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Form **990-PF**

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning **OCT 1, 2014**, and ending **SEP 30, 2015**

Name of foundation
Aurora Foundation
c/o Mr. Jeffrey Bronfman

Number and street (or P.O. box number if mail is not delivered to street address)
551 West Cordova Rd, PMB #710

City or town, state or province, country, and ZIP or foreign postal code
Santa Fe, NM 87505-1825

G Check all that apply:
☐ Initial return
☐ Final return
☐ Address change
☐ Initial return of a former public charity
☐ Amended return
☐ Name change

H Check type of organization:
☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust
☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 242,043. (Part I, column (d) must be on cash basis)

J Accounting method:
☒ Cash
☐ Accrual
☐ Other (specify)

A Employer identification number
74-2660772

B Telephone number
505-988-5924

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,005,544.			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	9.	9.	9.	Statement 1
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,190.			
	b Gross sales price for all assets on line 6a	815,149.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			232.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	1,004,363.	9.	241.	
	13 Compensation of officers, directors, trustees, etc.	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees Stmt 2	22,678.	0.	0.	0.
	b Accounting fees Stmt 3	12,650.	0.	0.	0.
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion	759.	0.	948.	
	20 Occupancy				
	21 Travel, conferences, and meetings	16,333.	0.	0.	16,333.
	22 Printing and publications				
	23 Other expenses Stmt 4	89,411.	0.	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23	141,831.	0.	948.	16,333.
	25 Contributions, gifts, grants paid	763,139.			763,139.
	26 Total expenses and disbursements. Add lines 24 and 25	904,970.	0.	948.	779,472.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	99,393.			
	b Net investment income (if negative, enter -0-)		9.		
	c Adjusted net income (if negative enter -0-)			0.	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2014)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	120,183.	227,381.	227,381.
	2 Savings and temporary cash investments	20,571.	13,525.	13,525.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶		12,198.		
Less: accumulated depreciation Stmt 5 ▶		11,061.	1,896.	1,137.
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		142,650.	242,043.	242,043.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)		0.	0.	
Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	142,650.	242,043.		
30 Total net assets or fund balances	142,650.	242,043.		
31 Total liabilities and net assets/fund balances		142,650.	242,043.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	142,650.
2 Enter amount from Part I, line 27a	2	99,393.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	242,043.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	242,043.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 815,149.		816,339.	-1,190.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-1,190.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-1,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	232.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,041,672.	407,483.	2.556357
2012	908,982.	194,816.	4.665849
2011	562,762.	367,935.	1.529515
2010	577,829.	940,269.	.614536
2009	215,987.	197,922.	1.091273

2 Total of line 1, column (d)	2	10.457530
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	2.091506
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	116,830.
5 Multiply line 4 by line 3	5	244,351.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	244,351.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	779,472.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0.
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>TX, NM</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 6	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>Jeffrey Bronfman</u> Telephone no. ► <u>505-988-5924</u> Located at ► <u>1000 Cordova Place, PMB #710, Santa Fe, NM</u> ZIP+4 ► <u>87505-1825</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No
See Statement 7
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No
N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Jeffrey Bronfman	President/Treasurer			
2 Brass Horse Road				
Santa Fe, NM 87508	20.00	0.	0.	0.
Duncan E. Osborne	Secretary			
301 Congress Ave., #1910				
Austin, TX 78701	0.00	0.	0.	0.
Carol Cochran	Director			
P.O. Box 93656				
Albuquerque, NM 871993656	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See statement 9 for narrative.	
	29,632.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	118,609.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	118,609.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	118,609.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,779.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	116,830.
6	Minimum investment return. Enter 5% of line 5	6	5,842.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2014 from Part VI, line 5	2a	
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	779,472.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	779,472.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	779,472.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e				
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1

a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

10/14/94

b

Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or
 ☐ 4942(j)(5)

2

a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

Tax year	Prior 3 years				(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011		
0.	0.	9,741.	5,139.	14,880.	
0.	0.	8,280.	4,368.	12,648.	
779,472.	1,041,680.	908,982.	562,762.	3,292,896.	
0.	0.	0.	0.	0.	
779,472.	1,041,680.	908,982.	562,762.	3,292,896.	

3

Complete 3a, b, or c for the alternative test relied upon:

a

"Assets" alternative test - enter:

(1)

Value of all assets

0.

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

0.

b

"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

3,895.

13,583.

6,494.

12,265.

36,237.

c

"Support" alternative test - enter:

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

0.

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

0.

(3)

Largest amount of support from an exempt organization

0.

(4)

Gross investment income

0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Jeffrey Bronfman

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here

☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Statement 8

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
American Indian Institute 1639 Cross Center Drive Norman , OK 73019	None	public charity	Support for Indigenous	16,125.
Bioneers (Collective Heritage Institute) 1607 Paseo De Peralta #3 Santa Fe, NM 87501	None	Public charity	Support for Education, Environment and Indigenous	69,664.
Gloria Perkins 551 West Cordova Rd, PMB#710 Santa Fe, NM 87505	None	Public charity	Support for Education	15,000.
Haiku Aina Permaculture Initiative PO Box 429 Makawao, HI 96768	None	Public charity	Support for Environmental Preservation	189,275.
Hygenia Foundation PO Box 3943 Woodbridge, CT 06525	None	Public charity	Support for Environmental Preservation	19,000.
Total	See continuation sheet(s)			763,139.
b Approved for future payment				
None				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	9.		
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	-1,190.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		-1,181.		0.
13 Total. Add line 12, columns (b), (d), and (e)						-1,181.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here 	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No				
	Signature of officer or trustee			Date		Title		President		
Paid Preparer Use Only	Print/Type preparer's name Michael D. Chelius, CPA		Preparer's signature		Date		Check ☐ if self-employed		PTIN P00643272	
	Firm's name  REDW LLC							Firm's EIN  85-0203431		
	Firm's address  Post Office Box 93656 Albuquerque, NM 87199-3656							Phone no. 505-998-3200		

Form **990-PF** (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 758 SHS GROWTH INDEX		D	12/17/12	11/07/14
b 2457 SHS VALUE INDEX		D	12/17/12	11/07/14
c 910 SHS VANGUARD GROWTH INDEX		D	01/02/15	05/18/15
d 590 SHS VANGUARD GROWTH INDEX		D	12/17/12	01/22/15
e 1675 SHS VANGUARD GROWTH INDEX		D	12/17/12	03/05/15
f 2046 SHS VANGUARD GROWTH INDEX		D	12/17/12	03/26/15
g 1058 SHS VANGUARD GROWTH INDEX		D	12/17/12	06/26/15
h 2780 SHS VANGUARD GROWTH INDEX		D	12/17/12	09/25/15
i 1980 SHS VANGUARD VALUE INDEX		D	12/17/12	01/22/15
j 637 SHS VANGUARD VALUE INDEX		D	12/17/12	06/10/15
k 3434 SHS VANGUARD VALUE INDEX		D	12/17/12	06/26/15
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 40,154.		40,022.	132.
b 80,048.		79,705.	343.
c 51,565.		51,333.	232.
d 31,822.		31,205.	617.
e 94,303.		94,587.	-284.
f 112,623.		114,985.	-2,362.
g 59,272.		59,587.	-315.
h 145,094.		145,783.	-689.
i 65,270.		64,053.	1,217.
j 21,245.		21,002.	243.
k 113,753.		114,077.	-324.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			132.
b			343.
c			** 232.
d			617.
e			-284.
f			-2,362.
g			-315.
h			-689.
i			1,217.
j			243.
k			-324.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-1,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	232.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Iceers Foundation De Wittenstraat 25 1052AK Amsterdam, NETHERLANDS	None	Public charity	Support for Environmental Preservation	22,205.
Institute of Responsible Technology PO Box 469 Fairfield, IA 52556	None	Public charity	Support for Art & Culture	25,000.
Mauricio Hoffman 1000 Cordova Place, PM #710 Santa Fe, NM 87505	None	N/A	Support for Environment	5,565.
Miscellaneous Individuals 551 West Cordova Rd, PMB #710 Santa Fe, NM 87505	None	Public charity	Support for Education	105,708.
Mother Earth Restoration Trust 7922 County Road 203 Durango, CO 81301	None	Public charity	Support for Environmental Preservation	13,500.
New Enchantment 5018 Agua Fria Park Road Santa Fe, NM 87507	None	Public charity	Support for Environmental Preservation	38,281.
New Mexico Dance Coalition PO Box 284 Santa Fe, NM 87504	None	Public charity	Support for Art & Culture	15,900.
Nova Encanto Rua Primeiro De Marco 500 Sobreloja Jardim Guanabara, BRAZIL	None	Public charity	Support for Education	9,552.
Paul Monstinger & Maria Pelaez 551 West Cordova Rd, PMB#710 Santa Fe, NM 87505	None	Public charity	Support for Travel	2,000.
Pete Jackson 2822 Don Quixote Santa Fe, NM 87505	None	Public charity	Support for Art & Culture	2,505.
Total from continuation sheets				454,075.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
San Francisco Film Society 39 Mesa Street, Suite 110 San Francisco, CA 94129-1025	None	Public charity	Support for Art & Culture	30,000.
Shaka Movement PO Box 790538 Paia, HI 96779	None	Public charity	Support for Environmental Preservation	59,000.
Stephan Silva 551 West Cordova Rd, PMB#710 Santa Fe, NM 87505	None	Public charity	Support for Travel	609.
The Tracking Project PO Box 266 Corrales, NM 87048	None	Public charity	Support for Art & Culture and Educational	40,221.
Uniao De Vegetal 1000 Cordova Place, PM #710 Santa Fe, NM 87505-1825	None	Public charity	Support for Educational, Environmental and Spiritual	54,729.
Waken Foundation 875 Flazberry Lane San Rafael, CA 94903	None	Public charity	Support for Indigenous	29,300.
Total from continuation sheets				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

Aurora Foundation
c/o Mr. Jeffrey Bronfman

Employer identification number

74-2660772

Organization type (check one):

Filers of:

Section:

AS PREVIOUSLY FILED

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year

▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)**

Name of organization

Aurora Foundation

c/o Mr. Jeffrey Bronfman

Employer identification number

74-2660772

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Jeffrey Bronfman Living Trust 2 Brass Horse Road Santa Fe, NM 87508	\$ 189,204.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	Jeffrey Bronfman Living Trust 2 Brass Horse Road Santa Fe, NM 87508	\$ 816,340.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

c/o Mr. Jeffrey Bronfman

74-2660772

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization

Employer identification number

Aurora Foundation

c/o Mr. Jeffrey Bronfman

74-2660772

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
1st Republic	7.	7.	7.
JP Morgan	2.	2.	2.
Total to Part I, line 3	9.	9.	9.

Form 990-PF Legal Fees Statement 2

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal	22,678.	0.	0.	0.
To Fm 990-PF, Pg 1, ln 16a	22,678.	0.	0.	0.

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting	12,650.	0.	0.	0.
To Form 990-PF, Pg 1, ln 16b	12,650.	0.	0.	0.

Form 990-PF Other Expenses Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Dues and Subscriptions	70.	0.	0.	0.
Office	375.	0.	0.	0.
Bank Service Charges	2,776.	0.	0.	0.
Surveying/Construction	3,600.	0.	0.	0.
Reimbursal	355.	0.	0.	0.
Film Production	13,299.	0.	0.	0.

Credit Card Fees	68,936.	0.	0.	0.
To Form 990-PF, Pg 1, ln 23	89,411.	0.	0.	0.

Form 990-PF	Depreciation of Assets Not Held for Investment	Statement	5
-------------	--	-----------	---

Description	Cost or Other Basis	Accumulated Depreciation	Book Value
I-Mac Powerbook computer	1,494.	1,494.	0.
Computer	3,525.	3,525.	0.
COMPUTER	2,438.	2,438.	0.
Computer	2,466.	1,875.	591.
Computer Equipment	2,275.	1,729.	546.
Total To Fm 990-PF, Part II, ln 14	12,198.	11,061.	1,137.

Form 990-PF	List of Substantial Contributors Part VII-A, Line 10	Statement	6
-------------	---	-----------	---

Name of Contributor	Address
Jeffrey Bronfman	2 Brass Horse Road Santa Fe, NM 87508

Form 990-PF	Expenditure Responsibility Statement	Statement	7
	Part VII-B, Line 5c		

Grantee's Name

Mauricio Hoffman

/S/ MAURICIO HOFFMAN

Grantee's Address551 West Cordova Rd, PMB#710
Santa Fe, NM 87505

<u>Grant Amount</u>	<u>Date of Grant</u>	<u>Amount Expended</u>
5,565.	03/27/15	

Purpose of Grant

Educational Grant

Grantee's Name

Pete Jackson

Grantee's Address2822 Don Quixote
Santa Fe, NM 87505

AS PREVIOUSLY FILED

<u>Grant Amount</u>	<u>Date of Grant</u>	<u>Amount Expended</u>
2,505.	01/09/15	

Purpose of Grant

Sponsorship of artistic portfolio

Grantee's Name

Miscellaneous Individuals

Grantee's Address

AS PREVIOUSLY

551 West Cordova Rd, PMB#710
Santa Fe, NM 87505

<u>Grant Amount</u>	<u>Date of Grant</u>	<u>Amount Expended</u>
105,708.	09/30/15	

Purpose of Grant

Grants.

Grantee's Name

Gloria Perkins

Grantee's Address

551 West Cordova Rd, PMB#710
Santa Fe, NM 87505

AS PHOTOCOPYED

Grant Amount	Date of Grant	Amount Expended
15,000.	09/08/15	

Purpose of Grant

Educational Grant

Grantee's Name

Paul Monstinger & Maria Pelaez

Grantee's Address551 West Cordova Rd, PMB#710
Santa Fe, NM 87505

AS PERMITS

<u>Grant Amount</u>	<u>Date of Grant</u>	<u>Amount Expended</u>
2,000.	06/12/15	

Purpose of Grant

Travel Grant

Grantee's Name

Stephan Silva

Grantee's Address

551 West Cordova Rd, PMB#710
Santa Fe, NM 87505

AS PROVIDED TO THE GRANTOR

<u>Grant Amount</u>	<u>Date of Grant</u>	<u>Amount Expended</u>
609.	09/22/15	

Purpose of Grant

Travel Grant

Form 990-PF	Grant Application Submission Information	Statement	8
	Part XV, Lines 2a through 2d		

Name and Address of Person to Whom Applications Should be Submitted

Mr. Jeffrey Bronfman
1000 Cordova Place, PMB # 710
Santa Fe, NM 87505

Telephone Number

(505)988-5924

Form and Content of Applications

Travel and educational grant applications are required for some grants; travel grant procedures provided in prior filings, expenditure authority exercised over all travel & education grants.

Any Submission Deadlines

None

Restrictions and Limitations on Awards

Travel and educational grants; restrictions apply to some grants.

General Explanation

Statement 9

Form/Line Identifier

AS PREPARED BY JEFFREY BRONFMAN

Form 990-PF Part IX-A

Explanation:

Form 990-PF Part IX-A:

The Foundation was organized to support projects that embody strategic efforts for the preservation and protection of planetary ecosystems (i.e. the environment), as well as efforts that secure the perpetuation and practice of indigenous cultures and ancient religious, spiritual and ceremonial traditions (e.g., certain Native American cultures and their religious traditions). The direct charitable activity of the Foundation (pursuant to which it has been classified as a private operating foundation) is to provide advisory and consulting services to other organizations with similar purposes. Primarily Jeffrey Bronfman, the Foundation's president, performs the activity and his performance of the activity requires substantial and extensive travel and the maintenance of an office.

Mr. Bronfman is an internationally recognized philanthropist who has for the last 35 years been involved on a full-time basis in projects related to the preservation of planetary ecosystems and the preservation of indigenous culture and ancient religious, spiritual and ceremonial traditions. The contribution of his specialized expertise to the Foundation allows it to meet its exempt purposes by helping other organizations, in addition to grants, through direct non-monetary assistance in the form of advice and counsel, enabling them to deal more effectively with their own operational and structural issues. The Foundation has and will provide such services to other charitable organizations, including, but not limited to, making introductions to potential financial sponsors. Where appropriate, Mr. Bronfman becomes an active member of an advisory board for newly created charitable organizations, and assists them in forming a coalition with similar charitable organizations, thereby furthering the purposes of the Foundation.

Neither Mr. Bronfman nor the Foundation receives any compensation for the services provided to other charitable organizations. All of Mr. Bronfman's direct, out-of-pocket expenses relate to such services, and are paid for by the Foundation. He receives no personal monetary benefit for his efforts. Any trips that Mr. Bronfman makes on behalf of the Foundation are to perform the Foundation's activity and are strictly based on the furtherance of the Foundation's purposes. Mr. Bronfman's personal time on these trips is virtually non-existent. The largest direct charitable activities for the year ending September 30, 2015, relate to Mr. Bronfman's travels to Brazil and Hawaii, through which the Foundation's purpose is met. Total travel and automobile expenses relating to the active conduct of the Foundation's

purpose in connection with this travel totaled \$23,804.

AS PREVIOUSLY STATED

2014.06020 Aurora Foundation c/o Mr. J 04428-11