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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 07-01-2014, and ending 06-30-2015

Name of foundation THE AGOURON INSTITUTE		A Employer identification number 95-3248387	
Number and street (or P O box number if mail is not delivered to street address) 1055 E COLORADO BLVD STE 250		Room/suite	B Telephone number (see instructions) (626) 744-5100
City or town, state or province, and ZIP or foreign postal code PASADENA, CA 91106		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 31,910,109		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	435,526	435,526		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	2,614,664			
	b Gross sales price for all assets on line 6a 15,761,084				
	7 Capital gain net income (from Part IV, line 2) . . .		2,614,664		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 2,367	2,367		
	12 Total. Add lines 1 through 11	3,052,557	3,052,557		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	415,417	20,771		394,646
	14 Other employee salaries and wages	292,304	36,130		256,174
	15 Pension plans, employee benefits	24,630	2,463		22,167
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	 18,879	9,439		9,440
	c Other professional fees (attach schedule)	 178,732	178,732		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 95,024	2,459		92,565
	19 Depreciation (attach schedule) and depletion	 1,368			
	20 Occupancy	54,537			54,537
	21 Travel, conferences, and meetings	326,105	16,305		309,800
	22 Printing and publications	275			275
	23 Other expenses (attach schedule)	 63,495	9,475		54,020
	24 Total operating and administrative expenses. Add lines 13 through 23	1,470,766	275,774		1,193,624
	25 Contributions, gifts, grants paid	2,606,618			2,606,618
	26 Total expenses and disbursements. Add lines 24 and 25	4,077,384	275,774		3,800,242
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1,024,827			
	b Net investment income (if negative, enter -0-)		2,776,783		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	7,031,845	1,462,127	1,462,127
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	17,668,150	22,171,308	24,052,484
	c	Investments—corporate bonds (attach schedule).	6,332,571	6,373,080	6,395,498
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 136,553 Less accumulated depreciation (attach schedule) ▶ _____ 133,424	1,905	3,129	
15	Other assets (describe ▶ _____)	6,739	6,739		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	31,041,210	30,016,383	31,910,109	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	31,041,210	30,016,383	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	31,041,210	30,016,383	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	31,041,210	30,016,383	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 31,041,210
2	Enter amount from Part I, line 27a	2 -1,024,827
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 30,016,383
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 30,016,383

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	ATALANTA SOSNOFF	P	2014-06-29	2015-06-30
b	FIRST WILSHIRE	P	2014-06-29	2015-06-30
c	STOLPER & CO /THIRD AVE MGMT	P	2014-06-29	2015-06-30
d	FUNDING	P	2014-06-29	2015-06-30
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,381,151		5,355,563	1,025,588
b 198,427		189,156	9,271
c 8,304,860		7,031,736	1,273,124
d 600,000		569,965	30,035
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,025,588
b			9,271
c			1,273,124
d			30,035
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,614,664
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	2,848,759	33,097,327	0 086072
2012	2,756,444	31,370,338	0 087868
2011	2,804,095	20,402,469	0 137439
2010	5,397,687	38,942,713	0 138606
2009	4,200,816	42,016,951	0 099979

2	Total of line 1, column (d).	2	0 549964
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 109993
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	30,821,715
5	Multiply line 4 by line 3.	5	3,390,173
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	27,768
7	Add lines 5 and 6.	7	3,417,941
8	Enter qualifying distributions from Part XII, line 4.	8	3,800,242

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1

Date of ruling or determination letter (attach copy of letter if necessary—see instructions)

1

27,768

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

27,768

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

27,768

6

Credits/Payments

a

2014 estimated tax payments and 2013 overpayment credited to 2014

6a

39,195

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868)

6c

45,000

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

84,195

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

56,427

11

Enter the amount of line 10 to be Credited to 2015 estimated tax 56,427 Refunded

11

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

By language in the governing instrument, or

By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

No

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)

CA

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)?

If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

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Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of LUCAS HORSFALL CPAS Telephone no (626) 744-5100 Located at 100 E CORSON ST SUITE 200 PASADENA CA ZIP+4 91103			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?. If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

✓

 No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

✓

 No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

✓

 No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes

✓

 No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

✓

 No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

Yes

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

✓

 No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

✓

 No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOAN KOBORI	SCIENTIST	174,241	17,731	
1055 E COLORADO BLVD 250 PASADENA, CA 91106	50 00			
JOYCE KATO	EXEC ASSISTA	72,063	29,324	
1055 E COLORADO BLVD 250 PASADENA, CA 91106	40 00			

Total number of other employees paid over \$50,000.

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. 1

Part IX-A

Expenses

1

Part IX-B

Amount

1 N/A

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	30,292,822
b	Average of monthly cash balances.	1b	998,259
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	31,291,081
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	31,291,081
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	469,366
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	30,821,715
6	Minimum investment return. Enter 5% of line 5.	6	1,541,086

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,541,086
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	27,768
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	27,768
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,513,318
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,513,318
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,513,318

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,800,242
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,800,242
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	27,768
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,772,474
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,513,318
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009.	2,129,758			
b From 2010.	3,497,167			
c From 2011.	1,787,868			
d From 2012.	1,195,291			
e From 2013.	1,237,783			
f Total of lines 3a through e.	9,847,867			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 3,800,242				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				1,513,318
e Remaining amount distributed out of corpus	2,286,924			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,134,791			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	2,129,758			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	10,005,033			
10 Analysis of line 9				
a Excess from 2010. . . .	3,497,167			
b Excess from 2011. . . .	1,787,868			
c Excess from 2012. . . .	1,195,291			
d Excess from 2013. . . .	1,237,783			
e Excess from 2014. . . .	2,286,924			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	2,606,618
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR MELVIN SIMON	CHAIRMAN 30 00	115,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
EDWARD STOLPER	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR WILLIS WOOD	VP & TREASUR 40 00	0	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR THEODORE FRIEDMANN	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR GORDON GILL	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR DAVID HIRSCH	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR DEBORAH SPECTOR	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR GUSTAF ARRHENIUS	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR JOHN ABELSON	PRESIDENT 30 00	105,417	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
GARY FRIEDMAN	EXEC VP & SE 15 00	45,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
PETER JOHNSON	DIRECTOR 15 00	45,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				
DR JOHN GROTZINGER	DIRECTOR 5 00	15,000	0	0
1055 E COLORADO BLVD 250 PASADENA, CA 91106				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA,CA 91125	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	15,000
GORDON RESEARCH CONFERENCES PO BOX 984 WEST KENSINGTON,RI 02892	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	26,000
MASSACHUSETTS INSTITUTE OF TECHNOL EARTH RESOURCES LAB E-34-442 CAMBRIDGE,MA 02139	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	67,000
PRESIDENT & FELLOWS OF HARVARD UNIV 1350 MASSACHUSETTS AVE CAMBRIDGE,MA 02138	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	80,000
PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 436 PRINCETON,NJ 08544	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	70,500
REGENTS OF THE UNIVERSITY OF CA SD 9500 GILMAN DRIVE 0954 LA JOLLA,CA 92093	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	22,000
SCOR (HANSELMAN) SCOR UNIVERSITY OF DELAW NEWARK,DE 19716	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	40,000
UNIVERSITY OF HAWAII 2444 DOLE ST HONOLULU,HI 96822	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	232,875
UNIVERSITY OF SOUTHERN CALIFORNIA WRIGLEY INSTITUTE 3651 TROUSDALE PKWY ZHS LOS ANGELES,CA 90089	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	230,000
UNIVERSITY OF BRISTOL TYNDALL AVENUE BRISTOL,UNITED KINGDOM BS8 1TS UK	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	67,000
WOODS HOLE OCEANOGRAPHIC INSITUTE 569 WOODS HOLE ROAD WOODS HOLE,MA 02543	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	197,000
MARINE BIOLOGICAL LAB 7 MBL ST WOODS HOLE,MA 02543	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000
SELKIRK CONSERVATION ALLIANCE 119 MAIN ST SUITE 204 PRIEST RIVER,ID 83856	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	35,000
GEORGIA INSTITUTE OF TECHNOLOGY 505 10TH ST NW ATLANTA,GA 30332	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	8,000
YALE UNIVERSITY PO BOX 208109 NEW HAVEN,CT 06520	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	67,000
Total  3a				2,606,618

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF CA SAN FRANCISCO 600 16TH STREET SAN FRANCISCO,CA 94143	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	690,250
NEW YORK STRUCTURAL BIOLOGY CENTER 89 CONVENT AVE NEW YORK,NY 10027	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	336,000
INDIANA UNIVERSITY 509 E 3RD STREET BLOOMINGTON,IN 47401	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	8,000
COLORADO STATE UNIVERSITY 1682 CAMPUS DELIVERY FORT COLLINS,CO 80523	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000
UC REGENTS RIVERSIDE 2258 GEOLOGY BLDG RIVERSIDE,CA 92521	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	5,000
COLORADO SCHOOL OF MINES 1500 ILLINOIS STREET GOLDEN,CO 80401	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000
COLUMBIA UNIVERSITY 100 HAVEN AVE SUITE 29D NEW YORK,NY 10032	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	326,291
UC REGENTS DAVIS ONE SHIELDS AVE DAVIS,CA 95616	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	5,000
BAYLOR COLLEGE OF MEDICINE 14800 FRYE ROAD FORT WORTH,TX 76155	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	20,000
STANFORD UNIVERSITY 450 SIERRA HALL BLDG 320 STANFORD,CA 94305	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	32,500
GRANT REFUND - NORTHWESTERN UNIVER 633 CLARK STREET EVANSTON,IL 60208	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	-3,798
Total			 3a	2,606,618

TY 2014 Accounting Fees Schedule**Name:** THE AGOURON INSTITUTE**EIN:** 95-3248387

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING, AUDIT & TAX PREP	18,879	9,439		9,440

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: THE AGOURON INSTITUTE
EIN: 95-3248387

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
CREDENZA, BOOKCASE, KEYBR	1999-08-01	1,854	1,854	S/L	7 0000				
LASER PRINTER HP-5SI	1999-08-01	2,382	2,382	S/L	5 0000				
MAS-90 S/W (AP & GL)	1999-08-01	2,098	2,098	S/L	3 0000				
DELL COMPUTER WORKSTATIO	1999-08-01	4,075	4,075	S/L	5 0000				
FOUNDATION SOFTWARE	1999-10-01	1,200	1,200	S/L	3 0000				
FOUNDATION SOFTWARE	2000-04-01	1,000	1,000	S/L	3 0000				
COMPUTER EQUIP (KPMG)	1998-02-01	11,900	11,900	S/L	5 0000				
DESK, CDZA,BKCS,CHR	2001-11-01	9,486	9,486	S/L	7 0000				
MEDIA BOARD	2001-11-01	2,838	2,838	S/L	5 0000				
CONFERENCE TABLE	2001-11-01	6,914	6,914	S/L	7 0000				
LEASEHOLD IMPROVEMENTS	2001-11-01	48,095	48,095	S/L	10 0000				
LEASE ACQUISITION COSTS	2001-11-01	5,185	5,185	S/L	10 0000				
STYLUS C80 PRINTER	2002-03-01	314	314	S/L	5 0000				
IMAC POWERBOOK G4	2002-03-01	4,218	4,218	S/L	5 0000				
NETWORK HARDWARE	2002-03-01	1,675	1,675	S/L	5 0000				
LCD PROJECTOR	2002-07-16	3,567	3,567	S/L	5 0000				
POLYCOM CONF PHONE (2)	2003-04-01	680	680	S/L	5 0000				
CTOPOWERBOOK G4/15 + MEM	2004-06-17	3,565	3,565	S/L	5 0000				
SOFTWARE CTOPOWERBOOK	2004-06-17	1,897	1,897	S/L	3 0000				
COMPUTER	2004-12-01	1,710	1,710	S/L	5 0000				

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2006-04-30	1,355	1,355	S/L	5 0000				
DREAMWEAVER MAC CMPTR	2007-02-01	863	863	S/L	5 0000				
IMAC DESKTOP CMPTR-GARY	2007-11-01	2,104	2,104	S/L	5 0000				
MACBOOK PRO LAPTOP-GARY	2007-11-01	3,659	3,659	S/L	5 0000				
HPL7680 COLOR PRNTR-GARY	2007-11-01	569	569	S/L	5 0000				
ADOBE BACK UP HARD DRIVES	2008-05-06	3,390	3,390	S/L	5 0000				
IMAC LAPTOP (KATO)	2008-05-06	2,099	2,099	S/L	5 0000				
TIME CAPSULE B/U S/W (GF)	2008-05-01	598	598	S/L	3 0000				
XEROX 8560 PRINTER	2008-05-22	981	981	S/L	5 0000				
MACBOOK PRO LAPTOP	2008-05-22	2,164	2,164	S/L	5 0000				
FUJITSU SCANNER	2009-04-02	503	503	S/L	5 0000				
APPLE LAPTOP	2010-11-01	1,850	1,357	S/L	5 0000	370			
APPLE CMPTR - KOBORI	2011-11-21	1,952	1,009	S/L	5 0000	390			
LAPTOP-FRIEDMAN	2012-03-12	880	411	S/L	5 0000	176			
APPLE MACBOOK 16GB GFRIEDMAN	2014-08-24	2,592		S/L	5 0000	432			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Gain/Loss from Sale of Other Assets Schedule

Name: THE AGOURON INSTITUTE

EIN: 95-3248387

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MACBOOK PRO LAPTOP-GARY	2007-11	PURCHASE	2014-08			3,659				3,659

**TY 2014 Investments Corporate
Bonds Schedule****Name:** THE AGOURON INSTITUTE**EIN:** 95-3248387

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DEBT SECURITIES	6,373,080	6,395,498

**TY 2014 Investments Corporate
Stock Schedule****Name:** THE AGOURON INSTITUTE**EIN:** 95-3248387

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	22,171,308	24,052,484

TY 2014 Land, Etc. Schedule

Name: THE AGOURON INSTITUTE

EIN: 95-3248387

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	136,553	133,424	3,129	

TY 2014 Other Assets Schedule

Name: THE AGOURON INSTITUTE

EIN: 95-3248387

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSITS	6,739	6,739	

TY 2014 Other Expenses Schedule

Name: THE AGOURON INSTITUTE

EIN: 95-3248387

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
TELEPHONE	1,928	964		964
BANK CHARGES	2,491	2,491		
SUPPLIES	1,280	896		384
COMPUTER & INTERNET	658	329		329
POSTAGE & DELIVERY	470	329		141
HEALTH INSURANCE	22,426	2,243		20,183
OFFICE EXPENSES	1,884	1,319		565
WORKERS COMPENSATION INSURANC	2,642	396		2,246
PAYROLL PROCESSING FEES	1,504	226		1,278
PARKING	2,820	282		2,538
INSURANCE	14,327			14,327
INTERNET	1,065			1,065
HONORARIUMS	10,000			10,000

TY 2014 Other Income Schedule

Name: THE AGOURON INSTITUTE

EIN: 95-3248387

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	1,394	1,394	
FOREIGN TAX - ACCRUAL TO CASH	973	973	

TY 2014 Other Professional Fees Schedule

Name: THE AGOURON INSTITUTE

EIN: 95-3248387

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	178,732	178,732		

TY 2014 Taxes Schedule**Name:** THE AGOURON INSTITUTE**EIN:** 95-3248387

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES & LICENSES	75,876			75,876
PAYROLL TAXES	19,148	2,459		16,689

TY 2014 GeneralDependencySmall

Name: THE AGOURON INSTITUTE
EIN: 95-3248387

Business Name or Person Name:

Taxpayer Identification Number:

**Form, Line or Instruction
Reference:**

Regulations Reference:

Description: GENERAL FOOTNOTE

Attachment Information: STATEMENT REGARDING FORM 990-PF, PART IV - CAPITAL GAINS & LOSSES: ' THE DATES SPECIFIED IN PART IV OF FORM 990-PF WERE USED PURELY FOR ELECTRONIC FILING PURPOSES. THE ACTUAL DATES OF THE ACQUISITIONS AND SALES IS "VARIOUS". FULL INFORMATION REGARDING THE CAPITAL GAINS AND LOSSES FOR THE YEAR ENDING JUNE 30, 2015 IS AVAILABLE UPON REQUEST.