Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning SEP 1, 2014 , and ending AUG 31, 2015

Name of foundation
THE STOLZER FAMILY FOUNDATION, INC.
P SIANA C/O PORZIO, BROMBERG & NEWMAN

A Employer identification number
31-1479510

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
PO BOX 1997, 100 SOUTHGATE PKWY

B Telephone number
973-538-4006

City or town, state or province, country, and ZIP or foreign postal code
MORRISTOWN, NJ 07962-1997

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 2,354,430.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

SCANNED JAN 19 2016

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	97,347.	97,347.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	105,657.			STATEMENT 1
	b Gross sales price for all assets on line 6a 882,582.				
	7 Capital gain net income (from Part IV, line 2)		111,173.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	203,004.	208,520.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	19,260.	9,630.		9,630.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	<12,846.>	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	16,524.	16,389.		28.
	24 Total operating and administrative expenses Add lines 13 through 23	22,938.	26,019.		9,658.
	25 Contributions, gifts, grants paid	101,700.			101,700.
	26 Total expenses and disbursements Add lines 24 and 25	124,638.	26,019.		111,358.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	78,366.			
	b Net investment income (if negative, enter -0-)		182,501.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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423501 11-24-14 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2014)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1.		
	2 Savings and temporary cash investments	112,292.	118,209.	118,209.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,458.	330.	330.
	10a Investments - U.S and state government obligations			
	b Investments - corporate stock STMT 6	987,005.	1,107,487.	1,140,843.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	1,216,067.	1,186,444.	1,095,048.
	14 Land, buildings, and equipment. basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	2,320,823.	2,412,470.	2,354,430.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	2,037,349.	2,320,823.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	283,474.	91,647.	
	30 **Total net assets or fund balances**	2,320,823.	2,412,470.	
	31 **Total liabilities and net assets/fund balances**	2,320,823.	2,412,470.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,320,823.
2	Enter amount from Part I, line 27a	2	78,366.
3	Other increases not included in line 2 (itemize) ▶ AMORTIZATION BASIS ADJUSTMENT	3	13,281.
4	Add lines 1, 2, and 3	4	2,412,470.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,412,470.

Part IV | Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr.)
1a	SEE ATTACHED	P		
b	SEE ATTACHED	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	234,813.		232,794.	2,019.
b	647,769.		538,615.	109,154.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				2,019.
b				109,154.
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	111,173.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2013	113,523.	2,357,742.	.048149
2012	79,663.	2,024,761.	.039344
2011	76,785.	1,797,876.	.042709
2010	63,164.	1,676,844.	.037668
2009	78,850.	1,341,827.	.058763

2 Total of line 1, column (d)	2	.226633
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045327
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	2,527,185.
5 Multiply line 4 by line 3	5	114,550.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,825.
7 Add lines 5 and 6	7	116,375.
8 Enter qualifying distributions from Part XII, line 4	8	111,358.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		1	3,650.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,650.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	3,650.
6 Credits/Payments.			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 2,648.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	2,648.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	1,002.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PORZIO, BROMBERG & NEWMAN, P.C. Telephone no ▶973-538-4006

Located at ▶100 SOUTHGATE PKWAY., PO BOX 1997, MORRISTOWN, NJ ZIP+4 ▶07962-1997

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
IRA G. STOLZER 13929 CANTERBURY CIRCLE LEAWOOD, KS 66224	PRESIDENT/TRUSTEE 0.00	0.	0.	0.
LESLIE J. LOGEL 53 EASTWOOD BLVD. MANALAPAN, NJ 07726	VICE PRESIDENT/TRUSTEE 0.00	0.	0.	0.
RONNIE H. FORREST 4335 E. DYNAMITE BLVD. CAVE CREEK, AZ 85331	SECTY/TREASURER/TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
N/A		0.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	0.
2 N/A	0.
3 N/A	0.
4 N/A	0.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2 N/A	0.
All other program-related investments. See instructions.	
3 N/A	0.
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
a	Average monthly fair market value of securities		1a	2,441,035.
b	Average of monthly cash balances		1b	124,635.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,565,670.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,565,670.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	38,485.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,527,185.
6	**Minimum investment return** Enter 5% of line 5		6	126,359.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	126,359.
2a	Tax on investment income for 2014 from Part VI, line 5	2a 3,650.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	3,650.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	122,709.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	122,709.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	122,709.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	111,358.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	111,358.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	111,358.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				122,709.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			72,879.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 111,358.				
a Applied to 2013, but not more than line 2a			72,879.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				38,479.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				84,230.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	**(b)** 2013	**(c)** 2012	**(d)** 2011	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

IRA G. STOLZER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

NONE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE	NONE	PC	GENERAL SUPPORT	101,700.
Total			▶ 3a	101,700.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	97,347.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	105,657.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		203,004.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 203,004.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes [X] No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 1/5/2016 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? [X] Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PHILIP J. SIANA	PHILIP J. SIANA	12/31/15		P01380624

Firm's name ▶ PORZIO, BROMBERG & NEWMAN, P.C. Firm's EIN ▶ 22-2005150

Firm's address ▶ 100 SOUTHGATE PKWAY, PO BOX 1997
MORRISTOWN, NJ 07962-1997 Phone no 973-538-4006

The Stolzer Family Foundation, Inc
Charitable Distributions
FYE 8/31/15

Spectrum for Living	10,000 00
Spectrum for Living Endowment	5,000.00
PrimeLife Enrichment, Inc	1,000 00
Fund for Johns Hopkins Medicine	2,500.00
Eastern Division American Cancer Society	3,000 00
Operation Smile	5,000 00
Akindale thoroughbred Rescue	2,500.00
St. Jude Childrens Research Hospital	2,500 00
Jewish Federation of South Palm Beach County	5,000 00
PKD Foundation	2,000 00
Center for Jewish Studies - Rutgers University	1,000 00
The Metropolitan Museum of Art	1,000.00
Pennsylvania St Univ	8,000.00
Jewish Federation of Kansas City	12,700 00
The Temple, Congregation B'Nai Jehudah	9,000 00
Jewish Community of Greater Kansas City	2,500.00
Faith Always Wins Foundation	5,000.00
State Theater	12,000 00
The Smile Train	1,500.00
Animal Rescue Corps	1,500 00
Children's Hospital of Philadelphia	1,500.00
Susan G. Komen Foundation	1,500 00
New England Wildlife Center	750 00
Animal RescueLeague of Boston	750 00
Stepping Stone Museum for Children	1,500 00
KU Medical Heart Center	750 00
Chrysalis Shelter for Victims of Domestic Violenc	750 00
No Kid Hungry	1,500 00
Total Charitable Distributions	$101,700.00

1171576

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE ATTACHED	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
234,813.	232,794.	0.	0.	2,019.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE ATTACHED	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
647,769.	544,131.	0.	0.	103,638.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	105,657.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB ACCRUED INTEREST PAID	<8,758.>	0.	<8,758.>	<8,758.>	
SCHWAB- DIVIDENDS	43,337.	0.	43,337.	43,337.	
SCHWAB- INTEREST	62,768.	0.	62,768.	62,768.	
TO PART I, LINE 4	97,347.	0.	97,347.	97,347.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PORZIO, BROMBERG & NEWMAN, P.C.	19,260.	9,630.		9,630.
TO FORM 990-PF, PG 1, LN 16B	19,260.	9,630.		9,630.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UNITED STATES TREASURY-REFUND	<13,226.>	0.		0.
FOREIGN TAX W/H	380.	0.		0.
TO FORM 990-PF, PG 1, LN 18	<12,846.>	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES, BANK S/C	107.	0.		0.
NJ DIVISION OF CONSUMER AFFAIRS	30.	15.		15.
INVESTMENT MANAGEMENT FEES	16,362.	16,362.		0.
NJ ANNUAL REPORT	25.	12.		13.
TO FORM 990-PF, PG 1, LN 23	16,524.	16,389.		28.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	1,107,487.	1,140,843.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,107,487.	1,140,843.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	COST	790,642.	757,608.
SEE ATTACHED SCHEDULE	COST	401,580.	339,829.
SEE ATTACHED SCHEDULE	COST	<5,778.>	<2,389.>
TOTAL TO FORM 990-PF, PART II, LINE 13		1,186,444.	1,095,048.

charles SCHWAB

4378-6750 THE STOLZER FAMILY FOUNDATION

Charity Nonprof 13929 CANTERBURY CIRCLE

LEAWOOD KS

Total Known Realized Gain/(Loss) $/%
$105,656.82/13.50%

Total Known Short-Term Gain/(Loss)
$2,019.24

Total Known Long-Term Gain/(Loss)
$103,637.58

Total Known Proceeds
$882,581.03

Total Known Cost Basis
$776,924.21

Cost Basis - Realized Gain/(Loss) - Filtered by: From 09/01/2014 Through 08/31/2015 **Results: 126**

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
AGCO	A G C O CORP	08/11/2015	06/28/2013	200.00000	$10,543 55	$10,083.60		$459.95	$459 95	4.56%	
⊟ AGCO	A G C O CORP	10/07/2014	Hide Lots	170 00000	$7,243.19	$8,989.14	($220 27)	($1,525 68)	($1,745 95)	(19 42%)	
		10/07/2014	02/07/2013	100.00000	$4,260 70	$5,362 29		($1,101 59)	($1,101.59)	(20 54%)	
		10/07/2014	02/21/2013	35.00000	$1,491 25	$1,790 36		($299 11)	($299 11)	(16 71%)	
		10/07/2014	06/28/2013	16 00000	$681 71	$806.69		($124 98)	($124 98)	(15 49%)	
		10/07/2014	03/06/2014	19.00000	$809.53	$1,029.80	($220 27)		($220 27)	(21.39%)	
AGCO	A G C O CORP	06/19/2015	06/28/2013	67 00000	$3,541 99	$3,378.01		$163.98	$163 98	4 85%	
ANTM	ANTHEM INC	06/22/2015	03/05/2012	28.00000	$4,812 74	$1,827.52		$2,985 22	$2,985 22	163.35%	
⊟ ANTM	ANTHEM INC	01/16/2015	Hide Lots	100 00000	$11,720.78	$8,084.15		$3,636 63	$3,636.63	44 98%	
		01/16/2015	03/05/2012	19 00000	$2,226.95	$1,240 10		$986 85	$986.85	79 58%	
		01/16/2015	07/26/2013	59.00000	$6,915.26	$5,008 24		$1,907.02	$1,907.02	38.08%	
		01/16/2015	09/23/2013	22 00000	$2,578.57	$1,835 81		$742 76	$742 76	40 46%	
⊟ AAPL	APPLE INC	01/16/2015	Hide Lots	100.00000	$10,440 81	$7,431.81		$3,009 00	$3,009 00	40 49%	
		01/16/2015	08/08/2011	16.00000	$1,670 54	$826.73		$843.81	$843 81	102.07%	
		01/16/2015	10/25/2012	35.00000	$3,654.28	$3,038 02		$616 26	$616.26	20.28%	
		01/16/2015	01/08/2013	28 00000	$2,923 42	$2,112.04		$811.38	$811 38	38.42%	
		01/16/2015	02/11/2013	21.00000	$2,192.57	$1,455 02		$737 55	$737.55	50.69%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
BK	BANK OF NY MELLON CO	05/29/2015	04/28/2014	127.00000	$5,538.74	$4,230 81		$1,307 93	$1,307 93	30 91%	
093662AE4	BLOCK FINANCIAL L 5 5%22 DUE 11/01/22	08/24/2015	07/10/2013	20,000.00000	$21,764 00	$20,116 11		$1,647.89	$1,647 89	8.19%	
⊟ BMY	BRISTOL-MYERS SQUIBB	05/29/2015	Hide Lots	165 00000	$10,816 70	$7,229.78		$3,586 92	$3,586.92	49.61%	
		05/29/2015	08/03/2012	6 00000	$393 33	$196 37		$196 96	$196.96	100.30%	
		05/29/2015	07/19/2013	159.00000	$10,423.37	$7,033 41		$3,389.96	$3,389.96	48.20%	
BMY	BRISTOL-MYERS SQUIBB	07/23/2015	08/03/2012	73 00000	$4,983.33	$2,389 13		$2,594 20	$2,594 20	108 58%	
⊟ BG	BUNGE LIMITED F	02/12/2015	Hide Lots	104 00000	$8,858.27	$6,635.38		$2,222 89	$2,222.89	33 50%	
		02/12/2015	12/21/2010	40.00000	$3,407.03	$2,497.91		$909.12	$909 12	36.40%	
		02/12/2015	04/27/2012	64.00000	$5,451.24	$4,137 47		$1,313 77	$1,313 77	31 75%	
AGCO 11/22/2014 45 00 C	CALL A G C O CORP$45 EXP 11/22/14	11/21/2014	10/15/2014	(2 00000)	$286 59	$0 00	$286 59		$286.59	100 00%	
AGCO 05/15/2015 50.00 C	CALL A G C O CORP$50 EXP 05/15/15	05/18/2015	05/18/2015	(2.00000)	$419.00	$173 99	$245.01		$245.01	58 47%	
ACM 06/19/2015 35 00 C	CALL AECOM TECHNOLOGY CO$35 EXP 06/19/15	06/19/2015	04/15/2015	(7 00000)	$1,178.90	$0 00	$1,178.90		$1,178 90	100.00%	
AU 01/17/2015 19 00 C	CALL ANGLOGOLD ASHANTI F$19 EXP 01/17/15	11/17/2014	11/17/2014	(1 00000)	$67.05	$5.01	$62 04		$62 04	92 53%	
ADM 06/19/2015 50.00 C	CALL ARCHER DANIELS MIDL$50 EXP 06/19/15	06/22/2015	06/22/2015	(3.00000)	$498 99	$472 00	$26.99		$26 99	5 41%	
ADM 01/09/2015 52 50 C	CALL ARCHER DANIELS MIDL$52.50 EXP 01/09/15	01/09/2015	12/01/2014	(3 00000)	$344.94	$0 00	$344.94		$344.94	100 00%	
GLW 08/21/2015 23 00 C	CALL CORNING INC $23 EXP 08/21/15	08/21/2015	01/16/2015	(7 00000)	$1,339.34	$0 00	$1,339.34		$1,339 34	100.00%	
GLW 01/17/2015 21 00 C	CALL CORNING INC$21 EXP 01/17/15	01/20/2015	01/20/2015	(7 00000)	$300 87	$1,585 51	($1,284 64)		($1,284 64)	(426 98%)	
DHI 05/15/2015 30 00 C	CALL D R HORTON CO$30 EXP 05/15/15	05/15/2015	04/17/2015	(6.00000)	$357.50	$0.00	$357.50		$357.50	100 00%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
FLIR 10/18/2014 34 00 C	CALL FLIR SYSTEMS INC$34 EXP 10/18/14	10/17/2014	08/12/2014	(5 00000)	$474 90	$0 00	$474.90		$474 90	100.00%	
GCI 01/17/2015 32.00 C	CALL GANNETT CO INC$32 EXP 01/17/15	01/16/2015	09/24/2014	(4 00000)	$399 93	$0 00	$399 93		$399 93	100.00%	
GCI 05/15/2015 37 00 C	CALL GANNETT CO INC$37 EXP 05/15/15	05/15/2015	04/07/2015	(3 00000)	$248 00	$0.00	$248.00		$248 00	100.00%	
INTC 07/17/2015 36.00 C	CALL INTEL CORP $36 EXP 07/17/15	07/17/2015	05/29/2015	(3.00000)	$149.87	$0 00	$149 87		$149 87	100.00%	
INTC 10/10/2014 35.00 C	CALL INTEL CORP$35 EXP 10/10/14	10/10/2014	09/25/2014	(4 00000)	$75.94	$0.00	$75 94		$75 94	100 00%	
LEA 06/19/2015 110.00 C	CALL LEAR CORP $110 EXP 06/19/15	06/22/2015	06/22/2015	(1.00000)	$349.03	$646 96	($297 93)		($297 93)	(85 36%)	
MSFT 08/21/2015 48 00 C	CALL MICROSOFT CORP $48 EXP 08/21/15	08/21/2015	05/15/2015	(6 00000)	$1,147.27	$0 00	$1,147 27		$1,147 27	100 00%	
MSFT 05/15/2015 45.00 C	CALL MICROSOFT CORP$45 EXP 05/15/15	05/18/2015	05/18/2015	(6 00000)	$480.94	$1,948.05	($1,467 11)		($1,467 11)	(305 05%)	
MSFT 09/20/2014 46 00 C	CALL MICROSOFT CORP$46 EXP 09/20/14	09/22/2014	09/22/2014	(6 00000)	$215 90	$732 10	($516 20)		($516 20)	(239 09%)	
MSFT 10/18/2014 46 00 C	CALL MICROSOFT CORP$46 EXP 10/18/14	10/17/2014	09/19/2014	(6 00000)	$925 74	$0.00	$925 74		$925.74	100.00%	
MSFT 01/17/2015 50 00 C	CALL MICROSOFT CORP$50 EXP 01/17/15	01/16/2015	11/06/2014	(6.00000)	$383.89	$0 00	$383.89		$383.89	100 00%	
NEM 11/22/2014 26 00 C	CALL NEWMONT MINING$26 EXP 11/22/14	11/21/2014	09/10/2014	(8 00000)	$686 81	$0.00	$686 81		$686 81	100.00%	
NSC 06/19/2015 105 00 C	CALL NORFOLK SOUTHERN$105 EXP 06/19/15	06/19/2015	04/14/2015	(2 00000)	$264 01	$0 00	$264 01		$264 01	100 00%	
NSC 01/17/2015 115 00 C	CALL NORFOLK SOUTHERN$115 EXP 01/17/15	01/16/2015	09/25/2014	(2 00000)	$379 95	$0 00	$379 95		$379.95	100 00%	
NVDA 06/19/2015 25.00 C	CALL NVIDIA CORPORATION$25 EXP 06/19/15	06/19/2015	04/07/2015	(4.00000)	$91.98	$0 00	$91.98		$91.98	100.00%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
OCN 01/17/2015 32.50 C	CALL OCWEN FNCL CORP NW$32 50 EXP 01/17/15	10/23/2014	10/23/2014	(7 00000)	$1,084.86	$140 12	$944 74		$944 74	87.08%	
OI 08/21/2015 25 00 C	CALL OWENS ILLINOIS INC $25 EXP 08/21/15	08/21/2015	05/22/2015	(3 00000)	$328 51	$0 00	$328 51		$328.51	100 00%	
PSX 01/17/2015 80 00 C	CALL PHILLIPS 66$80 EXP 01/17/15	01/16/2015	10/07/2014	(2 00000)	$659.95	$0.00	$659 95		$659 95	100 00%	
PIR 09/20/2014 16 00 C	CALL PIER ONE IMPORTS$16 EXP 09/20/14	09/19/2014	09/19/2014	(9.00000)	$626 75	$17 89	$608.86		$608.86	97 15%	
FUEL 11/22/2014 20 00 C	CALL ROCKET FUEL INC$20 EXP 11/22/14	11/21/2014	10/03/2014	(4 00000)	$419.93	$0.00	$419.93		$419 93	100 00%	
SOHU 06/19/2015 70.00 C	CALL SOHU COM INC F $70 EXP 06/19/15	06/19/2015	04/16/2015	(1.00000)	$295 03	$0 00	$295.03		$295.03	100.00%	
SUNE 01/17/2015 20.00 C	CALL SUNEDISON INC $20 EXP 01/17/15	01/16/2015	10/09/2014	(7 00000)	$832.86	$0 00	$832.86		$832 86	100.00%	
TDC 07/17/2015 40.00 C	CALL TERADATA CORP $40 EXP 07/17/15	07/17/2015	05/12/2015	(2 00000)	$324 00	$0 00	$324 00		$324 00	100.00%	
TDC 04/17/2015 45 00 C	CALL TERADATA CORP $45 EXP 04/17/15	04/17/2015	02/12/2015	(2 00000)	$479 00	$0 00	$479.00		$479.00	100 00%	
TDC 01/17/2015 47.50 C	CALL TERADATA CORP $47 50 EXP 01/17/15	01/16/2015	08/28/2014	(2 00000)	$374 29	$0.00	$374 29		$374 29	100.00%	
TEX 01/17/2015 31.00 C	CALL TEREX CORP$31 EXP 01/17/15	01/16/2015	10/31/2014	(3.00000)	$224.95	$0 00	$224.95		$224.95	100 00%	
TEX 09/20/2014 38.00 C	CALL TEREX CORP$38 EXP 09/20/14	09/19/2014	07/25/2014	(2.00000)	$209.96	$0.00	$209 96		$209.96	100 00%	
TRN 01/17/2015 40 00 C	CALL TRINITY INDS INC$40 ADJ EXP 01/17/15	01/16/2015	10/14/2014	(4.00000)	$679.92	$0 00	$679.92		$679 92	100 00%	
TRN 01/17/2015 52.50 C	CALL TRINITY INDS INC$52 50 ADJ EXP 01/17/15	01/16/2015	09/25/2014	(3 00000)	$510 27	$0.00	$510.27		$510 27	100.00%	
WYN 08/21/2015 90.00 C	CALL WYNDHAM WORLDWIDE C$90 EXP 08/21/15	08/21/2015	05/26/2015	(2.00000)	$294 00	$0 00	$294 00		$294 00	100 00%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
WYN 01/17/2015 82 50 C	CALL WYNDHAM WORLDWIDE$82 50 EXP 01/17/15	01/20/2015	01/20/2015	(2 00000)	$489.95	$460 99	$28.96		$28.96	5.91%	
WYN 05/15/2015 97 50 C	CALL WYNDHAM WORLDWIDE$97.50 EXP 05/15/15	05/15/2015	03/02/2015	(2.00000)	$329 00	$0.00	$329 00		$329.00	100.00%	
ZU 07/17/2015 15.00 C	CALL ZULILY INC $15 EXP 07/17/15	07/17/2015	05/11/2015	(5 00000)	$690.95	$0.00	$690 95		$690.95	100 00%	
808513AE5	CHARLES SCHWAB CO VAR 99 DUE 12/31/99	06/23/2015	01/25/2012	15,000 00000	$17,412.75	$15,132 67		$2,280 08	$2,280.08	15.07%	
CSCO	CISCO SYSTEMS INC	02/12/2015	05/12/2011	47 00000	$1,358.27	$799.05		$559.22	$559 22	69 99%	
⊟ CSCO	CISCO SYSTEMS INC	12/31/2014	Hide Lots	400 00000	$10,178.77	$8,227.57		$1,951 20	$1,951.20	23.72%	
		12/31/2014	05/12/2011	125.00000	$3,180.86	$2,125.13		$1,055.73	$1,055.73	49.68%	
		12/31/2014	03/05/2012	100 00000	$2,544 70	$1,967.75		$576.95	$576.95	29 32%	
		12/31/2014	05/23/2013	128.00000	$3,257.21	$2,989.94		$267.27	$267 27	8 94%	
		12/31/2014	09/24/2013	47.00000	$1,196.00	$1,144.75		$51.25	$51.25	4.48%	
C+J	CITIGROUP IN 7.125% PFDPFD DUE 09/19/99	06/16/2015	09/12/2013	550.00000	$15,390.72	$13,750 00		$1,640 72	$1,640 72	11.93%	
C+J	CITIGROUP IN 7 125% PFDPFD DUE 09/19/99	06/18/2015	09/12/2013	450.00000	$12,507 71	$11,250 00		$1,257.71	$1,257.71	11.18%	
172967DM0	CITIGROUP INC. VAR 16 DUE 06/09/16	11/20/2014	02/02/2012	20,000 00000	$19,858.00	$16,578.00		$3,280.00	$3,280 00	19 79%	
⊟ 18975FAC3	CNL LIFESTYLE PP 7.25%19**CALLED** @103 625 EFF 06/01/15	06/01/2015	Hide Lots	14,000 00000	$14,507 50	$13,632 32		$875 18	$875.18	6 42%	
		06/01/2015	03/06/2012	10,000 00000	$10,362 50	$9,798 72		$563.78	$563.78	5 75%	
		06/01/2015	04/09/2012	4,000 00000	$4,145.00	$3,833.60		$311.40	$311.40	8.12%	
19428UCU9	COLL SEQUOIAS TULAR 0%42EDUC COLL DUE 08/01/42BUILD AMERICA MUTUAL	10/07/2014	08/12/2013	60,000.00000	$28,965.30	$28,965 30		$0 00	$0.00	0.00%	
⊟ 21079UAA3	CONTINENTAL AIRL 7.25%21REMIC DUE 05/10/21UAL-092-	06/11/2015	Hide Lots	30,000 00000	$25,828.88	$25,343.45		$485.43	$485 43	1.92%	
		06/11/2015	01/13/2012	5,000.00000	$4,304 81	$4,123.18		$181 63	$181 63	4 41%	
		06/11/2015	07/18/2013	25,000 00000	$21,524 07	$21,220 27		$303 80	$303 80	1 43%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
12669FZK2	CWMBS INC. 5 5%34REMIC DUE 07/25/34-048-	07/28/2015	08/27/2013	1,000 00000	$1,000 00	$1,000 00		$0 00	$0.00	0.00%	
12669FZP1	CWMBS INC 5.75%34REMIC DUE 07/25/34-048-	07/28/2015	05/07/2013	1,000 00000	$1,000.00	$1,009.75		($9.75)	($9 75)	(0 97%)	
DHI	D R HORTON CO	02/20/2015	08/06/2013	500.00000	$11,871 99	$9,803.75		$2,068 24	$2,068.24	21.10%	
DSW	D S W INC	10/17/2014	05/28/2014	500 00000	$15,240.60	$11,776 45	$3,464.15		$3,464 15	29 42%	
216093FP4	ELMWOOD PARK CMTY U 0%26GO LTX DUE 12/01/26ASSURED GUARANTY COR	09/25/2014	06/04/2014	5,000.00000	$3,176.31	$3,085.57	$90 74		$90 74	2.94%	
FCX	FREEPORT MCMORAN INC	07/22/2015	07/22/2013	52 00000	$770 00	$1,515 26		($745.26)	($745 26)	(49.18%)	
358232T97	FRESNO CA USD 0%31GO UTX DUE 08/01/31BUILD AMERICA MUTUAL	12/03/2014	08/06/2013	50,000.00000	$22,340.00	$18,073 48		$4,266.52	$4,266.52	23 61%	
358232N85	FRESNO CA USD 0%34SCH IMPT DUE 08/01/34	02/19/2015	08/16/2013	40,000.00000	$13,265 60	$11,138 64		$2,126 96	$2,126.96	19 10%	
⊟ 369622SN6	GENERAL ELECTRIC VAR 99 DUE 12/31/99	08/25/2015	Hide Lots	29,000 00000	$33,382.62	$32,092.43		$1,290 19	$1,290.19	4 02%	
		08/25/2015	06/12/2013	16,000.00000	$18,417.99	$17,850.93		$567 06	$567.06	3 18%	
		08/25/2015	08/27/2013	13,000.00000	$14,964 63	$14,241.50		$723.13	$723 13	5.08%	
GREK	GLOBAL X FTSE GREECE 20 ETF	07/24/2015	03/03/2015	400 00000	$4,014 78	$5,050.80	($1,036.02)		($1,036 02)	(20 51%)	
⊟ GOGO	GOGO INC	09/19/2014	Hide Lots	700.00000	$11,771.67	$11,702 34	$69 33		$69 33	0 59%	
		09/19/2014	04/17/2014	500 00000	$8,408 34	$8,831.35	($423 01)		($423 01)	(4.79%)	
		09/19/2014	04/29/2014	200.00000	$3,363.33	$2,870 99	$492.34		$492 34	17 15%	
HIG	HARTFORD FINL SVC GP	08/26/2015	08/23/2011	79.00000	$3,633.23	$1,344.10		$2,289.13	$2,289.13	170.31%	
⊟ HIG	HARTFORD FINL SVCS GRP	01/16/2015	Hide Lots	600.00000	$22,791 41	$13,511.08		$9,280 33	$9,280 33	68 69%	
		01/16/2015	05/11/2011	106.00000	$4,026 48	$2,921.27		$1,105 21	$1,105 21	37.83%	
		01/16/2015	06/10/2011	57.00000	$2,165 18	$1,403 13		$762 05	$762 05	54.31%	
		01/16/2015	07/08/2011	58.00000	$2,203.17	$1,529.71		$673 46	$673 46	44 03%	
		01/16/2015	08/23/2011	22 00000	$835.70	$374.31		$461 39	$461.39	123.26%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
		01/16/2015	01/31/2012	277 00000	$10,522.03	$4,861 71		$5,660.32	$5,660 32	116.43%	
		01/16/2015	07/03/2013	80.00000	$3,038.85	$2,420 95		$617 90	$617 90	25.52%	
INTC	INTEL CORP	11/24/2014	09/21/2012	49 00000	$1,764 52	$1,137.60		$626 92	$626 92	55 11%	
⊟ INTC	INTEL CORP	01/16/2015	Hide Lots	900.00000	$31,157 20	$20,366 01		$10,791 19	$10,791 19	52.99%	
		01/16/2015	04/13/2010	389 00000	$13,466.84	$8,814.74		$4,652.10	$4,652 10	52 78%	
		01/16/2015	09/21/2012	44 00000	$1,523.24	$1,021 51		$501.73	$501.73	49.12%	
		01/16/2015	12/04/2012	74.00000	$2,561.81	$1,474.00		$1,087.81	$1,087.81	73 80%	
		01/16/2015	07/08/2013	325.00000	$11,251 21	$7,518.92		$3,732 29	$3,732 29	49.64%	
		01/16/2015	08/14/2013	68.00000	$2,354 10	$1,536 84		$817.26	$817.26	53 18%	
⊟ IAU	ISHARES GOLD ETF	08/07/2015	Hide Lots	396 00000	$4,177.01	$5,379 19		($1,202 18)	($1,202 18)	(22.35%)	
		08/07/2015	12/19/2012	94.00000	$991.51	$1,529 77		($538 26)	($538 26)	(35 19%)	
		08/07/2015	08/01/2013	302.00000	$3,185 50	$3,849 42		($663 92)	($663 92)	(17.25%)	
⊟ IAU	ISHARES GOLD ETF	07/20/2015	Hide Lots	768.00000	$8,178 55	$12,818 45		($4,639.90)	($4,639 90)	(36.20%)	
		07/20/2015	02/09/2012	311 00000	$3,311 89	$5,252 41		($1,940.52)	($1,940 52)	(36 95%)	
		07/20/2015	11/15/2012	289.00000	$3,077 61	$4,831.98		($1,754 37)	($1,754 37)	(36 31%)	
		07/20/2015	12/19/2012	168 00000	$1,789.05	$2,734.06		($945.01)	($945 01)	(34 56%)	
⊟ EWI	ISHARES MSCI ITALY ETF CAPPED	02/23/2015	Hide Lots	1,000 00000	$14,840 48	$13,666 02		$1,174 46	$1,174 46	8.59%	
		02/23/2015	07/19/2010	169.00000	$2,508.04	$2,640 03		($131.99)	($131 99)	(5 00%)	
		02/23/2015	03/06/2012	233 00000	$3,457.83	$3,039.70		$418.13	$418.13	13 76%	
		02/23/2015	02/19/2013	245.00000	$3,635.92	$3,289.50		$346.42	$346.42	10.53%	
		02/23/2015	05/23/2013	353 00000	$5,238 69	$4,696.79		$541 90	$541.90	11 54%	
⊟ 708160BJ4	J C. PENNEY COM 7 65%16 DUE 08/15/16	09/02/2014	Hide Lots	21,000 00000	$22,071 00	$20,960 62		$1,110 38	$1,110.38	5 30%	
		09/02/2014	06/14/2012	12,000 00000	$12,612.00	$12,062 09		$549.91	$549 91	4.56%	
		09/02/2014	07/23/2013	9,000.00000	$9,459 00	$8,898.53		$560.47	$560 47	6.30%	
496206DW0	KINGSBURG JOINT UN 0%32SCH IMPT DUE 08/01/32ASSURED GUARANTY MUN	09/19/2014	06/21/2013	30,000 00000	$13,621 50	$10,535 71		$3,085 79	$3,085 79	29.29%	
LEA	LEAR CORPORATION	08/27/2015	12/18/2013	35.00000	$3,623.28	$2,788 87		$834 41	$834 41	29 92%	
⊟ LEA	LEAR CORPORATION	09/02/2014	Hide Lots	200.00000	$19,316 58	$8,565.92		$10,750 66	$10,750 66	125.51%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
		09/02/2014	08/04/2011	23.00000	$2,221 40	$1,036.79		$1,184.61	$1,184 61	114 26%	
		09/02/2014	11/18/2011	60.00000	$5,794 98	$2,460 09		$3,334 89	$3,334 89	135.56%	
		09/02/2014	04/10/2012	117 00000	$11,300.20	$5,069 04		$6,231 16	$6,231 16	122 93%	
⊟ LEA	LEAR CORPORATION	08/26/2015	Hide Lots	45.00000	$4,400 97	$4,318 24	($7 86)	$90.59	$82 73	1.92%	
		08/26/2015	12/18/2013	5 00000	$489 00	$398.41		$90.59	$90.59	22.74%	
		08/26/2015	01/13/2015	40.00000	$3,911 97	$3,919.83	($7.86)		($7 86)	(0 20%)	
CALL	MAGICJACK VOCALTEC LTD F	03/17/2015	04/23/2014	600 00000	$3,994 66	$11,879.71	($7,885 05)		($7,885.05)	(66.37%)	
RSX	MARKET VECTORS RUSSIA ETF	08/04/2015	02/04/2013	173 00000	$2,867 94	$5,284.31		($2,416 37)	($2,416.37)	(45 73%)	
576434VW1	MASTR ALT LN TR 5%19REMIC DUE 09/25/19-04AL10-	08/25/2015	11/15/2011	20,000 00000	$1,561 64	$1,546.59		$15.05	$15.05	0 97%	
MSFT	MICROSOFT CORP	05/13/2015	04/27/2012	45 00000	$2,148.42	$1,444.59		$703 83	$703 83	48 72%	
654902AB1	NOKIA CORP SPO 5 375%19F DUE 05/15/19NOKIA CORP SPON	08/25/2015	04/20/2012	12,000 00000	$12,775 80	$11,278 95		$1,496.85	$1,496 85	13 27%	
⊟ NVDA	NVIDIA CORP	01/16/2015	Hide Lots	1,000 00000	$18,693 76	$12,927.99		$5,765.77	$5,765.77	44.60%	
		01/16/2015	04/01/2013	676 00000	$12,636 98	$8,267 72		$4,369 26	$4,369 26	52 85%	
		01/16/2015	07/19/2013	213.00000	$3,981.77	$3,037.49		$944 28	$944 28	31 09%	
		01/16/2015	11/07/2013	111.00000	$2,075 01	$1,622 78		$452 23	$452 23	27.87%	
NVDA	NVIDIA CORP	06/23/2015	04/01/2013	137 00000	$2,924 12	$1,675.56		$1,248 56	$1,248 56	74.52%	
⊟ OCN	OCWEN FINANCIAL CORP NEW	12/22/2014	Hide Lots	741.00000	$12,459 43	$22,470 24	($10,010 81)		($10,010 81)	(44.55%)	
		12/22/2014	05/16/2014	300.00000	$5,044 30	$9,974.95	($4,930.65)		($4,930 65)	(49 43%)	
		12/22/2014	08/01/2014	441.00000	$7,415.13	$12,495 29	($5,080.16)		($5,080.16)	(40.66%)	
⊟ OVTI	OMNIVISION TECHNOLOGIES	01/16/2015	Hide Lots	700 00000	$17,057.31	$11,630 14	$2,657.41	$2,769.76	$5,427 17	46.66%	
		01/16/2015	12/16/2013	359 00000	$8,747.97	$5,978.21		$2,769.76	$2,769.76	46.33%	
		01/16/2015	02/11/2014	104.00000	$2,534.22	$1,500.31	$1,033 91		$1,033.91	68 91%	
		01/16/2015	03/28/2014	237 00000	$5,775.12	$4,151 62	$1,623.50		$1,623 50	39.11%	
⊟ PH	PARKER-HANNIFIN CORP	10/31/2014	Hide Lots	64 00000	$8,102 87	$7,416 72	$686.15		$686.15	9.25%	
		10/31/2014	02/04/2014	35 00000	$4,431 26	$3,854.88	$576 38		$576.38	14.95%	

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		10/31/2014	05/21/2014	29.00000	$3,671.61	$3,561 84	$109 77		$109 77	3.08%	
70816FAD5	PENNEY INC JC 6 875%15 DUE 10/15/15	10/07/2014	05/07/2013	15,000.00000	$16,002.55	$15,028 47		$974 08	$974.08	6.48%	
PSX	PHILLIPS 66	09/29/2014	07/03/2013	38 00000	$3,085 33	$2,129 16		$956 17	$956 17	44.91%	
⊟ PSX	PHILLIPS 66	05/13/2015	Hide Lots	200 00000	$16,459 76	$9,529.42		$6,930.34	$6,930 34	72 73%	
		05/13/2015	05/05/2010	17.00000	$1,399.08	$440 17		$958 91	$958.91	217.85%	
		05/13/2015	10/04/2012	111 00000	$9,135 17	$5,055.04		$4,080 13	$4,080 13	80 71%	
		05/13/2015	07/03/2013	72 00000	$5,925 51	$4,034 21		$1,891 30	$1,891.30	46 88%	
PIR	PIER ONE IMPORTS INC	12/19/2014	06/24/2014	900 00000	$12,383.97	$13,960 93	($1,576 96)		($1,576 96)	(11 30%)	
693475AJ4	PNC FINANCIAL SRV VAR 99**CALLED** @1000 EFF: 05/04/15	05/04/2015	06/04/2013	20,000 00000	$20,000.00	$20,043 00		($43 00)	($43 00)	(0 21%)	
74348T201	PROSPECT CAPITA 6.95%PFD**CALLED** @25 EFF 05/15/15	05/15/2015	07/09/2013	814 00000	$20,350.00	$20,928 59		($578 59)	($578 59)	(2 76%)	
758582CE8	REEVES CNTY TX C 4 25%15COPS CFAC DUE 12/01/15OID XTRO TAXBL	06/01/2015	03/12/2012	5,000 00000	$5,000.00	$4,986.83		$13 17	$13.17	0 26%	
FUEL	ROCKET FUEL INC	12/22/2014	04/28/2014	400 00000	$7,425.89	$11,777.79	($4,351.90)		($4,351.90)	(36.95%)	
801825EW6	SANTA CRUZ CA RDA 5 5%20**CALLED** @100 EFF. 09/01/2015	07/23/2015	08/29/2013	5,000 00000	$5,017 10	$4,993.73		$23 37	$23 37	0.47%	
842400FU2	SOCAL EDISON CO VAR 99 DUE 12/31/99	05/20/2015	03/12/2012	10,000.00000	$11,237.50	$10,317.00		$920 50	$920 50	8.92%	
TGNA	TEGNA INC	07/29/2015	06/23/2015	150.00000	$4,362 53	$4,706 05	($343 52)		($343 52)	(7.30%)	
TGNA	TEGNA INC	07/02/2015	07/01/2015	(95 00000)	$3,129.86	$890.19	$2,239.67		$2,239.67	71 56%	
TGNA	TEGNA INC	07/02/2015	07/01/2015	(17 00000)	$560 08	$212 80	$347 28		$347 28	62.01%	
TGNA	TEGNA INC	07/02/2015	07/01/2015	(22.00000)	$724.81	$252 14	$472.67		$472 67	65 21%	
TGNA	TEGNA INC	07/02/2015	07/01/2015	(40 00000)	$1,317.83	$495 61	$822 22		$822.22	62.39%	
TGNA	TEGNA INC	07/27/2015	06/23/2015	150 00000	$4,329 72	$4,706 06	($376.34)		($376 34)	(8 00%)	
TGNA	TEGNA INC	07/02/2015	07/01/2015	(126.00000)	$4,151 18	$1,089 54	$3,061.64		$3,061.64	73 75%	
⊟ 87971KAG2	TEMBEC INDUSTR 11.25%18FTENDER OFFER EXP: 09/29 10/14/2014	10/01/2014	Hide Lots	28,000.00000	$30,181 89	$30,030 83	($65 82)	$216.88	$151 06	0.50%	
		10/01/2014	12/13/2012	9,000 00000	$9,701.32	$9,495 96		$205 36	$205 36	2.16%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
		10/01/2014	01/07/2013	1,000 00000	$1,077.92	$1,059.71		$18 21	$18.21	1 72%	
		10/01/2014	02/14/2013	1,000.00000	$1,077 92	$1,084.61		($6 69)	($6.69)	(0.62%)	
		10/01/2014	11/12/2013	5,000.00000	$5,389.62	$5,398.98	($9.36)		($9.36)	(0 17%)	
		10/01/2014	01/13/2014	5,000.00000	$5,389.62	$5,442 83	($53 21)		($53 21)	(0 98%)	
		10/01/2014	06/09/2014	3,000.00000	$3,233 77	$3,260 36	($26 59)		($26 59)	(0 82%)	
		10/01/2014	09/11/2014	4,000.00000	$4,311.72	$4,288.38	$23 34		$23.34	0.54%	
⊟ GIM	TEMPLETON GLOBAL INCM FD	11/19/2014	Hide Lots	1,146 00000	$8,799.59	$11,181.72		($2,382.13)	($2,382.13)	(21 30%)	
		11/19/2014	09/06/2011	503 00000	$3,862.30	$5,380 24		($1,517 94)	($1,517 94)	(28.21%)	
		11/19/2014	06/01/2012	643.00000	$4,937 29	$5,801.48		($864.19)	($864 19)	(14.90%)	
TEX	TEREX CORP	08/12/2015	10/10/2014	156 00000	$4,128.65	$4,263 35	($134 70)		($134 70)	(3 16%)	
TEX	TEREX CORP	08/11/2015	10/10/2014	288.00000	$7,466 90	$7,870 81	($403.91)		($403.91)	(5.13%)	
88732JAS7	TIME WARNER CABL 8.25%19 DUE 04/01/19	06/10/2015	07/03/2013	5,000.00000	$5,865 15	$5,600 46		$264.69	$264 69	4 73%	
⊟ TOSBF	TOSHIBA CORP LTD ORD F	07/23/2015	Hide Lots	3,901 00000	$12,165 29	$14,440 72	($2,275 43)		($2,275 43)	(15.76%)	
		07/23/2015	02/20/2015	1,683 00000	$5,248 44	$6,888 72	($1,640 28)		($1,640 28)	(23 81%)	
		07/23/2015	05/20/2015	2,218 00000	$6,916 85	$7,552.00	($635 15)		($635 15)	(8 41%)	
TGI	TRIUMPH GROUP INC	06/19/2015	03/24/2014	100 00000	$6,796 27	$6,202.73		$593 54	$593.54	9.57%	
⊟ TGI	TRIUMPH GROUP INC NEW	02/12/2015	Hide Lots	68 00000	$4,088 11	$4,305.49	($217.38)		($217.38)	(5 05%)	
		02/12/2015	03/24/2014	54 00000	$3,246.44	$3,349 48	($103 04)		($103 04)	(3.08%)	
		02/12/2015	11/12/2014	14.00000	$841 67	$956.01	($114 34)		($114 34)	(11.96%)	
⊟ TGI	TRIUMPH GROUP INC NEW	01/29/2015	Hide Lots	86 00000	$5,053 61	$5,985.16	($931 55)		($931 55)	(15 56%)	
		01/29/2015	06/12/2014	24.00000	$1,410.31	$1,735.51	($325.20)		($325 20)	(18.74%)	
		01/29/2015	11/12/2014	19 00000	$1,116.49	$1,297 44	($180 95)		($180.95)	(13.95%)	
		01/29/2015	12/10/2014	43.00000	$2,526 81	$2,952.21	($425.40)		($425 40)	(14.41%)	
⊟ TRW	TRW AUTOMOTIVE HOLDINGS	02/20/2015	Hide Lots	157.00000	$16,381 63	$6,264.76		$10,116.87	$10,116.87	161 49%	
		02/20/2015	04/26/2012	90 00000	$9,390 74	$3,980 16		$5,410.58	$5,410 58	135 94%	
		02/20/2015	07/26/2012	67 00000	$6,990.89	$2,284 60		$4,706 29	$4,706 29	206.00%	
903236107	U R S CORP NEW XXXCASH/STOCK MERGER EFF 10/17/14	10/27/2014	02/13/2014	217.00000	$11,706 10	$9,418 81	$2,287 29		$2,287 29	24 28%	

	Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
⊟	VOD	VODAFONE GROUP FADR 1 ADR REPS 10 ORD SHS	05/13/2015	Hide Lots	137 00000	$5,033.96	$5,862.53	($17 47)	($811 10)	($828 57)	(14 13%)	
			05/13/2015	09/03/2013	37.00000	$1,359 54	$2,170.64		($811 10)	($811.10)	(37 37%)	
			05/13/2015	05/13/2014	100 00000	$3,674.42	$3,691 89	($17 47)		($17 47)	(0 47%)	
	WLP	WELLPOINT INC	09/24/2014	07/26/2013	32 00000	$3,968.52	$2,716.33		$1,252 19	$1,252 19	46.10%	
	ZU	ZULILY INC CLASS A	08/17/2015	02/12/2015	500.00000	$9,218 48	$7,281 70	$1,936.78		$1,936 78	26 60%	

Disclosures
The Realized Gain/Loss page provides summary information of closed transactions Not all closed transactions appear on this page.
We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.
Only covered transactions will be reported to the IRS Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation

Footnotes apply where indicated on the data view.
Missing· Indicates cost basis has not been provided for this security
Not Tracked· Indicates original cost basis is not available.

1 a Data for this holding has been edited or provided by the Advisor
e Data for this holding has been edited or provided by the end client.
t Data for this holding has been edited or provided by a third party.
w Cost Basis adjusted due to a wash sale
3 Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values Click the acquired/opened date to view original cost in the Details page Note: Some securities, including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on.
8 Total excludes missing cost basis information, or values not tracked by Schwab

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