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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2014

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year **2014** or tax year beginning **07/01, 2014**, and ending **06/30, 2015**

Name of foundation
FREDERICK J PFEIFFER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
620 LIBERTY AVENUE 10TH FLOOR

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
PITTSBURGH, PA 15222-2705

A Employer identification number
35-6593983

B Telephone number (see instructions)
412-768-5898

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input checked="" type="checkbox"/> Amended return
<input checked="" type="checkbox"/> Address change	<input type="checkbox"/> Name change

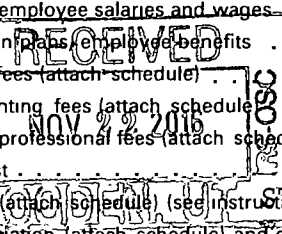
H Check type of organization. Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 10,563,996.**

J Accounting method. Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	260,627.	260,627.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	563,837.			
b	Gross sales price for all assets on line 6a 3,102,277				
7	Capital gain net income (from Part IV, line 2)		563,837.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	824,464.	824,464.		
13	Compensation of officers, directors, trustees, etc.	67,679.	33,840.		33,839.
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	18,556.	284.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses.				
	Add lines 13 through 23	86,235.	34,124.	NONE	33,839.
25	Contributions, gifts, grants paid	449,062.			449,062.
26	Total expenses and disbursements. Add lines 24 and 25	535,297.	34,124.	NONE	482,901.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	289,167.			
b	Net investment income (if negative, enter -0-)		790,340.		
c	Adjusted net income (if negative, enter -0-)				

SCANNED DEC 01 2016
Operating and Administrative Expenses



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	264,428.	84,169.	84,169.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts	NONE		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STMT 6	3,368,527.	3,508,406.	4,753,142.
	c Investments - corporate bonds (attach schedule) STMT 7	1,225,157.	1,324,224.	1,343,445.
	11 Investments - land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) STMT 8	3,902,058.	4,053,081.	4,383,240.	
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	8,760,170.	8,969,880.	10,563,996.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			NONE
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, <input checked="" type="checkbox"/> check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	8,703,045.	8,884,916.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	57,125.	84,964.	
30 Total net assets or fund balances (see instructions)	8,760,170.	8,969,880.		
31 Total liabilities and net assets/fund balances (see instructions)	8,760,170.	8,969,880.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,760,170.
2 Enter amount from Part I, line 27a	2	289,167.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	9,049,337.
5 Decreases not included in line 2 (itemize) SEE STATEMENT 9	5	79,457.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,969,880.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a PUBLICLY TRADED SECURITIES						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
a 3,102,277.		2,538,440.	563,837.			
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69						
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0- or Losses (from col. (h))			
a			563,837.			
b						
c						
d						
e						
2 Capital gain net income or (net capital loss) } If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				2	563,837.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	454,095.	9,951,772.	0.045630
2012	438,231.	9,325,837.	0.046991
2011	438,410.	8,906,362.	0.049224
2010	412,261.	8,944,428.	0.046091
2009	386,356.	8,270,218.	0.046717
2 Total of line 1, column (d)			0.234653
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.046931
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			10,518,408.
5 Multiply line 4 by line 3			493,639.
6 Enter 1% of net investment income (1% of Part I, line 27b)			7,903.
7 Add lines 5 and 6			501,542.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			482,901.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows and 2 columns. Rows include: 1a Exempt operating foundations, b Domestic foundations, 2 Tax under section 511, 3 Add lines 1 and 2, 4 Subtitle A (income) tax, 5 Tax based on investment income, 6 Credits/Payments (6a-6d), 7 Total credits and payments, 8 Enter any penalty, 9 Tax due, 10 Overpayment, 11 Enter the amount of line 10 to be: Credited to 2015 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns. Rows include: 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation... 1b Did it spend more than \$100 during the year... 1c Did the foundation file Form 1120-POL... 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?... 3 Has the foundation made any changes, not previously reported to the IRS... 4a Did the foundation have unrelated business gross income of \$1,000 or more... 4b If "Yes," has it filed a tax return on Form 990-T... 5 Was there a liquidation, termination, dissolution, or substantial contraction... 6 Are the requirements of section 508(e) satisfied either... 7 Did the foundation have at least \$5,000 in assets at any time... 8a Enter the states to which the foundation reports or with which it is registered... 8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF... 9 Is the foundation claiming status as a private operating foundation... 10 Did any persons become substantial contributors during the tax year?

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 X
14 The books are in care of PNC BANK NA Telephone no. (412) 768-5898 Located at 620 LIBERTY AVENUE, PITTSBURGH, PA ZIP+4 15222-2705
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly).
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK, NA 620 LIBERTY AVENUE, PITTSBURGH, PA 15222	TRUSTEE 14	67,679.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,678,587.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	10,678,587.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	10,678,587.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	160,179.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,518,408.
6	Minimum investment return. Enter 5% of line 5	6	525,920.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	525,920.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	15,807.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15,807.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	510,113.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	510,113.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	510,113.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	482,901.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	482,901.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	482,901.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				510,113.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			449,065.	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2014				
a From 2009		NONE		
b From 2010		NONE		
c From 2011		NONE		
d From 2012		NONE		
e From 2013		NONE		
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ <u>482,901.</u>				
a Applied to 2013, but not more than line 2a			449,065.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2014 distributable amount				33,836.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				476,277.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9.				
a Excess from 2010		NONE		
b Excess from 2011		NONE		
c Excess from 2012		NONE		
d Excess from 2013		NONE		
e Excess from 2014		NONE		

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs.

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE UNIVERSITY OF PENNSYLVANIA 427 FRANKLIN BLDG PHILADELPHIA PA 19104-6205	NONE	PC	GENERAL SUPPORT	64,171.
PARKVIEW FOUNDATION ATTN: EXEC DIRECTOR 2200 RANDALLIA DRIVE FORT WAYNE IN 46805-469	NONE	PC	GENERAL SUPPORT	32,063.
LUTHERAN SOCIAL SERVS INC 330 MADISON STREET FT WAYNE IN 46857-1329	NONE	PC	GENERAL SUPPORT	32,063.
LUTHERAN HOMES INC 6701 S ANTHONY BLVD FORT WAYNE IN 46816-2012	NONE	PC	GENERAL SUPPORT	64,171.
THE LUTHERAN FOUNDATION INC 3024 FAIRFIELD AVE FORT WAYNE IN 46807-1697	NONE	PC	GENERAL SUPPORT	32,063.
ASSOCIATED CHURCHES OF FT WAYNE AND ALLEN COU 602 EAST WAYNE STREET FORT WAYNE IN 46802-20	NONE	PC	GENERAL SUPPORT	32,063.
COMMUNITY FDN OF GRTR FT WAYNE 555 EAST WAYNE STREET FORT WAYNE IN 46802-20	NONE	PC	GENERAL SUPPORT	64,171.
EVANGELICAL LUTH CHURCH IN AMER 8765 W HIGGINS RD CHICAGO IL 60631-4101	NONE	PC	GENERAL SUPPORT	32,063.
N CENTRAL IN HEALTH SYST 347 WEST BERRY STREET FORT WAYNE IN 46802-22	NONE	PC	GENERAL SUPPORT	32,063.
LUTHERAN SCHOOL OF THEOLOGY 1100 E 55TH STREET CHICAGO IL 60615-5112	NONE	PC	GENERAL SUPPORT	64,171.
Total				449,062.
b Approved for future payment				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here [Signature] 11/04/2016 VICE PRESIDENT May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only Print/Type preparer's name Preparer's signature Date Check if self-employed PTIN Firm's name Firm's address Firm's EIN Phone no

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AETNA INC NEW	971.	971.
ALLSTATE CORP	574.	574.
AMERICAN EXPRESS CO	546.	546.
AMERIPRISE FINANCIAL INC	438.	438.
AMGEN INC	1,785.	1,785.
ANHEUSER-BUSCH INBEV FIN COGT	1,250.	1,250.
APPLE INC	3,934.	3,934.
BECTON DICKINSON & CO	925.	925.
BERKSHIRE HATHAWAY INC SR UNSEC	1,726.	1,726.
BLACKROCK STRATEGIC INCOME OPPORTUNITIES	8,999.	8,999.
BOEING CO	1,416.	1,416.
CBS CORP CLASS B WI	232.	232.
CIGNA CORP	32.	32.
CVS CAREMARK CORP	1,221.	1,221.
CATERPILLAR INC SR UNSEC	2,085.	2,085.
CISCO SYS INC COM	2,829.	2,829.
COCA COLA ENTERPRISES	206.	206.
COMCAST CORPORATION CL A	1,407.	1,407.
CONOCOPHILLIPS	2,949.	2,949.
CONSTELLATION BRANDS INC CL A	254.	254.
COSTCO WHOLESALE CORP SR UNSEC	1,267.	1,267.
CREDIT SUISSE FB USA INC NOTES	5,125.	5,125.
CREDIT SUISSE USA INC NTS	5,375.	5,375.
DB X-TRACKERS MSCI JAPAN HDG ETF	1,621.	1,621.
DEUTSCHE X-TRACKERS MSCI EUR ETF	6,319.	6,319.
JOHN DEERE CAPITAL CORP NTS SER MTN	5,709.	5,709.
DELTA AIR LINES INC	518.	518.
DISNEY WALT CO	1,403.	1,403.
DISCOVER FINANCIAL W/I	466.	466.
DODGE & COX INTERNATIONAL STOCK FUND	5,075.	5,075.
DODGE & COX INCOME FUND	8,778.	8,778.
DR PEPPER SNAPPLE GROUP INC	765.	765.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DUKE ENERGY INDIANA INC 1ST MORTGAGE	3,440.	3,440.
EOG RES INC	289.	289.
EASTMAN CHEM CO	528.	528.
EATON VANCE FLOATING RATE FUND CLASS I	7,253.	7,253.
EXXON MOBIL CORP	2,123.	2,123.
FIDELITY ADVISOR FLOATING HIGH INCOME FU	6,857.	6,857.
FIFTH THIRD BANCORP BNDS	5,450.	5,450.
FOOT LOCKER INC	919.	919.
FRANKLIN RES INC COM	120.	120.
GENERAL ELEC CO COM	3,184.	3,184.
GENERAL ELEC CAP CORP SR UNSEC	2,752.	2,752.
GOLDMAN SACHS GROUP INC COM	208.	208.
GOLDMAN SACHS MID CAP VALUE FD CL I	8,442.	8,442.
GOLDMAN SACHS GRTH OPPOR INSTIT CLASS	2,977.	2,977.
GOLDMAN SACHS ABSOLUTE RETURN TRACKER FU	2,172.	2,172.
HANESBRANDS INC - W/I	864.	864.
HARBOR FD INTL FD	4,654.	4,654.
HARDING LOEVNER EMERGING MARKETS PORTOFL	852.	852.
HELMERICH & PAYNE INC COM	839.	839.
HOME DEPOT INC COM	1,368.	1,368.
HONEYWELL INTL INC	455.	455.
IBM CORP SR UNSEC	1,875.	1,875.
ISHARES TIPS BOND ETF	1,716.	1,716.
ISHARES TR RUSSELL MIDCAP VALUE INDEX FD	3,190.	3,190.
IWP ISHARES RUSSELL MIDCAP GRWTH FD	1,795.	1,795.
J P MORGAN CHASE & CO COM	2,696.	2,696.
JPMORGAN CHASE & CO NTS	2,727.	2,727.
JOHNSON & JOHNSON COM	2,620.	2,620.
KIMBERLY-CLARK CORP COM	1,360.	1,360.
KROGER CO	1,090.	1,090.
L BRANDS INC	2,271.	2,271.
LINCOLN NATIONAL CORP	970.	970.
LITMAN GREGORY MASTERS INTERNATIONAL FUN	2,321.	2,321.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
LOCKHEED MARTIN CORP	1,684.	1,684.
MAGNA INTERNATIONAL ISIN CA5592224011	1,137.	1,137.
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND	13,989.	13,989.
MCKESSON HBOC INC COMMN	389.	389.
MERRILL LYNCH & CO NOTES SERIES MTNB	5,300.	5,300.
MICROSOFT CORP	1,511.	1,511.
MICROSOFT CORP UNSC	1,193.	1,193.
NORTHROP GRUMMAN CORPORATION	546.	546.
ORACLE CORP	509.	509.
PIMCO FDS PAC INVNT MGMT SER TOTAL RETURN	12,527.	12,527.
PPG INDS INC COM	202.	202.
PACKAGING CORP OF AMERICA COM	1,682.	1,682.
PIMCO COMMODITY REALRETURN STRATEGY FUND	985.	985.
POLARIS INDS INC	795.	795.
PRINCIPAL FINANCIAL GROUP COM	1,974.	1,974.
PROCTER & GAMBLE CO	2,463.	2,463.
QUALCOMM	1,574.	1,574.
REGIONS FINANCIAL CORP	546.	546.
ROWE PRICE NEW HORIZONS FUND INC CAP	231.	231.
T ROWE PRICE REAL ESTATE FUND FD 122	2,917.	2,917.
ROYCE FD TOTAL RETURN	1,528.	1,528.
SBC COMMUNICATIONS NTS	469.	469.
ST JUDE MEDICAL INC	792.	792.
SCHLUMBERGER LTD COM	1,636.	1,636.
SKYWORKS SOLUTIONS INC COM	688.	688.
SNAP-ON INC COM	742.	742.
SOUTHWEST AIRLINES COM	363.	363.
SUNTRUST BANKS INC COM	214.	214.
TEMPLETON GLOBAL BOND FUND AD FUND	19,587.	19,587.
3M COMPANY COM	277.	277.
TRACTOR SUPPLY CO COM	128.	128.
THE TRAVELERS COS INC	1,400.	1,400.
UNION PAC CORP COM	1,365.	1,365.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
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UNITED TECHNOLOGIES CORP COM	330.	330.
VAN ECK GLOBAL HARD ASSETS FUND CLASS I	83.	83.
VANGUARD INTL VALUE	4,484.	4,484.
VANGUARD FTSE EMERGING MARKETS ETF	2,367.	2,367.
VERIZON COMMUNICATIONS COM	2,551.	2,551.
VISA INC CLASS A SHARES	368.	368.
VOYA FINL INC COM	22.	22.
WELLS FARGO & CO NEW COM	2,870.	2,870.
WISCONSIN ENERGY CORP	2,521.	2,521.
WYNDHAM WORLDWIDE CORP	1,305.	1,305.
ZIMMER HOLDINGS INC	95.	95.
PNC MONEY MARKET FUND 417	108.	108.
INVESCO LTD ISIN BMG491BT1088 SEDOL B28X	1,775.	1,775.
ACE LIMITED NAME CHG 01/15/16 SEE H1467J	1,281.	1,281.
TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN C	1,230.	1,230.
LYONDELLBASELL INDUSTRIES N.V. ISIN NL00	588.	588.
ROYAL CARRIBEAN CRUISES LTD SEDOL 275490	729.	729.
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TOTAL	260,627.	260,627.
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FORM 990PF, PART I - TAXES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	284.	284.
FEDERAL TAX PAYMENT - PRIOR YE	2,136.	
FEDERAL ESTIMATES - PRINCIPAL	16,136.	
TOTALS	18,556.	284.

FREDERICK J PFEIFFER FOUNDATION

35-6593983

FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
COMMON STOCK	3,508,406.	4,753,142.
TOTALS	3,508,406.	4,753,142.

TOTALS

FREDERICK J PFEIFFER FOUNDATION

35-6593983

FORM 990PF, PART II - CORPORATE BONDS

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DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BONDS	1,324,224.	1,343,445.
TOTALS	1,324,224.	1,343,445.

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FREDERICK J PFEIFFER FOUNDATION

35-6593983

FORM 990PF, PART II - OTHER INVESTMENTS

COST/
FMV
C OR F

ENDING
FMV

ENDING
BOOK VALUE

DESCRIPTION

MUTUAL FUNDS - FIXED	C	1,724,975.	1,719,816.
MUTUAL FUNDS - EQUITY	C	2,328,106.	2,663,424.

TOTALS

		4,053,081.	4,383,240.
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FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

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DESCRIPTION -----	AMOUNT -----
DIFF BETWEEN CARRYING VALUE AND TAX COST	67,584.
PRIOR YEAR AMORTIZATION ADJUSTMENT	11,555.
CY ACCRUED INTEREST CARRIED TO NY	107.
CY AMORTIZATION CARRIED TO NY	196.
ROUNDING ADJ FOR SALES AND TRANSACTIONS	15.

TOTAL	79,457.
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