



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2014**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2014 or tax year beginning

7/1/2014

, and ending

6/30/2015

Name of foundation

Hawthorn Foundation

Number and street (or P O box number if mail is not delivered to street address)

PO Box 2693

City or town

Casper

State

WY

ZIP code

82602-2693

Foreign country name

Foreign province/state/county

Foreign postal code

A Employer identification number

46-0903481

B Telephone number (see instructions)

(307) 266-9870

G Check all that apply

☐ Initial return ☐ Initial return of a former public charity

☐ Final return ☐ Amended return

☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 450,400

J Accounting method ☒ Cash ☐ Accrual

☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
3 Interest on savings and temporary cash investments	4	4		
4 Dividends and interest from securities	9,309	9,309		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	23,984			
b Gross sales price for all assets on line 6a 150,208				
7 Capital gain net income (from Part IV, line 2)		23,984		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	33,297	33,297		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc	75,000			75,000
14 Other employee salaries and wages				
15 Pension plans, employee benefits	21,819			21,819
16a Legal fees (attach schedule)	4,534			4,534
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	395			395
17 Interest				
18 Taxes (attach schedule) (see instructions)	2,579			
19 Depreciation (attach schedule) and depletion	163			
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	1,214			1,214
24 Total operating and administrative expenses. Add lines 13 through 23	105,704			102,962
25 Contributions, gifts, grants paid	56,236			56,236
26 Total expenses and disbursements. Add lines 24 and 25	161,940			159,198
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-128,643			
b Net investment income (if negative, enter -0-)		33,297		
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2014)

SCANNED NOV 6 2015

b a z b

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	14,116	22,338	22,338
	2 Savings and temporary cash investments	39,861	29,382	29,382
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	499,158	372,934	398,242
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment, basis ▶			
Liabilities	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment, basis ▶	850		
	Less accumulated depreciation (attach schedule) ▶	412		
	15 Other assets (describe ▶ )	600	438	438
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	553,735	425,092	450,400
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	553,735	425,092	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	553,735	425,092	
	31 Total liabilities and net assets/fund balances (see instructions)	553,735	425,092	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	553,735
2 Enter amount from Part I, line 27a	2	-128,643
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	425,092
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	425,092

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Capital Gains Distributions</b>				
<b>b PUBLICLY TRADED SECURITIES</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b> 150,000		126,224	23,776	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>			23,776	
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	23,984
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

<b>1 Enter the appropriate amount in each column for each year, see the instructions before making any entries</b>			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	130,630	474,607	0.275238
2012	70,494	172,735	0.408105
2011			
2010			
2009			
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.683343
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.341672
<b>4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5</b>			<b>4</b> 497,771
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 170,074
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 333
<b>7 Add lines 5 and 6</b>			<b>7</b> 170,407
<b>8 Enter qualifying distributions from Part XII, line 4</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 159,198

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	666
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2	<b>3</b>	666
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	666
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2014 estimated tax payments and 2013 overpayment credited to 2014	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	<b>Total credits and payments.</b> Add lines 6a through 6d	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	3
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	669
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WY		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>		X
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► <u>www.thehawthornfoundation.org</u>				
14	The books are in care of ► <u>GERALD T STACK-TREASURER</u>	Telephone no	► <u>(307) 266-9870</u>	
	Located at ► <u>PO BOX 2693 CASPER WY</u>	ZIP+4	► <u>82602</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15	► <input type="checkbox"/>	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐ Yes ☒ No

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CS OCONNELL PO BOX 2693 CASPER, WY 82602	DIRECTOR, PRES 5.00			
GERALD T. STACK PO BOX 2693 CASPER, WY 82602	DIRECTOR, TREA 5.00			
JUDITH ANNE JOHNSON PO BOX 2693 CASPER, WY 82602	EXEC DIRECTOR 35.00	75,000	21,820	

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION MADE ONE GRANT THIS YEAR WITHIN THE "HELPING HAND TO HIRED" PROGRAM WHICH ASSISTS NEEDY INDIVIDUALS OVERCOME OBSTACLES TO NEW OR BETTER EMPLOYMENT. THIS GRANT ASSISTED AN INDIVIDUAL OBTAIN HER CNA LICENSE	223
2 SCHOLARSHIPS GRANTS THROUGH SEVEN DIFFERENT COLLEGES AND UNIVERSITIES BENEFITING TWENTY-THREE STUDENTS	49,598
3 THE FOUNDATION MADE ONE GRANT WITHIN ITS ENVIRONMENTAL PROGRAM TO THE BUFFALO-JOHNSON COUNTY RECYCLING CENTER FOR COST INSTALLING PLASTIC AND ALUMINUM BAILER	6,415
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	451,591
<b>b</b>	Average of monthly cash balances	<b>1b</b>	53,322
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	438
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	505,351
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	505,351
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	7,580
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	497,771
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	24,889

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	24,889
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	666
<b>b</b>	Income tax for 2014 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	666
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	24,223
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	24,223
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	24,223

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	159,198
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	159,198
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	159,198

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				24,223
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				63,421
e From 2013				111,953
f Total of lines 3a through e	175,374			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 159,198				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2014 distributable amount				24,223
e Remaining amount distributed out of corpus	134,975			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	310,349			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	310,349			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				63,421
d Excess from 2013				111,953
e Excess from 2014				134,975

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b 85% of line 2a
  - c Qualifying distributions from Part XII,  
line 4 for each year listed
  - d Amounts included in line 2c not used directly  
for active conduct of exempt activities
  - e Qualifying distributions made directly  
for active conduct of exempt activities  
Subtract line 2d from line 2c
- 3 Complete 3a, b, or c for the  
alternative test relied upon
  - a "Assets" alternative test—enter
    - (1) Value of all assets
    - (2) Value of assets qualifying under  
section 4942(i)(3)(B)(i)
  - b "Endowment" alternative test—enter 2/3  
of minimum investment return shown in  
Part X, line 6 for each year listed
  - c "Support" alternative test—enter
    - (1) Total support other than gross  
investment income (interest,  
dividends, rents, payments on  
securities loans (section  
512(a)(5)), or royalties)
    - (2) Support from general public  
and 5 or more exempt  
organizations as provided in  
section 4942(j)(3)(B)(iii)
    - (3) Largest amount of support from  
an exempt organization
    - (4) Gross investment income

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

## 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

CS O'CONNELL, BUFFALO NY

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JUDITH A JOHNSON, EXEC DIRECTOR PO BOX 2693 CASPER, WY 82602 WWW.THEHAWTHORNFUNDATION.ORG

- b. The form in which applications should be submitted and information and materials they should include**

SCHOLARSHIP APPLICATIONS AVAILABLE AT WEBSITE ALL OTHERS FROM EXECUTIVE DIRECTOR

- c** Any submission deadlines

VARIOUS AS DETERMINED BY BOARD OF DIRECTORS

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SCHOLARSHIP GRANTS-SCHED ATTCHED			SCHOLARSHIP GRANT	49,598
HELPING HAND TO HIRED-SCHED ATTCHED			OBTAIN CNA LICENSE	223
BUFFALO-JOHNSON COUNTY RECYCLING CEN 550 NORTH BURKE ST BUFFALO, WY 82834		GOV	INSTALLATION PLASTIC ALUMINUM BAILER	6,415
<b>Total</b>			<b>3a</b>	56,236
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b>			<b>3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	4	
4	Dividends and interest from securities			14	9,309	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	23,984	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				33,297	
13	Total. Add line 12, columns (b), (d), and (e)				13	33,297

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**A SCHEDULE ATTACHED TO AND MADE PART OF  
2014 FORM 990PF  
HAWTHORN FOUNDATION EIN 46-0903481  
PART XV LINE 3A  
SCHOLARSHIPS PAID FYE JUNE 30, 2015**

Scholarship Granted To	Recipient's Address	College or Training School	Summer 2015	Spring 2015	Fall 2014	TOTAL
Luiza Bosch	223 Colter Loop Pinedale, WY 82941	Western Wyoming Community College		\$ 3,688.76	\$ 4,022.78	\$ 7,711.54
LaVeda Crow	P.O. Box 1557 Casper, WY 82602	Casper College		\$ -	\$ 1,550.00	\$ 1,550.00
Lorianne Ellingrod	P.O. Box 33 1023 Water Street Clearmont, WY 82835	Sheridan College		\$ -	\$ -	\$ -
Emily Reed	3059 Coffeen Ave. MSC 438 Sheridan, Wyoming 82801	Sheridan College		\$ 1,000.00	\$ 1,000.00	\$ 2,000.00
Charlene Pfaff	4806 Brahma St. Gillette, WY 82718	Eastern Wyoming College		\$ -	\$ -	\$ -
Joshua Strobel	820 Bellevue Ave #2 Sheridan, Wyoming 82801	Sheridan College		\$ -	\$ 1,231.20	\$ 1,231.20
Ann Talmadge	1325 N. Gould St Sheridan, WY 82801	Sheridan College		\$ 1,767.95	\$ 1,691.95	\$ 3,459.90
Matthew Jacobsen	P.O. Box 102 Buffalo, Wyoming 82834	Sheridan College		\$ 1,430.70	\$ 1,444.95	\$ 2,875.65
Immaculate Okeyo	1898 Fort Rd. QRTS 28 Sheridan, Wyoming	Sheridan College		\$ 1,383.20	\$ 1,354.70	\$ 2,737.90
Krista Eurich	1411 Morado Dr Apt 201 Casper, Wyoming 82609	Casper College		\$ -	\$ 750.00	\$ 750.00
Clayton Lanham-Reesman	11811 Willy Road Casper, Wyoming 82604	Casper College		\$ -	\$ 500.00	\$ 500.00
Kiah Spiva Wimer	2131 E. 24th Street Casper, Wyoming	Casper College		\$ 1,231.20	\$ 1,231.20	\$ 2,462.40
Ronnie Brubaker	4508 Cleveland Ave Cheyenne, Wyoming	Laramie County Community College		\$ 1,829.70	\$ 1,938.95	\$ 3,768.65

A SCHEDULE ATTACHED TO AND MADE PART OF  
 2014 FORM 990PF  
 HAWTHORN FOUNDATION EIN 46-0903481  
 PART XV LINE 3A  
 SCHOLARSHIPS PAID FYE JUNE 30, 2015

Scholarship Granted To	Recipient's Address	College or Training School	Summer 2015	Spring 2015	Fall 2014	TOTAL
John Hubbard	248 E. Hst apt#13 Casper, Wyoming 82601	Casper College		\$ 1,000.00	\$ 500.00	\$ 1,500.00
Kaliegh Thomas	P.O. Box #1557 915N. Durbin #4 Casper, Wyoming	Casper College		\$ 1,000.00	\$ 500.00	\$ 1,500.00
Kolby Garrett	P.O. Box 193 Dayton, Wyoming 82836	Sheridan College		\$ 1,000.00	\$ 1,000.00	\$ 2,000.00
Marco Sanchez	2408 East 10th Street Casper, Wyoming 82609	Casper College		\$ 1,231.20	\$ 1,259.70	\$ 2,490.90
Shaelee Stone	482 South Shanley Avenue Pinedle, Wyoming 82941	Western Wyoming Community College			\$ 1,178.00	\$ 1,178.00
Sandra Kingsley	1078 Park Drive Sheridan, Wyoming 82801	Sheridan College/ University of Kansas	\$ 780.90	\$ 5,400.75		\$ 6,181.65
Erin Perry	P.O. Box 341 Hulett, Wyoming 82720	Sheridan College		\$ 1,459.20		\$ 1,459.20
Sarah Pilling	17 Cessna RD Sheridan, Wyoming	Sheridan College/ University of Wyoming		\$ 1,768.90		\$ 1,768.90
Pamela Ricard	P.O. Box 51942 2959 Central Dr. Casper, Wyoming 82605	Casper College		\$ 1,231.20		\$ 1,231.20
Laura Zezas	78 Airport Road Buffalo, Wyoming 82834	Sheridan College		\$ 1,240.70		\$ 1,240.70
		<b>TOTAL</b>	\$ 780.90	\$ 27,663.46	\$ 21,153.43	\$ 49,597.79



A SCHEDULE ATTACHED TO AND MADE PART OF

2014 FORM 990PF

HAWTHORN FOUNDATION EIN 46-0903481

PART XV LINE 3 a

HELPING HAND TO HIRED GRANTS PAID FYE JUNE 30, 2015

FY 2015 HHF Grants	Partner	Address	Date Application Received	Client Name	Amount	Purpose	Vendor	Date Paid
SH8	Seton House	230 N. Park, Casper, WY 82601	9/18/2014	Jessica Marts	\$ 223.00	Help with the cost of the CNA Boards to receive her CNA License	CNA Boards in Riverton, Wyoming	9/23/2014

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Long Term CG Distributions Short Term CG Distributions	Amount	Description	CUSIP #	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals			Net Gain or Loss
										Capital Gains/Losses	Gross Sales	Cost, Other Basis and Expenses	
1	X	208	PUBLICLY TRADED SECURITY						150,000	126,224	126,224	23,776	
									150,208	126,224	126,224	23,984	

**Part I, Line 16a (990-PF) - Legal Fees**

		4,534				4,534
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)	
1	JULY 2014 LEGAL ADVICE ON ACCREDITATIO	1,898			1,898	
2	SEPTEMBER 2014 LEGAL ADVISE ON ACCRED	1,786			1,786	
3	OCTOBER 2014 ADVISE REGARDING RECYCL	850			850	

**Part I, Line 16c (990-PF) - Other Professional Fees**

		395				395
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)	
1	ASSISTANCE WITH WEB SITE	395			395	

**Part I, Line 18 (990-PF) - Taxes**

		2,579			
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PRIOR YEAR FEDERAL TAXES	2,579			

**Part I, Line 23 (990-PF) - Other Expenses**

		1,214				1,214
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes	
1	OFFICE SUPPLIES AND POSTAGE	157			157	
2	STATE OF WYOMING FILING FEE	27			27	
3	US TREASURY USER FEE SCHOLARSHIP PROG	1,000			1,000	
4	BANK FEES	30			30	

**Part II, Line 10b (990-PF) - Investments - Corporate Stock**

		499,158		372,934		535,662		398,242	
		Book Value		Book Value		FMV		FMV	
		Beg. of Year		End of Year		Beg. of Year		End of Year	
Description		Num Shares/ Face Value							
1	VANGUARD SHORT TERM INV GRADE BO	19,025	254,757	204,518	255,038	202,805			
2	VANGUARD 500 INDEX FUND	1,027	244,401	168,416	280,624	195,437			

**Part II, Line 14 (990-PF) - Land, Buildings, and Equipment**

		850	250	412	600	438	438
Asset Description		Cost or Other Basis	Accumulated Depreciation Beg of Year	Accumulated Depreciation End of Year	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	OFFICE EQUIPMENT	850	250	412	600	438	438



		Amount												
		208												
		Long Term CG Distributions	Short Term CG Distributions											
		Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	23,776	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses	23,776
1	Capital Gains Distributions													
2	PUBLICLY TRADED SECURITIES				\$50,000				126,224	23,776				23,776

## Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	CS O'CONNELL		PO BOX 2693	CASPER	WY	82602		DIRECTOR, PRES	5 00			
2	GERALD T STACK		PO BOX 2693	CASPER	WY	82602		DIRECTOR, TREASURER	5 00			
3	JUDITH ANNE JOHNSON		PO BOX 2693	CASPER	WY	82602		EXEC DIRECTOR AND	35 00	75,000	21,820	
										75,000	21,820	