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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 10-01-2014 , and ending 09-30-2015

Name of foundation
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION
% ANDREW HEYER

A Employer identification number
13-4146979

Number and street (or P O box number if mail is not delivered to street address) Room/suite
55 CUSHMAN ROAD

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
SCARSDALE, NY 10583

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,180,645

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	265,392			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	4		4	
	4	Dividends and interest from securities	15,147	15,147	15,147	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	87,963			
	b	Gross sales price for all assets on line 6a 806,682				
	7	Capital gain net income (from Part IV, line 2)		87,963		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	368,506	103,110	15,151	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,100	2,100		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	15,816	15,816	15,816	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,916	17,916	15,816	0
	25	Contributions, gifts, grants paid	469,815			469,815
	26	**Total expenses and disbursements.** Add lines 24 and 25	487,731	17,916	15,816	469,815
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-119,225			
	b	**Net investment income** (if negative, enter -0-)		85,194		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	44,366	16,832	16,832
2	Savings and temporary cash investments		1,510	1,510
3	Accounts receivable ▶ ___ Less allowance for doubtful accounts ▶ ___			
4	Pledges receivable ▶ ___ Less allowance for doubtful accounts ▶ ___			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ ___ Less allowance for doubtful accounts ▶ ___			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	793,274	700,073	1,162,303
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ ___ Less accumulated depreciation (attach schedule) ▶ ___			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ ___ Less accumulated depreciation (attach schedule) ▶ ___			
15	Other assets (describe ▶ ___)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	837,640	718,415	1,180,645
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ ___)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	837,640	718,415	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	837,640	718,415	
31	**Total liabilities and net assets/fund balances** (see instructions)	837,640	718,415	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	837,640
2	Enter amount from Part I, line 27a	2	-119,225
3	Other increases not included in line 2 (itemize) ▶ ___	3	
4	Add lines 1, 2, and 3	4	718,415
5	Decreases not included in line 2 (itemize) ▶ ___	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	718,415

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	1000 AMERICAN EXPRESS	D	2014-11-06	2014-11-07
b	300 GOOGLE	D	2015-03-25	2015-03-30
c	NORTHERN TRUST #88285	D		
d	NORTHERN TRUST #88285	D		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	92,350		92,090	260
b	166,153		173,302	-7,149
c	147,795		154,389	-6,594
d	400,383		298,937	101,446
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				260
b				-7,149
c				-6,594
d				101,446
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	87,963
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	559,655	1,257,383	0 445095
2012	782,734	1,353,887	0 578138
2011	597,407	805,705	0 741471
2010	704,202	2,066,081	0 340839
2009	1,321,965	2,280,212	0 579755

2	**Total** of line 1, column (d)	2	2 685298
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 53706
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	1,127,651
5	Multiply line 4 by line 3	5	605,616
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	852
7	Add lines 5 and 6	7	606,468
8	Enter qualifying distributions from Part XII, line 4	8	469,815

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,704
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,704
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,704
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	2,564		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,564
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	7
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	853
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 853 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶ANDREW HEYER Telephone no ▶(212) 616-9650

Located at ▶55 CUSHMAN ROAD SCARSDALE NY ZIP +4 ▶10583

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☑			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ANDREW R HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	TRUSTEE 0	0		
MINDY B HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	TRUSTEE 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,113,468
b	Average of monthly cash balances	1b	31,355
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,144,823
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,144,823
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,172
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,127,651
6	**Minimum investment return.** Enter 5% of line 5	6	56,383

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	56,383
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,704		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,704
3	Distributable amount before adjustments Subtract line 2c from line 1			3	54,679
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	54,679
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	54,679

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	469,815
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	469,815
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	469,815

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				54,679
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009. 1,208,254				
b From 2010. 601,106				
c From 2011. 563,658				
d From 2012. 716,360				
e From 2013. 499,147				
f **Total** of lines 3a through e.	3,588,525			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 469,815				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				54,679
e Remaining amount distributed out of corpus	415,136			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,003,661			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	1,208,254			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	2,795,407			
10 Analysis of line 9				
a Excess from 2010. . . . 601,106				
b Excess from 2011. . . . 563,658				
c Excess from 2012. . . . 716,360				
d Excess from 2013. . . . 499,147				
e Excess from 2014. . . . 415,136				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				469,815
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments		4			
4 Dividends and interest from securities. . . .		15,147			
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory		87,963			
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a CONTRIBUTIONS					265,392
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		103,114			265,392

13 Total. Add line 12, columns (b), (d), and (e). **13** 368,506

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-08-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Scott Martin CPA		2016-08-15		P00164717

Firm's name ▶ Band Rosenbaum & Martin PC

Firm's EIN ▶

Firm's address ▶ 26 Burling Lane New Rochelle, NY 10801

Phone no (914) 636-7200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY INDEPENDENCE MALL EAST 55 N 5TH STREET PHILADELPHIA,PA 191062197	NONE		EDUCATION AND RESEARCH	30,000
UNIVERSITY OF PENNSYLVANIA FRANKLIN BUILDING 3451 WALNUT ST ROOM 433 PHILADELPHIA,PA 191046205	NONE		EDUCATION	377,715
NY PRESBYTERIAN FUND 525 EAST 68TH STREET NEW YORK,NY 100654870	NONE		EDUCATION AND RESEARCH	2,000
YONKERS PAL 127 N BROADWAY YONKERS,NY 10701	NONE		CHILDREN'S SPORTS	100
VAIL VALLEY FOUNDATION 90 BENCHMARK ROAD SUITE 300 AVON,CO 81620	NONE	NC	ARTS, EDUCATION	10,000
DUBIN BREAST CENTER ONE GUSTAVE L LEVY PLACE BOX 1049 NEW TORK,NY 100296574	NONE		MEDICAL RESEARCH	10,000
MOUNT SINAI HEALTH SYSTEM ONE GUSTAVE L LEVY PLACE BOX 1049 NEW YORK,NY 100296574	NONE		MEDICAL RESEARCH	25,000
ALZHEIMER'S ASSOCIATION 596 WARBURTON AVENUE HASTINGSONHUDSON,NY 10706	NONE		MEDICAL RESEARCH	15,000
Total . . . . ▶ **3a**				469,815

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

TY 2014 Investments Corporate Stock Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NORTHERN TRUST SECURITIES ACCT	700,073	1,162,303

TY 2014 Other Expenses Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NORTHERN TRUST ADVISORY FEES	15,316	15,316	15,316	
NYS FILING FEE	500	500	500	

TY 2014 Taxes Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	2,100	2,100		

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2014

Name of the organization	Employer identification number
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION	13-4146979

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

Employer identification number
13-4146979

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANDREW AND MINDY HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	$ 92,090	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	ANDREW AND MINDY HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	$ 173,302	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

Employer identification number
13-4146979

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1000 SHARES OF AMERICAN EXPRESS	$ 92,090	2014-11-06

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	300 SHARES OF GOOGLE	$ 173,302	2015-03-25

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

Employer identification number
13-4146979

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.**
▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0123

2014

Attachment Sequence No **12A**

Name(s) shown on return
THE ANDREW R HEYER AND MINDY B HEYER

Social security number or taxpayer identification number
13-4146979

Before you check Box A, B, or C below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.
Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☑ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
1000 AMERICA	11-06-2014	11-07-2014	92,350	(92,090)			260
300 GOOGLE	03-25-2015	03-30-2015	166,153	(173,302)			-7,149
NORTHERN TRU			147,795	(154,389)			-6,594
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			406,298	(419,781)			-13,483

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	Social security number or taxpayer identification number
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION	13-4146979

Before you check Box D, E, or F below, check whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which NO adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☑ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
NORTHERN TRU			400,383	(298,937)			101,446
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			400,383	(298,937)			101,446

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column* (g) in the separate instructions for how to figure the amount of the adjustment

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
Italy									
04 Jun 15 09 Jun 15	ADR LUXOTTICA GROUP S P A SPONSORED ADR CUSIP 55068R202	-25 00	1 702 15	-700 50	0 00	1 001 65	0 00	0 00	1 001 65
	30 Dec 11	-25 00	1 702 15	-700 50	0 00	1 001 65	0 00	0 00	1 001 65
Total Italy			**1,702.15**	**-700 50**	**0 00**	**1,001 65**	**0 00**	**0 00**	**1,001 65**
Netherlands									
04 Jun 15 09 Jun 15	ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT) CUSIP N07059210	-25 00	2 763 94	-2 086 48	677 46	0 00	0 00	677 46	0 00
	29 Jul 14	-25 00	2 763 94	-2 086 48	677 46	0 00	0 00	677 46	0 00
Total Netherlands			**2,763 94**	**-2,086 48**	**677 46**	**0.00**	**0 00**	**677 46**	**0 00**
Switzerland									
04 Jun 15 09 Jun 15	ADR NESTLE S A SPONSORED ADR REPSTG REG SH CUSIP 641069406	-25 00	1 893 21	-1 443 06	0 00	450 15	0 00	0 00	450 15
	30 Dec 11	-25 00	1 893 21	-1 443 06	0 00	450 15	0 00	0 00	450 15
Total Switzerland			**1,893 21**	**-1,443 06**	**0 00**	**450 15**	**0 00**	**0 00**	**450 15**
United States									
04 Jun 15 09 Jun 15	#REORG/ ACTAVIS PLC NAME CHANGE ALLERGAN PLC 281RAQ1 6/15/2015 CUSIP G0083B108	-25 00	7 543 11	7 562 88	-19 77	0 00	0 00	19 77	0 00
	26 Mar 15	-25 00	7 543 11	-7 562 88	-19 77	0 00	0 00	-19 77	0 00
04 Jun 15 09 Jun 15	#REORG/COMCAST CORP MANDATORY EXCHANGE COMCAST CORP 2194763 12-14-2015 CUSIP 20030N200	-75 00	4 391 16	-3 954 51	436 65	0 00	0 00	436 65	0 00
	02 Feb 15	-75 00	4 391 16	-3 954 51	436 65	0 00	0 00	436 65	0 00
04 Jun 15 09 Jun 15	#REORG/PRECISION CASTPARTS CORP COM CASH MERGER 01-29-2016 CUSIP 740189105	-25 00	5 300 15	-6 367 84	0 00	-1 067 69	0 00	0 00	-1 067 69

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	08 May 14	-25 00	5 300 15	-6 367 84	0 00	-1 067 69	0 00	0 00	-1 067 69
18 Aug 15 21 Aug 15	#REORG/PRECISION CASTPARTS CORP COM CASH MERGER 01-29-2016 CUSIP 740189105	125 00	28 853 99	24 329 00	0 00	4 524 99	0 00	0 00	4 524 99
	30 Dec 11	-45 00	10 387 43	-7 425 90	0 00	2 961 53	0 00	0 00	2 961 53
	27 Jul 12	-30 00	6 924 96	-4 738 14	0 00	2 186 82	0 00	0 00	2 186 82
	29 Apr 14	-25 00	5 770 80	-6 323 61	0 00	-552 81	0 00	0 00	-552 81
	29 Jul 14	-25 00	5 770 80	-5 841 35	0 00	-70 55	0 00	0 00	-70 55
04 Jun 15 09 Jun 15	#REORG/SANDISK CORP CASH AND STOCK MERGER WESTERN DIGITAL 2922315 05-13-16 CUSIP 80004C101	-50 00	3 350 68	-2 893 81	0 00	456 87	0 00	0 00	456 87
	08 Aug 13	-50 00	3 350 68	-2 893 81	0 00	456 87	0 00	0 00	456 87
26 Mar 15 31 Mar 15	#REORG/SANDISK CORP CASH AND STOCK MERGER WESTERN DIGITAL 2922315 05-13-16 CUSIP 80004C101	-50 00	3 393 76	-3 559 50	0 00	-165 74	0 00	0 00	-165 74
	23 Jan 14	-50 00	3 393 76	-3 559 50	0 00	-165 74	0 00	0 00	-165 74
07 Apr 15 10 Apr 15	#REORG/SANDISK CORP CASH AND STOCK MERGER WESTERN DIGITAL 2922315 05-13-16 CUSIP 80004C101	-25 00	1 712 88	-1 446 91	0 00	265 97	0 00	0 00	265 97
	08 Aug 13	-25 00	1 712 88	-1 446 91	0 00	265 97	0 00	0 00	265 97
30 Jul 15 04 Aug 15	#REORG/SIRONA DENTAL STOCK MERGER DENTSPLY SIRONA 231NA21 02-29-2016 CUSIP 82966C103	-25 00	2 569 91	-1 669 08	0 00	900 83	0 00	0 00	900 83
	19 Sep 13	-25 00	2 569 91	-1 669 08	0 00	900 83	0 00	0 00	900 83
09 Jan 15 14 Jan 15	#REORG/SIRONA DENTAL STOCK MERGER DENTSPLY SIRONA 231NA21 02-29-2016 CUSIP 82966C103	-25 00	2 276 22	-1 717 18	0 00	559 04	0 00	0 00	559 04
	02 Aug 13	-25 00	2 276 22	-1 717 18	0 00	559 04	0 00	0 00	559 04

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
04 Jun 15 09 Jun 15	#REORG/SIRONA DENTAL STOCK MERGER DENTSPLY SIRONA 231NA21 02-29-2016 CUSIP 82966C103	-25 00	2 453 70	-1 717 19	0 00	736 51	0 00	0 00	736 51
	02 Aug 13	-25 00	2 453 70	-1 717 19	0 00	736 51	0 00	0 00	736 51
12 Jun 15 17 Jun 15	#REORG/SIRONA DENTAL STOCK MERGER DENTSPLY SIRONA 231NA21 02-29-2016 CUSIP 82966C103	-100 00	10 029 82	-6 820 63	0 00	3 209 19	0 00	0 00	3 209 19
	02 Aug 13	-75 00	7 522 37	-5 151 55	0 00	2 370 82	0 00	0 00	2 370 82
	19 Sep 13	25 00	2 507 45	-1 669 08	0 00	838 37	0 00	0 00	838 37
04 Jun 15 09 Jun 15	AMERICAN EXPRESS CO CUSIP 025816109	-50 00	3 968 92	2 789 85	0 00	1 179 07	0 00	0 00	1 179 07
	08 Nov 12	-50 00	3 968 92	-2 789 85	0 00	1 179 07	0 00	0 00	1 179 07
28 Sep 15 01 Oct 15	AMERICAN EXPRESS CO CUSIP 025816109	-350 00	25 704 29	-18 881 18	-384 87	7 207 98	0 00	-384 87	7 207 98
	30 Dec 11	-275 00	20 196 23	-12 988 25	0 00	7 207 98	0 00	0 00	7 207 98
	17 Feb 15	-75 00	5 508 06	-5 892 93	-384 87	0 00	0 00	-384 87	0 00
12 Dec 14 17 Dec 14	ANTERO RES CORP COM CUSIP 03674X106	-100 00	4 006 85	-5 585 96	-983 88	-595 23	0 00	-983 88	-595 23
	10 Oct 13	-50 00	2 003 43	-2 598 66	0 00	-595 23	0 00	0 00	-595 23
	14 Apr 14	-50 00	2 003 42	-2 987 30	-983 88	0 00	0 00	-983 88	0 00
17 Nov 14 20 Nov 14	ANTERO RES CORP COM CUSIP 03674X106	-50 00	2 613 81	2 675 27	0 00	-61 46	0 00	0 00	-61 46
	23 Oct 13	-50 00	2 613 81	-2 675 27	0 00	-61 46	0 00	0 00	-61 46
21 Aug 15 26 Aug 15	ANTERO RES CORP COM CUSIP 03674X106	-225 00	5 543 37	-8 969 09	-3 425 72	0 00	0 00	-3 425 72	0 00
	05 Feb 15	-50 00	1 231 86	-1 992 97	-761 11	0 00	0 00	-761 11	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	06 Feb 15	-175 00	4 311 51	-6 976 12	-2 664 61	0 00	0 00	-2 664 61	0 00
24 Aug 15 27 Aug 15	ANTERO RES CORP COM CUSIP 03674X106	-75 00	1 771 11	-2 989 46	-1 218 35	0 00	0 00	-1 218 35	0 00
	05 Feb 15	-75 00	1 771 11	-2 989 46	-1 218 35	0 00	0 00	-1 218 35	0 00
11 Dec 14 16 Dec 14	ANTERO RES CORP COM CUSIP 03674X106	-300 00	11 865 69	-15 668 59	0 00	-3 802 90	0 00	0 00	-3 802 90
	10 Oct 13	-250 00	9 888 07	-12 993 33	0 00	-3 105 26	0 00	0 00	-3 105 26
	23 Oct 13	-50 00	1 977 62	-2 675 26	0 00	-697 64	0 00	0 00	-697 64
04 Jun 15 09 Jun 15	ANTERO RES CORP COM CUSIP 03674X106	-75 00	2 860 45	-2 989 76	-129 31	0 00	0 00	-129 31	0 00
	06 Feb 15	-75 00	2 860 45	-2 989 76	-129 31	0 00	0 00	-129 31	0 00
27 Aug 15 01 Sep 15	ANTERO RES CORP COM CUSIP 03674X106	-200 00	4 845 85	-7 616 68	-2 770 83	0 00	0 00	-2 770 83	0 00
	04 Feb 15	-175 00	4 240 12	-6 620 20	-2 380 08	0 00	0 00	-2 380 08	0 00
	05 Feb 15	-25 00	605 73	-996 48	-390 75	0 00	0 00	-390 75	0 00
23 Oct 14 28 Oct 14	APACHE CORP COM CUSIP 037411105	-175 00	13 254 17	-17 405 92	-4 151 75	0 00	0 00	-4 151 75	0 00
	10 Jul 14	-175 00	13 254 17	-17 405 92	-4 151 75	0 00	0 00	-4 151 75	0 00
23 Jul 15 28 Jul 15	APPLE INC COM STK CUSIP 037833100	-150 00	18 922 14	-11 673 64	0 00	7 248 50	0 00	0 00	7 248 50
	08 Nov 12	-150 00	18 922 14	-11 673 64	0 00	7 248 50	0 00	0 00	7 248 50
04 Jun 15 09 Jun 15	APPLE INC COM STK CUSIP 037833100	-75 00	9 690 21	-5 836 82	0 00	3 853 39	0 00	0 00	3 853 39
	08 Nov 12	-75 00	9 690 21	5 836 82	0 00	3 853 39	0 00	0 00	3 853 39
04 Jun 15 09 Jun 15	BRISTOL MYERS SQUIBB CO COM CUSIP 110122108	-50 00	3 299 44	-1 689 93	0 00	1 609 51	0 00	0 00	1 609 51

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	04 Oct 12	-50 00	3 299 44	-1 689 93	0 00	1 609 51	0 00	0 00	1 609 51
15 Jan 15 21 Jan 15	BRISTOL MYERS SQUIBB CO COM CUSIP 110122108	275 00	17 011 89	-9 347 95	0 00	7 663 94	0 00	0 00	7 663 94
	25 Sep 12	-150 00	9 279 21	-5 123 14	0 00	4 156 07	0 00	0 00	4 156 07
	04 Oct 12	-125 00	7 732 68	-4 224 81	0 00	3 507 87	0 00	0 00	3 507 87
10 Jun 15 15 Jun 15	CABOT OIL & GAS CORP COM CUSIP 127097103	-50 00	1 695 92	-1 727 71	0 00	-31 79	0 00	0 00	-31 79
	05 Mar 14	-50 00	1 695 92	-1 727 71	0 00	-31 79	0 00	0 00	-31 79
09 Jun 15 12 Jun 15	CABOT OIL & GAS CORP COM CUSIP 127097103	-50 00	1 704 81	-1 727 72	0 00	-22 91	0 00	0 00	-22 91
	05 Mar 14	-50 00	1 704 81	-1 727 72	0 00	-22 91	0 00	0 00	-22 91
04 Jun 15 09 Jun 15	CABOT OIL & GAS CORP COM CUSIP 127097103	-150 00	5 123 08	-5 183 15	0 00	-60 07	0 00	0 00	-60 07
	05 Mar 14	-150 00	5 123 08	-5 183 15	0 00	-60 07	0 00	0 00	-60 07
02 Mar 15 05 Mar 15	CABOT OIL & GAS CORP COM CUSIP 127097103	300 00	8 754 73	-4 711 47	0 00	4 043 26	0 00	0 00	4 043 26
	03 Apr 12	-300 00	8 754 73	-4 711 47	0 00	4 043 26	0 00	0 00	4 043 26
04 Jun 15 09 Jun 15	CDW CORP COM CUSIP 12514G108	-100 00	3 644 97	3 723 31	-78 34	0 00	0 00	-78 34	0 00
	07 May 15	-100 00	3 644 97	-3 723 31	-78 34	0 00	0 00	-78 34	0 00
04 Jun 15 09 Jun 15	CHURCH & DWIGHT INC COM CUSIP 171340102	-25 00	2 089 48	-1 274 43	0 00	815 05	0 00	0 00	815 05
	08 Nov 12	-25 00	2 089 48	-1 274 43	0 00	815 05	0 00	0 00	815 05
05 Aug 15 10 Aug 15	CHURCH & DWIGHT INC COM CUSIP 171340102	-75 00	6 710 75	-3 823 27	0 00	2 887 48	0 00	0 00	2 887 48
	08 Nov 12	-75 00	6 710 75	-3 823 27	0 00	2 887 48	0 00	0 00	2 887 48

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
04 Jun 15 09 Jun 15	CME GROUP INC COM STK CUSIP 12572Q105	-50 00	4 707 56	-3 745 30	0 00	962 26	0 00	0 00	962 26
	14 Jan 14	-50 00	4 707 56	-3 745 30	0 00	962 26	0 00	0 00	962 26
04 Jun 15 09 Jun 15	COOPER COS INC COM NEW CUSIP 216648402	-25 00	4 499 84	-2 978 55	0 00	1 521 29	0 00	0 00	1 521 29
	03 Feb 14	-25 00	4 499 84	-2 978 55	0 00	1 521 29	0 00	0 00	1 521 29
06 Mar 15 11 Mar 15	COOPER COS INC COM NEW CUSIP 216648402	75 00	13 445 02	-8 935 66	0 00	4 509 36	0 00	0 00	4 509 36
	03 Feb 14	-75 00	13 445 02	-8 935 66	0 00	4 509 36	0 00	0 00	4 509 36
09 Apr 15 14 Apr 15	COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105	-25 00	3 721 98	-2 849 16	872 82	0 00	0 00	872 82	0 00
	21 Apr 14	-25 00	3 721 98	-2 849 16	872 82	0 00	0 00	872 82	0 00
04 Jun 15 09 Jun 15	COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105	-25 00	3 523 46	-2 849 16	0 00	674 30	0 00	0 00	674 30
	21 Apr 14	-25 00	3 523 46	-2 849 16	0 00	674 30	0 00	0 00	674 30
04 Jun 15 09 Jun 15	DANAHER CORP COM CUSIP 235851102	-50 00	4 291 26	-2 994 89	0 00	1 296 37	0 00	0 00	1 296 37
	30 Dec 11	25 00	2 145 63	-1 178 00	0 00	967 63	0 00	0 00	967 63
	17 Apr 14	-25 00	2 145 63	-1 816 89	0 00	328 74	0 00	0 00	328 74
04 Jun 15 09 Jun 15	DOVER CORP COM CUSIP 260003108	-50 00	3 687 94	-3 609 18	0 00	78 76	0 00	0 00	78 76
	17 Oct 13	-50 00	3 687 94	-3 609 18	0 00	78 76	0 00	0 00	78 76
04 Jun 15 09 Jun 15	EOG RESOURCES INC COM CUSIP 26875P101	-25 00	2 199 20	-1 235 50	0 00	963 70	0 00	0 00	963 70
	30 Dec 11	-25 00	2 199 20	1 235 50	0 00	963 70	0 00	0 00	963 70

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
04 Feb 15 09 Feb 15	EQT CORP COM CUSIP 26884L109	-300 00	22 332 28	-23 638 09	-1 305 81	0 00	0 00	-1 305 81	0 00
	11 Dec 14	-150 00	11 166 14	-11 844 93	-678 79	0 00	0 00	-678 79	0 00
	12 Dec 14	-50 00	3 722 05	-3 975 38	-253 33	0 00	0 00	-253 33	0 00
	15 Dec 14	-100 00	7 444 09	-7 817 78	-373 69	0 00	0 00	373 69	0 00
04 Jun 15 09 Jun 15	ESTEE LAUDER COMPANIES INC CL A USD0 01 CUSIP 518439104	-25 00	2 175 22	-1 447 92	0 00	727 30	0 00	0 00	727 30
	08 Nov 12	-25 00	2 175 22	-1 447 92	0 00	727 30	0 00	0 00	727 30
09 Feb 15 12 Feb 15	ESTEE LAUDER COMPANIES INC CL A USD0 01 CUSIP 518439104	-125 00	9 913 02	-7 985 81	0 00	1 927 21	0 00	0 00	1 927 21
	08 Nov 12	-25 00	1 982 60	-1 447 93	0 00	534 67	0 00	0 00	534 67
	05 Feb 14	-100 00	7 930 42	-6 537 88	0 00	1 392 54	0 00	0 00	1 392 54
04 Jun 15 09 Jun 15	EXXON MOBIL CORP COM CUSIP 30231G102	-25 00	2 097 96	-776 75	0 00	1 321 21	0 00	0 00	1 321 21
	01 Jan 70	-25 00	2 097 96	-776 75	0 00	1 321 21	0 00	0 00	1 321 21
04 Jun 15 09 Jun 15	FEDEX CORP COM CUSIP 31428X106	-25 00	4 480 91	-4 344 58	136 33	0 00	0 00	136 33	0 00
	10 Apr 15	-25 00	4 480 91	-4 344 58	136 33	0 00	0 00	136 33	0 00
04 Jun 15 09 Jun 15	FISERV INC COM CUSIP 337738108	-50 00	4 017 08	-1 472 00	0 00	2 545 08	0 00	0 00	2 545 08
	30 Dec 11	-50 00	4 017 08	-1 472 00	0 00	2 545 08	0 00	0 00	2 545 08
24 Nov 14 28 Nov 14	FMC TECHNOLOGIES INC COM CUSIP 30249U101	-150 00	8 354 89	-8 082 20	0 00	272 69	0 00	0 00	272 69
	08 Aug 13	-150 00	8 354 89	-8 082 20	0 00	272 69	0 00	0 00	272 69
04 Jun 15 09 Jun 15	FMC TECHNOLOGIES INC COM CUSIP 30249U101	-50 00	2 119 77	-2 694 06	0 00	-574 29	0 00	0 00	-574 29

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	08 Aug 13	-50 00	2 119 77	-2 694 06	0 00	-574 29	0 00	0 00	-574 29
22 May 15 28 May 15	INTUIT COM CUSIP 461202103	-100 00	10 738 64	-6 868 88	0 00	3 869 76	0 00	0 00	3 869 76
	28 May 13	-25 00	2 684 66	-1 472 07	0 00	1 212 59	0 00	0 00	1 212 59
	22 Nov 13	-75 00	8 053 98	-5 396 81	0 00	2 657 17	0 00	0 00	2 657 17
04 Jun 15 09 Jun 15	INTUIT COM CUSIP 461202103	-25 00	2 630 50	-1 472 07	0 00	1 158 43	0 00	0 00	1 158 43
	28 May 13	-25 00	2 630 50	-1 472 07	0 00	1 158 43	0 00	0 00	1 158 43
04 Jun 15 09 Jun 15	IPG PHOTONICS CORP COM CUSIP 44980X109	-50 00	4 885 92	-3 939 80	946 12	0 00	0 00	946 12	0 00
	03 Oct 14	-25 00	2 442 96	-1 733 77	709 19	0 00	0 00	709 19	0 00
	30 Apr 15	-25 00	2 442 96	-2 206 03	236 93	0 00	0 00	236 93	0 00
04 Jun 15 09 Jun 15	JOHNSON & JOHNSON COM USD1 CUSIP 478160104	-25 00	2 482 35	-2 299 80	0 00	182 55	0 00	0 00	182 55
	28 Feb 14	25 00	2 482 35	-2 299 80	0 00	182 55	0 00	0 00	182 55
04 Jun 15 09 Jun 15	JPMORGAN CHASE & CO COM CUSIP 46625H100	-75 00	4 961 16	-3 995 82	0 00	965 34	0 00	0 00	965 34
	15 Aug 13	-75 00	4 961 16	3 995 82	0 00	965 34	0 00	0 00	965 34
04 Jun 15 09 Jun 15	LENNAR CORP CL A CL A CUSIP 526057104	-50 00	2 311 96	-1 917 93	0 00	394 03	0 00	0 00	394 03
	08 Nov 12	50 00	2 311 96	-1 917 93	0 00	394 03	0 00	0 00	394 03
25 Feb 15 02 Mar 15	M & T BK CORP COM CUSIP 55261F104	-100 00	12 126 79	-11 237 65	0 00	889 14	0 00	0 00	889 14
	16 Sep 13	-100 00	12 126 79	-11 237 65	0 00	889 14	0 00	0 00	889 14
17 Feb 15 20 Feb 15	M & T BK CORP COM CUSIP 55261F104	-50 00	6 202 86	-5 618 83	0 00	584 03	0 00	0 00	584 03

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	16 Sep 13	-50 00	6 202 86	-5 618 83	0 00	584 03	0 00	0 00	584 03
18 Feb 15 23 Feb 15	M & T BK CORP COM CUSIP 55261F104	-50 00	6 112 43	5 618 82	0 00	493 61	0 00	0 00	493 61
	16 Sep 13	-50 00	6 112 43	-5 618 82	0 00	493 61	0 00	0 00	493 61
04 Jun 15 09 Jun 15	MOODYS CORP COM CUSIP 615369105	-50 00	5 392 77	-1 687 45	0 00	3 705 32	0 00	0 00	3 705 32
	30 Dec 11	-50 00	5 392 77	-1 687 45	0 00	3 705 32	0 00	0 00	3 705 32
28 Sep 15 01 Oct 15	MOODYS CORP COM CUSIP 615369105	-125 00	12 196 07	-4 218 61	0 00	7 977 46	0 00	0 00	7 977 46
	30 Dec 11	-125 00	12 196 07	-4 218 61	0 00	7 977 46	0 00	0 00	7 977 46
09 Apr 15 14 Apr 15	OMNICOM GROUP INC COM CUSIP 681919106	-225 00	17 592 62	-15 446 61	2 146 01	0 00	0 00	2 146 01	0 00
	23 Oct 14	-225 00	17 592 62	-15 446 61	2 146 01	0 00	0 00	2 146 01	0 00
04 Jun 15 09 Jun 15	PITNEY BOWES INC COM CUSIP 724479100	-125 00	2 721 20	3 186 48	0 00	-465 28	0 00	0 00	-465 28
	24 Mar 14	-100 00	2 176 96	-2 542 91	0 00	-365 95	0 00	0 00	-365 95
	25 Mar 14	-25 00	544 24	-643 57	0 00	99 33	0 00	0 00	-99 33
15 Dec 14 18 Dec 14	RANGE RES CORP COM CUSIP 75281A109	-300 00	16 803 82	-21 627 45	0 00	-4 823 63	0 00	0 00	-4 823 63
	19 Feb 13	-300 00	16 803 82	21 627 45	0 00	-4 823 63	0 00	0 00	-4 823 63
17 Apr 15 22 Apr 15	RANGE RES CORP COM CUSIP 75281A109	-150 00	9 089 52	-7 574 52	1 515 00	0 00	0 00	1 515 00	0 00
	03 Mar 15	-150 00	9 089 52	-7 574 52	1 515 00	0 00	0 00	1 515 00	0 00
04 Jun 15 09 Jun 15	RANGE RES CORP COM CUSIP 75281A109	-50 00	2 767 45	-2 456 09	311 36	0 00	0 00	311 36	0 00
	02 Mar 15	-50 00	2 767 45	-2 456 09	311 36	0 00	0 00	311 36	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
04 Jun 15 09 Jun 15	ROPER TECHNOLOGIES INC CUSIP 776696106	-25 00	4 353 66	-3 164 91	0 00	1 188 75	0 00	0 00	1 188 75
	14 Nov 13	-25 00	4 353 66	-3 164 91	0 00	1 188 75	0 00	0 00	1 188 75
09 Jan 15 14 Jan 15	RPM INTL INC CUSIP 749685103	-150 00	6 903 73	-6 722 49	181 24	0 00	0 00	181 24	0 00
	16 Jun 14	-150 00	6 903 73	-6 722 49	181 24	0 00	0 00	181 24	0 00
14 Jan 15 20 Jan 15	RPM INTL INC CUSIP 749685103	-50 00	2 286 27	-2 240 83	45 44	0 00	0 00	45 44	0 00
	16 Jun 14	-50 00	2 286 27	-2 240 83	45 44	0 00	0 00	45 44	0 00
20 Jan 15 23 Jan 15	RPM INTL INC CUSIP 749685103	-50 00	2 298 80	-2 215 11	83 69	0 00	0 00	83 69	0 00
	04 Aug 14	-50 00	2 298 80	-2 215 11	83 69	0 00	0 00	83 69	0 00
08 Jan 15 13 Jan 15	RPM INTL INC CUSIP 749685103	-175 00	8 191 26	-7 848 23	343 03	0 00	0 00	343 03	0 00
	16 Jun 14	-50 00	2 340 36	-2 240 83	99 53	0 00	0 00	99 53	0 00
	10 Jul 14	-50 00	2 340 36	-2 243 81	96 55	0 00	0 00	96 55	0 00
	11 Jul 14	-75 00	3 510 54	-3 363 59	146 95	0 00	0 00	146 95	0 00
15 Jan 15 21 Jan 15	RPM INTL INC CUSIP 749685103	-50 00	2 282 20	-2 240 83	41 37	0 00	0 00	41 37	0 00
	16 Jun 14	-50 00	2 282 20	-2 240 83	41 37	0 00	0 00	41 37	0 00
16 Jan 15 22 Jan 15	RPM INTL INC CUSIP 749685103	-150 00	6 832 94	-6 694 54	138 40	0 00	0 00	138 40	0 00
	16 Jun 14	75 00	3 416 47	-3 361 25	55 22	0 00	0 00	55 22	0 00
	05 Aug 14	-75 00	3 416 47	-3 333 29	83 18	0 00	0 00	83 18	0 00
04 Jun 15 09 Jun 15	SCHLUMBERGER LTD COM COM CUSIP 806857108	-25 00	2 256 70	-1 710 50	0 00	546 20	0 00	0 00	546 20

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	30 Dec 11	-25 00	2 256 70	-1 710 50	0 00	546 20	0 00	0 00	546 20
04 Jun 15 09 Jun 15	TOLL BROS INC COM CUSIP 889478103	125 00	4 527 41	4 214 16	0 00	313 25	0 00	0 00	313 25
	04 Jan 13	-125 00	4 527 41	-4 214 16	0 00	313 25	0 00	0 00	313 25
29 Sep 15 02 Oct 15	TYCO INTERNATIONAL PLC EUR1 00 CUSIP G91442106	-650 00	21 743 46	-17 121 68	0 00	4 621 78	0 00	0 00	4 621 78
	30 Dec 11	-250 00	8 362 87	-5 809 86	0 00	2 553 01	0 00	0 00	2 553 01
	02 Oct 12	-175 00	5 854 01	-5 064 24	0 00	789 77	0 00	0 00	789 77
	08 Nov 12	-225 00	7 526 58	-6 247 58	0 00	1 279 00	0 00	0 00	1 279 00
04 Jun 15 09 Jun 15	TYCO INTERNATIONAL PLC EUR1 00 CUSIP G91442106	-100 00	4 017 53	-2 893 85	0 00	1 123 68	0 00	0 00	1 123 68
	02 Oct 12	-100 00	4 017 53	-2 893 85	0 00	1 123 68	0 00	0 00	1 123 68
16 Jul 15 21 Jul 15	WALT DISNEY CO CUSIP 254687106	-100 00	11 877 47	-3 999 93	0 00	7 877 54	0 00	0 00	7 877 54
	30 Dec 11	-75 00	8 908 10	-2 816 25	0 00	6 091 85	0 00	0 00	6 091 85
	09 Nov 12	-25 00	2 969 37	-1 183 68	0 00	1 785 69	0 00	0 00	1 785 69
04 Jun 15 09 Jun 15	WALT DISNEY CO CUSIP 254687106	-50 00	5 509 34	2 367 35	0 00	3 141 99	0 00	0 00	3 141 99
	09 Nov 12	-50 00	5 509 34	-2 367 35	0 00	3 141 99	0 00	0 00	3 141 99
13 Jul 15 16 Jul 15	WHITEWAVE FOODS CO COM USD0 01 CL A CUSIP 966244105	25 00	1 258 35	568 41	0 00	689 94	0 00	0 00	689 94
	05 Feb 14	-25 00	1 258 35	-568 41	0 00	689 94	0 00	0 00	689 94
06 Aug 15 11 Aug 15	WHITEWAVE FOODS CO COM USD0 01 CL A CUSIP 966244105	-25 00	1 271 14	-568 41	0 00	702 73	0 00	0 00	702 73
	05 Feb 14	-25 00	1 271 14	-568 41	0 00	702 73	0 00	0 00	702 73

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
Sales									
Equities									
Common stock									
United States									
04 Jun 15 09 Jun 15	WHITEWAVE FOODS CO COM USD0 01 CL'A CUSIP 966244105	-75 00	3 512 34	-1 705 22	0 00	1 807 12	0 00	0 00	1 807 12
	05 Feb 14	-75 00	3 512 34	-1 705 22	0 00	1 807 12	0 00	0 00	1 807 12
30 Mar 15 02 Apr 15	WHITEWAVE FOODS CO COM USD0 01 CL A CUSIP 966244105	-250 00	11 155 67	-5 684 07	0 00	5 471 60	0 00	0 00	5 471 60
	05 Feb 14	250 00	11 155 67	-5 684 07	0 00	5 471 60	0 00	0 00	5 471 60
Total United States			**541,819.03**	**-449,095 83**	**-7,271 17**	**99,994 37**	**0 00**	**-7,271 17**	**99,994.37**
Total S/T translation gain/loss for equities							**0 00**		
Total L/T translation gain/loss for equities							**0 00**		
Total realized gains for equities					**7,874.92**	**113,740 52**	**0 00**	**7,874 92**	**113,740 52**
Total realized losses for equities					**-14,468.63**	**-12,294 35**	**0 00**	**-14,468 63**	**-12,294.35**
Total Equities			**548,178.33**	**-453,325 87**	**-6,593 71**	**101,446 17**	**0 00**	**-6,593 71**	**101,446.17**
Total Sales			**548,178 33**	**-453,325 87**	**-6,593.71**	**101,446 17**	**0 00**	**-6,593 71**	**101,446 17**

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Total Short Term Gain Proceeds			78,488 70						
Total Short Term Loss Proceeds			69,306 79						
Total Long Term Gain Proceeds			333,495.80						
Total Long Term Loss Proceeds			66,887.04						
Total Undetermined Tax Lot Proceeds			0.00						
Additional Short Term Proceeds from Zero G/L Transactions			0.00						
Additional Long Term Proceeds from Zero G/L Transactions			0 00						
Short Term Proceeds due to REIT Re-Allocation			0.00						
Long Term Proceeds due to REIT Re-Allocation			0.00						
Total Short Term Proceeds			147,795.49						
Total Long Term Proceeds			400,382.84						
Total S/T translation gain/loss							0.00		
Total L/T translation gain/loss							0.00		
Total realized gains					7,874 92	113,740.52	0.00	7,874.92	113,740.52
Total realized losses					-14,468.63	-12,294.35	0.00	-14,468.63	-12,294.35
Total realized gain/loss			548,178.33	-453,325.87	-6,593.71	101,446.17	0.00	-6,593.71	101,446.17

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