Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning JUL 1, 2014 , and ending JUN 30, 2015

Name of foundation
JOHN WEIL UHLMANN FAMILY PHILANTHROPIC FOUNDATION C/O RUTH BRACKNEY

A Employer identification number
43-1113857

Number and street (or P O box number if mail is not delivered to street address): LATHROP & GAGE 2345 GRAND BLVD
Room/suite: 2800

B Telephone number
(816) 460-5446

City or town, state or province, country, and ZIP or foreign postal code
KANSAS CITY, MO 64108

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 3,869,038.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc., received			N/A	
2 Check ▶ ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	140,506.	140,506.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	30,361.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		30,361.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total.** Add lines 1 through 11	170,867.	170,867.		
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 2	1,870.	0.		1,870.
b Accounting fees				
c Other professional fees STMT 3	150.	150.		0.
17 Interest				
18 Taxes STMT 4	2,269.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 5	8.	0.		0.
24 **Total operating and administrative expenses** Add lines 13 through 23	4,297.	150.		1,870.
25 Contributions, gifts, grants paid	175,000.			175,000.
26 **Total expenses and disbursements** Add lines 24 and 25	179,297.	150.		176,870.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	-8,430.			
b **Net investment income** (if negative, enter -0-)		170,717.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

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11-24-14 LHA For Paperwork Reduction Act Notice, see instructions

Form **990-PF** (2014)

10541027 130289 472684 2014.04030 JOHN WEIL UHLMANN FAMILY PH 472684_1

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	159,490.	50,133.	50,133.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 7	406,554.	151,263.	148,586.
	b	Investments - corporate stock STMT 8	1,683,076.	1,548,263.	2,536,637.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	558,504.	1,049,354.	1,133,682.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,807,624.	2,799,013.	3,869,038.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	2,807,624.	2,799,013.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	2,807,624.	2,799,013.	
	31	**Total liabilities and net assets/fund balances**	2,807,624.	2,799,013.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,807,624.
2	Enter amount from Part I, line 27a	2	-8,430.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,799,194.
5	Decreases not included in line 2 (itemize) ▶ ROUNDING AND TIMING ADJUSTMENT	5	181.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,799,013.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS - SEE ATTACHED			
b	UBS - SEE ATTACHED			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				-7,610.
b				37,971.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-7,610.
b				37,971.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 30,361.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,621.	3,557,882.	.000456
2012	95,952.	3,068,354.	.031271
2011	1,500.	2,799,349.	.000536
2010	1,400.	2,484,593.	.000563
2009	13,061.	2,408,450.	.005423

2	**Total** of line 1, column (d)	2	.038249
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.007650
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	3,872,144.
5	Multiply line 4 by line 3	5	29,622.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,707.
7	Add lines 5 and 6	7	31,329.
8	Enter qualifying distributions from Part XII, line 4	8	176,870.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,707.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,707.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,707.
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	38.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,745.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • *By language in the governing instrument, or* • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ UBS FINANCIAL SERVICES, INC. Telephone no. ▶ (816) 751-5200

Located at ▶ 700 WEST 47TH STREET, SUITE 500, KANSAS CITY, MO ZIP+4 ▶ 64112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICIA W. UHLMANN 8221 NALL SHAWNEE MISSION, KS 66208	PRESIDENT 0.00	0.	0.	0.
MEGAN UHLMANN SHAW 11201 BROOKWOOD LEAWOOD, KS 66211	SECRETARY 0.00	0.	0.	0.
KATHERINE UHLMANN AIKMAN 1 PINECLIFF DRIVE MARBLEHEAD, MA 01945	TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,798,440.
b	Average of monthly cash balances	1b	132,671.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,931,111.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,931,111.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	58,967.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,872,144.
6	**Minimum investment return.** Enter 5% of line 5	6	193,607.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	193,607.
2a	Tax on investment income for 2014 from Part VI, line 5 — 2a: 1,707.		
b	Income tax for 2014. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,707.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	191,900.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	191,900.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	191,900.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	176,870.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	176,870.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,707.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	175,163.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				191,900.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			173,117.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 176,870.				
a Applied to 2013, but not more than line 2a			173,117.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				3,753.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				188,147.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALABAMA SHAKESPEARE FESTIVAL 1 FESTIVAL DRIVE MONTGOMERY , AL 36117	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	1,800.
AMERICAN JEWISH JOINT DISTRIBUTION COMMITTEE 711 THIRD AVE NY, NY 10017	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	53,402.
GREATER KANSAS CITY COMMUNITY FOUNDATION 1055 BROADWAY BLVD, #130 KANSAS CITY, MO 64105	NONE	FOUNDATION	CHARITABLE (SEE FOOTNOTE)	10,000.
HYMAN BRAND HEBREW ACADEMY 5801 W 115TH ST #201 OVERLAND PARK, KS 66210	NONE	PUBLIC CHARITY	EDUCATIONAL (SEE FOOTNOTE)	5,000.
INVESTIGATIVE PROJECT OF TERRORISM FOUNDATION 5614 CONNECTICUT AVE #341 WASHINGTON, DC 20015	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	25,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	175,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					140,506.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					30,361.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		0.	170,867.

13 Total Add line 12, columns (b), (d), and (e) 13 170,867.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	INCOME FROM SECURITIES AIDS IN PROVIDING OPERATING CAPITAL TO CONTINUE THE CHARITABLE EFFORTS OF THE FOUNDATION.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Patricia W Uhlmann 11/4/15 President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARY S. SHAFER	Mary S. Shafer	10/29/15		P01312429
Firm's name ▶ LATHROP & GAGE LLP			Firm's EIN ▶ 43-0948710	
Firm's address ▶ 2345 GRAND BOULEVARD, #2200 KANSAS CITY, MO 64108			Phone no. 816-292-2000	

Form **990-PF** (2014)

423622 11-24-14

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FEDERATION OF GREATER KC 5801 W 115TH ST #201 SHAWNEE MISSION, KS 66211	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	2,500.
KANSAS CITY SYMPHONY - JEWELL BALL 1703 WYANDOTEE, STE 200 KANSAS CITY, MO 64108	NONE	FOUNDATION	CHARITABLE (SEE FOOTNOTE)	1,500.
KINNAR PHILHARMONIC PO BOX 30283 KANSAS CITY, MO 64112	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	250.
KU HILLEL 940 MISSISSIPPI LAWRENCE, KS 66044	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	6,800.
PATRICIA WERTHAN UHLMANN FOUNDATION 8221 NALL SHAWNEE MISSION, KS 66208	NONE	FOUNDATION	CHARITABLE (SEE FOOTNOTE)	64,148.
THE PLUMMER HOME 37 WINTER ISLAND RD SALEM , MA 01970	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	1,500.
UNIVERSITY OF KANSAS CANCER CENTER -TURNING POINT CENTER FOR HELP & HEALING 8900 STATE LINE RD #240 SHAWNEE MISSION, KS 66206	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	100.
VILLAGE SHALOM 5500 W 123RD STREET OVERLAND PARK, KS 66709	NONE	PUBLIC CHARITY	RELIGIOUS (SEE FOOTNOTE)	2,500.
WRITERS PLACE CELEBRATION OF THE MUSE 3607 PENNSYLVANIA AVE KANSAS CITY, MO 64111	NONE	PUBLIC CHARITY	CHARITABLE (SEE FOOTNOTE)	500.
Total from continuation sheets				79,798.

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05-01-14

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES INC #UL0060721	140,506.	0.	140,506.	140,506.	
TO PART I, LINE 4	140,506.	0.	140,506.	140,506.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LATHROP & GAGE	1,870.	0.		1,870.
TO FM 990-PF, PG 1, LN 16A	1,870.	0.		1,870.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MGT FEES UBS FINANCIAL	150.	150.		0.
TO FORM 990-PF, PG 1, LN 16C	150.	150.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	2,269.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,269.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	8.	0.		0.
TO FORM 990-PF, PG 1, LN 23	8.	0.		0.

FOOTNOTES STATEMENT 6

SUPPORT FOR DAY TO DAY OPERATIONS
WHICH INCLUDE FUNDS FOR ADMINISTRATIVE
SERVICES AND PROGRAM ACTIVITIES

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVT SECURITIES	X		151,263.	148,586.
TOTAL U.S. GOVERNMENT OBLIGATIONS			151,263.	148,586.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			151,263.	148,586.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK	1,548,263.	2,536,637.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,548,263.	2,536,637.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS AND PREFERRED SECURITIES HELD AT UBS	COST	1,049,354.	1,133,682.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,049,354.	1,133,682.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

PATRICIA W. UHLMANN
P.O. BOX 419410
KANSAS CITY, MO 64141

TELEPHONE NUMBER

8162922000

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS FOR GRANTS MAY BE SUBMITTED AT ANY TIME. APPLICANTS ARE USUALLY NOTIFIED WITHIN TWO MONTHS THAT THEIR APPLICATIONS HAVE BEEN APPROVED OR REJECTED, OR THAT ADDITIONAL INFORMATION IS NEEDED.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

IN AWARDING GRANTS, THE FOUNDATION GIVES PREFERENCE TO ORGANIZATIONS LOCATED IN THE METROPOLITAN KANSAS CITY AREA. ALL GRANTS ARE FOR CHARITABLE PURPOSES. THERE ARE LIMITATIONS AS TO THE AMOUNT, AND THERE IS A FORMAL APPLICATION PROCESS TO BE FOLLOWED.

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Feb 20	Return Of Principal	GNMA PL 003314M 05 5000 DUE 12/20/17 FACTOR 0 021644490000 PAID ON 88332 CUSIP. 36202DVF5				63 05	
Feb 20	Dividend	CATERPILLAR INC PAID ON 650				455 00	
Feb 20	Foreign Dividend	NOBLE CORP PLC PAID ON 1270				476 25	
Feb 20	Interest	GNMA PL 003314M 05 5000 DUE 12/20/17 FACTOR 0 021644490000 PAID ON 88332 CUSIP 36202DVF5				9 05	152,940 95
Feb 23	Dividend	APACHE CORP PAID ON 300				75 00	153,015 95
Feb 27		**Closing cash and money balance**					**$153,015.95**
		Proceeds from investment transactions					**$391,019.71**
		Accrued interest received					**$899.24**
		Funds used for investment transactions					**-$375,000.00**

Money balance activities

Date	Activity	Description	Amount ($)
Jan 30	**Balance forward**		**$0.00**
Feb 11	Bought	RMA MONEY MKT PORTFOLIO	63,051 35
Feb 12	Sold	RMA MONEY MKT PORTFOLIO	-63,051 35
Feb 27	**Closing RMA Money Mkt. Portfolio**		**$0.00**

The RMA Money Market Portfolio is your secondary sweep option

Date	Activity	Description	Amount ($)
Jan 30	**Balance forward**		**$134,115.43**
Feb 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	100 00
Feb 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 02/05/15	3 20
Feb 11	Deposit	UBS BANK USA BUSINESS ACCOUNT	115,781 37
Feb 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-144,807 49
Feb 13	Deposit	UBS BANK USA BUSINESS ACCOUNT	14,132 06
Feb 17	Deposit	UBS BANK USA BUSINESS ACCOUNT	244 86
Feb 18	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,281 27
Feb 19	Deposit	UBS BANK USA BUSINESS ACCOUNT	31,086 90
Feb 23	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,003 35

continued next page

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Feb 24	Deposit	UBS BANK USA BUSINESS ACCOUNT	75.00
	Feb 27	**Closing UBS Bank USA Business Account**		**$153,015.95**

The UBS Bank USA Business Account is your primary sweep option

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HARTFORD STRATEGIC INCOME FUND CL I	FIFO	43 067	Feb 27, 14	Feb 09, 15	385 45	398 80		-13 35	
	FIFO	40 541	Mar 27, 14	Feb 09, 15	362 85	377 03		-14 18	
	FIFO	46 365	Apr 29, 14	Feb 09, 15	414 96	431 66		-16 70	
	FIFO	47 687	May 29, 14	Feb 09, 15	426 80	453 50		-26 70	
	FIFO	56 047	Jun 26, 14	Feb 09, 15	501 62	536 37		-34 75	
	FIFO	50 097	Jul 30, 14	Feb 09, 15	448 37	475 92		-27 55	
	FIFO	48 832	Aug 28, 14	Feb 09, 15	437 05	463 42		-26 37	
	FIFO	48 543	Sep 29, 14	Feb 09, 15	434 46	448 05		-13 59	
	FIFO	48 276	Oct 29, 14	Feb 09, 15	432 07	450 90		-18 83	
	FIFO	42 918	Nov 26, 14	Feb 09, 15	384 11	400 00		-15 89	
	FIFO	148 052	Dec 12, 14	Feb 09, 15	1,325.07	1,319 14			5 93
	FIFO	184 790	Dec 12, 14	Feb 09, 15	1,653 87	1,646 48			7 39
	FIFO	77 820	Dec 30, 14	Feb 09, 15	696 49	693 38			3 11
	FIFO	47 035	Jan 29, 15	Feb 09, 15	420 96	420 49			0 47
OPPENHEIMER GLOBAL STRATEGIC INCOME FUND A	FIFO	106 841	Feb 25, 14	Feb 09, 15	436 98	441 25		-4 27	
	FIFO	96.634	Mar 25, 14	Feb 09, 15	395 23	399.24		-4 01	
	FIFO	119 223	Apr 25, 14	Feb 09, 15	487 62	495 24		-7 62	

continued next page

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIMCO TOTAL RETURN FUND CLASS A	FIFO	7 239	Oct 31, 13	Oct 01, 14	78.98	78 91			0.07
	FIFO	6.868	Nov 29, 13	Oct 01, 14	74 93	74 72			0.21
	FIFO	4.830	Dec 11, 13	Oct 01, 14	52.69	51.92			0 77
	FIFO	25.271	Dec 11, 13	Oct 01, 14	275.71	271.66			4 05
	FIFO	4.630	Dec 31, 13	Oct 01, 14	50.51	49.49			1 02
	FIFO	4 605	Jan 31, 14	Oct 01, 14	50.24	49 83			0.41
	FIFO	5.342	Feb 28, 14	Oct 01, 14	58.29	58 01			0 28
	FIFO	6.461	Mar 31, 14	Oct 01, 14	70 49	69 65			0.84
	FIFO	6.850	Apr 30, 14	Oct 01, 14	74 73	74 25			0 48
	FIFO	9.024	May 30, 14	Oct 01, 14	98.45	98 81		-0.36	
	FIFO	7.170	Jun 30, 14	Oct 01, 14	78.23	78.65		-0.42	
	FIFO	8 023	Jul 31, 14	Oct 01, 14	87.53	87.37			0.16
	FIFO	7 397	Aug 29, 14	Oct 01, 14	80 70	81 29		-0 59	
	FIFO	5.563	Sep 30, 14	Oct 01, 14	60 69	60 47			0.22
Total					**$1,192.17**	**$1,185.03**		**-$1.37**	**$8.51**
Net short-term capital gains and losses									**$7.14**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CDK GLOBAL INC COM	FIFO	0.333	Mar 21, 05	Oct 01, 14	10 20	5.03			5 17
PIMCO TOTAL RETURN FUND CLASS A	FIFO	4,291 845	Dec 05, 12	Oct 01, 14	46,824.03	50,000 00		-3,175 97	
	FIFO	58.499	Dec 12, 12	Oct 01, 14	638.22	664.55		-26.33	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	42.938	Dec 12, 12	Oct 01, 14	468 46	487 78		-19 32	
	FIFO	44.667	Dec 27, 12	Oct 01, 14	487 31	502 06		-14.75	
	FIFO	6.523	Dec 31, 12	Oct 01, 14	71 17	73 32		-2.15	
	FIFO	6 082	Jan 31, 13	Oct 01, 14	66.36	68.06		-1.70	
	FIFO	7 112	Feb 28, 13	Oct 01, 14	77.59	79 87		-2 28	
	FIFO	9.321	Mar 28, 13	Oct 01, 14	101 69	104 77		-3 08	
	FIFO	11 260	Apr 30, 13	Oct 01, 14	122 85	127 69		-4.84	
	FIFO	9 181	May 31, 13	Oct 01, 14	100 16	101.63		-1.47	
	FIFO	6 266	Jun 28, 13	Oct 01, 14	68 36	67 42			0.94
	FIFO	7 931	Jul 31, 13	Oct 01, 14	86 53	85 58			0 95
	FIFO	8.569	Aug 30, 13	Oct 01, 14	93.49	91 26			2 23
	FIFO	6 455	Sep 30, 13	Oct 01, 14	70 42	69.84			0 58
Total					**$49,286.84**	**$52,528.86**		**-$3,251.89**	**$9.87**
Net long-term capital gains or losses								**-$3,242.02**	
Net capital gains/losses:								**-$3,234.88**	

End of statement for account number UL 00607 51

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	102 736	May 23, 14	Feb 09, 15	420 19	430 01		-9 82	
	FIFO	107 002	Jun 25, 14	Feb 09, 15	437 64	450 24		-12 60	
	FIFO	113 679	Jul 25, 14	Feb 09, 15	464 95	477 42		-12 47	
	FIFO	102 907	Aug 25, 14	Feb 09, 15	420 89	431 35		-10 46	
	FIFO	110 805	Sep 25, 14	Feb 09, 15	453 19	459 14		-5 95	
	FIFO	113 135	Oct 24, 14	Feb 09, 15	462 72	467 89		-5 17	
	FIFO	111 262	Nov 25, 14	Feb 09, 15	455 07	458 14		-3 07	
	FIFO	114 477	Dec 24, 14	Feb 09, 15	468 21	463 58			4 63
	FIFO	23 314	Dec 31, 14	Feb 09, 15	95 35	94 42			0 93
	FIFO	85 655	Jan 23, 15	Feb 09, 15	350 33	350 33			
PIMCO ALL ASSETS ALL AUTH-A	FIFO	24 814	Mar 20, 14	Feb 09, 15	228 78	244 67		-15 89	
	FIFO	32 109	Jun 19, 14	Feb 09, 15	296 05	332 97		-36 92	
	FIFO	33 087	Sep 18, 14	Feb 09, 15	305 06	332 52		-27 46	
	FIFO	167.243	Dec 30, 14	Feb 09, 15	1,541 98	1,526 93			15 05
Total					**$16,044.37**	**$16,370.48**		**-$363.62**	**$37.51**
Net short-term capital gains and losses								**-$326.11**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GNMA PL 003314M 05 5000 DUE 12/20/17	FIFO	88,332 000	May 22, 07	Feb 09, 15	1,929 11	1,978 93 [1]		-49 82	
GNMA PL 508529X 05 0000 DUE 02/15/19	FIFO	50,000 000	May 22, 07	Feb 09, 15	3,648 94	3,773 12 [1]		-124 18	
GNMA PL 597366X 05 0000 DUE 12/15/17	FIFO	50,000 000	May 22, 07	Feb 09, 15	3,225 11	3,250 64 [1]		-25 53	
GNMA PL 610477X 05 0000 DUE 09/15/18	FIFO	50,000 000	May 21, 07	Feb 09, 15	3,574 09	3,725 58 [1]		-151 49	
GNMA PL 615999X 05 0000 DUE 10/15/18	FIFO	50,000 000	May 22, 07	Feb 09, 15	4,066 80	4,187 97 [1]		-121 17	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GNMA PL 616076X 05 0000 DUE 11/15/18	FIFO	50,000 000	May 22, 07	Feb 09, 15	3,287 45	3,436 03 [1]		-148 58	
GNMA PL 626474X 05 0000 DUE 02/15/19	FIFO	50,000 000	May 22, 07	Feb 09, 15	5,491 24	5,611 90 [1]		-120 66	
GNMA PL 650350X 05 0000 DUE 11/15/20	FIFO	55,000 000	May 22, 07	Feb 09, 15	5,791 82	5,610 59 [1]			181 23
HARTFORD STRATEGIC INCOME FUND CL I	FIFO	9,979 366	Feb 15, 08	Feb 09, 15	89,315 33	94,005 63		-4,690 30	
	FIFO	33 439	Feb 19, 08	Feb 09, 15	299 27	315 00		-15 73	
	FIFO	24 413	Feb 29, 08	Feb 09, 15	218 50	231 68		-13 18	
	FIFO	56 729	Mar 31, 08	Feb 09, 15	507 73	532 69		-24 96	
	FIFO	53 666	Apr 30, 08	Feb 09, 15	480 31	510 90		-30 59	
	FIFO	58 773	May 30, 08	Feb 09, 15	526 01	557 17		-31 16	
	FIFO	59 803	Jun 30, 08	Feb 09, 15	535 24	557 96		-22 72	
	FIFO	64 442	Jul 31, 08	Feb 09, 15	576 76	590 29		-13 53	
	FIFO	64 807	Aug 29, 08	Feb 09, 15	580 02	591 04		-11 02	
	FIFO	65 947	Sep 30, 08	Feb 09, 15	590 23	555 93			34 30
	FIFO	68 683	Oct 31, 08	Feb 09, 15	614 71	505 51			109.20
	FIFO	62 796	Nov 28, 08	Feb 09, 15	562 02	451 50			110 52
	FIFO	81 369	Dec 31, 08	Feb 09, 15	728 25	601 32			126 93
	FIFO	63 404	Jan 30, 09	Feb 09, 15	567 47	473 63			93 84
	FIFO	50 649	Feb 27, 09	Feb 09, 15	453 31	376 32			76 99
	FIFO	56 372	Mar 31, 09	Feb 09, 15	504 53	424 48			80 05
	FIFO	48 721	Apr 30, 09	Feb 09, 15	436 05	377 10			58 95
	FIFO	52 625	May 29, 09	Feb 09, 15	471 00	416 79			54 21
	FIFO	59 396	Jun 30, 09	Feb 09, 15	531 59	475 76			55 83
	FIFO	66 118	Jul 31, 09	Feb 09, 15	591 76	552 75			39 01
	FIFO	65 353	Aug 31, 09	Feb 09, 15	584 90	551 58			33 32
	FIFO	64 013	Sep 30, 09	Feb 09, 15	572 92	554 35			18 57
	FIFO	68 672	Oct 30, 09	Feb 09, 15	614 62	598 13			16 49
	FIFO	59 720	Nov 30, 09	Feb 09, 15	534 49	521 95			12 54

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	102 736	May 23, 14	Feb 09, 15	420 19	430 01		-9 82	
	FIFO	107 002	Jun 25, 14	Feb 09, 15	437 64	450 24		-12 60	
	FIFO	113 679	Jul 25, 14	Feb 09, 15	464 95	477 42		-12 47	
	FIFO	102 907	Aug 25, 14	Feb 09, 15	420 89	431 35		-10 46	
	FIFO	110 805	Sep 25, 14	Feb 09, 15	453 19	459 14		-5 95	
	FIFO	113 135	Oct 24, 14	Feb 09, 15	462 72	467 89		-5 17	
	FIFO	111 262	Nov 25, 14	Feb 09, 15	455 07	458 14		-3 07	
	FIFO	114 477	Dec 24, 14	Feb 09, 15	468 21	463 58			4 63
	FIFO	23 314	Dec 31, 14	Feb 09, 15	95 35	94 42			0 93
	FIFO	85 655	Jan 23, 15	Feb 09, 15	350 33	350 33			
PIMCO ALL ASSETS ALL AUTH-A	FIFO	24 814	Mar 20, 14	Feb 09, 15	228 78	244 67		-15 89	
	FIFO	32 109	Jun 19, 14	Feb 09, 15	296 05	332 97		-36 92	
	FIFO	33 087	Sep 18, 14	Feb 09, 15	305 06	332 52		-27 46	
	FIFO	167 243	Dec 30, 14	Feb 09, 15	1,541 98	1,526 93			15 05
Total					**$16,044.37**	**$16,370.48**		**-$363.62**	**$37.51**
Net short-term capital gains and losses								**-$326.11**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GNMA PL 003314M 05 5000 DUE 12/20/17	FIFO	88,332 000	May 22, 07	Feb 09, 15	1,929 11	1,978 93 [1]		-49 82	
GNMA PL 508529X 05 0000 DUE 02/15/19	FIFO	50,000 000	May 22, 07	Feb 09, 15	3,648 94	3,773 12 [1]		-124 18	
GNMA PL 597366X 05 0000 DUE 12/15/17	FIFO	50,000 000	May 22, 07	Feb 09, 15	3,225 11	3,250 64 [1]		-25 53	
GNMA PL 610477X 05 0000 DUE 09/15/18	FIFO	50,000 000	May 21, 07	Feb 09, 15	3,574 09	3,725 58 [1]		-151 49	
GNMA PL 615999X 05 0000 DUE 10/15/18	FIFO	50,000 000	May 22, 07	Feb 09, 15	4,066 80	4,187 97 [1]		-121 17	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	67 945	Dec 31, 09	Feb 09, 15	608 11	597 92			10 19
	FIFO	63 865	Jan 29, 10	Feb 09, 15	571 59	565 84			5 75
	FIFO	62 031	Feb 26, 10	Feb 09, 15	555 18	547 73			7 45
	FIFO	67 294	Mar 31, 10	Feb 09, 15	602 28	602 95		-0 67	
	FIFO	65 869	Apr 30, 10	Feb 09, 15	589 53	597 43		-7 90	
	FIFO	69 040	May 28, 10	Feb 09, 15	617 90	605 48			12 42
	FIFO	65 219	Jun 30, 10	Feb 09, 15	583 71	577 84			5 87
	FIFO	61 130	Jul 30, 10	Feb 09, 15	547 12	550 78		-3 66	
	FIFO	58 768	Aug 31, 10	Feb 09, 15	525 97	531 26		-5 29	
	FIFO	49 974	Sep 30, 10	Feb 09, 15	447 27	457 76		-10 49	
	FIFO	41 937	Oct 29, 10	Feb 09, 15	375 33	387 50		-12 17	
	FIFO	58 352	Nov 30, 10	Feb 09, 15	522 25	529 25		-7 00	
	FIFO	62 905	Dec 31, 10	Feb 09, 15	563 00	571 18		-8 18	
	FIFO	65 508	Jan 31, 11	Feb 09, 15	586 30	599 40		-13 10	
	FIFO	64 078	Feb 28, 11	Feb 09, 15	573 50	590 80		-17 30	
	FIFO	61 734	Mar 31, 11	Feb 09, 15	552 52	568 57		-16 05	
	FIFO	59 447	Apr 29, 11	Feb 09, 15	532 05	554 64		-22 59	
	FIFO	56 797	May 31, 11	Feb 09, 15	508 33	530 48		-22 15	
	FIFO	57 692	Jun 30, 11	Feb 09, 15	516 35	531 34		-14 99	
	FIFO	56 767	Jul 31, 11	Feb 09, 15	508 06	528 50		-20.44	
	FIFO	57 927	Aug 31, 11	Feb 09, 15	518 45	534 09		-15 64	
	FIFO	45 009	Sep 30, 11	Feb 09, 15	402 83	410 93		-8 10	
	FIFO	37 246	Oct 31, 11	Feb 09, 15	333 35	343 41		-10 06	
	FIFO	38 791	Nov 23, 11	Feb 09, 15	347 18	355 33		-8 15	
	FIFO	57 686	Nov 30, 11	Feb 09, 15	516 29	526 10		-9 81	
	FIFO	78 739	Dec 30, 11	Feb 09, 15	704 71	725 19		-20 48	
	FIFO	55 286	Jan 31, 12	Feb 09, 15	494 81	514.71		-19 90	
	FIFO	45 968	Feb 29, 12	Feb 09, 15	411 42	433 48		-22 06	
	FIFO	52 917	Mar 14, 12	Feb 09, 15	473 60	495.83		-22.23	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	46 943	Apr 16, 12	Feb 09, 15	420 14	439 39		-19 25	
	FIFO	35 966	May 16, 12	Feb 09, 15	321 90	334 12		-12 22	
	FIFO	28 507	Jun 28, 12	Feb 09, 15	255 14	266 26		-11 12	
	FIFO	28 889	Jul 16, 12	Feb 09, 15	258 55	273 87		-15 32	
	FIFO	27 952	Aug 15, 12	Feb 09, 15	250 17	266 10		-15 93	
	FIFO	27 449	Sep 26, 12	Feb 09, 15	245 67	266 53		-20 86	
	FIFO	33 901	Oct 31, 12	Feb 09, 15	303 42	330 87		-27 45	
	FIFO	250 320	Nov 20, 12	Feb 09, 15	2,240 36	2,365 52		-125 16	
	FIFO	141 207	Nov 20, 12	Feb 09, 15	1,263 80	1,334 41		-70 61	
	FIFO	39 124	Nov 28, 12	Feb 09, 15	350 16	370 50		-20 34	
	FIFO	63 712	Dec 20, 12	Feb 09, 15	570 22	607 18		-36 96	
	FIFO	46 592	Jan 29, 13	Feb 09, 15	417 00	444 02		-27 02	
	FIFO	45 300	Feb 27, 13	Feb 09, 15	405 44	427 18		-21 74	
	FIFO	43 578	Mar 27, 13	Feb 09, 15	390 02	408 33		-18 31	
	FIFO	45 365	Apr 29, 13	Feb 09, 15	406 02	432 33		-26 31	
	FIFO	44 387	May 29, 13	Feb 09, 15	397 26	413 69		-16 43	
	FIFO	49 956	Jun 27, 13	Feb 09, 15	447 11	446 61			0 50
	FIFO	51 093	Jul 29, 13	Feb 09, 15	457 28	460 86		-3 58	
	FIFO	51 603	Aug 28, 13	Feb 09, 15	461 85	456 69			5 16
	FIFO	53 000	Sep 26, 13	Feb 09, 15	474 35	479 12		-4 77	
	FIFO	46 732	Oct 29, 13	Feb 09, 15	418 25	432 74		-14 49	
	FIFO	83 933	Nov 29, 13	Feb 09, 15	751 20	767 99		-16 79	
	FIFO	64 139	Dec 30, 13	Feb 09, 15	574 04	588 80		-14 76	
	FIFO	24 809	Jan 29, 14	Feb 09, 15	222 04	227 25		-5 21	
	FIFO	9 942	Jan 30, 14	Feb 09, 15	88 98	90 97		-1 99	
ING GROEP N V 7 200% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	550 000	May 18, 07	Feb 09, 15	14,132 04	14,918 75		-786 71	
OPPENHEIMER GLOBAL STRATEGIC INCOME FUND A	FIFO	19,817.768	Mar 14, 08	Feb 09, 15	81,054 67	85,215 71		-4,161 04	
	FIFO	16 767	Mar 25, 08	Feb 09, 15	68 58	71.91		-3 33	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	95 850	Apr 25, 08	Feb 09, 15	392 03	413 14		-21 11	
	FIFO	87 248	May 23, 08	Feb 09, 15	356 84	379 51		-22 67	
	FIFO	90 101	Jun 25, 08	Feb 09, 15	368 51	383 86		-15 35	
	FIFO	97 100	Jul 25, 08	Feb 09, 15	397 14	407 81		-10 67	
	FIFO	90 768	Aug 25, 08	Feb 09, 15	371 24	375 77		-4 53	
	FIFO	100 655	Sep 25, 08	Feb 09, 15	411 68	396 57			15 11
	FIFO	116 741	Oct 24, 08	Feb 09, 15	477 47	403 96			73 51
	FIFO	120 733	Nov 25, 08	Feb 09, 15	493 80	387 52			106 28
	FIFO	102 006	Dec 24, 08	Feb 09, 15	417 21	339 66			77 55
	FIFO	21 887	Dec 30, 08	Feb 09, 15	89 51	73 79			15 72
	FIFO	74 088	Jan 23, 09	Feb 09, 15	303 02	245 23			57 79
	FIFO	107 443	Feb 25, 09	Feb 09, 15	439 45	339 52			99 93
	FIFO	121 113	Mar 25, 09	Feb 09, 15	495 35	383 92			111 43
	FIFO	136 964	Apr 24, 09	Feb 09, 15	560 18	449 24			110 94
	FIFO	118 664	May 22, 09	Feb 09, 15	485 34	405 80			79 54
	FIFO	127 790	Jun 25, 09	Feb 09, 15	522 66	444 74			77 92
	FIFO	123 921	Jul 24, 09	Feb 09, 15	506 83	443 63			63 20
	FIFO	123 185	Aug 25, 09	Feb 09, 15	503 83	454 52			49.31
	FIFO	128 177	Sep 25, 09	Feb 09, 15	524 25	488 34			35 91
	FIFO	110 373	Oct 23, 09	Feb 09, 15	451 42	428 28			23 14
	FIFO	126 824	Nov 25, 09	Feb 09, 15	518 71	493 33			25 38
	FIFO	123 429	Dec 24, 09	Feb 09, 15	504 83	475 19			29 64
	FIFO	19 954	Dec 30, 09	Feb 09, 15	81 61	77 03			4 58
	FIFO	99 288	Jan 25, 10	Feb 09, 15	406 09	387 22			18 87
	FIFO	128 191	Feb 25, 10	Feb 09, 15	524 30	497 36			26 94
	FIFO	118 530	Mar 25, 10	Feb 09, 15	484 78	465 86			18 92
	FIFO	129 973	Apr 23, 10	Feb 09, 15	531 59	523 76			7 83
	FIFO	133 674	May 25, 10	Feb 09, 15	546 73	521 32			25 41
	FIFO	142 108	Jun 25, 10	Feb 09, 15	581 22	565 59			15 63

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	117 990	Jul 23, 10	Feb 09, 15	482 58	477 86			4 72
	FIFO	133 092	Aug 25, 10	Feb 09, 15	544 35	549 66		-5 31	
	FIFO	135 021	Sep 24, 10	Feb 09, 15	552 23	565 78		-13 55	
	FIFO	94 578	Oct 25, 10	Feb 09, 15	386 83	405 71		-18 88	
	FIFO	125 560	Nov 24, 10	Feb 09, 15	513 54	524 85		-11 31	
	FIFO	124 078	Dec 23, 10	Feb 09, 15	507 48	516 11		-8 63	
	FIFO	20 389	Dec 30, 10	Feb 09, 15	83 39	85 24		-1 85	
	FIFO	96 494	Jan 25, 11	Feb 09, 15	394 66	407 20		-12 54	
	FIFO	135 058	Feb 25, 11	Feb 09, 15	552 39	571 31		-18 92	
	FIFO	113 252	Mar 25, 11	Feb 09, 15	463 20	479 04		-15 84	
	FIFO	116 234	Apr 25, 11	Feb 09, 15	475 39	502 13		-26 74	
	FIFO	121 236	May 25, 11	Feb 09, 15	495 86	518 82		-22 96	
	FIFO	130 256	Jun 24, 11	Feb 09, 15	532 75	553 64		-20 89	
	FIFO	117 501	Jul 25, 11	Feb 09, 15	480 57	502 90		-22 33	
	FIFO	138 276	Aug 25, 11	Feb 09, 15	565 55	568 32		-2 77	
	FIFO	129 306	Sep 23, 11	Feb 09, 15	528 87	515 92			12 95
	FIFO	121 217	Oct 25, 11	Feb 09, 15	495 77	487 27			8 50
	FIFO	144 434	Nov 25, 11	Feb 09, 15	590 74	566 21			24 53
	FIFO	118 728	Dec 23, 11	Feb 09, 15	485 60	470 10			15 50
	FIFO	21 431	Dec 29, 11	Feb 09, 15	87 65	85 12			2 53
	FIFO	97 010	Jan 25, 12	Feb 09, 15	396 77	393 99			2 78
	FIFO	122 577	Feb 24, 12	Feb 09, 15	501 34	507 63		-6 29	
	FIFO	115 879	Mar 23, 12	Feb 09, 15	473 94	477 72		-3 78	
	FIFO	130 257	Apr 25, 12	Feb 09, 15	532 76	537 15		-4 39	
	FIFO	140 027	May 25, 12	Feb 09, 15	572 71	566 42			6 29
	FIFO	113 260	Jun 25, 12	Feb 09, 15	463 23	461 62			1 61
	FIFO	128 149	Jul 25, 12	Feb 09, 15	524 13	531 35		-7 22	
	FIFO	132 092	Aug 24, 12	Feb 09, 15	540 25	551 91		-11 66	
	FIFO	128 487	Sep 25, 12	Feb 09, 15	525 52	543 33		-17 81	
	FIFO	121 497	Oct 25, 12	Feb 09, 15	496 92	513 90		-16 98	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	126 197	Nov 23, 12	Feb 09, 15	516 15	533 95		-17 80	
	FIFO	120 276	Dec 24, 12	Feb 09, 15	491 92	513 81		-21 89	
	FIFO	22 821	Dec 28, 12	Feb 09, 15	93 34	97 69		-4 35	
	FIFO	111 556	Jan 25, 13	Feb 09, 15	456 27	481 56		-25 29	
	FIFO	122 333	Feb 25, 13	Feb 09, 15	500 34	525 01		-24 67	
	FIFO	112 429	Mar 25, 13	Feb 09, 15	459 83	482 99		-23 16	
	FIFO	137 904	Apr 25, 13	Feb 09, 15	564 03	597 41		-33 38	
	FIFO	127 872	May 24, 13	Feb 09, 15	523 00	550 77		-27 77	
	FIFO	119 473	Jun 25, 13	Feb 09, 15	488 64	484 06			4 58
	FIFO	109 240	Jul 25, 13	Feb 09, 15	446 79	450 72		-3 93	
	FIFO	115.583	Aug 23, 13	Feb 09, 15	472 74	469 26			3 48
	FIFO	95 314	Sep 25, 13	Feb 09, 15	389 83	391 17		-1 34	
	FIFO	105 278	Oct 25, 13	Feb 09, 15	430 59	436 67		-6 08	
	FIFO	102 680	Nov 25, 13	Feb 09, 15	419 96	421 15		-1 19	
	FIFO	101 939	Dec 24, 13	Feb 09, 15	416 93	418 53		-1 60	
	FIFO	23 133	Dec 30, 13	Feb 09, 15	94 61	94 95		-0 34	
	FIFO	87 505	Jan 24, 14	Feb 09, 15	357 90	360 35		-2 45	
PIMCO ALL ASSETS ALL AUTH-A	FIFO	4,405 286	Dec 05, 12	Feb 09, 15	40,616 73	50,000 00		-9,383 27	
	FIFO	126 452	Dec 27, 12	Feb 09, 15	1,165 89	1,408 68		-242 79	
	FIFO	25 050	Dec 31, 12	Feb 09, 15	230 96	277 30		-46 34	
	FIFO	25 827	Mar 21, 13	Feb 09, 15	238 13	282 29		-44 16	
	FIFO	32 222	Jun 20, 13	Feb 09, 15	297 08	329 63		-32 55	
	FIFO	33 042	Sep 19, 13	Feb 09, 15	304 65	340 99		-36 34	
	FIFO	143 901	Dec 30, 13	Feb 09, 15	1,326 77	1,427 50		-100 73	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
US TSY NOTE 04 250 % DUE 08/15/15 DTD 08/15/05 FC 02/15/06 Original cost basis $40,356 25	FIFO	40,000 000	Sep 13, 05	Feb 09, 15	40,805 31	40,022 32			782 99
Total					**$374,249.21**	**$392,896.08**		**-$21,837.13**	**$3,190.26**
Net long-term capital gains or losses								**-$18,646.87**	
Net capital gains/losses:								**-$18,972.98**	

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIMCO TOTAL RETURN FUND CLASS A	Adjustment	7 239	Oct 31, 13	Oct 01, 14	78 98	78 78			0 20
	Adjustment	6 868	Nov 29, 13	Oct 01, 14	74 93	74 59			0 34
	Adjustment	4 830	Dec 11, 13	Oct 01, 14	52 69	51 84			0 85
	Adjustment	25 271	Dec 11, 13	Oct 01, 14	275 71	271 19			4 52
	Adjustment	4 630	Dec 31, 13	Oct 01, 14	50 51	49 40			1 11
	Adjustment	4 605	Jan 31, 14	Oct 01, 14	50 24	49 77			0 47
	Adjustment	5 342	Feb 28, 14	Oct 01, 14	58 29	57 97			0 32
Total					**$641.35**	**$633.54**			**$7.81**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIMCO TOTAL RETURN FUND CLASS A	Adjustment	4,291 845	Dec 05, 12	Oct 01, 14	46,824 03	49,920 63		-3,096 60	
	Adjustment	58 499	Dec 12, 12	Oct 01, 14	638 22	663.47		-25 25	
	Adjustment	42 938	Dec 12, 12	Oct 01, 14	468 46	486 99		-18 53	

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Account name. JOHN WEIL UHLMANN
Account number: UL 00607 51

Your Financial Advisor:
JEFFERIES / BRET WEALTH MANAGM
816-751-5200/800-877-8200

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities	**Apr 30**	**Balance forward**		**$161,003.60**
	May 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	100 00
	May 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 05/06/15	1 16
	May 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/07/15	-53,402 00
	May 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-107,702 76
	May 12	Deposit	UBS BANK USA BUSINESS ACCOUNT	107,986 98
	May 13	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/12/15	-500 00
	May 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/13/15	-64,148 00
	May 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-22,000 00
	May 15	Deposit	UBS BANK USA BUSINESS ACCOUNT	22,743 90
	May 18	Deposit	UBS BANK USA BUSINESS ACCOUNT	499 44
	May 21	Deposit	UBS BANK USA BUSINESS ACCOUNT	455 00
	May 26	Deposit	UBS BANK USA BUSINESS ACCOUNT	75 00
	May 29	**Closing UBS Bank USA Business Account**		**$45,112.32**

The UBS Bank USA Business Account is your primary sweep option

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL A	FIFO	1,275 836	Oct 01, 14	May 06, 15	47,920 40	50,000 00		-2,079 60	
	FIFO	10 061	Dec 23, 14	May 06, 15	377 89	376 38			1 51
	FIFO	113 908	Dec 23, 14	May 06, 15	4,278 39	4,261 29			17 10
	FIFO	5 568	Mar 13, 15	May 06, 15	209 13	202 95			6 18

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	10 062	Mar 13, 15	May 06, 15	377 93	366 75			11 18
AMERICAN FUNDS AMCAP FUND CL A	FIFO	670 841	Feb 09, 15	May 06, 15	19,467 81	18,750 00			717 81
AMERICAN FUNDS AMERICAN MUTUAL FUND CL A	FIFO	1,017 087	Feb 09, 15	May 06, 15	37,896 66	37,500 00			396 66
	FIFO	5 147	Mar 13, 15	May 06, 15	191 78	188 17			3 61
AMERICAN FUNDS NEW WORLD FUND CL A	FIFO	1,135 995	Jun 16, 14	May 06, 15	63,502 12	70,000 00		-6,497 88	
	FIFO	10 331	Dec 26, 14	May 06, 15	577 51	556 63			20 88
	FIFO	55 514	Dec 26, 14	May 06, 15	3,103 23	2,991 07			112 16
Total					**$177,902.85**	**$185,193.24**		**-$8,577.48**	**$1,287.09**
Net short-term capital gains and losses								**-$7,290.39**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMGEN INC	FIFO	200 000	Mar 10, 04	May 06, 15	31,308 19	12,103 70			19,204 49
APACHE CORP	FIFO	25 000	Mar 10, 04	May 06, 15	1,668 50	1,097 50			571 00
	FIFO	75 000	Apr 14, 04	May 06, 15	5,005 48	3,291 69			1,713 79
AUTOMATIC DATA PROCESSNG INC	FIFO	165 000	Mar 21, 05	May 06, 15	13,979 57	5,830 59			8,148 98
	FIFO	185 000	Apr 21, 06	May 06, 15	15,674 06	6,680 56			8,993 50
CALIFORNIA RESOURCES CORP	FIFO	248 000	Mar 10, 04	May 06, 15	1,984 90	507 13			1,477 77
CATERPILLAR INC	FIFO	300 000	Nov 01, 05	May 06, 15	25,923 35	15,735 00			10,188 35
CISCO SYSTEMS INC	FIFO	1,000 000	Oct 23, 01	May 06, 15	28,692 28	16,860 00			11,832 28
EMC CORP MASS	FIFO	500 000	Mar 21, 05	May 06, 15	12,953 26	7,018 75			5,934 51
MICROSOFT CORP	FIFO	1,000 000	Apr 14, 00	May 06, 15	46,094 51	38,062 50			8,032 01
NOBLE CORP PLC	FIFO	1,270 000	May 18, 07	May 11, 15	21,616 26	33,766 36		-12,150 10	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PARAGON OFFSHORE PLC	FIFO	423 000	May 18, 07	May 11, 15	651 39	4,738 50		-4,087 11	
Total					**$205,551.75**	**$145,692.28**		**-$16,237.21**	**$76,096.68**
Net long-term capital gains or losses									**$59,859.47**
Net capital gains/losses:									**$52,569.08**