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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 10-01-2014 , and ending 09-30-2015

Name of foundation
DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

A Employer identification number
59-1778605

Number and street (or P O box number if mail is not delivered to street address)
4470 SW 74TH AVE

Room/suite

B Telephone number (see instructions)
(305) 264-4465

City or town, state or province, country, and ZIP or foreign postal code
MIAMI, FL 33155

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 32,750,501

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	3,994,416			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,305	1,305		
	4	Dividends and interest from securities	181,593	181,593		
	5a	Gross rents	129	129		
	b	Net rental income or (loss) 129				
	6a	Net gain or (loss) from sale of assets not on line 10	43,963			
	b	Gross sales price for all assets on line 6a 62,576				
	7	Capital gain net income (from Part IV, line 2)		43,963		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	2,006	2,006		
	12	**Total.** Add lines 1 through 11	4,223,412	228,996		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest	31,226	31,226		0
	18	Taxes (attach schedule) (see instructions)	4,516	614		3,902
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	1,078	0		1,078
	22	Printing and publications				
	23	Other expenses (attach schedule)	3,924	2,051		1,358
	24	**Total operating and administrative expenses.** Add lines 13 through 23	40,744	33,891		6,338
	25	Contributions, gifts, grants paid	48,825			48,825
	26	**Total expenses and disbursements.** Add lines 24 and 25	89,569	33,891		55,163
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	4,133,843			
	b	**Net investment income** (if negative, enter -0-)		195,105		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		-1	-1
	2	Savings and temporary cash investments	10,217	10,218	10,218
	3	Accounts receivable ▶ ________ Less allowance for doubtful accounts ▶ ________			
	4	Pledges receivable ▶ ________ Less allowance for doubtful accounts ▶ ________			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ________ Less allowance for doubtful accounts ▶ ________			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	9,461	5,559	5,559
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	9,415,003	13,434,411	32,107,600
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ________ Less accumulated depreciation (attach schedule) ▶ ________			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	56,963	47,968	317,700
	14	Land, buildings, and equipment basis ▶ ________ Less accumulated depreciation (attach schedule) ▶ ________			
	15	Other assets (describe ▶ ________)	309,425	309,425	309,425
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	9,801,069	13,807,580	32,750,501
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	1,628,165	1,617,189	
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ________)	4,168,091	4,051,735	
	23	**Total liabilities** (add lines 17 through 22)	5,796,256	5,668,924	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	4,004,813	8,138,656	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,004,813	8,138,656	
	31	**Total liabilities and net assets/fund balances** (see instructions)	9,801,069	13,807,580	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,004,813
2	Enter amount from Part I, line 27a	2	4,133,843
3	Other increases not included in line 2 (itemize) ▶ ________	3	0
4	Add lines 1, 2, and 3	4	8,138,656
5	Decreases not included in line 2 (itemize) ▶ ________	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,138,656

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	43,963
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	33,745	20,630,303	0 001636
2012	16,839	13,221,771	0 001274
2011	6,788	9,803,223	0 000692
2010	126,685	7,985,348	0 015865
2009	3,089,914	7,320,583	0 422086

2	**Total** of line 1, column (d).	2	0 441553
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 088311
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	27,515,348
5	Multiply line 4 by line 3.	5	2,429,908
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,951
7	Add lines 5 and 6.	7	2,431,859
8	Enter qualifying distributions from Part XII, line 4.	8	55,163

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,902
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	3,902
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,902
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	9,461		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	9,461
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	5,559
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 5,559 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶BPI ACCOUNTING DEPARTMENT Telephone no ▶(305) 264-4465

Located at ▶4470 SW 74TH AVE MIAMI FL ZIP +4 ▶33155

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☑ **Yes** ☐ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DR HERBERT A WERTHEIM 4470 SW 74TH AVENUE MIAMI, FL 33155	PRESIDENT 0 00	0	0	0
NICOLE JD WERTHEIM 4470 SW 74TH AVENUE MIAMI, FL 33155	SECRETARY 0 00	0	0	0
ERICA WERTHEIM ZOHAR 4470 SW 74TH AVENUE MIAMI, FL 33155	DIRECTOR 0 00	0	0	0
VANESSA V WERTHEIM 4470 SW 74TH AVENUE MIAMI, FL 33155	DIRECTOR 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶	0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	33,296,726
b	Average of monthly cash balances.	1b	6,812
c	Fair market value of all other assets (see instructions).	1c	309,425
d	**Total** (add lines 1a, b, and c).	1d	33,612,963
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	5,678,600
3	Subtract line 2 from line 1d.	3	27,934,363
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	419,015
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	27,515,348
6	**Minimum investment return.** Enter 5% of line 5.	6	1,375,767

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,375,767
2a	Tax on investment income for 2014 from Part VI, line 5. — 2a: 3,902		
b	Income tax for 2014 (This does not include the tax from Part VI). — 2b:		
c	Add lines 2a and 2b.	2c	3,902
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,371,865
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,371,865
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,371,865

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	55,163
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	55,163
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	55,163

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,371,865
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. 1,053,163				
b From 2010.				
c From 2011.				
d From 2012.				
e From 2013.				
f Total of lines 3a through e.	1,053,163			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 55,163				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2014 distributable amount.				55,163
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2014	1,053,163			1,053,163
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				263,539
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2010. . . .				
b Excess from 2011. . . .				
c Excess from 2012. . . .				
d Excess from 2013. . . .				
e Excess from 2014. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

DR HERBERT A WERTHEIM

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				48,825
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,305	
4 Dividends and interest from securities. . . .			14	181,593	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.			16	129	
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	2,006	
8 Gain or (loss) from sales of assets other than inventory			18	43,963	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		228,996	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 228,996

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2016-08-09 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
MELINDA ROBBINS CPA				P01077080

Firm's name ▶ PINCHASIK YELEN MUSKAT STEIN LLC

Firm's EIN ▶ 27-4256127

Firm's address ▶ 3225 AVIATION AVE SUITE 500 MIAMI, FL 33133

Phone no (305) 858-5800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKSTONE GROUP PASSTHROUGH K-1	P		
BLACKSTONE GROUP PASSTHROUGH K-1	P		
CALL VISA 61915	P	2015-06-19	2015-03-03
CALL APPLE 61915	P	2015-06-19	2015-03-03
CALL BAIDU 091815	P	2015-06-09	2015-03-03
CALL BLACKSTONE 61915	P	2015-06-19	2015-03-03
CALL GENERAL ELECTRIC 61915	P	2015-06-19	2015-03-03
CALL APPLE 91815	P	2015-09-18	2015-07-13
CALL BAIDU 091815	P	2015-09-18	2015-07-13
CALL BLACKSTONE 91815	P	2015-09-18	2015-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16			16
8,913			8,913
4,503			4,503
3,787			3,787
2,147			2,147
953			953
458			458
5,247			5,247
1,459			1,459
648			648

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			8,913
			4,503
			3,787
			2,147
			953
			458
			5,247
			1,459
			648

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL GENERAL ELECTRIC 91815	P	2015-09-18	2015-07-13
TAMINCO CORP	P	2014-04-23	2014-12-08
COVIDIEN	P	2002-12-03	2015-01-27
COVIDIEN	P	2002-12-03	2015-01-27
AVIV REIT INC	P	2013-03-26	2015-04-01
GOOGLE INC	P	2012-04-26	2015-05-05
GOOGLE INC	P	2012-04-26	2015-05-05
RALLY SOFTWARE DEV CORP	P	2013-04-17	2015-07-14
CARRIER1 INTL S A ADR	P	2000-02-28	2015-09-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
447			447
5,200		3,000	2,200
17,595		3,339	14,256
6,980		6,980	0
2,000		2,000	0
153		82	71
120		64	56
1,950		1,400	550
		1,748	-1,748

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			447
			2,200
			14,256
			0
			0
			71
			56
			550
			-1,748

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ASPCA 424 E 92ND ST NEW YORK, NY 101286804	NONE	PUBLIC	TO HELP INCREASE THE PROTECTIONS OF ANIMALS	125
LOS ANGELES MUSEUM OF THE HOLOCAUST 100 S THE GROVE DR LOS ANGELES, CA 90036	NONE	PUBLIC	TO SUPPORT THE MUSEUM AND CONTINUE ITS MISSION OF FREE EDUCATION	700
BRENTWOOD SCHOOL 100 S BARRINGTON PL LOS ANGELES, CA 90049	NONE	PUBLIC	TO HELP PROVIDE CERTAINTY FOR THE STUDENTS AND SUPPORTS PROGRESS FOR THE SCHOOL	1,000
FLORIDA INTERNATIONAL UNIVERSITY 11200 SW 8TH ST MIAMI, FL 33199	NONE	PUBLIC	TO HELP SUPPORT THE STUDENTS NEEDS CONDUCTING RESEARCH AND PROVIDING SERVICE	35,000
THE WOODMARK GROUP 1601 SECOND AVENUE SUITE 605 SEATTLE, WA 981013508	NONE	PUBLIC	TO COLLABORATE IN DISCOVERING AND IMPLEMENTING THE BEST PRACTICES TO IMPROVE CHILDREN'S HEALTH	1,000
UNIVERSITY OF MIAMI 1320 S DIXIE HWY CORAL GABLES, FL 33146	NONE	PRIVATE	TO PROMOTE RESEARCH, CONSERVATION AND EDUCATION	1,000
RADY CHILDREN'S HOSPITAL FOUNDATION 3020 CHILDRENS WAY SAN DIEGO, CA 92123	NONE	PUBLIC	TO ENSURE THAT THE NURSING STAFF HAS THE OPPORTUNITY TO PURSUE POST-GRADUATE EDUCATION AND REMAIN UP-TO-DATE ON THE LATEST TECHNIQUES AND INNOVATIONS IN PEDIATRIC HEALTHCARE	10,000
Total . . . . ▶ **3a**				48,825

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TY 2014 Investments Corporate Stock Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN TOWER CORP	1,973	42,142
AT&T	119,347	77,671
BBX CAP CORP	3,745	322
BFC FINANCIAL	5,303,488	4,691,146
CENTURYLINK INC	281,000	104,499
CHARTER COMMCANTS INC	17,842	0
CIA BRASIL DIST	75,248	25,080
CISCO SYSTEMS INC	24,838	52,500
CMS ENERGY CORP	139,785	226,260
COMCAST CORP	199,755	422,163
DOLLAR GENERAL CORP	63,000	217,320
EMC CORP MASS	207,455	193,280
EXELON CORPORATION	11,590	29,700
GENERAL ELECTRIC	401,705	378,300
GENERAL MOTORS	6,600	6,004
HEICO	2,472,676	17,241,610
LEHMAN BROS HLDS INC	25,185	0
MANULIFE	34,000	73,375
NCR CORP	15,574	51,188
NEWS CORP CL A	1,288	3,218
SOUTHERN CO	15,626	109,023
TE CONNECTIVITY	10,250	29,945
TELSTRA CORP LTD	23,470	19,698
TERADATA CORP	17,124	65,160
TYCO INTERNATIONAL LTD	6,908	16,730
VISA INC COM	715,008	2,786,400
APPLE INC.	1,149,600	1,544,200
BAIDU INC.	649,819	687,050
FACEBOOK	15,200	35,960
GOOGLE	1,196,379	2,496,622

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GROUPON	2,000	326
PROOFPOINT	1,300	6,032
BLACKHAWK NETWORK HLDGS INC	2,300	4,239
CHIMERIX	4,200	11,460
DELEK LOGISTICS PARTNERS	1,814	3,020
ENVISION HEALTHCARE HLDGS INC	2,300	3,679
EVERTEC INC	4,000	3,614
GW PHARMACEUTICALS	17,800	182,740
VOYA FINANCIAL	9,750	19,385
INTELSAT SA COM	3,600	1,286
MARIN SOFTWARE INC	1,400	313
MODEL N INC	1,550	1,001
QUINTILES TRANSNATIONAL HOLDINGS	8,000	13,914
SOUTHCROSS ENERGY PARTNERS	1,133	493
TALLGRASS ENERGY PARTNERS	7,547	19,645
TAYLOR MORRISON HOME CORP	2,200	1,866
TWENTY-FIRST CENTURY FOX INC	9,962	27,520
WEST CORPORATION	2,000	2,240
ELLINGTON RESIDENTIAL	40,000	24,300
ADT CORP	4,424	7,475
MALLINCKRODT PLC	980	3,964
PENTAIR LTD	2,400	6,074
ADEPTUS HEALTH INC	2,200	8,076
COMMSCOPE HOLDINGS	3,000	6,006
ENABLE MIDSTREAM PARTNERS	2,000	1,264
HILTON WORLDWIDE HOLDINGS	12,000	13,764
KING DIGITAL ENTERTAINMENT	2,250	1,354
LA QUINTA HOLDINGS	1,700	1,578
MICHAELS CO INC	1,700	2,310
MIDCOAST ENERGY PARTNERS	8,064	4,825

Name of Stock	End of Year Book Value	End of Year Fair Market Value
QTS REALTY TRUST	2,100	4,369
SMART & FINAL STORES	1,200	1,571
SYNCHRONY FINANCIAL	2,300	3,130
TCP INTERNATIONAL HOLDINGS	1,100	275
TWITTER INC	2,600	2,694
VALERO ENERGY PARTNERS	2,261	4,416
COLUMBIA PIPELINE	2,300	1,266
FITBIT INC CL A	2,500	4,711
GODADDY INC CL A	6,000	7,563
GOLDMAN SACHS BDC	20,000	18,410
LANTHEUS HOLDINGS	600	430
MEDICAL PPTYS TR INC SOLICITED OR	1,450	1,106
MEDTRONIC PLC	6,980	31,997
NIVALIS THERAPEUTICS	1,400	1,297
OMEGA HEALTHCARE (FORMELY AVIV)	2,000	3,164
OOMA INC COM	1,300	695
OUTFRONT MEDIA INC	3,118	2,330
SHOPIFY INC NPV	1,700	3,520
TRANSUNION COM	2,250	2,512
UNIVAR INC COM	2,200	1,815

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TY 2014 Investments - Other Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ISRAEL BOND	FMV	1,000	1,000
BLACKSTONE GROUP	FMV	46,968	316,700

TY 2014 Loans from Officers Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Item No.	1
Lender's Name	HERBERT WERTHEIM
Lender's Title	
Original Amount of Loan	1700000
Balance Due	
Date of Note	2003-09
Maturity Date	
Repayment Terms	
Interest Rate	0.000000000000
Security Provided by Borrower	NONE
Purpose of Loan	TO MEET MARGIN CALLS
Description of Lender Consideration	NONE
Consideration FMV	

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TY 2014 Other Assets Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	69,703	69,703	69,703
OTHER	239,722	239,722	239,722

TY 2014 Other Expenses Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEE	254	254		0
OFFICE	2,567	1,283		1,282
AUTO-PARKING	76	0		76
DUES & SUBSCRIPTION	1,027	514		0

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TY 2014 Other Income Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BLACKSTONE GROUP PASSTHROUGH K-1	3,261	3,261	3,261
TALLGRASS ENERGY PARTNERS PASSTHROUGH K-1	-1,047	-1,047	-1,047
VALERO ENERGY PARTNERS PASSTHROUGH K-1	-32	-32	-32
DELEK LOGISTICS PARTNERS PASSTHROUGH K-1	27	27	27
SOUTHCROSS ENERGY PARTNERS PASSTHROUGH K-1	-203	-203	-203

TY 2014 Other Liabilities Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Description	Beginning of Year - Book Value	End of Year - Book Value
MARGIN LOAN PAYABLE	4,168,091	4,051,735

TY 2014 Substantial Contributors Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Name	Address
HERBERT A WERTHEIM	4470 SW 74TH AVE MIAMI, FL 33155

TY 2014 Taxes Schedule

Name: DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

EIN: 59-1778605

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	614	614		0
FEDERAL TAX	3,902	0		3,902

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Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2014

Name of the organization	Employer identification number
DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC	59-1778605

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC	59-1778605

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DR AND MRS HERBERT WERTHEIM 4470 SW 74TH STREET MIAMI, FL 33156	$ 3,988,502	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	DR AND MRS HERBERT WERTHEIM 4470 SW 74TH STREET MIAMI, FL 33156	$ 5,914	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	**Employer identification number**
DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC	59-1778605

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1,254,246 SHARES BFC FINANCIAL CORP	$ 3,988,502	2014-12-31

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
DR HERBERT AND NICOLE WERTHEIM FAMILY FOUNDATION INC

Employer identification number
59-1778605

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee