

2/01/16

09 47AM

**Statement 5**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ALBRIGHT VENTURES, LLC - 13K,L	\$ 31,795.	\$ 31,795.		
ALBRIGHT VENTURES, LLC - 18C	26.			
EJF ARMADILLO FINL FD, LP - 13K,L	4,494.	4,494.		
EJF ARMADILLO FINL FD, LP - OTHER	24,281.	24,281.		
MPM BIOVENTURES V, LP - 13K	32,720.	32,720.		
Total	<u>\$ 93,316.</u>	<u>\$ 93,290.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 6**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1	Schwab GAA - See Statement Attached (ST)	Purchased	Various	Various
2	Schwab GAA - See Statement Attached (LT)	Purchased	Various	Various
3	Schwab WW - See Statement Attached (LT)	Purchased	Various	Various
4	190.64332 SHS PRISMA SPECTRUM FD LTD CL E SERIES 2010	Purchased	Various	Various
5	MPM Bioventures V, LP (Flow-Thru - ST)	Purchased	Various	Various
6	MPM Bioventures V, LP (Flow-Thru - LT)	Purchased	Various	Various
7	Albright Ventures, LLC (Flow-Thru - LT)	Purchased	Various	Various
8	Capital Gain Dividends			

	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
	Gross	Deprec.	Cost	Gain	FMV	Adj. Bas.	Excess	Gain
<u>Item</u>	<u>Sales</u>	<u>Allowed</u>	<u>Basis</u>	<u>(Loss)</u>	<u>12/31/69</u>	<u>12/31/69</u>	<u>(i) - (j)</u>	<u>(Loss)</u>
1	699,987.		726,358.	-26,371.				\$ -26,371.
2	4863649.		5374254.	-510,605.				-510,605.
3	4,911.		23,093.	-18,182.				-18,182.
4	250,000.		211,009.	38,991.				38,991.
5	99,637.		0.	99,637.				99,637.
6	265,788.		0.	265,788.				265,788.
7	0.		251,711.	-251,711.				-251,711.
8								551,058.
							Total	\$ 148,605.

[illegible]

**ARIA FOUNDATION**  
**FISCAL YEAR 11/1/2014 - 10/31/2015**  
**CHARLES SCHWAB BROKERAGE A/C #2023-9916**

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
428 431	ALLIANZ GI NEJ INTL VAL CL INST	6/19/2014	12/18/2014	\$9,103.38	\$10,479.42	(\$1,376.04)	(\$1,376.04)	
697.852	ALLIANZ GI NEJ INTL VAL CL INST	9/18/2014	12/18/2014	\$14,828.08	\$16,532.11	(\$1,704.03)	(\$1,704.03)	
12003 129	ALLIANZ GI NEJ INTL VAL CL INST	3/23/2011	12/18/2014	\$255,044.54	\$256,031.98	(\$987.44)		(\$987.44)
532 853	ALLIANZ GI NEJ INTL VAL CL INST	6/20/2013	6/25/2015	\$11,866.34	\$11,046.05	\$820.29		\$820.29
742 902	ALLIANZ GI NEJ INTL VAL CL INST	6/21/2012	6/25/2015	\$16,544.02	\$13,609.96	\$2,934.06		\$2,934.06
129 652	ALLIANZ GI NEJ INTL VAL CL INST	9/20/2012	6/25/2015	\$2,887.28	\$2,679.90	\$207.38		\$207.38
148 778	ALLIANZ GI NEJ INTL VAL CL INST	3/22/2012	6/25/2015	\$3,313.20	\$3,009.77	\$303.43		\$303.43
637 064	ALLIANZ GI NEJ INTL VAL CL INST	6/16/2011	6/25/2015	\$14,187.06	\$13,053.45	\$1,133.61		\$1,133.61
276 068	ALLIANZ GI NEJ INTL VAL CL INST	12/22/2011	6/25/2015	\$6,147.88	\$4,977.50	\$1,170.38		\$1,170.38
136 466	ALLIANZ GI NEJ INTL VAL CL INST	3/19/2015	6/25/2015	\$3,039.02	\$2,935.39	\$103.63	\$103.63	
39649 724	ALLIANZ GI NEJ INTL VAL CL INST	3/23/2011	6/25/2015	\$882,977.37	\$845,745.91	\$37,231.46		\$37,231.46
406.593	ALLIANZ GI NEJ INTL VAL CL INST	6/18/2015	6/25/2015	\$9,054.60	\$9,001.96	\$52.64	\$52.64	
411 868	ALLIANZ GI NEJ INTL VAL CL INST	9/22/2011	6/25/2015	\$9,172.08	\$6,939.97	\$2,232.11		\$2,232.11
217 447	ALLIANZ GI NEJ INTL VAL CL INST	12/18/2014	6/25/2015	\$4,842.42	\$4,620.75	\$221.67	\$221.67	
12454 545	DOUBLELINE TOTAL RETURN BD FD CL I	12/24/2012	12/18/2014	\$136,976.00	\$141,737.42	(\$4,761.42)		(\$4,761.42)
1625 45	EATON VANCE ATLANTA CAP SMID CAP I	12/11/2014	12/18/2014	\$40,972.30	\$40,701.26	\$271.04	\$271.04	
5752 575	EATON VANCE ATLANTA CAP SMID CAP I	12/6/2013	12/18/2014	\$145,003.70	\$139,863.00	\$5,140.70		\$5,140.70
331 014	EATON VANCE ATLANTA CAP SMID CAP I	12/12/2013	5/18/2015	\$9,037.50	\$7,854.96	\$1,182.54		\$1,182.54
1956 722	EATON VANCE ATLANTA CAP SMID CAP I	12/6/2013	5/18/2015	\$53,423.34	\$47,574.00	\$5,849.34		\$5,849.34
897.91	EATON VANCE ATLANTA CAP SMID CAP I	6/19/2013	5/18/2015	\$24,515.16	\$19,084.31	\$5,430.85		\$5,430.85
542	ETFS PHYSICAL PLATINUM S HARES ETF	5/19/2010	10/13/2015	\$51,711.27	\$86,556.86	(\$34,845.59)		(\$34,845.59)
558	ETFS PHYSICAL PLATINUM S HARES ETF	5/19/2010	10/13/2015	\$53,237.80	\$89,112.04	(\$35,874.24)		(\$35,874.24)
8	ETFS PHYSICAL PLATINUM SHARES ETF	5/19/2010	10/13/2015	\$763.27	\$1,277.59	(\$514.32)		(\$514.32)
1167	ETFS PHYSICAL PLATINUM SHARES ETF	5/19/2010	10/13/2015	\$111,341.42	\$186,368.74	(\$75,027.32)		(\$75,027.32)
125	ETFS PHYSICAL PLATINUM SHARES ETF	6/11/2012	10/13/2015	\$11,926.03	\$17,837.95	(\$5,911.92)		(\$5,911.92)
42	ETFS PHYSICAL PLATINUM SHARES ETF	6/11/2012	10/13/2015	\$4,007.15	\$5,993.55	(\$1,986.40)		(\$1,986.40)
4349 975	FRANKLIN INTL SMALL CAP GROWTH FD ADV	5/12/2014	5/18/2015	\$87,976.00	\$98,846.26	(\$10,870.26)		(\$10,870.26)
132 239	GOLDMAN SACHS RISING DIV GWTH FD INST	9/29/2014	12/18/2014	\$2,896.88	\$2,835.20	\$61.68	\$61.68	
131.265	GOLDMAN SACHS RISING DIV GWTH FD INST	12/4/2014	12/18/2014	\$2,875.54	\$2,895.70	(\$20.16)	(\$20.16)	
6214 771	GOLDMAN SACHS RISING DIV GWTH FD INST	6/19/2013	12/18/2014	\$136,143.08	\$107,953.25	\$28,189.83		\$28,189.83
139 708	GOLDMAN SACHS RISING DIV GWTH FD INST	6/27/2014	12/18/2014	\$3,060.50	\$2,950.63	\$109.87	\$109.87	
192.04	GOLDMAN SACHS STRATEGIC INCM FD INST	7/31/2014	12/18/2014	\$1,983.65	\$2,041.39	(\$57.74)	(\$57.74)	
215 994	GOLDMAN SACHS STRATEGIC INCM FD INST	3/31/2014	12/18/2014	\$2,231.08	\$2,298.18	(\$67.10)	(\$67.10)	
193 175	GOLDMAN SACHS STRATEGIC INCM FD INST	8/29/2014	12/18/2014	\$1,995.38	\$2,034.13	(\$38.75)	(\$38.75)	
201 507	GOLDMAN SACHS STRATEGIC INCM FD INST	4/30/2014	12/18/2014	\$2,081.44	\$2,135.97	(\$54.53)	(\$54.53)	
237 476	GOLDMAN SACHS STRATEGIC INCM FD INST	11/29/2013	12/18/2014	\$2,452.98	\$2,503.00	(\$50.02)		(\$50.02)
8 523	GOLDMAN SACHS STRATEGIC INCM FD INST	11/29/2013	12/18/2014	\$88.04	\$89.83	(\$1.79)	(\$1.79)	
195 592	GOLDMAN SACHS STRATEGIC INCM FD INST	2/28/2014	12/18/2014	\$2,020.34	\$2,073.27	(\$52.93)	(\$52.93)	
190 253	GOLDMAN SACHS STRATEGIC INCM FD INST	10/31/2014	12/18/2014	\$1,965.19	\$1,993.85	(\$28.66)	(\$28.66)	
277 504	GOLDMAN SACHS STRATEGIC INCM FD INST	12/31/2013	12/18/2014	\$2,866.44	\$2,958.19	(\$91.75)	(\$91.75)	

**ARIA FOUNDATION**  
**FISCAL YEAR 11/1/2014 - 10/31/2015**  
**CHARLES SCHWAB BROKERAGE A/C #2023-9916**

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
236.287	GOLDMAN SACHS STRATEGIC INCM FD INST	9/30/2013	12/18/2014	\$2,440.70	\$2,476.29	(\$35.59)		(\$35.59)
45.825	GOLDMAN SACHS STRATEGIC INCM FD INST	6/28/2013	12/18/2014	\$473.34	\$480.25	(\$6.91)		(\$6.91)
34988.042	GOLDMAN SACHS STRATEGIC INCM FD INST	6/19/2013	12/18/2014	\$361,404.46	\$366,333.87	(\$4,929.41)		(\$4,929.41)
203.542	GOLDMAN SACHS STRATEGIC INCM FD INST	1/31/2014	12/18/2014	\$2,102.46	\$2,153.47	(\$51.01)	(\$51.01)	
199.13	GOLDMAN SACHS STRATEGIC INCM FD INST	6/30/2014	12/18/2014	\$2,056.89	\$2,102.81	(\$45.92)	(\$45.92)	
253.984	GOLDMAN SACHS STRATEGIC INCM FD INST	10/31/2013	12/18/2014	\$2,623.49	\$2,679.53	(\$56.04)		(\$56.04)
160.889	GOLDMAN SACHS STRATEGIC INCM FD INST	9/30/2014	12/18/2014	\$1,661.88	\$1,703.81	(\$41.93)	(\$41.93)	
131.185	GOLDMAN SACHS STRATEGIC INCM FD INST	7/31/2013	12/18/2014	\$1,355.06	\$1,378.75	(\$23.69)		(\$23.69)
210.388	GOLDMAN SACHS STRATEGIC INCM FD INST	5/30/2014	12/18/2014	\$2,173.18	\$2,228.01	(\$54.83)	(\$54.83)	
6.646	HARTFORD CAPITAL APPR FU ND CL I	6/26/2014	12/18/2014	\$249.04	\$325.24	(\$76.20)	(\$76.20)	
3141.283	HARTFORD CAPITAL APPR FU ND CL I	6/19/2013	12/18/2014	\$117,711.33	\$129,364.56	(\$11,653.23)		(\$11,653.23)
0.417	HARTFORD CAPITAL APPR FUND CL I	6/26/2014	12/18/2014	\$15.63	\$20.42	(\$4.79)	(\$4.79)	
1533.819	HARTFORD CAPITAL APPR FUND CL I	6/19/2013	5/18/2015	\$60,976.00	\$63,165.86	(\$2,189.86)		(\$2,189.86)
6970.532	LATEEF I	12/9/2014	12/18/2014	\$99,246.99	\$98,772.44	\$474.55	\$474.55	
5529.468	LATEEF I	12/6/2013	12/18/2014	\$78,729.01	\$80,022.14	(\$1,293.13)		(\$1,293.13)
416.277	LATEEF I	12/9/2014	5/18/2015	\$6,125.15	\$5,898.64	\$226.51	\$226.51	
423.855	LATEEF I	12/9/2014	5/18/2015	\$6,236.65	\$6,006.02	\$230.63	\$230.63	
97.159	LATEEF I	12/10/2013	5/18/2015	\$1,429.61	\$1,337.88	\$91.73		\$91.73
1266.175	LATEEF I	12/9/2014	5/18/2015	\$18,630.64	\$17,941.70	\$688.94	\$688.94	
1872.621	LATEEF I	12/10/2013	5/18/2015	\$27,553.95	\$25,785.99	\$1,767.96		\$1,767.96
445.545	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	12/16/2014	5/18/2015	\$7,260.03	\$7,110.90	\$149.13	\$149.13	
293.047	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	11/24/2014	5/18/2015	\$4,775.12	\$4,958.35	(\$183.23)	(\$183.23)	
220.4	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	10/24/2014	5/18/2015	\$3,591.36	\$3,674.07	(\$82.71)	(\$82.71)	
1629.558	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	12/16/2014	5/18/2015	\$26,553.18	\$26,007.74	\$545.44	\$545.44	
237.972	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	1/28/2013	5/18/2015	\$3,877.69	\$3,757.57	\$120.12		\$120.12
188.246	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	3/26/2013	5/18/2015	\$3,067.41	\$2,974.28	\$93.13	\$93.13	
159.259	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	12/16/2014	5/18/2015	\$2,595.08	\$2,541.77	\$53.31	\$53.31	
258.94	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	2/25/2015	5/18/2015	\$4,219.35	\$4,241.44	(\$22.09)	(\$22.09)	
198.765	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	4/23/2015	5/18/2015	\$3,238.82	\$3,227.94	\$10.88	\$10.88	
228.924	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	1/28/2015	5/18/2015	\$3,730.25	\$3,699.41	\$30.84	\$30.84	
168.528	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	3/25/2015	5/18/2015	\$2,746.12	\$2,711.61	\$34.51	\$34.51	
287.271	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	2/25/2013	5/18/2015	\$4,681.00	\$4,458.44	\$222.56		\$222.56
223.422	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	8/27/2013	5/18/2015	\$3,640.59	\$3,465.27	\$175.32	\$175.32	
898	MARKET VECTORS AGRIBUSINESS ETF	11/24/2009	5/18/2015	\$52,039.97	\$38,392.79	\$13,647.18		\$13,647.18
3888.025	OAKMARK INTL FD CLASS I	12/6/2013	5/18/2015	\$100,000.00	\$102,138.42	(\$2,138.42)		(\$2,138.42)
19640.81	OAKMARK INTL FD CLASS I	12/11/2013	10/13/2015	\$453,506.30	\$514,000.00	(\$60,493.70)		(\$60,493.70)
422.25	OAKMARK INTL FD CLASS I	12/19/2013	10/13/2015	\$9,749.75	\$10,788.50	(\$1,038.75)		(\$1,038.75)
11996.073	OAKMARK INTL FD CLASS I	12/6/2013	10/13/2015	\$276,989.33	\$315,136.83	(\$38,147.50)		(\$38,147.50)
230.648	OAKMARK INTL FD CLASS I	12/18/2014	10/13/2015	\$5,325.66	\$5,438.69	(\$113.03)		(\$113.03)
627.763	OAKMARK INTL FD CLASS I	12/19/2013	10/13/2015	\$14,495.05	\$16,039.34	(\$1,544.29)		(\$1,544.29)

**ARIA FOUNDATION**  
**FISCAL YEAR 11/1/2014 - 10/31/2015**  
**CHARLES SCHWAB BROKERAGE A/C #2023-9916**

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
1424 53	OAKMARK INTL FD CLASS I	12/18/2014	10/13/2015	\$32,892.40	\$33,590.41	(\$698.01)	(\$698.01)	
783 926	OAKMARK INTL FD CLASS I	12/18/2014	10/13/2015	\$18,100.85	\$18,484.97	(\$384.12)	(\$384.12)	
3865 482	OPPENHEIMER DEVELOPING M KTS FD CL Y	10/28/2014	12/18/2014	\$134,074.97	\$147,869.58	(\$13,794.61)	(\$13,794.61)	
1121.546	OPPENHEIMER DEVELOPING MKTS FD CL Y	6/30/2014	12/18/2014	\$38,901.03	\$44,667.10	(\$5,766.07)	(\$5,766.07)	
1832 604	OPPENHEIMER DEVELOPING MKTS FD CL Y	10/28/2014	5/18/2015	\$66,976.00	\$70,104.16	(\$3,128.16)	(\$3,128.16)	
161 573	PIMCO TOTAL RETURN FUND INSTL CL	11/28/2014	12/18/2014	\$1,751.10	\$1,782.15	(\$31.05)	(\$31.05)	
139 158	PIMCO TOTAL RETURN FUND INSTL CL	9/30/2014	12/18/2014	\$1,508.17	\$1,512.65	(\$4.48)	(\$4.48)	
9461.65	PIMCO TOTAL RETURN FUND INSTL CL	1/7/2010	12/18/2014	\$102,543.94	\$102,848.14	(\$304.20)	(\$304.20)	(\$304.20)
712 416	PIMCO TOTAL RETURN FUND INSTL CL	12/10/2014	12/18/2014	\$7,721.06	\$7,765.33	(\$44.27)	(\$44.27)	
189 931	PIMCO TOTAL RETURN FUND INSTL CL	7/31/2014	12/18/2014	\$2,058.44	\$2,068.35	(\$9.91)	(\$9.91)	
172 181	PIMCO TOTAL RETURN FUND INSTL CL	6/30/2014	12/18/2014	\$1,866.07	\$1,888.83	(\$22.76)	(\$22.76)	
147.109	PIMCO TOTAL RETURN FUND INSTL CL	10/31/2014	12/18/2014	\$1,594.35	\$1,609.37	(\$15.02)	(\$15.02)	
178.344	PIMCO TOTAL RETURN FUND INSTL CL	8/29/2014	12/18/2014	\$1,932.87	\$1,960.00	(\$27.13)	(\$27.13)	
400	SPDR GOLD SHARES ETF	3/7/2007	5/18/2015	\$47,064.87	\$25,648.00	\$21,416.87	\$21,416.87	
578	SPDR GOLD SHARES ETF	3/7/2007	5/18/2015	\$68,008.74	\$37,061.36	\$30,947.38	\$30,947.38	
258 642	STERLING CAPITAL EQUITY INCM INST	6/27/2014	12/18/2014	\$4,840.38	\$5,051.27	(\$210.89)	(\$210.89)	
193 452	STERLING CAPITAL EQUITY INCM INST	9/29/2014	12/18/2014	\$3,620.37	\$3,677.52	(\$57.15)	(\$57.15)	
1440 356	STERLING CAPITAL EQUITY INCM INST	12/10/2014	12/18/2014	\$26,955.67	\$26,473.74	\$481.93	\$481.93	
2541 311	STERLING CAPITAL EQUITY INCM INST	6/19/2013	12/18/2014	\$47,559.58	\$46,387.52	\$1,172.06	\$1,172.06	
2505 246	STERLING CAPITAL EQUITY INCM INST	6/19/2013	5/18/2015	\$49,308.22	\$45,729.21	\$3,579.01	\$3,579.01	
295.315	STERLING CAPITAL EQUITY INCM INST	12/30/2014	5/18/2015	\$5,812.39	\$5,551.92	\$260.47	\$260.47	
195 884	STERLING CAPITAL EQUITY INCM INST	3/30/2015	5/18/2015	\$3,855.39	\$3,708.08	\$147.31	\$147.31	
307 622	TEMPLETON GLOBAL BOND FU ND ADV CL	4/15/2014	12/18/2014	\$3,810.61	\$4,005.24	(\$194.63)	(\$194.63)	
1120.123	TEMPLETON GLOBAL BOND FU ND ADV CL	2/15/2012	12/18/2014	\$13,875.32	\$14,696.01	(\$820.69)	(\$820.69)	
647 439	TEMPLETON GLOBAL BOND FU ND ADV CL	5/15/2014	12/18/2014	\$8,020.03	\$8,513.82	(\$493.79)	(\$493.79)	
819 272	TEMPLETON GLOBAL BOND FU ND ADV CL	1/15/2014	12/18/2014	\$10,148.59	\$10,675.11	(\$526.52)	(\$526.52)	
596 755	TEMPLETON GLOBAL BOND FU ND ADV CL	10/15/2014	12/18/2014	\$7,392.20	\$7,787.65	(\$395.45)	(\$395.45)	
911 888	TEMPLETON GLOBAL BOND FU ND ADV CL	6/17/2013	12/18/2014	\$11,295.85	\$11,881.90	(\$586.05)	(\$586.05)	
1124 604	TEMPLETON GLOBAL BOND FU ND ADV CL	3/15/2012	12/18/2014	\$13,930.83	\$14,811.03	(\$880.20)	(\$880.20)	
37 38	TEMPLETON GLOBAL BOND FU ND ADV CL	12/17/2012	12/18/2014	\$463.04	\$493.41	(\$30.37)	(\$30.37)	
720 116	TEMPLETON GLOBAL BOND FU ND ADV CL	3/15/2010	12/18/2014	\$8,920.31	\$9,491.13	(\$570.82)	(\$570.82)	
1148 789	TEMPLETON GLOBAL BOND FU ND ADV CL	8/15/2012	12/18/2014	\$14,230.42	\$15,118.06	(\$887.64)	(\$887.64)	
593 701	TEMPLETON GLOBAL BOND FU ND ADV CL	11/17/2014	12/18/2014	\$7,354.36	\$7,807.17	(\$452.81)	(\$452.81)	
48 234	TEMPLETON GLOBAL BOND FU ND ADV CL	12/17/2012	12/18/2014	\$597.49	\$636.69	(\$39.20)	(\$39.20)	
882 915	TEMPLETON GLOBAL BOND FUND ADV CL	10/15/2013	12/18/2014	\$10,936.95	\$11,575.01	(\$638.06)	(\$638.06)	
173 049	VAN ECK GLOBAL HARD ASSE TS FD CL I	12/21/2012	10/13/2015	\$5,725.88	\$7,738.74	(\$2,012.86)	(\$2,012.86)	
79 466	VAN ECK GLOBAL HARD ASSE TS FD CL I	12/21/2011	10/13/2015	\$2,629.38	\$3,525.10	(\$895.72)	(\$895.72)	
80.321	VAN ECK GLOBAL HARD ASSE TS FD CL I	12/21/2012	10/13/2015	\$2,657.68	\$3,591.95	(\$934.27)	(\$934.27)	
12776 469	VAN ECK GLOBAL HARD ASSE TS FD CL I	12/23/2010	10/13/2015	\$422,750.03	\$671,147.90	(\$248,397.87)	(\$248,397.87)	
4.018	VAN ECK GLOBAL HARD ASSE TS FD CL I	12/23/2013	10/13/2015	\$132.95	\$196.87	(\$63.92)	(\$63.92)	



**ARIA FOUNDATION**  
**FISCAL YEAR 11/1/2014 - 10/31/2015**  
**CHARLES SCHWAB BROKERAGE A/C #2023-9916**

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
15.37	VAN ECK GLOBAL HARD ASSE TS FD CL I	12/21/2011	10/13/2015	\$508.57	\$681.81	(\$173.24)		(\$173.24)
13.588	VAN ECK GLOBAL HARD ASSETS FD CL I	12/23/2014	10/13/2015	\$449.59	\$551.41	(\$101.82)	(\$101.82)	
234.334	VAN ECK INTL INVESTORS G OLD FD I	12/23/2013	10/13/2015	\$2,026.79	\$2,362.09	(\$335.30)		(\$335.30)
8163.265	VAN ECK INTL INVESTORS GOLD FD I	5/30/2013	5/18/2015	\$87,976.00	\$114,046.29	(\$26,070.29)		(\$26,070.29)
27625.998	VAN ECK INTL INVESTORS GOLD FD I	5/30/2013	10/13/2015	\$238,941.08	\$385,953.71	(\$147,012.63)		(\$147,012.63)
987	VANGUARD TOTAL STOCK MKT	12/9/2009	12/18/2014	\$104,078.76	\$54,708.42	\$49,370.34		\$49,370.34
				<u>\$5,563,635.84</u>	<u>\$6,100,611.87</u>	<u>(\$536,976.03)</u>	<u>(\$26,371.10)</u>	<u>(\$510,604.93)</u>
				\$699,986.61	\$726,357.71	\$ (26,371.10)		
				\$4,863,649.23	\$5,374,254.16	\$ (510,604.93)		
	SHORT TERM							
	LONG TERM							

**ARIA FOUNDATION**  
**FISCAL YEAR 11/1/2014 - 10/31/2015**  
**CHARLES SCHWAB BROKERAGE A/C #6651-4740**

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
138.3333	PARAGON OFFSHORE PLC F	5/16/2006	9/17/2015	\$47 86	\$1,922 52	(\$1,874 66)		(\$1,874 66)
366.6667	PARAGON OFFSHORE PLC F	6/26/2008	9/17/2015	\$126 87	\$8,591 14	(\$8,464 27)		(\$8,464 27)
165	TALISMAN ENERGY INC F	5/16/2006	3/11/2015	\$1,270 73	\$2,952 95	(\$1,682 22)		(\$1,682 22)
450	TALISMAN ENERGY INC F	6/26/2008	3/11/2015	\$3,465 63	\$9,625 91	(\$6,160 28)		(\$6,160 28)
				<u>\$4,911 09</u>	<u>\$23,092 52</u>	<u>(\$18,181 43)</u>	<u>\$0 00</u>	<u>(\$18,181 43)</u>
	SHORT TERM			\$0 00	\$0 00	-		
	LONG TERM			\$ 4,911 09	\$ 23,092 52	\$ (18,181 43)		

Client ALB06

ARIA FOUNDATION

13-3603275

## Statement 7

## Form 990-PF, Part VIII, Line 1

## List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compensation	Contribution to EBP & DC	Expense Account / Other
ADAM ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	PRESIDENT PART-TIME	\$ -	\$ -	\$ -
RACHEL ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	VICE PRESIDENT PART-TIME	\$ -	\$ -	\$ -
MIKA RUEDA-ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	SECRETARY PART-TIME	\$ -	\$ -	\$ -
GARRETT ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	TREASURER PART-TIME	\$ -	\$ -	\$ -
RUTH S FLYNN 188 KING STREET SUITE B CHARLESTON, SC 29401	ASSISTANT SECRETARY PART-TIME	\$ -	\$ -	\$ -
TROY J GASS C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	ASSISTANT TREASURER PART-TIME	\$ -	\$ -	\$ -
GRAND TOTAL:		<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

2014

Federal Supplemental Information

Page 1

Client ALB06

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

2/01/16

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Statement 8  
Form 990-PF, Part XV  
Information Regarding Managers Owning 10% Stock

ADAM, RACHEL & GARRETT ALBRIGHT AND MIKA RUEDA-ALBRIGHT COLLECTIVELY OWN GREATER THAN 10% OF ALBRIGHT VENTURES, LLC, A PARTNERSHIP CONSISTING OF POOLED INVESTORS. ARIA FOUNDATION IS ALSO INVESTED IN ALBRIGHT VENTURES, LLC, AND ALSO OWNS GREATER THAN 10% OF THAT ENTITY. ALBRIGHT VENTURES, LLC INVESTS PRIMARILY IN UNDERLYING VENTURE CAPITAL PARTNERSHIPS.

## ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
Alicia Patterson Foundation 1100 Vermont Ave , NW, 9th Floor Washington, DC 20005	NONE PRIVATE OPERATING FOUNDATION	GENERAL	\$ 20,000 00
American Humanist Association 1777 T Street, NW Washington, DC 20009	NONE PUBLIC	GENERAL	\$ 10,000 00
American Indian College Fund 8333 Greenwood Blvd Denver, CO 80221	NONE PUBLIC	GENERAL	\$ 105,000 00
Ashoka 1700 North Moore St , Suite 2000 (20th Floor) Arlington, VA 22209	NONE PUBLIC	GENERAL	\$ 2,500 00
Astrea Lesbian Foundation for Justice 116 East 16th Street, 7th Fl New York, NY 10003	NONE PUBLIC	GENERAL	\$ 55,000 00
Basic Rights Oregon PO Box 40625 Portland, OR 97240	NONE PUBLIC	GENERAL	\$ 10,000 00
Cascade Bulldog Rescue 1271 NE Highway 99W, Suite 156 McMinnville, OR 97128	NONE PUBLIC	GENERAL	\$ 25,000 00
Central Oregon Environmental Center 16 NW Kansas Ave Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 5,000 00
Central Oregon Landwatch 50 SW Bond St, Suite 4 Bend, OR 97702	NONE PUBLIC	GENERAL	\$ 50,000 00
Central Oregon Nordic Club (Swampy Shelter Rebuild Fund) PO Box 744 Bend, OR 97709	NONE PUBLIC	GENERAL	\$ 6,065 00
Charity Navigator 139 Hamistown Rd , Suite 101 Glen Rock, NJ 07452	NONE PUBLIC	GENERAL	\$ 1,000 00
Deschutes Basin Land Trust 210 NW Irving Avenue, Suite 102 Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 20,000 00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Drug Policy Alliance 131 West 33rd Street, 15th Floor New York, NY 10001	NONE PUBLIC	GENERAL	\$ 100,000 00
Earthworks 1612 K St, NW, Suite 808 Washington, DC 20006	NONE PUBLIC	GENERAL	\$ 5,000 00
Environmental Working Group 1436 U Street, NW, Suite 100 Washington, DC 20009	NONE PUBLIC	GENERAL	\$ 2,500 00
Equal Justice Initiative 122 Commerce St Montgomery, AL 36104	NONE PUBLIC	GENERAL	\$ 20,000 00
Ex-Prisoners & Prisoners Organizing for Community Advancement 4 King Street Worcester, MA 01610	NONE PUBLIC	GENERAL	\$ 30,000 00
Global Greengrants Fund 2840 Wilderness Place, Suite E Boulder, CO 80301	NONE PUBLIC	GENERAL	\$ 35,000 00
Grist 710 Second Ave, Suite 860 Seattle, WA 98104	NONE PUBLIC	GENERAL	\$ 10,000 00
High Country News Research Fund PO Box 1090 Paonia, CO 81428	NONE PUBLIC	GENERAL	\$ 15,000 00
Human Rights Campaign 1640 Rhode Island Ave, NW Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 25,000 00
ICLEI 180 Canal Street, Suite 401 Boston, MA 02114	NONE PUBLIC	GENERAL	\$ 10,000 00
Institute for Sustainable Communities 535 Stone Cutters Way Montpelier, VT 05602	NONE PUBLIC	GENERAL	\$ 50,000 00
League of Conservation Voters Education Fund 1920 L Street, NW, Suite 800 Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 12,500 00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
National Center for Lesbian Rights 870 Market Street, Suite 370 San Francisco, CA 94102	NONE PUBLIC	GENERAL	\$ 5,000 00
National Environmental Law Center 1402 Third Ave , Suite 715 Seattle, WA 98101	NONE PUBLIC	GENERAL	\$ 5,000 00
Native American Rights Fund 1506 Broadway Boulder, CO 80302	NONE PUBLIC	GENERAL	\$ 40,000 00
Natural Resources Defense Council 40 West 20th Street New York, NY 10011	NONE PUBLIC	GENERAL	\$ 368,841 00
NeighborImpact 2303 SW 1st Street Redmond, OR 97756	NONE PUBLIC	GENERAL	\$ 50,000 00
Neighbor to Neighbor MA 15 Court Square, Suite 345 Boston, MA 02108	NONE PUBLIC	GENERAL	\$ 30,000 00
Northwest Network of Bisexual, Transgender, Lesbian and Gay Survivors of Abuse PO Box 18436 Seattle, WA 98118	NONE PUBLIC	GENERAL	\$ 75,000 00
Oceana 1350 Connecticut Ave , NW, 5th Floor Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 10,000 00
Oil Change International 714 G St , SE #202 Washington, DC 20003	NONE PUBLIC	GENERAL	\$ 5,794 00
Oregon Natural Desert Association 50 SW Bond St , Suite 4 Bend OR 97702	NONE PUBLIC	GENERAL	\$ 20,000 00
PCI Media Impact 777 United Nations Plaza, 5th Floor New York, NY 10017	NONE PUBLIC	GENERAL	\$ 222,500 00
Pride Foundation 1122 East Pike St PMB 1001 Seattle, WA 98122-3934	NONE PUBLIC	GENERAL	\$ 10,000 00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Rainforest Alliance 233 Broadway, 28th Floor New York, NY 10279	NONE PUBLIC	GENERAL	\$ 5,000 00
Resources for the Future 1616 P Street, NW, Suite 600 Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 2,500 00
Resource Generation 18 West 27th St., Floor 2 New York, NY 10001	NONE PUBLIC	GENERAL	\$ 30,000 00
San Francisco Baykeepers 785 Market St., Suite 850 San Francisco, CA 94103	NONE PUBLIC	GENERAL	\$ 2,500 00
SF LGBT Center 1800 Market St San Francisco, CA 94102	NONE PUBLIC	GENERAL	\$ 40,000 00
Save the Redwoods League 111 Sutter St., 11th Floor San Francisco, CA 94104	NONE PUBLIC	GENERAL	\$ 5,000 00
Saving Grace 1425 NW Kingston Ave Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 10,000 00
Sea Turtle Conservancy 4424 NW 13th St., Suite B-11 Gainesville, FL 32609	NONE PUBLIC	GENERAL	\$ 2,500 00
Southerners on New Ground 250 Georgia Avenue, Suite 201 Atlanta, GA 30312	NONE PUBLIC	GENERAL	\$ 25,000 00
Sylvia Rivera Law Project 147 W 24th Street, 5th Floor New York, NY 10011	NONE PUBLIC	GENERAL	\$ 30,000 00
Tower Theatre Foundation 835 NW Wall St Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 15,000 00
Trees For Life 3006 W St Louis Wichita, KS 67203	NONE PUBLIC	GENERAL	\$ 2,500 00



## ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Trees, Water & People 633 Remington St Fort Collins, CO 80524	NONE PUBLIC	GENERAL	\$ 2,500 00
Turtle Island Network PO Box 370 Forest Knolls, CA 94933	NONE PUBLIC	GENERAL	\$ 2,500 00
Union of Concerned Scientists 2 Brattle Square Cambridge, MA 02138	NONE PUBLIC	GENERAL	\$ 5,000 00
Upper Deschutes Watershed Council PO Box 1812 Bend, OR 97709	NONE PUBLIC	GENERAL	\$ 25,000 00
Volunteer Connect 404 NE Norton Ave Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 10,000 00
Woods Hole Research Center PO Box 296 Woods Hole, MA 02543-0296	NONE PUBLIC	GENERAL	\$ 2,500 00
TOTAL CHARITABLE CONTRIBUTIONS			\$ 1,684,200 00

ARIA FOUNDATION Y/E 10/31/15 13-3603275		
	<b>BASIS END</b>	<b>MKT VAL</b>
<b>FORM 990-PF PART II LINE 10b INVESTMENTS - CORPORATE STOCK</b>	<b><u>OF YEAR</u></b>	<b><u>END OF YEAR</u></b>
CHARLES SCHWAB WENTWORTH ACCOUNT (SEE STATEMENT ATTACHED)	1,303,329 13	1,009,152 48
	1,303,329 13	1,009,152 48
	<b>BASIS END</b>	<b>MKT VAL</b>
<b>FORM 990-PF PART II LINE 13, INVESTMENTS - OTHER</b>	<b><u>OF YEAR</u></b>	<b><u>END OF YEAR</u></b>
CHARLES SCHWAB GAA ACCOUNT (SEE STATEMENT ATTACHED)	21,545,309 22	24,490,068 98
JABCAP EMEA FUND LTD	399,980 00	481,912 00
LIONGATE MULTI-STRATEGY FUND CLASS A	81,899 98	45,370 00
NB GREATER CHINA LONG/SHORT EQUITY FUND LTD	400,000 00	615,277 00
PRISMA SPECTRUM FUND LTD	1,288,991 43	1,465,228 00
ALBRIGHT VENTURES, LLC	1,320,129 96	1,587,186 00
EJF ARMADILLO FUND, LP	689,802 00	762,215.00
MPM BIOVENTURES V, LP	225,467 75	1,214,858 00
	25,951,580 34	30,662,114 98

ARIA FOUNDATION

13-3603275

CHARLES SCHWAB #6651-4740 Y/E 10/31/2015

STOCKS

# SHARES	DESCRIPTION	10/31/2015 BOOK BASIS	10/31/2015 FMV
185	A X A SPONSORED ADR	5,648.66	4,939.50
425	AGRIUM INC	13,587.16	39,554.75
225	ALLEGION PUBLIC LTD CO	5,749.81	14,663.25
460	BASF SE ADR	31,840.32	37,660.20
1,040	BHP BILLITON LTD ADR	81,286.65	34,205.60
390	BRIT AMER TOBACCO ADR	27,646.29	46,059.00
573	BROOKFIELD ASSET MGMT	12,001.84	20,037.81
880	CANADIAN NATL RY CO	21,604.88	53,759.20
585	CANADIAN NATURAL RES	26,614.39	13,583.70
560	CANADIAN PAC RAILWAY	34,819.32	78,680.00
140	CORE LABORATORIES N V	4,020.50	16,286.20
560	DANONE SPON ADR	7,349.94	7,778.40
300	DIAGEO PLC NEW ADR	22,589.67	34,524.00
609	EATON CORP PLC	32,715.82	34,049.19
550	ENSCO PLC CLASS A	32,042.44	9,146.50
100	FINNING INTL INC	2,699.79	1,599.00
675	INGERSOLL RAND CL A	22,558.66	40,000.50
225	MANULIFE FINANCIAL CORP	8,018.35	3,732.75
3675	NABORS INDUSTRIES LTD	47,281.58	36,897.00
1,075	NESTLE S A REG B ADR	44,883.75	81,936.50
1,515	NOBLE CORP PLC	74,859.14	20,407.05
255	NOVARTIS A G SPON ADR	14,736.69	23,059.65
285	PARTNERRE LTD	19,192.68	39,615.00
2,020	POTASH CORP SASK INC	124,162.46	40,864.60
1,085	RIO TINTO PLC SPON ADR	112,335.34	39,613.35
985	SCHLUMBERGER LTD	92,958.77	76,987.60
416	SOUTH32 LTD	3,744.00	2,138.24
1,240	SUNCOR ENERGY INC NEW	66,372.34	36,865.20
325	TECK RESOURCES LTD CL B	11,500.32	1,907.75
1,250	TENARIS S A ADR	75,166.20	31,587.50
226	UBS AG NEW	8,225.67	4,534.89
850	UNILEVER N V NY SHS NEW	25,163.80	38,233.00
2,820	VALE SA ADR	81,758.33	12,295.20
2,280	WEATHERFORD INTL NEW	94,563.57	23,347.20
190	YARA INTL ASA ADR	13,630.00	8,603.20
<b><u>TOTAL</u></b>		<b><u>\$ 1,303,329.13</u></b>	<b><u>\$ 1,009,152.48</u></b>

ARIA FOUNDATION

13-3603275

CHARLES SCHWAB #2023-9916 Y/E 10/31/2015

MUTUAL FUNDS / OTHER INVESTMENTS

# SHARES	DESCRIPTION	10/31/2015 BOOK BASIS	10/31/2015 FMV
223,447 196	DOUBLELINE TOTAL RETURN BD FD CL I	2,500,434.87	2,431,105 49
44,538 665	EATON VANCE ATLANTA CAP	828,738.69	1,228,376 38
6,400 000	ENERGY SELECT SECTOR	430,984.95	435,392 00
59,675 022	FRANKLIN INTL SMALL CAP GROWTH FD	1,008,894.94	1,167,840 18
41,830 580	GOLDMAN SACHS RISING DIV GROWTH FD	728,277.99	883,461 85
60,074 466	GOLDMAN SACHS STRATEGIC INCM FD	628,237 88	595,938 70
22,934 663	HARTFORD CAPITAL APPR FUND CL I	796,050.34	878,856 29
19,355 000	ISHARES TR MSCI EAFE	1,140,129.56	1,182,784 05
102,978 634	LATEEF I	969,417.50	1,494,219 98
75,432 103	LOOMIS SAYLES STRATEGIC	1,043,395.98	1,146,567 97
5,230 000	MARKET VECTORS ETF TRUST	223,540.29	253,550 40
27,300 000	MARKET VECTORS GOLD	433,259.95	408,408 00
24,147 391	MFS INTERNATIONAL VALUE	907,000.00	895,143 78
33,322 725	OPPENHEIMER DEVELOPING MARKETS	977,601 40	1,049,665 84
65,909 725	PIMCO FOREIGN BOND	700,946.77	608,346 76
85,643 145	PIMCO TOTAL RETURN	928,816.81	900,965 89
117,949 787	PIONEER ILS INTERVAL	1,188,000.00	1,249,088 24
20,629 000	SPDR GOLD TRUST	1,119,072.63	2,254,749 70
12,137 000	SPDR S&P OIL & GAS EXPL PRODUCTION	466,651.19	450,039 96
46,630 948	STERLING CAPITAL EQUITY INCM INST	749,171.39	864,537 78
247,130 945	TEMPLETON GLOBAL BOND	3,144,742.94	2,896,374 68
11,402 000	VANGUARD TOTAL STOCK MKT	631,943.15	1,214,655 06
<u>TOTAL</u>		<u>\$ 21,545,309.22</u>	<u>\$ 24,490,068.98</u>