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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2014 or tax year beginning

NOV 1, 2014

, and ending

OCT 31, 2015

Name of foundation

LAURA B. VOGLER FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

51 DIVISION STREET, SUITE 501

A Employer identification number

11-6022241

City or town, state or province, country, and ZIP or foreign postal code

SAG HARBOR, NY 11963

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name changeH Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year J Accounting method:  Cash  Accrual

(from Part II, col. (c), line 16)

(from Part I, column (d) must be on cash basis)

► \$ 5,280,002. (Part I, column (d) must be on cash basis)

B Telephone number

(718) 423-3000

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	319,149.	319,149.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	119,968.			
b	Gross sales price for all assets on line 6a	414,348.			
7	Capital gain net income (from Part IV, line 2)	RECEIVED	119,968.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	439,117.	439,117.		
13	Compensation of officers, directors, trustees, etc	50,325.	14,306.		36,019.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 2	9,300.	3,255.	6,045.
c	Other professional fees				
17	Interest				
18	Taxes	STMT 3	8,092.	1,171.	2,733.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		589.	353.	236.
22	Printing and publications				
23	Other expenses	STMT 4	3,064.	1,276.	1,788.
24	Total operating and administrative expenses. Add lines 13 through 23		71,370.	20,361.	46,821.
25	Contributions, gifts, grants paid		229,081.		229,081.
26	Total expenses and disbursements. Add lines 24 and 25		300,451.	20,361.	275,902.
27	Subtract line 26 from line 12:		138,666.		
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		418,756.		
c	Adjusted net income (if negative, enter -0-)			N/A	

SCANNED MAR 29 2016 Revenue

Operating and Administrative Expenses

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	1 Cash - non-interest-bearing				
2	2 Savings and temporary cash investments		49,937.	65,827.	65,827.
3	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	5 Grants receivable				
6	6 Receivables due from officers, directors, trustees, and other disqualified persons				
7	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	8 Inventories for sale or use		826.		
9	9 Prepaid expenses and deferred charges				
10a	10a Investments - U.S. and state government obligations	STMT 5	268,635.	379,236.	384,979.
b	b Investments - corporate stock	STMT 6	2,940,594.	2,955,752.	4,791,039.
c	c Investments - corporate bonds	STMT 7	31,656.	31,656.	38,157.
11	11 Investments - land, buildings, and equipment: basis ►				
	Less accumulated depreciation ►				
12	12 Investments - mortgage loans				
13	13 Investments - other				
14	14 Land, buildings, and equipment: basis ►	10,054.			
	Less accumulated depreciation	STMT 8 ►	10,054.		
15	15 Other assets (describe ► )				
16	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,291,648.	3,432,471.	5,280,002.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
19	19 Deferred revenue				
20	20 Loans from officers, directors, trustees, and other disqualified persons				
21	21 Mortgages and other notes payable				
22	22 Other liabilities (describe ► )	STATEMENT 9	963.	3,120.	
	23 Total liabilities (add lines 17 through 22)		963.	3,120.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ►	<input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.				
24	24 Unrestricted		3,290,685.	3,429,351.	
25	25 Temporarily restricted				
26	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ►	<input type="checkbox"/>			
	and complete lines 27 through 31.				
27	27 Capital stock, trust principal, or current funds				
28	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29	29 Retained earnings, accumulated income, endowment, or other funds				
30	30 Total net assets or fund balances		3,290,685.	3,429,351.	
	31 Total liabilities and net assets/fund balances		3,291,648.	3,432,471.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,290,685.
2	Enter amount from Part I, line 27a	2	138,666.
3	3 Other increases not included in line 2 (itemize) ►	3	0.
4	4 Add lines 1, 2, and 3	4	3,429,351.
5	5 Decreases not included in line 2 (itemize) ►	5	0.
6	6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,429,351.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SCHEDULE ATTACHED</b>			<b>P</b>	<b>VARIOUS</b>	<b>10/31/15</b>
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>(e) Gross sales price</b>		<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>	
<b>a 414,348.</b>			<b>294,380.</b>	<b>119,968.</b>	
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				<b>(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))</b>	
<b>(i) F.M.V. as of 12/31/69</b>		<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col. (i) over col. (j), if any</b>		
<b>a</b>				<b>119,968.</b>	
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
2 Capital gain net income or (net capital loss)		<b>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</b>		<b>2</b>	<b>119,968.</b>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8				<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	<b>266,074.</b>	<b>5,353,657.</b>	<b>.049699</b>
2012	<b>243,206.</b>	<b>4,963,475.</b>	<b>.048999</b>
2011	<b>208,020.</b>	<b>4,507,367.</b>	<b>.046151</b>
2010	<b>232,021.</b>	<b>4,472,932.</b>	<b>.051872</b>
2009	<b>164,120.</b>	<b>4,013,659.</b>	<b>.040890</b>

2 Total of line 1, column (d)

**2 .237611**

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

**3 .047522**

4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5

**4 5,287,956.**

5 Multiply line 4 by line 3

**5 251,294.**

6 Enter 1% of net investment income (1% of Part I, line 27b)

**6 4,188.**

7 Add lines 5 and 6

**7 255,482.**

8 Enter qualifying distributions from Part XII, line 4

**8 275,902.**If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2014 estimated tax payments and 2013 overpayment credited to 2014

6a 2,040.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

7 2,040.

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

8 9 2,148.

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10

11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ►

Refunded

11

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes

No

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

1b X

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c X

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 X

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3 X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 X

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

N/A

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6 X

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

7 X

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

8b X

NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

of each state as required by General Instruction G? If "No," attach explanation

9 X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10 X

**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13	X	
14	Telephone no. ► 718-423-3000	
15	ZIP+4 ► 11963-1414	
16	Yes	No

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A

14 The books are in care of ► LAWRENCE L. D'AMATO, PRESIDENT Located at ► 51 DIVISION STREET, #501, SAG HARBOR, NY

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 | N/A

16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TDF 90-22.1). If "Yes," enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here ►		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	<input type="checkbox"/> Yes	X No
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	X No
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4a	X
	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A



5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

7b

X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		

All other program-related investments. See instructions.

3		
Total. Add lines 1 through 3		0.

**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,350,778.
b	Average of monthly cash balances	1b	17,705.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	5,368,483.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,368,483.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	80,527.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,287,956.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	264,398.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certainforeign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	264,398.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	4,188.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,188.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	260,210.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	260,210.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	260,210.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	275,902.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	275,902.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,188.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	271,714.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				260,210.
2 Undistributed income, if any, as of the end of 2014			2,594.	
a Enter amount for 2013 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 275,902.			2,594.	
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				260,210.
e Remaining amount distributed out of corpus	13,098.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	13,098.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	13,098.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014	13,098.			

**Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling  
**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(i)(3) or 4942(i)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2e

3 Subtract line 2d from line 2c

Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

## **NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 11

b. The form in which applications should be submitted and information and materials they should include:

**c Any submission deadlines:**

**d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SCHEDULES ATTACHED				229,081.
Total			► 3a	229,081.
b Approved for future payment				
NONE				
Total			► 3b	0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	319,149.	0.	319,149.	319,149.	
TO PART I, LINE 4	319,149.	0.	319,149.	319,149.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	9,300.	3,255.		6,045.
TO FORM 990-PF, PG 1, LN 16B	9,300.	3,255.		6,045.

FORM 990-PF

TAXES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	3,904.	1,171.		2,733.
FEDERAL EXCISE	4,188.	0.		0.
TO FORM 990-PF, PG 1, LN 18	8,092.	1,171.		2,733.

FORM 990-PF

OTHER EXPENSES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	0.		250.
MEMBERSHIP DUES	1,500.	525.		975.
INSURANCE	938.	563.		375.
POSTAGE, STATIONARY & MISC.	376.	188.		188.
TO FORM 990-PF, PG 1, LN 23	3,064.	1,276.		1,788.

## FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		379,236.	384,979.
TOTAL U.S. GOVERNMENT OBLIGATIONS			379,236.	384,979.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			379,236.	384,979.

## FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	2,955,752.	4,791,039.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,955,752.	4,791,039.

## FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	31,656.	38,157.
TOTAL TO FORM 990-PF, PART II, LINE 10C	31,656.	38,157.

## FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,911.	2,911.	0.
SOFTWARE	6,079.	6,079.	0.
SOFTWARE	1,064.	1,064.	0.
TOTAL TO FM 990-PF, PART II, LN 14	10,054.	10,054.	0.

**THE LAURA B. VOGLER FOUNDATION, INC.**

**OCTOBER 31, 2015**

**FORM 990PF**

**ID NUMBER: 11-6022241**

**STATEMENT 09**

**Other Liabilities**

	<u>Beginning</u> <u>of Year</u>	<u>End</u> <u>of Year</u>
Taxes Payable	\$ 963 00	\$ 972 00
Federal Excise Tax Payable	0 00	2,148 00
<b>TOTAL</b>	<b><u>\$ 963 00</u></b>	<b><u>\$ 3,120 00</u></b>

**STATEMENT 10**

**Part VIII---**

**Information about Officers/Directors:**

	<u>Salaries</u>	<u>Grant Review Fees</u>	<u>Director Fees</u>	<u>TOTAL</u>
Lawrence L D'Amato--President & Director 51 Division Street, #501, Sag Harbor, New York 11963-1414	\$ 33,275 00	\$ 0 00	\$ 1,900.00	
Rev Stephen S. Schwander--Director 51 Division Street, #501, Sag Harbor, New York 11963-1414		\$ 1,150 00	\$ 1,900 00	
Karen M Yost--Director 51 Division Street, #501, Sag Harbor, New York 11963-1414		\$ 1,150.00	\$ 1,900 00	
June D'Amato--Director/Secretary 51 Division Street, #501, Sag Harbor, New York 11963-1414	\$ 6,000 00	\$ 1,150.00	\$ 1,900 00	
	<b><u>\$ 39,275 00</u></b>	<b><u>\$ 3,450.00</u></b>	<b><u>\$ 7,600 00</u></b>	<b><u>\$ 50,325 00</u></b>

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA B. VOGLER FOUNDATION, INC.--PO BOX 610508, BAYSIDE, NY 11361-0508

## FORM AND CONTENT OF APPLICATIONS

NEW YORK AREA COMMON APPLICATION FORM WITH SUPPORTING DOCUMENTATION

## ANY SUBMISSION DEADLINES

FORMAL APPLICATIONS SHOULD BE SUBMITTED NO LATER THAN THE 1ST DAY OF  
JANUARY, APRIL, JULY OR OCTOBER

## RESTRICTIONS AND LIMITATIONS ON AWARDS

MODEST SIZED GRANTS TO ORGANIZATIONS CONCERNED WITH THE HEALTH, WELL BEING,  
AND EDUCATION OF CHILDREN, THE DISADVANTAGED AND THE ELDERLY.  
GEOGRAPHICALLY, GRANTS ARE MADE ONLY IN THE NEW YORK CITY AND LONG ISLAND.

# AFFIDAVIT OF PUBLICATION

TOWNS OF EAST AND SOUTHAMPTON }  
COUNTY OF SUFFOLK } -----:SS  
, STATE OF NEW YORK }

Gavin Menu, as PUBLISHER of THE SAG HARBOR EXPRESS,  
a newspaper published by Coastal Publications, Inc. every  
Thursday at SAG HARBOR in the Towns of East Hampton  
and Southampton, County of Suffolk, N.Y., being duly sworn deposes  
and says that the Legal Notice of which the attached is a Copy  
was published in said

THE SAG HARBOR EXPRESS on 1/23/16

Sworn to before me this 29<sup>th</sup> day of January, 2015

NOTARY PUBLIC, SUFFOLK COUNTY, N.Y.

MUHAMMAD ALI  
NOTARY PUBLIC STATE OF NEW YORK  
Registration No. 61AL6170606  
Qualified in Suffolk County  
Expires July 2, 2013

1/28/16

**PUBLIC NOTICE:** The Annual Return of the Laura A. Vogler Foundation for the fiscal year ending 12/31/15 is available at its principal office, located at 9 Novac Avenue, Sag Harbor, New York 11963, for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is Lawrence L. D'Amato

Dated: January 27, 2016

1/28/16

**THE LAURA B. VOGLER FOUNDATION, INC.**

**FINANCIAL STATEMENTS**

**OCTOBER 31, 2015**

**THE LAURA B. VOGLER FOUNDATION, INC.**

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**Domenick J. Tarantino, C.P.A., P.C.**



To the Board of Directors  
***The Laura B. Vogler Foundation, Inc.***  
Bayside, New York 11361

I have audited the accompanying statement of financial position of the ***The Laura B. Vogler Foundation, Inc.*** as of October 31, 2015, and the related comparative statements of income and cash flows for the fiscal years ended October 31, 2015 and 2014. These financial statements are the responsibility of the Foundation's management. My responsibility is to express an opinion on these financial statements based on my audit.

As described in Note 1, the Foundation's policy is to prepare its financial statements on the basis of cash receipts and disbursements, except that they include a provision for federal excise tax. Consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when paid rather than when incurred. Accordingly, the accompanying financial statements are not intended to present the financial position and results of operations in conformity with generally accepted accounting principles.

In my opinion, the financial statements referred to above present fairly the financial position of ***The Laura B. Vogler Foundation, Inc.*** at October 31, 2015, and its income, expenses and grants and cash flows for the year then ended, on the basis of accounting described in Note 1 applied on a consistent basis.

My examination was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The schedule of investments and income for the year ended October 31, 2015 is presented for purposes of additional analysis and is not a required part of the basic financial statements. This information has been subjected to the auditing procedures applied in my examination of the basic financial statements and, in my opinion, is fairly stated in all material respects in relation to the basic financial statements as a whole.

A handwritten signature in black ink that reads "Domenick J. Tarantino, CPA". The signature is fluid and cursive, with "Domenick J." on the top line and "Tarantino, CPA" on the line below.

January 22, 2016

**DOMENICK J. TARANTINO, C.P.A., P.C.**

877 N Corona Avenue, N Valley Stream, NY 11580 • Phone 516-872-6300 • Fax 516-872-6311 • [www.tarantinocpa.com](http://www.tarantinocpa.com)

**THE LAURA B. VOGLER FOUNDATION, INC.  
STATEMENT OF FINANCIAL POSITION  
OCTOBER 31, 2015**

**Page 2**

**Assets**

	<b>Total Market Values</b>	<b>Principal Fund</b>	<b>Income Fund</b>
Cash	\$65,827 24	\$65,827 24	
Cash Due from Income Fund		(3,120 11)	\$3,120 11
Corporate Stocks & Mutual Funds - per Schedule 1	4,791,039 19	4,791,039 19	
Bonds - U S. Government GNMA's	384,978 91	384,978 91	
Bonds - Corporate & Other Obligations	38,156 95	38,156 95	
<b>Total Assets</b>	<b>\$5,280,002 29</b>	<b>\$5,276,882 18</b>	<b>\$3,120 11</b>

**Liabilities and Unrestricted Net Assets**

*Liabilities*

Federal Excise Tax Payable		\$2,148 00
Payroll Taxes Payable		972 11
<b>Total Liabilities</b>	<b>\$0 00</b>	<b>\$3,120 11</b>

*Unrestricted Net Assets*

Balance October 31, 2014		\$5,551,209 28
Realized Gain<Loss> on Sale & Redemption of Securities per Schedule 1		119,968 18
Excess of Expenses over Income and Grants		18,698 34
Unrealized holding gain <loss> on investments		(412,993 62)
Balance October 31, 2015		\$5,276,882 18
<b>Total Liabilities and Net Assets</b>	<b>\$0 00</b>	<b>\$3,120 11</b>

See accompanying notes to the financial statements

**THE LAURA B. VOGLER FOUNDATION, INC.  
COMPARATIVE STATEMENT OF INCOME, EXPENSES AND GRANTS  
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2015 AND 2014**

**Page 3**

**Unrestricted Income Fund**

<b>Income</b>	<b>October 31, 2015</b>	<b>October 31, 2014</b>	<b>Increase (Decrease)</b>
Dividends on Corporate Stocks & Mutual Funds			
- per Schedule 1	\$305,532.41	\$207,179.68	\$98,352.73
Interest on U S Government & Agency Obligations			
- per Schedule 1	11,560.94	12,872.17	(1,311.23)
Interest on Corporate Obligations	2,051.26	2,051.26	0.00
Dividends - Merrill Lynch Cash Management Account	5.02	9.72	(4.70)
<b>Total Income</b>	<b>\$319,149.63</b>	<b>\$222,112.83</b>	<b>\$97,036.80</b>

**Expenses**

Directors Fees	\$11,050.00	\$9,650.00	\$1,400.00
President's Compensation	33,274.92	33,274.92	\$0.00
Secretary's Compensation	6,000.00	6,000.00	\$0.00
Accounting Fees	9,300.00	9,210.00	\$90.00
Filing Fees	250.00	250.00	\$0.00
Membership Dues	1,500.00	2,950.00	(\$1,450.00)
Postage, Stationery & Miscellaneous	376.30	977.20	(\$600.90)
Insurance	937.60	1,482.58	(\$544.98)
Payroll Taxes	3,904.19	2,849.54	\$1,054.65
Conference & Meetings	589.28	405.00	\$184.28
<b>Total Expenses before Federal Tax</b>	<b>\$67,182.29</b>	<b>\$67,049.24</b>	<b>\$133.05</b>
Federal Excise Tax	4,188.00	2,014.00	\$2,174.00
<b>Total Expenses</b>	<b>\$71,370.29</b>	<b>\$69,063.24</b>	<b>\$2,307.05</b>
<b>Excess of Income over Expenses</b>	<b>\$247,779.34</b>	<b>\$153,049.59</b>	<b>\$94,729.75</b>
Grants & Distributions	229,081.00	221,750.00	\$7,331.00
<b>Excess (Expenses)/ Income</b>	<b>\$18,698.34</b>	<b>(\$68,700.41)</b>	<b>\$87,398.75</b>

See accompanying notes to the financial statements

**THE LAURA B. VOGLER FOUNDATION, INC.  
STATEMENT OF CASH FLOWS  
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2015**

**Page 4**

**Cash flows from operating activities:**

<b>Change in net assets</b>	<b>\$274,327.10</b>
<b>Adjustments to reconcile changes in net assets to net cash used by operating activities:</b>	
Net unrealized and realized gains on investments	293,025.44
Cash due principal fund	2,974.00
Increase in payroll taxes payable	9.25
	<b>\$21,681.59</b>

**Cash flows from investing activities:**

Proceeds from sale of investments	\$414,348.15
Purchase of investments	(420,139.10)
<b>Net cash used by investing activities</b>	<b>(\$5,790.95)</b>

<b>Net increase in cash</b>	<b>\$15,890.64</b>
Cash at beginning of year	49,936.60
<b>Cash at end of year</b>	<b>\$65,827.24</b>

See accompanying notes to the financial statements

**THE LAURA B. VOGLER FOUNDATION, INC.  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2015**

SCHEDULE 1  
Page #5

Corporate Stocks & Mutual Funds										Fiscal Year-to-Date 2011				Fiscal Year-to-Date 2010	
# of Shs. or \$ Amt.		Inventory Value		# of Shs. or \$ Amt.		Purchases Cost		Sales and Redemptions		Gain (Loss)		Amortization OID		Market Value	
Agenor Pharm Inc	363	\$10,272.39	\$10,272.39	(363)	\$33,455.09	\$33,455.09	\$4,763.04	\$4,763.04	(\$5,509.35)	\$3,455.09	\$3,455.09	0	\$0.00	\$6,00	
Apple, Inc	0	\$51,829.59	\$51,829.59	300	\$33,455.09	\$33,455.09	2,000	\$51,829.59	\$2,000	\$51,829.59	\$51,829.59	0	\$240.00	\$240.00	
Alcoa Inc	2,000	\$13,413.11	\$13,413.11	3,120	\$50,792.09	\$50,792.09	3,120	\$13,413.11	\$1,500	\$50,792.09	\$13,413.11	0	\$1,020.00	\$5,834.40	
A T & T, Inc	1,500	\$202,165.00	\$202,165.00	(304)	\$38,494.83	\$38,494.83	6,014	\$50,000.01	\$8,004.97	\$198,664.79	\$198,664.79	5,710	\$38,494.83	\$38,494.83	
Bank of New York Mellon Corp	8,937	\$152,342.43	\$152,342.43	\$102,767.05	\$2,213.95	\$2,213.95	8,937	\$152,342.43	8,937	\$16,701.20	\$16,701.20	8,937	\$16,701.20	\$16,701.20	
BlackRock Basic Value Fund "A"	9,774	\$372,451.62	\$372,451.62	(2,522)	\$125,000.00	\$125,000.00	10,701	\$40,000.00	\$15,322.31	\$80,303.31	\$80,303.31	8,179	\$2,123.95	\$2,123.95	
BlackRock Global Allocation Fund "A"	34,917	\$77,794.80	\$77,794.80	(2,678)	\$49,174.96	\$49,174.96	34,917	\$77,794.80	32,239	\$77,794.80	\$77,794.80	32,239	\$77,794.80	\$77,794.80	
BlackRock Global Opport Port Fund "A"	3,200	\$82,820.21	\$82,820.21	1,503	\$23,102.48	\$23,102.48	1,57	\$82,820.21	3,200	\$82,820.21	\$82,820.21	1,57	\$4,704.00	\$4,704.00	
BlackRock Long Horizon Equity Fund	1,503	\$23,102.48	\$23,102.48	2,000	\$44,118.08	\$44,118.08	2,000	\$56,500.00	1,503	\$23,102.48	\$23,102.48	1,503	\$543.22	\$543.22	
Bristol-Myers Squibb	1,57	\$20,332.03	\$20,332.03	1,540	\$32,719.27	\$32,719.27	1,540	\$32,719.27	1,540	\$32,719.27	\$32,719.27	1,540	\$6,591.20	\$6,591.20	
Boeing Company	3,200	\$78,598.26	\$78,598.26	9,774	\$127,787.48	\$127,787.48	3,200	\$78,598.26	3,200	\$78,598.26	\$78,598.26	9,774	\$144.00	\$144.00	
Chevron Corp	666	\$38,819.94	\$38,819.94	3,000	\$123,636.79	\$123,636.79	666	\$38,819.94	666	\$38,819.94	\$38,819.94	3,000	\$23,302.48	\$23,302.48	
Coca Cola Corp	1,600	\$51,896.35	\$51,896.35	2,000	\$38,548.11	\$38,548.11	1,600	\$56,535.38	1,600	\$51,089.96	\$51,089.96	1,600	\$2,000.00	\$2,000.00	
Cohen & Steers Dividend Fund	0	\$0.00	\$0.00	400	\$30,525.00	\$30,525.00	0	\$23,392.09	0	\$44,418.08	\$44,418.08	0	\$5,160.00	\$5,160.00	
Conagra Foods Inc	2,100	\$66,878.24	\$66,878.24	2,600	\$101,210.84	\$101,210.84	2,100	\$72,846.76	2,600	\$56,500.00	\$56,500.00	2,100	\$576.00	\$576.00	
Con Edison NY	500	\$52,492.85	\$52,492.85	208	\$20,352.82	\$20,352.82	500	\$23,392.09	500	\$80,493.50	\$80,493.50	208	\$2,137.86	\$2,137.86	
Duke Energy Corp	1,100	\$51,599.15	\$51,599.15	0	\$0.00	\$0.00	1,100	\$20,352.82	1,100	\$101,210.84	\$101,210.84	0	\$4,821.00	\$4,821.00	
DuPont El De Nemours	550	\$35,845.93	\$35,845.93	1,300	\$42,169.51	\$42,169.51	550	\$35,845.93	1,300	\$42,169.51	\$42,169.51	550	\$3,008.00	\$3,008.00	
Emerson Electric Corp	2,000	\$27,134.51	\$27,134.51	400	\$12,939.94	\$12,939.94	2,000	\$38,548.11	2,000	\$42,169.51	\$42,169.51	2,000	\$8,997.12	\$8,997.12	
Exxon Mobil Corp	400	\$30,525.00	\$30,525.00	2,100	\$66,878.24	\$66,878.24	400	\$30,525.00	400	\$30,525.00	\$30,525.00	2,100	\$576.00	\$576.00	
FirstEnergy Corp	2,600	\$101,210.84	\$101,210.84	208	\$20,352.82	\$20,352.82	2,600	\$72,846.76	2,600	\$80,493.50	\$80,493.50	208	\$2,137.86	\$2,137.86	
General Electric	0	\$0.00	\$0.00	1,300	\$42,169.51	\$42,169.51	0	\$0.00	0	\$44,418.08	\$44,418.08	0	\$3,008.00	\$3,008.00	
Honeywell Int'l Inc	2,000	\$56,535.38	\$56,535.38	1,300	\$42,169.51	\$42,169.51	2,000	\$72,846.76	2,000	\$38,548.11	\$38,548.11	1,300	\$8,997.12	\$8,997.12	
Intel Corp	0	\$0.00	\$0.00	400	\$30,525.00	\$30,525.00	0	\$23,392.09	400	\$30,525.00	\$30,525.00	0	\$576.00	\$576.00	
International Paper Co	500	\$52,492.85	\$52,492.85	1,100	\$51,599.15	\$51,599.15	500	\$23,392.09	500	\$80,493.50	\$80,493.50	1,100	\$2,137.86	\$2,137.86	
Intl Business Machines	550	\$35,845.93	\$35,845.93	550	\$35,845.93	\$35,845.93	550	\$35,845.93	550	\$80,493.50	\$80,493.50	550	\$3,008.00	\$3,008.00	
J M Smucker Co	2,000	\$27,134.51	\$27,134.51	400	\$12,939.94	\$12,939.94	2,000	\$38,548.11	2,000	\$42,169.51	\$42,169.51	2,000	\$8,997.12	\$8,997.12	
Johnson & Johnson	1,300	\$124,633.92	\$124,633.92	3,600	\$96,467.02	\$96,467.02	1,300	\$124,633.92	3,600	\$96,467.02	\$96,467.02	1,300	\$10,926.00	\$10,926.00	
JP Morgan Chase & Co	400	\$27,134.51	\$27,134.51	500	\$16,027.94	\$16,027.94	400	\$12,939.94	500	\$16,027.94	\$16,027.94	500	\$340.00	\$340.00	
Kinder Morgan, Inc	1,100	\$31,648.10	\$31,648.10	1,575	\$65,811.77	\$65,811.77	1,100	\$12,939.94	1,575	\$65,811.77	\$65,811.77	1,100	\$1,115.48	\$1,115.48	
Lockheed Martin Corp	300	\$144,442.98	\$144,442.98	1,000	\$27,349.89	\$27,349.89	300	\$171,348.83	1,000	\$27,349.89	\$27,349.89	1,000	\$1,470.00	\$1,470.00	
Lord Abbett Alpha Strategy Fund "A"	7,875	\$26,905.85	\$26,905.85	745	\$45,042.70	\$45,042.70	7,875	\$171,348.83	745	\$26,905.85	\$26,905.85	7,875	\$1,960.32	\$1,960.32	
McDonalds Corp	1,300	\$124,633.92	\$124,633.92	1,000	\$27,348.86	\$27,348.86	1,300	\$124,633.92	1,000	\$27,348.86	\$27,348.86	1,000	\$4,420.00	\$4,420.00	
Nextera Energy, Inc	3,600	\$96,467.02	\$96,467.02	500	\$16,027.94	\$16,027.94	3,600	\$96,467.02	500	\$16,027.94	\$16,027.94	3,600	\$10,926.00	\$10,926.00	
Oshkosh Corporation	1,575	\$65,811.77	\$65,811.77	1,000	\$27,349.89	\$27,349.89	1,575	\$65,811.77	1,575	\$65,811.77	\$65,811.77	1,575	\$1,480.00	\$1,480.00	
Procter & Gamble	1,000	\$27,349.89	\$27,349.89	750	\$25,348.86	\$25,348.86	1,000	\$27,349.89	750	\$25,348.86	\$25,348.86	1,000	\$1,440.00	\$1,440.00	
Seaspan Corp	1,000	\$25,348.86	\$25,348.86	1,000	\$27,348.86	\$27,348.86	1,000	\$27,348.86	1,000	\$27,348.86	\$27,348.86	1,000	\$1,995.07	\$1,995.07	
SLM Corp Pld	750	\$124,633.92	\$124,633.92	1,000	\$27,348.86	\$27,348.86	750	\$124,633.92	1,000	\$27,348.86	\$27,348.86	750	\$1,480.00	\$1,480.00	
Spectra Energy Corp	1,000	\$27,348.86	\$27,348.86	1,000	\$27,348.86	\$27,348.86	1,000	\$27,348.86	1,000	\$27,348.86	\$27,348.86	1,000	\$1,480.00	\$1,480.00	
Superior Industries Int'l	2,000	\$34,825.83	\$34,825.83	1,000	\$134,612.41	\$134,612.41	2,000	\$34,825.83	2,000	\$34,825.83	\$34,825.83	1,000	\$1,801.60	\$1,801.60	
TD Bank	745	\$45,042.70	\$45,042.70	8,188	\$134,612.41	\$134,612.41	745	\$45,042.70	8,188	\$134,612.41	\$134,612.41	8,188	\$1,813.60	\$1,813.60	
Venzon Communications Corp	8,188	\$134,612.41	\$134,612.41	1,000	\$34,220.68	\$34,220.68	8,188	\$134,612.41	1,000	\$34,220.68	\$34,220.68	8,188	\$1,450.00	\$1,450.00	
Wells Fargo & Co	1,000	\$34,220.68	\$34,220.68	1,000	\$42,054.26	\$42,054.26	1,000	\$34,220.68	1,000	\$42,054.26	\$42,054.26	1,000	\$2,610.00	\$2,610.00	
W G L Holdings, Inc	1,000	\$42,054.26	\$42,054.26	1,000	\$2,940,393.76	\$2,940,393.76	1,000	\$2,940,393.76	1,000	\$2,940,393.76	\$2,940,393.76	1,000	\$2,610.00	\$2,610.00	
Total Corporate Stocks	139,548	\$2,940,393.76	\$2,940,393.76	136,613	\$124,275.33	\$124,275.33	139,548	\$2,940,393.76	136,613	\$124,275.33	\$124,275.33	139,548	\$2,610.00	\$2,610.00	

THE LAURA B. VOGLER FOUNDATION, INC.  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2015

SCHEDULE 1  
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U.S. Government Obligations	On Hand November 30, 2014		Fiscal Year Transactions		On Hand October 31, 2015		Market Value	Fiscal Year Income
	# of Shs. or \$ Amt.	Inventory Value	Purchases Cost	Sales and Redemptions	# of Shs. or \$ Amt.	Amortization OID		
GNMA P193501 9.5% 2016	126	\$127.15	(64)	\$64.10	(\$0.48)	62	\$62.57	\$9.27
GNMA P146412 9.5% 2016	237	\$237.89	(175)	\$175.38	(\$0.28)	62	\$62.23	\$15.08
GNMA P1766534.9 0% 2016	173	\$174.95	(95)	\$95.20	(\$0.87)	78	\$78.88	\$11.74
GNMA P205376.9 5% 2017	0	\$0.00			0	\$0.00		
GNMA P198522.8 5% 2017	2,936	\$2,936.00	(2,873)	\$2,873.00		63	\$63.00	\$55.18
GNMA P262621.9 5% 2018	552	\$55.64	(136)	\$135.81	(\$1.09)	416	\$41.74	\$46.69
GNMA P251760.9 5% 2018	265	\$263.01	(67)	\$66.56	\$0.07	198	\$19.52	\$22.35
GNMA P271679.9 0% 2019	852	\$862.65	(183)	\$182.70	(\$2.59)	669	\$67.36	\$69.27
GNMA P311971.7 5% 2022	797	\$782.06	(82)	\$82.04	\$1.57	715	\$701.59	\$57.01
GNMA P361934.7 0% 2023	2,125	\$2,138.28	(583)	\$582.98	(\$3.66)	1,542	\$1,551.64	\$131.08
GNMA P423798.7 5% 2026	100	\$101.25	(16)	\$15.98	(\$0.22)	84	\$85.05	\$6.93
GNMA P430921.7 5% 2026	418	\$419.05	(30)	\$30.88	(\$0.80)	388	\$38.97	\$30.42
GNMA P570575.5 5% 2034	6,373	\$7,097.93	(1,218)	\$1,217.96	(\$138.59)	5,155	\$57.41	\$335.86
GNMA P630943.5 5% 2034	0	\$0.00	95.339	\$110,402.16	(\$1,477.35)	95,339	\$108,924.81	\$975.18
GNMA P605652.5 0% 2034	0	\$0.00	9,413	\$10,465.41	(\$16.98)	9,413	\$10,448.43	\$105.77
GNMA P321288.5 0% 2035	0	\$0.00	24.575	\$27,541.60	(\$140.47)	24,575	\$27,491.13	\$222.42
GNMA P646863.5 0% 2035	26,201	\$26,725.02	(2,683)	\$2,682.81	(\$53.85)	23,518	\$23,988.36	\$26,283.75
GNMA P656047.5 0% 2036	25,270	\$24,543.49	(6,066)	\$6,066.10	\$174.49	19,204	\$18,651.88	\$21,250.84
GNMA P682752.4 5% 2038	0	\$0.00	9,887	\$10,813.67	(\$12.12)	9,887	\$10,801.55	\$79.36
GNMA P683684.5 0% 2038	0	\$0.00	6,921	\$7,947.81	(\$265.50)	6,921	\$7,882.31	\$32.46
GNMA P65836.5 0% 2037	6,615	\$6,583.36	(6,615)	\$6,615.04	\$26.68	0	(\$0.00)	\$1,09.93
GNMA P26263.5 0% 2039	37,446	\$41,096.99	(10,302)	\$10,302.00	(\$1,004.45)	27,144	\$29,790.54	\$1,642.05
GNMA P775131.4 0% 2041	88,394	\$94,471.09	(19,839)	\$19,839.12	(\$1,363.81)	68,555	\$73,268.16	\$3,142.45
GNMA PAD7367.3 5% 2043	58,205	\$59,514.61	(1,236)	\$1,235.36	(\$28.45)	56,969	\$58,250.80	\$2,017.56
Total U.S. Government Obligations	257,085	\$268,635.42	93,872	\$167,170.65	(\$4,307.15)	0.00	350,957	\$279,235.90
								<u>\$11,560.94</u>

THE LAURA B. VOGLER FOUNDATION, INC.  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2015

SCHEDULE 1  
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	Stocks - U.S. Obligations	On Hand November 30, 2014			On Hand October 31, 2015			Market Value
		# of Shs. or \$ Amt.	Inventory Value	# of Shs. or \$ Amt.	Purchases Cost	Sales and Redemptions	# of Shs. or \$ Amt.	
Corporate Stocks-brought forward	139,548	\$2,940,593.76	(7,955)	\$252,968.45	\$362,085.13	\$124,275.33	\$0.00	\$136,613
U.S. Government Obligations-brought forward	257,085	\$268,635.42	93,872	\$167,170.65	\$52,263.02	(\$4,307.15)	\$0.00	\$350,957
<b>Bonds - Corporate Obligations</b>								
Swiss RE Solutions 6.45% 3/1/2019	10,000	\$8,748.25					10,000	\$8,748.25
General Electric Cap Corp 5.625% 9/15/2017	25,000	\$22,907.85					25,000	\$22,907.85
<b>Total Bonds-Corporate Obligations</b>	<b>35,000</b>	<b>\$31,656.10</b>	<b>0</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,351.26</b>
<b>Total Investments</b>								

On Hand

**THE LAURA B. VOGLER FOUNDATION, INC.**  
**NOTES TO FINANCIAL STATEMENTS**  
**OCTOBER 31, 2015**

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**Note 1      SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Basis of Accounting**

The Foundation's policy is to prepare its financial statements on the modified cash basis of accounting: consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when cash is disbursed rather than when the obligation is incurred. Books and records are maintained on the cash basis, with the exception of the accrual of federal excise tax.

**Note 2      Investments**

Equity securities and bonds held for indefinite periods of time, including securities which The Foundation intends to use as part of its asset/liability strategy, or that may be sold in response to changes in market conditions, the need to increase cash or other similar factors, are classified as available for sale and are carried at fair market value.

As of October 31, 2015, the aggregate value of investments available for sale is as follows:

Fair Market Value	\$5,214,175.05
Cost (Inventory Value)	3,366,644.41
Unrealized Holding Gain	1,847,530.64

Alzheimers Fdn Of America, Inc	\$5,000.00	enhance National Memory Screening Week
Art Resources Transfer, Inc	\$4,000.00	underserved communities library prog - NYS
Boys & Girls Club of No Westchester	\$5,000.00	College Quest Program
Career Gear, Inc	\$3,500.00	expand Professional Development Series(PDS)
CASES(alternative sentencing	\$3,500.00	young people involved in criminal justice system
Cerebral Palsy of Westchester, Inc	\$5,000.00	10 iPads for preschool center
Chess-in-the-Schools, Inc	\$3,500.00	support of College Bound Prog
Children's Maritime Museum(Pt Jeff)	\$5,000.00	support community "Maker Festival"
Christian Herald Assoc	\$2,981.00	music program for at-risk youth
CoDanceCo, Inc	\$3,000.00	in-school residency of Dancing Classrooms L I
Community Based Services, Inc	\$4,000.00	recreational prog for autistic children
Computers for Youth Fdn . Inc	\$2,000.00	improve academics thru digital learning
Concern for Independent Living, Inc	\$4,000.00	Project Homestart - affordable housing
Diaspora Community Services, Inc	\$5,000.00	support Women's Empowerment Prog.(WEB)
East Harlem Block Nursery	\$3,500.00	enhance pre-school education program
East Side House Inc	\$4,000.00	underwrite Pathways to Success prog (CEPS)
Educational Video Center Inc	\$5,000.00	after-school youth documentary workshop prog
EIS Eviction Intervention Services	\$3,000	homelessness prevention-SORT prog
Foundation Center	\$3,000	general support
Fresh Air Fund	\$6,800.00	4 camperships for summer camp 2015
Friends of Island Academy, Inc	\$5,000.00	Cello Without Walls music prog -Riker's Island
Heritage Health & Housing, Inc	\$3,000.00	Pediatric oral health educational series/W Harlem
Human Understanding & Growth(HUGS)	\$4,500.00	gen support to meet demands of programming
Island Harvest Ltd	\$5,000.00	Senior Mobile Food Parity
King Manor Assoc of L I , Inc.	\$3,500.00	educational & cultural programming
L I Council on Alcoholism(LICADD)	\$2,500.00	Too Good for Drugs Prog
Lifetime Arts, Inc	\$3,000.00	"creative aging" for older adults-training prog
Lifting Up Westchester	\$5,000.00	Brighter Futures Mentoring Prog after school
Literacy for Incarcerated Teens	\$3,000.00	support visiting authors & artists series

Mama Fdn For the Arts, Inc	\$5,000 00	Gospel for Teens After School Program
Midnight Run, Inc	\$3,400 00	supplemental winter clothing
Mixteca Organization, Inc	\$3,000 00	parenting skills workshop series
National Lighthouse Museum	\$5,000 00	Educational Prog - Maritime History
Neighborhood Coalition for Shelter	\$3,000 00	programs for homelessness
New Destiny Housing Corp	\$5,000 00	Innnovative family support prog
New Heights Youth, Inc	\$5,000 00	support "College Bound" prog
NYC Habitat For Humanity	\$5,000 00	affordable ownership
NYC Mission Society	\$4,000 00	purchase 940 pedometers for youth fitness
NYSPCC-NY Soc for Prevention of Cruelty	\$5,000 00	Safe Touches Prog K-3
Olympus Garden Club, Inc	\$5,000 00	green jobs training for youth
One Stop Senior Services	\$3,000 00	support expenses for care management prog
One To World, Inc	\$4,000 00	low-income K-12 NYC students
Pt Washington Parent Resource Ctr Inc	\$4,500 00	fund Outreach Program
Red Hook Initiative	\$5,000 00	Teen chef- nutrition education program
Redemption Outreach Intl . Inc	\$2,000.00	teen pregnancy prevention
St. Christopher's Inn, Inc	\$5,000 00	support residence opened in White Plains
St. Mary's Hosp for Children, Inc	\$5,000 00	interdisciplinary feeding program
Terrence Cardinal Cooke Health Care Ctr	\$5,000 00	music therapy for residents on palliative care
The HOPE Program, Inc.	\$5,000 00	provide daily meals to enrollees/jobseekers
The Neighborhood House	\$3,000 00	bereaved families of suicide & drug abuse
Union Settlement Assoc , Inc	\$3,500 00	summer writing & theater prog
Violence Intervention Program, Inc	\$5,000 00	awareness to reduce domestic violence NYC
VISIONS/Services for Blind & Visually Impa	\$5,000 00	support nutritional & physical health of older adults
WISE Individualized Senior Experience, Inc	\$5,000 00	support HS seniors transitioning to college/work
YMCA Cent.&No Westchester, Inc	\$5,400 00	2 all-terrain wheelchairs
		\$229,081 00