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Department of the Treasury

Internal Revenue Service

#### DLN: 93491014001096

OMB No 1545-0052

2014

Open to Public Inspection

# Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at <a href="www.irs.gov/form990pf">www.irs.gov/form990pf</a>.

F Information about 10111 330 FT and its instructions is at www.irs.gov/10111330pt.

| For                    | cale    | endar year 2014, or tax ye  | , aı                                     | and ending 06-30-2015        |                |                       |  |  |
|------------------------|---------|---|--|------------------------------|----------------|-----------------------|--|--|
|                        |         | undation<br>POINT FOUNDATION INC  |  |                              |                | A Employer id         | entification numbe   | er   |
| 31                     | VUKDSF  | FOINT FOUNDATION INC  |  |                              |                | 59-3814672            |  |  |
| Num                    | ber and | d street (or P O box number if mail is not                                  | delivered to street address              | ) Room/suite                 |                | <b>B</b> Telephone nu | ımber (see ınstructior                                       | ıs)  |
|                        |         | RRY ST FL 2   |  | ,                            |                | (203) 972-4155        | 5  |  |
| City                   | or town | n, state or province, country, and ZIP or fo                                | oreign nostal code                       |                              |                | C If exemption        | application is pendin  | check here   |
|                        |         | AN, CT 068405521  | oreign postar code                       |                              |                | o ii oxomption        | арричаског в решани  | g, and an incidence of the particular of the par |
| <u> </u>               | haalı s | all that apply  | Truttal ratura of a                      | former public charity        | .,             | <b>.</b>              |  | . –  |
| G C                    | iieck a | Final return  | A mended return                          | Torrier public charic        | у              | _                     | rganizations, check he                                       | •  |
|                        |         | <del>_</del>  | Name change                              |                              |                | test, chec            | rganizations meeting<br>:k here and attach co                | mputation  |
| _                      |         | ype of organization 🔽 Section 5   |  |                              |                |                       | undation status was t<br>n 507(b)(1)(A), chec                |  |
| S                      | ection  | n 4947(a)(1) nonexempt charitable   |  |                              |                |                       | ( ) ( ) ( )  |  |
|                        |         | ket value of all assets at end from Part II, col. (c),                      | Accounting method                        | ▼ Cash                       | rual           |                       | ation is in a 60-mont <mark>l</mark><br>n 507(b)(1)(B), chec |  |
|                        |         |   | Other (specify) (Part I, column (d) must | be on cash basis.)           |                | ander sectio          | 11 307(b)(1)(b), chee  | K Here   |
| Pa                     | rt I    | Analysis of Revenue an  |  |                              |                |                       |  | (d) Disbursements  |
|                        |         | total of amounts in columns (b), (c), necessarily equal the amounts in colu | and (d) may not                          | (a) Revenue and expenses per | ( <b>b</b> ) N | let investment income | (c) Adjusted net<br>income                                   | for charitable purposes  |
|                        |         | instructions))  | ,,,,,,, (a) (see                         | books                        |                |                       |  | (cash basis only)  |
|                        | 1       | Contributions, gifts, grants, etc ,   | received (attach                         |                              |                |                       |  |  |
|                        | _       | schedule)   |  | 1,840,000                    |                |                       |  |  |
|                        | 2       | Check ► ☐ If the foundation is <b>n</b>                                     | ot required to attach                    |                              |                |                       |  |  |
|                        |         | Sch B   |  |                              |                |                       | _  |  |
|                        | 3       | Interest on savings and tempora   | · •                                      | 5                            |                | 5                     | 5  |  |
|                        | 4       | Dividends and interest from secu  | irities                                  | 26,137                       |                | 26,137                | 26,137   |  |
|                        | 5a<br>b | Gross rents   |  |                              |                |                       |  |  |
| Ф                      | 6a      | Net gain or (loss) from sale of as  | sets not on line 10                      | -439,088                     |                |                       |  |  |
| Revenue                | Ь       | Gross sales price for all assets of   |  | ,                            |                |                       |  |  |
|                        |         | <u> </u>  |  |                              |                |                       |  |  |
|                        | 7       | Capital gain net income (from Pa  | -  |                              |                | 0                     |  |  |
|                        | 8       | Net short-term capital gain   | ŀ  |                              |                |                       |  |  |
|                        | 9       | Income modifications  | ` ', ' ' ' ' ' ' ' '                     |                              |                |                       |  |  |
|                        | 10a     | Gross sales less returns and allowances                                     |  |                              |                |                       |  |  |
|                        | Ь       | Less Cost of goods sold   |  |                              |                |                       |  |  |
|                        | c       | Gross profit or (loss) (attach sch  | nedule)                                  |                              |                |                       |  |  |
|                        | 11      | Other income (attach schedule)  |  | 2,954                        |                | 2,954                 | 2,954  |  |
|                        | 12      | <b>Total.</b> Add lines 1 through 11 .                                      |  | 1,430,008                    |                | 29,096                | 29,096   |  |
|                        | 13      | Compensation of officers, director  | ŀ  | 0                            |                | 0                     | 0  | 0  |
| ,n                     | 14      | Other employee salaries and wag   |  |                              |                |                       |  |  |
| Š                      | 15      | Pension plans, employee benefits  | -  | <b>96.</b> 4,296             |                | 0                     | 0  | 4 206  |
| Administrative Expense | 16a     | Legal fees (attach schedule) Accounting fees (attach schedul                | ŀ  | <b>4</b> ,296 <b>5</b> ,807  |                | 2,903                 | 0  | 4,296<br>2,904   |
| Ä                      | b       | Other professional fees (attach s   | · .                                      | 5,007                        |                | 2,303                 | 0  | 2,504  |
| ₹                      | 17      | Interest  |  | 20,295                       |                | 20,295                | 0  | 0  |
| rat                    | 18      | Taxes (attach schedule) (see ins  | ŀ  | <b>%</b> 115                 |                | 115                   | 0  | 0  |
| <u>5</u>               | 19      | Depreciation (attach schedule) a  |  |                              |                |                       |  |  |
| 를                      | 20      | Occupancy   |  |                              |                |                       |  |  |
| Ą                      | 21      | Travel, conferences, and meeting  | gs                                       |                              |                |                       |  |  |
| and                    | 22      | Printing and publications   |  |                              |                |                       |  |  |
|                        | 23      | Other expenses (attach schedule   | e) [                                     | 98,680                       |                | 68,863                | 0  | 29,817   |
| atır                   | 24      | Total operating and administrati  | -  |                              |                |                       |  |  |
| Operating              |         | Add lines 13 through 23   | ŀ  | 129,193                      |                | 92,176                | 0  | 37,017   |
| Ō                      | 25      | Contributions, gifts, grants paid.  | ŀ  | 1,485,200                    |                |                       |  | 1,485,200  |
|                        | 26      | Total expenses and disbursement 25  | <b>ts.</b> Add lines 24 and              | 1,614,393                    |                | 92,176                | 0  | 1,522,217  |
|                        | 27      | Subtract line 26 from line 12   |  | _,01.,000                    |                | ,                     | 3  | _,   |
|                        | а       | Excess of revenue over expenses   | and disbursements                        | -184,385                     |                |                       |  |  |
|                        | ь       | Net investment income (If negati  | ve, enter -0-)                           |                              |                | 0                     |  |  |
|                        | c       | Adjusted net income (if negative  | , enter -0 -)                            |                              |                |                       | 29,096   |  |

| Pa        | rt II    | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | (a) Book Value       | (b) Book Value | (c) Fair Market Value |
|-----------|----------|---|----------------------|----------------|-----------------------|
|           | 1        | Cash—non-interest-bearing   | · ·                  | . ,            |                       |
|           | 2        | Savings and temporary cash investments  | 708,241              | 8,621          | 8,621                 |
|           | 3        | Accounts receivable 🟲   |                      |                |                       |
|           |          | Less allowance for doubtful accounts  |                      |                |                       |
|           | 4        | Pledges receivable 🟲  |                      |                |                       |
|           |          | Less allowance for doubtful accounts 🕨  |                      |                |                       |
|           | 5        | Grants receivable   |                      |                |                       |
|           | 6        | Receivables due from officers, directors, trustees, and other   |                      |                |                       |
|           |          | disqualified persons (attach schedule) (see instructions)   | 500                  | 500            | 500                   |
|           | 7        | Other notes and loans receivable (attach schedule)  |                      |                |                       |
|           | ′        | Less allowance for doubtful accounts -  |                      |                |                       |
|           | 8        | Inventories for sale or use   |                      |                |                       |
| sets<br>S | 9        | Prepaid expenses and deferred charges   |                      |                |                       |
| Ą.        |          | Investments—U S and state government obligations (attach schedule)  |                      |                |                       |
| _         |          | Investments—corporate stock (attach schedule)   |                      |                |                       |
|           |          | Investments—corporate bonds (attach schedule)   |                      |                |                       |
|           |          | Investments—land, buildings, and equipment basis -  |                      |                |                       |
|           | **       | Less accumulated depreciation (attach schedule)   |                      |                |                       |
|           | 12       | Investments—mortgage loans  |                      |                |                       |
|           |          | Investments—nortgage loans  | 9,173,460            | 9,688,695      | 8,501,351             |
|           |          | Land, buildings, and equipment basis  | 3,173,400            | 3,000,033      | 0,301,331             |
|           | 14       | Less accumulated depreciation (attach schedule)   |                      |                |                       |
|           |          | <b>-</b>  |                      |                |                       |
|           | 15       | Other assets (describe)  Total assets (to be completed by all filers—see the  |                      |                |                       |
|           | 16       | Instructions Also, see page 1, item I)  | 9,882,201            | 9,697,816      | 8,510,472             |
|           | 17       | Accounts payable and accrued expenses   | 3,002,201            | 3,037,010      | 0,310,472             |
|           | 18       | Grants payable  |                      |                |                       |
| ψ.        | 19       | Deferred revenue  |                      |                |                       |
| ≟         | 20       | Loans from officers, directors, trustees, and other disqualified persons  |                      |                |                       |
| abilities | 21       | Mortgages and other notes payable (attach schedule)   |                      |                |                       |
|           |          | Other liabilities (describe   |                      |                |                       |
|           | 22<br>23 | Total liabilities (add lines 17 through 22)   | 0                    | 0              |                       |
|           | 23       | Foundations that follow SFAS 117, check here  | · ·                  |                |                       |
| ري<br>do  |          | and complete lines 24 through 26 and lines 30 and 31.   |                      |                |                       |
| Balance   | 24       | Unrestricted  |                      |                |                       |
| <u>ಣ</u>  | 25       | Temporarily restricted  |                      |                |                       |
| <u> </u>  | 26       | Permanently restricted  |                      |                |                       |
| Fund      | 20       | Foundations that do not follow SFAS 117, check here   |                      |                |                       |
| 正         |          | and complete lines 27 through 31.   |                      |                |                       |
| ŏ         | 27       | Capital stock, trust principal, or current funds  |                      | 0              |                       |
| Assets    | 28       | Paid-in or capital surplus, or land, bldg, and equipment fund   | 0                    | 0              |                       |
| Š         | 29       | Retained earnings, accumulated income, endowment, or other funds  | 9,882,201            | 9,697,816      |                       |
| ž         | 30       | Total net assets or fund balances (see instructions)  | 9,882,201            | 9,697,816      |                       |
| Z         | 31       | Total liabilities and net assets/fund balances (see instructions)   | 9,882,201            | 9,697,816      |                       |
| Da        | rt III   |   | 3,002,201            | 3,037,010      |                       |
|           |          | Analysis of Changes in Net Assets or Fund Balances  Total net assets or fund balances at beginning of year—Part II, column (      | (a) line 20 (must sa | ıraa           |                       |
| 1         |          |   | a), line 30 (must aç |                | 9,882,201             |
| 2         |          | Enter amount from Part I, line 27a  |                      | <del></del>    | -184,385              |
| 3         |          | Other increases not included in line 2 (itemize)  |                      | 3              | 107,505               |
| 4         |          | Add lines 1, 2, and 3   |                      |                | 9,697,816             |
| -<br>5    |          | Decreases not included in line 2 (itemize)  |                      | 5              | 0                     |
| 6         |          | Total net assets or fund balances at end of year (line 4 minus line 5)—Pa   |                      | _              | 9,697,816             |

|                       |   | the kınd(s) of property sold (e g , re<br>ouse, or common stock, 200 shs M  | ALC Co.)   | P) How acquired P—Purchase D—Donation                 | (c) Date acquired (mo , day, yr ) | (d) Date sold<br>(mo , day, yr )  |  |
|-----------------------|---|---|--|---|-----------------------------------|---|--|
| 1a                    | See Additional Data   | Table   |  |   |                                   |   |  |
| b                     |   |   |  |   |                                   |   |  |
| c                     |   |   |  |   |                                   |   |  |
| d                     |   |   |  |   |                                   |   |  |
| e                     |   |   |  |   |                                   |   |  |
|                       |   | (f) Depreciation allowe   | d (g) Cost or  | other basis   | (h) Gain                          | or (loss)   |  |
| (e)                   | ) Gross sales price   | (or allowable)  | plus expen   |   |                                   | minus (g)   |  |
| а                     | See Additional Data   | Table   |  |   |                                   |   |  |
| b                     |   |   |  |   |                                   |   |  |
| С                     |   |   |  |   |                                   |   |  |
| d                     |   |   |  |   |                                   |   |  |
| e                     |   |   |  |   |                                   |   |  |
| Cor                   | mplete only for assets  | showing gain in column (h) and owne   | ed by the foundation on  | 12/31/69  | (I) Gains (Col                    | (h) gain minus  |  |
|                       |   | (j) Adjusted basis  | (k) Excess   |   | col (k), but not                  | ess than -0-) <b>or</b>   |  |
|                       | FM V as of 12/31/69   | as of 12/31/69  | over col   | (j), if any   | Losses (fro                       | om col (h))   |  |
| а                     | See Additional Data   | Table   |  |   |                                   |   |  |
| b                     |   |   |  |   |                                   |   |  |
| С                     |   |   |  |   |                                   |   |  |
| d                     |   |   |  |   |                                   |   |  |
| e                     |   |   |  |   |                                   |   |  |
| 2                     | Capital gain net inco   | me or (net capital loss)  | If gain, also enter in P<br>If (loss), enter -0 - in F                       |   |                                   | 420.000   |  |
|                       |   |   |  | , l   | 2                                 | -439,088  |  |
| 3                     |   | al gain or (loss) as defined in sectio                                      |  | ,   |                                   |   |  |
|                       | = -   | Part I, line 8, column (c) (see instru                                      | ictions) If (loss), enter  | r-0-  |                                   |   |  |
|                       | ın Part I, line 8 .   |   | · · · · · ·  | · ,   | 3                                 | -122,279  |  |
| Part \                | V Qualification   | Jnder Section 4940(e) for R   | Reduced Tax on Ne  | et Investme   | nt Income                         |   |  |
| For opti              | ional use by domestic   | orivate foundations subject to the se                                       | ection 4940(a) tax on i  | net investment  | ıncome )                          |   |  |
| [fsection             | on 4940(d)(2) applies,  | leave this part blank   |  |   |                                   |   |  |
|                       |   |   |  |   | 10                                |   |  |
|                       |   | e section 4942 tax on the distribut<br>ot qualify under section 4940(e)  Di |  |   | eriod?                            | ┌ Yes ┌ No  |  |
|                       |   |   |  |   |                                   |   |  |
| I E III               |   | unt ın each column for each year, s   | r  | making any end  |                                   |   |  |
| Base                  | (a)<br>period years Calendar  | (b)   | (c)  |   | <b>(d)</b><br>Distributior        | n ratio   |  |
|                       | or tax year beginning in)   | Adjusted qualifying distributions   | Net value of noncharitabl  | ie-use assets   | (col (b) divided                  |   |  |
| year (o               |   |   |  |   |                                   |   |  |
| year (o               | 2013  | 1,004,416   |  | 8,677,820   |                                   | 0 115745  |  |
| year (o               | 2012  | 804,502   |  | 8,660,200   |                                   | 0 092896  |  |
| year (c               | 2012<br>2011  | 804,502<br>1,176,516  |  | 8,660,200<br>7,577,003                                |                                   | 0 092896<br>0 155275  |  |
| year (o               | 2012<br>2011<br>2010  | 804,502<br>1,176,516<br>1,394,115   |  | 8,660,200<br>7,577,003<br>10,004,108                  |                                   | 0 092896<br>0 155275<br>0 139354  |  |
|                       | 2012<br>2011<br>2010<br>2009  | 804,502<br>1,176,516<br>1,394,115<br>1,192,754                              |  | 8,660,200<br>7,577,003                                | 2                                 | 0 092896<br>0 155275<br>0 139354<br>0 117090  |  |
| 2                     | 2012<br>2011<br>2010<br>2009<br><b>Total</b> of line 1, colum   | 804,502<br>1,176,516<br>1,394,115<br>1,192,754                              |  | 8,660,200<br>7,577,003<br>10,004,108<br>10,186,629    | 2                                 | 0 092896<br>0 155275<br>0 139354<br>0 117090  |  |
|                       | 2012 2011 2010 2009 Total of line 1, column   | 804,502<br>1,176,516<br>1,394,115<br>1,192,754                              | vide the total on line 2   | 8,660,200<br>7,577,003<br>10,004,108<br>10,186,629    | 2 3                               | 0 092896<br>0 155275<br>0 139354<br>0 117090<br>0 620360                                  |  |
| 2                     | 2012 2011 2010 2009  Total of line 1, column A verage distribution the number of years  | 804,502<br>1,176,516<br>1,394,115<br>1,192,754<br>In (d)                    | vide the total on line 2<br>e if less than 5 years                           | 8,660,200 7,577,003 10,004,108 10,186,629 by 5, or by |                                   | 0 092896<br>0 155275<br>0 139354<br>0 117090<br>0 620360                                  |  |
| 2 3                   | 2012 2011 2010 2009 Total of line 1, colum Average distribution the number of years Enter the net value of  | 804,502 1,176,516 1,394,115 1,192,754 In (d)                                | vide the total on line 2<br>e if less than 5 years<br>4 from Part X, line 5. | 8,660,200 7,577,003 10,004,108 10,186,629 by 5, or by | 3                                 | 0 092896<br>0 155275<br>0 139354<br>0 117090<br>0 620360<br>0 124072<br>767,207           |  |
| 2 3 4                 | 2012 2011 2010 2009  Total of line 1, column A verage distribution the number of years of the first the net value of Multiply line 4 by line                                  | 804,502 1,176,516 1,394,115 1,192,754 In (d)                                | vide the total on line 2<br>e if less than 5 years<br>4 from Part X, line 5. | 8,660,200 7,577,003 10,004,108 10,186,629 by 5, or by | 3 4                               | 0 092896<br>0 155275<br>0 139354<br>0 117090<br>0 620360<br>0 124072<br>767,207<br>95,189 |  |
| 2<br>3<br>4<br>5      | 2012 2011 2010 2009 Total of line 1, column Average distribution the number of years Enter the net value of Multiply line 4 by line Enter 1% of net investigations.           | 804,502 1,176,516 1,394,115 1,192,754 In (d)                                | vide the total on line 2 e if less than 5 years 4 from Part X, line 5        | 8,660,200 7,577,003 10,004,108 10,186,629             | 3 4 5                             | 0 092896<br>0 155275<br>0 139354<br>0 117090<br>0 620360<br>0 124072<br>767,207<br>95,189 |  |
| 2<br>3<br>4<br>5<br>6 | 2012 2011 2010 2009  Total of line 1, column A verage distribution the number of years of the net value of Multiply line 4 by line Enter 1% of net inverse Add lines 5 and 6. | 804,502  1,176,516  1,394,115  1,192,754  In (d)                            | vide the total on line 2 e if less than 5 years 4 from Part X, line 5        | 8,660,200 7,577,003 10,004,108 10,186,629 by 5, or by | 3<br>4<br>5<br>6                  | 0 092896<br>0 155275<br>0 139354  |  |

| Form | 990-PF (2014)  |              | Р   | age <b>4</b> |
|------|--|--------------|-----|--------------|
| Par  | t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the ins                  | tructio      | ns) |              |
| 1a   | Exempt operating foundations described in section 4940(d)(2), check here 🟲 🦵 and enter "N/A" 🔻 🚶                               |              |     |              |
|      | on line 1  |              |     |              |
|      | Date of ruling or determination letter(attach copy of letter if necessary-see  |              |     |              |
| h    | instructions)  Domestic foundations that meet the section 4940(e) requirements in Part V, check  1                             |              |     | 0            |
| b    | J <del>                                    </del>  |              |     | 0            |
|      | here F and enter 1% of Part I, line 27b  |              |     |              |
| С    | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)          |              |     |              |
| 2    | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others                                  |              |     |              |
|      | enter -0-) <b>2</b>  |              |     | 0            |
| 3    | Add lines 1 and 2  |              |     | 0            |
| 4    | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others                               |              |     | 0            |
| _    | enter -0-)  Tou bood on investment in some Cubbrack line 4 from line 2. If none on less on the 0.                              |              |     | 0            |
| 5    | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0  |              |     | 0            |
| 6    | C redits/P ayments   |              |     |              |
| а    | 2014 estimated tax payments and 2013 overpayment credited to 2014 6a 3,402   |              |     |              |
| b    | Exempt foreign organizations—tax withheld at source 6b   |              |     |              |
| C    | Tax paid with application for extension of time to file (Form 8868) 6c   |              |     |              |
| d    | Backup withholding erroneously withheld 6d   |              |     |              |
| 7    | Total credits and payments Add lines 6a through 6d   |              |     | 3,402        |
| 8    | Enter any <b>penalty</b> for underpayment of estimated tax. Check here 🗀 if Form 2220 is attached.                             |              |     |              |
| 9    | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed  |              |     |              |
| 10   | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid <b>10</b>                            |              |     | 3,402        |
| 11   | Enter the amount of line 10 to be Credited to 2015 estimated tax 3,402 Refunded 11   |              |     | 0            |
| Par  | t VII-A Statements Regarding Activities  |              |     |              |
| 1a   | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did                  |              | Yes | No           |
|      | ıt partıcıpate or ıntervene ın any political campaign?   | . 1a         |     | No           |
| ь    | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions          |              |     |              |
|      | for definition)?   | 1b           |     | No           |
|      | If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials |              |     |              |
|      | published or distributed by the foundation in connection with the activities.  |              |     |              |
| c    | Did the foundation file <b>Form 1120-POL</b> for this year?  | 1c           |     | No           |
| d    | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year                              |              |     |              |
| •    | (1) On the foundation $\Rightarrow$ \$0 (2) On foundation managers $\Rightarrow$ \$0   |              |     |              |
| e    | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed                  |              |     |              |
| _    | on foundation managers $\blacktriangleright$ \$0   |              |     |              |
| 2    | Has the foundation engaged in any activities that have not previously been reported to the IRS?                                | ] 2          |     | No           |
| 2    |  | <del> </del> |     | No           |
| _    | If "Yes," attach a detailed description of the activities.   |              |     |              |
| 3    | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles                 | _            |     |              |
| _    | of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                    | . 3          |     | No           |
| 4a   | Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                    | 4a           |     | No           |
| b    | If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?  | 4b           |     |              |
| 5    | Was there a liquidation, termination, dissolution, or substantial contraction during the year?                                 | . 5          |     | No           |
|      | If "Yes," attach the statement required by General Instruction T.  | 1            |     |              |
| 6    | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either                               |              | Į į | ļ            |
|      | By language in the governing instrument, or  |              |     |              |
|      | By state legislation that effectively amends the governing instrument so that no mandatory directions                          | ]            | ]   |              |
|      | that conflict with the state law remain in the governing instrument?   | . 6          | Yes |              |
| 7    | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c),          |              |     |              |
|      | and Part XV.   | . 7          | Yes |              |
| 8a   | Enter the states to which the foundation reports or with which it is registered (see instructions)                             |              |     |              |
|      | <b>▶</b>   | 1            |     |              |
| b    | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney                           |              |     |              |
|      | General (or designate) of each state as required by General Instruction G? If "No," attach explanation .                       | 8b           | Yes |              |
| 9    | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3)                   |              |     |              |
|      | or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)?                    |              |     |              |
|      | If "Yes," complete Part XIV  | . 9          |     | No           |
| 10   | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names           |              |     |              |
|      | and addresses.   | . 10         |     | No           |
|      |  |              |     |              |

| 11  | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the   |       |      |     |
|-----|---|-------|------|-----|
|     | meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   | 11    |      | No  |
| 12  | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had   |       |      |     |
|     | advisory privileges? If "Yes," attach statement (see instructions)  | 12    |      | No  |
| 13  | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?   | 13    | Yes  |     |
|     | Website address ►N/A  |       |      |     |
| 14  | The books are in care of ►TAXPAYERTelephone no ►(203)   | 972-  | 4155 |     |
|     | Located at ►107 CHERRY STREET FL 2 NEW CANAAN CT ZIP+4 ►06840   |       |      |     |
| 15  | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here   |       |      | ► F |
|     | and enter the amount of tax-exempt interest received or accrued during the year   | •     |      | ,   |
|     | <u> </u>  |       | l    |     |
| 16  | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over  | ا ء د | Yes  | No  |
|     | a bank, securities, or other financial account in a foreign country?  | 16    |      | No  |
|     | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country |       |      |     |
|     |   |       |      |     |
| Pal | TEVII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.              |       | Yes  | No  |
| 1-  |   |       | 165  | NO  |
| Ia  | During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes Vo                |       |      |     |
|     | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   |       |      |     |
|     | a disqualified person?  |       |      |     |
|     | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  |       |      |     |
|     | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  |       |      |     |
|     | (5) Transfer any income or assets to a disqualified person (or make any of either available   |       |      |     |
|     | for the benefit or use of a disqualified person)?   |       |      |     |
|     | (6) A gree to pay money or property to a government official? (Exception. Check "No"  |       |      |     |
|     | if the foundation agreed to make a grant to or to employ the official for a period  |       |      |     |
|     | after termination of government service, if terminating within 90 days )  |       |      |     |
| b   | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations   |       |      |     |
|     | section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?   | 1b    |      |     |
|     | Organizations relying on a current notice regarding disaster assistance check here  |       |      |     |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts,   |       |      |     |
|     | that were not corrected before the first day of the tax year beginning in 2014?   | 1c    |      | No  |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private   |       |      |     |
|     | operating foundation defined in section 4942(j)(3) or 4942(j)(5))   |       |      |     |
| а   | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d   |       |      |     |
|     | and 6e, Part XIII) for tax year(s) beginning before 2014?   |       |      |     |
|     | If "Yes," list the years 🕨 20 , 20 , 20 , 20  |       |      |     |
| b   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)   |       |      |     |
|     | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)   |       |      |     |
|     | to <b>all</b> years listed, answer "No" and attach statement—see instructions )   | 2b    |      |     |
| C   | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here  |       |      |     |
|     | ▶ 20, 20, 20  |       |      |     |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at  |       |      |     |
| _   | any time during the year?   |       |      |     |
| b   | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation  |       |      |     |
|     | or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved  |       |      |     |
|     | by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3)  |       |      |     |
|     | the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine  | 21    |      |     |
|     | If the foundation had excess business holdings in 2014.)  | 3b    |      | No  |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a    |      | No  |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its  | AL-   |      | Nic |
|     | . Charmatile buildose that had not been removed from leonardy before the first day of the fay year beginning in $201.42^{\circ}$ .  | 40    |      | INU |

|            | 90-PF (2014)   |       |                                       |         |                                     |                |  |                  |           | Page      |
|------------|--|-------|---------------------------------------|---------|-------------------------------------|----------------|--|------------------|-----------|-----------|
|            | VII-B Statements Rega  |       | _                                     |         | ich Form 4720                       | May            | Be Required (cont                                  | tinu             | ied)      |           |
|            | During the year did the foundation   |       |                                       |         |                                     |                |  |                  |           |           |
|            | (1) Carry on propaganda, or othe   |       |                                       |         |                                     |                |  | ✓ N              | °         |           |
| (          | (2) Influence the outcome of any   |       |                                       |         |                                     |                |  | <del>.</del>     |           |           |
|            | on, directly or indirectly, any  |       |                                       |         |                                     |                |  |                  |           |           |
|            | (3) Provide a grant to an individu   |       |                                       |         |                                     |                | Γ Yes Γ  | ✓ M              | °         |           |
| (          | (4) Provide a grant to an organization of section 4945(d)(4)(A)? (section 4945(d)(4)(A)? |       |                                       |         |                                     |                |  | <del>.</del> N   |           |           |
| ,          | (5) Provide for any purpose other  |       |                                       |         |                                     | •              | fes  | V IN             | °         |           |
| ,          | educational purposes, or for t   |       |                                       |         |                                     |                | □ Vos l  | - N              |           |           |
| <b>b</b> I | fany answer is "Yes" to 5a(1)-(  |       |                                       |         |                                     |                |  |                  | ٠         |           |
|            | Regulations section 53 4945 or i   |       |                                       |         |                                     |                |  |                  | . 5b      |           |
|            | Organizations relying on a curren  |       |                                       |         |                                     |                | _  |                  | 1 32      |           |
|            | f the answer is "Yes" to question  |       |                                       |         |                                     |                |  |                  |           |           |
|            | ax because it maintained expend  | -     |                                       |         | •                                   |                | □ Ves 「  | - <sub>N</sub>   | ا ا       |           |
|            | If "Yes," attach the statement requ  |       |                                       |         |                                     |                | ,,   |                  | <b>"</b>  |           |
|            | Old the foundation, during the yea   |       |                                       |         |                                     | prem           | iums on  |                  |           |           |
|            | a personal benefit contract?   |       | · · · · · · · · · · · · · · · · · · · |         |                                     |                |  | ר א ב            | 。         |           |
|            | Old the foundation, during the yea   |       |                                       |         |                                     |                | , ,  |                  | 6b        | No        |
|            | If "Yes" to 6b, file Form 8870.  | ,     | , , ,                                 |         | ,,,                                 |                |  |                  |           |           |
|            | At any time during the tax year, v   | vas t | he foundation a party                 | / to a  | a prohibited tax shel               | ter tra        | nsaction? <b>TYes</b>                              | - N              | 。         |           |
|            | If yes, did the foundation receive   |       |                                       |         |                                     |                | · ·  |                  | 7b        |           |
|            | Information Abou   |       |                                       | _       |                                     |                |  |                  | id Emp    | lovees,   |
| Part       | and Contractors  |       | ,                                     | <u></u> | ,                                   |                |  |                  | •         |           |
| _1 Li      | ist all officers, directors, trustee   |       |                                       |         |                                     |                |  |                  |           |           |
|            | (a) Name and address   | (b)   | Title, and average<br>hours per week  | ٠ ،     | c) Compensation  If not paid, enter | ,              | <b>d)</b> Contributions to<br>ployee benefit plans | (e               | e) Expens | e account |
|            | (a) Name and address   | d.    | evoted to position                    | '       | -0-)                                |                | deferred compensation                              | other allowances |           |           |
| ROBERT     | J BISHOP   | PRE   | S/TREAS                               |         | 0                                   |                | 0  |                  |           |           |
| 503 S      | ILVERMINE ROAD   | 7 00  | )                                     |         |                                     |                |  |                  |           |           |
|            | CANAAN,CT 06840  |       |                                       |         |                                     |                |  |                  |           |           |
| SUSAN E    |  | -     | /SEC                                  |         | 0                                   |                | 0  |                  |           |           |
|            | ILVERMINE ROAD<br>CANAAN,CT 06840  | 7 00  | J                                     |         |                                     |                |  |                  |           |           |
|            | ompensation of five highest-paid   | Lemi  | Novees (other than i                  | thos    | e included on line 1–               | -see ii        | nstructions) If none e                             | nter             | "NONE "   | ,         |
|            | ompensation of Tive ingliest part  | Citi  |                                       |         |                                     | 300 11         | (d) Contributions to                               | T                | HOHL      |           |
| (a) N      | lame and address of each emplo   | yee   | (b) Title, and avera                  |         | (c) Compensatio                     | ,              | employee benefit                                   | (е               | e) Expens | e account |
|            | paid more than \$50,000  |       | devoted to position                   |         | (c) compensation                    | ···            | plans and deferred compensation                    |                  | other all | owances   |
| NONE       |  |       |                                       |         |                                     | <del>-  </del> | compensation                                       | $\vdash$         |           |           |
| NONE       |  |       |                                       |         |                                     |                |  |                  |           |           |
|            |  |       |                                       |         |                                     |                |  | $\vdash$         |           |           |
|            |  |       | -                                     |         |                                     |                |  |                  |           |           |
|            |  |       |                                       |         |                                     | -+             |  | $\vdash$         |           |           |
|            |  |       | 1                                     |         |                                     |                |  |                  |           |           |
|            |  |       |                                       |         |                                     |                |  | +                |           |           |
|            |  |       | -                                     |         |                                     |                |  |                  |           |           |
|            |  |       |                                       |         |                                     |                |  | $\vdash$         |           |           |
|            |  |       |                                       |         |                                     |                |  |                  |           |           |
|            |  |       |                                       |         |                                     |                |  | $\perp$          |           |           |
| Total r    | number of other employees paid o   | ver   | \$50,000                              |         |                                     |                | 🟲  | 1                |           |           |

| Part VIII Information About Officers, Directors, Transcription and Contractors (continued) | ustees, Foundation Managers, Highly                       | Paid Employees,  |
|--|---|------------------|
| 3 Five highest-paid independent contractors for professional serv                          | ices (see instructions). If none, enter "NONE".           |                  |
| (a) Name and address of each person paid more than \$50,000                                | <b>(b)</b> Type of service                                | (c) Compensation |
| NONE   |   |                  |
|  |   |                  |
|  |   |                  |
|  |   |                  |
|  |   |                  |
|  |   |                  |
|  |   |                  |
|  |   |                  |
|  |   |                  |
| <b>Total</b> number of others receiving over \$50,000 for professional servi               | ces   | 0                |
| Part IX-A Summary of Direct Charitable Activities  |   |                  |
| List the foundation's four largest direct chantable activities during the tax year. Inclu  | do relevant statistical information such as the number of |                  |
| organizations and other beneficiaries served, conferences convened, research paper         |   | Expenses         |
| 1  |   |                  |
| 2  |   |                  |
| 3  |   |                  |
| 4  |   |                  |
| Part IX-B Summary of Program-Related Investmen   |   | <del>.</del> .   |
| Describe the two largest program-related investments made by the foundation d              | luring the tax year on lines 1 and 2                      | A mount          |
| 1  |   |                  |
|  |   |                  |
| 2  |   |                  |
|  |   |                  |
|  |   |                  |
| All other program-related investments See instructions                                     |   |                  |
| 3  |   |                  |
|  |   |                  |
|  |   |                  |
| Total. Add lines 1 through 3   |   | 0                |

| Pa     | <b>rt X Minimum Investment Return</b> (All domestic foundations must complete this part. Fo see instructions.) | reign        | foundations,             |
|--------|--|--------------|--------------------------|
| 1      | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc ,              |              |                          |
|        | purposes   |              |                          |
| а      | A verage monthly fair market value of securities   | 1a           | 0                        |
| b      | A verage of monthly cash balances  | 1b           | 778,890                  |
| C      | Fair market value of all other assets (see instructions)   | 1c           | 0                        |
| d      | <b>Total</b> (add lines 1a, b, and c)  | 1d           | 778,890                  |
| e      | Reduction claimed for blockage or other factors reported on lines 1a and                                       |              |                          |
|        | 1c (attach detailed explanation)   | 1            |                          |
| 2      | Acquisition indebtedness applicable to line 1 assets   | 2            | 0                        |
| 3      | Subtract line 2 from line 1d   | 3            | 778,890                  |
| 4      | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see                     |              |                          |
|        | ınstructions)  | 4            | 11,683                   |
| 5      | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4            | 5            | 767,207                  |
| 6      | Minimum investment return. Enter 5% of line 5  | 6            | 38,360                   |
| Dar    | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operations                      | atıng        | foundations and          |
|        | certain foreign organizations check here 🕨 I and do not complete this part.)                                   |              | 1                        |
| 1      | Minimum investment return from Part X, line 6  | 1            | 38,360                   |
| 2a     | Tax on investment income for 2014 from Part VI, line 5 2a  | _            |                          |
| b      | Income tax for 2014 (This does not include the tax from Part VI ) 2b   | 1            |                          |
| C      | Add lines 2a and 2b  | 2c           | 0                        |
| 3      | Distributable amount before adjustments Subtract line 2c from line 1   | 3            | 38,360                   |
| 4      | Recoveries of amounts treated as qualifying distributions  | 4            | 0                        |
| 5      | Add lines 3 and 4  | 5            | 38,360                   |
| 6      | Deduction from distributable amount (see instructions)   | 6            | 0                        |
| 7      | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1               | 7            | 38,360                   |
| Par    | t XII Qualifying Distributions (see instructions)  |              |                          |
| 1      | A mounts paid (including administrative expenses) to accomplish charitable, etc , purposes                     |              |                          |
| a      | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26                                    | 1a           | 1,522,217                |
| ь      | Program-related investments—total from Part IX-B   | 1b           | -,,                      |
| 2      | A mounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,              | <u> </u>     |                          |
| _      | purposes   | 2            |                          |
| 3      | A mounts set aside for specific charitable projects that satisfy the   | <u> </u>     |                          |
| а      | Suitability test (prior IRS approval required)   | 3a           |                          |
| b      | Cash distribution test (attach the required schedule).   | 3b           |                          |
|        | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4      | 4            | 1 522 217                |
| 4<br>5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment                   | <del>-</del> | 1,522,217                |
| 5      |  | _            |                          |
| _      | Income Enter 1% of Part I, line 27b (see instructions)   | 5            | 1 522 217                |
| 6      | Adjusted qualifying distributions. Subtract line 5 from line 4   | 6            | 1,522,217                |
|        | the section 4940(e) reduction of tax in those years  | iei tile     | : roundation quannes for |

| Р      | art XIII Undistributed Income (see in  | structions)                             |                     |      | r age <b>5</b> |
|--------|--|---|---------------------|------|----------------|
|        | ,  | (a)                                     | (b)                 | (c)  | (d)            |
|        |  | Corpus                                  | Years prior to 2013 | 2013 | 2014           |
| 1      | Distributable amount for 2014 from Part XI, line 7   | •                                       |                     |      | 38,360         |
|        | Undistributed income, if any, as of the end of 201   | 4                                       |                     |      |                |
| а      | Enter amount for 2013 only   |   |                     | 0    |                |
| b      | Total for prior years 20, 20, 20   | _                                       | 0                   |      |                |
| 3      | Excess distributions carryover, if any, to 2014  |   |                     |      |                |
| a      | From 2009  |   |                     |      |                |
| Ь      | From 2010  |   |                     |      |                |
|        |  | <b></b>                                 |                     |      |                |
|        | From 2012  |   |                     |      |                |
|        | Total of lines 3a through e  |   |                     |      |                |
|        | Qualifying distributions for 2014 from Part  |   |                     |      |                |
| •      | XII, line 4 <b>&gt;</b> \$ 1,522,217   |   |                     |      |                |
| а      | Applied to 2013, but not more than line 2a   |   |                     | 0    |                |
|        | Applied to undistributed income of prior years   |   | 0                   |      |                |
|        | (Election required—see instructions)   |   |                     |      |                |
| C      | Treated as distributions out of corpus (Election   | 0                                       |                     |      |                |
| _      | required—see instructions)   |   |                     |      | 20.250         |
|        | Applied to 2014 distributable amount   |   |                     |      | 38,360         |
|        | Remaining amount distributed out of corpus   | 1,483,857                               |                     |      |                |
| 5      | Excess distributions carryover applied to 2014   | 0                                       |                     |      | 0              |
|        | (If an amount appears in column (d), the same amount must be shown in column (a).)         |   |                     |      |                |
| 6      | Enter the net total of each column as  |   |                     |      |                |
| ·      | indicated below:   |   |                     |      |                |
| а      | Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 4,859,180                               |                     |      |                |
| b      | Prior years' undistributed income Subtract   |   |                     |      |                |
|        | line 4b from line 2b   | •                                       | 0                   |      |                |
| C      | Enter the amount of prior years' undistributed   |   |                     |      |                |
|        | income for which a notice of deficiency has been issued, or on which the section 4942(a)   |   |                     |      |                |
|        | tax has been previously assessed   |   | 0                   |      |                |
| d      | Subtract line 6c from line 6b Taxable amount   |   |                     |      |                |
|        | —see instructions  |   | 0                   |      |                |
| е      | Undistributed income for 2013 Subtract line  |   |                     |      |                |
|        | 4a from line 2a Taxable amount—see instructions  |   |                     | 0    |                |
| f      | Undistributed income for 2014 Subtract   |   |                     |      |                |
| -      | lines 4d and 5 from line 1 This amount must  |   |                     |      | _              |
|        | be distributed in 2015   |   |                     |      | 0              |
| 7      | Amounts treated as distributions out of  |   |                     |      |                |
|        | corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may |   |                     |      |                |
|        | be required - see instructions)  | 1 0                                     |                     |      |                |
| 8      | Excess distributions carryover from 2009 not   | 700,258                                 |                     |      |                |
|        | applied on line 5 or line 7 (see instructions)   | • ///////////////////////////////////// |                     |      |                |
| 9      | Excess distributions carryover to 2015.  | 4,158,922                               |                     |      |                |
| 10     | Subtract lines 7 and 8 from line 6a Analysis of line 9                                     | • .,== 3,522                            |                     |      |                |
| 1U     | Excess from 2010 919,  | 608                                     |                     |      |                |
| a<br>b | Excess from 2011 813,  |   |                     |      |                |
| c      | Excess from 2012   |   |                     |      |                |
| d      |  | 525                                     |                     |      |                |
| e      | Excess from 2014 1,483,  | 857                                     |                     |      |                |

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

c Any submission deadlines

factors

Form **990-PF** (2014)

| Part XV Supplementary Inform  | nation (continued)   |                         |                                  | Page <b>1</b> |
|---|--|-------------------------|----------------------------------|---------------|
| 3 Grants and Contributions Paid   | During the Year or App   | proved for F            | uture Payment                    |               |
| Recipient   | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | A mount       |
|   | or substantial contributor   | recipient               |                                  |               |
| a Paid during the year See Additional Data TableSee Additional Data Table | or substantial contributor   | recipient               |                                  |               |
|   |  |                         |                                  |               |
| Total   |  |                         |                                  | 1,485,20      |
| <b>b</b> Approved for future payment                                      | <u> </u>   | <u></u>                 |                                  | 1,403,20      |
|   |  |                         |                                  |               |
|   |  |                         | h. at                            |               |

|                           | <u> </u>  | ma Astivitis      |                    |                       |                    | Page <b>12</b>  |
|---------------------------|---|-------------------|--------------------|-----------------------|--------------------|---|
|                           | _   |                   | usiness income     | Excluded by section   | n 512, 513, or 514 | (e)   |
|                           |   | (a) Business code | (b)<br>A mount     | (c)<br>Exclusion code | (d)<br>A mount     | Related or exempt<br>function income<br>(See<br>instructions) |
|                           |   |                   |                    |                       |                    | mstructions y   |
| с                         |   |                   |                    |                       |                    |   |
| е                         |   |                   |                    |                       |                    |   |
| g Fees 2 Member 3 Interes | and contracts from government agencies ership dues and assessments st on savings and temporary cash |                   |                    |                       |                    |   |
| <b>4</b> Divide           | nds and interest from securities  |                   |                    |                       |                    | 26,137  |
| <b>a</b> Debt             | -financed property  |                   |                    |                       |                    |   |
| <b>6</b> Netre            | ntal income or (loss) from personal   |                   |                    |                       |                    |   |
| <b>7</b> Other            | investment income   | 900003            | 2,954              |                       |                    |   |
| ınvent                    | ory . ´   |                   |                    |                       |                    | -439,088  |
| <b>10</b> Gross           | profit or (loss) from sales of inventory  |                   |                    |                       |                    |   |
| ь                         |   |                   |                    |                       |                    |   |
|                           | I I   |                   |                    |                       |                    |   |
|                           |   |                   | 2,954              |                       |                    | 0 -412,946  |
| (See v                    | worksheet in line 13 instructions to verify ca  | alculations )     |                    | 1                     |                    | -409,992  |
| Part XV. Line No.         | Explain below how each activity for whice the accomplishment of the foundation's                    | h income is re    | ported in column ( | e) of Part XVI-A c    | ontributed import  |   |
| 1                         | <u>'</u>  |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |
|                           |   |                   |                    |                       |                    |   |

| Part XVI                | Noncharitable   |                        |            |                           |                  | sactio     | ns and I    | Relationship                 | s with            |                       |             |     |
|-------------------------|---|------------------------|------------|---------------------------|------------------|------------|-------------|------------------------------|-------------------|-----------------------|-------------|-----|
|                         | organization directly or i  | ndırectly e            | ngage in   | any of t                  | the following w  |            |             |                              |                   |                       | .,          |     |
|                         | 501(c) of the Code (othe  | er than sec            | tion 501   | L(c)(3) c                 | organizations)   | or in sec  | tion 527,   | relating to polit            | ıcal              |                       | Yes         | No  |
| organiza                |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         | s from the reporting four   |                        |            |                           |                  |            |             |                              |                   | 1-(1)                 |             | Na  |
|                         |   |                        |            |                           |                  |            |             |                              |                   | 1a(1)                 |             | No  |
| <b>b</b> Other tra      | erassets  |                        |            |                           |                  |            |             |                              |                   | 1a(2)                 |             | No  |
|                         | ansactions<br>es of assets to a noncha  | ritable eve            | mnt ora    | - n. <del>-</del> - t. o. | •                |            |             |                              |                   | 15/1)                 |             | No  |
|                         | chases of assets from a   |                        |            |                           |                  |            |             |                              |                   | 1b(1)                 |             | No  |
|                         | tal of facilities, equipme  |                        |            | -                         |                  |            |             |                              |                   | -                     |             | No  |
|                         | nbursement arrangemer   |                        |            |                           |                  |            |             |                              |                   | 1b(3)                 |             | No  |
|                         |   |                        |            |                           |                  |            |             |                              |                   | 1b(4)                 |             | No  |
|                         | ns or loan guarantees.<br>ormance of services or n  |                        |            |                           |                  |            |             |                              |                   | 1b(5)                 |             | No  |
|                         | of facilities, equipment,   |                        |            |                           |                  |            |             |                              |                   | 1c                    |             | No  |
| _                       | swer to any of the above  | _                      |            |                           |                  |            |             |                              |                   |                       | ا ا         | 110 |
| of the go               | ods, other assets, or se<br>ansaction or sharing arr  | rvices give            | en by the  | reporti                   | ng foundation    | If the fo  | undation r  | eceived less tha             | an fair mark      | et value              |             |     |
| (a) Line No             | (b) Amount involved   | (c) Name               | of nonchar | ıtable exe                | mpt organization | (d)        | Description | of transfers, transa         | ctions, and sha   | ırıng arra            | ngemer      | nts |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  | _          |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  | +          |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
| describe                | undation directly or indidin section 501(c) of the complete the following  (a) Name of organization | ne Code (o<br>schedule |            | n sectioi                 | •                | rın secti  |             |                              | ription of relati |                       | ıs <b>F</b> | No  |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
|                         |   |                        |            |                           |                  |            |             |                              |                   |                       |             |     |
| the                     | der penalties of perjury,<br>e best of my knowledge a<br>ormation of which prepai                   | ınd belief, i          | ıt ıs true | , correct                 |                  |            |             |                              | nan taxpaye       | r) is bas             | sed on      |     |
| Here                    | *****   |                        |            |                           | 2016-01-14       | <b>—</b> ) | *****       |                              | return            | IRS disci<br>preparer |             |     |
| '                       | Signature of officer or t   | rustee                 |            |                           | Date             | ·          | Title       |                              |                   | tr )? 🔽 <b>Y</b>      | ′es ┌ N     | οV  |
| •                       | Print/Type preparer's<br>DAVID WEISS  | name                   | Prepare    | er's Sıgn                 | ature            | Date       |             | Check if self-<br>employed ▶ | PTIN P            | 09620                 | 062         |     |
| Paid<br>Preparei<br>Use | Firm's name ►<br>DAVID WEISS CPA F  | LLC                    |            |                           |                  | 1          |             | Firm's EIN ► 4               | 16-508275         | 6                     |             |     |
| Only                    | Firm's address F  183 MADISON AVE SUITE 803 NEW YORK, NY Phone no (212) 69 100164403                |                        |            |                           |                  |            |             |                              | 2)695-577         | '1                    |             |     |

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|---|--|--------------------------------------|----------------------------------|
| KINYAN CAPITAL L P  | Р                                      | 2014-01-01                           | 2014-12-31                       |
| KINYAN CAPITAL L P  | Р                                      | 2010-01-01                           | 2014-12-31                       |
| CHEYNE EXPLORER EQ FD   | Р                                      | 2014-01-01                           | 2014-12-31                       |
| SUN VALLEY GOLD   | Р                                      | 2014-01-01                           | 2014-12-31                       |
| SUN VALLEY GOLD   | Р                                      | 2010-01-01                           | 2014-12-31                       |
| PRIVATE ADVISORS HEDGED EQUITY FD   | Р                                      | 2010-01-01                           | 2014-12-31                       |
| FOXHAVEN CAPITAL  | Р                                      | 2010-01-10                           | 2014-12-31                       |

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | <b>(f)</b> Depreciation allowed (or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
|                       |  |   | -2,860                                       |
|                       |  |   | -1,179                                       |
|                       |  |   | -47,641                                      |
|                       |  |   | -71,778                                      |
|                       |  |   | -341,405                                     |
|                       |  |   | 40,720                                       |
|                       |  |   | -14,945                                      |

### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showir | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |  |
|---------------------------------|---|--|--|
| (i) F M V as of 12/31/69        | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col (ı) over col (j), ıf any | col (k), but not less than -0-) <b>or</b><br>Losses (from col (h)) |
|                                 |   |  | -2,860   |
|                                 |   |  | -1,179   |
|                                 |   |  | -47,641  |
|                                 |   |  | -71,778  |
|                                 |   |  | -341,405   |
|                                 |   |  | 40,720   |
|                                 |   |  | -14,945  |

|  |   |                         | ale real of Approved for i   |           |
|--|---|-------------------------|--|-----------|
| Recipient  | If recipient is an individual, show any relationship to | Foundation<br>status of | Purpose of grant or contribution   | A mount   |
| Name and address (home or business)  | any foundation manager<br>or substantial contributor    | recipient               |  |           |
| a Paid during the year   |   |                         |  |           |
| AFRICAN WILDLIFE<br>PRESERVATION TRUST 25022<br>MALIBU ROAD<br>MALIBU,CA 90265 | N/A   | 501(C)(3)               | PRESERVE ANIMAL<br>HABITATS  | 250,000   |
| AMERICARES 88 HAMILTON<br>AVENUE<br>STAMFORD,CT 06913                          | N/A   | 501(C)(3)               | DISASTER RELIEF  | 2,500     |
| BARNARD FUND 3009 BROADWAY<br>NEW YORK, NY 10027                               | N/A   | 501(C)(3)               | COLLEGE SCHOLARSHIPS   | 10,000    |
| CITY CRITTERS INC PO BOX 1345<br>NEW YORK, NY 10013                            | N/A   | 501(C)(3)               | RESCUE ABANDONED<br>ANIMALS  | 20,000    |
| COLUMBIA UNIVERSITY 622 WEST<br>113TH STREET<br>NEW YORK,NY 10025              | N/A   | 501(C)(3)               | EDUCATION  | 5,000     |
| FISHER HOUSE FOUNDATION 111<br>ROCKVILLE PIKE<br>ROCKVILLE,MD 20850            | N/A   | 501(C)(3)               | HOUSING FOR FAMILIES OF<br>SOLDIERS BEING TREATED<br>IN MILITARY HOSPITALS | 2,500     |
| FOLDS OF HONOR FOUNDATION<br>5800 NORTH PATRIOT DRIVE<br>OWASSO,OK 74055       | N/A   | 501(C)(3)               | SCHOLARSHIPS FOR<br>MILITARY FAMILIES                                      | 10,000    |
| FRIENDS OF ACADIA PO BOX 45<br>BAR HARBOR,ME 04609                             | N/A   | 501(C)(3)               | PROTECTING ACADIA<br>NATIONAL PARK   | 2,500     |
| HORIZONS 545 PONUS RIDGE<br>ROAD<br>NEW CANAAN,CT 06840                        | N/A   | 501(C)(3)               | EDUCATION GRANTS   | 10,000    |
| KENYON COLLEGE 101 WEST<br>SCOTT LANE<br>GAMBIER,OH 43022                      | N/A   | 501(C)(3)               | EDUCATIONAL  | 2,500     |
| MARTHA'S VINEYARD HOSPITAL<br>PO BOX 1477<br>OAK BLUFFS,MA 02557               | N/A   | 501(C)(3)               | MEDICAL RESEARCH   | 5,000     |
| MARTHA'S VINEYARD<br>PRESERVATION TRUS 99 MAIN<br>STREET<br>EDGARTOWN,MA 02539 | N/A   | 501(C)(3)               | CONSERVATION   | 2,000     |
| NEW CANAAN COMMUNITY YMCA<br>564 SOUTH AVENUE<br>NEW CANAAN,CT 06840           | N/A   | 501(C)(3)               | PROGRAMS FOR<br>DISADVANTAGED YOUTH  | 12,500    |
| NEW CANAAN COUNTRY SCHOOL<br>635 FROGTOWN RD<br>NEW CANAAN,CT 06840            | N/A   | 501(C)(3)               | EDUCATION  | 11,000    |
| NEW CANAAN HISTORICAL<br>SOCIETY 13 OENOKE RIDGE<br>NEW CANAAN,CT 06840        | N/A   | 501(C)(3)               | LANDMARK PRESERVATION  | 235,000   |
| Total  |   |                         |  | 1,485,200 |

| Recipient  Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of<br>recipient | Purpose of grant or contribution  | A mount   |
|---|--|--------------------------------------|---|-----------|
| a Paid during the year  | or substantial contributor   |                                      |   |           |
| NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON,IL 60208   | N/A  | 501(C)(3)                            | EDUCATION   | 30,000    |
| PATRONS OF THE ARTS IN THE<br>VATICAN 43430 EAST FLORIDA<br>AVENUE<br>HERMET, CA 92544              | N/A  | 501(C)(3)                            | VATICAN ART<br>PRESERVATION   | 10,000    |
| RICE UNIVERSITY 6100 MAIN ST<br>HOUSTON,TX 77005  | N/A  | 501(C)(3)                            | EDUCATION   | 25,000    |
| ROBIN HOOD FOUNDATION 826<br>BROADWAY<br>NEW YORK, NY 10003   | N/A  | 501(C)(3)                            | TO FIND, FUND AND CREATE PROGRAMS AND SCHOOLS THAT GENERATE MEANINGFUL RSULTS FOR NEW YORK'S POOREST NEIGHBORHOODS  | 2,500     |
| SEAL HARBOR VIS POBOX 171<br>SEAL HARBOR,ME 04675   | N/A  | 501(C)(3)                            | CONSERVATION  | 5,000     |
| ST PAUL'S SCHOOL 325<br>PLEASANT STREET<br>CONCORD,NH 03301   | N/A  | 501(C)(3)                            | EDUCATIONAL   | 25,000    |
| AMERICANS FOR OXFORD INC 500<br>FIFTH AVENUE 32ND FL<br>NEW YORK, NY 10110                          | N/A  | 501(C)(3)                            | TO SUPPORT OXFORD AND ITS COLLEGES  | 2,500     |
| AUDUBON 71 W 23RD ST SUIT<br>1523<br>NEW YORK, NY 10010   | N/A  | 501(C)(3)                            | CONSERVATION  | 2,500     |
| AVON COMUNITY FOUNDATION<br>INC 777 THIRD AVENUE<br>NEW YORK,NY 10017                               | N/A  | 501(C)(3)                            | TO PROMOTE OR AID CHARITABLE, SCIENTIFIC, EDUCATIONAL, AND HUMANITARIAN ACTIVITIES WITH A SPECIAL EMPHASIS ON THOSE ACTIVITIES THAT IMPROVE THE LIVES OF WOMEN AND THEIR FAMILIES | 2,500     |
| THE NIGERIAN SCHOOL PROJECT<br>INC 57 VAN BUREN AVENUE<br>TEANECK,NJ 07666                          | N/A  | 501(C)(3)                            | EDUCATION   | 166,500   |
| CITY SQUASH INC 602 E 187TH<br>ST 204<br>BRONX,NY 10458   | N/A  | 501(C)(3)                            | HELPS MOTIVATED AND TALENTED YOUNG PEOPLE FROM ECONOMICALLY DISADVANTAGED HOUSEHOLDS FULLFILL THEIR ACADEMIC, ATHLETIC AND PERSONAL POTENTIAL                                     | 5,000     |
| THE UVM FOUNDATION 411 MAIN STREET BURLINGTON, VT 05401   | N/A  | 501(C)(3)                            | EDUCATION   | 2,500     |
| COUNT BASIE THEATRE 99<br>MONMOUTH ST<br>RED BANK,NJ 07701  | N/A  | 501(C)(3)                            | CULTURAL  | 2,500     |
| TRUSTEES OF THE UNIVERSITY OF<br>PA 3733 SPRUCE STREET<br>PHILADELPHIA,PA 19147                     | N/A  | 501(C)(3)                            | EDUCATION   | 25,000    |
| UNIVERSITY OF MICHIGAN<br>SCHOOL OF MU EV MOORE<br>BUILDING 1100 BAITS DR<br>ANN ARBOR,MI 481092085 | N/A  | 501(C)(3)                            | CULTURAL  | 50,000    |
| Total   |  |                                      |   | 1,485,200 |

| Recipient  Name and address (home or business)                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | A mount   |
|---|--|--------------------------------------|--|-----------|
| a Paid during the year  | or substantial contributor   |                                      |  |           |
| GREENWHICH HOSPITAL FOUNDATION 35 RIVER ROAD COS COB, CT 06807                      | N/A  | 501(C)(3)                            | PROVIDING THE SAFEST<br>AND MOST ADVANCED<br>HEALTH CARE SERVICES  | 2,500     |
| UNIVERSITY OF VT COLLEGE OF<br>MEDICIN 89 BEAUMONT AVENUE<br>BURLINGTON, VT 05405   | N/A  | 501(C)(3)                            | MEDICAL STUDIES  | 2,500     |
| USC COLLEGE OF LETTERS ARTS<br>SCIENCE 3454 TROUSDALE PKWY<br>LOS ANGELES, CA 90089 | N/A  | 501(C)(3)                            | EDUCATION  | 25,000    |
| VINEYARD CONSERVATION<br>SOCIETY LAMBERTS COVE RD<br>VINEYARD HAVEN,MA 02568        | N/A  | 501(C)(3)                            | ENVIRONMENTAL<br>PRESERVATION  | 1,000     |
| WAVENY CARE CENTER INC 3<br>FARM ROAD<br>NEW CANAAN, CT 06840                       | N/A  | 501(C)(3)                            | HUMANITARIAN   | 2,500     |
| WEST TISBURY LIBRARY<br>FOUNDATION PO BOX 1238<br>WEST TISBURY,MA 02575             | N/A  | 501(C)(3)                            | CULTURAL   | 2,000     |
| WHOI DEVELOPMENT OFFICE<br>STOP 40<br>WOODS HOLE, MA 02543                          | N/A  | 501(C)(3)                            | CONSERVATION   | 265,000   |
| WILDLIFE IN CRISIS INC PO BOX<br>1246<br>WESTON,CT 06883                            | N/A  | 501(C)(3)                            | CONSERVATION   | 10,000    |
| OHIOGUIDSTONE 202 EAST<br>BAGLEY ROAD<br>BEREA,OH 44017                             | N/A  | 501(C)(3)                            | DEVELOP CUSTOMIZED PROGRAMS DESIGNED TO HELP CHILDREN FAMILIES AND COMMUNITIES THAT ARE IN NEED  | 100,000   |
| ISRAEL LEZION B'RINA INSTITUTE<br>639 EAST 2ND AVENUE SUITE 3R<br>BROOKLYN,NY 11218 | N/A  | 501(C)(3)                            | PROVIDES AN EDUCATION<br>TO BOYS FROM THE FORMER<br>SOVIET UNION   | 50,000    |
| THE FOOD BANK OF FAIRFIELD<br>COUNTY 461 GLENBROOK RD<br>STAMFORD,CT 06906          | N/A  | 501(C)(3)                            | SERVES AS A EFFICIENT AND EFFECTIVE PROVIDER OF NUTRITIOUS FOOD TO NON PROFIT ORGANIZATIONS THAT FEED THE HUNGRY IN LOCAL CONNECTICUT COMMUNITIES, WHILE SEEKING TO RAISE AWARNESS OF, AND PROMOTE ACTION TO COMBAT, HUNGER IN THOSE COMMUNITIES | 2,500     |
| PAN MASSACHUSETTS CHALLENGE<br>INC 77 FOURTH AVENUE<br>NEEDHAM,MA 02494             | N/A  | 501(C)(3)                            | RAISES MONEY FOR LIFE<br>SAVING CANCER RESEARCH<br>AND TREATMENT   | 2,500     |
| PITCH IT FORWARD 3525 DEL MAR<br>HEIGHTS ROAD<br>SAN DIEGO,CA 92130                 | N/A  | 501(C)(3)                            | TO CHALLANGE HIGH SCHOOL ATHLETES TO BECOME EFFECTIVE LEADERS IN THE COMMUNITIES IN WHICH THEY LIVE LEARN AND PLAY   | 2,500     |
| SPCA OF WESTCHESTER 590 N<br>STATE RD<br>BRIARCLIFF MANOR, NY 10510                 | N/A  | 501(C)(3)                            | TO PROTECT ANIMAL WELFARE BY PROVIDING SHELTER REHABILIATION ADOPTION SERVICES AND HELP IN LOCATING LOST PETS  | 1,000     |
| SCRIPPES RESEARCH INSTITITUTE<br>10550 N TORREY PINES RD<br>LA JOLLA,CA 92037       | N/A  |                                      | ENGAGED IN BASIC<br>BIOMEDICAL SCIENCE   | 2,500     |
| Total   |  |                                      |  | 1,485,200 |

| Recipient   | If recipient is an individual,   | Foundation             | Purpose of grant or   | A mount |
|---|--|------------------------|---|---------|
| Name and address (home or business)                                   | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | contribution  |         |
| a Paid during the year  |  |                        |   |         |
| TRUSTEES OF PRINCETON<br>UNIVERSITY 1 NASSAU ST<br>PRINCETON,NJ 08544 | N/A  | 501(C)(3)              | EDUCATIONAL   | 75,000  |
| WETZEL FOUNDATION 85 BROAD<br>ST 16TH FL<br>NEW YORK,NY 10004         | N/A  | 501(C)(3)              | TO FOSTER COMPASSIONATE PALLIATIVE CARE FOR VICTIMS OF ALS AND DEMENTIA RELATED DISEASES,OFFER SUPPORT TO THEIR LOVED ONES AND FUND THE RESEARCH THAT WILL LEAD TO EFFECTIVE TREATMENTS AND ULTIMATELY TO CURES | 1,700   |

## **TY 2014 Accounting Fees Schedule**

Name: SWORDSPOINT FOUNDATION INC

| Category        | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-----------------|--------|--------------------------|------------------------|---|
| ACCOUNTING FEES | 5,807  | 2,903                    | 0                      | 2,904                                       |

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#### **TY 2014 Investments - Other Schedule**

Name: SWORDSPOINT FOUNDATION INC

| Category/ Item                             | Listed at Cost or FMV | Book Value | End of Year Fair<br>Market Value |
|--|-----------------------|------------|----------------------------------|
| INVESTMENT-SUN VALLEY GOLD                 | AT COST               | 3,161,717  | 1,010,432                        |
| INVESTMENT-PRIVATE ADVISORS EQUITY         | AT COST               | 1,837,366  | 2,486,093                        |
| INVESTMENT-KINYAN CAPITAL                  | AT COST               | 1,728,991  | 2,004,016                        |
| INVESTMENT-CHEYNE EXPLORER EQUITY FUND INC | AT COST               | 0          | 0                                |
| INVESTMENT-FOXHAVEN CAPITAL                | AT COST               | 960,621    | 1,000,810                        |
| INVESTMENT-INTERRITUS LTD                  | AT COST               | 2,000,000  | 2,000,000                        |

### TY 2014 Legal Fees Schedule

Name: SWORDSPOINT FOUNDATION INC

| Category                | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-------------------------|--------|--------------------------|------------------------|---|
| OTHER PROFESSIONAL FEES | 4,296  | 0                        | 0                      | 4,296                                       |

#### DLN: 93491014001096

## **TY 2014 Other Expenses Schedule**

Name: SWORDSPOINT FOUNDATION INC

| Description                            | Revenue and Expenses per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|--|--------------------------------|--------------------------|---------------------|--|
| ADMINISTRATIVE EXPENSE                 | 884                            | 0                        | 0                   | 884                                      |
| INCENTIVE FEE                          | 2,790                          | 2,790                    | 0                   | 0  |
| DEDUCTIONS RELATED TO PORTFOLIO INCOME | 5,515                          | 5,515                    | 0                   | 0  |
| MANAGEMENT FEES                        | 25,305                         | 25,305                   | 0                   | 0  |
| OTHER EXPENSES                         | 28,933                         | 0                        | 0                   | 28,933                                   |
| FILING FEE                             | 503                            | 503                      | 0                   | 0  |
| LOSS IN KINYAN CAPITAL                 | 822                            | 822                      | 0                   | 0  |
| LOSS IN SUN VALLEY GOLD                | 33,928                         | 33,928                   | 0                   | 0  |

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#### **TY 2014 Other Income Schedule**

Name: SWORDSPOINT FOUNDATION INC

| Description           | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net Income |
|-----------------------|-----------------------------------|--------------------------|---------------------|
| CHEYNE EXPLORER EQ FD | 2,954                             | 2,954                    | 2,954               |

#### **TY 2014 Taxes Schedule**

Name: SWORDSPOINT FOUNDATION INC

| Category      | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|---------------|--------|--------------------------|------------------------|---|
| FOREIGN TAXES | 115    | 115                      | 0                      | 0   |

efile GRAPHIC print - DO NOT PROCESS Schedule B

As Filed Data

DLN: 93491014001096

OMB No 1545-0047

**Employer identification number** 

#### **Schedule of Contributors**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

2014

| SWOR               | DSPOINT FOUND   | ATION INC  | 59-3814672  |  |  |  |
|--------------------|---|--|---|--|--|--|
| Organ              | nization type (chec   | ck one)  | ·   |  |  |  |
| Filers             | of:   | Section:   |   |  |  |  |
| Form 990 or 990-EZ |   | 501(c)( ) (enter number) organization  |   |  |  |  |
|                    | 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation  |  |   |  |  |  |
|                    |   | 527 political organization   |   |  |  |  |
| Form 9             | 990-PF  | 501(c)(3) exempt private foundation  |   |  |  |  |
|                    |   | 4947(a)(1) nonexempt charitable trust treated as a private foundat   | cion  |  |  |  |
|                    |   | 501(c)(3) taxable private foundation   |   |  |  |  |
|                    |   |  |   |  |  |  |
| Note.              |   | n is covered by the <b>General Rule</b> or a <b>Special Rule.</b> c)(7), (8), or (10) organization can check boxes for both the General Rule a   | nd a Special Rule See instructions  |  |  |  |
| দ                  | For an organizatio  | on filing Form 990, 990-EZ, or 990-PF that received, during the year, contribut<br>form any one contributor. Complete Parts I and II. See instructions for determin  |   |  |  |  |
| Specia             | al Rules  |  |   |  |  |  |
| Γ                  | under sections 50 received from any   | n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 <sup>1</sup> / <sub>8</sub> 9(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ one contributor, during the year, total contributions of the greater of <b>(1)</b> \$5, r (ii) Form 990-EZ, line 1 Complete Parts I and II  | Z), Part II, line 13, 16a, or 16b, and that   |  |  |  |
| Γ                  | For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, of for the prevention of cruelty to children or animals. Complete Parts I, II, and III |  |   |  |  |  |
| Γ                  | during the year, co<br>this box is checke<br>purpose Do not co  | on described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that it contributions exclusively for religious, charitable, etc., purposes, but no such id, enter here the total contributions that were received during the year for a complete any of the parts unless the <b>General Rule</b> applies to this organization, etc., contributions totaling \$5,000 or more during the year | contributions totaled more than \$1,000 If in exclusively religious, charitable, etc, on because it received nonexclusively |  |  |  |

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
SWORDSPOINT FOUNDATION INC

**Employer identification number** 59-3814672

| Part I     | Contributors (see instructions) Use duplicate copies of Part I if additional space is needed |                            |   |
|------------|--|----------------------------|---|
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 1          | IMPALA ASSET MANAGEMENTILC  134 MAIN STREET  NEW CANAAN, CT 06840                            | \$1,840,000                | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|            |  | \$                         | Person  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|            |  | \$                         | Person  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|            |  | \$                         | Person  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|            |  | \$                         | Person  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|            |  | \$                         | Person  |

Name of organization
SWORDSPOINT FOUNDATION INC

Employer identification number
59-3814672

| No.             | (1.)   | (c)                                      |                      |
|-----------------|--|--|----------------------|
| om              | (b)  | FMV (or estimate)                        | (d)                  |
| irt I           | Description of noncash property given        | (see instructions)                       | Date received        |
|                 |  |  |                      |
|                 |  |  |                      |
| No.<br>m        | (b)<br>Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d)<br>Date received |
|                 |  | <u> </u>                                 |                      |
| No.<br>m        | (b) Description of noncash property given    | (c) FMV (or estimate) (see instructions) | (d)<br>Date received |
| - ===           |  | (see instructions)                       |                      |
| No.<br>m        | (b)<br>Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d)<br>Date received |
|                 |  | <u> </u>                                 |                      |
| lo.<br>m        | (b)<br>Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d)<br>Date received |
|                 |  | <u> </u>                                 |                      |
| ko.<br>m<br>t I | (b)<br>Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d)<br>Date received |
|                 |  | _  |                      |
|                 |  | <u> </u>                                 |                      |
|                 |  |  |                      |

| Schedule                  | B (Form 990, 990-EZ, or 990-PF) (2014) |   |   | Page 4  |
|---------------------------|--|---|---|---|
| lame of o                 | organization<br>POINT FOUNDATION INC   |   |   | Employer identification number  |
| WURDS                     | POINT FOUNDATION INC                   |   |   | 59-3814672  |
| Part III                  |  | from any one<br>enter the total of<br>nstructions ) | e contributor. Complete<br>exclusively religious, c | eribed in section 501(c)(7), (8), or (10) e columns (a) through (e) and the following line haritable, etc., contributions of \$1,000 or less for \$ |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                    | (c) Use of gift                                     |   | (d) Description of how gift is held   |
|                           |  | •   | Transfer of gift                                    |   |
|                           | Transferee's name, address, an         | <u>a ziP 4</u>                                      | Relatio   | nship of transferor to transferee   |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                    | (c  | c) Use of gift                                      | (d) Description of how gift is held   |
|                           |  | (0)   | Transfer of gift                                    |   |
|                           | Transferee's name, address, an         |   | <del>-</del>  | nship of transferor to transferee   |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                    | (c) Use of gift                                     |   | (d) Description of how gift is held   |
|                           |  |   |   |   |
|                           | Transferee's name, address, an         |   | Transfer of gift Relatio                            | nship of transferor to transferee   |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                    | (c  | c) Use of gift                                      | (d) Description of how gift is held   |
|                           |  |   |   |   |
|                           | Transferee's name, address, an         |   | Transfer of gift<br>Relatio                         | nship of transferor to transferee   |
|                           |  |   |   |   |