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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning 9/1/2014, and ending 8/31/2015

| | | | | | | | | | |
|--|---|--|--|--|---|--|--------------------------------------|---|--|
| Name of foundation ALBERT AND HELEN MESERVE MEM FUND | | A Employer identification number 06-6254956 | | | | | | | |
| Number and street (or P O box number if mail is not delivered to street address) Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041 | | Room/suite | | | | | | | |
| City or town Winston Salem | | State NC | ZIP code 27101-3818 | | | | | | |
| Foreign country name | | Foreign province/state/county | Foreign postal code | | | | | | |
| G Check all that apply <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table> | | <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity | <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | C If exemption application is pending, check here ► <input type="checkbox"/> D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/> | |
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity | | | | | | | | |
| <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | | | | | | | | |
| <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | | | | | | | | |
| H Check type of organization <table border="0"> <tr> <td><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation</td> <td><input type="checkbox"/> Other taxable private foundation</td> </tr> <tr> <td><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust</td> <td><input type="checkbox"/> Other taxable private foundation</td> </tr> </table> | | <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation | <input type="checkbox"/> Other taxable private foundation | <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust | <input type="checkbox"/> Other taxable private foundation | | | | |
| <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation | <input type="checkbox"/> Other taxable private foundation | | | | | | | | |
| <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust | <input type="checkbox"/> Other taxable private foundation | | | | | | | | |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 3,740,947 | | J Accounting method <table border="0"> <tr> <td><input checked="" type="checkbox"/> Cash</td> <td><input type="checkbox"/> Accrual</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Other (specify) _____</td> </tr> </table> <i>(Part I, column (d) must be on cash basis)</i> | | <input checked="" type="checkbox"/> Cash | <input type="checkbox"/> Accrual | <input type="checkbox"/> Other (specify) _____ | | | |
| <input checked="" type="checkbox"/> Cash | <input type="checkbox"/> Accrual | | | | | | | | |
| <input type="checkbox"/> Other (specify) _____ | | | | | | | | | |
| Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i> | | (a) Revenue and expenses per books | (b) Net investment income | | | | | | |
| Revenue | | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) | | | | | | |
| 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | | | | | |
| 2 | Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | | | | | |
| 3 | Interest on savings and temporary cash investments | | | | | | | | |
| 4 | Dividends and interest from securities | 84,983 | 84,983 | | | | | | |
| 5a | Gross rents | | | | | | | | |
| b | Net rental income or (loss) | | | | | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 186,210 | | | | | | | |
| b | Gross sales price for all assets on line 6a | 1,162,475 | | | | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | 186,210 | | | | | | | |
| 8 | Net short-term capital gain | | | | | | | | |
| 9 | Income modifications | | | | | | | | |
| 10a | Gross sales less returns and allowances | | | | | | | | |
| b | Less Cost of goods sold | | | | | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | | | | | |
| 11 | Other income (attach schedule) | | | | | | | | |
| 12 | Total. Add lines 1 through 11 | 271,193 | 271,193 | | | | | | |
| | | | 0 | | | | | | |
| Operating and Administrative Expenses | | | | | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | 63,305 | 47,479 | | | | | | |
| 14 | Other employee salaries and wages | | | | | | | | |
| 15 | Pension plans, employee benefits | | | | | | | | |
| 16a | Legal fees (attach schedule) | 2,481 | 2,481 | | | | | | |
| b | Accounting fees (attach schedule) | 1,078 | 1,078 | | | | | | |
| c | Other professional fees (attach schedule) | | | | | | | | |
| 17 | Interest | | | | | | | | |
| 18 | Taxes (attach schedule) (see instructions) | 1,043 | 1,043 | | | | | | |
| 19 | Depreciation (attach schedule) and depletion | | | | | | | | |
| 20 | Occupancy | | | | | | | | |
| 21 | Travel, conferences, and meetings | | | | | | | | |
| 22 | Printing and publications | | | | | | | | |
| 23 | Other expenses (attach schedule) | 9,570 | 9,570 | | | | | | |
| 24 | Total operating and administrative expenses | 77,477 | 48,522 | | | | | | |
| | Add lines 13 through 23 | 0 | 28,955 | | | | | | |
| 25 | Contributions, gifts, grants paid | 184,260 | 184,260 | | | | | | |
| 26 | Total expenses and disbursements. Add lines 24 and 25 | 261,737 | 48,522 | | | | | | |
| | | 0 | 213,215 | | | | | | |
| 27 | Subtract line 26 from line 12 | | | | | | | | |
| a | Excess of revenue over expenses and disbursements | 9,456 | | | | | | | |
| b | Net investment income (if negative, enter -0-) | 222,671 | | | | | | | |
| c | Adjusted net income (if negative, enter -0-) | | 0 | | | | | | |

For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2014)

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | | |
|--------------------------------------|---|--|--------------------------|--------------------|-----------------------|--|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 | Cash—non-interest-bearing | | | | |
| | 2 | Savings and temporary cash investments | 9,012 | 61,631 | 61,631 | |
| | 3 | Accounts receivable ► | | | | |
| | | Less allowance for doubtful accounts ► | | | | |
| | 4 | Pledges receivable ► | | | | |
| | | Less allowance for doubtful accounts ► | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 | Other notes and loans receivable (attach schedule) ► | | | | |
| | | Less allowance for doubtful accounts ► | | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | | |
| | b | Investments—corporate stock (attach schedule) | 532,547 | | | |
| | c | Investments—corporate bonds (attach schedule) | | | | |
| | 11 | Investments—land, buildings, and equipment basis ► | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | | |
| 12 | Investments—mortgage loans | | | | | |
| 13 | Investments—other (attach schedule) | 2,526,481 | 3,019,769 | 3,679,316 | | |
| 14 | Land, buildings, and equipment basis ► | | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | | |
| 15 | Other assets (describe ►) | | | | | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 3,068,040 | 3,081,400 | 3,740,947 | | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | |
| | 22 | Other liabilities (describe ►) | 0 | 0 | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | | |
| | 24 | Unrestricted | | | | |
| | 25 | Temporarily restricted | | | | |
| | 26 | Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | | |
| | 27 | Capital stock, trust principal, or current funds | 3,068,040 | 3,081,400 | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | | |
| | 30 | Total net assets or fund balances (see instructions) | 3,068,040 | 3,081,400 | | |
| | 31 | Total liabilities and net assets/fund balances (see instructions) | 3,068,040 | 3,081,400 | | |
| | Part III Analysis of Changes in Net Assets or Fund Balances | | | | | |
| | 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,068,040 | | |
| 2 | Enter amount from Part I, line 27a | 2 | 9,456 | | | |
| 3 | Other increases not included in line 2 (itemize) ► See Attached Statement | 3 | 4,399 | | | |
| 4 | Add lines 1, 2, and 3 | 4 | 3,081,895 | | | |
| 5 | Decreases not included in line 2 (itemize) ► See Attached Statement | 5 | 495 | | | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 3,081,400 | | | |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|--------------------------------------|----------------------------------|
| 1a See Attached Statement | | | | | |
| b See Attached Statement | | | | | |
| c See Attached Statement | | | | | |
| d See Attached Statement | | | | | |
| e See Attached Statement | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a | | | | | 0 |
| b | | | | | 0 |
| c | | | | | 0 |
| d | | | | | 0 |
| e | | | | | 0 |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | | |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | | |
| a | | | | | 0 |
| b | | | | | 0 |
| c | | | | | 0 |
| d | | | | | 0 |
| e | | | | | 0 |
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | 2 | 186,210 | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | | 3 | 0 | |
| Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income | | | | | |
| (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) | | | | | |
| If section 4940(d)(2) applies, leave this part blank | | | | | |
| Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part | | | | | |
| 1 Enter the appropriate amount in each column for each year, see the instructions before making any entries | | | | | |
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) | | |
| 2013 | 190,149 | 3,980,800 | 0 047767 | | |
| 2012 | 185,567 | 3,809,841 | 0 048707 | | |
| 2011 | 219,249 | 3,708,592 | 0 059119 | | |
| 2010 | 203,658 | 3,886,547 | 0 052401 | | |
| 2009 | 302,831 | 3,723,574 | 0 081328 | | |
| 2 Total of line 1, column (d) | | | 2 | 0 289322 | |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 | 0 057864 | |
| 4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | | | 4 | 3,956,530 | |
| 5 Multiply line 4 by line 3 | | | 5 | 228,941 | |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 | 2,227 | |
| 7 Add lines 5 and 6 | | | 7 | 231,168 | |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 | 213,215 | |
| If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | | | | | |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)

1 4,453

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b

2 0

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)

3 4,453

4 4,453

5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments

a 2014 estimated tax payments and 2013 overpayment credited to 2014 6a 1,563

b Exempt foreign organizations—tax withheld at source 6b

c Tax paid with application for extension of time to file (Form 8868) 6c

d Backup withholding erroneously withheld 6d

7 Total credits and payments Add lines 6a through 6d 7 1,563

8 Enter any **penalty** for underpayment of estimated tax Check here if Form 2220 is attached 8

9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ► 9 2,890

10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ► 10 0

11 Enter the amount of line 10 to be **Credited to 2015 estimated tax** ► Refunded ► 11 0

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a Yes X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities 1b Yes X

c Did the foundation file **Form 1120-POL** for this year? 1c Yes X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities 2 Yes X

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* 3 Yes X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a Yes X

b If "Yes," has it filed a tax return on **Form 990-T** for this year? 4b N/A

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T 5 Yes X

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 6 Yes X

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* 7 Yes X

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CT
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation 8b Yes X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* 9 Yes X

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* 10 Yes X

Part VII-A Statements Regarding Activities (continued)

| | | | |
|----|--|--|----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A | 13 | X |
| 14 | The books are in care of ► WELLS FARGO BANK N A Located at ► 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC | Telephone no ► 888-730-4933 ZIP+4 ► 27101-3818 | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year | ► 15 | ► □ |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ► | 16 | Yes No X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Yes | No |
|----|--|---|-----|
| 1a | During the year did the foundation (either directly or indirectly) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► □ | 1b | X |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | 1c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | If "Yes," list the years ► 20____, 20____, 20____, 20____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) | 2b | N/A |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) | 3b | N/A |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | | |
|----|---|---|----|-----|
| 5a | During the year did the foundation pay or incur any amount to | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | | 5b | N/A |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i> | <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6b | X |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i> | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7b | N/A |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| Wells Fargo Bank, N.A. 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC 27101 | Trustee 400 | 63,305 | | |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| ----- | | | | |
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Total number of other employees paid over \$50,000

►

Form 990-PF (2014)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
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Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | | Expenses |
|---|------|----------|
| 1 | NONE | |
| 2 | | |
| 3 | | |
| 4 | | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | | Amount |
|--|----------------|--------|
| 1 | NONE | |
| | ----- ----- | |
| 2 | | |
| | ----- ----- | |
| All other program-related investments. See instructions | | |
| 3 | NONE | |
| | ----- ----- | |
| Total. Add lines 1 through 3 | | ► 0 |

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

| | | |
|---|--|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | |
| a | Average monthly fair market value of securities | 1a 3,945,229 |
| b | Average of monthly cash balances | 1b 71,553 |
| c | Fair market value of all other assets (see instructions) | 1c |
| d | Total (add lines 1a, b, and c) | 1d 4,016,782 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 |
| 3 | Subtract line 2 from line 1d | 3 4,016,782 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 60,252 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 3,956,530 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 197,827 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

| | | |
|----|---|-----------|
| 1 | Minimum investment return from Part X, line 6 | 1 197,827 |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a 4,453 |
| b | Income tax for 2014 (This does not include the tax from Part VI) | 2b |
| c | Add lines 2a and 2b | 2c 4,453 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 193,374 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 2,500 |
| 5 | Add lines 3 and 4 | 5 195,874 |
| 6 | Deduction from distributable amount (see instructions) | 6 |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 195,874 |

Part XII Qualifying Distributions (see instructions)

| | | |
|---|---|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a 213,215 |
| b | Program-related investments—total from Part IX-B | 1b |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | |
| a | Suitability test (prior IRS approval required) | 3a |
| b | Cash distribution test (attach the required schedule) | 3b |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 213,215 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 213,215 |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 195,874 |
| 2 Undistributed income, if any, as of the end of 2014 | | | 14,495 | |
| a Enter amount for 2013 only | | | | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2014 | | | | |
| a From 2009 | | | | |
| b From 2010 | | | | |
| c From 2011 | | | | |
| d From 2012 | | | | |
| e From 2013 | | | | |
| f Total of lines 3a through e | 0 | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4 ► \$ 213,215 | | | 14,495 | |
| a Applied to 2013, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see instructions) | | | | |
| d Applied to 2014 distributable amount | | | | 195,874 |
| e Remaining amount distributed out of corpus | 2,846 | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | 2,846 | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | 0 | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a | 2,846 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2010 | | | | |
| b Excess from 2011 | | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 | | | | |
| e Excess from 2014 | 2,846 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

| | | | | | |
|-----|---|---------------|----------|----------|--|
| 1a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ► | | | | <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) |
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | |
| b | Check box to indicate whether the foundation is a private operating foundation described in section | | | | |
| 2a | | | | | |
| | Tax year | Prior 3 years | | | |
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| | | | | | 0 |
| | | | | | 0 |
| | | | | | 0 |
| | | | | | 0 |
| | | | | | 0 |
| | | | | | 0 |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | | |
| a | "Assets" alternative test—enter | | | | |
| (1) | Value of all assets | | | | 0 |
| (2) | Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | 0 |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | 0 |
| c | "Support" alternative test—enter | | | | |
| (1) | Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | 0 |
| (2) | Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | 0 |
| (3) | Largest amount of support from an exempt organization | | | | 0 |
| (4) | Gross investment income | | | | 0 |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

APPLY FOR GRANTS THROUGH WWW.WELLSFARGO.COM/PRIVATEFOUNDATIONGRANTS/MESERVE

b The form in which applications should be submitted and information and materials they should include

ALL APPLICATIONS ARE TO BE SUBMITTED ON-LINE AND NO ADDITIONAL INFORMATION OR MATERIALS ARE REQUIRED

c Any submission deadlines

OCTOBER 15 ANNUALLY

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PROJECTS AND 501C3 ORGANIZATIONS IN THE GREATER DANBURY AREA, FAIRFIELD COUNTY CONNECTICUT

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--------------------------------------|---|--------------------------------|----------------------------------|--------------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| See Attached Statement | | | | |
| Total | | | | ► 3a 184,260 |
| <i>b Approved for future payment</i> | | | | |
| NONE | | | | |
| Total | | | | ► 3b 0 |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | | | |
| 5 Net rental income or (loss) from real estate | | | | 14 | 84,983 |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | 18 | 186,210 |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0 | | | 0 |
| 13 Total Add line 12, columns (b), (d), and (e) | 13 | | | 271,193 | 271,193 |

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions) |
|----------------|--|
| Not Applicable | |
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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year**

ABILITY BEYOND DISABILITY, INC

Street

4 BERKSHIRE BLVD

| | | | |
|----------------|-------------|-------------------|-----------------|
| City BETHEL | State CT | Zip Code 06801 | Foreign Country |
|----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution PATHWAYS TO EXCELLENCE | Amount 5,000 |
|---|-----------------|

Name

ANN'S PLACE INC

Street

80 SAW MILL RD

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City DANBURY | State CT | Zip Code 06810 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|--|-----------------|
| Purpose of grant/contribution CLEINT TRACKING SYTEM | Amount 7,500 |
|--|-----------------|

Name

CANDLEWOOD LAKE AUTHORITY

Street

20 SAWMILL RD

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City SHERMAN | State CT | Zip Code 06784 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|--|-----------------|
| Purpose of grant/contribution EXPANDING CANDLEWOOD LAKE WATER QUALITY KNOWLEDGE | Amount 5,000 |
|--|-----------------|

Name

COMMUNITY CULINARY SCHOOL OF NORTHWESTERN CT

Street

7 WHITTLESEY AVE

| | | | |
|---------------------|-------------|-------------------|-----------------|
| City NEW MILFORD | State CT | Zip Code 06776 | Foreign Country |
|---------------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution FOOD COSTS | Amount 3,000 |
|---|-----------------|

Name

CONNECTICUT RADIO INFORMATION SYSTEM INC

Street

315 WINDSOR AVE

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City WINDSOR | State CT | Zip Code 06095 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution AUDIO PROGRAMMING FOR PEOPLE WHO ARE BLIND | Amount 3,500 |
|---|-----------------|

Name

DANBURY MUSIC CENTRE INC

Street

256 MAIN ST

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City DANBURY | State CT | Zip Code 06810 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SUMMER BANKDS REVITALIZATION | Amount 1,500 |
|---|-----------------|

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

DANBURY RAILWAY MUSEUM INC

Street

120 WHITE ST

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City DANBURY | State CT | Zip Code 06810 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|--|-----------------|
| Purpose of grant/contribution HARDWARE AND SOFTWARE EQUIPMENT | Amount 2,200 |
|--|-----------------|

Name

HARMONY HOUSE SHELTER FOR HOMELESS MOTHERS FAMILY & CHILDRENS AID INC

Street

5 HARMONY ST

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City DANBURY | State CT | Zip Code 06810 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution FAMILY AND CHILDRENS AID | Amount 4,500 |
|---|-----------------|

Name

FEDERATED GARDEN CLUBS OF CONNECTICUT INC

/

Street

47 COACHMAN DR

| | | | |
|------------------|-------------|-------------------|-----------------|
| City BRANFORD | State CT | Zip Code 06405 | Foreign Country |
|------------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|--|-----------------|
| Purpose of grant/contribution BLUE STAR MEMORIAL MARKER | Amount 1,410 |
|--|-----------------|

Name

HEALTHY EYES ALLIANCE

Street

129 CHURCH ST

| | | | |
|-------------------|-------------|-------------------|-----------------|
| City NEW HAVEN | State CT | Zip Code 06510 | Foreign Country |
|-------------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution HEALTHY EYS FOR CONNECTICUT KIDS PROJECT | Amount 3,000 |
|---|-----------------|

Name

JUNIOR ACHIEVEMENT OF WESTERN CONNECTICUT

Street

835 MAIN ST

| | | | |
|--------------------|-------------|-------------------|-----------------|
| City BRIDGEPORT | State CT | Zip Code 06604 | Foreign Country |
|--------------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution ECONOMIC EDUCATIONAL PROGRAMS FOR DANBURY STUDENTS | Amount 7,500 |
|---|-----------------|

Name

MUSICALS AT RICHTER INC

Street

100 AUNT HACK RD

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City DANBURY | State CT | Zip Code 06811 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|--|-----------------|
| Purpose of grant/contribution TECHNOLOGY IMPROVEMENT PLAN | Amount 7,500 |
|--|-----------------|

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

OBEY FOUNDATION INC

Street

P.O. BOX 323

City

WILTON

State

CT 06897

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

DRUMS 4 LIFE DRUM THERAPY WITH DANBURY SCHOOL

Amount

1,750

Name

PRATT NATURE CENTER INC

Street

163 PAPER MILL RD

City

NEW MILFORD

State

CT 06776

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

WORKSTATION DESKTOP REPLACEMENT

Amount

3,500

Name

PRIME TIMERS INC

Street

36 PENNY LANE

City

MANCHESTER

State

CT 06040

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

PATRON REGISTRATION DATABASE ELMWOOD HALL

Amount

2,000

Name

REGIONAL HOSPICE AND HOME CARE OF WESTERN CONNECTICUT INC

Street

30 MILESTONE RD

City

DANBURY

State

CT 06810

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

NUSE CALL SYSTEM IN THE CENTER FOR COMFORT

Amount

10,000

Name

RIDES FOR RIDGEFIELD INC

Street

400 MAIN ST - UPPER LEVEL

City

RIDGEFIELD

State

CT 06877

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

VOLUNTEER RIDE PROGRAM EXPANSION AND OUTREACH

Amount

1,000

Name

THE FAIRFIELD COUNTY COMMUNITY FOUNDATION

Street

383 MAIN AVE, #4

City

NORWALK

State

CT 06851

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

CENTER FOR NONPROFIT EXCELLENCE

Amount

4,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNITED WAY OF WESTERN CONNECTICUT

Street

85 WEST ST

City

DANBURY

State

CT

Zip Code

06810

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

BACK OFFICE CLOUD SERVICES, CONSULTING

Amount

6,000

Name

WOMENS CENTER OF GREATER DANBURY CONN INC

Street

2 WEST ST

City

DANBURY

State

CT

Zip Code

06810

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

REPLACEMENT AND UPGRADE OF OUTDATED IT SYSTEM

Amount

8,000

Name

LVA SCHOOL

Street

248 MAIN ST

City

DANBURY

State

CT

Zip Code

06810

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOOL TECHNOLOGY PROGRAM

Amount

5,000

Name

REGIONAL YMCA OF WESTERN CONNECTICUT INC

Street

2 HUCKLEBERRY HILL RD

City

BROOKFIELD

State

CT

Zip Code

06804

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GARDEN CURRICULUM FOR SCRAM

Amount

5,000

Name

AMERICAN NATIONAL RED CROSS

Street

2025 E STREET

City

NW WASHINGTON

State

DC

Zip Code

20006

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

DIASTER RESPONDER SAFETY & TRAINING PROJECT

Amount

2,400

Name

UNIVERSITY OF NEW HAMPSHIRE

Street

105 MAIN ST

City

DURHAM

State

NH

Zip Code

03824

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

6,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNIVERSITY OF PENNSYLVANIA

Street

3451 WALNUT ST

City

PHILADELPHIA

State

PA

Zip Code

19104

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

UNC-WILMINGTON

Street

601 S COLLEGE RD

City

WILMINGTON

State

NC

Zip Code

28403

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

MOUNT SAINT MARY COLLEGE

Street

330 POWELL AVE

City

NEWBURGH

State

NY

Zip Code

12550

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

UNIVERSITY OF NEW ENGLAND

Street

11 HILLS BEACH RD

City

BIDDEFORD

State

ME

Zip Code

04005

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

MARYWOOD UNIVERISTY

Street

2300 ADAMS AVE

City

SCRANTON

State

PA

Zip Code

18509

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

EASTERN CT STATE UNIVERSITY

Street

83 WINDHAM ST

City

WILLIMANTIC

State

CT

Zip Code

06226

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNIVERSITY OF RHODE ISLAND

Street

45 UPPER COLLEGE RD

City

KINGSTON

State

RI

Zip Code

02881

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

UNIVERSITY OF VERMONT

Street

223 WATERMAN BUILDING, 85 S PROSPECT ST

City

BURLINGTON

State

VT

Zip Code

05405

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

6,000

Name

ECKERD COLLEGE

Street

4200 54TH AVE S

City

ST PETERSBURG

State

FL

Zip Code

33711

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

FAIRFIELD UNIVERSITY

Street

1073 N BENSON RD

City

FAIRFIELD

State

CT

Zip Code

06824

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

COLGATE UNIVERSITY

Street

13 OAK DR

City

HAMILTON

State

NY

Zip Code

13346

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

UNIVERSITY OF CONNECTICUT

Street

233 GLENBROOK RD, UNIT 4116

City

STORRS

State

CT

Zip Code

06269

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

9,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

QUINNIPAC UNIVERSITY

Street

275 MT CARMEL AVE

| | | | |
|----------------|-------------|-------------------|-----------------|
| City HAMDEN | State CT | Zip Code 06518 | Foreign Country |
|----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SCHOLARSHIPS | Amount 3,000 |
|---|-----------------|

Name

WESTERN CT STATE UNIVERSITY

Street

181 WHITE ST

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City DANBURY | State CT | Zip Code 06810 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SCHOLARSHIPS | Amount 9,000 |
|---|-----------------|

Name

PENN STATE UNIVERSITY

Street

314 SHIELDS BUILDING

| | | | |
|-------------------------|-------------|-------------------|-----------------|
| City UNIVERSITY PARK | State PA | Zip Code 16802 | Foreign Country |
|-------------------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SCHOLARSHIPS | Amount 3,000 |
|---|-----------------|

Name

DARTMOUTH COLLEGE

Street

6024 MCNUTT HALL, DARTMOUTH COLLEGE

| | | | |
|-----------------|-------------|-------------------|-----------------|
| City HANOVER | State NH | Zip Code 03755 | Foreign Country |
|-----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SCHOLARSHIPS | Amount 3,000 |
|---|-----------------|

Name

CORNELL UNIVERSITY

Street

349 PINE TREE RD

| | | | |
|----------------|-------------|-------------------|-----------------|
| City ITHACA | State NY | Zip Code 14850 | Foreign Country |
|----------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SCHOLARSHIPS | Amount 3,000 |
|---|-----------------|

Name

COLLEGE OF THE HOLY CROSS

Street

1 COLLEGE ST

| | | | |
|-------------------|-------------|-------------------|-----------------|
| City WORCESTER | State MA | Zip Code 01610 | Foreign Country |
|-------------------|-------------|-------------------|-----------------|

| | |
|----------------------|-------------------------|
| Relationship NONE | Foundation Status PC |
|----------------------|-------------------------|

| | |
|---|-----------------|
| Purpose of grant/contribution SCHOLARSHIPS | Amount 3,000 |
|---|-----------------|

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SALVE REGINA UNIVERSITY

Street

100 OCHRE POINT AVE

City

NEWPORT

State

RI 02840

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

BUCKNELL UNIVERSITY

Street

701 MOORE AVE

City

LEWISBURG

State

PA 17837

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

NORTH CAROLINA STATE UNIVERSITY

Street

2831 THURMAN DR

City

RALEIGH

State

NC 27695

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIPS

Amount

3,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (930-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Check 'X' to include in Part IV | Description | CUSIP # | Purchaser | Check 'X' if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Totals | | Net Gain or Loss |
|---------------------------------|--------------------------------|-----------|-----------|--------------------------------------|---------------|--------------------|-----------|-------------------|---------------------|------------------|--|--------------|-------------|-------------|-------------------------------|------------------|
| | | | | | | | | | | | | | | Gross Sales | Cost Other Basis and Expenses | |
| | | | | | | | | | | | | | | 976,285 | 976,285 | 0 |
| | | | | | | | | | | | | | | 0 | 0 | 186,210 |
| | | | | | | | | | | | | | | | | |
| 1 X | AFLAC INC | 001055102 | | | 5/31/2004 | | 12/1/2014 | 20,786 | 18,513 | | | | | 0 | 2,273 | |
| 2 X | AMGEN INC | 031162100 | | | 8/22/2006 | | 12/1/2014 | 49,866 | 17,123 | | | | | 0 | 32,743 | |
| 3 X | ABERDEEN TOTAL RETURN FUND | 043153205 | | | 9/24/2009 | | 3/20/2015 | 45,000 | 43,645 | | | | | 0 | 1,355 | |
| 4 X | BRISTOL MYERS SQUIBB CO | 110122108 | | | 9/24/2009 | | 3/20/2015 | 9,517 | 3,137 | | | | | 0 | 6,380 | |
| 5 X | CAPITAL ONE FINANCIAL CORP | 14040H105 | | | 3/16/2011 | | 12/1/2014 | 16,521 | 10,081 | | | | | 0 | 6,440 | |
| 6 X | COACH INC | 189754104 | | | 10/3/2005 | | 12/1/2014 | 6,303 | 5,481 | | | | | 0 | 822 | |
| 7 X | FEDERATED STRATVAL DIV | 314172560 | | | 3/1/2010 | | 3/20/2015 | 10,000 | 6,701 | | | | | 0 | 3,299 | |
| 8 X | JP MORGAN MID CAP VALUE FUND | 339128100 | | | 12/3/2011 | | 3/20/2015 | 10,000 | 7,280 | | | | | 0 | 2,720 | |
| 9 X | GOOGLE INC-CL C | 38259P706 | | | 12/1/2014 | | 5/6/2015 | 38 | 37 | | | | | 0 | 1 | |
| 10 X | HONEYWELL INTERNATIONAL | 438516106 | | | 3/16/2011 | | 12/1/2014 | 16,957 | 10,065 | | | | | 0 | 7,992 | |
| 11 X | INTEL CORP | 458140100 | | | 10/27/2005 | | 12/1/2014 | 29,744 | 18,480 | | | | | 0 | 11,264 | |
| 12 X | INTEL CORP | 458140100 | | | 9/26/2002 | | 12/1/2014 | 558 | 234 | | | | | 0 | 324 | |
| 13 X | LAUDUS MONDRIAN INT GR FUND | 51855Q855 | | | 10/7/2009 | | 3/20/2015 | 23,000 | 28,423 | | | | | 0 | -5,423 | |
| 14 X | LAZARD EMERGING MKTS PT | 52106N889 | | | 9/24/2009 | | 3/20/2015 | 22,000 | 22,390 | | | | | 0 | -390 | |
| 15 X | NIKE INC CL B | 654106103 | | | 12/5/2002 | | 3/20/2015 | 18,955 | 2,078 | | | | | 0 | 16,877 | |
| 16 X | PIMCO TOTAL RETURN H-INS | 693390866 | | | 10/7/2009 | | 11/7/2014 | 302,194 | 300,000 | | | | | 0 | 2,194 | |
| 17 X | PIMCO TOTAL RETURN H-INS | 693390866 | | | 4/11/2006 | | 11/7/2014 | 396,817 | 375,000 | | | | | 0 | 21,817 | |
| 18 X | PIMCO TOTAL RETURN H-INS | 693390866 | | | 8/22/2011 | | 11/7/2014 | 59,876 | 60,000 | | | | | 0 | -124 | |
| 19 X | PEPSICO INC | 713448108 | | | 12/4/2000 | | 12/1/2014 | 34,937 | 15,629 | | | | | 0 | 19,308 | |
| 20 X | PIMCO EMERGING LOCAL BOND FUND | 72201516 | | | 3/16/2011 | | 3/20/2015 | 20,000 | 26,127 | | | | | 0 | -6,127 | |
| 21 X | TEXAS INSTRUMENTS INC | 882508104 | | | 11/25/2002 | | 12/1/2014 | 16,311 | 5,841 | | | | | 0 | 0 | |

Part I, Line 16a (990-PF) - Legal Fees

| | | 2,481 | 0 | 0 | 2,481 |
|----------|-------------------|--------------------------------|-----------------------|---------------------|---|
| | Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 | LEGAL FEES | 2,481 | | | 2,481 |

Part I, Line 16b (990-PF) - Accounting Fees

| | | 1,078 | 0 | 0 | 1,078 |
|---|---------------|--------------------------------|-----------------------|---------------------|---|
| | Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 | TAX PREP FEES | 1,078 | | | 1,078 |

Part I, Line 18 (990-PF) - Taxes

| | | 1,043 | 1,043 | 0 | 0 |
|---|----------------------|--------------------------------------|--------------------------|------------------------|---|
| | Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 | FOREIGN TAX WITHHELD | 1,043 | 1,043 | | |

Part I, Line 23 (990-PF) - Other Expenses

| | 9,570 | 0 | 0 | 9,570 |
|--------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 CSA ADMIN FEES | 9,570 | | | 9,570 |

Part II, Line 13 (990-PF) - Investments - Other

| | Asset Description | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
|----|--------------------------------------|--------------------|-------------------------|------------------------|-----------------|
| 1 | DODGE & COX STOCK FUND #145 | | | 56,075 | 103,428 |
| 2 | HARBOR INTERNATIONAL FD INST #2011 | | | 401,900 | 419,331 |
| 3 | LAZARD EMERGING MKTS PTFL #638 | | | 119,070 | 99,986 |
| 4 | PIMCO HIGH YIELD FD-INST #108 | | | 100,000 | 105,053 |
| 5 | PIMCO FOREIGN BDF USD H-INST #103 | | | 30,525 | 31,083 |
| 6 | ABERDEEN TOTAL RETURN BD FD-I #549 | | | 230,842 | 231,628 |
| 7 | LAUDUS MONDRIAN INT GV FI-INST #2940 | | | 79,858 | 63,601 |
| 8 | JP MORGAN MID CAP VALUE-I #758 | | | 129,520 | 165,780 |
| 9 | ROYCE PREMIER FUND W CLASS #566 | | | 168,000 | 201,192 |
| 10 | PIMCO EMERGING LOCAL BOND IS #332 | | | 23,377 | 16,042 |
| 11 | WESTERN ASSET SHRT-TRM BND-I | | | 750,000 | 742,327 |
| 12 | VANGUARD SHORT TERM BOND ETF | | | 159,953 | 158,138 |
| 13 | FEDERATED STRAT VAL DIV FD IS #662 | | | 57,299 | 82,621 |
| 14 | WFA SHRT TRM HI YLD-INS #3170 | | | 100,000 | 97,462 |
| 15 | AFFILIATED MANAGERS GROUP, INC CO | | | 17,968 | 26,102 |
| 16 | APACHE CORP | | | 19,145 | 18,322 |
| 17 | APPLE INC | | | 47,594 | 51,306 |
| 18 | BOEING CO | | | 13,274 | 13,068 |
| 19 | BRISTOL MYERS SQUIBB CO | | | 12,550 | 33,303 |
| 20 | CVS HEALTH CORPORATION | | | 17,817 | 133,120 |
| 21 | CUMMINS INC. | | | 14,529 | 12,175 |
| 22 | HOME DEPOT INC | | | 20,920 | 58,230 |
| 23 | INTEL CORP | | | 7,571 | 13,842 |
| 24 | INTERNATIONAL BUSINESS MACHS COR | | | 32,330 | 62,853 |
| 25 | JOHNSON & JOHNSON | | | 30,868 | 68,136 |
| 26 | MICROSOFT CORP | | | 18,586 | 31,552 |
| 27 | NIKE INC CL B | | | 6,907 | 68,726 |
| 28 | ORACLE CORPORATION | | | 12,068 | 51,926 |
| 29 | PEPSICO INC | | | 17,638 | 36,707 |
| 30 | PRAXAIR INC COM | | | 12,299 | 26,438 |
| 31 | PROCTER & GAMBLE CO | | | 19,978 | 31,802 |
| 32 | SCHLUMBERGER LTD | | | 18,496 | 20,116 |
| 33 | TEXAS INSTRUMENTS INC | | | 13,143 | 38,272 |
| 34 | UNION PACIFIC CORP | | | 17,242 | 12,861 |
| 35 | UNITED TECHNOLOGIES CORP | | | 22,259 | 59,547 |
| 36 | MCKESSON CORP | | | 13,587 | 12,843 |
| 37 | GOOGLE INC-CL C | | | 13,319 | 15,456 |
| 38 | EXXON MOBIL CORPORATION | | | 23,898 | 22,572 |
| 39 | HONEYWELL INTERNATIONAL INC | | | 13,057 | 23,825 |
| 40 | TARGET CORP | | | 23,927 | 56,340 |
| 41 | UNITEDHEALTH GROUP INC | | | 19,344 | 22,562 |
| 42 | JPMORGAN CHASE & CO | | | 26,607 | 41,665 |
| 43 | CHEVRON CORP | | | 17,252 | 18,223 |
| 44 | BERKSHIRE HATHAWAY INC | | | 14,917 | 13,404 |
| 45 | LAS VEGAS SANDS CORP | | | 12,887 | 9,708 |
| 46 | CITIGROUP INC | | | 12,347 | 12,300 |

Part II, Line 13 (990-PF) - Investments - Other

| | Asset Description | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
|----|-------------------|--------------------|-------------------------|------------------------|-----------------|
| 47 | CME GROUP INC | | | 12,304 | 13,694 |
| 48 | ACE LIMITED | | | 16,722 | 30,648 |
| 49 | | | | | |
| 50 | | | | | |
| 51 | | | | | |
| 52 | | | | | |
| 53 | | | | | |
| 54 | | | | | |
| 55 | | | | | |
| 56 | | | | | |
| 57 | | | | | |
| 58 | | | | | |
| 59 | | | | | |
| 60 | | | | | |
| 61 | | | | | |
| 62 | | | | | |
| 63 | | | | | |
| 64 | | | | | |
| 65 | | | | | |

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

| | | | |
|---|-------------------------------|---|-------|
| 1 | Mutual Fund Timing Difference | 1 | 774 |
| 2 | Recovery of Grants Paid | 2 | 2,500 |
| 3 | Federal Excise Tax Refund | 3 | 1,125 |
| 4 | Total | 4 | 4,399 |

Line 5 - Decreases not included in Part III, Line 2

| | | | |
|---|---------------------------------|---|-----|
| 1 | Cost Basis Adjustment' | 1 | 260 |
| 2 | PY Return of Capital Adjustment | 2 | 235 |
| 3 | Total | 3 | 495 |

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | 1,110,460 | | 0 | | 976,265 | | 134,215 | | 0 | | 0 | | Gains Minus Excess of FMV Over Adjusted Basis or Losses | |
|------------------------------|-----------------------------------|--------------------|-----------|---------------|------------|-------------------|---|----------------------|--|-------------|---|--------------------|--------|---|---|
| Short Term CG Distributions | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| Description of Property Sold | | Acquisition Method | | Date Acquired | | Gross Sales Price | | Depreciation Allowed | | Adjustments | | FMV as of 12/31/13 | | Excess of FMV Over Adj Basis | |
| 1 | AEI ACI INC | CUSIP # | 001085502 | 03162200 | 12/17/2014 | 20,746 | | | | 0 | 0 | 18,513 | 2,271 | 0 | 0 |
| 2 | AMGEN INC | | | 5021004 | 12/17/2014 | 99,865 | | | | 0 | 0 | 17,721 | 32,743 | 0 | 0 |
| 3 | ABERDEEN TOTAL RETURN BD FD-4 | | 45 | 041152009 | 3/20/2006 | 45,000 | | | | 0 | 0 | 43,645 | 1,355 | 0 | 0 |
| 4 | BRISTOL MYERS SQUIBB CO | | | 91012200 | 3/20/2015 | 9,517 | | | | 0 | 0 | 3,137 | 6,380 | 0 | 0 |
| 5 | CAPITAL ONE FINANCIAL CORP | | | 142401005 | 12/17/2014 | - | | | | 0 | 0 | 10,081 | 6,440 | 0 | 0 |
| 6 | COACH INC | | | 100200005 | 12/17/2014 | 6,303 | | | | 0 | 0 | 5,481 | 822 | 0 | 0 |
| 7 | FEEREFATED STRAT VANTDN FD IS #65 | | | 3117210 | 12/17/2014 | - | | | | 0 | 0 | 6,701 | 3,269 | 0 | 0 |
| 8 | JPMORGAN MID CAP VALUE #758 | | | 3,39128100 | 3/20/2015 | 10,000 | | | | 0 | 0 | 7,800 | 2,700 | 0 | 0 |
| 9 | GOOGLE INC-CL C | | | 38259P706 | 12/17/2014 | 5,620 | | | | 0 | 0 | 3,77 | 1 | 0 | 0 |
| 10 | HONEYWELL INTERNATIONAL INC | | | 438516106 | 3/16/2011 | 18,057 | | | | 0 | 0 | 7,982 | - | 0 | 0 |
| 11 | INTEL CORP | | | 456140100 | 10/27/2005 | 12/17/2014 | - | | | 0 | 0 | 16,180 | - | 0 | 0 |
| 12 | INTEL CORP | | | 926500002 | 12/17/2014 | 558 | | | | 0 | 0 | 234 | 324 | 0 | 0 |
| 13 | AUDIS MIDNIRAN INT'L FINANCIAL | | #22 | 518550055 | 10/17/2009 | 23,000 | | | | 0 | 0 | 22,123 | 5,423 | 0 | 0 |
| 14 | LAZARD EMERGING MARKETS PTL | | #33 | 521068N89 | 3/20/2015 | 22,000 | | | | 0 | 0 | 22,390 | -390 | 0 | 0 |
| 15 | NIKE INC CL B | | | 125957002 | 12/17/2014 | 18,935 | | | | 0 | 0 | 16,877 | 0 | 0 | 0 |
| 16 | PIMCO TOTAL RETURN III INSTL #105 | | | 693350066 | 10/17/2009 | 302,184 | | | | 0 | 0 | 300,000 | 0 | 0 | 0 |
| 17 | PIMCO TOTAL RETURN III INSTL #105 | | | 693350066 | 4/11/2006 | 36,817 | | | | 0 | 0 | 375,000 | 21,817 | 0 | 0 |
| 18 | PIMCO TOTAL RETURN III INSTL #105 | | | 693350066 | 8/22/2011 | 1,177 | | | | 0 | 0 | 60,000 | -124 | 0 | 0 |
| 19 | PEPSICO INC | | | 713446108 | 12/17/2014 | - | | | | 0 | 0 | 15,829 | 19,308 | 0 | 0 |
| 20 | PIMCO EMERGING LOCAL BOND IS #33 | | | 72201F156 | 3/20/2015 | 34,937 | | | | 0 | 0 | 26,127 | 6,127 | 0 | 0 |
| 21 | TEXAS INSTRUMENTS INC | | | 882598014 | 11/25/2012 | 16,311 | | | | 0 | 0 | 5,841 | 10,470 | 0 | 0 |

Part VI, Line 6a (990-PF) - Estimated Tax Payments

| | <u>Date</u> | <u>Amount</u> |
|--|-------------|---------------|
| 1 Credit from prior year return | 1 | 1,563 |
| 2 First quarter estimated tax payment | 2 | |
| 3 Second quarter estimated tax payment | 3 | |
| 4 Third quarter estimated tax payment | 4 | |
| 5 Fourth quarter estimated tax payment | 5 | |
| 6 Other payments | 6 | |
| 7 Total | 7 | 1,563 |

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

| | | | |
|----|--|----|--------|
| 1 | Distributable amounts for 2013 that remained undistributed at the beginning of the 2014 tax year | 1 | 14,495 |
| 2 | | 2 | |
| 3 | | 3 | |
| 4 | | 4 | |
| 5 | | 5 | |
| 6 | | 6 | |
| 7 | | 7 | |
| 8 | | 8 | |
| 9 | | 9 | |
| 10 | Total | 10 | 14,495 |