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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2014

Open to Public Inspection

Department of the Treasury Internal Revenue Service

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For calendar year 2014, or tax year beginning 10-01-2014, and ending 09-30-2015

Name of foundation: PEALE FOUNDATION INC CO ELIZABETH ALLEN. A Employer identification number: 14-1746478. B Telephone number: (914) 855-3559. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$ 6,313,828. J Accounting method: Accrual.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), ending with Excess of revenue over expenses and disbursements (-141,144) and Adjusted net income (501,656).

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

<b>Part II Balance Sheets</b>		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .	202,650	58,976	58,976		
	<b>2</b>	Savings and temporary cash investments . . . . .	44,402	35,174	35,174		
	<b>3</b>	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>4</b>	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>5</b>	Grants receivable . . . . .					
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>8</b>	Inventories for sale or use . . . . .					
	<b>9</b>	Prepaid expenses and deferred charges . . . . .		14,482	14,482		
	<b>10a</b>	Investments—U S and state government obligations (attach schedule)					
	<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	6,036,298	5,285,843	5,285,843		
	<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .	321,305	212,831	212,831		
	<b>11</b>	Investments—land, buildings, and equipment basis ▶ _____ 85,000 Less accumulated depreciation (attach schedule) ▶ _____	85,000	85,000	300,000		
	<b>12</b>	Investments—mortgage loans . . . . .					
	<b>13</b>	Investments—other (attach schedule) . . . . .					
	<b>14</b>	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
<b>15</b>	Other assets (describe ▶ _____)	229,486	200,542	406,522			
<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	6,919,141	5,892,848	6,313,828			
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses . . . . .	11,825	56,243			
	<b>18</b>	Grants payable . . . . .					
	<b>19</b>	Deferred revenue . . . . .					
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons					
	<b>21</b>	Mortgages and other notes payable (attach schedule) . . . . .					
	<b>22</b>	Other liabilities (describe ▶ _____)					
<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	11,825	56,243				
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>						
	<b>24</b>	Unrestricted . . . . .	6,907,316	5,836,605			
	<b>25</b>	Temporarily restricted . . . . .					
	<b>26</b>	Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>						
	<b>27</b>	Capital stock, trust principal, or current funds . . . . .					
	<b>28</b>	Paid-in or capital surplus, or land, bldg, and equipment fund					
<b>29</b>	Retained earnings, accumulated income, endowment, or other funds						
<b>30</b>	<b>Total net assets or fund balances</b> (see instructions) . . . . .	6,907,316	5,836,605				
<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	6,919,141	5,892,848				

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	6,907,316
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	-141,144
<b>3</b>	Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	6,766,172
<b>5</b>	Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	929,567
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	5,836,605

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	234,868
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	484,071	7,315,763	0.06617
2012	422,293	6,635,770	0.06364
2011	411,794	6,313,721	0.06522
2010	583,955	6,598,352	0.08850
2009	359,675	6,090,390	0.05906

<b>2</b> Total of line 1, column (d).	<b>2</b>	0.34259
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.06852
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	<b>4</b>	7,280,393
<b>5</b> Multiply line 4 by line 3.	<b>5</b>	498,831
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	3,605
<b>7</b> Add lines 5 and 6.	<b>7</b>	502,436
<b>8</b> Enter qualifying distributions from Part XII, line 4.	<b>8</b>	501,656

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	7,210
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	7,210
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	<b>5</b>	7,210
<b>6</b>	Credits/Payments		
<b>a</b>	2014 estimated tax payments and 2013 overpayment credited to 2014	<b>6a</b>	7,870
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d. . . . .	<b>7</b>	7,870
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	660
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> 660 <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	No
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, NY _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>PEALE FOUNDATION INC</b> Telephone no <b>(914) 855-3559</b> Located at <b>665 OLD QUAKER HILL ROAD PAWLING NY PAWLING NY</b> ZIP+4 <b>12564</b>			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b>			
<b>16</b>	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>1b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>1c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>20__ , 20__ , 20__ , 20__</b>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) <input type="checkbox"/>	<b>2b</b>		<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>20__ , 20__ , 20__ , 20__</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.</i> ) <input type="checkbox"/>	<b>3b</b>		<b>No</b>
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>				
	<b>5b</b>			<b>No</b>
	<b>6b</b>			<b>No</b>
	<b>7b</b>			<b>No</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGARET P EVERETT 36 COZIER HILL ROAD SHERMAN, CT 06784	PRES/DIRECTOR 5 00	15,000		
JOHN S PEALE 250 PANTOPS MOUNTAIN RD CHARLOTTESVILLE, VA 22911	V PRES/DIRECTOR 5 00	15,000		
ELIZABETH PEALE ALLEN 665 OLD QUAKER HILL ROAD PAWLING, NY 12564	SEC/TREA/DIRECT 5 00	15,000		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **▶**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . **▶**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	6,364,358
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	319,345
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	707,559
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	7,391,262
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	7,391,262
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	110,869
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	7,280,393
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	364,020

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	364,020
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5. . . . .	<b>2a</b>	7,210
<b>b</b>	Income tax for 2014 (This does not include the tax from Part VI). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	7,210
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	356,810
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	356,810
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	356,810

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	501,656
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	501,656
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	501,656

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				356,810
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2014				
<b>a</b> From 2009. . . . .				359,675
<b>b</b> From 2010. . . . .				262,123
<b>c</b> From 2011. . . . .				100,695
<b>d</b> From 2012. . . . .				99,264
<b>e</b> From 2013. . . . .				126,212
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	947,969			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ <u>501,656</u>				
<b>a</b> Applied to 2013, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2014 distributable amount. . . . .				356,810
<b>e</b> Remaining amount distributed out of corpus	144,846			
<b>5</b> Excess distributions carryover applied to 2014 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,092,815			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions. . . . .				
<b>e</b> Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions. . . . .				
<b>f</b> Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions). . . . .	359,675			
<b>9</b> <b>Excess distributions carryover to 2015.</b> Subtract lines 7 and 8 from line 6a. . . . .	733,140			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2010. . . . .				262,123
<b>b</b> Excess from 2011. . . . .				100,695
<b>c</b> Excess from 2012. . . . .				99,264
<b>d</b> Excess from 2013. . . . .				126,212
<b>e</b> Excess from 2014. . . . .				144,846

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

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**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
 ELIZABETH PEALE ALLEN  
 665 QUAKER HILL ROAD  
 PAWLING, NY 12564  
 (914) 855-3559

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**b** The form in which applications should be submitted and information and materials they should include  
 MUST BE IN WRITING, NO PRESCRIBED FORMAT

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**c** Any submission deadlines  
 NONE

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
 NONE

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>				<b>▶ 3a</b> 426,452
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>				<b>▶ 3b</b>





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
JP MORGAN ACCT #2513 S/T - SEE ATTACHED	P	2014-10-01	2015-09-30
JP MORGAN ACCT #2513 L/T - SEE ATTACHED	P	2014-10-01	2015-09-30
JP MORGAN ACCT #21218 S/T - SEE ATTACHED	P	2014-10-01	2015-09-30
JP MORGAN ACCT #21218 L/T - SEE ATTACHED	P	2014-10-01	2015-09-30
JP MORGAN - #3743 S/T - SEE ATTACHED	P	2014-10-01	2015-09-30
JPMORGAN #3743 L/T - SEE ATTACHED	P	2014-10-01	2015-09-30
FIDELITY - L/T (SEE ATTACHED)	P	2014-10-01	2015-09-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
116,419		119,088	-2,669
2,762		7,893	-5,131
227,623		234,226	-6,603
526,485		414,529	111,956
7,258		9,947	-2,689
53,846		35,895	17,951
434,683		312,630	122,053

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			-2,669
			-5,131
			-6,603
			111,956
			-2,689
			17,951
			122,053

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BLANTON PEALE INSTITUTE 3 WEST 29TH STREET NEW YORK, NY 10001	NONE	CHAR	RELIGIOUS/EDUCA	107,000
PITTSBURGH EXPERIMENT 1802 INVESTMENT BLVD PITTSBURGH, PA 15222	NONE	CHAR	RELIGIOUS	32,500
MARBLE COLLEGIATE CHURCH ONE WEST 29TH STREET NEW YORK, NY 10001	NONE	CHAR	RELIGIOUS	25,000
LUMONOS FKA FAITH AT WORK 150 S WASHINGTON ST204 FALLS CHURCH, VA 22046	NONE	CHAR	RELIGIOUS	15,000
STONY BROOK SCHOOL CHAPMAN PARKWAY STONYBROOK, NY 11790	NONE	CHAR	EDUCATIONAL	12,000
THE TUTWILER CLINIC 205 ALMA STREET TUTWILER MS, MS 38963	NONE	CHAR	HEALTH	500
TRINITY PAWLING SCHOOL 700 ROUTE 22 PAWLING, NY 12564	NONE	CHAR	EDUCATIONAL	10,000
WAINWRIGHT HOUSE 260 STUYVESANT AVENUE RYE, NY 10580	NONE	CHAR	EDUC & RELIGIOUS	300
CHRIST CHURCH ON QUAKER HILL 17 CHURCH ROAD PAWLING, NY 12564	NONE	CHAR	RELIGIOUS	51,200
AMERICARES 88 HAMILTON AVENUE STAMFORD CT, CT 06902	NONE	CHAR	RELIGIOUS	25,000
GUIDEPOSTS FOUNDATION INC 39 OLD RIDGEBURY ROAD DANBURY, CT 06810	NONE	CHAR	RELIGIOUS	84,452
ANDREW K DYWER FOUNDATION 756 GUARDHILL ROAD BEDFORD, NY 10506	NONE	CHAR	EDUCATIONAL	500
OLD NORTH CHURCH IN MARBLEHEAD 35 WASHINGTON ST MARBLEHEAD, MA 01945	NONE	CHAR	RELIGIOUS	2,000
GREENFIELD HILL CONGREGATIONAL CHUR 1045 OLD ACADEMY ROAD FAIRFIELD, CT 06824	NONE	CHAR	RELIGIOUS	1,000
AMERICAN BIBLE SOCIETY 1865 BROADWAY NEW YORK, NY 10023	NONE	CHAR	Religious	40,000
<b>Total . . . . .</b>				<b>426,452</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CROSS CULTURAL THRESHOLDS 43 WINDING RIDGE ROAD WHITE PLAINS, NY 10603	NONE	CHAR	EDUCATIONAL	10,000
WASHINGTON LATIN PUBLIC CHARTER SCH 4715 16TH STREET NW WASHINGTON, DC 20011	NONE	CHAR	EDUCATIONAL	2,000
CARE COUNSELING 12141 Ladue Road St Louis, MO 63141	NONE	CHAR	Educational	1,000
CHILDREN OF LUDLOW AFTER SCHOOL PRO Po Box 16273 Ludlow, KY 41016	NONE	CHAR	Educational	1,000
OPEN DOOR MINISTRY 2366 Kemper Lane Cincinnati, OH 45206	NONE	CHAR	Religious	1,000
SAINT MARTHA'S HALL PO Box 95293 St Louis, MO 63195	NONE	CHAR	Religious	1,000
SALVATION ARMY'S CAMP SPOKANE WA 222 East Indiana Spokane, WA 99207	NONE	CHAR	Religious	1,500
TEAM BREWER'S HOPE 1824 Old Farm Lane Landcaster, PA 17602	NONE	CHAR	Health	500
URBAN ST LOUIS K LIFE 2900 N Prarie Ave St Louis, MO 63107	NONE	CHAR	Educational	2,000
<b>Total . . . . .</b>				426,452

## TY 2014 Accounting Fees Schedule

**Name:** PEALE FOUNDATION INC  
 CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,100	4,575	0	1,525

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2014 Amortization Schedule

**Name:** PEALE FOUNDATION INC  
CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
COPYRIGHT	2010-09-01	333,594	109,707	12 4167	26,867			136,574

## TY 2014 Investments - Land Schedule

**Name:** PEALE FOUNDATION INC  
 CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	85,000		85,000	300,000

## TY 2014 Legal Fees Schedule

**Name:** PEALE FOUNDATION INC  
 CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	6,838	6,838	0	0

## TY 2014 Other Assets Schedule

**Name:** PEALE FOUNDATION INC  
 CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST	5,599	3,522	3,522
Net Intangible Assets	223,887	197,020	403,000

**TY 2014 Other Decreases Schedule**

**Name:** PEALE FOUNDATION INC  
 CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Description	Amount
FEDERAL TAXES	7,900
PENALTIES	3

## TY 2014 Other Expenses Schedule

**Name:** PEALE FOUNDATION INC  
CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Amortization	26,867	26,867		
BANK CHARGES	266	66		200
FILING FEES	250	250		
INVESTMENT MGMT FEES	57,463	57,463		
MEETING EXPENSE	48,973	12,243		36,730
ROYALTY EXP - WEB	8,999	2,250		6,749

## TY 2014 Other Income Schedule

**Name:** PEALE FOUNDATION INC

CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	156,302	156,302	

## TY 2014 Other Professional Fees Schedule

**Name:** PEALE FOUNDATION INC  
 CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Consulting services	30,000	30,000	0	0

## TY 2014 Taxes Schedule

**Name:** PEALE FOUNDATION INC  
CO ELIZABETH ALLEN

**EIN:** 14-1746478

**Software ID:** 14000265

**Software Version:** 2014v6.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	846	846		

Account Name	Account Number	Description	CUSIP	Ticker	Quantity	Market Cost/Proceeds USD	Cost Basis USD (1)	Short Term Realized Gain Loss USD (2)	Long Term Realized Gain Loss USD (2)	Total Realized Gain Loss USD
JPMS MANAGED	...2513	***SEADRILL LIMITED SHS		SDRL	230	2,761.68	7,892.66		(5,130.98)	(5,130.98) LT
JPMS MANAGED	...2513	**DODGE & COX FUNDS INTERNATIONAL STOCK FUND		DODFX	425 036	18,456.74	19,126.62	(669.88)	0.00	(669.88) ST
JPMS MANAGED	...2513	**MANNING AND NAPIER FUND WORLD OPPORTUNITIES SER CL A		EXWAX	10,150.35	83,841.87	84,899.05	(2,933.38)	1,876.20	(1,057.18) ST
JPMS MANAGED	. 2513	DEUTSCHE X TRACKERS HARVEST CSI 300 CHINA A SHARES ETF		ASHR	133	6,893.26	7,201.38	(308.12)	0.00	(308.12) ST
JPMS MANAGED	. 2513	ISHARES MSCI SOUTH KOREA CAPPED ETF		EWY	129	7,227.74	7,861.21	(633.47)	0.00	(633.47) ST
						119,181.29	126,980.92	(4,544.85)	(3,254.78)	(7,799.63)
						116,419.61	119,088.26	(4,544.85)	1,876.20	(2,668.65)
						2,761.68	7,892.66	0.00	(5,130.98)	(5,130.98)
						119,181.29	126,980.92	(4,544.85)	(3,254.78)	(7,799.63)

Account Name	Account Number	Description	CUSIP	Ticker	Quantity	Market Costs/Procs USD	Cost Basis USD (1)	Short		Long Term		Date
								Realized	Gain Loss	Realized	Gain Loss	
								USD (2)	USD (2)	USD (2)	USD (2)	
JPMS MANAGED	.3743	AKAMAI TECHNOLOGIES INC		AKAM	30	1,785.94	1,100.61	0.00	685.33	685.33		2/2/2015
JPMS MANAGED	.3743	ANSYS INC		ANSS	10	870.12	656.30	0.00	213.82	213.82		5/4/2015
JPMS MANAGED	...3743	ASPEN TECHNOLOGY INC		AZPN	15	643.31	579.21	64.10	0.00	64.10		8/11/2015 ST
JPMS MANAGED	...3743	BLACKBAUD INC		BLKB	10	504.19	333.69	0.00	170.50	170.50		5/5/2015
JPMS MANAGED	.3743	CARDTRONICS INC		CATM	35	1,323.24	921.16	0.00	402.08	402.08		11/18/2014
JPMS MANAGED	...3743	CEB INC COM		CEB	10	865.86	450.57	0.00	415.29	415.29		6/22/2015
JPMS MANAGED	.3743	CEPHEID		CPHD	65	3,556.22	2,641.85	0.00	914.37	914.37	II	
JPMS MANAGED	...3743	CHEFS WAREHOUSE INC		CHEF	125	2,119.12	2,692.14	0.00	(573.02)	(573.02)	I	
JPMS MANAGED	...3743	CLECO CORP HLDGS NEW COM		CNL	45	2,435.58	1,791.54	0.00	644.04	644.04		7/13/2015
JPMS MANAGED	...3743	COSTAR GROUP INC		CSGP	5	936.68	346.95	0.00	589.73	589.73		2/20/2015
JPMS MANAGED	...3743	CUBIST PHARMACEUTICALS INC			60	6,070.32	2,519.21	0.00	3,551.11	3,551.11		1/20/2015
JPMS MANAGED	.3743	DEVRY EDUCATION GROUP INC		DV	95	3,001.03	3,434.25	(474.40)	41.18	(433.22)	VI	ST
JPMS MANAGED	...3743	DONALDSON CO INC		DCI	55	1,984.28	1,972.49	0.00	11.79	11.79		6/22/2015
JPMS MANAGED	...3743	F5 NETWORKS INC		FFV	25	3,143.35	2,531.86	0.00	611.49	611.49		5/29/2015
JPMS MANAGED	...3743	FMSA HOLDINGS INC COM			105	737.49	1,642.81	(905.32)	0.00	(905.32)		12/15/2014 ST
JPMS MANAGED	...3743	GARTNER INC		IT	10	756.97	427.92	0.00	329.05	329.05		10/8/2014
JPMS MANAGED	...3743	GROUP 1 AUTOMOTIVE INC		GPI	45	4,058.56	2,730.19	0.00	1,328.37	1,328.37		7/24/2015
JPMS MANAGED	...3743	HARMAN INTERNATIONAL INDUSTRIES INC		HAR	5	704.89	285.25	0.00	419.64	419.64		5/4/2015
JPMS MANAGED	.3743	JACOBS ENGINEERING GROUP INC		JEC	45	1,825.14	2,006.50	0.00	(181.36)	(181.36)		8/26/2015
JPMS MANAGED	3743	KIRBY CORP		KEX	5	378.58	329.69	0.00	48.89	48.89		6/22/2015
JPMS MANAGED	...3743	MEDNAX INC		MID	10	720.80	373.53	0.00	347.27	347.27		6/22/2015
JPMS MANAGED	...3743	MIDDLEBY CORP		MIDD	10	1,037.85	337.90	0.00	699.95	699.95		3/27/2015
JPMS MANAGED	...3743	NEWELL RUBBERMAID INC		NWL	50	1,983.97	892.83	0.00	1,091.14	1,091.14		4/20/2015
JPMS MANAGED	...3743	RESMED INC		RMD	45	2,108.61	1,400.72	0.00	707.89	707.89		10/22/2014
JPMS MANAGED	...3743	ROSETTA RESOURCES INC			60	1,039.89	3,010.31	(1,970.42)	0.00	(1,970.42)		2/2/2015 ST
JPMS MANAGED	.3743	SIGNATURE BANK		SBNY	20	2,930.72	1,262.20	0.00	1,668.52	1,668.52		8/4/2015
JPMS MANAGED	.3743	SS&C TECHNOLOGIES HOLDINGS INC		SSNC	30	1,836.78	1,281.33	286.16	269.29	555.45	IV	ST
JPMS MANAGED	...3743	STEPAN CO		SCL	35	1,472.05	1,702.02	0.00	(229.97)	(229.97)		9/11/2015
JPMS MANAGED	...3743	SVB FINANCIAL GROUP		SIVB	15	1,829.77	966.07	0.00	863.70	863.70	III	
JPMS MANAGED	...3743	TRACTOR SUPPLY CO		TSCO	40	3,406.71	1,824.74	0.00	1,581.97	1,581.97		3/31/2015

JP Morgan 036-03743  
 Gain/Loss Report  
 Tax Return Attachment

JPMS MANAGED ...3743 WATERS CORP WAT 30 3,929.74 2,773.27 0.00 1,156.47 1,156.47 V 11/6/2014  
 JPMS MANAGED ...3743 ZEBRA TECHNOLOGIES CORP-CL A ZBRA 15 1,106.86 623.40 0.00 483.46 483.46  
 Misc rounding 3.00 3.00  
61,107.62 45,842.51 (2,999.88) 18,264.99 15,265.11

ST 7,258.5 9,947.9 (2,999.9) 310.5 (2,689.4)  
 LT 53,849.12 35,894.60 0.00 17,954.52 17,954.52  
61,107.62 45,842.51 (2,999.88) 18,264.99 15,265.11

Items sold over multiple months:

	Shrs	Proceeds	Cost	Gain/Loss	
CHEFS WAREHOUSE INC	60	998.68	1,287.23	(288.55)	10/29/2014
CHEFS WAREHOUSE INC	65	1,120.44	1,404.91	(284.47)	11/4/2014
	<u>125</u>	<u>2,119.12</u>	<u>2,692.14</u>	<u>(573.02) I</u>	
CEPHEID	20	1,050.69	812.88	237.81	11/14/2014
CEPHEID	45	2,505.53	1,828.97	676.56	8/10/2015
	65	3,556.22	2,641.85	914.37 II	
SVB FINANCIAL GROUP	10	1,113.57	644.05	469.52	11/18/2014
SVB FINANCIAL GROUP	5	716.20	322.02	394.18	6/22/2015
	15	1,829.77	966.07	863.70 III	
SS&C TECHNOLOGIES HOLDINGS INC	15	926.83	640.67	286.16	3/17/2015
SS&C TECHNOLOGIES HOLDINGS INC	15	909.95	640.66	269.29	6/22/2015
	30	1,836.78	1,281.33	555.45 IV	
WATERS CORP	10	1,245.97	924.42	321.55	4/20/2015
WATERS CORP	20	2,683.77	1,848.85	834.92	6/22/2015
	30	3,929.74	2,773.27	1,156.47 V	
DEVRY EDUCATION GROUP INC	50	1,603.77	1,561.67	42.10	4/29/2015
	45	1,397.26	1,872.58	(475.32)	5/22/2015
	95	3,001.03	3,434.25	(433.22) VI	

The Peale Foundation, Inc.  
 Fidelity Investments - Account # 633-601853  
 09/30/15

Prepared by: DC  
 Date: 11/10/15

Gain/Loss Statement

Name of Securities	Date		Sales Price	Cost	Gain/(Loss)	
	Quantity	Acquired				
<b>Equities:</b>						
Exxon Mobil Corp	740.00		10/29/2014	69,403.84	23,857.64	45,546.20 LT
Carpenter Technology	500.00		1/13/2015	22,337.40	12,270.00	10,067.40 LT
Viacom Inc. New Cl B	500.00		2/17/2015	33,627.63	30,725.93	2,901.70 LT
Viacom Inc. New Cl B	1,000.00		7/28/2015	58,114.48	77,460.47	(19,345.99) LT
Chemours Co Com	500.00		8/18/2015	5,333.85	3,714.27	1,619.58 LT
Apache Corp Exec On Mult Exchg	600.00		9/3/2015	27,098.77	32,662.32	(5,563.55) LT
Apple Inc.	300.00		9/3/2015	33,915.42	10,629.68	23,285.74 LT
Berkshire Hathaway Inc. Del Cl B New	200.00		9/3/2015	26,859.55	1,325.20	25,534.35 LT
Canadian Natl RY Co Com	400.00		9/3/2015	22,145.64	10,173.00	11,972.64 LT
Church & Dwight Inc.	200.00		9/3/2015	17,234.73	4,277.08	12,957.65 LT
Oracle Corp Com ISIN	500.00		9/3/2015	18,611.70	6,415.00	12,196.70 LT
<b>Total Equities</b>				<b>334,683.01</b>	<b>213,510.59</b>	<b>121,172.42</b>
<b>Bonds</b>						
Goldman Sachs Group Notes 5.50% 11/15/14	100	11/15/2014	11/17/2014	100,000.00	99,425.00	575.00 LT
<b>In Lieu</b>						
Google Inc. Cl C			5/5/2015		(305.63)	305.63 LT
<b>Total per Summary</b>				<b>434,683.01</b>	<b>312,629.96</b>	<b>122,053.05</b>

Peale Foundation  
 JP MorganChase Account # 062-21218  
 9/30/2015

Prepared by: DC  
 Date: 11/16/15

Account Name	Account Number	Description	CUSIP	Ticker	Quantity	Market Cost/Proceeds USD	Cost Basis USD (1)	Short Term		Long Term		Total		Sale Date
								Realized Gain USD (2)	Loss USD (2)	Realized Gain USD (2)	Loss USD (2)	Realized Gain USD	Loss USD	
JPMS MANAGED	...1218	***AMBEV S A ADS		ABEV	4,061.00	22,837.15	24,696.64	(1,859.49)	0.00	0.00	(1,859.49)	0.00	0.00	3/23/2015 ST
JPMS MANAGED	...1218	***SHAW COMMUNICATIONS INC CL B NON-VTG			250	6,129.86	5,971.55	158.31	0.00	0.00	158.31	0.00	0.00	10/8/2014 ST
JPMS MANAGED	..1218	***TELLUS CORPORATION			600	21,648.36	20,118.00	1,530.36	0.00	0.00	1,530.36	0.00	0.00	12/26/2014 ST
JPMS MANAGED	...1218	CAL-MAINE FOODS INC NEW		CALM	100	3,723.62	3,718.86	4.76	0.00	0.00	4.76	0.00	0.00	3/23/2015 ST
JPMS MANAGED	...1218	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		CHI	1,500.00	19,676.93	16,938.58	0.00	0.00	2,738.35	2,738.35	0.00	0.00	5/27/2015 LT
JPMS MANAGED	...1218	CHESAPEAKE GRANITE WASH TRUST COM SH BEN INT		CHKR	298	1,724.40	3,177.75	(1,453.35)	0.00	0.00	(1,453.35)	0.00	0.00	Various ST
JPMS MANAGED	...1218	COMPASS MINERALS INTL INC		CMP	84	7,383.46	7,854.00	(470.54)	0.00	0.00	(470.54)	0.00	0.00	6/1/2015 ST
JPMS MANAGED	..1218	EXXON MOBIL CORP		XOM	154	13,862.48	14,614.60	(752.12)	0.00	0.00	(752.12)	0.00	0.00	1/12/2015 ST
JPMS MANAGED	..1218	GENERAL ELECTRIC COMPANY COM		GE	3,946.00	108,645.18	84,839.00	0.00	23,806.18	23,806.18	23,806.18	0.00	0.00	5/27/2015 LT
JPMS MANAGED	...1218	INTEL CORP		INTC	5,799.00	168,658.83	146,270.21	(3,138.71)	25,527.33	22,388.62	22,388.62	0.00	0.00	Various LT
JPMS MANAGED	...1218	MATTEL INC		MAT	623	18,018.38	24,345.71	(6,327.33)	0.00	0.00	(6,327.33)	0.00	0.00	10/23/2014 ST
JPMS MANAGED	...1218	MICROSOFT CORP		MSFT	614	28,550.40	29,727.08	(1,176.68)	0.00	0.00	(1,176.68)	0.00	0.00	Various ST
JPMS MANAGED	...1218	NOW INC		DNOW	1,000.00	15,160.97	31,486.70	0.00	(16,325.73)	(16,325.73)	(16,325.73)	0.00	0.00	8/31/2015 LT
JPMS MANAGED	..1218	PFIZER INC		PFE	700	24,053.30	12,727.40	0.00	11,325.90	11,325.90	11,325.90	0.00	0.00	3/19/2015 LT
JPMS MANAGED	...1218	PROCTER & GAMBLE CO		PG	1,804.00	147,341.10	91,167.12	0.00	56,173.98	56,173.98	56,173.98	0.00	0.00	12/29/2014 LT
JPMS MANAGED	...1218	SCOTT'S MIRACLE GRO CO		SMG	600	37,547.46	33,227.19	4,320.27	0.00	0.00	4,320.27	0.00	0.00	Various ST
JPMS MANAGED	...1218	SYMANTEC CORPORATION		SYMC	773	18,192.91	18,351.75	(158.84)	0.00	0.00	(158.84)	0.00	0.00	6/18/2015 ST
JPMS MANAGED	..1218	ZOETIS INC CL A		ZTS	1,000.00	42,949.05	31,100.00	0.00	11,849.05	11,849.05	11,849.05	0.00	0.00	11/17/2014 LT
		Merck & Co			411	24,532.33	24,157.73	374.60	0.00	0.00	374.60	0.00	0.00	10/2/2014 ST
		McDonalds			244	23,472.28	24,265.50	(793.22)	0.00	0.00	(793.22)	0.00	0.00	10/2/2014 ST
						754,108.45	648,755.37	(9,741.98)	115,095.06	115,095.06	105,353.08	0.00	0.00	
					ST	227,623.09	234,226.36	(6,603.27)	0.00	0.00	(6,603.27)	0.00	0.00	
					LT	526,485.36	414,529.01	(3,138.71)	115,095.06	115,095.06	111,956.35	0.00	0.00	
						754,108.45	648,755.37	(9,741.98)	115,095.06	115,095.06	105,353.08	0.00	0.00	

Components of those items spread over more than one month:

	Proceeds	Cost Basis	Short Term		Long Term		Total		Sale Date
			Realized	Gain Loss	Realized	Gain Loss	Realized	Gain Loss	
			USD (2)	USD (2)	USD (2)	USD (2)	USD	USD	
INTEL CORP	2899	84,069.45	71,589.50		12,479.95				8/6/2015
INTEL CORP	1318	38,445.35	34,726.54		3,718.81				7/23/2015
INTEL CORP	1582	46,144.03	39,954.17		6,189.86				7/23/2015
	5799	168,658.83	146,270.21	0.00	22,388.62				
MICROSOFT CORP	307	14,288.00	14,950.90		(662.90)				8/6/2015
MICROSOFT CORP	307	14,262.40	14,776.18		(513.78)				6/24/2015
	614	28,550.40	29,727.08		(1,176.68)				
PROCTER & GAMBLE CO	1500	121,887.75	77,828.14		44,059.61				7/14/2015
PROCTER & GAMBLE CO	170	14,233.78	7,459.30		6,774.48				10/8/2014
PROCTER & GAMBLE CO	134	11,219.57	5,879.68		5,339.89				10/8/2014
	1804	147,341.10	91,167.12		56,173.98				
Chesapeake Granite	7	37.51	74.90		(37.39)				12/29/2014
Chesapeake Granite	74	396.63	791.58		(394.95)				12/29/2014
Chesapeake Granite	193	1,034.45	2,054.47		(1,020.02)				12/29/2014
Chesapeake Granite	8	85.11	85.60		(0.49)				10/8/2014
Chesapeake Granite	16	170.70	171.20		(0.50)				10/8/2014
	298	1,724.40	3,177.75		(1,453.35)				