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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning OCT 1, 2014, and ending SEP 30, 2015

Name of foundation NORMAN MILLER FAMILY FOUNDATION		A Employer identification number 39-6098847
Number and street (or P O box number if mail is not delivered to street address) 2221 S. WEBSTER AVE.	Room/suite 111	B Telephone number 920-494-2327
City or town, state or province, country, and ZIP or foreign postal code GREEN BAY, WI 54301		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 813,708.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		13,240.	13,240.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		15,682.			
b Gross sales price for all assets on line 6a 298,189.					
7 Capital gain net income (from Part IV, line 2)			15,682.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		28,922.	28,922.		
13 Compensation of officers, directors, trustees, etc		32,381.	32,381.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,075.	1,075.		0.
c Other professional fees STMT 3		14,199.	14,199.		0.
17 Interest					
18 Taxes STMT 4		10.	10.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		19.	19.		0.
24 Total operating and administrative expenses Add lines 13 through 23		47,684.	47,684.		0.
25 Contributions, gifts, grants paid		61,000.			61,000.
26 Total expenses and disbursements. Add lines 24 and 25		108,684.	47,684.		61,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-79,762.			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	31,299.	9,038.	9,038.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	759,767.	702,266.	804,670.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		791,066.	711,304.	813,708.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	791,066.	711,304.	
	30 Total net assets or fund balances	791,066.	711,304.	
31 Total liabilities and net assets/fund balances	791,066.	711,304.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	791,066.
2 Enter amount from Part I, line 27a	2	-79,762.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	711,304.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	711,304.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 298,189.		282,507.	15,682.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			15,682.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	15,682.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	59,910.	980,339.	.061112
2012	62,830.	981,607.	.064007
2011	43,500.	915,441.	.047518
2010	48,900.	925,506.	.052836
2009	83,633.	1,000,942.	.083554

2 Total of line 1, column (d)	2	.309027
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.061805
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	925,208.
5 Multiply line 4 by line 3	5	57,182.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	57,182.
8 Enter qualifying distributions from Part XII, line 4	8	61,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		7	1,020.
6 Credits/Payments:		8	
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 1,020.	9	
b Exempt foreign organizations - tax withheld at source	6b	10	1,020.
c Tax paid with application for extension of time to file (Form 8868)	6c	11	0.
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d			
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☒ 1,020. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X	
14	The books are in care of ► SHIRLYN MILLER, TREASURER Telephone no. ► 920-494-2327 Located at ► 2221 S. WEBSTER AVE., STE 111, GREEN BAY, WI ZIP+4 ► 54301			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	☐ Yes ☒ No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b
			X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?N/A
▶ ☐**5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****X****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		32,381.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000▶ **0**

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	918,769.
b	Average of monthly cash balances	1b	20,528.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	939,297.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	939,297.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,089.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	925,208.
6	Minimum investment return. Enter 5% of line 5	6	46,260.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	46,260.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	46,260.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	46,260.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	46,260.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	61,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	61,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	61,000.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				46,260.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010	2,968.			
c From 2011				
d From 2012	14,490.			
e From 2013	11,073.			
f Total of lines 3a through e	28,531.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$	61,000.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				46,260.
e Remaining amount distributed out of corpus	14,740.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	43,271.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	43,271.			
10 Analysis of line 9:				
a Excess from 2010	2,968.			
b Excess from 2011				
c Excess from 2012	14,490.			
d Excess from 2013	11,073.			
e Excess from 2014	14,740.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2014

(b) 2013

(c) 2012

(d) 2011

(e) Total

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED	NONE		SEE ATTACHED	61,000.
Total			▶ 3a	61,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

NORMAN MILLER FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a WELLS FARGO CAPITAL GAINS - SEE ATTACHED		P	VARIOUS	VARIOUS
b AMOUNT PAID TO CLOSE OPTION CONTRACTS		P	VARIOUS	VARIOUS
c AMOUNT RECEIVED FROM SALE & EXPIRATION OF OPTION		P	VARIOUS	VARIOUS
d COST BASIS ADJUSTMENTS		P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 271,357.		261,436.	9,921.
b		20,655.	-20,655.
c 22,284.			22,284.
d		416.	-416.
e 4,548.			4,548.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			9,921.
b			-20,655.
c			22,284.
d			-416.
e			4,548.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

15,682.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS SECURITIES	17,788.	4,548.	13,240.	13,240.	
TO PART I, LINE 4	17,788.	4,548.	13,240.	13,240.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,075.	1,075.		0.
TO FORM 990-PF, PG 1, LN 16B	1,075.	1,075.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	14,199.	14,199.		0.
TO FORM 990-PF, PG 1, LN 16C	14,199.	14,199.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	10.	10.		0.
TO FORM 990-PF, PG 1, LN 18	10.	10.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	9.	9.		0.
DUES & SUBSCRIPTIONS	10.	10.		0.
TO FORM 990-PF, PG 1, LN 23	19.	19.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	702,266.	804,670.
TOTAL TO FORM 990-PF, PART II, LINE 10B	702,266.	804,670.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 7
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
IDA LYN CULLEN 534 LINDEN AVENUE PITTSBURGH, PA 15208	PRESIDENT / EXECUTIVE DIRE 15.00	32,381.	0.	0.
WILLIAM MILLER 1421 BROOKLYN AVENUE ANN ARBOR, MI 48104	VICE PRESIDENT 0.00	0.	0.	0.
SUSAN NUETZEL 61 VILLA COUBLEY ST. LOUIS, MO 63131	SECRETARY 0.00	0.	0.	0.
SHIRLYN MILLER P.O. BOX 3458 GREEN BAY, WI 54303	TREASURER 0.00	0.	0.	0.
ARLIE NUETZEL 3338 N. HAMILTON, APT. 1 CHICAGO, IL 60618	BD DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		32,381.	0.	0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	8
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SHIRLYN MILLER
2221 S. WEBSTER AVE., STE 111
GREEN BAY, WI 54301

TELEPHONE NUMBER

920-494-2327

FORM AND CONTENT OF APPLICATIONS

LETTER REQUESTING FUNDS. LETTER SHOULD INCLUDE ORGANIZATION'S TAX EXEMPT STATUS AND REASON FOR REQUEST.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

• NORMAN MILLER FAMILY FOUNDATION INC.
 FYE 9/30/2015
 • FORM 990-PF, PART XV
 SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
American Jewish Joint Distribution Comm	None	General	\$ 2,500
711 Third Ave	None	Rick Hodes	\$ 1,000
New York, NY 10017-4014			
ASPIRO	None	General	\$ 1,000
1673 Dousman Street			
Green Bay, WI 54303			
Bellin College of Nursing	None	Development Dept	\$ 500
3201 Eaton Rd			
Green Bay, WI 54311			
Big Brothers Big Sisters of NE WI	None	General	\$ 750
1345 W. Mason St.			
Green Bay, WI 54303			
Boys & Girls Club of Green Bay	None	General	\$ 750
311 S. Oneida St			
Green Bay, WI 54303			
CASA of Brown County, Inc.	None	General	\$ 1,000
414 E. Walnut St., Suite 281			
Green Bay, WI 54301			
Freedom House	None	General	\$ 1,000
2997 St. Anthony Dr.			
Green Bay, WI 54311			
Friends of IDF	None	General	\$ 1,000
1430 Broadway, Suite 1301			
New York, NY 10018			
Friends of WPT	None	Education	\$ 1,000
821 University Ave.			
Madison, WI 53706			
Green Bay Botanical Garden	None	General	\$ 500
2600 Larsen Rd			
Green Bay, WI 54303			
GGBCF-New Leaf Foods	None	Capital Bldg	\$ 1,000
PO Box 1562			
Green Bay, WI 54305			

• NORMAN MILLER FAMILY FOUNDATION INC.
 FYE 9/30/2015
 FORM 990-PF, PART XV
 SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
Heritage Hill Foundation, Inc. 2640 So. Webster Ave Green Bay, WI 54301	None	General	\$ 500
Hillel Arthur and Rochelle Belfer Building 800 Eighth Street, N. W. Washington, DC 20001	None	Education	\$ 500
Howe Community Resource Center 526 S. Monroe Ave Green Bay, WI 54301	Non	General	\$ 2,000
IHN@Alpha House 4290 Jackson Road Ann Arbor, MI 48103	None	Homelessness	\$ 1,250
Illinois Holocaust Museum 9603 Woods Dr Skokie, IL 60077	None	General	\$ 500
Jewish National Fund PO Box 5609 Hicksville, NY 11802-5609	None	General	\$ 1,500
JOSHUA 130 St. Matthew St Green Bay, WI 54301	None	General	\$ 500
Literacy Green Bay 424 S. Monroe Avenue Green Bay, WI 54301-4054	None	General	\$ 1,000
Lung Cancer Research Fdn 155 East 55th St, Suite 6H New York, NY 10022	None	Medical	\$ 750
Mazon PO Box 96119 Washington, DC 20090-6119	None	General	\$ 1,000
Mediation Center of Greater GB, Inc. PO Box 752 Green Bay, WI 54305-0752	None	General	\$ 1,500

• NORMAN MILLER FAMILY FOUNDATION INC.
 FYE 9/30/2015
 FORM 990-PF, PART XV
 SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
NWTC Educational Foundation PO Box 19042 Green Bay, WI 54307-9042	None	Scholarships	\$ 1,500
New Community Shelter, Inc. 301 Mather Street Green Bay, WI 54303	None	General	\$ 1,000
New Israel Fund 330 Seventh Ave, 11th Floor New York, NY 10001	None	General	\$ 1,500
Paul's Pantry Inc 1529 Leo Frigo Way Green Bay, WI 54302	None	Fund drive	\$ 1,000
Planned Parenthood of Illinois 18 S. Michigan Ave., 6th Floor Chicago, IL 60603	None	Edu & health	\$ 500
Planned Parenthood of Western Penn 933 Liberty Avenue Pittsburgh, PA 15222	None	Education	\$ 1,250
Planned Parenthood of St. Louis 4251 Forest Park St. Louis, MO 63108	None	Education	\$ 1,000
St. Norbert College 100 Grant St. DePere, WI 54115-2099	None None	General Lecture Series	\$ 10,250 \$ 20,000
			<u>\$ 61,000</u>



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015
Account Number 73156600

REALIZED GAIN/LOSS DETAIL

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/29/15	2.000	ACCENTURE PLC 2 0000 UNITS ACQUIRED ON 09/05/12	- \$123.20 123 20	\$195.95	\$0.00	\$72.75
06/29/15	1.000	ALEXION PHARMACEUTICALS INC 1 0000 UNITS ACQUIRED ON 06/13/13	- 92.87 92 87	186.10	0.00	93.23
06/29/15	1.000	AMAZON COM INC COM 1.0000 UNITS ACQUIRED ON 07/30/10	- 118.40 118 40	444.54	0.00	326.14
01/09/15	30.000	AMC NETWORKS INC 30 0000 UNITS ACQUIRED ON 08/21/13	- 1,950.90 1,950 90	1,842.25	0.00	- 108.65
04/01/15	5.000	APPLE INC 5.0000 UNITS ACQUIRED ON 12/05/14	- 579.45 579 45	622.89	43.44	0.00
12/08/14	142.906	ASG GLOBAL ALTERNATIVES-Y #1993 142 9060 UNITS ACQUIRED ON 07/22/13	- 1,650.56 1,650 56	1,662.00	0.00	11.44
06/25/15	69.452	ASG GLOBAL ALTERNATIVES-Y #1993 69 4520 UNITS ACQUIRED ON 02/03/15	- 803.56 803 56	798.00	- 5.56	0.00
08/14/15	1,361.884	ASG GLOBAL ALTERNATIVES-Y #1993 330 8070 UNITS ACQUIRED ON 02/03/15 1,031 0770 UNITS ACQUIRED ON 07/22/13	- 15,736.38 3,827 44 11,908 94	15,471.00	- 69.47	- 195.91
06/25/15	123.175	BOSTON P LNG/SHRT RES-INS 123 1750 UNITS ACQUIRED ON 03/13/14	- 1,779.88 1,779 88	1,940.00	0.00	160.12
08/14/15	743.512	BOSTON P LNG/SHRT RES-INS 203 1150 UNITS ACQUIRED ON 03/13/14 540 3970 UNITS ACQUIRED ON 07/22/13	- 10,187.14 2,935 01 7,252 13	11,517.00	0.00	1,329.86
04/24/15	53.000	CATAMARAN CORP 53 0000 UNITS ACQUIRED ON 02/28/12	- 1,894.75 1,894 75	3,146.02	0.00	1,251.27
06/29/15	3.000	CELGENE CORP COM 3 0000 UNITS ACQUIRED ON 04/08/09	- 61.44 61 44	359.96	0.00	298.52
04/01/15	14.000	CERNER CORP COM 8 0000 UNITS ACQUIRED ON 06/09/14 6 0000 UNITS ACQUIRED ON 11/21/12	- 666.31 431 84 234 47	1,012.32	146.63	199.38
06/29/15	2.000	CERNER CORP COM 2 0000 UNITS ACQUIRED ON 11/21/12	- 78.15 78 15	139.81	0.00	61.66
02/06/15	32.000	CHICAGO BRIDGE & IRON COMPANY N.V. 26 0000 UNITS ACQUIRED ON 11/05/13 6 0000 UNITS ACQUIRED ON 06/09/14	- 2,449.96 1,947 40 502 56	1,185.57	- 280.27	- 984.12

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THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/29/15	1.000	CHIPOTLE MEXICAN GRILL INC 1 0000 UNITS ACQUIRED ON 12/05/14	-661.39 661.39	611.97	-49.42	0.00
04/01/15	29.000	COCA COLA CO 8 0000 UNITS ACQUIRED ON 08/29/12 21 0000 UNITS ACQUIRED ON 09/12/11	-1,026.55 300.48 726.07	1,172.15	0.00	145.60
07/09/15	46.000	COCA COLA CO 46 0000 UNITS ACQUIRED ON 09/12/11	-1,590.45 1,590.45	1,809.14	0.00	218.69
06/29/15	2.000	CONCHO RESOURCES INC 2 0000 UNITS ACQUIRED ON 06/13/13	-172.94 172.94	247.95	0.00	75.01
06/29/15	2.000	CONSTELLATION BRANDS INC 2 0000 UNITS ACQUIRED ON 01/06/15	-199.38 199.38	238.75	39.37	0.00
12/08/14	3,201.024	CREDIT SUISSE COMM RET ST-I #2156 3,201.0240 UNITS ACQUIRED ON 04/16/14	-25,000.00 25,000.00	20,646.60	-4,353.40	0.00
12/08/14	412.882	DIAMOND HILL LONG-SHORT FD CL I #11 412 8820 UNITS ACQUIRED ON 11/05/13	-9,079.27 9,079.27	10,000.00	0.00	920.73
02/04/15	643.787	DIAMOND HILL LONG-SHORT FD CL I #11 643 7870 UNITS ACQUIRED ON 11/05/13	-14,156.88 14,156.88	15,232.00	0.00	1,075.12
06/29/15	3.000	ECOLAB INC 3 0000 UNITS ACQUIRED ON 01/09/09	-102.66 102.66	350.96	0.00	248.30
06/29/15	2.000	ESTEE LAUDER COMPANIES INC 2 0000 UNITS ACQUIRED ON 03/29/12	-124.44 124.44	175.09	0.00	50.65
08/18/15	599.000	ETRACS ALERIAN MLP UBS AG LDN BRETN 599 0000 UNITS ACQUIRED ON 03/07/14	-17,442.82 17,442.82	13,687.25	0.00	-3,755.57
06/29/15	4.000	FACEBOOK INC 4 0000 UNITS ACQUIRED ON 03/27/15	-333.56 333.56	350.55	16.99	0.00
06/29/15	6.000	FASTENAL CO 6 0000 UNITS ACQUIRED ON 12/05/14	-284.16 284.16	259.55	-24.61	0.00
09/11/15	87.000	FASTENAL CO 38 0000 UNITS ACQUIRED ON 07/30/10 13 0000 UNITS ACQUIRED ON 09/19/14 16 0000 UNITS ACQUIRED ON 12/05/14 20 0000 UNITS ACQUIRED ON 08/13/15	-3,095.47 931.74 599.17 757.76 806.80	3,342.47	-281.19	528.19
07/09/15	37.000	FMC TECHNOLOGIES INC 25 0000 UNITS ACQUIRED ON 07/02/14 12 0000 UNITS ACQUIRED ON 09/19/14	-2,171.44 1,505.44 666.00	1,435.57	-200.41	-535.46
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THE PRIVATE BANK

Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

**WELLS
FARGO**

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/29/15	7.000	FORTUNE BRANDS HOME & SECURITY 7 0000 UNITS ACQUIRED ON 02/22/13	- 237.18 237 18	324.58	0.00	87.40
04/01/15	8.000	GILEAD SCIENCES INC 8 0000 UNITS ACQUIRED ON 06/13/13	- 413.71 413 71	807.91	0.00	394.20
04/24/15	9.000	GILEAD SCIENCES INC 9 0000 UNITS ACQUIRED ON 06/13/13	- 465.42 465 42	949.75	0.00	484.33
06/29/15	1.000	GILEAD SCIENCES INC 1 0000 UNITS ACQUIRED ON 06/13/13	- 51.71 51 71	121.85	0.00	70.14
06/25/15	1,038.961	GOLDMAN SACHS COMM STRATEGY #2653 1,038 9610 UNITS ACQUIRED ON 02/03/15	- 4,051.95 4,051 95	4,000.00	- 51.95	0.00
08/14/15	3,320.013	GOLDMAN SACHS COMM STRATEGY #2653 3,320 0130 UNITS ACQUIRED ON 02/03/15	- 12,948.05 12,948 05	10,856.44	- 2,091.61	0.00
05/06/15	0.036	GOOGLE INC-CLC 0 0360 UNITS ACQUIRED ON 04/25/14	- 18.41 18 41	19.88	0.00	1.47
06/29/15	1.000	GOOGLE INC-CLC 1 0000 UNITS ACQUIRED ON 04/25/14	- 515.70 515 70	539.96	0.00	24.26
06/25/15	25.688	HSBC FRONTIER MARKETS-I 25 6880 UNITS ACQUIRED ON 07/02/14	- 390.97 390 97	336.00	- 54.97	0.00
02/06/15	1.000	INTERCONTINENTAL EXCHANGE, INC 1 0000 UNITS ACQUIRED ON 01/09/09	- 64.52 64 52	210.31	0.00	145.79
06/29/15	1.000	INTERCONTINENTAL EXCHANGE, INC 1 0000 UNITS ACQUIRED ON 01/09/09	- 64.52 64 52	229.46	0.00	164.94
01/09/15	9.000	INTUIT COM 9 0000 UNITS ACQUIRED ON 09/05/12	- 526.32 526 32	790.63	0.00	264.31
06/29/15	2.000	INTUIT COM 2 0000 UNITS ACQUIRED ON 09/05/12	- 116.96 116 96	208.61	0.00	91.65
04/01/15	57.000	ISHARES CORE S&P SMALL-CAPE 57 0000 UNITS ACQUIRED ON 10/19/11	- 3,612.02 3,612 02	6,643.23	0.00	3,031.21
06/29/15	16.000	ISHARES CORE S&P SMALL-CAPE 16 0000 UNITS ACQUIRED ON 10/19/11	- 1,013.90 1,013 90	1,945.72	0.00	931.82
04/01/15	29.000	ISHARES S&P MID-CAP 400 GROWTH 29 0000 UNITS ACQUIRED ON 07/02/14	- 4,585.77 4,585 77	4,896.27	310.50	0.00

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THE PRIVATE BANK

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/29/15	5.000	ISHARES S&P MID-CAP 400 GROWTH 4 0000 UNITS ACQUIRED ON 03/29/12 1 0000 UNITS ACQUIRED ON 07/02/14	- 606.15 448.02 158.13	868.48	15.57	246.76
04/01/15	21.000	ISHARES S&P MID-CAP 400 VALUE 21.0000 UNITS ACQUIRED ON 07/02/14	- 2,675.19 2,675.19	2,713.57	38.38	0.00
06/29/15	12.000	ISHARES S&P MID-CAP 400 VALUE 9 0000 UNITS ACQUIRED ON 07/02/14 3 0000 UNITS ACQUIRED ON 04/25/14	- 1,504.41 1,146.51 357.90	1,598.97	52.72	41.84
04/01/15	13.000	ISHARES S&P 500 GROWTH ETF 13 0000 UNITS ACQUIRED ON 11/05/13	- 1,217.06 1,217.06	1,474.16	0.00	257.10
06/29/15	7.000	ISHARES S&P 500 GROWTH ETF 7 0000 UNITS ACQUIRED ON 12/05/14	- 794.36 794.36	819.68	25.32	0.00
08/18/15	45.000	ISHARES S&P 500 GROWTH ETF 45 0000 UNITS ACQUIRED ON 11/05/13	- 4,212.90 4,212.90	5,281.55	0.00	1,068.65
06/29/15	2.000	JAZZ PHARMACEUTICALS PLC 2 0000 UNITS ACQUIRED ON 12/05/14	- 358.28 358.28	363.13	4.85	0.00
04/01/15	6.000	KEURIG GREEN MOUNTAIN INC 6 0000 UNITS ACQUIRED ON 02/03/15	- 680.26 680.26	680.26	0.00	0.00
08/21/15	19.000	KEURIG GREEN MOUNTAIN INC 7 0000 UNITS ACQUIRED ON 02/03/15 6 0000 UNITS ACQUIRED ON 04/21/15 6 0000 UNITS ACQUIRED ON 02/28/15	- 2,282.01 869.05 674.16 738.80	964.64	- 1,317.37	0.00
06/02/15	45.000	LAS VEGAS SANDS CORP 15 0000 UNITS ACQUIRED ON 09/12/11 30 0000 UNITS ACQUIRED ON 02/22/13	- 2,201.58 696.03 1,505.55	2,294.50	0.00	92.92
06/29/15	2.000	MCGRAW-HILL FINANCIAL INC 2 0000 UNITS ACQUIRED ON 01/06/15	- 171.36 171.36	209.29	37.93	0.00
02/06/15	2,903.000	MLN ELEMENTS ROGERS TOTAL RETURN 2,903.0000 UNITS ACQUIRED ON 12/05/14	- 20,059.73 20,059.73	17,650.14	- 2,409.59	0.00
04/01/15	22.000	MONDELEZ INTERNATIONAL INC 22 0000 UNITS ACQUIRED ON 05/10/13	- 671.88 671.88	770.64	0.00	98.76
06/29/15	3.000	MONDELEZ INTERNATIONAL INC 3 0000 UNITS ACQUIRED ON 05/10/13	- 91.62 91.62	123.44	0.00	31.82



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

**WELLS
FARGO**

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/29/15	2.000	MONSANTO CO NEW 1 0000 UNITS ACQUIRED ON 06/05/13 1.0000 UNITS ACQUIRED ON 02/28/12	- 177.97 99 08 78 89	223.55	0.00	45.58
04/01/15	6.000	MONSTER BEVERAGE CORP 6 0000 UNITS ACQUIRED ON 04/01/13	- 286.64 286 64	816.65	0.00	530.01
06/29/15	3.000	MONSTER BEVERAGE CORP 3 0000 UNITS ACQUIRED ON 04/01/13	- 143.32 143 32	397.70	0.00	254.38
08/18/15	7.000	MONSTER BEVERAGE CORP 7 0000 UNITS ACQUIRED ON 04/01/13	- 334.41 334 41	1,046.76	0.00	712.35
06/29/15	3.000	NXP SEMICONDUCTORS NV 3 0000 UNITS ACQUIRED ON 12/05/14	- 231.21 231 21	310.19	78.98	0.00
12/10/14	59.000	ORACLE CORPORATION 44.0000 UNITS ACQUIRED ON 12/14/10 10 0000 UNITS ACQUIRED ON 10/22/10 5 0000 UNITS ACQUIRED ON 10/22/10	- 1,777.70 1,343.30 289 60 144 80	2,460.83	0.00	683.13
06/29/15	3.000	POLARIS INDS INC COM 3.0000 UNITS ACQUIRED ON 09/05/12	- 226.93 226 93	454.43	0.00	227.50
06/29/15	2.000	PPG INDUSTRIES INC 2 0000 UNITS ACQUIRED ON 12/05/14	- 223.99 223 99	236.49	12.50	0.00
01/09/15	2.000	PRECISION CASTPARTS CORP 2 0000 UNITS ACQUIRED ON 07/30/10	- 244.68 244 68	461.30	0.00	216.62
06/29/15	1.000	PRECISION CASTPARTS CORP 1 0000 UNITS ACQUIRED ON 07/30/10	- 122.34 122 34	208.19	0.00	85.85
09/11/15	15.000	PRECISION CASTPARTS CORP 11 0000 UNITS ACQUIRED ON 07/30/10 4 0000 UNITS ACQUIRED ON 08/13/15	- 2,268.18 1,345 74 922 44	3,446.19	- 3.46	1,181.47
12/08/14	90.363	PRINCIPAL GL MULT STRAT-INST #4684 90 3630 UNITS ACQUIRED ON 09/19/14	- 1,007.55 1,007 55	1,022.00	14.45	0.00
02/04/15	404.693	PRINCIPAL GL MULT STRAT-INST #4684 404 6930 UNITS ACQUIRED ON 09/19/14	- 4,512.33 4,512 33	4,484.00	- 28.33	0.00
08/18/15	28.000	QUALCOMM INC 28 0000 UNITS ACQUIRED ON 05/12/11	- 1,594.60 1,594 60	1,741.84	0.00	147.24
12/08/14	171.161	ROBECO BP LNG/SHRT RES-INS 171 1610 UNITS ACQUIRED ON 03/13/14	- 2,473.28 2,473 28	2,653.00	179.72	0.00

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Account Statement For:

MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

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REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/02/15	31.000	SANDISK CORP COM 31 0000 UNITS ACQUIRED ON 02/28/12	- 1,539.59 1,539.59	2,174.29	0.00	634.70
08/18/15	40.000	SCHLUMBERGER LTD 6 0000 UNITS ACQUIRED ON 07/30/10 15 0000 UNITS ACQUIRED ON 07/30/10 9 0000 UNITS ACQUIRED ON 04/08/09 10 0000 UNITS ACQUIRED ON 08/20/09	- 2,172.06 358.62 896.55 385.29 531.60	3,361.53	0.00	1,189.47
06/29/15	70.000	SPDR DJ WILSHIRE INTERNATIONAL REAL 26 0000 UNITS ACQUIRED ON 12/05/14 44 0000 UNITS ACQUIRED ON 07/17/13	- 2,923.23 1,109.16 1,814.07	2,996.64	3.88	69.53
06/29/15	7.000	STARBUCKS CORP COM 7 0000 UNITS ACQUIRED ON 02/22/13	- 188.88 188.88	376.10	0.00	187.22
06/29/15	2.000	STERICYCLE INC COM 2 0000 UNITS ACQUIRED ON 03/29/12	- 168.46 168.46	274.43	0.00	105.97
06/29/15	5.000	TEAM HEALTH HOLDINGS INC 5 0000 UNITS ACQUIRED ON 06/09/14	- 258.80 258.80	313.14	0.00	54.34
03/30/15	60.000	TEMPLETON EMER MKT S/C-ADV #626 60 0000 UNITS ACQUIRED ON 03/07/14	- 694.20 694.20	735.00	0.00	40.80
06/25/15	79.160	TEMPLETON EMER MKT S/C-ADV #626 79 1600 UNITS ACQUIRED ON 03/07/14	- 915.88 915.88	1,037.00	0.00	121.12
06/29/15	5.000	TRACTOR SUPPLY CO COM 5 0000 UNITS ACQUIRED ON 01/09/09	- 41.19 41.19	462.34	0.00	421.15
06/29/15	7.000	TWENTY FIRST CENTURY FOX INC 7 0000 UNITS ACQUIRED ON 01/06/15	- 256.55 256.55	232.60	- 23.95	0.00
09/11/15	104.000	TWENTY FIRST CENTURY FOX INC 64 0000 UNITS ACQUIRED ON 05/29/14 15 0000 UNITS ACQUIRED ON 01/06/15 25 0000 UNITS ACQUIRED ON 08/13/15	- 3,573.05 2,268.80 549.75 754.50	2,792.34	- 230.27	- 550.44
06/29/15	4.000	UNION PACIFIC CORP 4 0000 UNITS ACQUIRED ON 11/18/10	- 183.89 183.89	402.07	0.00	218.18
04/01/15	31.000	VANGUARD FTSE DEVELOPED ETF 31 0000 UNITS ACQUIRED ON 12/05/14	- 1,230.70 1,230.70	1,247.72	17.02	0.00
06/02/15	120.000	VANGUARD FTSE DEVELOPED ETF 120 0000 UNITS ACQUIRED ON 12/05/14	- 4,763.98 4,763.98	4,986.02	222.04	0.00

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/29/15	141.000	VANGUARD FTSE DEVELOPED ETF 141 0000 UNITS ACQUIRED ON 05/08/13	- 5,505.42 5,505.42	5,858.44	0.00	353.02
08/18/15	356.000	VANGUARD FTSE DEVELOPED ETF 356 0000 UNITS ACQUIRED ON 05/08/13	- 13,900.20 13,900.20	14,150.77	0.00	250.57
01/28/15	400.000	VANGUARD FTSE EMERGING MARKETS ETF 25 0000 UNITS ACQUIRED ON 05/08/13 99.0000 UNITS ACQUIRED ON 06/29/11 99.0000 UNITS ACQUIRED ON 06/29/11 100 0000 UNITS ACQUIRED ON 05/08/13 77 0000 UNITS ACQUIRED ON 02/19/13	- 16,334.83 1,011.73 4,006.44 4,006.44 4,194.10 3,116.12	16,187.64	0.00	- 147.19
06/29/15	82.000	VANGUARD FTSE EMERGING MARKETS ETF 82 0000 UNITS ACQUIRED ON 07/10/11	- 3,999.30 3,999.30	3,473.45	0.00	- 525.85
04/01/15	88.000	VANGUARD REIT VIPER 44 0000 UNITS ACQUIRED ON 02/19/13 18 0000 UNITS ACQUIRED ON 03/07/14 26 0000 UNITS ACQUIRED ON 08/20/09	- 5,162.65 3,024.75 1,263.96 873.94	7,373.38	0.00	2,210.73
06/29/15	39.000	VANGUARD REIT VIPER 23 0000 UNITS ACQUIRED ON 08/20/09 16.0000 UNITS ACQUIRED ON 08/20/09	- 1,310.92 773.11 537.81	3,015.42	0.00	1,704.50
06/02/15	24.000	WHITEWAVE FOODS CO 24 0000 UNITS ACQUIRED ON 08/21/13	- 468.97 468.97	1,167.09	0.00	698.12
Total This Period			- \$261,436.14	\$271,356.99	- \$10,215.54	\$20,136.39

Gain/Loss information is un-audited and should not be used for tax preparation, estate and/or retirement planning purposes



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
PAID TO/FOR - NORMAN MILLER FAMILY FOUNDATION INC <i>(continued)</i>					
08/28/15		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH	- 2,698.42		
09/28/15		TRANSFER FROM MILLER, NORMAN FAMILY FDN PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH	- 2,698.42		
		TRANSFER FROM MILLER, NORMAN FAMILY FDN			
Total Paid To/For - NORMAN MILLER FAMILY FOUNDATION INC			- \$91,381.04	\$0.00	\$0.00
Total Paid To/For			- \$91,381.04	\$0.00	\$0.00

ASSET RELATED - ACCENTURE PLC					
11/17/14		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL ACN CUSIP G1151C101 20.0% W/H ON 25 SHRS		- \$5.10	
05/15/15		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL ACN CUSIP G1151C101 20.0% W/H ON 25 SHRS		- 5.10	
Total Asset Related - ACCENTURE PLC			\$0.00	- \$10.20	\$0.00

ASSET RELATED - CALL WRITE AAPL @ 115.00 2/13/15					
01/23/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.15	- \$215.84		
Total Asset Related - CALL WRITE AAPL @ 115.00 2/13/15			- \$215.84	\$0.00	\$0.00

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE AAPL @ 115.00	10/16/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3 5	- \$350.84		
Total Asset Related - CALL WRITE AAPL @ 115.00			- \$350.84	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 119.00	1/23/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2598	- \$26.82		
Total Asset Related - CALL WRITE AAPL @ 119.00			- \$26.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 119.29	1/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 5	- \$50.83		
Total Asset Related - CALL WRITE AAPL @ 119.29			- \$50.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 120.00	3/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 8 2	- \$820.83		
Total Asset Related - CALL WRITE AAPL @ 120.00			- \$820.83	\$0.00	\$0.00

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE AAPL @ 120.00	9/18/15				
08/25/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.7688	- \$77.73		
Total Asset Related - CALL WRITE AAPL @ 120.00 9/18/15					
			- \$77.73	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 120.00 10/16/15					
09/22/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.1	- \$110.84		
Total Asset Related - CALL WRITE AAPL @ 120.00 10/16/15					
			- \$110.84	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 130.00 9/18/15					
08/07/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.4026	- \$41.10		
Total Asset Related - CALL WRITE AAPL @ 130.00 9/18/15					
			- \$41.10	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 131.00 7/17/15					
07/07/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2269	- \$23.53		
Total Asset Related - CALL WRITE AAPL @ 131.00 7/17/15					
			- \$23.53	\$0.00	\$0.00

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MILLER, NORMAN FAMILY FDN INC. - IMA

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Account Number 73156600

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TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE AAPL @ 135.00	5/15/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 888	- \$289.64		
Total Asset Related - CALL WRITE AAPL @ 135.00			- \$289.64	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 135.00	6/19/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 2606	- \$26.89		
Total Asset Related - CALL WRITE AAPL @ 135.00			- \$26.89	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 135.00	7/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 29	- \$29.83		
Total Asset Related - CALL WRITE AAPL @ 135.00			- \$29.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE AAPL @ 137.14	4/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 5867	- \$59.50		
Total Asset Related - CALL WRITE AAPL @ 137.14			- \$59.50	\$0.00	\$0.00





Account Statement For:
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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJK @ 161.00	1/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1544	- \$48 84		
Total Asset Related - CALL WRITE IJK @ 161.00 1/17/15					
			- \$48.84	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 162.00	12/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 2303	- \$71 60		
Total Asset Related - CALL WRITE IJK @ 162.00 12/20/14					
			- \$71.60	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 163.00	2/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3 2084	- \$965.05		
Total Asset Related - CALL WRITE IJK @ 163.00 2/20/15					
			- \$965.05	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 166.00	3/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3 8045	- \$1,143 88		
Total Asset Related - CALL WRITE IJK @ 166.00 3/20/15					
			- \$1,143.88	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJK @ 169.00	3/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 8839	- \$867.69		
Total Asset Related - CALL WRITE IJK @ 169.00					
	3/20/15		- \$867.69	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 174.00					
04/14/15	4/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1909	- \$59.80		
Total Asset Related - CALL WRITE IJK @ 174.00					
	4/17/15		- \$59.80	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 174.00					
04/30/15	5/15/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 2864	- \$88.45		
Total Asset Related - CALL WRITE IJK @ 174.00					
	5/15/15		- \$88.45	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 174.00					
06/22/15	6/19/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1152	- \$37.09		
Total Asset Related - CALL WRITE IJK @ 174.00					
	6/19/15		- \$37.09	\$0.00	\$0.00

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Period Covered: October 1, 2014 - September 30, 2015
Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE IJK @ 174.00	8/21/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1914	- \$59.97		
08/13/15					
Total Asset Related - CALL WRITE IJK @ 174.00					
	8/21/15		- \$59.97	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 175.00					
07/07/15	7/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1853	- \$58.12		
Total Asset Related - CALL WRITE IJK @ 175.00					
	7/17/15		- \$58.12	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 107.00					
11/10/14	11/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 4 71	- \$1,415.51		
Total Asset Related - CALL WRITE IJR @ 107.00					
	11/22/14		- \$1,415.51	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 112.00					
12/24/14	1/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3 2995	- \$992.36		
Total Asset Related - CALL WRITE IJR @ 112.00					
	1/17/15		- \$992.36	\$0.00	\$0.00

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Period Covered: October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJR @ 112.00	12/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.5155	-\$157.17		
Total Asset Related - CALL WRITE IJR @ 112.00			12/20/14	-\$157.17	\$0.00
ASSET RELATED - CALL WRITE IJR @ 114.00	2/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.1661	-\$434.90		
Total Asset Related - CALL WRITE IJR @ 114.00			2/20/15	-\$434.90	\$0.00
ASSET RELATED - CALL WRITE IJR @ 114.00	3/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.862	-\$861.12		
Total Asset Related - CALL WRITE IJR @ 114.00			3/20/15	-\$861.12	\$0.00
ASSET RELATED - CALL WRITE IJR @ 115.00	12/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.3464	-\$106.46		
Total Asset Related - CALL WRITE IJR @ 115.00			12/20/14	-\$106.46	\$0.00

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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Account Number 73156600



TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJR @ 116.00	3/20/15				
03/20/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 1564	- \$649.44		
Total Asset Related - CALL WRITE IJR @ 116.00	3/20/15		- \$649.44	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 117.00					
01/22/15	2/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 3045	- \$62.57		
01/30/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 2939	- 30.23		
Total Asset Related - CALL WRITE IJR @ 117.00	2/20/15		- \$92.80	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 119.00					
06/19/15	6/19/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 9406	- \$584.71		
06/22/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 352	- 472.09		
Total Asset Related - CALL WRITE IJR @ 119.00	6/19/15		- \$1,056.80	\$0.00	\$0.00



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MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
05/08/15	ASSET RELATED - CALL WRITE IJR @ 120.00	5/15/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 0756	- \$42.05		
	Total Asset Related - CALL WRITE IJR @ 120.00	5/15/15	- \$42.05	\$0.00	\$0.00
02/20/15	ASSET RELATED - CALL WRITE IVW @ 115.00	3/20/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 1448	- \$430.65		
	Total Asset Related - CALL WRITE IVW @ 115.00	3/20/15	- \$430.65	\$0.00	\$0.00
03/11/15	ASSET RELATED - CALL WRITE IVW @ 117.00	3/20/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1565	- \$32.98		
	Total Asset Related - CALL WRITE IVW @ 117.00	3/20/15	- \$32.98	\$0.00	\$0.00
09/22/15	ASSET RELATED - CALL WRITE VNQ @ 77.00	10/16/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 1	- \$221.68		
	Total Asset Related - CALL WRITE VNQ @ 77.00	10/16/15	- \$221.68	\$0.00	\$0.00

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VNQ @ 77.00 11/12/14	11/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.6638	-\$267.21		
Total Asset Related - CALL WRITE VNQ @ 77.00 11/22/14					
			-\$267.21	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 79.00 08/18/15	8/21/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.3955	-\$280.78		
Total Asset Related - CALL WRITE VNQ @ 79.00 8/21/15					
			-\$280.78	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 80.00 06/25/15	7/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0808	-\$17.85		
Total Asset Related - CALL WRITE VNQ @ 80.00 7/17/15					
			-\$17.85	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 80.00 12/11/14	12/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.75	-\$527.51		
Total Asset Related - CALL WRITE VNQ @ 80.00 12/20/14					
			-\$527.51	\$0.00	\$0.00

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MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VNQ @ 81.00	11/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.21	- \$21.83		
Total Asset Related - CALL WRITE VNQ @ 81.00	12/20/14		-\$21.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 82.00	12/22/14	1/17/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.6	- \$121.67		
Total Asset Related - CALL WRITE VNQ @ 82.00	1/17/15		-\$121.67	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 82.00	06/08/15	7/17/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1915	- \$39.98		
Total Asset Related - CALL WRITE VNQ @ 82.00	7/17/15		-\$39.98	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 83.00	05/29/15	6/19/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2189	- \$45.47		
Total Asset Related - CALL WRITE VNQ @ 83.00	6/19/15		-\$45.47	\$0.00	\$0.00



004972



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE VNQ @ 84.00	5/15/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1153	- \$24.73		
Total Asset Related - CALL WRITE VNQ @ 84.00	5/15/15		- \$24.73	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 85.00	4/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.939	- \$189.49		
Total Asset Related - CALL WRITE VNQ @ 85.00	4/17/15		- \$189.49	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 86.00	4/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.52	- \$305.67		
Total Asset Related - CALL WRITE VNQ @ 86.00	4/17/15		- \$305.67	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 87.00	3/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.08	- \$17.68		
Total Asset Related - CALL WRITE VNQ @ 87.00	3/20/15		- \$17.68	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VNQ @ 87.00 04/20/15	5/15/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1035	-\$22.37		
Total Asset Related - CALL WRITE VNQ @ 87.00	5/15/15		-\$22.37	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 89.00 03/30/15	5/15/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 2074	-\$43.16		
Total Asset Related - CALL WRITE VNQ @ 89.00	5/15/15		-\$43.16	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 35.00 09/22/15	10/16/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 6	-\$547.58		
Total Asset Related - CALL WRITE VWO @ 35.00	10/16/15		-\$547.58	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 39.00 08/20/15	9/18/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1	-\$151.80		
Total Asset Related - CALL WRITE VWO @ 39.00	9/18/15		-\$151.80	\$0.00	\$0.00





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VWO @ 40.00	2/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1 1332	- \$570.83		
01/16/15					
Total Asset Related - CALL WRITE VWO @ 40.00					
	2/20/15		- \$570.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 41.00	2/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 3087	- \$158.58		
02/11/15					
Total Asset Related - CALL WRITE VWO @ 41.00					
	2/20/15		- \$158.58	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 41.00	4/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3 021	- \$2,423.55		
04/16/15					
Total Asset Related - CALL WRITE VWO @ 41.00					
	4/17/15		- \$2,423.55	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 41.00	5/15/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.9823	- \$995.37		
04/08/15					
Total Asset Related - CALL WRITE VWO @ 41.00					
	5/15/15		- \$995.37	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE VWO @ 42.00					
03/10/15	3/20/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 00521	- \$42.35		
Total Asset Related - CALL WRITE VWO @ 42.00	3/20/15		- \$42.35	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 42.00					
03/11/15	4/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 01212	- \$64.82		
Total Asset Related - CALL WRITE VWO @ 42.00	4/17/15		- \$64.82	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 42.00					
07/28/15	8/21/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 005	- \$75.92		
Total Asset Related - CALL WRITE VWO @ 42.00	8/21/15		- \$75.92	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 42.50					
11/18/14	11/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 00884	- \$106.53		
Total Asset Related - CALL WRITE VWO @ 42.50	11/22/14		- \$106.53	\$0.00	\$0.00



004976

Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

**WELLS
FARGO**

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VWO @ 42.50					
12/03/14		12/12/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 15	- \$95 03		
Total Asset Related - CALL WRITE VWO @ 42.50	12/12/14		- \$95.03	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 43.00					
12/11/14		1/17/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1	- \$21 67		
12/12/14		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0 1	- 97 54		
Total Asset Related - CALL WRITE VWO @ 43.00	1/17/15		- \$119.21	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 43.00					
04/28/15		5/15/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2 0754	- \$1,041 90		
Total Asset Related - CALL WRITE VWO @ 43.00	5/15/15		- \$1,041.90	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VWO @ 43.00	7/17/15				
06/30/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0991	- \$96.74		
Total Asset Related - CALL WRITE VWO @ 43.00			- \$96.74	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 44.00					
05/29/15	6/19/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.25	- \$51.67		
06/05/15		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0997	- 64.86		
Total Asset Related - CALL WRITE VWO @ 44.00			- \$116.53	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 45.00					
06/11/15	7/17/15	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$29.20		
Total Asset Related - CALL WRITE VWO @ 45.00			- \$29.20	\$0.00	\$0.00



004978



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
05/21/15	ASSET RELATED - CALL WRITE VWO @ 46.00	6/19/15 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1423	- \$75.35		
Total Asset Related - CALL WRITE VWO @ 46.00			- \$75.35	\$0.00	\$0.00
ASSET RELATED - CHICAGO BRIDGE & IRON COMPANY N.V.					
12/31/14		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL CBI CUSIP 167250109 15.0% W/H ON 32 SHRS		- \$0.34	
Total Asset Related - CHICAGO BRIDGE & IRON COMPANY N.V.			\$0.00	- \$0.34	\$0.00
Total Asset Related			- \$20,655.32	- \$10.54	\$0.00
Total Cash Disbursements			- \$112,036.36	- \$10.54	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Received (continued)					
OTHER ASSETS RECEIVED (continued)					
05/21/15	- 500 000	RECEIVED -500 UNITS OF CALL WRITE VWO @ 45 00 7/17/15 VALUE \$-200.00 SELL TO OPEN WITH UBS @ 0 4558			- 223 70
04/28/15	- 500 000	RECEIVED -500 UNITS OF CALL WRITE VWO @ 46 00 6/19/15 VALUE \$-250 00 SELL TO OPEN WITH UBS @ 0 6507			- 321 14
06/15/15	43 000	REORGANIZATION (CUSIP CHANGE) RECEIVED 43 SHARES OF MONSTER BEVERAGE CORP EFFECTIVE DATE 06/15/15 1 SHARES FOR EACH SHARE HELD OF MONSTER BEVERAGE CORP SYMBOL MNST CUSIP 61174X109			2,054 23
Total Other Assets Received		Value: - \$20,552.16	\$0.00	\$0.00	-\$17,737.16
Total Assets Received		Value: - \$20,552.16	\$0.00	\$0.00	-\$17,737.16

Assets Disbursed

OTHER ASSETS DELIVERED					
06/15/15	8.000	NAME CHANGE 8 SHARES OF ACTAVIS PLC NAME CHANGED TO ALLERGAN PLC EFFECTIVE DATE 06/15/15 SYMBOL ACT CUSIP: G0083B108 VALUE \$2,391 84			- \$2,491 92
01/23/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 115 00 2/13/15 VALUE \$-244 00 BUY TO CLOSE WITH UBS @ 2 15			164 17

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
09/09/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 115.00 10/16/15 VALUE \$-392.00 BUY TO CLOSE WITH UBS @ 3 5			290 34
01/06/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 119.00 1/23/15 VALUE \$-21.00 BUY TO CLOSE WITH UBS @ 0 2598			155 84
12/18/14	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 119.29 1/17/15 VALUE \$-57.00 BUY TO CLOSE WITH UBS @ 0 5			150 31
02/13/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 120.00 3/20/15 VALUE \$-786.00 BUY TO CLOSE WITH UBS @ 8 2			192 55
08/25/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 120.00 9/18/15 VALUE \$-85.00 BUY TO CLOSE WITH UBS @ 0 7688			204 37
09/22/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 120.00 10/16/15 VALUE \$-108.00 BUY TO CLOSE WITH UBS @ 1 1			142 27
08/07/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 130.00 9/18/15 VALUE \$-41 00 BUY TO CLOSE WITH UBS @ 0 4026			145 17
07/07/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 131.00 7/17/15 VALUE \$-18 00 BUY TO CLOSE WITH UBS @ 0 2269			97 45



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

**WELLS
FARGO**

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
04/28/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 135 00 5/15/15 VALUE \$-310 00 BUY TO CLOSE WITH UBS @ 2 888			229 03
06/08/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 135 00 6/19/15 VALUE \$-23 00 BUY TO CLOSE WITH UBS @ 0 2606			165.79
06/22/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 135 00 7/17/15 VALUE \$-26 00 BUY TO CLOSE WITH UBS @ 0 29			128 23
03/12/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE AAPL @ 137 14 4/17/15 VALUE \$-46 00 BUY TO CLOSE WITH UBS @ 0 5867			224 16
01/20/15	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 161 00 1/17/15 VALUE \$-51 00 BUY TO CLOSE WITH UBS @ 0 1544			371 16
12/11/14	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 162 00 12/20/14 VALUE \$-54 00 BUY TO CLOSE WITH UBS @ 0 2303			372 50
02/06/15	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 163 00 2/20/15 VALUE \$-963.00 BUY TO CLOSE WITH UBS @ 3 2084			478 82
02/20/15	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 166 00 3/20/15 VALUE \$-1,137 00 BUY TO CLOSE WITH UBS @ 3 8045			893 48

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
03/20/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 169.00 3/20/15 VALUE: \$-861.00 BUY TO CLOSE WITH UBS @ 2.8839			574.08
04/14/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 174.00 4/17/15 VALUE: \$-51.00 BUY TO CLOSE WITH UBS @ 0.1909			339.02
04/30/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 174.00 5/15/15 VALUE: \$-54.00 BUY TO CLOSE WITH UBS @ 0.2864			470.95
06/22/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 174.00 6/19/15 VALUE: \$-36.00 BUY TO CLOSE WITH UBS @ 0.1152			439.79
08/13/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 174.00 8/21/15 VALUE: \$-54.00 BUY TO CLOSE WITH UBS @ 0.1914			508.81
09/22/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 174.00 9/18/15 VALUE: \$-45.00 OPTION EXPIRED			375.24
07/07/15	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 175.00 7/17/15 VALUE: \$-81.00 BUY TO CLOSE WITH UBS @ 0.1853			439.62
11/10/14	- 300,000	DISTRIBUTED -300 UNITS OF CALL WRITE IJK @ 107.00 11/22/14 VALUE: \$-1,413.00 BUY TO CLOSE WITH UBS @ 4.71			231.51





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
12/15/14	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 112 00 12/20/14 VALUE \$-162 00 BUY TO CLOSE WITH UBS @ 0 5155			386 42
12/24/14	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 112 00 1/17/15 VALUE \$-981 00 BUY TO CLOSE WITH UBS @ 3 2995			442 43
02/13/15	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 114 00 3/20/15 VALUE \$-834 00 BUY TO CLOSE WITH UBS @ 2 862			547 16
02/17/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE IJR @ 114 00 2/20/15 VALUE \$-398 00 BUY TO CLOSE WITH UBS @ 2 1661			262 14
11/21/14	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 115 00 12/20/14 VALUE \$-102 00 BUY TO CLOSE WITH UBS @ 0 3464			255 49
03/20/15	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 116 00 3/20/15 VALUE \$-690 00 BUY TO CLOSE WITH UBS @ 2 1564			491 16
01/22/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE IJR @ 117 00 2/20/15 VALUE \$-60 00 BUY TO CLOSE WITH UBS @ 0 3045			291 50
01/30/15	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE IJR @ 117 00 2/20/15 VALUE \$-35 00 BUY TO CLOSE WITH UBS @ 0 2939			145 75



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
06/19/15	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 119.00 6/19/15 VALUE \$-633.00 BUY TO CLOSE WITH UBS @ 1.9406			300.14
06/22/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJR @ 119.00 6/19/15 VALUE \$-450.00 BUY TO CLOSE WITH UBS @ 2.352			200.09
05/08/15	- 500.000	DISTRIBUTED -500 UNITS OF CALL WRITE IJR @ 120.00 5/15/15 VALUE \$-45.00 BUY TO CLOSE WITH UBS @ 0.0756			741.00
07/21/15	- 500.000	DISTRIBUTED -500 UNITS OF CALL WRITE IJR @ 122.00 7/17/15 VALUE \$-50.00 OPTION EXPIRED			498.37
09/22/15	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 122.00 9/18/15 VALUE \$-75.00 OPTION EXPIRED			222.49
02/20/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IWW @ 115.00 3/20/15 VALUE \$-430.00 BUY TO CLOSE WITH UBS @ 2.1448			148.33
04/21/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IWW @ 116.00 4/17/15 VALUE \$-10.00 OPTION EXPIRED			175.98
03/11/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IWW @ 117.00 3/20/15 VALUE \$-30.00 BUY TO CLOSE WITH UBS @ 0.1565			194.33



004996



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
09/22/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE IYW @ 120 00 9/18/15 VALUE \$-30 00 OPTION EXPIRED			188 32
12/23/14	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE VEA @ 40 00 12/20/14 VALUE \$-5 00 OPTION EXPIRED			29 17
11/12/14	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 77 00 11/22/14 VALUE \$-215 00 BUY TO CLOSE WITH UBS @ 2 6638			54 38
09/22/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 77 00 10/16/15 VALUE \$-184 00 BUY TO CLOSE WITH UBS @ 1.1			139 26
08/18/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 79 00 8/21/15 VALUE \$-270 00 BUY TO CLOSE WITH UBS @ 1.3955			115 55
12/11/14	- 300 000	DISTRIBUTED -300 UNITS OF CALL WRITE VNQ @ 80 00 12/20/14 VALUE \$-498 00 BUY TO CLOSE WITH UBS @ 1 75			108 50
06/25/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 80 00 7/17/15 VALUE \$-16 00 BUY TO CLOSE WITH UBS @ 0 0808			96 63
11/20/14	- 100 000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 81 00 12/20/14 VALUE \$-21 00 BUY TO CLOSE WITH UBS @ 0 21			50 55

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THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015
Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
12/22/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 82.00 1/17/15 VALUE \$-120.00 BUY TO CLOSE WITH UBS @ 0.6			58.95
06/08/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 82.00 7/17/15 VALUE \$-38.00 BUY TO CLOSE WITH UBS @ 0.1915			123.32
05/29/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 83.00 6/19/15 VALUE \$-44.00 BUY TO CLOSE WITH UBS @ 0.2189			123.41
05/01/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 84.00 5/15/15 VALUE \$-14.00 BUY TO CLOSE WITH UBS @ 0.1153			100.03
03/19/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 85.00 4/17/15 VALUE \$-190.00 BUY TO CLOSE WITH UBS @ 0.939			127.54
03/24/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 86.00 4/17/15 VALUE \$-300.00 BUY TO CLOSE WITH UBS @ 1.52			94.06
02/27/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 87.00 3/20/15 VALUE \$-10.00 BUY TO CLOSE WITH UBS @ 0.08			108.33
04/20/15	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 87.00 5/15/15 VALUE \$-20.00 BUY TO CLOSE WITH UBS @ 0.1035			111.41



004898



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
03/30/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE VNO @ 89.00 5/15/15 VALUE \$-42.00 BUY TO CLOSE WITH UBS @ 0.2074			112.33
09/22/15	- 900 000	DISTRIBUTED -900 UNITS OF CALL WRITE VWO @ 35.00 10/16/15 VALUE \$-495.00 BUY TO CLOSE WITH UBS @ 0.06			544.84
09/29/15	- 900 000	DISTRIBUTED -900 UNITS OF CALL WRITE VWO @ 37.00 9/25/15 VALUE \$-45.00 OPTION EXPIRED			307.41
08/20/15	- 1,400 000	DISTRIBUTED -1,400 UNITS OF CALL WRITE VWO @ 39.00 9/18/15 VALUE \$-140.00 BUY TO CLOSE WITH UBS @ 0.1			588.22
01/16/15	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE VWO @ 40.00 2/20/15 VALUE \$-550.00 BUY TO CLOSE WITH UBS @ 1.1332			277.24
01/26/15	- 400 000	DISTRIBUTED -400 UNITS OF CALL WRITE VWO @ 40.50 1/23/15 VALUE \$-524.00 OPTION ASSIGNED			156.66
02/11/15	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE VWO @ 41.00 2/20/15 VALUE \$-150.00 BUY TO CLOSE WITH UBS @ 0.3087			312.43
04/08/15	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE VWO @ 41.00 5/15/15 VALUE \$-985.00 BUY TO CLOSE WITH UBS @ 1.9823			215.06

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
04/16/15	- 800.000	DISTRIBUTED -800 UNITS OF CALL WRITE WVO @ 41.00 4/17/15 VALUE: \$-2,416.00 BUY TO CLOSE WITH UBS @ 3.021			327.41
03/10/15	- 700.000	DISTRIBUTED -700 UNITS OF CALL WRITE WVO @ 42.00 3/20/15 VALUE: \$-35.00 BUY TO CLOSE WITH UBS @ 0.0521			435.78
03/11/15	- 500.000	DISTRIBUTED -500 UNITS OF CALL WRITE WVO @ 42.00 4/17/15 VALUE: \$-60.00 BUY TO CLOSE WITH UBS @ 0.1212			288.65
07/28/15	- 1,300.000	DISTRIBUTED -1,300 UNITS OF CALL WRITE WVO @ 42.00 8/21/15 VALUE: \$-65.00 BUY TO CLOSE WITH UBS @ 0.05			574.07
11/18/14	- 1,100.000	DISTRIBUTED -1,100 UNITS OF CALL WRITE WVO @ 42.50 11/22/14 VALUE: \$-110.00 BUY TO CLOSE WITH UBS @ 0.0884			320.78
12/03/14	- 600.000	DISTRIBUTED -600 UNITS OF CALL WRITE WVO @ 42.50 12/12/14 VALUE: \$-90.00 BUY TO CLOSE WITH UBS @ 0.15			260.88
12/11/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE WVO @ 43.00 1/17/15 VALUE: \$-20.00 BUY TO CLOSE WITH UBS @ 0.1			88.02
12/12/14	- 900.000	DISTRIBUTED -900 UNITS OF CALL WRITE WVO @ 43.00 1/17/15 VALUE: \$-90.00 BUY TO CLOSE WITH UBS @ 0.1			396.07



005000



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
04/28/15	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE WFO @ 43.00 5/15/15 VALUE \$-1,050.00 BUY TO CLOSE WITH UBS @ 2.0754			342.59
06/30/15	- 900 000	DISTRIBUTED -900 UNITS OF CALL WRITE WFO @ 43.00 7/17/15 VALUE \$-90.00 BUY TO CLOSE WITH UBS @ 0.0991			442.44
05/29/15	- 200 000	DISTRIBUTED -200 UNITS OF CALL WRITE WFO @ 44.00 6/19/15 VALUE \$-50.00 BUY TO CLOSE WITH UBS @ 0.25			257.69
06/05/15	- 600.000	DISTRIBUTED -600 UNITS OF CALL WRITE WFO @ 44.00 6/19/15 VALUE \$-60.00 BUY TO CLOSE WITH UBS @ 0.0997			773.05
06/11/15	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE WFO @ 45.00 7/17/15 VALUE \$-25.00 BUY TO CLOSE WITH UBS @ 0.05			223.70
05/21/15	- 500 000	DISTRIBUTED -500 UNITS OF CALL WRITE WFO @ 46.00 6/19/15 VALUE \$-65.00 BUY TO CLOSE WITH UBS @ 0.1423			321.14
06/15/15	43 000	REORGANIZATION (CUSIP CHANGE) DELIVERED 43 SHARES OF MONSTER BEVERAGE CORP EFFECTIVE DATE 06/15/15 CUSIP: 611740101 VALUE: \$5,520.56	17,737.16 2,491.92 2,054.23 <u>22,283.31</u>	-2,054.23	
Total Other Assets Delivered			\$0.00	\$0.00	\$17,737.16
Total Assets Disbursed			\$0.00	\$0.00	\$17,737.16
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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Sweep Activity					
09/30/15	173,045 280	SUMMARY OF PURCHASE TRANSACTIONS	- \$161,789.49	- \$11,255.79	\$173,045.28
09/30/15	192,212 140	SUMMARY OF SALES TRANSACTIONS	178,572.73	13,639.41	- 192,212.14
Total Cash Sweep Activity			\$16,783.24	\$2,383.62	-\$19,166.86
Miscellaneous					
COST BASIS ADJUSTMENTS					
05/01/15		FED BASIS OF KEURIG GREEN MOUNTAIN INC ADJUSTED BY 64.64- USD OLD 744.90 USD/NEW: 680.26 USD SYMBOL: GMCR CUSIP: 49271M100 FIXED FEDRL TX CST FROM \$744.90 TO \$680.26 WASH ADJUSTMENT SALE			- \$64.64
05/01/15		FED BASIS OF KEURIG GREEN MOUNTAIN INC ADJUSTED BY 64.64 USD OLD 674.16 USD/NEW: 738.80 USD SYMBOL: GMCR CUSIP: 49271M100 FIXED FEDRL TX CST FROM \$674.16 TO \$738.80 WASH ADJUSTMENT PURCHASE			64.64
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 751.60- USD OLD: 4,758.04 USD/NEW: 4,006.44 USD SYMBOL: VVO CUSIP: 922042858 FIXED FEDRL TX CST FROM \$4758.04 TO \$4006.44 WASH ADJUSTMENT SALE			- 751.60
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 751.60- USD OLD: 4,758.04 USD/NEW: 4,006.44 USD SYMBOL: VVO CUSIP: 922042858 FIXED FEDRL TX CST FROM \$4758.04 TO \$4006.44 WASH ADJUSTMENT SALE			- 751.60



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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous (continued)					
COST BASIS ADJUSTMENTS (continued)					
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 318.08- USD OLD 3,434.20 USD/NEW 3,116.12 USD SYMBOL VWO CUSIP 922042858 FIXED FEDRL TX CST FROM \$3434.20 TO \$3116.12 WASH ADJUSTMENT SALE			- 318.08
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 105.13- USD OLD 1,116.86 USD/NEW 1,011.73 USD SYMBOL VWO CUSIP 922042858 FIXED FEDRL TX CST FROM \$1116.86 TO \$1011.73 WASH ADJUSTMENT SALE			- 105.13
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 273.36- USD OLD 4,467.46 USD/NEW 4,194.10 USD SYMBOL VWO CUSIP 922042858 FIXED FEDRL TX CST FROM \$4467.46 TO \$4194.10 WASH ADJUSTMENT SALE			- 273.36
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 751.60 USD OLD 4,076.82 USD/NEW 4,828.42 USD SYMBOL VWO CUSIP 922042858 FIXED FEDRL TX CST FROM \$4076.82 TO \$4828.42 WASH ADJUSTMENT PURCHASE			751.60
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 751.60 USD OLD 4,076.82 USD/NEW 4,828.42 USD SYMBOL VWO CUSIP 922042858 FIXED FEDRL TX CST FROM \$4076.82 TO \$4828.42 WASH ADJUSTMENT PURCHASE			751.60
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 318.08 USD OLD 3,170.86 USD/NEW 3,488.94 USD SYMBOL VWO CUSIP 922042858 FIXED FEDRL TX CST FROM \$3170.86 TO \$3488.94			318.08

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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous <i>(continued)</i>					
COST BASIS ADJUSTMENTS <i>(continued)</i>					
02/06/15		WASH ADJUSTMENT PURCHASE			105 13
		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 105 13 USD OLD 1,029 50 USD/NEW 1,134 63 USD SYMBOL VWO CUSIP 922042858			
		FIXED FEDRL TX CST FROM \$1029.50 TO \$1134 63			
		WASH ADJUSTMENT PURCHASE			
02/06/15		FED BASIS OF VANGUARD FTSE EMERGING MARKETS ETF ADJUSTED BY 273 36 USD OLD 2,676 70 USD/NEW 2,950 06 USD SYMBOL VWO CUSIP 922042858			273 36
		FIXED FEDRL TX CST FROM \$2676 70 TO \$2950 06			
		WASH ADJUSTMENT PURCHASE			
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0 14- USD OLD 129 14 USD/NEW 129 00 USD CUSIP 922908553			- 0 14
		ADDITIONAL 2013 RETURN OF CAPITAL			
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0 24- USD OLD 225 98 USD/NEW 225 74 USD CUSIP 922908553			- 0 24
		ADDITIONAL 2013 RETURN OF CAPITAL			
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0 03- USD OLD 32 28 USD/NEW 32 25 USD CUSIP 922908553			- 0 03
		ADDITIONAL 2013 RETURN OF CAPITAL			
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0 07- USD OLD 64 57 USD/NEW 64 50 USD CUSIP 922908553			- 0 07
		ADDITIONAL 2013 RETURN OF CAPITAL			





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous (continued)					
COST BASIS ADJUSTMENTS (continued)					
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 3.63- USD OLD 3,357.52 USD/NEW 3,353.89 USD CUSIP 922908553 ADDITIONAL 2013 RETURN OF CAPITAL			- 3.63
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 3.49- USD OLD 3,228.39 USD/NEW 3,224.90 USD CUSIP 922908553 ADDITIONAL 2013 RETURN OF CAPITAL			- 3.49
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0.10- USD OLD 96.85 USD/NEW 96.75 USD CUSIP 922908553 ADDITIONAL 2013 RETURN OF CAPITAL			- 0.10
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 3.49- USD OLD 3,364.81 USD/NEW 3,361.32 USD CUSIP 922908553 ADDITIONAL 2013 RETURN OF CAPITAL			- 3.49
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 3.14- USD OLD: 3,028.34 USD/NEW 3,025.20 USD CUSIP 922908553 ADDITIONAL 2013 RETURN OF CAPITAL			- 3.14
03/09/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 1.71- USD OLD 1,648.76 USD/NEW 1,647.05 USD CUSIP 922908553 ADDITIONAL 2013 RETURN OF CAPITAL			- 1.71



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous <i>(continued)</i>					
COST BASIS ADJUSTMENTS <i>(continued)</i>					
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 1.94- USD OLD 64.50 USD/NEW 62.56 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 1.94
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 97.20- USD OLD 2,823.51 USD/NEW 2,726.31 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 97.20
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0.97- USD OLD 32.25 USD/NEW 31.28 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 0.97
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 6.80- USD OLD 225.74 USD/NEW 218.94 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 6.80
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 3.88- USD OLD 129.00 USD/NEW 125.12 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 3.88
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 2.91- USD OLD 96.75 USD/NEW 93.84 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 2.91



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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous <i>(continued)</i>					
COST BASIS ADJUSTMENTS <i>(continued)</i>					
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 87.48- USD OLD 3,025.20 USD/NEW 2,937.72 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 87.48
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 101.09- USD OLD 3,353.89 USD/NEW 3,252.80 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 101.09
07/29/15		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 97.20- USD OLD 3,224.90 USD/NEW 3,127.70 USD CUSIP 922908553 2014 RETURN OF CAPITAL			- 97.20

Total Cost Basis Adjustments

\$0.00 \$0.00 **- \$415.51**

OTHER

05/01/15
GAIN/LOSS FOR KEURIG GREEN MOUNTAIN
INC WAS RECALCULATED ORIGINAL TRN
DATE 04/01/15 FED ST LOSS ADJUSTED BY 64.64 USD
OLD -64.64 NEW 0.00 FED COST OLD 744.90 NEW
680.26 USD WASH SALE ADJUSTMENT

02/06/15
GAIN/LOSS FOR VANGUARD FTSE EMERGING
MARKETS ETF WAS RECALCULATED
ORIGINAL TRN DATE 01/28/15 FED LT LOSS ADJUSTED
BY 751.60 USD OLD -2,346.96 NEW -1,595.36 FED
COST OLD 18,534.60 NEW 17,783.00 USD WASH SALE
ADJUSTMENT



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2014 - September 30, 2015

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TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Receipts <i>(continued)</i>					
TAXABLE <i>(continued)</i>					
Dividends <i>(continued)</i>					
09/01/15		VISA INC-CLASS A SHRS DIVIDEND ON 68 000 SHARES AT \$0.1200 PER SHARE SYMBOL V CUSIP: 92826C839		8 16	
Total Taxable Dividends				\$13,237.96	\$0.00
Total Taxable				\$13,239.60	\$0.00
Total Taxable & Tax Exempt				\$13,239.60	\$0.00
Cash Receipts					
MISCELLANEOUS					
12/31/14		ASG GLOBAL ALTERNATIVES-Y #1993 LONG TERM CAPITAL GAIN DIVIDEND ON 2,922,297 SHARES AT \$0.2139 PER SHARE CUSIP 63872T885	\$625 08		
12/31/14		ASG GLOBAL ALTERNATIVES-Y #1993 SHORT TERM CAPITAL GAIN DIVIDEND ON 2,922,297 SHARES AT \$0.0254 PER SHARE CUSIP 63872T885	74 23		
04/10/15		ASG GLOBAL ALTERNATIVES-Y #1993 LONG TERM CAPITAL GAIN DIVIDEND ON 3,322,556 SHARES AT \$0.1982 PER SHARE CUSIP 63872T885	658 53		



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

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Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Receipts <i>(continued)</i>					
MISCELLANEOUS <i>(continued)</i>					
04/10/15		ASG GLOBAL ALTERNATIVES-Y #1993 SHORT TERM CAPITAL GAIN DIVIDEND ON 3,322 556 SHARES AT \$0 1776 PER SHARE CUSIP 63872T885	590 09		
12/24/14		HSBC FRONTIER MARKETS-I LONG TERM CAPITAL GAIN DIVIDEND ON 1,271 458 SHARES AT \$0.353103 PER SHARE SYMBOL HSFIX CUSIP 40428X222	448 96		
12/24/14		HSBC FRONTIER MARKETS-I SHORT TERM CAPITAL GAIN DIVIDEND ON 1,271 458 SHARES AT \$0.70578 PER SHARE SYMBOL HSFIX CUSIP 40428X222	897 36		
01/05/15		MERGER FUND-INST #301 SHORT TERM CAPITAL GAIN DIVIDEND ON 598 419 SHARES AT \$0.21299 PER SHARE CUSIP 589509207	127 46		
12/19/14		PRINCIPAL GL MULT STRAT-INST #4684 LONG TERM CAPITAL GAIN DIVIDEND ON 2,109 547 SHARES AT \$0 1430 PER SHARE CUSIP 74255L696	301.67		



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Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2014 - September 30, 2015

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Receipts (continued)					
MISCELLANEOUS (continued)					
12/19/14		PRINCIPAL GL MULT STRAT-INST #4684 SHORT TERM CAPITAL GAIN DIVIDEND ON 2,109,547 SHARES AT \$0.0319 PER SHARE CUSIP 74255L696	67.29		
12/19/14		ROBECO BP LNG/SHRT RES-INS LONG TERM CAPITAL GAIN DIVIDEND ON 3,752,832 SHARES AT \$0.201813 PER SHARE CUSIP 74925K581	757.37		
Total Miscellaneous			\$4,548.04	\$0.00	\$0.00
Total Cash Receipts			\$4,548.04	\$13,239.60	\$0.00

Cash Disbursements

PAID TO/FOR - NORMAN MILLER FAMILY FOUNDATION INC

10/28/14	PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- \$2,698.42
11/28/14	PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- 2,698.42
12/29/14	PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- 2,698.42
01/28/15	PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- 2,698.42