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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2014 or tax year beginning

JUL 1, 2014

, and ending

JUN 30, 2015

Name of foundation

**THE VAUGHN FAMILY FOUNDATION INC.**

A Employer identification number

58-2311348

Number and street (or P.O. box number if mail is not delivered to street address)

2145 MILLERS CHAPEL ROAD

Room/suite

B Telephone number

770-483-8931

City or town, state or province, country, and ZIP or foreign postal code

CONYERS, GA 30094

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method. ☒ Cash ☐ Accrual

(from Part II, col (c), line 16)

☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 1,526,239. (Part I, column (d) must be on cash basis)

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |   | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| 1  | Contributions, gifts, grants, etc., received  |                                    |                           |                         |   |
| 2  | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |   |
| 3  | Interest on savings and temporary cash investments  | 12.                                | 12.                       | 12.                     | STATEMENT 1   |
| 4  | Dividends and interest from securities  | 16,293.                            | 16,293.                   | 16,293.                 | STATEMENT 2   |
| 5a   | Gross rents   |                                    |                           |                         |   |
| b  | Net rental income or (loss)   |                                    |                           |                         |   |
| 6a   | Net gain or (loss) from sale of assets not on line 10                                       | 617,101.                           |                           |                         |   |
| b  | Gross sales price for all assets on line 6a   | 2,141,833.                         |                           |                         |   |
| 7  | Capital gain net income (from Part IV line 2)   |                                    | 617,101.                  |                         |   |
| 8  | Net short-term capital gain   |                                    |                           | N/A                     |   |
| 9  | Income modifications  |                                    |                           |                         |   |
| 10a  | Gross sales less returns and allowances   |                                    |                           |                         |   |
| b  | Less: Cost of goods sold  |                                    |                           |                         |   |
| c  | Gross profit or (loss)  |                                    |                           |                         |   |
| 11   | Other income  | 258.                               | 258.                      | 258.                    | STATEMENT 3   |
| 12   | Total. Add lines 1 through 11   | 633,664.                           | 633,664.                  | 16,563.                 |   |
| 13   | Compensation of officers, directors, trustees, etc  | 0.                                 | 0.                        | 0.                      | 0.  |
| 14   | Other employee salaries and wages   |                                    |                           |                         |   |
| 15   | Pension plans, employee benefits  |                                    |                           |                         |   |
| 16a  | Legal fees  |                                    |                           |                         |   |
| b  | Accounting fees   | 853.                               | 0.                        | 0.                      | 0.  |
| c  | Other professional fees   |                                    |                           |                         |   |
| 17   | Interest  |                                    |                           |                         |   |
| 18   | Taxes   |                                    |                           |                         |   |
| 19   | Depreciation and depletion  |                                    |                           |                         |   |
| 20   | Occupancy   |                                    |                           |                         |   |
| 21   | Travel, conferences, and meetings   |                                    |                           |                         |   |
| 22   | Printing and publications   |                                    |                           |                         |   |
| 23   | Other expenses  | 10,140.                            | 0.                        | 0.                      | 0.  |
| 24   | Total operating and administrative expenses. Add lines 13 through 23                        | 10,993.                            | 0.                        | 0.                      | 0.  |
| 25   | Contributions, gifts, grants paid   | 46,832.                            |                           |                         | 46,832.   |
| 26   | Total expenses and disbursements. Add lines 24 and 25                                       | 57,825.                            | 0.                        | 0.                      | 46,832.   |
| 27   | Subtract line 26 from line 12:  |                                    |                           |                         |   |
| a  | Excess of revenue over expenses and disbursements   | 575,839.                           |                           |                         |   |
| b  | Net investment income (if negative, enter -0-)  |                                    | 633,664.                  |                         |   |
| c  | Adjusted net income (if negative, enter -0-)  |                                    |                           | 16,563.                 |   |

| Part II Balance Sheets      |     | Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year | End of year    |                       |
|-----------------------------|-----|---|-------------------|----------------|-----------------------|
|                             |     |   | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash - non-interest-bearing   | 52,259.           | 35,179.        | 35,179.               |
|                             | 2   | Savings and temporary cash investments  |                   |                |                       |
|                             | 3   | Accounts receivable ▶   |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶   |                   |                |                       |
|                             | 4   | Pledges receivable ▶  |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶   |                   |                |                       |
|                             | 5   | Grants receivable   |                   |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons              |                   |                |                       |
|                             | 7   | Other notes and loans receivable ▶  |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶   |                   |                |                       |
|                             | 8   | Inventories for sale or use   |                   |                |                       |
|                             | 9   | Prepaid expenses and deferred charges   |                   |                |                       |
|                             | 10a | Investments - U.S. and state government obligations   |                   |                |                       |
|                             | b   | Investments - corporate stock   |                   |                |                       |
|                             | c   | Investments - corporate bonds   |                   |                |                       |
|                             | 11  | Investments - land, buildings, and equipment basis ▶  |                   |                |                       |
|                             |     | Less: accumulated depreciation ▶  |                   |                |                       |
|                             | 12  | Investments - mortgage loans  |                   |                |                       |
|                             | 13  | Investments - other STMT 6  | 877,554.          | 1,468,046.     | 1,491,060.            |
|                             | 14  | Land, buildings, and equipment, basis ▶   |                   |                |                       |
| Liabilities                 |     | Less: accumulated depreciation ▶  |                   |                |                       |
|                             | 15  | Other assets (describe ▶)   |                   |                |                       |
|                             | 16  | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)   | 929,813.          | 1,503,225.     | 1,526,239.            |
|                             | 17  | Accounts payable and accrued expenses   |                   | 350.           |                       |
|                             | 18  | Grants payable  |                   |                |                       |
|                             | 19  | Deferred revenue  |                   |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                        |                   |                |                       |
|                             | 21  | Mortgages and other notes payable   |                   |                |                       |
|                             | 22  | Other liabilities (describe ▶)  |                   |                |                       |
|                             | 23  | Total liabilities (add lines 17 through 22)   | 0.                | 350.           |                       |
| Net Assets or Fund Balances |     | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                         |                   |                |                       |
|                             | 24  | Unrestricted  |                   |                |                       |
|                             | 25  | Temporarily restricted  |                   |                |                       |
|                             | 26  | Permanently restricted  |                   |                |                       |
|                             |     | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>       |                   |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds  | 1,086,441.        | 1,086,441.     |                       |
|                             | 28  | Paid-in or capital surplus, or land, bldg., and equipment fund                                  | 0.                | 0.             |                       |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds                                | -156,628.         | 416,434.       |                       |
|                             | 30  | Total net assets or fund balances   | 929,813.          | 1,502,875.     |                       |
|                             | 31  | Total liabilities and net assets/fund balances  | 929,813.          | 1,503,225.     |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |   |   |            |
|---|---|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 929,813.   |
| 2 | Enter amount from Part I, line 27a  | 2 | 575,839.   |
| 3 | Other increases not included in line 2 (itemize) ▶  | 3 | 0.         |
| 4 | Add lines 1, 2, and 3   | 4 | 1,505,652. |
| 5 | Decreases not included in line 2 (itemize) ▶ <b>FEDERAL INCOME TAX PAYMENTS</b>   | 5 | 2,777.     |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30   | 6 | 1,502,875. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| <b>1a</b>  |  |                                      |                                  |
| <b>b</b> SEE ATTACHED STATEMENT  |  |                                      |                                  |
| <b>c</b>   |  |                                      |                                  |
| <b>d</b>   |  |                                      |                                  |
| <b>e</b>   |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| <b>a</b>              |  |   |  |
| <b>b</b>              |  |   |  |
| <b>c</b>              |  |   |  |
| <b>d</b>              |  |   |  |
| <b>e</b> 2,141,833.   |  | 1,524,732.                                      | 617,101.                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|------------------------|--------------------------------------|--|---|
| <b>a</b>               |                                      |  |   |
| <b>b</b>               |                                      |  |   |
| <b>c</b>               |                                      |  |   |
| <b>d</b>               |                                      |  |   |
| <b>e</b>               |                                      |  | 617,101.  |

|  |   |          |          |
|--|---|----------|----------|
| <b>2</b> Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | <b>2</b> | 617,101. |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 |   | <b>3</b> | -29,846. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|--|--|--|---|
| 2013   | 36,480.                                  | 1,443,754.                                   | .025267   |
| 2012   | 7,431.                                   | 1,210,704.                                   | .006138   |
| 2011   | 35,956.                                  | 1,084,950.                                   | .033141   |
| 2010   | 122,516.                                 | 1,165,636.                                   | .105107   |
| 2009   | 46,231.                                  | 1,035,720.                                   | .044637   |

|   |          |            |
|---|----------|------------|
| <b>2</b> Total of line 1, column (d)  | <b>2</b> | .214290    |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | .042858    |
| <b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5   | <b>4</b> | 1,518,947. |
| <b>5</b> Multiply line 4 by line 3  | <b>5</b> | 65,099.    |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)   | <b>6</b> | 6,337.     |
| <b>7</b> Add lines 5 and 6  | <b>7</b> | 71,436.    |
| <b>8</b> Enter qualifying distributions from Part XII, line 4   | <b>8</b> | 46,832.    |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI** Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

|   |    |         |         |
|---|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) |    | 1       | 12,673. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b   |    | 2       | 0.      |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).  |    | 3       | 12,673. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)   |    | 4       | 0.      |
| 3 Add lines 1 and 2   |    | 5       | 12,673. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)   |    |         |         |
| 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-  |    |         |         |
| 6 Credits/Payments.   |    |         |         |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014   | 6a | 1,400.  |         |
| b Exempt foreign organizations - tax withheld at source   | 6b |         |         |
| c Tax paid with application for extension of time to file (Form 8868)   | 6c |         |         |
| d Backup withholding erroneously withheld   | 6d |         |         |
| 7 Total credits and payments. Add lines 6a through 6d   | 7  | 1,400.  |         |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached   | 8  |         |         |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed  | 9  | 11,273. |         |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid   | 10 |         |         |
| 11 Enter the amount of line 10 to be Credited to 2015 estimated tax   | 11 |         |         |

**Part VII-A** Statements Regarding Activities

|   | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.   |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.  |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?  |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T  |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV  | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GA   |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation   | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV  |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses   |     | X  |

N/A

**Part VII-A Statements Regarding Activities** (continued)

|    |   |  |    |             |
|----|---|--|----|-------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   |  |    | X           |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  |  |    | X           |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>N/A</b>   |  | X  |             |
| 14 | The books are in care of <b>C. ROLAND VAUGHN</b> Telephone no. <b>404-213-8880</b><br>Located at <b>2145 MILLER CHAPEL RD SE, CONYERS, GA</b> ZIP+4 <b>30094</b>  |  |    |             |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year   |  | 15 | N/A         |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country |  | 16 | Yes No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|  | Yes   | No   |
|--|---|------|
| 1a During the year did the foundation (either directly or indirectly):   |   |      |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here   | N/A   | 1b   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?  |   | 1c X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):   |   |      |
| a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?<br>If "Yes," list the years  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  | N/A   | 2b   |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br><div style="border: 1px solid black; height: 1.2em; width: 100%;"></div>   |   |      |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) | N/A   | 3b   |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   |   | 4a X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?  |   | 4b X |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address  | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| C. ROLAND VAUGHN, III<br>2145 MILLER CHAPEL ROAD<br>CONYERS, GA 30094 | TRUSTEE   | 0.00                                      | 0.  | 0.                                    |
| ALVIN H. VAUGHN<br>2146 MILLER CHAPEL ROAD<br>CONYERS, GA 30094       | TRUSTEE   | 0.00                                      | 0.  | 0.                                    |
|   |   |   |   |                                       |
|   |   |   |   |                                       |
|   |   |   |   |                                       |
|   |   |   |   |                                       |
|   |   |   |   |                                       |
|   |   |   |   |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000

▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A  |          |
|  |          |
| 2  |          |
|  |          |
| 3  |          |
|  |          |
| 4  |          |
|  |          |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 NOT APPLICABLE  |        |
|   | 0.     |
| 2   |        |
|   |        |
| All other program-related investments See instructions.   |        |
| 3   |        |
|   |        |
| <b>Total.</b> Add lines 1 through 3   | 0.     |

Form 990-PF (2014)

**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |   |    |            |
|---|---|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities   | 1a | 1,490,529. |
| b | Average of monthly cash balances  | 1b | 51,549.    |
| c | Fair market value of all other assets   | 1c |            |
| d | <b>Total</b> (add lines 1a, b, and c)   | 1d | 1,542,078. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets  | 2  | 0.         |
| 3 | Subtract line 2 from line 1d  | 3  | 1,542,078. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 23,131.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 1,518,947. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5  | 6  | 75,947.    |

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |   |    |         |
|----|---|----|---------|
| 1  | Minimum investment return from Part X, line 6   | 1  | 75,947. |
| 2a | Tax on investment income for 2014 from Part VI, line 5  | 2a | 12,673. |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)  | 2b |         |
| c  | Add lines 2a and 2b   | 2c | 12,673. |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 63,274. |
| 4  | Recoveries of amounts treated as qualifying distributions   | 4  | 0.      |
| 5  | Add lines 3 and 4   | 5  | 63,274. |
| 6  | Deduction from distributable amount (see instructions)  | 6  | 0.      |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 63,274. |

**Part XII****Qualifying Distributions** (see instructions)

|   |   |    |         |
|---|---|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |    |         |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | 1a | 46,832. |
| b | Program-related investments - total from Part IX-B  | 1b | 0.      |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |         |
| 3 | Amounts set aside for specific charitable projects that satisfy the:  |    |         |
| a | Suitability test (prior IRS approval required)  | 3a |         |
| b | Cash distribution test (attach the required schedule)   | 3b |         |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 46,832. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.      |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | 6  | 46,832. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7   |               |                            |             | 63,274.     |
| 2 Undistributed income, if any, as of the end of 2014  |               |                            |             |             |
| a Enter amount for 2013 only   |               |                            | 6,847.      |             |
| b Total for prior years  |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2014:   |               |                            |             |             |
| a From 2009  |               |                            |             |             |
| b From 2010  |               |                            |             |             |
| c From 2011  |               |                            |             |             |
| d From 2012  |               |                            |             |             |
| e From 2013  |               |                            |             |             |
| f Total of lines 3a through e  | 0.            |                            |             |             |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 46,832.  |               |                            |             |             |
| a Applied to 2013, but not more than line 2a   |               |                            | 6,847.      |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)  | 0.            |                            |             |             |
| d Applied to 2014 distributable amount   |               |                            |             | 39,985.     |
| e Remaining amount distributed out of corpus   | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)  | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below.   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 0.            |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |             |
| e Undistributed income for 2013 Subtract line 4a from line 2a. Taxable amount - see instr  |               |                            | 0.          |             |
| f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015  |               |                            |             | 23,289.     |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7   | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  | 0.            |                            |             |             |
| 10 Analysis of line 9.   |               |                            |             |             |
| a Excess from 2010   |               |                            |             |             |
| b Excess from 2011   |               |                            |             |             |
| c Excess from 2012   |               |                            |             |             |
| d Excess from 2013   |               |                            |             |             |
| e Excess from 2014   |               |                            |             |             |



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|---|--|--------------------------------------|-------------------------------------|---------|
| <b>a Paid during the year</b>   |  |                                      |                                     |         |
| GEORGIA NATURAL RESOURCES FOUNDATION<br>2 MARTIN LUTHER KING JR. DRIVE<br>ATLANTA, GA 30334 |  |                                      | CHARITABLE DONATION                 | 10,000. |
| SALEM CAMPGROUND INC<br>3940 SALEM ROAD<br>COVINGTON, GA 30016                              |  |                                      | CHARITABLE DONATION                 | 36,832. |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
| <b>Total</b>  |  |                                      | <b>3a</b>                           | 46,832. |
| <b>b Approved for future payment</b>  |  |                                      |                                     |         |
| NONE  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
| <b>Total</b>  |  |                                      | <b>3b</b>                           | 0.      |





**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a   | MERRILL LYNCH #02108 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| b  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| c  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| d  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| e  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| f  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| g  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| h  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| i  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| j  | MERRILL LYNCH #02362 - SEE PDF ATTACHMENT | P  | VARIOUS                              | 06/30/15                         |
| k  | CAPITAL GAINS DIVIDENDS                   |  |                                      |                                  |
| l  |   |  |                                      |                                  |
| m  |   |  |                                      |                                  |
| n  |   |  |                                      |                                  |
| o  |   |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 45.                 |  | 29.   | 16.  |
| b 1,495,232.          |  | 872,368.  | 622,864.                                     |
| c 213,723.            |  | 226,684.  | -12,961.                                     |
| d 23,972.             |  | 24,240.   | -268.  |
| e 66,090.             |  | 73,518.   | -7,428.                                      |
| f 48,292.             |  | 49,686.   | -1,394.                                      |
| g 43,845.             |  | 42,936.   | 909.   |
| h 82,737.             |  | 92,169.   | -9,432.                                      |
| i 34,238.             |  | 31,317.   | 2,921.                                       |
| j 109,592.            |  | 111,785.  | -2,193.                                      |
| k 24,067.             |  |   | 24,067.                                      |
| l                     |  |   |  |
| m                     |  |   |  |
| n                     |  |   |  |
| o                     |  |   |  |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 16.   |
| b                         |                                      |   | 622,864.  |
| c                         |                                      |   | ** -12,961.   |
| d                         |                                      |   | ** -268.  |
| e                         |                                      |   | ** -7,428.  |
| f                         |                                      |   | ** -1,394.  |
| g                         |                                      |   | ** 909.   |
| h                         |                                      |   | ** -9,432.  |
| i                         |                                      |   | ** 2,921.   |
| j                         |                                      |   | ** -2,193.  |
| k                         |                                      |   | 24,067.   |
| l                         |                                      |   |   |
| m                         |                                      |   |   |
| n                         |                                      |   |   |
| o                         |                                      |   |   |

|   |   |          |
|---|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }   | 2 | 617,101. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | -29,846. |

**OCH-ZIFF CAPITAL MANAGEMENT GROUP LLC****2014 SALES SCHEDULE**

PARTNER NAME: THE VAUGHN FAMILY FOUNDATION INC

PARTNER FEDERAL ID &amp; ENTITY TYPE: XX-XXX1348 / EXEMPT

CUSTODIAN FEDERAL ID (IF APPLICABLE):

This schedule is provided to assist you in calculating your gain or loss from the disposition of all or a portion of your units for 2014. If you disposed of units during 2014, this schedule should reflect such information and should agree with any current year dispositions reflected on your Ownership Schedule.

NOTE: This Sales Schedule reflects unit dispositions on a "first-in-first-out basis," so that you are considered to sell units in the order in which they were acquired by you. This approach has been applied solely for administrative convenience, and is not consistent with IRS Revenue Ruling 84-53, which provides that a partner has one unified basis in its total company interest, and would generally yield a different result than that presented. Each partner must make his or her own determination of the amount of basis to be associated with units that are sold. The Company expresses no opinion on the appropriate methodology to be used in making this determination and has provided this schedule solely as a courtesy. Please consult your tax advisor to obtain advice on how this determination should be made. This schedule is not provided to the IRS by the Company. If the information contained herein is incorrect or you wish to advise us how to attribute your basis to the units you sold, please contact Partner DataLink at (877) 504-5605 or [OchZiffK1Help@deloitte.com](mailto:OchZiffK1Help@deloitte.com) by June 1, 2015.

How to Utilize this Schedule (in consultation with your tax advisor):

From your records, enter your Sales Proceeds (net of brokerage commissions) in Column 3. From your records, enter your Original Purchase Amount/Cost Basis (including brokerage commissions) in Column 4. NOTE: If you acquired the units by some means other than purchase (such as inheritance), please contact your tax advisor.

Column 5 represents your cumulative allocable share of income less losses and distributions with respect to the units sold. To determine Total Gain or Loss in Column 6, subtract the sum of Column 4 and Column 5 from Column 3. Your total gain must be split into two categories (capital and ordinary). The ordinary gain is reflected in Column 7. To determine your Capital Gain or Loss in Column 8, subtract Column 7 from Column 6. Use the amount in Column 9 to report your adjustment for Alternative Minimum Tax purposes.

| (1)                   | (2)              | (3)            | (4)                                    | (5)                            | (6)                    | (7)                         | (8)                      | (9)               |
|-----------------------|------------------|----------------|--|--------------------------------|------------------------|-----------------------------|--------------------------|-------------------|
| Units Disposed        | Disposition Date | Sales Proceeds | Original Purchase Amount or Cost Basis | Cumulative Adjustment to Basis | Total Gain or Loss (-) | Gain or Loss Classification |                          | AMT Adjustment    |
|                       |                  |                |  |                                |                        | Ordinary Gain               | Capital Gain or Loss (-) |                   |
| 1,007                 | 09/30/2014       |                |  | (45)                           |                        |                             |                          |                   |
| 87                    | 10/01/2014       |                |  | (4)                            |                        |                             |                          |                   |
| <b>PARTNER TOTALS</b> |                  |                |  |                                |                        |                             |                          |                   |
| <b>REFERENCES</b>     |                  |                |  |                                |                        | Form 4797 Part II, Line 10  | Form 1040 Sch D          | Form 6251 Line 17 |

**NOTES:**

- If you have Ordinary Gain in Column 7, an IRC Section 751 statement must be attached to your tax return. The statement should read as follows:  
"The taxpayer has reported ordinary income upon the disposition of units in Och-Ziff Capital Management Group LLC, as provided by the Partnership. The amount was determined in accordance with Internal Revenue Code Section 751. Detailed information is available from the Partnership upon request."
- If you disposed of a portion of your Partnership interest, any loss resulting therefrom may be subject to the passive activity loss limitation rules.
- Gain determined from this sales schedule will be considered Net Investment Income and may be subject to an additional 3.8% tax if you are a passive investor with respect to the activities of the Partnership. Please consult your tax advisor to determine if your gain is Net Investment Income and whether you are subject to this 3.8% tax.

FAMILY FOUND MINGD

Account Number. 712-02362

## YOUR WCMA TRANSACTIONS

January 01, 2015 - January 30, 2015

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

| Settlement<br>Date   | Trade<br>Date | Description   | Transaction Type | Quantity | Unit<br>Price | Debit            | Credit | Accrued Interest<br>Earned/(Paid) |
|--|---------------|---|------------------|----------|---------------|------------------|--------|-----------------------------------|
| CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON<br>REQUEST. WE MAKE A MKT IN ISSUE<br>PER ADVISORY AGREEMENT. ML ACTED AS AGENT<br>CUS NO 20441A102 SEC NO 145R4 PRINCIPAL 1894 24<br>TRN FEE 0.04 |               |   |                  |          |               |                  |        |                                   |
| 01/27  |               | Subtotal (Sales)<br>EQUINIX INC                               | Exchange         | -68      |               |                  |        | 66,089.68                         |
| 01/27  |               | PAY DATE 01/27/2015<br>EQUINIX INC                            | Exchange         | 68       |               |                  |        |                                   |
|  |               | PAY DATE 01/27/2015<br>Subtotal (Other Security Transactions) |                  |          |               |                  |        |                                   |
| <b>TOTAL</b>   |               |   |                  |          |               | <b>64,108.03</b> |        | <b>66,089.68</b>                  |

### REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses)<br>Year to Date |
|--------------------------|----------|------------------|---------------------|-------------|------------|----------------|--------------------------------|
| CAMECO CORP COM          | 342.0000 | 09/29/14         | 01/13/15            | 5,139.06    | 6,138.15   | (999.09)       |                                |
| CAMECO CORP COM          | 39.0000  | 09/29/14         | 01/14/15            | 560.47      | 699.96     | (139.49)       |                                |
| COMPANHIA D SNMNT0 BSCO  | 608.0000 | 09/29/14         | 01/23/15            | 3,245.37    | 4,985.54   | (1,740.17)     |                                |
| COMPANHIA D SNMNT0 BSCO  | 377.0000 | 09/29/14         | 01/26/15            | 1,894.20    | 3,091.36   | (1,197.16)     |                                |
| CROWN CASTLE REIT INC    | 30.0000  | 09/29/14         | 01/16/15            | 2,460.35    | 2,393.21   | 67.14          |                                |
| EASTMAN CHEMICAL CO COM  | 128.0000 | 09/29/14         | 01/21/15            | 8,953.30    | 10,548.48  | (1,595.18)     |                                |
| EASTMAN CHEMICAL CO COM  | 39.0000  | 10/17/14         | 01/21/15            | 2,727.97    | 2,943.33   | (215.36)       |                                |
| FLOWERVE CORP            | 97.0000  | 09/29/14         | 01/23/15            | 5,363.91    | 6,861.95   | (1,498.04)     |                                |
| FLOWERVE CORP            | 86.0000  | 12/02/14         | 01/23/15            | 4,755.64    | 5,145.77   | (390.13)       |                                |
| GREENBRIER COS INC       | 22.0000  | 09/29/14         | 01/16/15            | 1,100.43    | 1,637.50   | (537.07)       |                                |
| IDEXX LAB INC DEL \$0.10 | 27.0000  | 09/29/14         | 01/16/15            | 4,276.33    | 3,229.20   | 1,047.13       |                                |
| INGERSOLL-RAND PLC       | 21.0000  | 09/29/14         | 01/23/15            | 1,360.83    | 1,201.73   | 159.10         |                                |

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FAMILY FOUND MNGD

Account Number. 712-02362

24-Hour Assistance: (866) 4MLBUSINESS

## YOUR WCMA TRANSACTIONS

January 01, 2015 - January 30, 2015

## REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired Date | Liquidation Date | Sale Amount      | Cost Basis       | This Statement    | Gains/(Losses) Year to Date |
|--------------------------|----------|---------------|------------------|------------------|------------------|-------------------|-----------------------------|
| LAM RESEARCH CORP COM    | 28.0000  | 09/29/14      | 01/16/15         | 2,154.57         | 2,106.13         | 48.44             |                             |
| METLIFE INC COM          | 268.0000 | 09/29/14      | 01/21/15         | 12,991.95        | 14,417.94        | (1,425.99)        |                             |
| MALLINCKRODT PLC SHS     | 25.0000  | 09/29/14      | 01/16/15         | 2,609.38         | 2,248.79         | 360.59            |                             |
| NXP SEMICONDUCTORS N.V.  | 14.0000  | 09/29/14      | 01/12/15         | 1,132.72         | 985.69           | 147.03            |                             |
| NXP SEMICONDUCTORS N.V.  | 22.0000  | 09/29/14      | 01/16/15         | 1,697.25         | 1,548.94         | 148.31            |                             |
| VIPSHOP HLDGS LTD        | 83.0000  | 10/03/14      | 01/13/15         | 1,812.36         | 1,623.92         | 188.44            |                             |
| WUXI PHARMATECH CAYMAN I | 50.0000  | 09/29/14      | 01/13/15         | 1,853.59         | 1,710.26         | 143.33            |                             |
| Subtotal (Short-Term)    |          |               |                  |                  |                  | (7,428.17)        | (7,428.17)                  |
| <b>TOTAL</b>             |          |               |                  | <b>66,089.68</b> | <b>73,517.85</b> | <b>(7,428.17)</b> | <b>(7,428.17)</b>           |

O - Excludes transactions for which we have insufficient data

## UNSETTLED TRADES

| Trade Date       | Settlement Date | Description       | Symbol/Cusip | Transaction Type | Quantity | Price   | Amount            |
|------------------|-----------------|-------------------|--------------|------------------|----------|---------|-------------------|
| 01/28            | 02/02           | ABENGOA YIELD PLC | ABY          | Purchase         | 36       | 34.9295 | (1,257.46)        |
| <b>NET TOTAL</b> |                 |                   |              |                  |          |         | <b>(1,257.46)</b> |

## CASH/OTHER TRANSACTIONS

| Date  | Transaction Type | Quantity | Description              | Debit    | Credit |
|-------|------------------|----------|--------------------------|----------|--------|
| 01/02 | Fgn Div Tax      |          | CANADIAN NATURAL RES LTD | 7.63     |        |
|       |                  |          | NON-RECLAIMABLE TAX      |          |        |
|       |                  |          | PAY DATE 01/01/2015      |          |        |
| 01/05 | Journal Entry    |          | INV. ADVISORY FEE JAN    | 1,053.98 |        |
| 01/09 | Certif fee       |          | ICICI BANK LTD SPD ADR   | 1.69     |        |
|       |                  |          | PAYDATE 12/08/14         |          |        |
|       |                  |          | DEPOSITORY BANK SVCE FEE |          |        |

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9121

33 of 41

FAMILY FOUND MNGD

Account Number: 712-02362

24-Hour Assistance: (866) 4MLBUSINESS

## YOUR WCMA TRANSACTIONS

January 31, 2015 - February 27, 2015

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

| Settlement<br>Date                              | Trade<br>Date | Description                             | Transaction Type | Quantity | Unit<br>Price | Debit            | Credit           | Accrued Interest<br>Earned/(Paid) |
|---|---------------|---|------------------|----------|---------------|------------------|------------------|-----------------------------------|
| WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT.  |               |   |                  |          |               |                  |                  |                                   |
| ML ACTED AS AGENT CUS NO 703395103 SEC NO 597N2 |               |   |                  |          |               |                  |                  |                                   |
| PRINCIPAL 5492.24 TRN FEE 0.10                  |               |   |                  |          |               |                  |                  |                                   |
| Subtotal (Sales)                                |               |   |                  |          |               |                  |                  |                                   |
| 02/09   |               | BANCO SANTANDER SA ADR                  | Ret of Capital   |          |               |                  | 48,291.72        |                                   |
|   |               | PAYDATE 02/09/15 RECORD DATE 01/13/2015 |                  |          |               |                  | 154.46           |                                   |
|   |               | PAY DATE 02/09/2015                     |                  |          |               |                  |                  |                                   |
| Subtotal (Other Security Transactions)          |               |   |                  |          |               | 154.46           |                  |                                   |
| <b>TOTAL</b>                                    |               |   |                  |          |               | <b>48,517.22</b> | <b>48,446.18</b> |                                   |

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sale Amount      | Cost Basis       | This Statement    | Gains/(Losses)<br>Year to Date |
|--------------------------|----------|------------------|---------------------|------------------|------------------|-------------------|--------------------------------|
| COMCAST CORP NEW CL A    | 20.0000  | 11/25/14         | 02/10/15            | 1,145.74         | 1,124.95         | 20.79             |                                |
| CEMEX SAB DE CV SPND ADR | 509.0000 | 09/29/14         | 02/05/15            | 4,660.19         | 6,632.22         | (1,972.03)        |                                |
| CEMEX SAB DE CV SPND ADR | 177.0000 | 12/05/14         | 02/05/15            | 1,620.55         | 2,056.85         | (436.30)          |                                |
| MALLINCKRODT PLC SHS     | 14.0000  | 09/29/14         | 02/05/15            | 1,539.88         | 1,259.32         | 280.56            |                                |
| NUCOR CORPORATION        | 330.0000 | 09/29/14         | 02/03/15            | 14,835.28        | 18,019.68        | (3,184.40)        |                                |
| OCCIDENTAL PETE CORP CAL | 17.0000  | 09/29/14         | 02/10/15            | 1,374.56         | 1,596.73         | (222.17)          |                                |
| PANERA BREAD CO CL A     | 12.0000  | 09/30/14         | 02/10/15            | 2,082.71         | 1,952.39         | 130.32            |                                |
| PATTERSON COS INC        | 50.0000  | 09/29/14         | 02/10/15            | 2,486.78         | 2,071.94         | 414.84            |                                |
| PATTERSON COS INC        | 181.0000 | 09/29/14         | 02/18/15            | 9,111.75         | 7,500.44         | 1,611.31          |                                |
| PATTERSON COS INC        | 112.0000 | 09/29/14         | 02/19/15            | 5,492.14         | 4,641.16         | 850.98            |                                |
| WHIRLPOOL CORP           | 19.0000  | 09/29/14         | 02/10/15            | 3,942.14         | 2,830.05         | 1,112.09          |                                |
| Subtotal (Short-Term)    |          |                  |                     |                  |                  | (1,394.01)        | (8,822.18)                     |
| <b>TOTAL</b>             |          |                  |                     | <b>48,291.72</b> | <b>49,685.73</b> | <b>(1,394.01)</b> | <b>(8,822.18)</b>              |

\* - Excludes transactions for which we have insufficient data

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008

9121

29 of 36

FAMILY FOUND MNGD

Account Number: 712-02362

24-Hour Assistance: (866) 4MLBUSINESS

## YOUR WCMA TRANSACTIONS

February 28, 2015 - March 31, 2015

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

| Settlement<br>Date | Trade<br>Date | Description | Transaction Type | Quantity | Unit<br>Price | Debit     | Credit    | Accrued Interest<br>Earned/(Paid) |
|--------------------|---------------|-------------|------------------|----------|---------------|-----------|-----------|-----------------------------------|
| Subtotal (Sales)   |               |             |                  |          |               | 43,845.19 |           |                                   |
| TOTAL              |               |             |                  |          |               | 48,673.72 | 43,845.19 |                                   |

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses)<br>Year to Date |
|--------------------------|----------|------------------|---------------------|-------------|------------|----------------|--------------------------------|
| AMERICAN INTERNATIONAL   | 29.0000  | 09/29/14         | 03/24/15            | 1,615.79    | 1,569.67   | 46.12          |                                |
| AECOM                    | 94.0000  | 09/29/14         | 03/05/15            | 2,775.40    | 3,229.83   | (454.43)       |                                |
| BANCO SANTANDER SA ADR   | 987.0000 | 09/29/14         | 03/13/15            | 6,663.51    | 9,324.49   | (2,660.98)     |                                |
| COMMUNITY HEALTH SYS NEW | 28.0000  | 09/29/14         | 03/05/15            | 1,474.42    | 1,554.00   | (79.58)        |                                |
| CITIGROUP INC COM NEW    | 54.0000  | 09/29/14         | 03/05/15            | 2,888.25    | 2,809.71   | 78.54          |                                |
| DISNEY (WALT) CO COM STK | 21.0000  | 09/29/14         | 03/24/15            | 2,262.75    | 1,866.43   | 396.32         |                                |
| EDISON INTL CALIF        | 31.0000  | 09/29/14         | 03/24/15            | 1,985.60    | 1,728.80   | 256.80         |                                |
| EQUINIX INC              | 12.0000  | 09/29/14         | 03/24/15            | 2,827.87    | 2,530.14   | 297.73         |                                |
| KINDER MORGAN INC. DEL   | 43.0000  | 09/29/14         | 03/24/15            | 1,809.62    | 1,653.78   | 155.84         |                                |
| NXP SEMICONDUCTORS N.V.  | 40.0000  | 09/29/14         | 03/05/15            | 4,000.25    | 2,816.26   | 1,183.99       |                                |
| NXP SEMICONDUCTORS N.V.  | 19.0000  | 09/29/14         | 03/13/15            | 1,933.85    | 1,337.72   | 596.13         |                                |
| NXP SEMICONDUCTORS N.V.  | 14.0000  | 09/29/14         | 03/24/15            | 1,464.30    | 985.69     | 478.61         |                                |
| PRECISION CASTPARTS      | 7.0000   | 01/21/15         | 03/24/15            | 1,469.30    | 1,466.05   | 3.25           |                                |
| SENSATA TECH HLDNG BV,   | 33.0000  | 09/29/14         | 03/13/15            | 1,822.90    | 1,523.61   | 299.29         |                                |
| 3M COMPANY               | 11.0000  | 09/29/14         | 03/24/15            | 1,823.33    | 1,562.18   | 261.15         |                                |
| WUXI PHARMATECH CAYMAN I | 142.0000 | 09/29/14         | 03/13/15            | 4,870.17    | 4,857.14   | 13.03          |                                |
| WUXI PHARMATECH CAYMAN I | 62.0000  | 09/29/14         | 03/16/15            | 2,157.88    | 2,120.72   | 37.16          |                                |
| Subtotal (Short-Term)    |          |                  |                     |             |            | 908.97         | (7,913.21)                     |
| TOTAL                    |          |                  |                     | 43,845.19   | 42,936.22  | 908.97         | (7,913.21)                     |

⊖ - Excludes transactions for which we have insufficient data

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008

9121

31 of 40

FAMILY FOUND MNGD

Account Number. 712-02362

## YOUR WCMA TRANSACTIONS

April 01, 2015 - April 30, 2015

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

| Settlement Date                                  | Trade Date | Description            | Transaction Type | Quantity | Unit Price | Debit            | Credit           | Accrued Interest Earned/(Paid) |
|--|------------|------------------------|------------------|----------|------------|------------------|------------------|--------------------------------|
| ACTED ARE AVAILABLE UPON REQUEST                 |            |                        |                  |          |            |                  |                  |                                |
| WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT    |            |                        |                  |          |            |                  |                  |                                |
| ML ACTED AS AGENT CUS NO 281020107 SEC NO 25424  |            |                        |                  |          |            |                  |                  |                                |
| PRINCIPAL 14346 25 TRN FEE 0 26                  |            |                        |                  |          |            |                  |                  |                                |
| 04/23  | 04/20      | MICHAEL KORS HLDGS LTD | Sale             | -203     | 61.9256    |                  | 12,570.67        |                                |
| SHS EXECUTED 100% AGENCY                         |            |                        |                  |          |            |                  |                  |                                |
| PRICE SHOWN IS AVERAGE PRICE DETAILS REGARDING   |            |                        |                  |          |            |                  |                  |                                |
| ACTUAL PRICES, REMUNERATION AND THE              |            |                        |                  |          |            |                  |                  |                                |
| CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON    |            |                        |                  |          |            |                  |                  |                                |
| REQUEST WE MAKE A MKT IN ISSUE                   |            |                        |                  |          |            |                  |                  |                                |
| PER ADVISORY AGREEMENT ML ACTED AS AGENT         |            |                        |                  |          |            |                  |                  |                                |
| CUS NO G60754101 SEC NO 46TE9 PRINCIPAL 12570.90 |            |                        |                  |          |            |                  |                  |                                |
| TRN FEE 0.23                                     |            |                        |                  |          |            |                  |                  |                                |
| Subtotal (Sales)                                 |            |                        |                  |          |            |                  |                  |                                |
| 04/10  |            | TATA MOTORS LTD RIGHTS | Stock Dividend   | 11       |            |                  | 82,736.84        |                                |
| PARENT ML # 74FP7 HOLDING 205.0000               |            |                        |                  |          |            |                  |                  |                                |
| PAID BY TATA MOTORS LTD PAY DATE 04/10/2015      |            |                        |                  |          |            |                  |                  |                                |
| Subtotal (Other Security Transactions)           |            |                        |                  |          |            |                  |                  |                                |
| <b>TOTAL</b>                                     |            |                        |                  |          |            | <b>69,335.28</b> | <b>82,736.84</b> |                                |

### REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses) Year to Date |
|--------------------------|----------|---------------|------------------|-------------|------------|----------------|-----------------------------|
| TATA MOTORS LTD RIGHTS   | 11.0000  | N/A           | 04/13/15         | 91.27       | N/A        | N/A            | N/A                         |
| AFFILIATED MANAGERS GRP  | 7.0000   | 09/29/14      | 04/16/15         | 1,530.13    | 1,405.53   | 124.60         | 124.60                      |
| AMAZON COM INC COM       | 5.0000   | 09/29/14      | 04/16/15         | 1,924.32    | 1,611.30   | 313.02         | 313.02                      |
| ANHEUSER-BUSCH INBEV ADR | 10.0000  | 09/29/14      | 04/13/15         | 1,252.75    | 1,106.40   | 146.35         | 146.35                      |
| AVAGO TECHNOLOGIES LTD   | 17.0000  | 09/29/14      | 03/31/15         | 2,189.37    | 1,482.23   | 707.14         | 707.14                      |

FAMILY FOUND MNGD

Account Number: 712-02362

24-Hour Assistance: (866) 4MLBUSINESS

## YOUR WCMA TRANSACTIONS

April 01, 2015 - April 30, 2015

## REALIZED GAINS/(LOSSES) (continued)

| Description               | Quantity | Acquired<br>Date | Liquidation<br>Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses)<br>Year to Date |
|---------------------------|----------|------------------|---------------------|-------------|------------|----------------|--------------------------------|
| AECOM                     | 71.0000  | 09/29/14         | 03/30/15            | 2,176.01    | 2,439.55   | (263.54)       |                                |
| CANADIAN NATURAL RES LTD  | 43.0000  | 09/29/14         | 04/13/15            | 1,383.50    | 1,696.93   | (313.43)       |                                |
| CHECK POINT SOFTWARE TECH | 28.0000  | 09/29/14         | 03/30/15            | 2,328.88    | 1,936.87   | 392.01         |                                |
| COMMUNITY HEALTH SYS NEW  | 30.0000  | 09/29/14         | 03/30/15            | 1,569.39    | 1,665.00   | (95.61)        |                                |
| CHINA UNICOM HONG KONG    | 60.0000  | 09/29/14         | 04/13/15            | 1,056.95    | 914.99     | 141.96         |                                |
| CHINA UNICOM HONG KONG    | 68.0000  | 09/29/14         | 04/14/15            | 1,192.14    | 1,036.99   | 155.15         |                                |
| CELGENE CORP COM          | 17.0000  | 10/28/14         | 03/30/15            | 2,034.65    | 1,789.60   | 245.05         |                                |
| EDISON INTL CALIF         | 200.0000 | 09/29/14         | 04/20/15            | 12,367.23   | 11,153.54  | 1,213.69       |                                |
| EDISON INTL CALIF         | 27.0000  | 02/18/15         | 04/20/15            | 1,669.57    | 1,742.16   | (72.59)        |                                |
| EDISON INTL CALIF         | 5.0000   | 02/19/15         | 04/20/15            | 309.19      | 325.99     | (16.80)        |                                |
| GREENBRIER COS INC        | 37.0000  | 09/29/14         | 04/16/15            | 2,400.60    | 2,753.98   | (353.38)       |                                |
| GENERAL GROWTH PROPERTIE  | 60.0000  | 02/03/15         | 03/30/15            | 1,779.30    | 1,816.46   | (37.16)        |                                |
| GW PHARMACEUTICALS PLC    | 29.0000  | 09/29/14         | 04/16/15            | 3,166.04    | 2,481.82   | 684.22         |                                |
| INGERSOLL-RAND PLC        | 3.0000   | 09/29/14         | 04/13/15            | 206.20      | 171.68     | 34.52          |                                |
| MICHAEL KORS HLDGS LTD    | 35.0000  | 09/30/14         | 03/30/15            | 2,341.61    | 2,502.82   | (161.21)       |                                |
| MICHAEL KORS HLDGS LTD    | 142.0000 | 09/30/14         | 04/20/15            | 8,793.27    | 10,154.32  | (1,361.05)     |                                |
| MICHAEL KORS HLDGS LTD    | 1.0000   | 10/09/14         | 04/20/15            | 61.92       | 74.94      | (13.02)        |                                |
| MICHAEL KORS HLDGS LTD    | 36.0000  | 12/23/14         | 04/20/15            | 2,229.28    | 2,708.36   | (479.08)       |                                |
| MICHAEL KORS HLDGS LTD    | 21.0000  | 02/18/15         | 04/20/15            | 1,300.42    | 1,479.54   | (179.12)       |                                |
| MICHAEL KORS HLDGS LTD    | 3.0000   | 02/19/15         | 04/20/15            | 185.78      | 210.85     | (25.07)        |                                |
| MALLINCKRODT PLC SHS      | 10.0000  | 09/29/14         | 04/13/15            | 1,280.29    | 899.51     | 380.78         |                                |
| PRUDENTIAL PLC ADR        | 27.0000  | 09/29/14         | 04/13/15            | 1,345.83    | 1,238.33   | 107.50         |                                |
| REGENERON PHARMACTCLS     | 6.0000   | 10/01/14         | 03/31/15            | 2,742.60    | 2,128.49   | 614.11         |                                |
| ST JUDE MEDICAL INC       | 31.0000  | 09/29/14         | 03/30/15            | 2,055.34    | 1,895.03   | 160.31         |                                |
| SILVER WHEATON CORP       | 29.0000  | 12/05/14         | 04/13/15            | 560.35      | 611.14     | (50.79)        |                                |
| STRATASYS LTD             | 117.0000 | 09/29/14         | 03/31/15            | 6,115.50    | 14,274.00  | (8,158.50)     |                                |
| STRATASYS LTD             | 73.0000  | 01/16/15         | 03/31/15            | 3,815.66    | 5,218.09   | (1,402.43)     |                                |
| UBIQUITI NETWORKS INC     | 137.0000 | 09/29/14         | 03/31/15            | 4,048.12    | 5,191.70   | (1,143.58)     |                                |
| UBIQUITI NETWORKS INC     | 138.0000 | 09/29/14         | 04/02/15            | 3,960.81    | 5,229.59   | (1,268.78)     |                                |
| VIPSHOP HLDGS LTD         | 42.0000  | 10/03/14         | 04/13/15            | 1,272.57    | 821.74     | 450.83         |                                |

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FAMILY FOUND MNGD

Account Number: 712-02362



## YOUR WCMA TRANSACTIONS

April 01, 2015 - April 30, 2015

### REALIZED GAINS/(LOSSES) (continued)

| Description           | Quantity | Acquired Date | Liquidation Date | Sale Amount      | Cost Basis       | This Statement<br>(9,523.90) | Gains/(Losses) Year to Date<br>(17,437.11) |
|-----------------------|----------|---------------|------------------|------------------|------------------|------------------------------|--|
| Subtotal (Short-Term) |          |               |                  |                  |                  |                              |  |
| <b>TOTAL</b>          |          |               |                  | <b>82,736.84</b> | <b>92,169.47</b> | <b>(9,523.90)</b>            | <b>(17,437.11)</b>                         |

⊕ - Excludes transactions for which we have insufficient data

N/A - Results which cannot be calculated because of insufficient data are reflected by an N/A entry in the capital gain or (loss) column and are not included in the realized capital gain and loss summary.

### UNSETTLED TRADES

| Trade Date       | Settlement Date | Description              | Symbol/<br>Cusip | Transaction Type | Price    | Amount            |
|------------------|-----------------|--------------------------|------------------|------------------|----------|-------------------|
| 04/29            | 05/04           | TATA MOTORS LTD ADR      | TTM              | Purchase         | 42.4805  | (467.29)          |
| 04/30            | 05/05           | AECOM                    | ACM              | Purchase         | 32.0891  | (1,508.19)        |
| 04/30            | 05/05           | CELGENE CORP COM         | CELG             | Purchase         | 109.9085 | (2,967.53)        |
| 04/30            | 05/05           | COMMUNITY HEALTH SYS NEW | CYH              | Purchase         | 53.8296  | (1,345.74)        |
| 04/30            | 05/05           | FEDEX CORP DELAWARE COM  | FDX              | Purchase         | 169.1419 | (2,367.99)        |
| 04/30            | 05/05           | GENERAL GROWTH PROPERTIE | GGP              | Purchase         | 27.5813  | (3,199.43)        |
| 04/30            | 05/05           | NXP SEMICONDUCTORS N.V.  | NXPI             | Purchase         | 98.4414  | (2,362.59)        |
| 04/30            | 05/05           | OCCIDENTAL PETE CORP CAL | OXY              | Purchase         | 80.0467  | (1,761.03)        |
| 04/30            | 05/05           | ST JUDE MEDICAL INC      | STJ              | Sale             | 70.2988  | 14,481.28         |
| 04/30            | 05/05           | 3M COMPANY               | MMM              | Purchase         | 157.0045 | (2,512.07)        |
| <b>NET TOTAL</b> |                 |                          |                  |                  |          | <b>(4,010.58)</b> |

### CASH/OTHER TRANSACTIONS

| Date  | Transaction Type | Quantity | Description  | Debit | Credit |
|-------|------------------|----------|--|-------|--------|
| 04/01 | Fgn Div Tax      |          | CANADIAN NATURAL RES LTD<br>NON-RECLAIMABLE TAX<br>PAY DATE 04/01/2015 | 10.40 |        |

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9121

38 of 47

FAMILY FOUND MINGD

Account Number: 712-02362

## YOUR WCMA TRANSACTIONS

May 01, 2015 - May 29, 2015

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

| Settlement<br>Date   | Trade<br>Date | Description     | Transaction Type | Quantity | Unit<br>Price | Debit            | Credit | Accrued Interest<br>Earned/(Paid) |
|--|---------------|-----------------|------------------|----------|---------------|------------------|--------|-----------------------------------|
| REMUNERATION AND THE CAPACITY IN WHICH ML<br>ACTED ARE AVAILABLE UPON REQUEST<br>WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT CUS NO 517834107 SEC NO 436S9<br>PRINCIPAL 9601.08 TRN FEE 0.18   |               |                 |                  |          |               |                  |        |                                   |
| 05/15  | 05/12         | SYNGENTA AG ADR | Sale             | -117     | 86.8855       |                  |        | 10,165.41                         |
| EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE<br>PRICE. DETAILS REGARDING ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY IN WHICH ML<br>ACTED ARE AVAILABLE UPON REQUEST<br>WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT<br>ML ACTED AS AGENT CUS NO 87160AT00 SEC NO 676P6<br>PRINCIPAL 10165.60 TRN FEE 0.19 |               |                 |                  |          |               |                  |        |                                   |
| <i>Subtotal (Sales)</i>  |               |                 |                  |          |               | 34,238.08        |        |                                   |
| <b>TOTAL</b>   |               |                 |                  |          |               | <b>38,424.41</b> |        | <b>34,238.08</b>                  |

### REALIZED GAINS/(LOSSES)

| Description          | Quantity | Acquired<br>Date | Liquidation<br>Date | Sale Amount | Cost Basis | This Statement<br>Gains/(Losses) | Year to Date |
|----------------------|----------|------------------|---------------------|-------------|------------|----------------------------------|--------------|
| LAS VEGAS SANDS CORP | 143 0000 | 09/29/14         | 05/08/15            | 7,543.56    | 8,592.23   | (1,048.67)                       |              |
| LAS VEGAS SANDS CORP | 39 0000  | 01/16/15         | 05/08/15            | 2,057.34    | 2,142.58   | (85.24)                          |              |
| ST JUDE MEDICAL INC  | 170 0000 | 09/29/14         | 05/01/15            | 11,942.72   | 10,392.08  | 1,550.64                         |              |
| ST JUDE MEDICAL INC  | 36 0000  | 10/01/14         | 05/01/15            | 2,529.05    | 2,164.50   | 364.55                           |              |
| SYNGENTA AG ADR      | 51 0000  | 02/05/15         | 05/12/15            | 4,431.07    | 3,501.55   | 929.52                           |              |

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008

9121

28 of 36

**YOUR WCMA TRANSACTIONS**

May 01, 2015 - May 29, 2015

**REALIZED GAINS/(LOSSES) (continued)**

| Description           | Quantity | Acquired Date | Liquidation Date | Sale Amount      | Cost Basis       | This Statement  | Gains/(Losses) Year to Date |
|-----------------------|----------|---------------|------------------|------------------|------------------|-----------------|-----------------------------|
| SYNGENTA AG ADR       | 66.0000  | 02/06/15      | 05/12/15         | 5,734.34         | 4,524.17         | 1,210.17        |                             |
| Subtotal (Short-Term) |          |               |                  |                  |                  | 2,920.97        | (14,516.14)                 |
| <b>TOTAL</b>          |          |               |                  | <b>34,238.08</b> | <b>31,317.11</b> | <b>2,920.97</b> | <b>(14,516.14)</b>          |

⊖ - Excludes transactions for which we have insufficient data

**UNSETTLED TRADES**

| Trade Date       | Settlement Date | Description               | Symbol/Cusip | Transaction Type | Quantity | Price    | Amount           |
|------------------|-----------------|---------------------------|--------------|------------------|----------|----------|------------------|
| 05/27            | 06/01           | COSTCO WHOLESALE CORP DEL | COST         | Sale             | 25       | 144.5135 | 3,612.77         |
| 05/27            | 06/01           | FMC TECHS INC COM         | FTI          | Purchase         | 282      | 41.9142  | (11,819.80)      |
| 05/27            | 06/01           | GOLAR LNG LIMITED         | GLNG         | Sale             | 92       | 47.4416  | 4,364.55         |
| 05/27            | 06/01           | RANGE RESOURCES CORP DEL  | RRC          | Sale             | 79       | 57.0056  | 4,503.36         |
| 05/29            | 06/03           | UNITED RENTALS INC COM    | URI          | Sale             | 160      | 89.9248  | 14,387.71        |
| <b>NET TOTAL</b> |                 |                           |              |                  |          |          | <b>15,048.59</b> |

**CASH/OTHER TRANSACTIONS**

| Date  | Transaction Type | Quantity | Description              | Debit    | Credit |
|-------|------------------|----------|--------------------------|----------|--------|
| 05/05 | Journal Entry    |          | INV. ADVISORY FEE MAY    | 1,088.18 |        |
| 05/07 | Certif fee       |          | ING GP NV SPSP ADR       | 9.96     |        |
| 05/12 | Certif fee       |          | DEPOSITORY BANK SVCE FEE |          |        |
|       |                  |          | BRITISH AMN TOBACO SPADR | .59      |        |
|       |                  |          | DEPOSITORY BANK SVCE FEE |          |        |
| 05/20 | Fgn Div Tax      |          | ANHEUSER-BUSCH INBEV ADR | 30.82    |        |
|       |                  |          | NON-RECLAIMABLE TAX      |          |        |
|       |                  |          | PAY DATE 05/20/2015      |          |        |
| 05/20 | Certif fee       |          | ANHEUSER-BUSCH INBEV ADR | 2.75     |        |
|       |                  |          | DEPOSITORY BANK SVCE FEE |          |        |

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FAMILY FOUND MNGD

Account Number: 712-02362

24-Hour Assistance: (866) 4MLBUSINESS

## YOUR WCMA TRANSACTIONS

May 30, 2015 - June 30, 2015

## REALIZED GAINS/(LOSSES)

| Description               | Quantity  | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses) Year to Date |
|---------------------------|-----------|---------------|------------------|-------------|------------|----------------|-----------------------------|
| AMERICAN INTERNATIONAL    | 39.0000   | 09/29/14      | 06/16/15         | 2,424.63    | 2,110.94   | 313.69         |                             |
| AECOM                     | 46.0000   | 09/29/14      | 06/16/15         | 1,510.08    | 1,580.56   | (70.48)        |                             |
| CIA BRA DIS PAO ADS REGS  | 102.0000  | 09/29/14      | 06/05/15         | 2,684.26    | 4,456.37   | (1,772.11)     |                             |
| CIA BRA DIS PAO ADS REGS  | 20.0000   | 10/21/14      | 06/05/15         | 526.32      | 826.00     | (299.68)       |                             |
| CIA BRA DIS PAO ADS REGS  | 45.0000   | 04/13/15      | 06/05/15         | 1,184.25    | 1,469.23   | (284.98)       |                             |
| CHECK POINT SOFTWARE TECH | 23.0000   | 09/29/14      | 06/25/15         | 1,870.42    | 1,591.00   | 279.42         |                             |
| COSTCO WHOLESALE CRP DEL  | 25.0000   | 09/29/14      | 05/27/15         | 3,612.77    | 3,135.34   | 477.43         |                             |
| COMMUNITY HEALTH SYS NEW  | 5.0000    | 09/29/14      | 06/25/15         | 311.77      | 277.50     | 34.27          |                             |
| COMMUNITY HEALTH SYS NEW  | 37.0000   | 09/29/14      | 06/25/15         | 2,060.14    | 2,053.50   | 6.64           |                             |
| CELGENE CORP COM          | 21.0000   | 10/28/14      | 06/25/15         | 2,519.98    | 2,210.68   | 309.30         |                             |
| CELGENE CORP COM          | 4.0000    | 10/28/14      | 06/25/15         | 480.16      | 421.08     | 59.08          |                             |
| COCA COLA ENTERPRISES     | 8.0000    | 03/24/15      | 06/25/15         | 356.32      | 353.16     | 3.16           |                             |
| COCA COLA ENTERPRISES     | 41.0000   | 03/24/15      | 06/25/15         | 1,824.14    | 1,809.96   | 14.18          |                             |
| CITIGROUP INC COM NEW     | 14.0000   | 09/29/14      | 06/25/15         | 794.00      | 728.44     | 65.56          |                             |
| CITIGROUP INC COM NEW     | 45.0000   | 09/29/14      | 06/25/15         | 2,564.22    | 2,341.42   | 222.80         |                             |
| DISNEY (WALT) CO COM STK  | 4.0000    | 09/29/14      | 06/25/15         | 460.06      | 355.51     | 104.55         |                             |
| DISNEY (WALT) CO COM STK  | 18.0000   | 09/29/14      | 06/25/15         | 2,061.62    | 1,599.79   | 461.83         |                             |
| EQUINIX INC               | 55.0000   | 09/29/14      | 06/16/15         | 14,039.28   | 11,596.50  | 2,442.78       |                             |
| EQUINIX INC               | 1.0000    | 11/26/14      | 06/16/15         | 255.26      | 224.45     | 30.81          |                             |
| EQUINIX INC               | 7.0000    | 02/18/15      | 06/16/15         | 1,786.82    | 1,596.30   | 190.52         |                             |
| FEDEX CORP DELAWARE COM   | 16.0000   | 12/02/14      | 06/16/15         | 2,916.64    | 2,896.60   | 20.04          |                             |
| GOLAR LNG LIMITED         | 92.0000   | 09/29/14      | 05/27/15         | 4,364.55    | 6,200.41   | (1,835.86)     |                             |
| NEWELL RUBBERMAID INC     | 84.0000   | 09/29/14      | 06/25/15         | 3,487.58    | 2,893.79   | 593.79         |                             |
| NXP SEMICONDUCTORS N.V.   | 23.0000   | 09/29/14      | 06/16/15         | 2,356.33    | 1,619.35   | 736.98         |                             |
| OCCIDENTAL PETE CORP CAL  | 7.0000    | 09/29/14      | 06/25/15         | 551.73      | 657.48     | (105.75)       |                             |
| OCCIDENTAL PETE CORP CAL  | 27.0000   | 09/29/14      | 06/25/15         | 2,132.37    | 2,535.98   | (403.61)       |                             |
| RANGE RESOURCES CORP DEL  | 79.0000   | 09/29/14      | 05/27/15         | 4,503.36    | 5,420.18   | (916.82)       |                             |
| SVB FINL GROUP            | 14.0000   | 09/29/14      | 06/16/15         | 2,018.55    | 1,560.43   | 458.12         |                             |
| SUMITOMO MITSUI-UNSPONS   | 187.0000  | 09/29/14      | 06/18/15         | 1,602.49    | 1,538.39   | 64.10          |                             |
| SUMITOMO MITSUI-UNSPONS   | 1105.0000 | 09/29/14      | 06/18/15         | 9,568.79    | 9,090.51   | 478.28         |                             |

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9121

43 of 52

FAMILY FOUND MNGD

Account Number 712-02362

## YOUR WCMA TRANSACTIONS

May 30, 2015 - June 30, 2015

### REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses) Year to Date |
|--------------------------|----------|---------------|------------------|-------------|------------|----------------|-----------------------------|
| SUMITOMO MITSUI-UNSPONS  | 172.0000 | 10/21/14      | 06/18/15         | 1,489.45    | 1,294.40   | 195.05         |                             |
| SUMITOMO MITSUI-UNSPONS  | 76.0000  | 10/21/14      | 06/17/15         | 644.50      | 571.95     | 72.55          |                             |
| SUMITOMO MITSUI-UNSPONS  | 120.0000 | 01/13/15      | 06/17/15         | 1,017.65    | 828.79     | 188.86         |                             |
| 3M COMPANY               | 18.0000  | 09/29/14      | 06/25/15         | 2,837.61    | 2,556.30   | 281.31         |                             |
| 3M COMPANY               | 4.0000   | 09/29/14      | 06/25/15         | 627.82      | 568.07     | 59.75          |                             |
| TATA MOTORS LTD ADR      | 101.0000 | 12/05/14      | 06/05/15         | 3,532.10    | 4,636.97   | (1,104.87)     |                             |
| TATA MOTORS LTD ADR      | 49.0000  | 12/08/14      | 06/05/15         | 1,713.60    | 2,239.42   | (525.82)       |                             |
| TATA MOTORS LTD ADR      | 55.0000  | 12/08/14      | 06/08/15         | 1,908.33    | 2,513.63   | (605.30)       |                             |
| TATA MOTORS LTD ADR      | 11.0000  | 04/29/15      | 06/08/15         | 381.67      | 467.29     | (85.62)        |                             |
| THERMO FISHER SCIENTIFIC | 3.0000   | 03/05/15      | 06/25/15         | 394.02      | 392.45     | 1.57           |                             |
| THERMO FISHER SCIENTIFIC | 14.0000  | 03/05/15      | 06/25/15         | 1,816.84    | 1,831.42   | (14.58)        |                             |
| UNITED RENTALS INC COM   | 105.0000 | 09/29/14      | 05/29/15         | 9,441.93    | 11,810.39  | (2,368.46)     |                             |
| UNITED RENTALS INC COM   | 28.0000  | 12/02/14      | 05/29/15         | 2,517.85    | 3,043.83   | (525.98)       |                             |
| UNITED RENTALS INC COM   | 27.0000  | 01/16/15      | 05/29/15         | 2,427.93    | 2,241.20   | 186.73         |                             |
| WHIRLPOOL CORP           | 11.0000  | 09/29/14      | 06/25/15         | 2,011.37    | 1,638.45   | 372.92         |                             |
| Subtotal (Short-Term)    |          |               |                  | 109,591.56  | 111,785.41 | (2,193.85)     | (16,709.99)                 |
| <b>TOTAL</b>             |          |               |                  |             |            |                | <b>(16,709.99)</b>          |

⊖ - Excludes transactions for which we have insufficient data

### UNSETTLED TRADES

| Trade Date       | Settlement Date | Description          | Symbol/Cusip | Transaction Type | Quantity | Price   | Amount            |
|------------------|-----------------|----------------------|--------------|------------------|----------|---------|-------------------|
| 06/26            | 07/01           | BOISE CASCADE CO DEL | BCC          | Purchase         | 5        | 39.5499 | (197.75)          |
| 06/26            | 07/01           | NUCOR CORPORATION    | NUE          | Sale             | 213      | 46.6885 | 9,944.47          |
| 06/26            | 07/01           | THE MOSAIC COMPANY   | MOS          | Purchase         | 254      | 46.8349 | (11,896.06)       |
| <b>NET TOTAL</b> |                 |                      |              |                  |          |         | <b>(2,149.34)</b> |

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9121

44 of 52

FAMILY FOUNDATION

Account Number: 712-02108

24-Hour Assistance: (866) 4MLBUSINESS

## YOUR WCMA TRANSACTIONS

October 01, 2014 - October 31, 2014

### DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

| Date                         | Transaction Type | Quantity | Description            | Reinvestment | Income | Income Year To Date |
|------------------------------|------------------|----------|------------------------|--------------|--------|---------------------|
| 10/20                        | Divd Reinv       |          | BLACKROCK EQUITY       |              |        |                     |
|                              |                  |          | DIVIDEND FD A          |              |        |                     |
|                              |                  |          | REINV AMOUNT \$ 02     |              |        |                     |
|                              |                  |          | REINV PRICE \$23.66000 |              |        |                     |
|                              |                  |          | QUANTITY BOT 0010      |              |        |                     |
|                              |                  |          | AS OF 10/16            |              |        |                     |
| Subtotal (Taxable Dividends) |                  |          |                        |              | .02    | 13,273.96           |
| NET TOTAL                    |                  |          |                        |              | .74    | 13,286.80           |

### Long Term Capital Gain Distributions

5,526.57

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

### REALIZED GAINS/(LOSSES)

| Description             | Quantity | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | This Statement | Gains/(Losses) Year to Date |
|-------------------------|----------|---------------|------------------|-------------|------------|----------------|-----------------------------|
| AMERICAN FUNDML INV A   | 1440     | 07/14/97      | 10/21/14         | 7.31        | 4.16       | 3.15           |                             |
| AMERICAN NEW PERSP FD A | 9140     | 07/14/97      | 10/21/14         | 32.70       | 19.61      | 13.09          |                             |
| Subtotal (Long-Term)    |          |               |                  |             |            | 16.24          | 16.24                       |
| BLACKROCK EQ DIVIDEND A | 2090     | 10/17/14      | 10/21/14         | 4.94        | 5.24       | (.30)          |                             |
| Subtotal (Short-Term)   |          |               |                  |             |            | (.30)          | (.30)                       |
| TOTAL                   |          |               |                  | 44.95       | 29.01      | 15.94          | 15.94                       |

Q - Excludes transactions for which we have insufficient data

### CASH/OTHER TRANSACTIONS

| Date                      | Transaction Type | Quantity | Description       | Debit | Credit |
|---------------------------|------------------|----------|-------------------|-------|--------|
| 10/23                     | Funds Received   |          | CHECK DEPOSIT     |       | 350.00 |
|                           |                  |          | Deposit Sub-Total |       | 350.00 |
| Subtotal (Funds Received) |                  |          |                   |       | 350.00 |

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4 of 8

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| MERRILL LYNCH #02108    | 5.                          | 5.                              | 5.                            |
| MERRILL LYNCH #02362    | 7.                          | 7.                              | 7.                            |
| TOTAL TO PART I, LINE 3 | 12.                         | 12.                             | 12.                           |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                  | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| MERRILL LYNCH<br>#02108 | 3,125.          | 0.                            | 3,125.                      | 3,125.                            | 3,125.                        |
| MERRILL LYNCH<br>#02362 | 37,235.         | 24,067.                       | 13,168.                     | 13,168.                           | 13,168.                       |
| TO PART I, LINE 4       | 40,360.         | 24,067.                       | 16,293.                     | 16,293.                           | 16,293.                       |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| NON-DIVIDEND DISTRIBUTIONS            | 258.                        | 258.                              | 258.                          |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 258.                        | 258.                              | 258.                          |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 4 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING                   | 853.                         | 0.                                | 0.                            | 0.                            |
| TO FORM 990-PF, PG 1, LN 16B | 853.                         | 0.                                | 0.                            | 0.                            |

|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 5 |
|-------------|----------------|-----------|---|

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| MERRILL LYNCH FEES          | 8,951.                       | 0.                                | 0.                            | 0.                            |
| INSURANCE                   | 599.                         | 0.                                | 0.                            | 0.                            |
| FOREIGN TAX PAID            | 397.                         | 0.                                | 0.                            | 0.                            |
| MISCELLANEOUS               | 193.                         | 0.                                | 0.                            | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 10,140.                      | 0.                                | 0.                            | 0.                            |

|             |                   |           |   |
|-------------|-------------------|-----------|---|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 6 |
|-------------|-------------------|-----------|---|

| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE | FAIR MARKET<br>VALUE |
|--|---------------------|------------|----------------------|
| MUTUAL FUNDS                           | COST                | 477,619.   | 454,477.             |
| EQUITIES                               | COST                | 990,427.   | 1,036,583.           |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 1,468,046. | 1,491,060.           |