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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 07-01-2014, and ending 06-30-2015

Name of foundation Frank and Yetta Chaiken Foundation Inc		A Employer identification number 51-0264232	
% YETTA CHAIKEN		B Telephone number (see instructions) (302) 571-8104	
Number and street (or P O box number if mail is not delivered to street address) 4002 Lakeview Drive	Room/suite		
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 19807		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 555,485		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	7,277	7,277		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	50,853			
	b Gross sales price for all assets on line 6a 181,922				
	7 Capital gain net income (from Part IV , line 2) . . .		50,853		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	94	94		
	12 Total. Add lines 1 through 11	60,224	58,224		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,250	563	0	1,687
	c Other professional fees (attach schedule)	8,805	8,805		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	1,919	60		1,859
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	11	11		
	24 Total operating and administrative expenses. Add lines 13 through 23	12,985	9,439	0	3,546
	25 Contributions, gifts, grants paid	51,180			51,180
	26 Total expenses and disbursements. Add lines 24 and 25	64,165	9,439	0	54,726
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-3,941			
	b Net investment income (if negative, enter -0-)		48,785		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	83,551	51,052	51,052
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	238,733	267,291	504,433
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	322,284	318,343	555,485
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	322,284	318,343	
	30	Total net assets or fund balances (see instructions)	322,284	318,343	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	322,284	318,343	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 322,284
2	Enter amount from Part I, line 27a	2 -3,941
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 318,343
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 318,343

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED STATEMENT			2015-06-30
b	SEE ATTACHED STATEMENT			2015-06-30
c	IMMUCOR CLASS ACTION SETTLEMENT			2014-07-07
d	ADD'L BASIS ON KINDER MORGAN DISPOSITION			2014-07-01
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 57,791		51,324	6,467
b 124,110		79,643	44,467
c 21			21
d		102	-102
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			6,467
b			44,467
c			21
d			-102
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	50,853
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	72,230	560,892	0 128777
2012	50,280	498,646	0 100833
2011	52,110	487,042	0 106993
2010	56,255	505,513	0 111283
2009	301,538	662,102	0 455425

2	Total of line 1, column (d).	2	0 903311
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 180662
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	539,817
5	Multiply line 4 by line 3.	5	97,524
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	488
7	Add lines 5 and 6.	7	98,012
8	Enter qualifying distributions from Part XII, line 4.	8	54,726

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	976
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	976
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	976
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,600
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,600
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	624
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 624 Refunded ☐	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☐	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☐	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of YETTA CHAIKEN Telephone no (302) 571-8104 Located at 4002 LAKEVIEW DRIVE WILMINGTON DE ZIP+4 19807			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
YETTA Z CHAIKEN	PRES /SEC	0		
4002 Lakeview Drive	0			
Wilmington, DE 19807				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	469,512
b	Average of monthly cash balances.	1b	78,526
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	548,038
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	548,038
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	8,221
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	539,817
6	Minimum investment return. Enter 5% of line 5.	6	26,991

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	26,991
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	976
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	976
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	26,015
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	26,015
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	26,015

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	54,726
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	54,726
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	54,726

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				26,015
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only.			0	
b Total for prior years 2012 , 2011 , 2010		0		
3 Excess distributions carryover, if any, to 2014				
a From 2009.	268,433			
b From 2010.	31,039			
c From 2011.	28,321			
d From 2012.	25,764			
e From 2013.	45,785			
f Total of lines 3a through e.	399,342			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 54,726				
a Applied to 2013, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2014 distributable amount.				26,015
e Remaining amount distributed out of corpus	28,711			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	428,053			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . .	268,433			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	159,620			
10 Analysis of line 9				
a Excess from 2010. . . .	31,039			
b Excess from 2011. . . .	28,321			
c Excess from 2012. . . .	25,764			
d Excess from 2013. . . .	45,785			
e Excess from 2014. . . .	28,711			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

YETTA Z CHAIKEN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Yetta Z Chaiken
4002 Lakeview Drive
Wilmington, DE 19807
(302) 571-8104

b

The form in which applications should be submitted and information and materials they should include

LETTER INDICATING THE REASON FOR WHICH THE GRANT IS REQUESTED

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Form 990-PF (2014)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	51,180
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2015-11-15

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒

Yes

☐

No

Paid Preparer Use Only

Print/Type preparer's name

Cameron B McDonald

Preparer's Signature

Date

Check if self-employed

☐

PTIN

P01266915

Firm's name

BDO USA LLP

Firm's address

4250 Lancaster Pike Suite 120 Wilmington, DE 19805

Firm's EIN

Phone no

(302) 656-5500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALBERT EINSTEIN ACADEMY 101 Garden of Eden Road Wilmington, DE 19803	None	PC	To accomplish charitable purpose	500
BRADY CAMPAIGN TO PREVENT GUN VIOLENCE 1225 Eye Street NW Suite 1100 Washington, DC 20005	None	PC	To accomplish charitable purpose	25
CHILDREN & FAMILIES FIRST 2005 Baynard Boulevard Wilmington, DE 19802	None	PC	To accomplish charitable purpose	50
The Music School of Delaware 4101 N Washington Street Wilmington, DE 19802	None	PC	To accomplish charitable purpose	100
DELAWARE SYMPHONY ASSOC 818 N Market Street Wilmington, DE 19801	None	PC	To accomplish charitable purpose	50
DELAWARE THEATER COMPANY 200 Water Street Wilmington, DE 19801	None	PC	To accomplish charitable purpose	50
FOOD BANK OF DE 14 Garfield Way Neewark, DE 19713	None	PC	To accomplish charitable purpose	100
GIRL'S INC 1019 Brown Street Wilmington, DE 19805	None	PC	To accomplish charitable purpose	100
INTERNATIONAL TREMOR FOUNDATION PO Box 14005 Lenexa, KS 66285	None	PC	To accomplish charitable purpose	30
JEWISH COMMUNITY CTR KALLAH SPONSORSHIP 101 Garden of Eden Road Wilmington, DE 19803	None	PC	To accomplish charitable purpose	2,000
JEWISH FEDERATION 100 W 10th Street Wilmington, DE 19801	None	PC	To accomplish charitable purpose	30,000
JEWISH HISTORICAL SOCIETY OF DE 505 Market Street Wilmington, DE 19801	None	PC	To accomplish charitable purpose	50
MEALS ON WHEELS 100 W 10th Street Ste 207 Wilmington, DE 19801	None	PC	To accomplish charitable purpose	50
MINISTRY OF CARING 506 N church Street Wilmington, DE 19801	None	PC	To accomplish charitable purpose	50
DELAWARE CHAMBER MUSIC FESTIVAL PO Box 3537 Wilmington, DE 19807	None	PC	To accomplish charitable purpose	50
Total  3a				51,180

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
OXFAM 226 Causeway Street 5th Floor Boston, MA 02114	None	PC	To accomplish charitable purpose	50
PLANNED PARENTHOOD 434 West 33rd Street New York, NY 10001	None	PC	To accomplish charitable purpose	500
READ ALOUD DELAWARE PO Box 25249 Wilmington, DE 19899	None	PC	To accomplish charitable purpose	25
SOJOURNERS PLACE 2901 Governor Printz Boulevard Wilmington, DE 19802	None	PC	To accomplish charitable purpose	100
WHYY DELAWARE BROADCASTING CENTER 625 ORANGE STREET WILMINGTON, DE 19801	none	PC	To accomplish charitable purpose	100
DELAWARE HUMANITIES FORUM 100 W 10th Street Ste 1009 Wilmington, DE 19801	None	PC	To accomplish charitable purpose	50
OPERADELAWARE 4 South Poplar Street Wilmington, DE 19801	None	PC	To accomplish charitable purpose	100
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE 47 W DELAWARE AVE Newark, DE 19711	None	PC	To accomplish charitable purpose	15,000
MILTON AND HATTIE KUTZ HOME INC 704 RIVER ROAD WILMINGTON, DE 19809	None	PC	To acomplish charitable purpose	2,000
WILMINGTON INSTITUTION FREE LIBRARY PO BOX 2303 WILMINGTON, DE 19899	NONE	PC	To accomplish charitable purpose	50
Total  3a				51,180

TY 2014 Accounting Fees Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,250	563		1,687

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

TY 2014 Other Expenses Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR INVESTMENT FEE	11	11		

TY 2014 Other Income Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Kinder Morgan Energy Partners	94	94	

TY 2014 Other Professional Fees Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES ACM	2,715	2,715		
INVESTMENT MANAGEMENT FEES WTC	6,090	6,090		

TY 2014 Taxes Schedule**Name:** Frank and Yetta Chaiken Foundation Inc**EIN:** 51-0264232

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,919	60		1,859
FRANCHISE FEE/TAX				

Closed-Out Trades

For Reporting Period: 07/01/2014 - 06/30/2015

For Portfolio: 0037 - Chaiken, Frank and Yetta Foundation

Shown in: US Dollar

Identifier	Security Name	Dates		Reference Numbers						Realized Gain/Loss			
		Buy	Sell	Trans	COT	LOT	Shares/PAR	Proceeds	Cost	Short Term	%	Long Term	%
AGX	ARGAN INC.	9/10/2013	9/5/2014	399399	206050	202232	50	1,910.94	959.75	951.19	99.11	0.00	0.00
AGX	ARGAN INC.	9/10/2013	9/8/2014	399507	206094	202232	50	1,889.31	959.75	929.56	96.85	0.00	0.00
AGX	ARGAN INC.	12/19/2013	9/4/2014	399294	206012	203187	200	7,518.05	5,392.96	2,125.09	39.40	0.00	0.00
AGX Total							300	11,318.30	7,312.46	4,005.84	54.78	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	7/16/2014	395866	204882	202472	305	678.58	1,500.78	-822.20	-54.78	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	7/18/2014	396004	204978	202471	95	214.46	455.33	-240.87	-52.90	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	7/18/2014	396196	205023	202471	5	11.28	23.96	-12.68	-52.93	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	7/18/2014	396196	205024	202472	195	439.81	959.52	-519.71	-54.16	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	8/21/2014	398155	205491	202471	100	205.65	479.29	-273.64	-57.09	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	8/21/2014	398156	205492	202471	100	204.99	479.29	-274.30	-57.23	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	8/22/2014	398286	205575	202471	200	403.71	958.58	-554.87	-57.88	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	8/22/2014	398287	205576	202471	100	206.34	479.29	-272.95	-56.95	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	8/25/2014	398458	205693	202471	100	205.86	479.29	-273.43	-57.05	0.00	0.00
ATRS	ANTARES PHARMA INC.	10/14/2013	8/27/2014	398528	205766	202471	100	204.20	479.29	-275.09	-57.40	0.00	0.00
ATRS	ANTARES PHARMA INC.	6/9/2014	8/28/2014	398603	205817	205508	300	622.24	915.72	-293.48	-32.05	0.00	0.00
ATRS	ANTARES PHARMA INC.	6/9/2014	8/28/2014	398604	205818	205508	300	635.20	915.72	-280.52	-30.63	0.00	0.00
ATRS Total							1,900	4,032.32	8,126.06	-4,093.74	-50.38	0.00	0.00
BDE	BLACK DIAMOND INC.	5/18/2010	1/9/2015	411221	211227	181276	100	722.94	692.35	0.00	0.00	30.59	4.42
BDE	BLACK DIAMOND INC.	6/3/2010	11/7/2014	404936	208494	181473	100	841.98	768.00	0.00	0.00	73.98	9.63
BDE	BLACK DIAMOND INC.	6/3/2010	11/7/2014	404937	208495	181473	100	828.41	768.00	0.00	0.00	60.41	7.87
BDE	BLACK DIAMOND INC.	6/7/2010	11/7/2014	404937	208496	181528	100	828.41	708.00	0.00	0.00	120.41	17.01
BDE	BLACK DIAMOND INC.	6/7/2010	11/11/2014	405267	208691	181528	100	848.66	708.00	0.00	0.00	140.66	19.87
BDE	BLACK DIAMOND INC.	6/7/2010	1/20/2015	412070	211680	181529	100	675.24	689.83	0.00	0.00	-14.59	-2.12
BDE	BLACK DIAMOND INC.	6/7/2010	1/21/2015	412180	211758	181529	100	686.49	689.83	0.00	0.00	-3.34	-0.48
BDE	BLACK DIAMOND INC.	6/7/2010	1/28/2015	412611	212027	181529	200	1,308.05	1,379.66	0.00	0.00	-71.61	-5.19
BDE	BLACK DIAMOND INC.	6/7/2010	3/13/2015	416950	213899	181529	200	1,461.65	1,379.66	0.00	0.00	81.99	5.94
BDE	BLACK DIAMOND INC.	6/7/2010	3/16/2015	417105	213935	181529	100	707.29	689.83	0.00	0.00	17.46	2.53
BDE	BLACK DIAMOND INC.	10/13/2010	12/3/2014	407541	209419	184143	100	881.85	702.80	0.00	0.00	179.05	25.48
BDE	BLACK DIAMOND INC.	10/13/2010	12/3/2014	407541	209420	184142	100	881.85	702.75	0.00	0.00	179.10	25.49

Closed-Out Trades

For Reporting Period 07/01/2014 - 06/30/2015

For Portfolio: 0037 - Chaiken, Frank and Yetta Foundation

Shown in: US Dollar

Identifier	Security Name	Dates		Reference Numbers						Realized Gain/Loss			
		Buy	Sell	Trans	COT	LCT	Shares/PA	Proceeds	Cost	Short Term	%	Long Term	%
BDE	BLACK DIAMOND INC	10/15/2010	3/17/2015	417205	213976	184287	100	897.00	678.00	0.00	0.00	219.00	32.30
BDE	BLACK DIAMOND INC	3/31/2011	1/9/2015	411221	211226	187601	100	722.94	693.00	0.00	0.00	29.94	4.32
BDE	BLACK DIAMOND INC.	4/12/2011	12/2/2014	407381	209331	187815	100	872.84	703.00	0.00	0.00	169.84	24.16
BDE	BLACK DIAMOND INC.	1/12/2012	11/5/2014	404501	208287	192905	29	240.48	232.27	0.00	0.00	8.21	3.53
BDE	BLACK DIAMOND INC.	1/13/2012	11/5/2014	404501	208288	192997	171	1,418.00	1,365.64	0.00	0.00	52.36	3.83
BDE	BLACK DIAMOND INC.	1/13/2012	11/6/2014	404722	208365	192997	100	801.39	798.62	0.00	0.00	2.77	0.35
BDE Total:							2,000	15,625.47	14,349.24	0.00	0.00	1,276.23	8.89
BRO	BROWN & BROWN INC	1/11/2012	4/22/2015	419778	215066	192746	200	6,398.02	4,620.88	0.00	0.00	1,777.14	38.46
CRR	CARBO CERAMICS INC.	11/20/2012	8/15/2014	397768	205313	197886	100	10,558.89	7,388.36	0.00	0.00	3,170.53	42.91
FEIC	FEI COMPANY	10/28/2011	4/24/2015	419988	215229	191356	60	4,438.34	2,303.95	0.00	0.00	2,134.39	92.64
FOXF	FOX FACTORY HOLDING CORP	7/14/2014	3/5/2015	416448	213743	206122	100	1,468.55	1,590.54	-121.99	-7.67	0.00	0.00
FOXF	FOX FACTORY HOLDING CORP	7/14/2014	2/27/2015	415775	213354	206123	100	1,492.83	1,612.96	-120.13	-7.45	0.00	0.00
FOXF	FOX FACTORY HOLDING CORP	7/15/2014	3/2/2015	415920	213452	206265	100	1,495.88	1,602.63	-106.75	-6.66	0.00	0.00
FOXF	FOX FACTORY HOLDING CORP	7/16/2014	3/3/2015	416087	213549	206352	100	1,479.38	1,601.29	-121.91	-7.61	0.00	0.00
FOXF	FOX FACTORY HOLDING CORP	7/16/2014	3/4/2015	416315	213689	206352	100	1,470.98	1,601.29	-130.31	-8.14	0.00	0.00
FOXF Total:							500	7,407.62	8,008.71	-601.09	-7.51	0.00	0.00
HCSG	HEALTHCARE SERVICES GROUP	8/3/2011	8/18/2014	397892	205400	189148	100	2,746.33	1,441.40	0.00	0.00	1,304.93	90.53
HCSG	HEALTHCARE SERVICES GROUP	8/3/2011	9/2/2014	398789	205882	189148	100	2,714.23	1,441.40	0.00	0.00	1,272.83	88.31
HCSG Total:							200	5,460.56	2,882.80	0.00	0.00	2,577.76	89.42
INCY	INCYTE CORP	4/17/2013	3/20/2015	417423	214021	199548	100	9,629.70	2,098.30	0.00	0.00	7,531.40	358.93
KMI	KINDER MORGAN HOLDCO LLC	3/13/2014	9/15/2014	400143	208398	204051	700	26,398.02	21,920.50	4,477.52	20.43	0.00	0.00
KMR	KINDER MORGAN MANAGEMENT	10/20/2010	9/15/2014	402749	207638	184494	202	18,566.56	9,604.85	0.00	0.00	8,961.71	93.30

Closed-Out Trades

For Reporting Period: 07/01/2014 - 06/30/2015

For Portfolio: 0037 - Chalken, Frank and Yetta Foundation

Shown in: US Dollar

Identifier	Security Name	Dates		Reference Numbers						Realized Gain/Loss			
		Buy	Sell	Trans	COT	LOT	Shares/PA	Proceeds	Cost	Short Term	%	Long Term	%
KMR	KINDER MORGAN MANAGEMENT	10/20/2010	8/20/2014	399794	206206	184494	0.462600	45.80	22.00	0.00	0.00	23.80	108.22
KMR Total:							202.462600	18,612.36	9,626.84	0.00	0.00	8,985.52	93.34
LGND	LIGAND PHARMACEUTICALS - CL B	1/10/2012	7/15/2014	395669	204768	192526	50	2,845.57	587.26	0.00	0.00	2,258.31	384.55
LGND	LIGAND PHARMACEUTICALS - CL B	5/25/2012	7/14/2014	395441	204698	195819	100	5,974.16	1,278.13	0.00	0.00	4,696.03	367.41
LGND Total:							150	8,819.73	1,865.39	0.00	0.00	6,954.34	372.81
LKQ	LKQ CORP	10/13/2010	2/26/2015	415564	213211	184144	300	7,246.63	3,227.10	0.00	0.00	4,019.53	124.56
LOCK	LIFELOCK, INC.	5/24/2013	4/8/2015	418866	214671	200817	400	5,716.37	4,204.04	0.00	0.00	1,512.33	35.97
LOCK	LIFELOCK, INC.	5/24/2013	7/14/2014	395442	204699	200817	100	1,311.71	1,051.01	0.00	0.00	260.70	24.80
LOCK	LIFELOCK, INC.	5/24/2013	7/15/2014	395670	204769	200817	100	1,275.70	1,051.01	0.00	0.00	224.69	21.38
LOCK	LIFELOCK, INC.	5/24/2013	7/15/2014	395671	204770	200817	200	2,541.84	2,102.02	0.00	0.00	439.82	20.92
LOCK	LIFELOCK, INC.	5/24/2013	8/1/2014	396879	205127	200817	100	1,330.33	1,051.01	0.00	0.00	279.32	26.58
LOCK Total:							900	12,175.95	9,459.09	0.00	0.00	2,716.86	28.72
LQDT	LIQUIDITY SERVICES	3/7/2011	11/17/2014	405596	208815	187160	30	352.23	471.72	0.00	0.00	-119.49	-25.33
LQDT	LIQUIDITY SERVICES	3/7/2011	12/8/2014	408069	209608	187160	120	926.19	1,886.88	0.00	0.00	-960.69	-50.91
LQDT	LIQUIDITY SERVICES	12/12/2012	10/15/2014	402823	207690	198120	100	1,233.95	3,829.17	0.00	0.00	-2,595.22	-67.78
LQDT Total:							250	2,512.37	6,187.77	0.00	0.00	-3,675.40	-59.40
MDSO	MEDIDATA SOLUTIONS INC	7/19/2011	4/24/2015	419989	215230	188789	200	11,430.26	2,251.06	0.00	0.00	9,179.20	407.77
MKTX	MARKETAXESS HOLDINGS INC	9/8/2014	3/24/2015	417589	214146	207059	100	8,635.15	5,956.91	2,678.24	44.96	0.00	0.00
NUAN	NUANCE COMMUNICATIONS INC	1/10/2012	8/12/2014	397504	205248	192527	400	6,537.29	11,262.00	0.00	0.00	-4,724.71	-41.95
SWKS	SKYWORKS SOLUTIONS INC	1/20/2012	10/10/2014	402541	207383	193149	100	4,664.34	2,119.91	0.00	0.00	2,544.43	120.03
0037 Total in US Dollar:								181,901.32	130,967.33	6,466.77	4.94	44,467.22	33.95

* Realized gains/losses use "high cost first" tax lot treatment.

	Proceeds	Cost	Gain (Loss)
Short Term	57,791.41	51,324.64	6,466.77
Long Term	124,109.91	79,642.69	44,467.22