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Form 990



Department of the Treasury  
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public

Information about Form 990 and its instructions is at [www.IRS.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

2014

Open to Public Inspection

A For the 2014 calendar year, or tax year beginning 07-01-2014, and ending 06-30-2015

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final return/terminated

☐ Amended return

☐ Application pending

C Name of organization  
Cornell University

% UNIVERSITY CONTROLLER

Doing business as

Number and street (or P O box if mail is not delivered to street address)Room/suite

341 PINE TREE ROAD

City or town, state or province, country, and ZIP or foreign postal code

ITHACA, NY 14850

F Name and address of principal officer

MICHAEL L KOTLIKOFFACTPRES

341 PINE TREE ROAD

ITHACA, NY 14850

D Employer identification number

15-0532082

E Telephone number

(607) 255-3790

G Gross receipts \$ 10,721,163,381

I Tax-exempt status

☒ 501(c)(3)

☐ 501(c) ( )

☐ (insert no )

☐ 4947(a)(1) or

☐ 527

J Website: WWW.CORNELL.EDU

K Form of organization

☒ Corporation

☐ Trust

☐ Association

☐ Other

L Year of formation

1865

M State of legal domicile

NY

Part I	Summary																																		
Activities & Governance	<div>1 Briefly describe the organization's mission or most significant activities</div> <div>EDUCATION, RESEARCH, MEDICAL SERVICES AND OTHER PUBLIC SERVICES</div> <div></div> <div></div> <div></div>																																		
	<div>2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets</div>																																		
	<table><tr><td>3</td><td>Number of voting members of the governing body (Part VI, line 1a)</td><td>64</td></tr><tr><td>4</td><td>Number of independent voting members of the governing body (Part VI, line 1b)</td><td>56</td></tr><tr><td>5</td><td>Total number of individuals employed in calendar year 2014 (Part V, line 2a)</td><td>39,218</td></tr><tr><td>6</td><td>Total number of volunteers (estimate if necessary)</td><td>8,818</td></tr><tr><td>7a</td><td>Total unrelated business revenue from Part VIII, column (C), line 12</td><td>9,653,166</td></tr><tr><td>7b</td><td>Net unrelated business taxable income from Form 990-T, line 34</td><td></td></tr></table>	3	Number of voting members of the governing body (Part VI, line 1a)	64	4	Number of independent voting members of the governing body (Part VI, line 1b)	56	5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	39,218	6	Total number of volunteers (estimate if necessary)	8,818	7a	Total unrelated business revenue from Part VIII, column (C), line 12	9,653,166	7b	Net unrelated business taxable income from Form 990-T, line 34																	
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Expenses	<table><tr><td>13</td><td>Grants and similar amounts paid (Part IX, column (A), lines 1–3)</td><td>452,582,733</td><td>462,661,107</td></tr><tr><td>14</td><td>Benefits paid to or for members (Part IX, column (A), line 4)</td><td>0</td><td>0</td></tr><tr><td>15</td><td>Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)</td><td>2,137,135,595</td><td>2,319,435,787</td></tr><tr><td>16a</td><td>Professional fundraising fees (Part IX, column (A), line 11e)</td><td>604,755</td><td>358,791</td></tr><tr><td>b</td><td>Total fundraising expenses (Part IX, column (D), line 25)</td><td>68,044,493</td><td></td></tr><tr><td>17</td><td>Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)</td><td>1,099,586,170</td><td>1,169,479,188</td></tr><tr><td>18</td><td>Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)</td><td>3,689,909,253</td><td>3,951,934,873</td></tr><tr><td>19</td><td>Revenue less expenses Subtract line 18 from line 12</td><td>678,829,662</td><td>399,433,142</td></tr></table>	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	452,582,733	462,661,107	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	2,137,135,595	2,319,435,787	16a	Professional fundraising fees (Part IX, column (A), line 11e)	604,755	358,791	b	Total fundraising expenses (Part IX, column (D), line 25)	68,044,493		17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	1,099,586,170	1,169,479,188	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	3,689,909,253	3,951,934,873	19	Revenue less expenses Subtract line 18 from line 12	678,829,662	399,433,142		
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Net Assets or Fund Balances		Beginning of Current Year	End of Year																																
	20	Total assets (Part X, line 16)	12,498,894,139	12,669,538,763																															
	21	Total liabilities (Part X, line 26)	3,274,322,744	3,278,677,385																															
	22	Net assets or fund balances Subtract line 21 from line 20	9,224,571,395	9,390,861,378																															

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

JOANNE M DESTEFANO AVP TAX & FINANCIAL INITIATIVES

2016-05-13

Date

Type or print name and title

Print/Type preparer's name

Barbara E Hunt

Preparer's signature

Barbara E Hunt

Date

Check ☐ if self-employed

PTIN

P00916443

Firm's name

ERNST & YOUNG US LLP

Firm's EIN

Firm's address

200 CLARENDON STREET

BOSTON, MA 021165072

Phone no

(617) 266-2000

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes

☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2014)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐

1

Briefly describe the organization's mission

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code ) (Expenses \$ 1,236,671,000 including grants of \$ 396,138,531 ) (Revenue \$ 963,772,476 )

THE UNIVERSITY IS RENOWNED FOR ITS UNDERGRADUATE, GRADUATE, PROFESSIONAL, POST-DOCTORAL, AND CONTINUING EDUCATION PROGRAMS THE PRIMARY CAMPUSES ARE IN ITHACA, NEW YORK AND WEILL-CORNELL MEDICAL COLLEGE LOCATED IN NEW YORK CITY THE UNIVERSITY ALSO HAS A SIGNIFICANT INTERNATIONAL PRESENCE IN EDUCATION AND IS NOTED FOR ITS MEDICAL COLLEGE IN QATAR DURING THE FISCAL YEAR, THE UNIVERSITY GRANTED THE FOLLOWING ACADEMIC DEGREES UNDERGRADUATE (3,674), MASTERS (2,502), PHD (555), JD, MD, DVM (374)

4b

(Code ) (Expenses \$ 938,350,000 including grants of \$ 0 ) (Revenue \$ 927,579,243 )

MEDICAL SERVICES, INCLUDING THE EDUCATION AND TRAINING OF PHYSICIANS, IS A MAJOR COMPONENT OF THE UNIVERSITY'S MISSION THE PHYSICIAN ORGANIZATION IS AN INTEGRAL PART OF THE MEDICAL SCHOOL AND THE UNIVERSITY IT PROVIDES THE PATIENT BASE ESSENTIAL FOR TEACHING, RESEARCH, AND CLINICAL SERVICES

4c

(Code ) (Expenses \$ 568,510,000 including grants of \$ 66,522,576 ) (Revenue \$ 594,767,832 )

RESEARCH, BOTH BASIC AND APPLIED, IS ALSO A CORE MISSION-RELATED ACTIVITY REVENUES FROM SPONSORED AWARDS INCLUDE \$461 MILLION IN DIRECT SUPPORT AND \$133 MILLION IN INDIRECT COST RECOVERIES ALTHOUGH THE REVENUES INCLUDE GRANT AND CONTRACT REVENUE FOR PUBLIC OUTREACH AND INSTRUCTION, THE SINGLE LARGEST PORTION IS RESEARCH RELATED

4d

Other program services (Describe in Schedule O )

















(Expenses \$ 785,242,535 including grants of \$ 0 ) (Revenue \$ 1,503,230,000 )

4e

Total program service expenses

3,528,773,535

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> . . . . .	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	35b	Yes	
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36	Yes	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable		
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	Yes	
3b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	Yes	
b	If "Yes," enter the name of the foreign country <u>AS, FR, HK, IT, LU, NP, QA, UK</u> See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		No
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		No
5c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		No
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	Yes	
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	Yes	
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		No
7d	If "Yes," indicate the number of Forms 8282 filed during the year		
7e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		No
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		No
7g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	Yes	
7h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	Yes	
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
9a	Did the sponsoring organization make any taxable distributions under section 4966?		
9b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10	<b>Section 501(c)(7) organizations.</b> Enter		
10a	Initiation fees and capital contributions included on Part VIII, line 12		
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	<b>Section 501(c)(12) organizations.</b> Enter		
11a	Gross income from members or shareholders		
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
13a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O		
13b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
13c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		No
14b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	64	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
1b	Enter the number of voting members included in line 1a, above, who are independent	56	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	8a	Yes
8b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	No
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	
13	Did the organization have a written whistleblower policy?	13	No
14	Did the organization have a written document retention and destruction policy?	14	No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	15a	Yes
15b	Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	AL , AK , AR , CA , CO , CT , DC , FL , GA , HI , IL , KS , KY , ME , MD , MA , MI , MN , MS , MO , NH , NJ , NM , NY , NC , ND , OH , OK , OR , PA , RI , SC , TN , UT , VA , WA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)	
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year	
20	State the name, address, and telephone number of the person who possesses the organization's books and records	UNIVERSITY CONTROLLER 341 PINE TREE ROAD ITHACA, NY 148502820 (607) 255-3581

Check if Schedule O contains a response or note to any line in this Part VII . . . . .

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2014)



## Part VII

[illegible]

<b>1b</b>	<b>Sub-Total</b>			
<b>c</b>	<b>Total from continuation sheets to Part VII, Section A</b>			
<b>d</b>	<b>Total (add lines 1b and 1c)</b>	30,592,982	0	1,828,844

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 3,950

		Yes	No
3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	5	No

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
LeChase Constructions Services LLC, 205 Indigo Creek Dr Rochester, NY 14626	Construction	9,496,477
Sedgwick Business Interiors LLC, 100 W Court St Syracuse, NY 13204	Business Equipment	4,042,543
Production Glue LLC, 341 W 38th St Fl 14 New York, NY 10018	TECH CONSULTANT	3,246,112
Skidmore Owings Merrill LLP, 14 Wall St New York, NY 10005	Legal	3,237,050
FCRC CU Tech Development LLC, 1 Metrotech Center Brooklyn, NY 11201	Construction	2,478,048

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 81

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . . . 1a				
	b	Membership dues . . . . . 1b	1,309,208			
	c	Fundraising events . . . . . 1c	2,990,601			
	d	Related organizations . . . . . 1d	17,435,001			
	e	Government grants (contributions) 1e	28,279,018			
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	534,496,198			
	g	Noncash contributions included in lines 1a-1f \$	6,735,620			
	h	Total. Add lines 1a-1f . . . . .	584,510,026			
Program Service Revenue	Business Code					
	2a	EDUCATION TUITION	900099	963,722,476	963,722,476	0
	b	GRANTS & CONTRACTS FOR RESEARCH	900099	606,158,676	606,158,676	0
	c	MEDICAL SERVICES	900099	927,579,243	927,579,243	0
	d	LAND GRANT MISSION GOVT APPROP	900099	148,711,545	148,711,545	0
	e	AUXILLIARY ENTERPRISES - ROOM & BOARD	900099	157,522,450	157,522,450	0
	f	All other program service revenue		535,986,050	533,880,353	1,537,724
	g	Total. Add lines 2a-2f . . . . .	3,339,680,440			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . .	118,196,984		7,837,629	110,359,355
	4	Income from investment of tax-exempt bond proceeds . . . . .	0	0		
	5	Royalties . . . . .	0			
	(i) Real		(ii) Personal			
	6a	Gross rents	4,350,004			
	b	Less rental expenses	4,705,797			
	c	Rental income or (loss)	-355,793	0		
	d	Net rental income or (loss) . . . . .	-355,793			-355,793
	(i) Securities		(ii) Other			
	7a	Gross amount from sales of assets other than inventory	6,649,340,296			
	b	Less cost or other basis and sales expenses	6,347,331,868			
	c	Gain or (loss)	302,008,428			
	d	Net gain or (loss) . . . . .	302,008,428			302,008,428
	8a	Gross income from fundraising events (not including \$ 2,990,601 of contributions reported on line 1c) See Part IV, line 18 . . . . .				
	a		397,724			
	b	Less direct expenses . . . . . b	1,507,416			
	c	Net income or (loss) from fundraising events . . . . .	-1,109,692			-1,109,692
	9a	Gross income from gaming activities See Part IV, line 19 . . . . .				
	a					
	b	Less direct expenses . . . . . b				
	c	Net income or (loss) from gaming activities . . . . .	0			
	10a	Gross sales of inventory, less returns and allowances . . . . .				
	a		24,687,907			
	b	Less cost of goods sold . . . . . b	16,250,285			
	c	Net income or (loss) from sales of inventory . . . . .	8,437,622		277,813	8,159,809
	Miscellaneous Revenue		Business Code			
	11a					
	b					
	c					
	d	All other revenue . . . . .				
	e	Total. Add lines 11a-11d . . . . .	0			
	12	Total revenue. See Instructions . . . . .	4,351,368,015	3,337,574,743	9,653,166	419,630,080

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	66,522,576	66,522,576		
2	Grants and other assistance to domestic individuals See Part IV, line 22	383,334,580	383,334,580		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16	12,803,951	12,803,951		
4	Benefits paid to or for members	0	0		
5	Compensation of current officers, directors, trustees, and key employees	10,545,820	1,054,582	5,272,910	4,218,328
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	0
7	Other salaries and wages	1,790,945,935	1,552,392,389	198,055,829	40,497,717
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	68,824,462	52,705,774	13,400,123	2,718,565
9	Other employee benefits	369,601,294	321,553,126	40,656,142	7,392,026
10	Payroll taxes	79,518,276	69,180,900	8,747,010	1,590,366
11	Fees for services (non-employees)				
a	Management	0	0	0	0
b	Legal	10,201,324	9,079,178	1,122,146	0
c	Accounting	2,190,249	2,190,249	0	0
d	Lobbying	230,000		0	230,000
e	Professional fundraising services See Part IV, line 17	358,791			358,791
f	Investment management fees	15,551,114	15,551,114	0	0
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	178,485,979	155,282,801	19,633,459	3,569,719
12	Advertising and promotion	0	0	0	0
13	Office expenses	25,410,258	22,106,924	2,795,129	508,205
14	Information technology	32,772,107	28,511,733	3,604,932	655,442
15	Royalties	3,043,750	3,043,750	0	0
16	Occupancy	116,743,582	101,566,917	12,841,794	2,334,871
17	Travel	41,270,141	31,778,008	6,190,522	3,301,611
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0	0	0	0
19	Conferences, conventions, and meetings	21,700,432	18,879,376	2,387,048	434,008
20	Interest	88,684,828	88,684,828	0	0
21	Payments to affiliates	0	0	0	0
22	Depreciation, depletion, and amortization	257,845,200	229,482,228	28,362,972	0
23	Insurance	39,140,524	37,496,622	1,409,058	234,844
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	MAINTENANCE	42,154,573	37,517,570	4,637,003	0
b	SPONSORED RSRCH SUBCNTRCT	58,624,964	58,624,964	0	0
c	OTHER SUPPLIES	67,281,656	67,281,656	0	0
d	STUDENT AND CAMPUS SERVICES	52,349,974	51,826,474	523,500	0
e	All other expenses	115,798,533	110,321,265	5,477,268	
25	Total functional expenses. Add lines 1 through 24e	3,951,934,873	3,528,773,535	355,116,845	68,044,493
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here if following SOP 98-2 (ASC 958-720)	0			

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

☒

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing . . . . .		48,267,172	1	74,001,117
	2	Savings and temporary cash investments . . . . .		89,408,150	2	56,168,071
	3	Pledges and grants receivable, net . . . . .		1,093,569,361	3	1,079,560,184
	4	Accounts receivable, net . . . . .		440,843,005	4	418,610,244
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . .		0	6	0
	7	Notes and loans receivable, net . . . . .		72,803,135	7	76,986,970
	8	Inventories for sale or use . . . . .		9,483,323	8	9,672,998
	9	Prepaid expenses and deferred charges . . . . .		34,825,600	9	80,255,959
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	10a	6,624,787,505		
	b	Less: accumulated depreciation . . . . .	10b	2,847,675,363	10c	3,777,112,142
	11	Investments—publicly traded securities . . . . .		1,740,299,000	11	1,878,027,000
	12	Investments—other securities. See Part IV, line 11 . . . . .		5,104,567,745	12	5,051,670,500
	13	Investments—program-related. See Part IV, line 11 . . . . .		0	13	0
	14	Intangible assets . . . . .		0	14	0
	15	Other assets. See Part IV, line 11 . . . . .		163,270,860	15	167,473,578
	16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .		12,498,894,139	16	12,669,538,763
Liabilities	17	Accounts payable and accrued expenses . . . . .		558,204,114	17	589,901,403
	18	Grants payable . . . . .		52,275,390	18	52,991,478
	19	Deferred revenue . . . . .		282,470,402	19	234,008,152
	20	Tax-exempt bond liabilities . . . . .		1,243,095,000	20	1,200,475,000
	21	Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties . . . . .		322,770,323	23	337,928,790
	24	Unsecured notes and loans payable to unrelated third parties . . . . .		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D . . . . .		815,507,515	25	863,372,562
	26	<b>Total liabilities.</b> Add lines 17 through 25 . . . . .		3,274,322,744	26	3,278,677,385
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>					
	27	Unrestricted net assets . . . . .		3,083,285,330	27	3,035,585,863
	28	Temporarily restricted net assets . . . . .		3,406,995,894	28	3,421,937,658
	29	Permanently restricted net assets . . . . .		2,734,290,171	29	2,933,337,857
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>					
	30	Capital stock or trust principal, or current funds . . . . .			30	
	31	Paid-in or capital surplus, or land, building or equipment fund . . . . .			31	
	32	Retained earnings, endowment, accumulated income, or other funds . . . . .			32	
	33	<b>Total net assets or fund balances</b> . . . . .		9,224,571,395	33	9,390,861,378
	34	<b>Total liabilities and net assets/fund balances</b> . . . . .		12,498,894,139	34	12,669,538,763

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . .

1	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	1	4,351,368,015
2	Total expenses (must equal Part IX, column (A), line 25) . . . . .	2	3,951,934,873
3	Revenue less expenses Subtract line 2 from line 1 . . . . .	3	399,433,142
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	4	9,224,571,395
5	Net unrealized gains (losses) on investments . . . . .	5	-157,251,559
6	Donated services and use of facilities . . . . .	6	
7	Investment expenses . . . . .	7	
8	Prior period adjustments . . . . .	8	
9	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	9	-75,891,600
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	9,390,861,378

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . .

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:

Software Version:

EIN: 15-0532082

Name: Cornell University

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
(1) Robert S Harrison ..... Chairman	2 0 ..... 0 0	X						0	0	0	
(1) David D Croll ..... Vice Chairman	2 0 ..... 0 0	X						0	0	0	
(2) Andrew H Tisch ..... Vice Chairman	2 0 ..... 0 0	X						0	0	0	
(3) Jan Rock Zubrow ..... Chairman of the Exec Comm	2 0 ..... 0 0	X						0	0	0	
(4) David J Skorton ..... President & Ex Officio Trustee	55 0 ..... 0 0	X		X				1,507,860	0	136,468	
(5) Andrew Cuomo ..... Ex Officio Trustee	2 0 ..... 0 0	X						0	0	0	
(6) Carl E Heastie ..... Ex Officio Trustee (from 2/3)	2 0 ..... 0 0	X						0	0	0	
(7) Sheldon Silver ..... Ex Officio Trustee (thru 2/2)	2 0 ..... 0 0	X						0	0	0	
(8) John J Flanagan ..... Ex Officio Trustee	2 0 ..... 0 0	X						0	0	0	
(9) Barbara A Baird ..... Trustee/Prof of Chemistry	55 0 ..... 0 0	X						246,566	0	46,228	
(10) Richard A Baker ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(11) Matthew L Biben ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(12) Jessica M Bibliowicz ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(13) Richard L Booth ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(14) Douglas L Braunstein ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(15) Mario Cilento ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(16) Ezra Cornell ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(17) Gary S Davis ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(18) Nicole B DeToro ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(19) Elizabeth C Everett ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(20) David R Fischell ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(21) Gregory J Galvin ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(22) Ross H Gitlin ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(23) Rana Glasgal ..... Trustee	2 0 ..... 0 0	X						0	0	0	
(24) Patricia E Harris ..... Trustee	2 0 ..... 0 0	X						0	0	0	

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(26) Kraig H Kayser ..... Trustee	2 0 ..... 0 0	X						0	0	0
(1) Ruben J King-Shaw Jr ..... Trustee	2 0 ..... 0 0	X						0	0	0
(2) Peggy J Koenig ..... Trustee	2 0 ..... 0 0	X						0	0	0
(3) Robert S Langer Jr ..... Trustee	2 0 ..... 0 0	X						0	0	0
(4) Linda R Macaulay ..... Trustee	2 0 ..... 0 0	X						0	0	0
(5) Lowell C McAdam ..... Trustee	2 0 ..... 0 0	X						0	0	0
(6) William H McAleer ..... Trustee	2 0 ..... 0 0	X						0	0	0
(7) Ronald D McCray ..... Trustee	2 0 ..... 0 0	X						0	0	0
(8) John F Megrue Jr ..... Trustee	2 0 ..... 0 0	X						0	0	0
(9) Howard P Milstein ..... Trustee	2 0 ..... 0 0	X						0	0	0
(10) Alan L Mittman ..... Trustee/Dir Workforce Policy	55 0 ..... 0 0	X						133,556	0	36,322
(11) John A Noble ..... Trustee	2 0 ..... 0 0	X						0	0	0
(12) Barbara Goldman Novick ..... Trustee	2 0 ..... 0 0	X						0	0	0
(13) Peter J Nolan ..... Trustee	2 0 ..... 0 0	X						0	0	0
(14) Annie D O'Toole ..... Trustee	2 0 ..... 0 0	X						0	0	0
(15) Lubna Suliman Olayan ..... Trustee	2 0 ..... 0 0	X						0	0	0
(16) Armando J Olivera ..... Trustee	2 0 ..... 0 0	X						0	0	0
(17) Donald C Opatrny ..... Trustee	2 0 ..... 0 0	X						0	0	0
(18) William D Perez ..... Trustee	2 0 ..... 0 0	X						0	0	0
(19) Leland C Pillsbury ..... Trustee	2 0 ..... 0 0	X						0	0	0
(20) Bruce S Raynor ..... Trustee	2 0 ..... 0 0	X						0	0	0
(21) Gene D Resnick ..... Trustee	2 0 ..... 0 0	X						0	0	0
(22) Susan T Rodriguez ..... Trustee	2 0 ..... 0 0	X						0	0	0
(23) Meredith A Rosenberg ..... Trustee	2 0 ..... 0 0	X						0	0	0
(24) Paul Salvatore ..... Trustee	2 0 ..... 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(51) Martin F Sheinman ..... Trustee	2 0 ..... 0 0	X						0	0	0
(1) Dalia P Stiller ..... Trustee	2 0 ..... 0 0	X						0	0	0
(2) Chiaki Tanuma ..... Trustee	2 0 ..... 0 0	X						0	0	0
(3) Ratan N Tata ..... Trustee	2 0 ..... 0 0	X						0	0	0
(4) Lisa Skeete Tatum ..... Trustee	2 0 ..... 0 0	X						0	0	0
(5) Michael A Troy ..... Trustee	2 0 ..... 0 0	X						0	0	0
(6) Sheryl Hilliard Tucker ..... Trustee	2 0 ..... 0 0	X						0	0	0
(7) M Eileen McManus Walker ..... Trustee	2 0 ..... 0 0	X						0	0	0
(8) Padmasree Y Warnor ..... Trustee	2 0 ..... 0 0	X						0	0	0
(9) Laura A Wilkinson ..... Trustee	2 0 ..... 0 0	X						0	0	0
(10) Mariana F Wolfner ..... Trustee/Prof Molecular Bio	55 0 ..... 0 0	X						184,122	0	35,124
(11) Craig Yunker ..... Trustee	2 0 ..... 0 0	X						0	0	0
(12) Michael J Zak ..... Trustee	2 0 ..... 0 0	X						0	0	0
(13) Karen P Zimmer ..... Trustee	2 0 ..... 0 0	X						0	0	0
(14) Barton J Winokur ..... Trustee	2 0 ..... 0 0	X						0	0	0
(15) Joanne M DeStefano ..... VP of Finance & CFO	55 0 ..... 0 0			X				497,514	0	54,520
(16) W Kent Fuchs ..... Provost (through 11/14)	55 0 ..... 0 0			X				652,820	0	58,267
(17) Harry Katz ..... Interim Provost (from 11/14)	55 0 ..... 0 0			X				413,284	0	72,455
(18) Laurie H Glimcher ..... Dean and Provost Med Affrs	55 0 ..... 0 0			X				1,505,476	0	58,246
(19) James J Mingle ..... Univ Counsel & Secretary	55 0 ..... 0 0			X				493,091	0	50,001
(20) Albert J Edwards ..... Chief Investment Officer	55 0 ..... 0 0				X			1,072,200	0	49,476
(21) Daniel Knowles MD ..... Chair & Professor of Pathology	55 0 ..... 0 0				X			1,883,539	0	80,447
(22) Robert A Buhman ..... Sr VP for Research	55 0 ..... 0 0				X			387,602	0	41,255
(23) Stephen M Cohen ..... Executive Vice Provost	55 0 ..... 0 0				X			947,235	0	81,088
(24) Zev Rosenwaks MD ..... Director Cohen Cntr Rprdc Med	55 0 ..... 0 0					X		5,597,323	0	70,101



**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(76) Hey-Joo Kang MD ..... Prof Obs Gyn & Reproduct Med	55 0 ..... 0 0					X		3,520,199	0	47,899
(1) Steven D Spandorfer MD ..... Prof Obs Gyn & Reproduct Med	55 0 ..... 0 0					X		3,453,821	0	72,481
(2) Theodore Schwartz MD ..... Prof of Neurological Surgery	55 0 ..... 0 0					X		3,393,114	0	60,431
(3) Pak H Chung MD ..... Prof Obs Gyn & Reproduct Med	55 0 ..... 0 0					X		3,357,389	0	68,766
(4) Rosemary J Avery ..... Fmr Trustee/Chair Dept Mgmt	55 0 ..... 0 0						X	307,476	0	51,398
(5) Beth McKinney ..... Fmr Trustee/Dir Wellness Prgm	55 0 ..... 0 0						X	82,438	0	27,570
(6) Anthony Gotto MD ..... Fmr Ofcr/Dean of WMC/Provost	55 0 ..... 0 0						X	774,098	0	591,547
(7) Nelson G Hairston ..... Fmr Trustee/Prof Envrmn Sci	55 0 ..... 0 0						X	182,259	0	38,754

SCHEDULE A  
(Form 990 or 990EZ)

Department of the  
Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.  
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization Cornell University	Employer identification number 15-0532082
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Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state \_\_\_\_\_
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g
- a

☐

**Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b

☐

**Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c

☐

**Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d

☐

**Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f

Enter the number of supported organizations . . . . . \_\_\_\_\_
- g

Provide the following information about the supported organization(s)

(i)Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	384,515,000	672,276,000	478,613,388	842,647,482	584,510,026	2,962,561,896
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge	42,200,661	41,188,766	41,084,578	40,454,003	40,937,796	205,865,804
4 Total. Add lines 1 through 3	426,715,661	713,464,766	519,697,966	883,101,485	625,447,822	3,168,427,700
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						42,556,995
6 Public support. Subtract line 5 from line 4						3,125,870,705

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4	426,715,661	713,464,766	519,697,966	883,101,485	625,447,822	3,168,427,700
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	117,791,887	109,437,360	76,233,294	127,377,264	142,882,768	573,722,573
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI )						0
11 Total support Add lines 7 through 10						3,742,150,273
12 Gross receipts from related activities, etc (see instructions)					12	14,000,281,134
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here . . . . .						

Section C. Computation of Public Support Percentage		
14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f))	14	83 531 %
15 Public support percentage for 2013 Schedule A, Part II, line 14	15	85 020 %
16a 33 1/3% support test—2014. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support test—2013. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
17a 10%-facts-and-circumstances test—2014. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization		
b 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2013 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2014 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2013 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2014. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2013. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1	
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2	
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.	3a	
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.	3b	
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.	3c	
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.	4a	
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b	
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.	4c	
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).	5a	
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b	
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?	5c	
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .	6	
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).	7	
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part II of Schedule L (Form 990).	8	
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .	9a	
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .	9b	
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .	9c	
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer b below.	10a	
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).	10b	
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a	
b A family member of a person described in (a) above?	11b	
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c	

Part IV

Supporting Organizations (continued)

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)			
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.			
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.			
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
2 <u>Activities Test</u> <b>Answer (a) and (b) below.</b>			
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify those supported organizations and explain</b> how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
3 <u>Parent of Supported Organizations</u> <b>Answer (a) and (b) below.</b>			
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.			
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			

Part V – Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI) _____		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2014 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2014	(iii) Distributable Amount for 2014
1 Distributable amount for 2014 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2014 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2014			
a From 2009. . . . .			
b From 2010. . . . .			
c From 2011. . . . .			
d From 2012. . . . .			
e From 2013. . . . .			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2014 distributable amount			
i Carryover from 2009 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2014 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2014 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2014, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2014 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2015. Add lines 3j and 4c			
8 Breakdown of line 7			
a From 2010. . . . .			
b From 2011. . . . .			
c From 2012. . . . .			
d From 2013. . . . .			
e From 2014. . . . .			



**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

**Facts And Circumstances Test**

Return Reference

Explanation

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at**

***www.irs.gov/form990.***

OMB No 1545-0047

2014

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization Cornell University	Employer identification number 15-0532082
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	0
d	Mailings to members, legislators, or the public?		No	0
e	Publications, or published or broadcast statements?		No	0
f	Grants to other organizations for lobbying purposes?		No	0
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		438,000
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	0
i	Other activities?	Yes		0
j	Total Add lines 1c through 1i			438,000
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
SCHEDULE C, PART I-A, LINE 1	THE UNIVERSITY DOES NOT PARTICIPATE DIRECTLY OR INDIRECTLY IN ANY POLITICAL CAMPAIGN AND REMINDS THE UNIVERSITY COMMUNITY, AT THE TIME OF ANY IMPORTANT LOCAL, STATE OR NATIONAL ELECTION, ABOUT THE PROHIBITION AGAINST UNIVERSITY PARTICIPATION THE MESSAGE MAKES CLEAR THAT THIS PROHIBITION DOES NOT IN ANY WAY AFFECT AN INDIVIDUAL'S PERSONAL ACTIVITIES THE UNIVERSITY DOES NOT DEEM IT NECESSARY TO ISSUE A FORMAL POLICY ON POLITICAL CAMPAIGN PROHIBITIONS THROUGH ITS POLICY OFFICE
SCHEDULE C, PART II-B, LINE 1B	CORNELL'S LOBBYING EFFORTS WERE HANDLED THROUGH THE UNIVERSITY RELATIONS OFFICES LOCATED IN ALBANY AND WASHINGTON DC PRIMARILY BY FIVE REGISTERED LOBBYISTS IN ADDITION, THROUGHOUT THE FISCAL YEAR ENDING JUNE 30, 2015, CORNELL EMPLOYEES AND STUDENTS LOBBIED IN ALBANY AND/OR WASHINGTON D C LOBBYING EFFORTS CENTERED ON HIGHER EDUCATION MATTERS, STATE AND FEDERAL BUDGETS, UNIVERSITY-BASED RESEARCH PROPOSALS, AND LEGISLATIVE BILLS AFFECTING CORNELL STUDENTS AND STAFF CORNELL IS A PAYING MEMBER OF SEVERAL STATE AND FEDERAL ASSOCIATIONS THAT DO DIRECT LOBBYING AND PUBLIC ADVOCACY ON BEHALF OF PUBLIC AND PRIVATE NOT-FOR-PROFIT HIGHER EDUCATION, RESEARCH, COLLEGE ATHLETICS, AND UNIVERSITY OPERATIONS INCLUDING STUDENT HEALTH INSURANCE, ENERGY, AND FINANCIAL PLANNING THE UNIVERSITY CONTRACTED WITH A LOBBYING FIRM IN NEW YORK CITY TO REPRESENT OUR INTERESTS RELATING TO THE CORNELL TECH CAMPUS BEFORE THE NEW YORK CITY GOVERNMENT
SCHEDULE C, PART II-B, LINE 1G	CORNELL UNIVERSITY STAFF LOBBIED LEGISLATORS, STAFF AND GOVERNMENT OFFICIALS IN WASHINGTON, ALBANY, NEW YORK CITY, AND ITHACA DURING THE FISCAL YEAR ENDING JUNE 30, 2015 TOPICS INCLUDED STUDENT HEALTH INSURANCE, CONTRACTING PROCESSES, AGRICULTURE TESTING AND RESEARCH PROGRAMS, LABOR LAW TRAINING, ENVIRONMENTAL PROTECTION PROGRAMS, FUNDING FOR OPERATIONS AND FACILITIES, INCLUDING THE EXPANSION OF CORNELL'S VETERINARY COLLEGE FACILITY AND AN AGRICULTURAL SCIENCE RESEARCH LAB, STUDENT FINANCIAL AID PROGRAMS, CORNELL TECH CAMPUS LAND USE, U S D A FARM BILL, STUDENT LOAN INTEREST RATES, ECONOMIC DEVELOPMENT PROGRAMS, IMMIGRATION, GRADUATE MEDICAL EDUCATION, PATENT REFORM, SCIENCE AND TECHNOLOGY INITIATIVES, AND AGENCY RE-AUTHORIZATIONS
SCHEDULE C, PART II-B, LINE 1I	IN ADDITION TO MEETING WITH GOVERNMENT OFFICIALS TO ADVANCE THE INTERESTS OF HIGHER EDUCATION IN GENERAL AND UNIVERSITY-BASED RESEARCH ACTIVITIES IN PARTICULAR, THE UNIVERSITY IS A MEMBER OF KEY ORGANIZATIONS THAT ALSO LOBBY ON BEHALF OF ISSUES AFFECTING HIGHER EDUCATION

[illegible]

SCHEDULE D  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization Cornell University	Employer identification number 15-0532082
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Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate value of contributions to (during year)	
3	Aggregate value of grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)

☐ Preservation of an historically important land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
2a	
2b	
2c	
2d	

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4

Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

1b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included in Form 990, Part VIII, line 1

▶ \$ 162,498

(ii) Assets included in Form 990, Part X

▶ \$ 74,060,795

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenue included in Form 990, Part VIII, line 1

▶ \$ \_\_\_\_\_

b

Assets included in Form 990, Part X

▶ \$ \_\_\_\_\_

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2014

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a

☒ Public exhibition

d

☒ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☒ Preservation for future generations
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
c Beginning balance	
d Additions during the year	
e Distributions during the year	
f Ending balance	
- 2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance . . . . .	5,744,869,444	5,140,214,516	4,799,313,766	4,928,070,000	4,259,950,000
b Contributions . . . . .	241,117,041	162,578,975	142,698,495	107,392,000	112,278,000
c Net investment earnings, gains, and losses	193,711,812	791,728,922	517,725,141	-9,223,000	734,562,000
d Grants or scholarships . . . . .	51,080,905	81,084,751	77,885,532	30,500,080	-22,504,820
e Other expenditures for facilities and programs . . . . .	203,466,849	230,432,044	201,765,031	154,846,560	-115,986,380
f Administrative expenses . . . . .	41,633,086	38,136,174	39,872,323	49,269,360	-34,622,800
g End of year balance . . . . .	5,883,517,457	5,744,869,444	5,140,214,516	4,791,623,000	5,279,904,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment ▶ 24 000 %

b

Permanent endowment ▶ 70 000 %

c

Temporarily restricted endowment ▶ 6 000 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

	Yes	No
(i) unrelated organizations . . . . .	3a(i) Yes	
(ii) related organizations . . . . .	3a(ii) Yes	
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? . . . . .	3b Yes	

4

Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .		23,757,542		23,757,542
b Buildings . . . . .		4,514,880,245	1,683,674,993	2,831,205,252
c Leasehold improvements . . . . .		451,905,263	286,437,374	165,467,889
d Equipment . . . . .		673,604,856	423,245,440	250,359,416
e Other . . . . .		997,898,700	491,576,657	506,322,043
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . . .				3,777,112,142





Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements . . . . .	1	3,851,861,468
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains (losses) on investments . . . . .	2a	-157,251,559
b	Donated services and use of facilities . . . . .	2b	
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIII ) . . . . .	2d	-12,050,378
e	Add lines 2a through 2d . . . . .	2e	-169,301,937
3	Subtract line 2e from line 1 . . . . .	3	4,021,163,405
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIII ) . . . . .	4b	330,204,610
c	Add lines 4a and 4b . . . . .	4c	330,204,610
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12 ) . . . . .	5	4,351,368,015

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements . . . . .	1	3,685,571,484
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities . . . . .	2a	
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIII ) . . . . .	2d	86,304,719
e	Add lines 2a through 2d . . . . .	2e	86,304,719
3	Subtract line 2e from line 1 . . . . .	3	3,599,266,765
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIII ) . . . . .	4b	352,668,108
c	Add lines 4a and 4b . . . . .	4c	352,668,108
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18 ) . . . . .	5	3,951,934,873

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
SCHEDULE D, PART III, LINE 4	ART COLLECTION THE HERBERT F JOHNSON MUSEUM OF ART IS PARTICULARLY NOTED FOR ITS COLLECTION IN THE AREA OF ASIAN ART, 19TH AND 20TH CENTURY AMERICAN ART AND THE GRAPHIC ARTS THE COLLECTION IS USED FOR TEACHING, RESEARCH, AND PUBLIC OUTREACH THE MUSEUM'S CALENDAR OF EVENTS IS AVAILABLE ON ITS WEB SITE AND PROVIDES INFORMATION TO ENCOURAGE USE OF THE COLLECTION BY THE PUBLIC SCHEDULE D, PART V, LINE 4 ENDOWMENT FUNDS THE UNIVERSITY'S ENDOWMENT CONSISTS PRIMARILY OF PERMANENT ENDOWMENT AND BOARD DESIGNATED ENDOWMENT (I E , FUNDS FUNCTIONING AS ENDOWMENT) THE INCOME FROM THE ENDOWMENT PROVIDES CRITICAL SUPPORT FOR ENDOWED FACULTY CHAIRS, STUDENT FINANCIAL AID, AND SUPPORT FOR VARIOUS INSTITUTIONAL PROGRAMS FOR TEACHING, RESEARCH, AND PUBLIC OUTREACH
SCHEDULE D, PART X, LINE 2	FIN 48(ASC 740) FOOTNOTE THE UNIVERSITY IS A NOT-FOR-PROFIT ORGANIZATION AS DESCRIBED IN SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND IS GENERALLY EXEMPT FROM INCOME TAXES ON RELATED INCOME PURSUANT TO THE APPROPRIATE SECTIONS OF THE INTERNAL REVENUE CODE IN ACCORDANCE WITH THE ACCOUNTING STANDARDS, THE UNIVERSITY EVALUATES ITS INCOME TAX POSITION EACH FISCAL YEAR TO DETERMINE WHETHER IT IS MORE LIKELY THAN NOT TO BE SUSTAINED IF EXAMINED BY THE APPLICABLE TAXING AUTHORITY THIS REVIEW HAD NO MATERIAL IMPACT ON THE UNIVERSITY'S CONSOLIDATED FINANCIAL STATEMENTS
SCHEDULE D, PART XI, LINE 2D	CHANGES IN FMV OF SPLIT INTEREST (\$ 12,050,378) ----- TOTAL (\$ 12,050,378)
SCHEDULE D, PART XI, LINE 4B	RECLASS OF STUDENT ASSISTANCE \$352,668,108 RECLASS OF RENT EXPENSE (\$ 4,705,797) RECLASS OF SPECIAL EVENT EXPENSE (\$ 1,507,416) RECLASS OF COST OF GOODS SOLD (\$ 16,250,285) ----- TOTAL \$330,204,610
SCHEDULE D, PART XII, LINE 2D	LOSS ON SWAP TERMINATION \$ 16,419,061 FAS 158 \$ 47,422,157 RECLASS OF SPECIAL EVENT EXPENSES \$ 1,507,416 RECLASS OF RENT EXPENSE \$ 4,705,797 RECLASS OF COST OF GOODS SOLD \$ 16,250,285 ----- TOTAL \$86,304,719
SCHEDULE D, PART XII, LINE 4B	RECLASS OF STUDENT ASSISTANCE \$352,668,108

[illegible]

Additional Data

Software ID:

Software Version:

EIN: 15-0532082

Name: Cornell University

Form 990, Schedule D, Part VII - Investments Other Securities

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(3) Other		
(A) DOMESTIC EQUITIES	212,568,000	F
(B) FOREIGN EQUITIES	275,972,000	F
(C) HEDGED EQUITIES	724,531,000	F
(D) PRIVATE EQUITIES	1,152,535,500	F
(E) FIXED INCOME ASSET BACKED SEC	22,073,000	F
(F) FIXED INCOME CORPORATE BONDS	408,917,000	F
(G) FIXED INCOME EQUITY PSHIPS	423,011,000	F
(H) FIXED INCOME & MARKETABLE SEC	1,808,560,000	F

SCHEDULE E  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.  
► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization  
Cornell University

Employer identification number  
15-0532082

Part I

1

Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?

2

Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?

3

Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

4

Does the organization maintain the following?  

a

Records indicating the racial composition of the student body, faculty, and administrative staff?

b

Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?

c

Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?

d

Copies of all material used by the organization or on its behalf to solicit contributions?  
If you answered "No" to any of the above, please explain If you need more space, use Part II

5

Does the organization discriminate by race in any way with respect to  

a

Students' rights or privileges?

b

Admissions policies?

c

Employment of faculty or administrative staff?

d

Scholarships or other financial assistance?

e

Educational policies?

f

Use of facilities?

g

Athletic programs?

h

Other extracurricular activities?  
If you answered "Yes" to any of the above, please explain If you need more space, use Part II

6a

Does the organization receive any financial aid or assistance from a governmental agency?

b

Has the organization's right to such aid ever been revoked or suspended?  
If you answered "Yes" to either line 6a or line 6b, explain on Part II

7

Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

YES

NO

1

Yes

2

Yes

3

Yes

4a

Yes

4b

Yes

4c

Yes

4d

Yes

5a

No

5b

No

5c

No

5d

No

5e

No

5f

No

5g

No

5h

No

6a

Yes

6b

No

7

Yes

Paperwork Reduction Act Notice, see the Instructions for Form 990 or Form 990-EZ.

Cat No 50085D

Schedule E (Form 990 or 990-EZ) (2014)

**Part III Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions)

Return Reference	Explanation
SCHEDULE E, PART I, LINE 3	THE UNIVERSITY, ORGANIZED IN THE 1860'S, INCLUDED IN ITS CHARTER A COMMITMENT TO NON-DISCRIMINATION BASED ON RELIGION. FROM ITS EARLIEST HISTORY, THE UNIVERSITY ADMITTED A DIVERSE STUDENT BODY IN TERMS OF RACE, GENDER, AND RELIGION. THE STATISTICS ABOUT THE COMPOSITION OF FACULTY, STAFF, AND STUDENTS, AS WELL AS THE UNIVERSITY'S ONGOING EFFORTS TO INCREASE DIVERSITY, REFLECT THE STRONG COMMITMENT TO NON-DISCRIMINATION.
SCHEDULE E, PART I, LINE 6A	CORNELL RECEIVES ASSISTANCE FROM BOTH THE FEDERAL GOVERNMENT AND NEW YORK STATE. THE FEDERAL GOVERNMENT PROVIDES SUPPORT FOR LOANS, STUDENT EMPLOYMENT, AND GRANTS. THE MAJOR LOAN PROGRAMS ARE W D FORD SUBSIDIZED AND UNSUBSIDIZED LOANS, PERKINS LOANS, AND DIRECT PLUS LOANS. EMPLOYMENT INCLUDES FUNDS FOR FEDERAL WORK-STUDY. THE MAJOR GRANTS ARE PELL GRANTS AND SUPPLEMENTAL EDUCATIONAL OPPORTUNITY ASSISTANCE PROGRAM (TAP).

SCHEDULE F  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.  
► Attach to Form 990.  
► Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization  
Cornell University

Employer identification number  
15-0532082

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
( 1) See Add'l Data					
( 2)					
( 3)					
( 4)					
( 5)					
3a Sub-total	19	641			960,885,351
b Total from continuation sheets to Part I					30,325,091
c Totals (add lines 3a and 3b)	19	641			991,210,442

**Part II**

**Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
( 1 )									
( 2 )									
( 3 )									
( 4 )									

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3

Enter total number of other organizations or entities . . . . . ▶

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) Student Travel Grant/Fellowshp	Central America and the Caribbean	11	18,303	Check/ACH		NONE	FMV
(2) Student Travel Grant/Fellowshp	East Asia and the Pacific	77	251,523	Check/ACH		NONE	FMV
(3) Student Travel Grant/Fellowshp	Europe (Including Iceland and Greenland)	50	112,078	Check/ACH		NONE	FMV
(4) Student Travel Grant/Fellowshp	Middle East and North Africa	3	3,900	Check/ACH		NONE	FMV
(5) Student Travel Grant/Fellowshp	North America	8	13,210	Check/ACH		NONE	FMV
(6) Student Travel Grant/Fellowshp	Russia and the Newly Independent States	3	6,600	Check/ACH		NONE	FMV
(7) Student Travel Grant/Fellowshp	South America	21	30,637	Check/ACH		NONE	FMV
(8) Student Travel Grant/Fellowshp	South Asia	31	115,832	Check/ACH		NONE	FMV
(9) Student Travel Grant/Fellowshp	Sub-Saharan Africa	39	35,006	Check/ACH		NONE	FMV
(10) Student Financial Aid	Central America and the Caribbean	1	77,016	Check/ACH		NONE	FMV
(11) Student Financial Aid	East Asia and the Pacific	174	6,318,106	Check/ACH		NONE	FMV
(12) Student Financial Aid	Europe (Including Iceland and Greenland)	62	2,060,542	Check/ACH		NONE	FMV
(13) Student Financial Aid	Middle East and North Africa	14	290,309	Check/ACH		NONE	FMV
(14) Student Financial Aid	North America	58	1,150,576	Check/ACH		NONE	FMV
(15) Student Financial Aid	Russia and the Newly Independent States	2	109,313	Check/ACH		NONE	FMV
(16) Student Financial Aid	South America	17	415,613	Check/ACH		NONE	FMV
(17) Student Financial Aid	South Asia	44	1,460,756	Check/ACH		NONE	FMV
(18) Student Financial Aid	Sub-Saharan Africa	18	304,630	Check/ACH		NONE	FMV



**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)*

☒ Yes ☐ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)*

☒ Yes ☐ No

**Part V**

**Supplemental Information**  
Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART I, LINE 2	THE UNIVERSITY REVIEWS ALL INVOICES AND OTHER REQUIRED DOCUMENTATION FOR ACCURACY, APPROPRIATENESS OF THE EXPENDITURES IN COMPLIANCE WITH THE AWARD DOCUMENTS AND TIMELINESS OF SUBMISSION. SCHEDULE F, PART I, LINE 3 COLUMN F THE AUDITED FINANCIAL STATEMENTS ARE PREPARED UNDER THE ACCRUAL METHOD. ACCORDINGLY, THE REVENUE AND EXPENSES REPORTED IN THE FORM 990 AND ITS SUPPORTING SCHEDULES, INCLUDING THE FOREIGN EXPENDITURES REPORTED ON SCHEDULE F, PART I, LINE 2, COLUMN F, ARE REPORTED UNDER THE ACCRUAL METHOD.

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART III	THESE AMOUNTS INCLUDE SCHOLARSHIP, FELLOWSHIP, AND GRANT FUNDING PROVIDED TO STUDENTS WITH A COUNTRY OF CITIZENSHIP OUTSIDE OF THE U S FURTHER, EDUCATIONAL TRAVEL GRANTS PROVIDED TO INDIVIDUALS, REGARDLESS OF CITIZENSHIP, IS REPORTED IF THE PRIMARY DESTINATION WAS OUTSIDE OF THE UNITED STATES

Additional Data

Software ID:  
Software Version:  
EIN: 15-0532082  
Name: Cornell University

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Antarctica			Program services	Education & Research	109,993
Central America and the Caribbean	1	4	Program services	Education & Research	382,226
East Asia and the Pacific		14	Program services	Education & Research	2,962,345

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	14	92	Program services	Education & Research	8,452,112
Middle East and North Africa	1	485	Program services	Education & Research	132,348,084
North America		21	Program services	Education & Research	1,509,089

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Russia and the Newly Independent States		5	Program services	Education & Research	80,369
South America		4	Program services	Education & Research	811,923
South Asia	3	7	Program services	Education & Research	1,078,640

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Sub-Saharan Africa		9	Program services	Education & Research	1,347,526
Central America and the Caribbean			Program services	Medical Services	679,640
East Asia and the Pacific			Investments		126,000

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Investments		101,792,904
Central America and the Caribbean			Investments		703,213,568
Central America and the Caribbean			Program Services	Frgn Patent Exp	24,166



Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
East Asia and the Pacific			Program services	Frqn Patent Exp	1,515,280
Europe (Including Iceland and Greenland)			Program services	Frqn Patent Exp	4,451,486
Middle East and North Africa			Program services	Frqn Patent Exp	38,402

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
North America			Program services	Frgn Patent Exp	136,186
Russia and the Newly Independent States			Program services	Frgn Patent Exp	4,824
South America			Program services	Frgn Patent Exp	62,118

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
South Asia			Program services	Frqn Patent Exp	92,915
Sub-Saharan Africa			Program services	Frqn Patent Exp	1,082,490
East Asia and the Pacific			Program services	Research Sub-Contracts	874,319

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Program services	Research Sub-Contracts	1,241,352
Middle East and North Africa			Program services	Research Sub-Contracts	845,762
North America			Program services	Research Sub-Contracts	3,932,512

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Russia and the Newly Independent States			Program services	Research Sub-Contracts	58,000
South America			Program services	Research Sub-Contracts	1,429,729
South Asia			Program services	Research Sub-Contracts	2,048,363

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Program services	Research Sub-Contracts	5,619,783
Europe (Including Iceland and Greenland)			Fundraising	Foundation	54,385
Central America and the Caribbean			Grantmaking		95,319

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
East Asia and the Pacific			Grantmaking		6,569,629
Europe (Including Iceland and Greenland)			Grantmaking		2,172,620
Middle East and North Africa			Grantmaking		294,209

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
North America			Grantmaking		1,163,786
Russia and the Newly Independent States			Grantmaking		115,913
South America			Grantmaking		446,250



Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
South Asia			Grantmaking		1,576,588
Sub-Saharan Africa			Grantmaking		369,637

SCHEDULE G  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization  
Cornell University

Employer identification number  
15-0532082

**Part I Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

e

☒

Solicitation of non-government grants

b

☒

Internet and email solicitations

f

☒

Solicitation of government grants

c

☒

Phone solicitations

g

☒

Special fundraising events

d

☒

In-person solicitations
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes ☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 GRENZENBACH GLIER AND ASSOCIATES 401 N Michigan Ave  Chicago, IL 60611	Consulting		No		116,337	-116,337
2 JFM Group LLC 629 Fifth Avenue Suite 106  Pelham, NY 10803	EVNT MGMT SRVCS		No	2,738,090	242,454	2,495,636
3						
4						
5						
6						
7						
8						
9						
10						
Total . . . . . ▶				2,738,090	358,791	2,379,299

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MO , NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events	
		<u>CABARET NIGHT</u>	<u>GREENBERG</u>	<u>4</u>	(add col (a) through	
		(event type)	(event type)	(total number)	col (c))	
	1	Gross receipts . . . .	2,738,090	472,970	177,265	3,388,325
	2	Less Contributions . . .	2,526,190	411,470	52,941	2,990,601
3	Gross income (line 1 minus line 2) . . . .	211,900	61,500	124,324	397,724	
Direct Expenses	4	Cash prizes . . . .				
	5	Noncash prizes . . . .				
	6	Rent/facility costs . . .	25,000	0	4,000	29,000
	7	Food and beverages . .	318,413	152,180	1,070	471,663
	8	Entertainment . . . .	365,936	0	120	366,056
	9	Other direct expenses .	480,154	89,233	71,310	640,697
	10	Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶				(1,507,416)
	11	Net income summary Subtract line 10 from line 3, column (d) . . . . . ▶				-1,109,692

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
	3	Non-cash prizes . . . . .			
	4	Rent/facility costs . . . .			
	5	Other direct expenses . . .			
Direct Expenses	6	Volunteer labor . . . . .			
	7	Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶			
	8	Net gaming income summary Subtract line 7 from line 1, column (d) . . . . . ▶			

9 Enter the state(s) in which the organization conducts gaming activities \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11

Does the organization conduct gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activities conducted in

a	The organization's facility	13a	%
b	An outside facility	13b	%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization and the amount of gaming revenue retained by the third party

\$ and the \$

c

If "Yes," enter name and address of the third party

Name

Address

16

Gaming manager information

Name

Gaming manager compensation

Description of services provided

☐ Director/officer

☐ Employee

☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year

\$

Part IV

Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Return Reference	Explanation
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Schedule G (Form 990 or 990-EZ) 2014

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service  
Name of the organization  
Cornell University

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public  
Inspection

Employer identification number

15-0532082

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☐ Yes ☒ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

237

3

Enter total number of other organizations listed in the line 1 table

50

Part III

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) STUDENT FINANCIAL AID	14724	277,590,732		FMV	NONE

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
SCHEDULE I, PART II	THE UNIVERSITY REPORTS ALL GRANT RECIPIENTS, INCLUDING SUB-GRANTEES, OF WHICH IT IS AWARE THIS INCLUDES SUB-RECIPIENT AWARDS, PRIMARILY FOR RESEARCH, TO GOVERNMENT AGENCIES, ORGANIZATIONS EXEMPT UNDER 501(C)(3) AND FOR-PROFIT ENTITIES FOR WHICH NO AUTHORITY FOR EXEMPTION IS PROVIDED
SCHEDULE I, PART III	CORNELL UNIVERSITY PROVIDED NEED-BASED FINANCIAL AID TOTALING \$277,590,732 TO APPROXIMATELY 14,724 STUDENTS IN THE FISCAL YEAR ENDING JUNE 30, 2015 IN ADDITION TO CORNELL ENDOWED SCHOLARSHIPS, ANNUAL GIFTS AND TUITION-FUNDED GRANTS OF \$252,513,004, THIS AMOUNT INCLUDES SCHOLARSHIPS AND GRANTS FROM FEDERAL, STATE, AND EXTERNAL SOURCES TOTALING \$25,077,728

Additional Data

Software ID:  
Software Version:  
EIN: 15-0532082  
Name: Cornell University

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HOSPITAL FOR SPECIAL SURGERY535 E 70TH STREET NEW YORK,NY 10021	13-1024135	501(C)(3)	72,100		FMV		Research
PACE UNIVERSITYONE PACE PLAZA NEW YORK,NY 10038	13-5562314	501(C)(3)	12,500		FMV		Research
RENSSELAER POLYTECHNIC INSTITUTE 110 8TH STREET WEST HALL TROY,NY 12180	14-1340095	501(C)(3)	35,795		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HEALTH RESEARCH INC150 BROADWAY STE 560 MENANDS,NY 12204	14-1402155	501(C)(3)	6,849		FMV		Research
THE WILLIAM H MINER AGRIC RSRCH INSTIT1034 MINER FARM RD CHAZY,NY 12921	14-1414736	501(C)(3)	22,483		FMV		Research
DUTCHESS CTY SOIL & WATER CONSERVATION DIST2715 RTE 44 STE 3 MILLBROOK,NY 12545	14-6011863	FEDERAL	11,980		FMV		Other



Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROCHESTER INSTITUTE OF TECHNOLOGY141 LOMB BUILDING ROCHESTER, NY 146235608	16-0743140	501(C)(3)	10,470		FMV		Research
ATC-NYPO BOX 422 TRUMANSBURG, NY 148860422	16-1549760	-	215,773		FMV		Research
CORNELL COOPERATIVE EXTENSION-MADISON CTY PO BOX 1209 EATON ST MORRISVILLE, NY 13408	16-6072885	501(C)(3)	17,536		FMV		Other

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE TRUSTEES OF PRINCETON UNIVERSITY PO BOX 36 PRINCETON,NJ 08544	21-0634501	501(C)(3)	164,817		FMV		Research
SCIENCENTER DISCOVERY MUSEUM (SDM)601 FIRST ST ITHACA,NY 14850	22-2470652	501(C)(3)	8,011		FMV		Research
UNIVERSITY OF RHODE ISLAND70 LOWER COLLEGE RD KINGSTON,RI 02881	22-3011455	RI	15,726		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PENNSYLVANIA3451 WALNUT ST PHILADELPHIA,PA 19104	23-1352685	501(C)(3)	145,218		FMV		Research
NORTH AMERICAN ASSOC FOR ENVIRON EDU2000 P ST NW STE 540 WASHINGTON,DC 20036	23-7158061	501(C)(3)	1,464,628		FMV		Other
THE ADVOCACY CENTER (ADVCTR)590 SOUTH AVENUE ROCHESTER,NY 14620	23-7298613	501(C)(3)	75,934		FMV		Other

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LEHIGH UNIVERSITY (LU) 526 BROADHEAD AVE BETHLEHEM, PA 180153046	24-0795445	501(C)(3)	50,493		FMV		Research
CARNEGIE MELLON UNIVERSITY5000 FORBES AVENUE PITTSBURGH, PA 15213	25-0969449	501(C)(3)	131,898		FMV		Research
PENNSYLVANIA ASSC FOR SUSTAINABLE AGRICPO BOX 419 MILLHEIM, PA 16854	25-1685497	501(C)(3)	16,554		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MIAMI UNIVERSITY500 E HIGHT ST 102 OXFORD,OH 450563653	31-6402089	501(C)(3)	32,999		FMV		Research
COLLEGE OF WOOSTER 1189 BEALL AVENUE WOOSTER,OH 446912393	34-0714654	501(C)(3)	82,893		FMV		Research
PURDUE UNIVERSITY401 S GRANT ST WEST LAFAYETTE,IN 47907	35-6002041	501(C)(3)	61,250		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO 6030 S ELLIS AVE 114 CHICAGO,IL 60637	36-2177139	501(C)(3)	124,108		FMV		Research
THE BOARD OF TRUSTEES OF THE UNIV OF IL1901 S FIRST ST STE A CHAMPAIGN,IL 61820	37-6000511	IL	184,717		FMV		Research
REGENTS OF THE UNIVERSITY OF MICHIGAN WOLV TWR RM 1065 3003 S STE ST ANN ARBOR, MI 481091274	38-6006309	MI	1,695,160		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF WISCONSIN (UOWMAD)21 N PARK ST STE 6401 MADISON, WI 53715	39-6028867	WI	357,486		FMV		Research
THE REGENTS OF THE UNIVERSITY OF MINNESOTA200 OAK ST SE 450 MINNEAPOLIS, MN 55455	41-6007513	MN	1,431,689		FMV		
THE WASHINGTON UNIVERSITYCBOX 1034 700 ROSEDALE ST LOUIS, MO 63112	43-0653611	501(C)(3)	507,717		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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TRUMAN STATE UNIVERSITY105 MCCLAIN HALL KIRKSVILLE, MO 635014221	43-6005833	501(C)(3)	70,205		FMV		Research
UNIVERSITY OF NEBRASKA 2009 VINE ST PB 830861 LINCOLN,NE 68588	47-0049123	501(C)(3)	8,184		FMV		
ORGANIC SEED ALLIANCE PO BOX 772 PORT TOWNSEND, WA 98368	51-0175667	501(C)(3)	21,858		FMV		Research



Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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CELLANA LLC73-4460 KAAHUMANU HWY KAILUAKONA,HI 96740	51-0658850	-	517,000		FMV		Research
AMERICAN UNIVERSITY 4400 MA AVE NW WASHINGTON,DC 20016	53-0196549	501(C)(3)	63,112		FMV		Research
US GEOLOGICAL SURVEY US DEPT OF THE INT2255 NORTH GEMINI DR FLAGSTAFF,AZ 86001	53-0196958	FEDERAL	243,481		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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HOWARD UNIVERSITY525 BRYANT ST WASHINGTON,DC 20059	53-0204707	501(C)(3)	501,274		FMV		Research
SMITHSONIAN INSTITUTION (SMITH)PO BOX 37012 MRC 1205 WASHINGTON,DC 20013	53-0206027	501(C)(3)	26,683		FMV		Research
THE COLLEGE OF WILLIAM & MARYPO BOX 8795 WILLAMSBURG,VA 23187	54-6001718	501(C)(3)	114,604		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST VIRGINIA UNIVERSITY RESEARCH CORP886 CHESTNUT RIDGE RD MORGANTOWN,WV 26506	55-0665758	501(C)(3)	203,751		FMV		Research
DUKE UNIVERSITY2200 WEST MAIN ST DURHAM,NC 27705	56-0532129	501(C)(3)	156,349		FMV		Research
NORTH CAROLINA STATE UNIVERSITY2701 SULLIVAN DR STE 240 RALEIGH,NC 27695	56-6000756	NC	655,424		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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GEORGIA TECH RESEARCH CORPORATION (GTRC)505 TENTH ST NW ATLANTA,GA 303320420	58-0603146	501(C)(3)	1,790,400		FMV		Research
UNIVERSITY OF CENTRAL FLORIDA12201 RES PARKWAY ORLANDO,FL 32826	59-2924021	501(C)(3)	335,046		FMV		Research
REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 S STATE ST G395 ANN ARBOR,MI 48109	38-6006309	MI	80,221		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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OREGON STATE UNIVERSITY308 KERR ADMIN BLDG CORVALIS,OR 973312140	61-1730890	501(C)(3)	114,987		FMV		Research
AUBURN UNIVERSITY310 SAMFORD HALL AUBURN UNIV,AL 368495131	63-6000724	501(C)(3)	36,675		FMV		Research
REGENEMED INC9855 TOWNE CTR DR 200 SAN DIEGO,CA 92121	65-1201114	-	19,097		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF THE VIRGIN ISLANDS2 JOHN BREWERS BAY ST THOMAS,VI 00802	66-0432514	501(C)(3)	8,859		FMV		Research
UNIV OF PUERTO RICO-RIO PIEDRAS CAMPUSPO BOX 21790 SAN JUAN,PR 009311790	66-0433760	501(C)(3)	18,794		FMV		Research
UNIVERSITY OF ARKANSAS 1125 W MAPLE ST FAYETTEVILLE,AR 72701	71-6003252	AR	378,402		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF TEXAS101 E 27TH ST STOP A9000 AUSTIN, TX 78713	74-0230239	TX	585,670		FMV		Research
WILLIAM MARSH RICE UNIVERSITYPO BOX 1892 - MS16 HOUSTON, TX 772511892	74-1109620	501(C)(3)	40,036		FMV		Research
UNIVERSITY CORP FOR ATMOSPHERIC RESEARCH PO BOX 3000 BOULDER, CO 80307	84-0412668	501(C)(3)	109,572		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE REGENTS OF THE UNIVERSITY OF COLORADO572 UCB BOULDER,CO 803090574	84-6000555	CO	730,236		FMV		Research
SOUTHWEST SCIENCES INCORPORATED (SSI)1570 PACHECO ST SANTA FE,NM 87505	85-0340320	-	38,426		FMV		Research
ARIZONA STATE UNIVERSITYPO BOX 876011 TEMPE,AZ 852876011	86-0196696	AZ	841,086		FMV		Research



Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ENVIRONMENTAL EDUCATION EXCHANGE 738 N 5TH AVE STE 100 TUCSON, AZ 85705	86-0682018	501(C)(3)	94,370		FMV		Other
HYDROACOUSTIC TECHNOLOGY INCORPORATED 715 NE NORTH LAKE WAY SEATTLE, WA 98105	91-1397519	-	134,490		FMV		Research
WASHINGTON STATE UNIVERSITY NEILL HALL ROOM 423 PULLMAN, WA 99164-3140	91-6001108	WA	408,046		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF WASHINGTON4333 BROOKLYN AVE NE SEATTLE, WA 981959472	91-6001537	WA	1,859,313		FMV		Research
STANFORD UNI BRD OF LELAND STANFORD JR UNI 3160 PORTER DR PALO ALTO, CA 943048445	94-1156365	501(C)(3)	2,285,721		FMV		Research
LAWRENCE BERKELEY NATIONAL LABORATORY ONE CYCLOTRON RD BERKELEY, CA 94720	94-2951741	CA	280,716		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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REGENTS OF THE UNIV OF CA-SAN FRANCISCO3333 CALIFORNIA ST SAN FRANCISCO, CA 94118	94-6036493	CA	24,903		FMV		Research
UNIVERSITY OF SOUTHERN CALIFORNIA 3720 S FLOWER ST CUB 301 LA, CA 90089	95-1642394	501(C)(3)	680,042		FMV		Research
CAL STATE LA UNIVERSITY AUXILIARY SVCS INC5151 STATE UNIV DR LOS ANGELES, CA 90032	95-4016653	501(C)(3)	23,928		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF HAWAII 2440 CAMPUS RD BOX 368 HONOLULU,HI 96822	99-6000354	HI	24,389		FMV		Research
MAINE MEDICAL CENTER 22 BRAMHALL ST SOUTH PORTLAND,ME 04102	01-0238552	501(C)(3)	15,228		FMV		Other
UNI OF ME SYSTEM ACTING THRU THE UNIV OF ME5717 CORBETT HALL ORONO,ME 044695717	01-6000769	501(C)(3)	86,026		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DARTMOUTH COLLEGE11 ROPE FERRY RD 6210 HANOVER,NH 03755	02-0222111	501(C)(3)	126,905		FMV		Research
HUBBARD BROOK RESEARCH FOUNDATION PO BOX 282 NORTH WOODSTOCK,NH 03262	02-0474938	501(C)(3)	46,125		FMV		Research
UNIVERSITY OF NEW HAMPSHIRE51 COLLEGE RD 2ND FL DURHAM,NH 03824	02-6000937	NH	155,665		FMV		Research

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UNIVERSITY OF VERMONT 340 WATERMAN BLDG BURLINGTON, VT 05405	03-0179440	VT	23,174		FMV		Research
NORTHEAST ORGANIC FARMING ASSOC OF NY 1423 HATHAWAY DR FARMINGTON, NY 14425	03-0259137	501(C)(3)	80,003		FMV		Research
CONNECTICUT CENTER FOR PRIMARY CARE PO BOX 762 FARMINGTON, CT 06034	03-0441188	501(c)(3)	8,818		FMV		Research

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BOSTON UNIVERSITY25 BUICK ST BOSTON,MA 02215	04-2103547	501(C)(3)	26,918		FMV		Research
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MA AVE HC600 CAMBRIDGE,MA 02138	04-2103580	501(C)(3)	1,218,334		FMV		Research
TUFTS UNIVERSITY136 HARRISON AVE BOSTON,MA 02111	04-2103634	501(C)(3)	14,791		FMV		Research

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WELLESLEY COLLEGE106 CENTRAL ST GRH139 WELLESLEY,MA 02481	04-2103637	501(C)(3)	58,446		FMV		Research
DANA FARBER44 BINNEY STREET BOSTON,MA 02115	04-2263040	501(c)(3)	32,698		FMV		Research
BRIGHAM & WOMENS HOSPITAL75 FRANCIS STREET BOSTON,MA 02115	04-2312909	501(c)(3)	2,206,918		FMV		Research



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ANALOG DEVICES INC804 WOBURN STREET WILMINGTON,MA 01887	04-2348234	-	24,062		FMV		Research
SPAULDING REHABILITATION HOSPITAL C125 NASHUA STREET BOSTON,MA 02114	04-2551124	501(c)(3)	168,199		FMV		Research
MASSACHUSETTS GENERAL HOSPITAL50 STANFORD ST STE 1001 BOSTON,MA 02114	04-2697983	501(c)(3)	92,622		FMV		Research

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BOSTON CHILDRENS HOSPITALPO BOX 414413 BOSTON,MA 02241	04-2774441	501(c)(3)	288,976		FMV		Research
CHILDRENS HOSPITAL CORPORATION300 LONGWOOD AVE BOSTON,MA 02155	04-3085427	501(c)(3)	42,119		FMV		Research
UNIVERSITY OF MASSACHUSETTS70 BUTTERFIELD TERRACE AMHERST,MA 01003	04-3167352	MA	704,358		FMV		Research

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THE GENERAL HOSPITAL CORP DBA MA GEN HOSP 55 FRUITE STREET BOSTON,MA 02114	04-3230035	501(C)(3)	370,091		FMV		Research
BOSTON HOUSING AUTHORITY52 CHAUNCEY STREET BOSTON,MA 02111	04-6001907	FEDERAL	12,658		FMV		Other
CAPE COD CRANBERRY GROWERS' ASSOCIATION1 CARVER SQ BLVD PO BOX 97 CARVER,MA 02330	04-6060947	501(C)(3)	16,329		FMV		Other

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REGENTS OF THE UNIVERSITY OF CA DAVIS PO BOX 989062 WEST SACRAMENTO, CA 95798	04-7120084	CA	658,168		FMV		Research
BROWN UNIVERSITY70 SHIP ST BOX G-E205 PROVIDENCE,RI 02903	05-0258809	501(c)(3)	744,049		FMV		Research
RHODE ISLAND HOSPITAL 593 EDDY STREET PROVIDENCE,RI 02903	05-0258954	501(c)(3)	13,959		FMV		Research

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THE GRODEN CENTER INC 86 MOUNT HOPE AVENUE PROVIDENCE,RI 02906	05-0369378	501(C)(3)	63,356		FMV		Research
YALE UNIVERSITY214 WHITNEY AVE 230 NEW HAVEN,CT 06520	06-0646973	501(C)(3)	576,540		FMV		Research
UNIVERSITY OF CONNECTICUT (UCONN) 438 WHITNEY RD EXT 1133 STORRS,CT 06269	06-0772160	CT	21,595		FMV		Research

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WHITEHEAD INSTITUTE9 CAMBRIDGE CENTER CAMBRIDGE,MA 02142	06-1043412	501(c)(3)	90,283		FMV		Research
THE CT AGRICULTURAL EXPERIMENT STATION123 HUNTINGTON ST 1106 NEW HAVEN,CT 06504	06-6032987	CT	22,850		FMV		Research
ST MARK'S DAY SCHOOL 1346 PRESIDENT ST BROOKLYN,NY 112134335	11-1694941	501(C)(3)	10,000		FMV		Other

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COLD SPRING HARBOR LABORATORY1 BUNGTOWN RD COLD SPRING HARBOR, NY 11724	11-2013303	501(C)(3)	1,092,179		FMV		Research
RESOURCES FOR CHILDREN WITH SPECIAL NEEDS116 E 16TH ST 5TH FL NEW YORK, NY 10003	11-2594790	501(C)(3)	388,977		FMV		Research
CORNELL COOPERATIVE EXTENSION SUFFOLK CTY 423 GRIFFING AVE 100 RIVERHEAD, NY 11901	11-6081424	501(C)(3)	139,843		FMV		Research

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THE CONFERENCE BOARD INC (CBI)845 THIRD AVENUE NEW YORK,NY 100226679	13-1624108	501(C)(3)	30,000		FMV		Other
HOSPITAL FOR SPECIAL SURGERY535 EAST 70TH ST NEW YORK,NY 10021	13-1624135	501(c)(3)	516,585		FMV		Research
ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK,NY 100216339	13-1624158	501(c)(3)	282,587		FMV		Research



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SLOAN KETTERING INSTITUTE FOR CANCER RSRCH1275 YORK AVENUE NEW YORK, NY 10065	13-1624182	501(C)(3)	152,512		FMV		Research
ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVE BRONX, NY 10461	13-1624225	501(c)(3)	415,487		FMV		Research
BOYCE THOMPSON INSTITUTE FOR PLANT RSRCH100 BOYCE THOMPSON TWR RD ITHACA, NY 14853	13-1739923	501(C)(3)	929,420		FMV		Research

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FORDHAM UNIVERSITY441 EAST FORDHAM RD BRONX,NY 10458	13-1740451	501(c)(3)	12,489		FMV		Research
MEMORIAL SLOAN KETTERING1275 YORK AVE NEW YORK,NY 10021	13-1924236	501(c)(3)	2,795,695		FMV		Research
RSRCH FDN CUNY ON BEHALF OF LEHMAN COLLEGE230 W 41ST STREET NEW YORK,NY 10036	13-1988190	501(C)(3)	526,229		FMV		Research

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ROCKLAND CTY SOIL & WATER CONSERVATION50 SANITORIUM RD BLDG K POMONA, NY 10970	13-2600920	NY	7,000		FMV		Other
JEWISH ASSOC FOR SERVING THE AGING247 WEST 37TH ST 9TH FL NEW YORK, NY 10018	13-2620896	501(c)(3)	6,788		FMV		Research
GREENMARKET (GNMK)51 CHAMBERS ST 228 NEW YORK, NY 10007	13-2765465	501(C)(3)	28,312		FMV		Research

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AGING IN NEW YORK FUND INC2 LAFAYETTE ST STE 2100 NEW YORK, NY 10007	13-3153550	501(c)(3)	142,707		FMV		Research
THE ROGOSIN INSTITUTE 506 EASE 70TH ST NEW YORK, NY 10021	13-3184198	501(c)(3)	6,057		FMV		Research
WINIFRED MASTERSON BURKE785 MAMARONECK AVE WHITE PLAINS, NY 10605	13-3434928	501(c)(3)	85,530		FMV		Research

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BIOMEDICAL RESEARCH ALLIANCE OF NY1981 MARCUS AVE LAKE SUCCESS, NY 11042	13-3999590	N/A	34,618		FMV		Research
NEW YORK UNIVERSITY 665 BROADWAY STE 801 NEW YORK, NY 10012	13-5562308	501(C)(3)	769,852		FMV		Research
BETH ISRAEL DEACONESS MEDCAL CENTER10 UNION SQUARE NEW YORK, NY 10003	13-5564934	501(c)(3)	237,486		FMV		Research

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COLUMBIA UNIVERSITY 615 W 131 ST MC NEW YORK, NY 100277922	13-5598093	501(C)(3)	1,354,266		FMV		Research
ICAHN SCHOOL OF MEDICINE ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029	13-6171197	501(c)(3)	623,723		FMV		Research
CORNELL COOPERATIVE EXTENSION ROCKLAND CTY 10 PATRIOT HILLS DR STONY POINT, NY 10980	13-6210461	501(C)(3)	6,500		FMV		Other

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NYC DEPARTMENT OF EDUCATION DISTRICT 75 400 FIRST AVENUE NEW YORK, NY 10010	13-6400434	NY	112,500		FMV		Research
GE GLOBAL RESEARCHONE RESEARCH CIRCLE NISKAYUNA, NY 12309	14-0689340	N/A	214,557		FMV		Research
SIENA COLLEGE515 LOUDON ROAD ALBANY, NY 12211	14-1338498	501(C)(3)	7,552		FMV		Other

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UNION COLLEGE807 UNION ST SCHENECTADY,NY 12308	14-1338580	501(C)(3)	9,205		FMV		Research
RSRCH FDN FOR THE STATE UNIV OF NEW YORKPO BOX 6000 BINGHAMTON,NY 139026000	14-1368361	501(C)(3)	514,176		FMV		Research
RESEARCH FOUNDATION FOR MENTAL150 BROADWAY STE 301 MENANDS,NY 12204	14-1410842	501(c)(3)	375,891		FMV		Research



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CITY SCHOOL DISTRICT OF ALBANY1 ACADEMY PARK ALBANY, NY 12207	14-1537912	NY	55,962		FMV		Research
CLINICAL DIRECTORS NETWORK INC5 W 37TH ST 10TH FL NEW YORK, NY 10018	14-1717344	501(c)(3)	289,546		FMV		Research
BAAR-SCIENTIFIC LLC 6374 ROUTE 89 ROMULUS, NY 14541	14-1878299	-	10,000		FMV		Research

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ULSTER COUNTY BOCES 175 ROUTE 32 NORTH NEW PALTZ, NY 12561	14-6003939	501(C)(3)	71,616		FMV		Other
CITY SCHOOL DISTRICT OF SCHENECTADY108 EDUCATION DRIVE SCHENECTADY, NY 12303	14-6004188	NY	33,858		FMV		Research
CORNELL COOPERATIVE EXTENSION (CCEALBANY) 24 MARTIN RD PB 497 VOORHEESVILLE, NY 12186	14-6036881	501(C)(3)	22,653		FMV		Other

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CORNELL COOPERATIVE EXTENSION DUTCHESS CTY2715 US ROUTE 44 1 MILLBROOK,NY 12545	14-6036882	501(C)(3)	97,302		FMV		Research
CORNELL COOPERATIVE EXTENSION CLINTON CTY 6064 ROUTE 22 5 PLATTSBURGH,NY 12901	14-6036885	501(C)(3)	31,011		FMV		Research
CORNELL COOPERATIVE EXTENSION ASSSOC6055 ROUTE 23 ACRA,NY 12405	14-6036887	501(C)(3)	99,122		FMV		Other

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CORNELL COOPERATIVE EXTENSION ORANGE CTY 18 SEWARD AVE STE 300 MIDDLETOWN,NY 10940	14-6036889	501(C)(3)	9,821		FMV		Other
CORNELL COOPERATIVE EXTENSION ULSTER CTY 232 PLAZA ROAD KINGSTON,NY 124012928	14-6036893	501(C)(3)	39,686		FMV		Other
CORNELL COOPERATIVE EXTENSION ST LAWRENCE 2403B STATE HIGHWAY 68 CANTON,NY 13617	14-6037202	501(C)(3)	10,758		FMV		Research

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CORNELL COOPERATIVE EXTENSION FLANKLIN CTY 355 W MAIN ST STE 150 MALONE, NY 12953	14-6037203	501(C)(3)	60,241		FMV		Other
CORNELL COOPERATIVE EXTENSION ESSEX COUNTY PO BOX 388 WESTPORT, NY 129930388	14-6048677	501(C)(3)	12,300		FMV		Research
SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244	15-0532081	501(C)(3)	165,206		FMV		Research

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CLARKSON UNIVERSITY8 CLARKSON AVE 5630 POTSDAM, NY 13699	15-0543659	501(C)(3)	40,142		FMV		Research
PALEONTOLOGICAL RESEARCH INSTITUTION 1259 TRUMANSBURG ROAD ITHACA, NY 14850	15-0554849	501(C)(3)	47,206		FMV		Research
UNIVERSITY OF ROCHESTER515 HYLAN BLDG 270140 ROCHESTER, NY 14627	16-0743209	501(C)(3)	241,850		FMV		Research

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ALFRED UNIVERSITY1 SAXON DRIVE ALFRED,NY 14802	16-0743900	501(C)(3)	7,177		FMV		Research
OFS LABORATORIES LLC19 SCHOOLHOUSE ROAD SOMERSET,NJ 08873	16-1745377	-	51,371		FMV		Research
CORNELL COOPERATIVE EXTENSION BROOME CTY 840 UPPER FRONT ST BINGHAMTON,NY 13905	16-6072872	501(C)(3)	124,785		FMV		Other

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CORNELL COOPERATIVE EXTENSION CHAUTAUQUA 3542 TURNER ROAD JAMESTOWN, NY 147016327	16-6072874	501(C)(3)	10,374		FMV		Other
CORNELL COOPERATIVE EXTENSION CORTLAND CTY60 CENTRAL AVE 105 CORTLAND, NY 13045	16-6072877	501(C)(3)	15,718		FMV		Other
CORNELL COOPERATIVE EXTENSION ERIE COUNTY 21 S GROVE ST EAST AURORA, NY 14052	16-6072879	501(C)(3)	56,922		FMV		Other



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CORNELL COOPERATIVE EXTENSION JEFFERSON CTY203 N HAMILTON ST WATERTOWN, NY 13601	16-6072882	501(C)(3)	35,420		FMV		Other
CORNELL COOPERATIVE EXTENSION (CCELC)5274 OUTER STOWE ST LOWVILLE, NY 13367	16-6072883	501(C)(3)	15,000		FMV		Research
CORNELL COOPERATIVE EXTENSION (CCEMC)249 HIGHLAND AVENUE ROCHESTER, NY 14620	16-6072886	501(C)(3)	50,465		FMV		Other

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CORNELL COOPERATIVE EXTENSION TOMPKINS CTY615 WILLOW AVENUE ITHACA,NY 14850	16-6072897	501(C)(3)	20,850		FMV		Other
CORNELL COOPERATIVE EXTENSION YATES COUNTY417 LIBERTY STREET PENN YAN,NY 14527	16-6072900	501(C)(3)	7,282		FMV		Research
FL INSTITUTE FOR HUMAN & MACHINE COGNITION40 S ALCANIZ STREET PENSACOLA,FL 32502	20-0760849	501(C)(3)	90,546		FMV		Research

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INSTITUTE FOR ETHNOMEDICINEPO BOX 3464 JACKSON,WY 83001	20-1829529	501(c)(3)	82,693		FMV		Research
HEBREW HOME AT RIVERDALE5901 PALISADE AVE RIVERDALE,NY 10471	20-4352212	501(c)(3)	107,739		FMV		Research
BRIGHTTREE HOME HEALTH AND HOSPICE 1735 N BROWN RD LAWRENCEVILLE,GA 30043	20-4450365	-	80,827		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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WINGS OF EAGLES DISCOVERY CENTER339 DANIEL ZENKER DR HORSEHEADS,NY 14845	22-2462926	501(C)(3)	223,828		FMV		Research
CELGENE CORPORATION 86 MORRIS AVENUE SUMMIT,NJ 07901	22-2711928	-	37,500		FMV		Research
MONTCLAIR STATE UNIVERSITYCOLLEGE HALL 307 MONTCLAIR,NJ 07043	22-2912682	501(C)(3)	22,057		FMV		Research

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CARY INSTITUTE OF ECOSYSTEM STUDIES2801 SHARON TURNPIKE MILLBROOK, NY 12545	22-3232968	501(C)(3)	219,414		FMV		Research
RUTGERS THE STATE UNIVERSITY679 HOES LANE PISCATAWAY, NJ 08854	22-6001086	NJ	1,432,212		FMV		Research
CHILDRENS HOSPITAL OF PHILADELPHIALOCKBOX 1457 8500 PHILADELPHIA, PA 19178	23-1352166	501(c)(3)	45,330		FMV		Research

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DREXEL UNIVERSITY3201 ARCH ST STE 100 PHILADELPHIA,PA 19104	23-1352630	501(C)(3)	149,585		FMV		Research
ECRI INSTITUTE5200 BUTLER PIKE PLYMOUTH MEETING,PA 19462	23-1662091	501(c)(3)	6,761		FMV		Research
FRED HUTCHINSON1100 FAIRVIEW AVE SEATTLE,WA 98109	23-7156071	501(c)(3)	32,938		FMV		Research

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PENNSYLVANIA STATE UNIVERSITY500 UNIVERSITY DR MC H138 HERSHEY,PA 17033	24-6000376	501(C)(3)	1,073,305		FMV		Research
UNIVERSITY OF PITTSBURGH123 UNIV PLACE PITTSBURGH,PA 15213	25-0965591	501(C)(3)	132,979		FMV		Research
US BUSINESS LEADERSHIP NETWORK13130 BRADDOCK PLACE ALEXANDRIA,VA 22314	26-0482057	501(C)(3)	33,499		FMV		Other

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STICKK39 E30TH ST STE 4 NEW YORK,NY 10016	26-0622510	N/A	20,000		FMV		Research
KOKUA CONTRACTING AND PROJECT MANAGEMENT77-6441 KUAKINI HWY KAILUAKONA,HI 96740	26-0764795	-	96,043		FMV		Research
BROAD INSTITUTE75 AMES ST 11TH FLR CAMBRIDGE,MA 02142	26-3428781	501(c)(3)	82,345		FMV		Research



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WRIGHT STATE UNIVERSITY3640 COLONEL GLENN HWY DAYTON,OH 45435	31-0732831	501(C)(3)	26,021		FMV		Research
DONALD DANFORTH PLANT SCIENCE CENTER 975 N WARSON ROAD ST LOUIS,MO 63132	31-1584621	501(C)(3)	136,144		FMV		Research
CENTER FOR SCIENCE AND INDUSTRY (CSI)333 WEST BROAD STREET COLUMBUS,OH 43215	31-4383802	501(C)(3)	54,333		FMV		Research

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THE OHIO STATE UNIVERSITY (OSU)1960 KENNY RD COLUMBUS, OH 432101063	31-6025986	501(C)(3)	338,808		FMV		Research
PLANETARY SCIENCE INSTITUTE1700 E FT LOWELL TUCSCON, AZ 85719	33-0175263	501(C)(3)	121,464		FMV		Research
SCIRE-SOUTHERN CALIFORNIA INSTITUTE 5901 E SEVENTH ST LONG BEACH, CA 90822	33-0331855	501(c)(3)	110,262		FMV		Research

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SCRIPPS RESEARCH INSTITUTE10550 N TORREY PINES RD LA JOLLA,CA 92037	33-0435954	501(c)(3)	947,067		FMV		Research
CLEVELAND CLINIC FOUNDATION9500 EUCLID AVENUE CLEVELAND,OH 44195	34-0714585	501(c)(3)	17,771		FMV		Research
THE TRUSTEES OF INDIANA UNIVERSITY509 E 3RD ST BLOOMINGTON,IN 47401	35-6001673	501(C)(3)	10,000		FMV		Other

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UNIVERSITY OF NOTRE DAME511 MAIN BLDG NOTRE DAME, IN 465565602	36-0868188	501(C)(3)	23,745		FMV		Research
NORTH WESTERN UNIVERSITY2205 TECH DR EVANSTON, IL 602083500	36-2167817	501(c)(3)	195,366		FMV		Research
BRADLEY UNIVERSITY1501 W BRADLEY AVE PEORIA, IL 61625	37-0861494	501(C)(3)	11,416		FMV		Research

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MICHIGAN STATE UNIVERSITY426 AUDITORIUM RD E LANSING, MI 48824	38-6005984	MI	248,623		FMV		Research
MEDICAL COLLEGE OF WISCONSIN9200 W WISCONSIN AVE MILWAUKEE, WI 53226	39-0806261	501(c)(3)	107,716		FMV		Research
OLMSTED MEDICAL CENTER1650 FOURTH ST SE ROCHESTER, NY 55904	41-0855367	501(C)(3)	64,443		FMV		Research

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IOWA STATE UNIVERSITY 1138 PEARSON AMES, IA 500112207	42-6004224	IA	647,847		FMV		Research
THE CURATORS OF THE UNIVERSITY OF MISSOURI 310 JESSE HALL COLUMBIA, MO 652111230	43-6003859	501(C)(3)	568,369		FMV		Research
HEALTHIX INC347 W 36TH ST NEW YORK, NY 10018	45-0553664	501(c)(3)	143,025		FMV		Research

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MOOGSENECA ST JAMISON RD E AURORA, NY 14052	45-3302264	-	17,500		FMV		Research
CCAT OBSERVATORY INC 508 SPACE SCIENCES BLDG ITHACA, NY 14853	45-4104640	501(C)(3)	545,079		FMV		Research
ORGANIC GROWERS (OGRISN)1124 COUNTRY RD 38 BRAINBRIDGE, NY 13733	45-4134508	501(C)(3)	61,767		FMV		Research

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AUDIENCE VIEWPOINTS CONSULTING13148 ROUNDING RUN CIR HERNDON,VA 20171	45-5500148	-	69,347		FMV		Research
NORTH DAKOTA STATE UNIVERSITYDEPT 4000 PO BOX 6050 FARGO,ND 58105	45-6002439	ND	55,255		FMV		Research
PANSS INSITUTE3 PARK AVE 37TH FLOOR NEWYORK,NY 10016	46-1248144	501(c)(3)	11,181		FMV		Research



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RUTGERS THE STATE UNIVERSITY225 WARREN ST NEWARK,NJ 07103	46-2354111	NJ	198,001		FMV		Research
YOUV LABS INC245 W 120TH ST STE 3 NEW YORK,NY 10027	46-4125267	N/A	50,000		FMV		Research
GRAPHIKA INC (FRMR MORNINGSIDE ANALYTICS)116 W 23RD ST 5TH FL NEW YORK,NY 10011	46-4386048	-	100,294		FMV		Research

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B&D ENGINEERING AND CONSULTING LLC7419 STATE HIGHWAY 789 LANDER, WY 82520	46-5624420	-	69,041		FMV		Research
SOUTH DAKOTA STATE UNIVERSITYADMIN 133 BOX 2201 BROOKINGS, SD 57007	46-6000364	SD	163,027		FMV		Research
CREIGHTON UNIVERSITY HIXSON LIED SCIENCE BLGD OMAHA, NE 68178	47-0376583	501(c)(3)	91,718		FMV		Research

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UNIVERSITY OF KANSAS CENTER FOR2385 IRVING HILL ROAD LAWRENCE,KS 66044	48-0680117	501(c)(3)	209,272		FMV		Research
KANSAS STATE UNIVERSITY2 FAIRCHILD HALL MANHATTAN,KS 665061103	48-0771751	KS	38,681		FMV		Research
UNIVERSITY OF KANSAS MEDICAL CENTERMSN 1039 3901 RAINBOW BLVD KANSAS,KS 66160	48-1108830	501(c)(3)	36,425		FMV		Research

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LIONSHARE MARKETING ING7830 BARTON LENEXA,KS 66214	48-1175473	N/A	6,600		FMV		Research
NEIGHBORHOOD LEGAL SERVICES INC237 MAIN ST STE 400 BUFFALO,NY 14203	51-0198935	501(C)(3)	37,186		FMV		Research
DELAWARE STATE UNIVERSITY1200 N DUPONT HIGHWAY DOVER,DE 19901	51-0305893	DE	129,321		FMV		Research

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UNIVERSITY OF DELAWARE 210 HULLIHEN HALL NEWARK,DE 197161551	51-6000297	DE	78,084		FMV		Research
JOHNS HOPKINS UNIV APPLIED PHYSICS LAB LLC 11100 JOHN HOPKINS ROAD LAUREL,MD 20723	52-0595110	501(C)(3)	175,574		FMV		Research
AMERICAN BIRD CONSERVANCY (ABC)PO BOX 249 THE PLAINS,VA 20198	52-1501259	501(C)(3)	45,256		FMV		Research

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INT'L SERV FOR THE ACQ OF AGRI-BIOTECH APP105 LELAND LAB ITHACA,NY 14853	52-1740743	501(C)(3)	72,064		FMV		Research
J CRAIG VENTER INSTITUTE9704 MED CTR DR ROCKVILLE,MD 20850	52-1842938	501(C)(3)	131,936		FMV		Research
UNIVERSITY OF MARYLAND3112 LEE BLDG COLLEGE PARK,MD 207425141	52-6002033	MD	163,744		FMV		

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GEORGE WASHINGTON UNIVERSITY44983 KNOLL SQUARE ASHBURN,VA 20147	53-0196584	501(C)(3)	26,155		FMV		Research
GEORGETOWN UNIVERSITY37TH O ST NW WASHINGTON,DC 20057	53-0196603	501(C)(3)	452,360		FMV		Research
EVIDERA INC7101 WISCONSIN AVE 600 BETHESDA,MD 20814	54-1759539	N/A	128,448		FMV		Research

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UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE,VA 22904	54-6001796	VA	84,836		FMV		Research
VA POLYTECHNIC INSTITUTE & STATE UNIVERSITY300 TURNER ST NW BLACKSBURG,VA 24060	54-6001805	501(C)(3)	81,660		FMV		Research
NATIONAL INSTITUTE OF STATISTICAL SCIENCESPO BOX 14006 RSRCH TRIANGLE PARK,NC 27709	56-1746337	501(C)(3)	32,436		FMV		Research



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NC AGRICULTURAL & TECHNOLOGY STATE UNIV 1601 E MARKET ST GREENSBORO,NC 27411	56-6000007	501(C)(3)	52,046		FMV		Research
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 9201 UNIV CITY BLVD CHARLOTTE,NC 28223	56-6001393	NC	136,649		FMV		Research
FERMI NATIONAL ACCELERATOR LABORATORY PO BOX 500 MS 112 BATAVIA,IL 60510	57-1239010	501(C)(3)	30,000		FMV		Research

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CLEMSON UNIVERSITY300 BRACKETT HALL CLEMSON,SC 296345702	57-6000254	501(C)(3)	58,220		FMV		Research
EMORY UNIVERSITY954 GATEWOOD RD NE ATLANTA,GA 303294208	58-0566256	501(c)(3)	140,468		FMV		Research
UNIVERSITY OF GEORGIA RESEARCH FDN INC200 DW BROOKS DR ATHENS,GA 30602	58-1353149	501(C)(3)	121,602		FMV		Research

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UNIVERSITY OF MIAMIPO BOX 405803 MIAMI,FL 30384	59-0624458	501(c)(3)	109,501		FMV		Research
UNIVERSITY OF CENTRAL FLORIDA12424 RESEARCH PKWY ORLANDO,FL 32826	59-6211832	501(c)(3)	12,473		FMV		Research
UNIVERSITY OF KENTUCKY RESEARCH109 KINKEAD HALL LEXINGTON,KY 405060057	61-6033693	501(c)(3)	228,618		FMV		Research

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RHODES COLLEGE2000 NORTH PARKWAY MEMPHIS, TN 381121690	62-0476301	501(C)(3)	22,558		FMV		Research
VANDERBILT UNIVERSITY MEDICAL3319 WEND AVE STE 800 NASHVILLE, TN 37203	62-0476822	501(c)(3)	288,183		FMV		Research
UNIVERSITY OF TENNESSEE2621 MORGAN CIR DR KNOXVILLE, TN 379964530	62-6001636	TN	189,058		FMV		Research

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CARDIOLOGY ASSOCIATES OF MOBILE INC3715 DAUPHIN ST STE 4400 MOBILE,AL 33608	63-0889615	N/A	5,200		FMV		Research
ALABAMA A&M UNIVERSITYPATTON HALL ROOM 316 NORMAL,AL 35762	63-6001097	501(C)(3)	14,052		FMV		Research
THE UNIVERSITY OF SOUTHERN MISSISSIPPI 118 COLLEGE DRIVE HATTIESBURG,MS 39406	64-6000818	501(C)(3)	26,791		FMV		Research

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EDU INC6900-29 DANIELS PKWY FORT MYERS,FL 33912	65-0434251	-	30,000		FMV		Other
USDA-ARS600 E MERMAID LANE WYNDMOOR,PA 190380598	72-0564834	FEDERAL	1,057,700		FMV		Research
OKLAHOMA CITY UNIVERSITY2501 N BLACKWELDER OKLAHOMA CITY,OK 73106	73-0579265	501(C)(3)	18,589		FMV		Research

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OKLAHOMA MEDICAL RESEARCH825 NE 13TH STREET OKLAHOMA CITY, OK 73104	73-0580274	501(c)(3)	25,946		FMV		Research
OKLAHOMA STATE UNIVERSITY203 WHITEHURST HALL STILLWATER, OK 74078	73-6017987	OK	103,389		FMV		Research
THE METHODIST HOSPITAL FOUNDATION PO BOX 4384 HOUSTON, TX 77210	74-1180155	501(c)(3)	34,251		FMV		Research

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UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER 7703 FLOYD CURL DR SAN ANTONIO,TX 78229	74-1586031	501(c)(3)	13,748		FMV		Research
UNIVERSITY OF TEXAS- SAN ANTONIOONE UTSA CIRCLE SAN ANTONIO,TX 78249	74-1717115	501(c)(3)	7,479		FMV		Research
TEXAS ENGINEERING EXPERMENT STATION1470 WILLIAM PKWY COLLEGE STA,TX 77845	74-1974733	501(c)(3)	7,997		FMV		Research



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NATIONAL JEWISH HEALTH 1400 JACKSON ST DENVER, CO 80205	74-2044647	501(c)(3)	110,666		FMV		Research
THE AZ BOARD OF REGENTS UNIVERSITY OF AZ PO BOX 210158 TUCSON, AZ 857210158	74-2652689	501(C)(3)	216,979		FMV		Research
UNIVERSITY OF TEXAS AT AUSTIN 24 GUADALAUPE PO BOX 7398 AUSTIN, TX 78713	74-6000203	TX	343,736		FMV		Research

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TEXAS A&M UNIVERSITY 400 HARVEY MITCHELL PKWY COLL STA, TX 77845	74-6000531	501(C)(3)	152,680		FMV		Research
TEXAS A & M AGRILIFE RESEARCH 2147 TAMU COLLEGE STATION, TX 78743	74-6000541	TX	227,439		FMV		Research
UNIVERSITY OF TEXAS MEDICAL 301 UNIV BLVD BSB 1106 GALVESTON, TX 77555	74-6000949	TX	84,392		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS MD ANDERSON1515 HOLCOMBE BLVD HOUSTON, TX 77030	74-6001118	TX	14,349		FMV		Research
SOUTHERN METHODIST UNIVERSITYPO BOX 750302 DALLAS, TX 752750302	75-0800689	501(C)(3)	70,524		FMV		Research
THE UNIVERSITY OF TEXAS AT DALLAS800W CAMPBELL RD MP15 RICHARDSON, TX 75080	75-1305568	501(C)(3)	25,816		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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TEXAS TECH UNIVERSITY 203 HOLDEN HALL LUBBOCK,TX 794091035	75-6002622	501(C)(3)	16,778		FMV		Research
THE UNIVERSITY OF TEXAS SOUTHWESTER5323 HARRY HINES BLVD DALLAS,TX 78590	75-6002868	TX	144,451		FMV		Research
NEW YORK GENOME CENTER INC101 AVE OF THE AMERICAS NEW YORK,NY 10013	80-0631734	501(c)(3)	916,989		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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SATHGURU INC88 BROAD STREET BOSTON,MA 02110	80-0664005	-	1,608,631		FMV		Research
MONTANA STATE UNIVERSITY309 MONTANA HALL BOZEMAN,MT 59717	81-6010045	MT	51,875		FMV		Research
UNIVERSITY OF IDAHO875 PERIMETER DR MS 3020 MOSCOW,ID 83844	82-6000945	ID	5,992		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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COLORADO STATE UNIVERSITY2002 CAMPUS DELIVERY FORT COLLINS, CO 80523	84-6000545	CO	8,133		FMV		Research
NATIONAL CENTER FOR GENOME RESOURCES2935 RODEO PARK DR E SANTE FE, NM 87505	85-0418280	501(C)(3)	77,793		FMV		Research
UNIVERSITY OF NEW MEXICO1 UNIV OF NM ALBUQUERQUE, NM 87131	85-6000642	NM	28,767		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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BRIGHAM YOUNG UNIVERSITYA-285 ASB CAMPUS DR PROVO,UT 84602	87-0217280	501(C)(3)	15,997		FMV		Research
METHODIST HOSPITAL 6670 BERTNER AVE HOUSTON,TX 77030	87-0721923	501(c)(3)	284,438		FMV		Research
UNIVERSITY OF UTAH201 S PRSIDNTS CIR SALT LAKE CITY,UT 84112	87-6000525	UT	247,171		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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HONEYBEE ROBOTICS LTD P O BOX 27420 BROOKLYN,NY 11202	88-0193033	-	37,984		FMV		Research
UNIVERSITY OF ALASKA-ANCHORAGE3211 PROVIDENCE DR ANCHORAGE,AK 995084614	92-6000147	AK	20,968		FMV		Research
REED COLLEGE3203 SE WOODSTOCK BLVD PORTLAND,OR 97202	93-0386908	501(C)(3)	86,092		FMV		Research



Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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MALIN SPACE SCIENCE SYSTEMS (MSSS)PO BOX 910148 SAN DIEGO,CA 921910148	93-1046372	-	7,979		FMV		Research
SAN FRANCISCO STATE UNIVERSITY1600 HOLLOWAY AVE SAN FRANCISCO,CA 94132	93-1137247	501(C)(3)	127,406		FMV		Research
OREGON HEALTH & SCIENCE UNIVERSITY 3181 SW SAM JACKSON PARK PORTLAND,OR 97239	93-1176109	501(c)(3)	61,192		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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KAISER FOUNDATION RESEARCH INSTITUT1800 HARRISON ST 16TH FL OAKLAND,CA 94612	94-1105628	501(c)(3)	31,682		FMV		Research
REGENTS OF THE UNIV OF SANTA CRUZ1156 HIGH ST SANTA CRUZ,CA 950641077	94-1539563	N/A	13,436		FMV		Research
REGENTS OF THE UNIVERSITY OF CALIFORNIA2150 SHATTUCK AVE 313 BERKELEY,CA 94720	94-6002123	501(C)(3)	211,160		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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REGENTS OF THE UNIV OF CALIFORNIA-DAVIS1850 RESEARCH PARK DR 300 DAVIS,CA 95618	94-6036494	501(C)(3)	943,259		FMV		Research
CALIFORNIA INSTITUTE OF TECHNOLOGY1200 E CALIFORNIA BLVD PASADENA,CA 91125	95-1643307	501(C)(3)	243,304		FMV		Research
CEDARS SINAI MEDICAL CENTER8700 BEVERLY BLVD WHOLLYWOOD,CA 90048	95-1644600	501(c)(3)	144,349		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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CAL POLY CORPORATION BUILDING 38 102 SAN LUIS OBISPO,CA 93407	95-1648180	501(C)(3)	9,999		FMV		Research
MICROSEMI FREQUENCY AND TIME CORPORATION 34 TOZER ROAD BEVERLY,MA 019155510	95-1906306	-	152,223		FMV		Research
REGENTS OF THE UNIVERSITY OF CALIFORNIA5171 CALIFORNIA AVE 150 IRVINE,CA 92697	95-2226406	501(C)(3)	60,032		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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REGENTS OF THE UNIVERSITY OF CALIFORNIA9500 GILMAN DR LA JOLLA,CA 920930934	95-2872494	501(C)(3)	196,103		FMV		Research
PVHMC FOUNDATION1798 N GAREY AVENUE POMONA,CA 91767	95-3403287	501(c)(3)	11,397		FMV		Research
REGENTS OF THE UNIVERSITY OF CALIFORNIA11000 KINROSS AVE LOS ANGELES,CA 90095	95-6006143	501(C)(3)	47,772		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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REGENTS OF THE UNIVERSITY OF CALIFORNIA3227 CHEADLE HALL SANTA BARBARA, CA 93106	95-6006145	501(C)(3)	905,297		FMV		Research
THE PARENT NETWORK OF THE CAPITAL REGION1190 TROY-SCHENECTADY RD LATHAM,NY 12110	22-2717094	501(C)(3)	70,466		FMV		
THE PARENT NETWORK OF WNY1000 MAIN ST BUFFALO,NY 14202	22-2717094	501(C)(3)	106,279		FMV		

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NY402 CROFTS HALL BUFFALO, NY 14260	14-1368361	501(c)(3)	82,953		FMV		
RUTGERS THE STATE UNIVERSITY OF NEW JERSEY3 RUTGERS PLAZA NEW BRUNSWICK, NJ 08901	22-6001086	501(C)(3)	15,934		FMV		OTHER
UNIVERSITY OF NEW HAMPSHIRE51 COLLEGE RD 2ND FL DURHAM, NH 03824	02-6000937	NH	14,453		FMV		OTHER

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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RUTGERS THE STATE UNIVERSITY OF NEW JERSEY3 RUTGERS PLAZA NEW BRUNSWICK, NJ 08901	22-6001086	501(C)(3)	319,723		FMV		
THE REGENTS OF THE UNIVERSITY OF MINNESOTA200 OAK STREET SE 450 MINNEAPOLIS, MN 55455	41-6007513	MN	12,524		FMV		OTHER
UNIVERSITY OF NEBRASKA MEDICAL5100 NEBRASKA MEDICAL CENTER OMAHA,NE 68198	47-0049123	NE	89,572		FMV		



Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF MARYLAND3112 LEE BLDG COLLEGE PARK,MD 20742	52-6002033	MD	9,231		FMV		OTHER
UNIVERSITY OF MARYLAND EASTERN SHORE11868 ACADEMIC OVAL PRINCESS ANNE,MD 21853	52-6002033	501(C)(3)	139,414		FMV		
STANFORD UNI BRD OF LELAND STANFORD JR UNI 3160 PORTER DRIVE PALO ALTO,CA 94304	94-1156365	501(C)(3)	240,927		FMV		OTHER

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF VERMONT 340 WATERMAN BLDG BURLINGTON,VT 05405	03-0179440	VT	12,820		FMV		OTHER
TUFTS UNIVERSITY136 HARRISON AVENUE BOSTON,MA 02111	04-2103634	501(C)(3)	18,508		FMV		OTHER
UNIVERSITY OF MASSACHUSETTS70 BUTTERFIELD TERRACE AMHERST,MA 01003	04-3167352	MA	25,829		FMV		OTHER

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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THE GENERAL HOSPITAL CORP DBA MA GEN HOSP55 FRUITE STREET BOSTON,MA 02114	04-3230035	501(C)(3)	27,550		FMV		OTHER
UNIVERSITY OF CONNECTICUT (UCONN) 438 WHITNEY RD EXT 1133 STORRS,CT 06269	06-0772160	CT	21,837		FMV		OTHER
CORNELL COOPERATIVE EXTENSION SUFFOLK CTY 423 GRIFFING AVE 100 RIVERHEAD,NY 11901	11-6081424	501(C)(3)	48,354		FMV		OTHER

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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RSRCH FDN CUNY ON BEHALF OF LEHMAN COLLEGE230 W 41ST STREET NEWYORK,NY 10036	13-1988190	501(C)(3)	14,044		FMV		OTHER
COLUMBIA UNIVERSITY 615 W 131 ST MC NEWYORK,NY 10027	13-5598093	501(C)(3)	76,696		FMV		OTHER
RSRCH FDN FOR THE STATE UNIV OF NEW YORK PO BOX 9 ALBANY,NY 12201	14-1368361	501(C)(3)	75,380		FMV		OTHER

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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CORNELL COOPERATIVE EXTENSION DUTCHESS CTY2715 US ROUTE 44 1 MILLBROOK, NY 12545	14-6036882	501(C)(3)	74,365		FMV		OTHER
SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244	15-0532081	501(C)(3)	265,095		FMV		OTHER
UNIVERSITY OF ROCHESTER515 HYLAN BLDG 270140 ROCHESTER, NY 14627	16-0743209	501(C)(3)	90,246		FMV		OTHER

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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USDA-ARS600 E MERMAID LANE WYNDMOOR, PA 19038	72-0564834	FEDERAL	8,571		FMV		OTHER
STANFORD UNIVERSITY BECKMAN CENTER ROOM B062 STANFORRD, CA 943055301	95-1642394	501(C)(3)	43,678		FMV		
COLORADO STATE UNIVERSITY601 SOUTH HOWES ST 408 UNIV SVC C FORT COLLINS, CO 805232002	84-6000545	CO	339,785		FMV		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF FLORIDA 219 GRINTER HALL GAINESVILLE,FL 32826	59-2924021	FL	139,720		FMV		
PENNSYLVANIA STATE UNIVERSITY110 TECH CTR BLDG UNIVERSITY PARK,PA 168027000	24-6000376	501(C)(3)	55,972		FMV		OTHER
TRUSTEES OF THE UNIVERSITY OF PAPO BOX 785541 PHILADELPHIA,PA 19178	23-1352685	501(C)(3)	191,507		FMV		

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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CORNELL COOPERATIVE EXTENSION YATES COUNTY417 LIBERTY STREET PENN YAN,NY 14527	16-6072900	501(C)(3)	22,999		FMV		OTHER
NEW YORK UNIVERSITY25 WEST 4TH STREET 4TH FLOOR NEW YORK,NY 10012	13-5596826	501(C)(3)	7,527		FMV		



Schedule J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.  
▶ Attach to Form 990.  
▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization  
Cornell University

Employer identification number  
15-0532082

Part I

Questions Regarding Compensation

	Yes	No
<div><div>1a</div><div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div><div><div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div></div>		
<div><div>b</div><div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</div></div>	Yes	
<div><div>2</div><div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</div></div>	Yes	
<div><div>3</div><div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</div><div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div></div><div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div></div>		
<div><div>4</div><div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div></div>		
<div><div>a</div><div>Receive a severance payment or change-of-control payment?</div></div>		No
<div><div>b</div><div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div></div>	Yes	
<div><div>c</div><div>Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div>		No
<div><div></div><div>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</div></div>		
<div><div>5</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div></div>		
<div><div>a</div><div>The organization?</div></div>		No
<div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 5a or 5b, describe in Part III</div>		No
<div><div>6</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div></div>		
<div><div>a</div><div>The organization?</div></div>		No
<div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 6a or 6b, describe in Part III</div>		No
<div><div>7</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div></div>	Yes	
<div><div>8</div><div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III</div></div>		No
<div><div>9</div><div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div></div>		

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
SCHEDULE J, PART I, LINE 1A AND 2	PAYMENT OR REIMBURSEMENT OF THE EXPENSES DESCRIBED IN 1A IS COVERED BY THE UNIVERSITY'S TRAVEL POLICIES OR SPECIFIC EMPLOYMENT CONTRACTS AND INCLUDES THE UNIVERSITY'S IMPLEMENTATION OF AN ACCOUNTABLE PLAN. THERE IS OCCASIONALLY UNIT DISCRETION. THE UNIVERSITY PROVIDES THE EXPENSES LISTED IN SCHEDULE J, PART I, LINE 1A TO DAVID J. SKORTON, PRESIDENT AND LAURIE GLIMCHER, DEAN AND PROVOST OF MEDICAL AFFAIRS. WHERE BENEFITS ARE TAXABLE, SUCH AMOUNTS ARE INCLUDED AS TAXABLE WAGES ON THEIR RESPECTIVE FORMS W-2.
SCHEDULE J, PART I, LINE 4B	THE PRESIDENT PARTICIPATES IN A BOARD AUTHORIZED DEFERRED COMPENSATION AGREEMENT UNDER IRC SECTION 457(F). NO AMOUNTS WERE PAID TO THE PRESIDENT UNDER THE IRC SECTION 457(F) PLAN DURING 2014.
SCHEDULE J, PART I, LINE 7	BONUS PAYMENTS ARE PROVIDED TO INDIVIDUALS IN RECOGNITION OF ACCOMPLISHMENTS OF SPECIFIC GOALS OR OVERALL PERFORMANCE. SCHEDULE J, PART II, LINE 7: HARRY KATZ STARTED HIS TERM AS INTERIM PROVOST ON NOVEMBER 14, 2014. PRIOR TO SERVING AS INTERIM PROVOST, HARRY KATZ SERVED AS THE DEAN OF THE SCHOOL OF INDUSTRIAL AND LABOR RELATIONS. THE BALANCE OF COMPENSATION REPORTED ON THE FORM 990, PART VII, AND SCHEDULE J, PART II, IS THE COMPENSATION PAID FOR HIS SERVICES AS THE DEAN OF INDUSTRIAL AND LABOR RELATIONS AND FOR HIS TWO MONTHS SERVING AS INTERIM PROVOST.
ADDITIONAL INFORMATION ON BOARD OF TRUSTEES	THE CORNELL BOARD INCLUDES FACULTY, STAFF AND STUDENTS. FACULTY MEMBERS ELECT TWO (2) MEMBERS, THE STUDENT BODY ELECTS TWO (2) MEMBERS, THE 'EMPLOYEE ASSEMBLIES' ELECT ONE (1) MEMBER.

Additional Data

Software ID:  
Software Version:  
EIN: 15-0532082  
Name: Cornell University

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
David J Skorton, President & Ex Officio Trustee	(i) 872,800 (ii) 0	(i) 620,260 (ii) 0	(i) 14,800 (ii) 0	(i) 26,000 (ii) 0	(i) 110,468 (ii) 0	(i) 1,644,328 (ii) 0	(i) 0 (ii) 0
Barbara A Baird, Trustee/Prof of Chemistry	(i) 182,884 (ii) 0	(i) 0 (ii) 0	(i) 63,682 (ii) 0	(i) 25,104 (ii) 0	(i) 21,124 (ii) 0	(i) 292,794 (ii) 0	(i) 0 (ii) 0
Alan L Mittman, Trustee/Dir Workforce Policy	(i) 133,356 (ii) 0	(i) 0 (ii) 0	(i) 200 (ii) 0	(i) 14,063 (ii) 0	(i) 22,259 (ii) 0	(i) 169,878 (ii) 0	(i) 0 (ii) 0
Manana F Wolfner, Trustee/Prof Molecular Bio	(i) 168,997 (ii) 0	(i) 0 (ii) 0	(i) 15,125 (ii) 0	(i) 18,883 (ii) 0	(i) 16,241 (ii) 0	(i) 219,246 (ii) 0	(i) 0 (ii) 0
Joanne M DeStefano, VP of Finance & CFO	(i) 453,881 (ii) 0	(i) 21,587 (ii) 0	(i) 22,046 (ii) 0	(i) 38,505 (ii) 0	(i) 16,015 (ii) 0	(i) 552,034 (ii) 0	(i) 0 (ii) 0
W Kent Fuchs, Provost (through 11/14)	(i) 592,216 (ii) 0	(i) 35,611 (ii) 0	(i) 24,993 (ii) 0	(i) 26,000 (ii) 0	(i) 32,267 (ii) 0	(i) 711,087 (ii) 0	(i) 0 (ii) 0
Harry Katz, Interim Provost (from 11/14)	(i) 406,569 (ii) 0	(i) 0 (ii) 0	(i) 6,715 (ii) 0	(i) 47,706 (ii) 0	(i) 24,749 (ii) 0	(i) 485,739 (ii) 0	(i) 0 (ii) 0
Laune H Glimcher, Dean and Provost Med Affrs	(i) 813,349 (ii) 0	(i) 0 (ii) 0	(i) 692,127 (ii) 0	(i) 36,150 (ii) 0	(i) 22,096 (ii) 0	(i) 1,563,722 (ii) 0	(i) 0 (ii) 0
James J Mingle, Univ Counsel & Secretary	(i) 442,899 (ii) 0	(i) 19,631 (ii) 0	(i) 30,561 (ii) 0	(i) 26,000 (ii) 0	(i) 24,001 (ii) 0	(i) 543,092 (ii) 0	(i) 0 (ii) 0
Albert J Edwards, Chief Investment Officer	(i) 570,167 (ii) 0	(i) 502,033 (ii) 0	(i) 0 (ii) 0	(i) 26,000 (ii) 0	(i) 23,476 (ii) 0	(i) 1,121,676 (ii) 0	(i) 0 (ii) 0
Daniel Knowles MD, Chair & Professor of Pathology	(i) 426,630 (ii) 0	(i) 0 (ii) 0	(i) 1,456,909 (ii) 0	(i) 36,150 (ii) 0	(i) 44,297 (ii) 0	(i) 1,963,986 (ii) 0	(i) 0 (ii) 0
Robert A Buhrman, Sr VP for Research	(i) 370,102 (ii) 0	(i) 0 (ii) 0	(i) 17,500 (ii) 0	(i) 26,000 (ii) 0	(i) 15,255 (ii) 0	(i) 428,857 (ii) 0	(i) 0 (ii) 0
Stephen M Cohen, Executive Vice Provost	(i) 862,268 (ii) 0	(i) 0 (ii) 0	(i) 84,967 (ii) 0	(i) 36,150 (ii) 0	(i) 44,938 (ii) 0	(i) 1,028,323 (ii) 0	(i) 0 (ii) 0
Zev Rosenwaks MD, Director Cohen Cntr Rprdc Med	(i) 313,287 (ii) 0	(i) 0 (ii) 0	(i) 5,284,036 (ii) 0	(i) 36,150 (ii) 0	(i) 33,951 (ii) 0	(i) 5,667,424 (ii) 0	(i) 0 (ii) 0
Hey-Joo Kang MD, Prof Obs Gyn & Reproduct Med	(i) 87,436 (ii) 0	(i) 0 (ii) 0	(i) 3,432,763 (ii) 0	(i) 15,150 (ii) 0	(i) 32,749 (ii) 0	(i) 3,568,098 (ii) 0	(i) 0 (ii) 0
Steven D Spandorfer MD, Prof Obs Gyn & Reproduct Med	(i) 97,272 (ii) 0	(i) 0 (ii) 0	(i) 3,356,549 (ii) 0	(i) 36,150 (ii) 0	(i) 36,331 (ii) 0	(i) 3,526,302 (ii) 0	(i) 0 (ii) 0
Theodore Schwartz MD, Prof of Neurological Surgery	(i) 229,947 (ii) 0	(i) 0 (ii) 0	(i) 3,163,167 (ii) 0	(i) 25,650 (ii) 0	(i) 34,781 (ii) 0	(i) 3,453,545 (ii) 0	(i) 0 (ii) 0
Pak H Chung MD, Prof Obs Gyn & Reproduct Med	(i) 103,521 (ii) 0	(i) 0 (ii) 0	(i) 3,253,868 (ii) 0	(i) 36,150 (ii) 0	(i) 32,616 (ii) 0	(i) 3,426,155 (ii) 0	(i) 0 (ii) 0
Anthony Gotto MD, Fmr Ofcr/Dean of WMC/Provost	(i) 769,154 (ii) 0	(i) 0 (ii) 0	(i) 4,944 (ii) 0	(i) 409,320 (ii) 0	(i) 182,227 (ii) 0	(i) 1,365,645 (ii) 0	(i) 0 (ii) 0
Rosemary J Avery, Fmr Trustee/Chair Dept Mgmt	(i) 229,754 (ii) 0	(i) 0 (ii) 0	(i) 77,722 (ii) 0	(i) 38,505 (ii) 0	(i) 12,893 (ii) 0	(i) 358,874 (ii) 0	(i) 0 (ii) 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
Nelson G Hairston, Fmr Trustee/Prof Envrnm Sci	(i) (ii)179,665 0	0 0	2,594 0	18,413 0	20,341 0	221,013 0	0 0
Beth McKinney, Fmr Trustee/Dir Wellness Prgm	(i) (ii)82,438 0	0 0	0 0	8,806 0	18,764 0	110,008 0	0 0

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Cornell University

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.  
▶ Attach to Form 990.  
▶ Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Employer identification number  
15-0532082

Part I

Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	TCIDA (SERIES 2008A 70 MILLION PAR)	16-1214039	890099EQ3	06-23-2010	75,307,598	REFUND 2008 BONDS (1/30/2008)		X		X		X
B	DASNY (SERIES 1990B - 5045 MILLION PAR)	14-6000293	649905ZH2	05-03-2010	55,997,134	REFUND 1990 BONDS (12/19/1990)		X		X		X
C	DASNY (SERIES 2004A&B - 921 MILLION PAR)	14-6000293	64983TRF2	05-27-2004	92,100,000	SEE SUPPLEMENTAL INFORMATION		X		X		X
D	DASNY (SERIES 2006A - 250 MILLION PAR)	14-6000293	64983QL98	05-11-2006	258,479,025	SEE SUPPLEMENTAL INFORMATION		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	7,430,000		13,200,000		20,600,000		92,205,000	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	75,307,598		55,997,134		92,663,883		261,813,216	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	520,432		0		842,345		1,698,799	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		0		50,376,296		79,584,039	
11	Other spent proceeds	74,787,166		55,997,134		41,445,242		180,530,378	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2010		2010		2006		2008	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?	X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 510 %		0 130 %		0 020 %		0 050 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 590 %		0 040 %		0 030 %		0 020 %	
6	Total of lines 4 and 5	1 100 %		0 170 %		0 050 %		0 070 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X	X			X		X
c	No rebate due?	X			X	X		X	
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X	X			X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SERIES 2008A	PART I REFUND 2008 TCIDA BONDS (ISSUED JANUARY 30,2008) PART IV, QUESTION 2 ARBITRAGE REBATE COMPUTATION COMPLETED 9/12/2012



Return Reference	Explanation
SERIES 1990B	PART IV, QUESTION 2 SERIES 1990B BONDS REFINANCE EXISTING DEBT WITH NO NEW MONEY COMPONENT EXEMPT FROM REBATE CALCULATION

Return Reference	Explanation
2004 BONDS	PART I REFUNDED 1998 TAX EXEMPT COMMERCIAL PAPER (\$40,805,000) AND FINANCED NEW PROJECTS PART IV, QUESTION 2 ARBITRAGE REBATE CALCULATION COMPLETED 7/24/2007

Return Reference	Explanation
SERIES 2006	PART I REFUNDED 1996 BONDS (63,717,543 DATE OF ISSUE 6/20/1996), REFUNDED TAXABLE CP (\$40,000,000), REFUNDED TAX EXEMPT CP (\$75,000,000), AND FINANCED CAPITAL CONSTRUCTION OF THE ITHACA AND WEILL MEDICAL COLLEGE CAMPUSES PART IV, QUESTION 2 ARBITRAGE REBATE CALCULATION COMPLETED 5/31/2008

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Cornell University

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.  
▶ Attach to Form 990.  
▶ Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public  
Inspection

Employer identification number  
15-0532082

Part I

Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DASNY (2009A - 305 MILLION PAR)	14-6000293	649905DC7	04-22-2009	312,100,133	SEE SUPPLEMENTAL INFORMATION		X		X		X
B	DASNY (SERIES 2010A - 285000000)	14-6000293	649905R99	06-09-2010	310,560,097	SEE SUPPLEMENTAL INFORMATION		X		X		X
C	DASNY CP PROGRAM (MAY 122011 TAX CERTIFICATE)	14-6000293	64983L000	05-12-2011	59,000,000	FINANCE CAPITAL PROJECTS		X		X		X
D	DASNY (SERIES 2008B&C - 127785 MILLION PAR)	14-6000293	649905A97	05-03-2010	139,688,300	REFUNDED 2008 DASNY BONDS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	23,540,000		0		6,110,000		12,670,000	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	312,520,924		301,900,097		59,000,038		139,688,300	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	16,444,611		29,036,727		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	2,307,605		2,113,328		0		770,300	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	141,571,634		218,375,984		17,845,038		0	
11	Other spent proceeds	152,197,074		52,374,058		41,155,000		138,918,000	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2011		2014		2013		2010	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?	X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 040 %		0 %		0 010 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 050 %		0 %		0 010 %		0 %	
6	Total of lines 4 and 5	0 090 %		0 %		0 020 %		0 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X	X	
c	No rebate due?	X		X		X			X
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X	X			X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SERIES 2009	PART I REFUND \$150 MILLION OF TAX-EXEMPT CP AND FINANCE CAPITAL PROJECTS ON ITHACA AND WEILL MEDICAL COLLEGE CAMPUSES PART IV, QUESTION 2 ARBITRAGE REBATE CALCULATION COMPLETED 4/30/2012

Return Reference	Explanation
SERIES 2010	PART I REFUNDED \$50 MILLION OF TAXABLE DEBT AND FINANCED CONSTRUCTION OF A MEDICAL BUILDING ON THE WEILL MEDICAL COLLEGE CAMPUS PART III REFUNDED \$50 MILLION OF COMMERCIAL PAPER AND FINANCED NEW BUILDING AT WEILL MEDICAL CAMPUS PART IV, QUESTION 2 ARBITRAGE REBATE CALCULATION COMPLETED 7/31/2012

Return Reference	Explanation
SERIES 1998 COMMERCIAL PAPER	PART I FINANCE CAPITAL CONSTRUCTION ON ITHACA AND WEILL MEDICAL COLLEGE CAMPUSES PART IV, QUESTION 2 ARBITRAGE REBATE CALCULATION COMPLETED 5/12/2013



Return Reference	Explanation
SERIES 2008 B&C	PART I REFUND 2008 DASNY BONDS (ISSUED APRIL 10, 2008) PART IV, QUESTION 2 SERIES 2008 REFUNDED EXISTING BONDS WITH NO NEW MONEY COMPONENT EXEMPT FROM ARBITRAGE REBATE CALCULATION

Schedule L  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Transactions with Interested Persons  
▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.  
▶ Attach to Form 990 or Form 990-EZ.  
▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047  
**2014**  
Open to Public Inspection

Name of the organization Cornell University	Employer identification number 15-0532082
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Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only) Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b				
1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?
				YesNo

2	Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 . . . . .	▶ \$	
3	Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . .	▶ \$	

Part II

Loans to and/or From Interested Persons.  
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total	▶ \$			
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Part III Grants or Assistance Benefiting Interested Persons. Complete if the organization answered "Yes" on Form 990, Part IV, line 27.				
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
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Additional Data

Software ID:  
Software Version:  
EIN: 15-0532082  
Name: Cornell University

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) Ennead Architects LLP	Trustee-Rodriguez	2,057,780	Purchase of services		No
(2) First Reserve Funds	Trustee-Macaulay	1,911,718	Distribution to Univ		No
(3) Kionix	Trustee-Galvin	698,157	Royalties Paid to Univ		No
(4) MC Partners	Trustee-Croll	518,182	Distribution to Univ		No
(5) P DeStefano	Officer-Spouse	86,245	Compensation		No
(6) R Davisson	Officer-Spouse	256,339	Compensation		No
(7) SB Ashley Management Co	Former Trustee - Ashley	339,024	RE MNGT fees paid by univ		No

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
▶ Attach to Form 990.  
▶Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization  
Cornell University

Employer identification number  
15-0532082

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .	X	32	700,910	Appraisal
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .	X		573,483	Appraisal
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .	X	1	5,000	Appraisal
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	1,450	52,451,950	Appraisal
10 Securities—Closely held stock . . . . .	X	7	995,690	Appraisal
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .	X	2	23	Appriasal
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .	X	1	75,000	Appraisal
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .	X	1	30,000	Appraisal
18 Collectibles . . . . .	X	2	50,000	Appraisal
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ (Computers )	X	3	68,000	Appraisal
26 Other ▶ (Musical Equipment )	X	1	32,000	Appraisal
27 Other ▶ (Non-Capital Equipmt )	X	51	5,116,208	Appraisal
28 Other ▶ (Horses )	X	22	85,020	Appraisal
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .		29		30
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .		30a		No
b If "Yes," describe the arrangement in Part II				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .		32a		No
b If "Yes," describe in Part II				
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part III

**Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
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**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

**Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.**

**▶ Attach to Form 990 or 990-EZ.**

**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No 1545-0047

**2014**

**Open to Public  
Inspection**

Name of the organization  
Cornell University

**Employer identification number**

15-0532082

Return Reference	Explanation
FORM 990, PART III, LINE 1	ORGANIZATION'S MISSION THE ORGANIZATION'S MISSION IS TO PROVIDE UNDERGRADUATE AND GRADUATE DEGREE PROGRAMS, DISCOVER, PRESERVE, AND DISSEMINATE KNOWLEDGE, PRODUCE CREATIVE WORK AND PROMOTE A CULTURE OF BROAD INQUIRY

Return Reference	Explanation
FORM 990, PART III, LINE 4D	DESCRIPTION OF OTHER PROGRAM SERVICES OTHER PROGRAM SERVICE ACCOMPLISHMENTS INCLUDE, BUT ARE NOT LIMITED TO, THE LAND-GRANT MISSION, ACADEMIC CONFERENCES, PUBLICATIONS AND ROOM AND BOARD FOR THE STUDENT BODY



Return Reference	Explanation
FORM 990, PART VI, LINE 4	SIGNIFICANT CHANGES TO GOVERNING DOCUMENTS THE ONLY CHANGES MADE TO THE UNIVERSITY BY-LAWS WERE APPROVED BY THE BOARD ON JANUARY 30, 2015 AMENDMENTS TO ARTICLE III, SECTION 1, ADDED LANGUAGE TO DELEGATE "OVERSIGHT" (BUT NOT FINAL GOVERNANCE AUTHORITY) TO A BOARD OF OVERSEERS FOR CORNELL NYC TECH AND THE DESIGNATION OF "STANDING COMMITTEES" TO COMMITTEES OF THE BOARD AMENDMENTS TO ARTICLE III, SECTION 12 ADDED LANGUAGE TO ESTABLISH A COMMITTEE OF THE BOARD - THE COMMITTEE ON COMPENSATION

Return Reference	Explanation
FORM 990, PART VI, LINE 7A	POWER TO ELECT OR APPOINT MEMBERS PURSUANT TO THE UNIVERSITY'S CHARTER, THE BOARD OF TRUSTEES CONSISTS OF 64 MEMBERS OF THIS NUMBER, THE GOVERNOR, THE TEMPORARY PRESIDENT OF THE SENATE, THE SPEAKER OF THE ASSEMBLY AND THE PRESIDENT OF THE UNIVERSITY ARE EX OFFICIO TRUSTEES DURING THEIR TERMS OF OFFICE. FURTHER, THE ELDEST LINEAL DESCENDANT OF EZRA CORNELL IS APPOINTED AS A TRUSTEE FOR HIS OR HER LIFE. THE GOVERNOR ALSO APPOINTS THREE TRUSTEES. ADDITIONALLY, ACADEMIC FACULTY APPOINT TWO MEMBERS, THE STUDENT BODY APPOINTS TWO MEMBERS, THE NON-ACADEMIC STAFF APPOINT ONE MEMBER AND THE ALUMNI ELECT EIGHT MEMBERS. ALL REMAINING TRUSTEES ARE ELECTED BY THE BOARD OF TRUSTEES.

Return Reference	Explanation
FORM 990, PART VI, LINE 11B	PROCESS USED TO REVIEW THE FORM 990 IN ADVANCE OF FILING, THE UNIVERSITY PRESENTS A DRAFT OF FORM 990 TO THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES AT THEIR SPRING MEETING WHICH GENERALLY OCCURS IN MARCH OF EACH YEAR. FURTHER, PRIOR TO THE SPRING AUDIT COMMITTEE MEETING, ALL VOTING MEMBERS OF THE BOARD OF TRUSTEES ARE NOTIFIED OF MATERIALS BEING REVIEWED BY THE COMMITTEE AND A DRAFT FORM 990 IS INCLUDED IN THIS NOTIFICATION. IF ANY CHANGES ARE MADE, PRIOR TO FILING, THE FINAL 990 IS POSTED TO THE BOARD OF TRUSTEES' PASSWORD-PROTECTED WEBSITE. AN EMAIL NOTIFICATION IS SENT TO ALL VOTING MEMBERS OF THE BOARD OF TRUSTEES INFORMING THEM THAT CHANGES HAVE BEEN MADE WITH A LINK TO THE SECURE WEBSITE.

Return Reference	Explanation
FORM 990, PART VI, LINE 12C	<p>MONITORING AND ENFORCEMENT OF COMPLIANCE WITH CONFLICT OF INTEREST POLICY INFORMATION ON MONITORING CONFLICT OF INTEREST UNDER THE UNIVERSITY'S CONFLICT OF INTEREST POLICY, OFFICERS, DIRECTORS, OTHER KEY EMPLOYEES, AND FACULTY MEMBERS MUST FILE AN ANNUAL CONFLICT OF INTEREST STATEMENT ANY CONFLICT IDENTIFIED IS REPORTED TO THE APPROPRIATE OFFICE RESPONSIBLE FOR MANAGING THE CONFLICT, SUCH AS THE REAL ESTATE OFFICE FOR REAL ESTATE MATTERS THIS PROCEDURE IS DESIGNED TO HELP ENSURE THAT SUCH TRANSACTIONS ARE SUBJECT TO ADDITIONAL REVIEW AND AUTHORIZATION ANY MEMBER OF THE BOARD OF TRUSTEES, BOARD OF OVERSEERS, AN OFFICER OF THE CORPORATION, OR OTHER SENIOR ADMINISTRATOR MUST REPORT ANNUALLY, IN WRITING, ANY EXTERNAL INTERESTS, OR THOSE OF A FAMILY MEMBER OR ASSOCIATE, TO THE UNIVERSITY COUNSEL AND SECRETARY OF THE CORPORATION THE UNIVERSITY COUNSEL AND SECRETARY OF THE CORPORATION WILL ADVISE THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES REGARDING SUCH MATTERS AS NECESSARY INCLUDING DETERMINING WHETHER A CONFLICT OF INTEREST EXISTS AND HOW CORNELL UNIVERSITY SHOULD BEST MANAGE A CONFLICT OF INTEREST AN INDIVIDUAL IS CONSIDERED TO HAVE A CONFLICT OF INTEREST WHEN HE OR SHE, A MEMBER OF HIS OR HER FAMILY, OR AN ASSOCIATE (TO HIS OR HER PRESENT KNOWLEDGE) EITHER (1) HAS AN EXISTING OR POTENTIAL SIGNIFICANT FINANCIAL INTEREST OR OTHER MATERIAL INTEREST OR RELATIONSHIP THAT IMPAIRS OR MIGHT APPEAR TO IMPAIR THE INDIVIDUAL'S INDEPENDENCE AND OBJECTIVITY IN THE DISCHARGE OF HIS OR HER RESPONSIBILITIES TO THE UNIVERSITY, OR (2) MAY RECEIVE A FINANCIAL OR OTHER MATERIAL BENEFIT FROM INFORMATION CONFIDENTIAL TO THE UNIVERSITY TYPICALLY, A CONFLICT OF INTEREST MAY ARISE WHEN AN INDIVIDUAL HAS THE OPPORTUNITY TO INFLUENCE THE UNIVERSITY'S BUSINESS, ADMINISTRATIVE, ACADEMIC, OR OTHER DECISIONS IN WAYS THAT COULD LEAD TO PERSONAL GAIN OR ADVANTAGE OF ANY KIND UPON FULL REPORTING, THE UNIVERSITY MAY APPROVE A TRANSACTION OR AFFILIATION, PROVIDED THAT THE CONFLICT CAN BE MANAGED IN MANY CASES, THE CONFLICT CAN BE MANAGED THROUGH DISCLOSURE IN SOME CASES, THE INDIVIDUAL MAY BE REQUIRED TO REPORT ON THE CONFLICT ANNUALLY THE UNIVERSITY WILL DISAPPROVE THE TRANSACTION OR AFFILIATION IF A CONFLICT OF INTEREST IS INVOLVED THAT CANNOT BE MANAGED FORM 990, PART VI, LINES 12-14 CORNELL UNIVERSITY HAS ADOPTED POLICIES COVERING CONFLICTS OF INTEREST, WHISTLEBLOWERS AND DOCUMENT RETENTION AND DESTRUCTION, AS WELL AS OTHER SIGNIFICANT POLICIES EACH OF WHICH IS POSTED ON ITS WEBSITE THE CORNELL UNIVERSITY POLICY PROMULGATION PROCESS WAS ESTABLISHED IN 1991 THE CURRENT POLICY PROMULGATION PROCESS DOES NOT REQUIRE THAT EVERY POLICY BE APPROVED BY THE BOARD OF TRUSTEES WHILE CORNELL DOES NOT HAVE DOCUMENTATION DEMONSTRATING THAT EACH SPECIFIC POLICY HAS BEEN APPROVED BY THE BOARD OF TRUSTEES OR THAT THE LITERAL AUTHORITY TO PROMULGATE POLICY WAS DELEGATED, CORNELL TAKES A SUBSTANTIATED POSITION THAT THE AUTHORITY TO PROMULGATE POLICIES HAS BEEN DELEGATED TO EACH EXECUTIVE BY THE AUDIT COMMITTEE IN 1991</p>

Return Reference	Explanation
FORM 990, PART VI, LINE 15	<p>PROCESS FOR DETERMINING COMPENSATION THE UNIVERSITY HAS FORMAL PROCEDURES TO AUTHORIZE AND MANAGE COMPENSATION ISSUES THE PROCEDURES IDENTIFIED BELOW APPLY TO ALL OFFICERS, ALL FORMER OFFICERS WHO CONTINUE TO PROVIDE SERVICES TO THE INSTITUTION AS A WHOLE, ALL EMPLOYEES WHO OCCUPY CERTAIN ROLES SUCH AS DEAN AND VICE PRESIDENT, AND ALL EMPLOYEES WHOSE COMPENSATION EXCEEDS A PRE-ESTABLISHED THRESHOLD THE VICE PRESIDENT AND CHIEF HUMAN RESOURCES OFFICER (VP) DEVELOPS THE LIST OF INDIVIDUALS SUBJECT TO REVIEW AND OBTAINS COMPARATIVE DATA FROM EXTERNAL, INDEPENDENT CONSULTING FIRMS THE VP AND HER INTERNAL STAFF DEVELOP FORMAL PRESENTATIONS FOR THE COMPENSATION COMMITTEE AND THE EXECUTIVE COMMITTEE OF THE BOARD THE COMPENSATION COMMITTEE REVIEWS THE INFORMATION, MODIFIES IT IF DEEMED APPROPRIATE, APPROVES CASES PER ITS DELEGATED AUTHORITY, AND INFORMS THE EXECUTIVE COMMITTEE OF THOSE APPROVALS THE COMPENSATION COMMITTEE RECOMMENDS COMPENSATION FOR CASES EXCEEDING ITS AUTHORITY FOR FINAL REVIEW AND APPROVAL TO THE EXECUTIVE COMMITTEE OF THE BOARD IN ADDITION, THE UNIVERSITY MAINTAINS CONTEMPORANEOUS WRITTEN RECORDS OF THE PROCEDURES THAT, TOGETHER WITH THE FOREGOING, GIVE RISE TO A REBUTTABLE PRESUMPTION OF REASONABLENESS NOTE COMPENSATION PROPOSALS WHICH OCCUR OUTSIDE THE SPRING TIMELINE AND REQUIRE COMPENSATION COMMITTEE AND/OR EXECUTIVE COMMITTEE APPROVAL ARE PRESENTED FOR REVIEW AND APPROVAL IN A PRIVATE SESSION OF THE APPROPRIATE COMMITTEE IF THERE IS A DISCRETIONARY COMPONENT OF COMPENSATION, IT IS LIMITED BY AMOUNT PERCENTAGE OR OTHER CLEARLY SPECIFIED CRITERIA</p>

Return Reference	Explanation
FORM 990, PART VI, LINE 16A	PROCEDURES FOR PARTICIPATION IN JOINT VENTURES THE UNIVERSITY HAS NUMEROUS CONTROLS IN PLACE TO ENSURE THAT ANY INVESTMENT IN, CONTRIBUTION OF ASSETS TO, OR PARTICIPATION IN A JOINT VENTURE OR SIMILAR ARRANGEMENT WITH A TAXABLE OR NON-TAXABLE ENTITY ARE IN FULL COMPLIANCE WITH UNIVERSITY POLICIES, INCLUDING, BUT NOT LIMITED TO, THE POLICY ON TRANSACTION AUTHORITY AND UNRELATED BUSINESS INCOME COMPLIANCE WITH THE UNIVERSITY'S POLICIES SERVES TO PROTECT THE ORGANIZATION'S EXEMPT STATUS

Return Reference	Explanation
FORM 990, PART VI, LINE 19	<p>PROCESS FOR MAKING DOCUMENTS AVAILABLE TO THE PUBLIC THE UNIVERSITY'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY , AND AUDITED FINANCIAL STATEMENTS ARE AVAILABLE TO THE PUBLIC VIA THE UNIVERSITY'S WEBSITE FORM 990, PART VIII, PART IX AND X CURRENT YEAR REVENUE AND EXPENSE PRESENTS DATA OF CORNELL UNIVERSITY DECONSOLIDATED ON EACH LINE FROM ITS RELATED ORGANIZATIONS THAT ARE INCLUDED IN CORNELL'S CONSOLIDATED FINANCIAL STATEMENTS PRIOR YEAR REVENUE AND EXPENSE WERE PRESENTED ON A CONSOLIDATED BASIS WITH THE DECONSOLIDATION PRESENTED IN THE RECONCILIATION BETWEEN THE FORM 990 AND THE CONSOLIDATED FINANCIAL STATEMENTS BOTH FORMS 990 DISCLOSE COMPLETE AND ACCURATE INFORMATION REGARDING CORNELL UNIVERSITY BOTH THE BEGINNING OF YEAR AND END OF YEAR BALANCE SHEETS FOR CORNELL UNIVERSITY HAVE BEEN PRESENTED DECONSOLIDATED FROM THE RELATED ORGANIZATIONS INCLUDED IN CORNELL'S CONSOLIDATED FINANCIAL STATEMENTS FORM 990, PART VIII, LINE 1H THE CORNELL UNIVERSITY FOUNDATION IS A DONOR ADVISED FUND PROVIDING A SERVICE FOR CORNELL ALUMNI AND FRIENDS WHO WISH TO MAKE CHARITABLE GIFTS THROUGH THE STRUCTURE OF A DONOR- ADVISED FUND AMOUNTS GRANTED FROM THIS FOUNDATION TO CORNELL UNIVERSITY HAVE BEEN INCLUDED IN THE CONTRIBUTION TOTAL FOR CORNELL UNIVERSITY BEGINNING WITH THE 2014 FORM 990</p>

Return Reference	Explanation
FORM 990, PART XI, LINE 9	OTHER CHANGES TO NET ASSETS FAS 158 (\$47,422,157) LOSS ON SWAP TERMINATION (\$16,419,094) CHANGES IN FMV OF SPLIT INTEREST (\$12,050,378) ----- TOTAL (\$75,891,600)



SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.  
▶ Attach to Form 990.  
▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization  
Cornell University

Employer identification number  
15-0532082

Part I Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CORNELL UNIVERSITY FOUNDATION UK LTD 19 NORCOTT ROAD LONDON N16 78J UK	UK DONATIONS	UK	60,212	29,332	CORNELL UNIV

Part II Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
See Additional Data Table							

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproporionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) ITHACA LP  35 THORNWOOD DR ITHACA, NY 14850	INVESTMENTS	JE	CORNELL UNIV	Related	-4,213,004	42,359,461		No			No	100 000 %

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
(1) TOWER INNOVATIVE LEARNING SOLUTIONS INC  950 DANBY ROAD ITHACA, NY 14850 16-1593492	DIST LEARNING	NY	CORNELL UNIV	C Corp	1	1	100 000 %	Yes	
(2) LENROC CORPORATION  15 THORNWOOD DR ITHACA, NY 14850 16-1450466	REAL ESTATE	NY	CORNELL UNIV	C Corp	1	1	100 000 %	Yes	
(3) UNIVERSITY VETERINARY SPECIALISTS INC  800 CANAL ST STAMFORD, CT 06902 27-2461725	VET SERVICES	CT	CORNELL UNIV	C Corp	770,202	8,701,190	100 000 %	Yes	
(4) CHARITABLE REMAINDER TRUSTS (43)	INVESTMENT	NY	CORNELL UNIV					Yes	
(5) CHARITABLE LEAD TRUSTS (6)	INVESTMENT	NY	CORNELL UNIV					Yes	

Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a Yes

1b No

1c Yes

1d No

1e No

1f Yes

1g No

1h No

1i No

1j No

1k No

1l Yes

1m No

1n No

1o No

1p Yes

1q Yes

1r No

1s Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Schedule R (Form 990) 2014

**Part VI** **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.  
Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII

Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
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Additional Data

Software ID:

Software Version:

EIN: 15-0532082

Name: Cornell University

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) CORNELL CENTER OF NEW YORK  6 EAST 44TH ST NEW YORK, NY 10017 16-1301416	SOCIAL CLUB	NY	501(c)(7)	9	CORNELL UNIV	Yes	
(1) MRSI MANAGEMENT INC  575 LEXINGTON AVE NEW YORK, NY 10022 13-3366821	MEDICAL SERV	NY	501(c)(3)	11 d TYPE III	CORNELL UNIV	Yes	
(2) CORNELL REAL PROPERTY SERVICES INC  15 THORNWOOD DR ITHACA, NY 14850 16-1450535	PROPERTY SERV	NY	501(c)(3)	11 d TYPE III	CORNELL UNIV	Yes	
(3) CORNELL RESEARCH FOUNDATION INC  CORNELL UNIV DAY HALL ITHACA, NY 14850 16-6050703	HOLDS IP PROP	NY	501(c)(2)	9	CORNELL UNIV	Yes	
(4) SAMUEL CURTIS JOHNSON FOUNDATION  130 SENECA PLACE ITHACA, NY 14850 22-2572815	SUPPORT ORG	NY	501(c)(3)	11 c TYPE III	CORNELL UNIV	Yes	
(5) CORNELL UNIVERSITY FOUNDATION  130 SENECA PLACE ITHACA, NY 14850 22-2848738	SUPPORT ORG	NY	501(c)(3)	11 a TYPE I	CORNELL UNIV	Yes	
(6) ROBERT J MIN MD PC  575 LEXINGTON AVE NEW YORK, NY 10022 13-3366820	MEDICAL SERV	NY	501(c)(3)	9	CORNELL UNIV	Yes	
(7) THE FRNDS OF THE CORNELL LAB OF ORN INC  1209 ORANGE ST WILMINGTON, DE 19801 46-1979945	SUPPORT ORG	DE	501(c)(3)	11 a TYPE I	NA		No
(8) NY WEILL CORNELL MEDICAL CTR FUND INC  575 LEXINGTON AVENUE SUITE 9TH FL NEW YORK, NY 10022 13-6094042	SUPPORT ORG	NY	501(C)(3)	11A TYPE I	CORNELL UNIV	Yes	
(9) CORNELL MEDICAL BENEFITS TRUST  10 S DEARBORN IL1-0117 CHICAGO, IL 60603 16-1457001	SUPPORT ORG	IL	501(C)(3)	11A TYPE I	CORNELL UNIV	Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
UNIVERSITY VETERINARY SPECIALISTS INC	a	415,193	FMV
UNIVERSITY VETERINARY SPECIALISTS INC	f	400,000	FMV
CORNELL UNIVERSITY FOUNDATION UK LTD	c	75,460	FMV
UNIVERSITY VETERINARY SPECIALISTS INC	s	158,212	FMV
SAMUEL CURTIS JOHNSON FOUNDATION	c	2,642,149	FMV
CORNELL REAL PROPERTY SERVICES INC	l	50,000	FMV
CORNELL UNIVERSITY FOUNDATION	c	13,693,987	FMV
MRSI MANAGEMENT INC	p	1,911,496	FMV
MRSI MANAGEMENT INC	q	168,239	FMV