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EXTENSION GRANTED UNTIL FEBRUARY 16, 2015

Return of Private Foundation

OMB No. 1545-0052

Form 990-PF

Department of the Treasury
Internal Revenue Service

2014

Open to Public Inspection

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning JUL 1, 2014, and ending JUN 30, 2015

Name of foundation

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

A Employer identification number

42-1624231

Number and street (or P O box number if mail is not delivered to street address)

1111 THIRD AVENUE, SUITE 800

Room/suite

B Telephone number

(206) 682-9200

City or town, state or province, country, and ZIP or foreign postal code

SEATTLE, WA 98101

C If exemption application is pending, check here ► G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeD 1 Foreign organizations, check here ► 2 Foreign organizations meeting the 85% test, check here and attach computation ► H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ► I Fair market value of all assets at end of year J Accounting method: Cash Accrual
(from Part II, col (c), line 16) Other (specify) *Part I, column (d) must be on cash basis*F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

► \$ 5,474,193.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	Revenue			N/A	
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		39,058.	39,058.		STATEMENT 1
4 Dividends and interest from securities		82,989.	82,989.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		241,136.			
b Gross sales price for all assets on line 6a		1,146,298.			
7 Capital gain net income (from Part IV, line 2)			241,136.		
8 Net short-term capital gain					
9 Income modifications					
Gross sales less returns and allowances					
10a Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		363,183.	363,183.		OGDEN UT
13 Compensation of officers, directors, trustees, etc	Operating and Administrative Expenses	0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		STMT 3	3,730.	0.	0.
c Other professional fees		STMT 4	31,269.	31,269.	0.
17 Interest					
18 Taxes		STMT 5	5,886.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		STMT 6	150.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23			41,035.	31,269.	0.
25 Contributions, gifts, grants paid			456,000.		456,000.
26 Total expenses and disbursements. Add lines 24 and 25			497,035.	31,269.	456,000.
27 Subtract line 26 from line 12:			-133,852.		
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			331,914.		
c Adjusted net income (if negative, enter -0-)				N/A	

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11-24-14 LHA For Paperwork Reduction Act Notice, see instructions

2014 2870 0000 7965 1155

Form 990-PF (2014)

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14280123 759448 30512.001

2014.05050 WOCKNER FOUNDATION C/O HKP 30512_01

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WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		137,138.	133,966.	133,966.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations	STMT 7	339,597.	117,698.	135,804.
	b Investments - corporate stock	STMT 8	2,589,600.	2,499,659.	3,688,137.
	c Investments - corporate bonds	STMT 9	1,334,135.	1,515,295.	1,516,286.
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment: basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,400,470.	4,266,618.	5,474,193.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)		0.	0.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		4,400,470.	4,266,618.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		0.	0.	
	30 Total net assets or fund balances		4,400,470.	4,266,618.	
	31 Total liabilities and net assets/fund balances		4,400,470.	4,266,618.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,400,470.
2 Enter amount from Part I, line 27a	2	-133,852.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	4,266,618.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,266,618.

Form 990-PF (2014)

423511
11-24-14

WOCKNER FOUNDATION

Form 990-PF (2014)

C/O HKP & CO, P.S.

42-1624231

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KEY BANK - SEE ATTACHED STMT			P	VARIOUS	VARIOUS
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,146,298.		905,162.	241,136.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			241,136.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	241,136.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8				N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	514,394.	5,752,019.	.089428
2012	577,676.	5,697,641.	.101389
2011	588,562.	5,701,428.	.103231
2010	475,740.	6,030,288.	.078892
2009	449,025.	5,946,119.	.075516
2 Total of line 1, column (d)		2	.448456
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	.089691
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5		4	5,713,560.
5 Multiply line 4 by line 3		5	512,455.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	3,319.
7 Add lines 5 and 6		7	515,774.
8 Enter qualifying distributions from Part XII, line 4		8	456,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1 2 3 4 5	6,638. 0. 6,638. 0. 6,638.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
3	Add lines 1 and 2		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	6a 6b 6c 6d	3,610. 5,600.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014		
b	Exempt foreign organizations - tax withheld at source	7 8 9 10	9,210. 0. 0. 2,572.
c	Tax paid with application for extension of time to file (Form 8868)		
d	Backup withholding erroneously withheld		
7	Total credits and payments. Add lines 6a through 6d		
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	11	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax ►	2,572. Refunded ►	0.

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	N/A	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1c	Did the foundation file Form 1120-POL for this year?		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>0.</u> (2) On foundation managers. ► \$ <u>0.</u>		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>0.</u>		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>		X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>WA</u>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Form 990-PF (2014)

423531
11-24-14

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231

Page 5

Part VII-A Statements Regarding Activities (continued)

11		X
12		X
13	X	
Website address ► N/A		
14 The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Telephone no. ► 206-682-9200		
Located at ► 1111 THIRD AVENUE, SUITE 800, SEATTLE, WA ZIP+4 ► 98101		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►		
16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?			
Organizations relying on a current notice regarding disaster assistance check here ►			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?			
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If "Yes," list the years ►			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A			
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A			
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? N/A			
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? 4b X			

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A



5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E WOCKNER (DECEASED 9/7/14) 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	(FORMER) MANAGING TRUSTEE 8.00	0.	0.	0.
FRANCES A WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	TRUSTEE 8.00	0.	0.	0.
MICHAEL R BAUER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	MANAGING TRUSTEE/SECRETARY/TREASURER 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	Expenses
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	Amount
2		
3		
Total. Add lines 1 through 3 ► 0.		

Form 990-PF (2014)

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	5,572,525.
b Average of monthly cash balances	1b	228,044.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	5,800,569.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,800,569.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	87,009.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,713,560.
6 Minimum investment return. Enter 5% of line 5	6	285,678.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	285,678.
2a Tax on investment income for 2014 from Part VI, line 5	2a	6,638.
b Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	6,638.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	279,040.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	279,040.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	279,040.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	456,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	456,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	456,000.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2014)

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				279,040.
2 Undistributed income, if any, as of the end of 2014			0.	
a Enter amount for 2013 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	153,669.			
b From 2010	176,746.			
c From 2011	306,367.			
d From 2012	295,442.			
e From 2013	234,005.			
f Total of lines 3a through e	1,166,229.			
4 Qualifying distributions for 2014 from Part XII, line 4. ► \$ 456,000.			0.	
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				279,040.
e Remaining amount distributed out of corpus	176,960.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,343,189.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed	0.			
d Subtract line 6c from line 6b. Taxable amount - see instructions	0.			
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	153,669.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	1,189,520.			
10 Analysis of line 9:				
a Excess from 2010	176,746.			
b Excess from 2011	306,367.			
c Excess from 2012	295,442.			
d Excess from 2013	234,005.			
e Excess from 2014	176,960.			

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11-24-14

Form 990-PF (2014)

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ARC OF SNOHOMISH COUNTY 2500 HEWITT AVE SUITE 300 EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND PROGRAMS THAT PROVIDE ASSISTANCE TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES	20,000.
ASSISTANCE LEAGUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO BUY CLOTHES FOR CHILDREN	25,000.
CHILDHAVEN 316 BROADWAY SEATTLE, WA 98122	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND THE THERAPEUTIC CHILD CARE PROGRAM	30,000.
DAWSON PLACE CHILD ADVOCACY CENTER 1509 CALIFORNIA STREET EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL CARE SERVICES TO CHILDREN	30,000.
ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND NURSING HOME RELOCATION PROJECT	20,000.
Total	SEE CONTINUATION SHEET(S)			► 3a 456,000.
b Approved for future payment				
NONE				
Total				► 3b 0.

Form 990-PF (2014)

423611
11-24-14

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	39,058.	
4 Dividends and interest from securities			14	82,989.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	241,136.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		363,183.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	363,183.
(See worksheet in line 13 instructions to verify calculations.)					

Relationship of Activities to the Accomplishment of Exempt Purposes

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Form 990-PF (2014)

42-1624231 Page 13

Part XVII. Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this report and belief, it is true, correct, and complete. Declaration of preparer
→ *Michael R. Brown*

12/1/14

of which preparer has a
**MANAGING
TRUSTEE**

May the IRS discuss this return with the preparer shown below (see instr.)?

Signature of officer or trustee		Date	Title	
Paid Preparer Use Only	Print/Type preparer's name MARY ANNE PETESCH, CPA	Preparer's signature <i>Mary Anne Petesch</i>	Date 1/27/16	Check <input type="checkbox"/> if self-employed
	Firm's name ► HAGEN, KURTH, PERMAN & CO., P.S.			Firm's EIN ► 91-1233794
	Firm's address ► 1111 THIRD AVE., SUITE 800 SEATTLE, WA 98101-3216			Phone no. 206 682-9200

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOUSING HOPE 5830 EVERGREEN WAY EVERETT, WA 98203	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO SUPPORT ACTIVITIES OF HOUSING HOPE	20,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	40,000.
JET CITY IMPROV 5510 UNIVERSITY WAY NE SEATTLE, WA 98105	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND THE IMPROVISATIONAL COMEDY PROGRAM FOR COMMUNITIY ENJOYMENT	8,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	18,000.
KIDSQUEST CHILDRENS MUSEUM 4091 FACTORIA MALL SE BELLEVUE, WA 98006	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EDUCATIONAL PROGRAMS FOR CHILDREN	5,000.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	10,000.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND THE HIPPOTHERAPY PROGRAM EXPANSION	30,000.
MUSIC CENTER OF THE NORTHWEST 901 N 96TH ST SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND MUSIC PROGRAMS FOR CHILDREN	5,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGNIZATION	SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	30,000.
PROVIDENCE HOSPICE & HOME CARE FDN SNOHOMISH COUNTY 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	20,000.
Total from continuation sheets				331,000.

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROVIDENCE HOSPICE OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	25,000.
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQAH, WA 98029	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	30,000.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	30,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE 0 MUKILTEO, WA 98275	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND SENIOR SERVICES	40,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	20,000.
Total from continuation sheets				

WOCKNER FOUNDATION
FORM 990-PF

6/30/15
EIN 42-1624231

CAPITAL GAINS AND LOSSES - ATTACHMENT

Key Private Bank


Account Statement

WOCKNER FOUNDATION TRUST AGTR
July 01, 2014 - June 30, 2015

Realized Gain/Loss from Sales							Base Currency USD
Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss
UNITED STATES TREAS NTS INFL IDX DTD 07/15/10 1 25% DUE 07/15/20	11/18/2014	11/17/2014	-200,000 0000	232,617 40	-227,413 16	0 00	5,204 24
ISHARES BARCLAYS INTER CREDIT BD CLOSED-END FUND	11/20/2014	11/17/2014	-1,800 0000	196,969 64	-195,773 90	-235 09	1,430 83
HALLIBURTON CO COM	02/12/2015	02/09/2015	-615 0000	26,740 58	-25,171 89	0 00	1,568 69
ROWAN COS PLC COM	02/12/2015	02/09/2015	-860 0000	20,977 42	-28,467 63	0 00	-7,490 21
CALIFORNIA RESOURCES CORP COM	02/12/2015	02/09/2015	-230 0000	1,504 16	-1,243 26	0 00	260 90
CELGENE CORP COM	02/12/2015	02/09/2015	-225 0000	26,605 41	-6,341 63	0 00	20,263 78
JP MORGAN CHASE & CO COM	02/12/2015	02/09/2015	-275 0000	15,886 39	-11,265 17	0 00	4,621 22
MASTERCARD INC COM CL A	05/14/2015	05/11/2015	-115 0000	10,745 40	-4,040 18	0 00	6,705 22
ACCENTURE PLC FGN COM CL A	05/14/2015	05/11/2015	-110 0000	10,490 50	-7,713 19	0 00	2,777 31
GOOGLE INC COM CL C	05/14/2015	05/11/2015	-30 0000	16,118 70	-6,188 28	0 00	9,930 42
PROCTER & GAMBLE CO COM	05/14/2015	05/11/2015	-425 0000	34,313 86	-34,029 75	0 00	284 11
NIKE INC COM CL B	05/14/2015	05/11/2015	-275 0000	28,153 98	-7,443 96	0 00	20,710 02
HOME DEPOT INC COM	05/14/2015	05/11/2015	-200 0000	22,419 58	-16,046 66	0 00	6,372 92

1 of 3

WOCKNER FOUNDATION
FORM 990-PF

6/30/15
EIN 42-1624231



CAPITAL GAINS AND LOSSES - ATTACHMENT

Account Statement

WOCKNER FOUNDATION TRUST AGTR
July 01, 2014 - June 30, 2015

Realized Gain/Loss from Sales (Continued)

Base Currency: USD

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss
NUCOR CORP COM	05/14/2015	05/11/2015	-500 0000	24,479 55	-25,787 50	0 00	-1,307 95
JOHNSON & JOHNSON COM	05/14/2015	05/11/2015	-315 0000	31,934 11	-20,043 42	0 00	11,890 69
OCCIDENTAL PETE CORP DEL COM	05/14/2015	05/11/2015	-575 0000	44,831 92	-34,417 38	0 00	10,414 54
KRAFT FOODS GROUP INC COM	05/14/2015	05/11/2015	-408 0000	34,977 19	-19,020 64	0 00	15,956 55
APPLE INC COM	05/14/2015	05/11/2015	-241 0000	30,664 27	-2,557 27	0 00	28,107 00
PRAXAIR INC COM	05/14/2015	05/11/2015	-295 0000	35,596 99	-32,602 10	0 00	2,994 89
CHEVRON CORP COM	05/14/2015	05/11/2015	-240 0000	26,065 92	-25,185 58	0 00	880 34
UNITED PARCEL SERVICE INC COM CL B	05/14/2015	05/11/2015	-505 0000	50,660 66	-38,031 50	0 00	12,629 16
XL GROUP PLC FGN COM	05/14/2015	05/11/2015	-2,055 0000	75,783 10	-38,872 39	0 00	36,910 71
MCCORMICK & CO INC COM	05/14/2015	05/11/2015	-790 0000	60,709 11	-37,523 89	0 00	23,185 22
ENERGEN CORP COM	05/14/2015	05/11/2015	-540 0000	36,776 72	-26,743 07	0 00	10,033 65
ISHARES CORE S&P MID-CAP ETF CLOSED-END FUND	05/14/2015	05/11/2015	-330 0000	50,162 37	-33,197 33	0 00	16,965 04

WOCKNER FOUNDATION
FORM 990-PF

6/30/15
EIN 42-1624231



CAPITAL GAINS AND LOSSES - ATTACHMENT

Account Statement

WOCKNER FOUNDATION TRUST AGTR
July 01, 2014 - June 30, 2015

Realized Gain/Loss from Sales (Continued)

Base Currency. USD

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss
GOOGLE INC COM CL C		05/04/2015	-0.2114	112.73	-41.58	0.00	71.15
Total Realized Gain/Loss from Sales				\$1,146,297.66	\$-905,162.31	\$-235.09	\$241,370.44

This is for informational purposes only and is not tax advice. Please see your tax advisor for tax advice.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	2.	2.	
KEY BANK	34,155.	34,155.	
KEY BANK - BOND PREMIUM			
AMORTIZATION AND ACCRETION	4,901.	4,901.	
TOTAL TO PART I, LINE 3	39,058.	39,058.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KEY BANK	82,989.	0.	82,989.	82,989.	
TO PART I, LINE 4	82,989.	0.	82,989.	82,989.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,730.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,730.	0.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KEY BANK	31,269.	31,269.		0.
TO FORM 990-PF, PG 1, LN 16C	31,269.	31,269.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	5,886.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,886.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEE	150.	0.		0.
TO FORM 990-PF, PG 1, LN 23	150.	0.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		117,698.	135,804.
TOTAL U.S. GOVERNMENT OBLIGATIONS			117,698.	135,804.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			117,698.	135,804.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	2,499,659.	3,688,137.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,499,659.	3,688,137.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	1,515,295.	1,516,286.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,515,295.	1,516,286.