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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

For Calendar year 2014 or tax year beginning

OCT 1, 2014

, and ending

SEP 30, 2015

Name of foundation

## THE BARTH FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

5 DOS POSOS

A Employer identification number

94-3025710

B Telephone number

(925) 254-0261

City or town, state or province, country, and ZIP or foreign postal code

ORINDA, CA 94563

C If exemption application is pending, check here ► 

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

D 1 Foreign organizations, check here ► 2 Foreign organizations meeting the 85% test, check here and attach computation ► 

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► 

I Fair market value of all assets at end of year J Accounting method:  Cash  Accrual  
 (from Part II, col. (c), line 16)  Other (specify) FUND  
 ► \$ 3,813,653. (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	Revenue	37,780.		N/A	
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		15.	15.		STATEMENT 1
4 Dividends and interest from securities		46,805.	46,805.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		355,226.			
b Gross sales price for all assets on line 6a		498,344.			
7 Capital gain net income (from Part IV, line 2)			355,226.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		439,826.	402,046.		
13 Compensation of officers, directors, trustees, etc	Operating and Administrative Expenses	0.	0.	RECEIVED	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 3	3,100.	3,100.		
c Other professional fees	STMT 4	41,363.	41,363.		
17 Interest					
18 Taxes	STMT 5	7,363.	614.		
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		51,826.	45,077.		0.
25 Contributions, gifts, grants paid		288,600.			288,600.
26 Total expenses and disbursements. Add lines 24 and 25		340,426.	45,077.		288,600.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		99,400.			
b Net investment income (if negative, enter -0-)			356,969.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end of year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		570,016.	774,754.	774,754.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 6	1,430,338.	1,325,000.	3,038,899.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment: basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ► )				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		2,000,354.	2,099,754.	3,813,653.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ► )				
	23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31				
	24 Unrestricted		2,000,354.	2,099,754.	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances		2,000,354.	2,099,754.	
	31 Total liabilities and net assets/fund balances		2,000,354.	2,099,754.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,000,354.
2 Enter amount from Part I, line 27a	2	99,400.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	2,099,754.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,099,754.

## Part IV. Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e	498,344.	143,118.	355,226.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e			355,226.		
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	355,226.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8				3	N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	290,341.	3,950,480.	.073495
2012	213,143.	3,365,818.	.063326
2011	183,810.	2,994,585.	.061381
2010	172,991.	2,799,920.	.061784
2009	152,150.	2,186,277.	.069593

2 Total of line 1, column (d)

2 .329579

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .065916

4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5

4 4,174,344.

5 Multiply line 4 by line 3

5 275,156.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 3,570.

7 Add lines 5 and 6

7 278,726.

8 Enter qualifying distributions from Part XII, line 4

8 288,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions.

## Part VI. Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	<b>1</b> <b>2</b> <b>3</b> <b>4</b> <b>5</b> <b>6</b> <b>7</b> <b>8</b> <b>9</b> <b>10</b> <b>11</b>	<b>3,570.</b> <b>0.</b> <b>3,570.</b> <b>0.</b> <b>3,570.</b> <b>4,800.</b> <b>4,800.</b> <b>8</b> <b>9</b> <b>1,230.</b> <b>0.</b>
1b	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
3	Add lines 1 and 2		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-		
6	<b>Credits/Payments:</b>		
a	2014 estimated tax payments and 2013 overpayment credited to 2014		
b	Exempt foreign organizations - tax withheld at source		
c	Tax paid with application for extension of time to file (Form 8868)		
d	Backup withholding erroneously withheld		
7	Total credits and payments. Add lines 6a through 6d		
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>		
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>		
11	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> ► <b>1,230.</b>   <b>Refunded</b> ► <b>0.</b>		

## Part VII-A Statements Regarding Activities

	Yes	No
1a	X	
1b	X	
1c	X	
2	X	
3	X	
4a	X	
4b		
5	X	
6	X	
7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <b>CA</b>	
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation. ► \$ **0.** (2) On foundation managers. ► \$ **0.**

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ **0.**

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
*If "Yes," attach a detailed description of the activities*

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
*If "Yes," attach the statement required by General Instruction T.*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► **CA**

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

## Part VII-A Statements Regarding Activities (continued)

11		X
12		X
13	X	
Website address ► N/A		
14	The books are in care of ► EUGENE BARTH	Telephone no. ► 925 254-0261
	Located at ► 5 DOS POSOS, ORINDA, CA	ZIP+4 ► 94563
15	► 15	► N/A
16	Yes	No
	X	

See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here	►	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4a X	
	4b X	

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes  No

Yes  No

Yes  No

Yes  No

Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A



5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

## 1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EUGENE BARTH 5 DOS POSOS ORINDA, CA 94563	TRUSTEE 0.00	0.	0.	0.

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

►

0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions	
<b>Total.</b> Add lines 1 through 3		► 0.

Form 990-PF (2014)

**Part X. Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:

- a Average monthly fair market value of securities
- b Average of monthly cash balances
- c Fair market value of all other assets
- d Total (add lines 1a, b, and c)

e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)

1e 0.

2 Acquisition indebtedness applicable to line 1 assets

3 Subtract line 2 from line 1d

4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)

5 Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4

6 Minimum investment return Enter 5% of line 5

1a	3,518,998.
1b	718,915.
1c	
1d	4,237,913.
2	0.
3	4,237,913.
4	63,569.
5	4,174,344.
6	208,717.

**Part XI. Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6

1 208,717.

2a Tax on investment income for 2014 from Part VI, line 5

2a 3,570.

b Income tax for 2014. (This does not include the tax from Part VI.)

2b

3 Distributable amount before adjustments. Subtract line 2c from line 1

2c 3,570.

4 Recoveries of amounts treated as qualifying distributions

3 205,147.

5 Add lines 3 and 4

4 0.

6 Deduction from distributable amount (see instructions)

5 205,147.

7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1

6 0.

7 205,147.

**Part XII. Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:

1a 288,600.

a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26

1b 0.

b Program-related investments - total from Part IX-B

2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes

2

3 Amounts set aside for specific charitable projects that satisfy the:

3a

a Suitability test (prior IRS approval required)

3b

b Cash distribution test (attach the required schedule)

4 288,600.

4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4

5 3,570.

5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

income. Enter 1% of Part I, line 27b

6 Adjusted qualifying distributions Subtract line 5 from line 4

6 285,030.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				205,147.
2 Undistributed income, if any, as of the end of 2014			0.	
a Enter amount for 2013 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	44,566.			
b From 2010	44,109.			
c From 2011	37,032.			
d From 2012	44,962.			
e From 2013	102,415.			
f Total of lines 3a through e	273,084.			
4 Qualifying distributions for 2014 from Part XII, line 4 ► \$	288,600.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				205,147.
e Remaining amount distributed out of corpus	83,453.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d) the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	356,537.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5			0.	
b Prior years' undistributed income. Subtract line 4b from line 2b			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr				0.
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	44,566.			
9 Excess distributions carryover to 2015 Subtract lines 7 and 8 from line 6a	311,971.			
10 Analysis of line 9:				
a Excess from 2010	44,109.			
b Excess from 2011	37,032.			
c Excess from 2012	44,962.			
d Excess from 2013	102,415.			
e Excess from 2014	83,453.			



## Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ALTA BATES SUMMIT MEDICAL CENTER 2450 ASHBY AVENUE BERKELEY, CA 94705	N/A	501(C)(3)	TO SUPPORT	3,000.
AMERICAN CANCER SOCIETY 601 MONTGOMERY STREET SAN FRANCISCO, CA 94111	N/A	501(C)(3)	TO SUPPORT	500.
AMERICAN CONSERVATORY THEATER 415 GEARY STREET SAN FRANCISCO, CA 94102	N/A	501(C)(3)	TO SUPPORT	25,000.
AMERICAN HEART ASSN 598 VERMONT STREET SAN FRANCISCO, CA 94107	N/A	501(C)(3)	TO SUPPORT	500.
AMNESTY INT'L 5 PENN PLAZA NEW YORK, NY 10001	N/A	501(C)(3)	TO SUPPORT	1,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 288,600.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		402,046.	0.
13 Total Add line 12, columns (b), (d), and (e)					402,046.

(See worksheet in line 13 instructions to verify calculations.)

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	<b>NOT APPLICABLE</b>



## THE BARTH FOUNDATION

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 500 SHS BIOGEN IDEC INC			P		01/09/15
b 500 ,SHS AMGEN INC			P		01/09/15
c 250 SHS AMGEN INC			P		01/15/15
d 100 SHS HALYARD HEALTH INC			P		02/13/15
e 3 ,500 SHS NEKTAR THERAPEUTICS			P		05/14/15
f 600 SHS TIFFANY & CO			P		06/12/15
g 100 SHS BIOGEN IDEC INC			P		06/12/15
h 500 SHS COGNEX CORP			P		06/12/15
i 500 SHS CITRIX SYS INC			P		06/12/15
j 1,500 SHS NEKTAR THERAPEUTICS			P		09/04/15
k 2,000 SHS NEKTAR THERAPEUTICS			P		09/22/15
l					
m					
n					
o					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 169 ,505.		9 ,883.	159 ,622.		
b 80 ,075.		13 ,419.	66 ,656.		
c 39 ,054.		7 ,643.	31 ,411.		
d 4 ,464.		2 ,362.	2 ,102.		
e 39 ,929.		38 ,021.	1 ,908.		
f 27 ,377.		11 ,139.	16 ,238.		
g 37 ,868.		818.	37 ,050.		
h 24 ,594.		8 ,192.	16 ,402.		
i 32 ,289.		30 ,287.	2 ,002.		
j 16 ,533.		9 ,152.	7 ,381.		
k 26 ,656.		12 ,202.	14 ,454.		
l					
m					
n					
o					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			159 ,622.		
b			66 ,656.		
c			31 ,411.		
d			2 ,102.		
e			1 ,908.		
f			16 ,238.		
g			37 ,050.		
h			16 ,402.		
i			2 ,002.		
j			7 ,381.		
k			14 ,454.		
l					
m					
n					
o					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	355 ,226.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3	N/A	

## THE BARTH FOUNDATION

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## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ANIMAL REFUGE RESPONSE 2905 SAN PABLO DAM ROAD SAN PABLO, CA 94803	N/A	501(C)(3)	TO SUPPORT	5,000.
ANIMAL RESCUE FOUNDATION 2890 MITCHELL DRIVE WALNUT CREEK, CA 94598	N/A	501(C)(3)	TO SUPPORT	250.
ARTHRITIS FOUNDATION PO BOX 7669 ATLANTA, GA 30357	N/A	501(C)(3)	TO SUPPORT	500.
AURORA THEATRE 2081 ADDISON STREET BERKELEY, CA 94704	N/A	501(C)(3)	TO SUPPORT	3,000.
BAY AREA RIDGE TRAIL 1007 GENERAL KENNEDY AVE SAN FRANCISCO, CA 94129	N/A	501(C)(3)	TO SUPPORT	1,000.
BERKELEY REPERTORY THEATRE 2025 ADDISON STREET BERKELEY, CA 94704	N/A	501(C)(3)	TO SUPPORT	10,000.
BROWN ALUMNI MAGAZINE BOX 1854, 71 GEORGE ST PROVIDENCE, RI 02912	N/A	501(C)(3)	TO SUPPORT	1,000.
BROWN UNIVERSITY 110 ELM STREET, BOX 1925 PROVIDENCE, RI 02912	N/A	501(C)(3)	TO SUPPORT	10,000.
BROWN UNIVERSITY SPORT FOUNDATION 110 ELM STREET, BOX 1925 PROVIDENCE, RI 02912	N/A	501(C)(3)	TO SUPPORT	5,000.
CAL SHAKES 100 GATEWAY BLVD ORINDA, CA 94563	N/A	501(C)(3)	TO SUPPORT	2,500.
<b>Total from continuation sheets</b>				<b>258,600.</b>

## THE BARTH FOUNDATION

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## Part XV | Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CALIFORNIA COLLEGE OF THE ARTS 5212 BROADWAY OAKLAND, CA 94618	N/A	501(C)(3)	TO SUPPORT	2,000.
CASA OF CONTRA COSTA COUNTY 2020 NORTH BROADWAY SUITE 204 WALNUT CREEK, CA 94596	N/A	501(C)(3)	TO SUPPORT	7,500.
CONTRA COSTA HUMANE SOCIETY 171 MAYHEW WAY, SUITE 101 PLEASANT HILL, CA 94523	N/A	501(C)(3)	TO SUPPORT	4,500.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE #2 NEW YORK, NY 10001	N/A	501(C)(3)	TO SUPPORT	5,000.
EARTH JUSTICE 50 CALIFORNIA STREET SUITE 500 SAN FRANCISCO, CA 94111	N/A	501(C)(3)	TO SUPPORT	4,000.
EDUCATION FOUNDATION OF ORINDA 21C ORINDA WAY, #123 ORINDA, CA 94563	N/A	501(C)(3)	TO SUPPORT	500.
ENSEMBLE STUDIO THEATRE 549 WEST 52ND ST 2ND FLOOR NEW YORK, NY 10019	N/A	501(C)(3)	TO SUPPORT	25,000.
FOODBANK OF CONTRA COSTA & SOLANO P.O. BOX 6324 CONCORD, CA 94524	N/A	501(C)(3)	TO SUPPORT	1,500.
HABITAT FOR HUMANITY EASY BAY 2619 BROADWAY OAKLAND, CA 94612	N/A	501(C)(3)	TO SUPPORT	1,000.
HARVARD BUSINESS SCHOOL 117 WESTERN AVE ALLSTON, MA 02134	N/A	501(C)(3)	TO SUPPORT	10,000.
<b>Total from continuation sheets</b>				

## THE BARTH FOUNDATION

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## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEADLANDS CENTER FOR THE ARTS 944 SIMMONDS ROAD SAUSALITO, CA 94965	N/A	501(C)(3)	TO SUPPORT	47,500.
KQED 2601 MARIPOSA STREET SAN FRANCISCO, CA 94110	N/A	501(C)(3)	TO SUPPORT	2,000.
JOHN MUIR HEALTH FOUNDATION 1400 TREAT BOULEVARD WALNUT CREEK, CA 94597	N/A	501(C)(3)	TO SUPPORT	6,000.
LUTHER COLLEGE 700 COLLEGE DRIVE DECORAH, IA 52101	N/A	501(C)(3)	TO SUPPORT	4,000.
MAGIC THEATER FORT MASON CENTER D3 SAN FRANCISCO, CA 94123	N/A	501(C)(3)	TO SUPPORT	8,500.
MANHATTANVILLE COLLEGE 2900 PURCHASE STREET PURCHASE, NY 10577	N/A	501(C)(3)	TO SUPPORT	10,000.
MARCH OF DIMES 101 MONTGOMERY STREET SAN FRANCISCO, CA 94104	N/A	501(C)(3)	TO SUPPORT	500.
MARIN THEATRE CO 397 MILLER AVE MILL VALLEY, CA 94941	N/A	501(C)(3)	TO SUPPORT	2,000.
NORTHWESTERN UNIVERSITY 633 CLARK ST EVANSTON, IL 60208	N/A	501(C)(3)	TO SUPPORT	8,000.
NY THEATRE WORKSHOP 79 EAST 4TH STREET NEW YORK, NY 10003	N/A	501(C)(3)	TO SUPPORT	2,000.
<b>Total from continuation sheets</b>				

## THE BARTH FOUNDATION

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## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLAYGROUND 1705 BUCHANAN STREET SAN FRANCISCO, CA 94115	N/A	501(C)(3)	TO SUPPORT	500.
PLAYWRIGHTS FOUNDATION 1616 16TH STREET SUITE 350 SAN FRANCISCO, CA 94103	N/A	501(C)(3)	TO SUPPORT	5,000.
PUG RESCUE OF SACRAMENTO PO BOX 5094 CONCORD, CA 94524	N/A	501(C)(3)	TO SUPPORT	250.
RAILS-TO-TRAILS CONSERVANCY 2121 WARD CT., NW 5TH FLOOR WASHINGTON, DC 20037	N/A	501(C)(3)	TO SUPPORT	1,000.
SAVE MT DIABLO 1901 OLYMPIC BLVD. WALNUT CREEK, CA 94596	N/A	501(C)(3)	TO SUPPORT	4,000.
SAVE THE BAY 1330 BROADWAY OAKLAND, CA 94612	N/A	501(C)(3)	TO SUPPORT	2,000.
SF MOMA 151 3RD STREET SAN FRANCISCO, CA 94103	N/A	501(C)(3)	TO SUPPORT	5,500.
SF PLAYHOUSE 450 POST STREET SAN FRANCISCO, CA 94102	N/A	501(C)(3)	TO SUPPORT	4,100.
SF SYMPHONY 201 VAN NESS AVE SAN FRANCISCO, CA 94102	N/A	501(C)(3)	TO SUPPORT	3,000.
SHOTGUN PLAYERS 1901 ASHBY AVE BERKELEY, CA 94703	N/A	501(C)(3)	TO SUPPORT	2,000.
<u>Total from continuation sheets</u>				

## THE BARTH FOUNDATION

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Part XV Supplementary Information

### 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
SIERRA CLUB FOUNDATION 85 2ND STREET SUITE 750 SAN FRANCISCO, CA 94105	N/A	501(C)(3)	TO SUPPORT	2,000.
THE LAWRENCE HALL OF SCIENCE 1 CENTENNIAL DR. BERKELEY, CA 94720	N/A	501(C)(3)	TO SUPPORT	1,000.
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON, VA 22203	N/A	501(C)(3)	TO SUPPORT	1,000.
THE SALVATION ARMY 1500 VALENCIA STREET SAN FRANCISCO, CA 94110	N/A	501(C)(3)	TO SUPPORT	500.
THE TRUST FOR PUBLIC LAND 101 MONTGOMERY STREET SUITE 900 SAN FRANCISCO, CA 94104	N/A	501(C)(3)	TO SUPPORT	30,000.
VINEYARD THEATRE 108 EAST 15TH STREET NEW YORK, NY 10003	N/A	501(C)(3)	TO SUPPORT	7,000.

**Total from continuation sheets**

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO #3643	15.	15.	
TOTAL TO PART I, LINE 3	15.	15.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY DIVIDEND - BONY	46,805.	0.	46,805.	46,805.	
TO PART I, LINE 4	46,805.	0.	46,805.	46,805.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,100.	3,100.		0.
TO FORM 990-PF, PG 1, LN 16B	3,100.	3,100.		0.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	37,313.	37,313.		0.
CUSTODY FEES	3,911.	3,911.		0.
ADR FEES	93.	93.		0.
BANK SERVICE CHARGE	46.	46.		0.
TO FORM 990-PF, PG 1, LN 16C	41,363.	41,363.		0.

## FORM 990-PF

## TAXES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FTB FILLING FEE	10.	10.		0.
CHARITABLE REGISTRY	75.	75.		0.
FOREIGN WITHHOLDINGS	529.	529.		0.
2013 FEDERAL BALANCE DUE	1,949.	0.		0.
2014 FEDERAL ESTIMATED TAX PAYMENTS	4,800.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,363.	614.		0.

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE SECURITIES	1,325,000.	3,038,899.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,325,000.	3,038,899.