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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 07-01-2014 , and ending 06-30-2015

Name of foundation The Carl Marks Foundation Inc		<b>A Employer identification number</b>  13-6169215	
% Foundation Source		<b>B Telephone number</b> (see instructions)  (800) 839-1754	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		<b>C</b> If exemption application is pending, check here ▶ <input type="checkbox"/>	
Room/suite			
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377			
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here ▶ <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 23,529,326		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .	1,751,767			
	2 Check ▶ <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments	828	828		
	4 Dividends and interest from securities. . . . .	131,354	131,354		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	443,294			
	b Gross sales price for all assets on line 6a 2,234,866				
	7 Capital gain net income (from Part IV, line 2) . . .		2,133,722		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	2,816			
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	2,330,059	2,265,904		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .	62,000			62,000
	15 Pension plans, employee benefits . . . . .	4,743			4,743
	16a Legal fees (attach schedule) . . . . .	11,167	0	0	11,167
	b Accounting fees (attach schedule) . . . . .				
	c Other professional fees (attach schedule) . . . . .	85,737			85,737
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	67,495	980		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	302			302
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	38,031	230		37,801
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	269,475	1,210	0	201,750
	25 Contributions, gifts, grants paid . . . . .	1,439,945			1,439,945
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,709,420	1,210	0	1,641,695
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	620,639			
	b <b>Net investment income</b> (if negative, enter -0-)		2,264,694		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	3,093,056	3,745,965	3,745,965
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	14,203,126	14,170,856	19,783,361
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,296,182	17,916,821	23,529,326	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	17,296,182	17,916,821	
	30	Total net assets or fund balances (see instructions) . . . . .	17,296,182	17,916,821	
31	Total liabilities and net assets/fund balances (see instructions) . . .	17,296,182	17,916,821		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 17,296,182
2	Enter amount from Part I, line 27a . . . . .	2 620,639
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3 . . . . .	4 17,916,821
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 17,916,821

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a Publicly-traded Securities				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,234,866		101,144	2,133,722
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0- ) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			2,133,722
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,133,722
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	2,000,594	24,104,460	0 082997
2012	3,041,277	23,215,890	0 131
2011	2,418,678	22,895,862	0 105638
2010	1,784,442	27,173,598	0 065668
2009	1,742,622	24,600,504	0 070837

2 Total of line 1, column (d). . . . .	2	0 45614
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0 091228
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .	4	24,072,774
5 Multiply line 4 by line 3. . . . .	5	2,196,111
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	22,647
7 Add lines 5 and 6. . . . .	7	2,218,758
8 Enter qualifying distributions from Part XII, line 4. . . . .	8	1,641,695

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	45,294
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	45,294
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	45,294
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014	6a	43,700	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	2,726	
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments. Add lines 6a through 6d. . . . .		7	46,426
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	1,132
11		Enter the amount of line 10 to be Credited to 2015 estimated tax  1,132 Refunded		11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ (2) On foundation managers  \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of Foundation Source Telephone no (800) 839-1754 Located at 501 Silverside Road Suite 123 Wilmington DE ZIP+4 198091377			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MS SUSAN SHANKMAN	PROGRAM ADMIN	62,000		
575 RIVERSIDE AVE STE 202A	40 0			
WESTPORT,CT 06880				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Nancy and Edwin Marks Foundation 900 third Avenue 33rd fl NEW YORK, NY 10022	Philanthropic	85,737
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3. . . . .	
---------------------------------------	--



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	20,296,700
b	Average of monthly cash balances. . . . .	1b	4,142,664
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	24,439,364
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	24,439,364
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	366,590
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	24,072,774
6	Minimum investment return. Enter 5% of line 5. . . . .	6	1,203,639

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	1,203,639
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	45,294
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	45,294
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	1,158,345
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	1,158,345
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	1,158,345

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	1,641,695
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,641,695
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	1,641,695
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1		Distributable amount for 2014 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2014			
a		Enter amount for 2013 only.			
b		Total for prior years 2012 , 2011 , 2010			
3		Excess distributions carryover, if any, to 2014			
a		From 2009.			
b		From 2010.			
c		From 2011. 1,089,026			
d		From 2012. 1,895,514			
e		From 2013. 832,586			
f		Total of lines 3a through e. 3,817,126			
4		Qualifying distributions for 2014 from Part XII, line 4 \$ 1,641,695			
a		Applied to 2013, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2014 distributable amount. 1,158,345			
e		Remaining amount distributed out of corpus 483,350			
5		Excess distributions carryover applied to 2014			
		(If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 4,300,476			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).			
8		Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a. 4,300,476			
10		Analysis of line 9			
a		Excess from 2010.			
b		Excess from 2011. 1,089,026			
c		Excess from 2012. 1,895,514			
d		Excess from 2013. 832,586			
e		Excess from 2014. 483,350			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	1,439,945
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )

Form **990-PF** (2014)

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No










b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2016-02-01	*****	May the IRS discuss this return with the preparer shown below (see instr )? <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P01345770
	Firm's name <input checked="" type="checkbox"/> Foundation Source			Firm's EIN <input checked="" type="checkbox"/>	
	Firm's address <input checked="" type="checkbox"/> One Hollow Ln Ste 212 Lake Success, NY 11042			Phone no (800) 839-1754	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation


(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Andrew M Boas 	Chairman / Co-Pres / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Carol A Boas 	Dir / Treas 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Carol L Boas 	Dir / Sec* / Assistant Treas 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Rick Boas 	VP / Dir / Vice Chair 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Marjorie Boas-Levins 	Chairman / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Mark Claster 	Co-Pres / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Susan B Claster 	VP / Dir / Vice Chair 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Jack Levins 	Asst Sec / Asst Treas 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Kate Liebman 	Sec 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**


Andrew M Boas
Mark Claster




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ACHIEVEMENT FIRST INC 335 ADAMS ST BROOKLYN,NY 11201	N/A	PC	Last In Scholarship Fund	10,000
ALL OUR KIN INC 414 A CHAPEL ST STE 100 NEW HAVEN,CT 06511	N/A	PC	General & Unrestricted	5,000
ALL STARS PROJECT INC 543 W 42ND ST NEW YORK,NY 10036	N/A	PC	Bridgeport Division	5,000
ALLIANCE OF COMMUNITY TRAINERS PO BOX 1286 AUSTIN,TX 78767	N/A	PC	General & Unrestricted	3,000
ALS ANGELS INC 342 GREENS FARMS RD WESTPORT,CT 06880	N/A	PC	General & Unrestricted	2,000
ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOC 225 N MICHIGAN AVE FL 17 CHICAGO,IL 60601	N/A	PC	General & Unrestricted	1,000
AMERICAN DIABETES ASSOCIATION INC 55 E MONROE ST 3420 CHICAGO,IL 60603	N/A	PC	General & Unrestricted	1,000
AMERICAN DIABETES ASSOCIATION INC 333 7TH AVE 17TH FL NEW YORK,NY 10001	N/A	PC	General & Unrestricted	100
AMERICAN FRIENDS OF SHALVA ISRAEL INC 315 5TH AVE 6TH FL NEW YORK,NY 10016	N/A	PC	General & Unrestricted	27,000
AM FRIENDS OF THE SOROKA MEDICAL CTR OF THE NEGEV PO BOX 184-8 SCARSDALE,NY 10583	N/A	PC	General & Unrestricted	1,000
AMERICARES FOUNDATION INC 88 HAMILTON AVE STAMFORD,CT 06902	N/A	PC	General & Unrestricted	20,000
ANN & ROBERT H LURIE CHILDRENS HOSPITAL OF CHICAGO 225 E CHICAGO AVE BOX 4 CHICAGO,IL 60611	N/A	PC	Strengthening Chicago's Youth Program and Patient Emergency Fund	45,000
ANTI-DEFAMATION LEAGUE OF BNAI BRITH 1952 WHITNEY AVE 3RD FL HAMDEN,CT 06517	N/A	PC	Charitable Event	5,000
APPALACHIAN MOUNTAIN CLUB 5 JOY ST BOSTON,MA 02108	N/A	PC	A Mountain Classroom Youth Opportunities Program Trail Maintenance	30,000
ARBOR BROTHERS INC 56 E 126TH ST 1 NEW YORK,NY 10035	N/A	PC	General & Unrestricted	12,000
<b>Total . . . . .</b>  <b>3a</b>				1,439,945


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
B'NAI B'RITH INTERNATIONAL 134 N LASALLE ST 1530 CHICAGO,IL 60602	N/A	PC	General & Unrestricted	500
BE THE MATCH FOUNDATION 3001 BROADWAY ST NE STE 601 MINNEAPOLIS,MN 55413	N/A	PC	General & Unrestricted	6,000
BERNARD M BARUCH COLLEGE ONE BERNARD BARUCH WAY BOX A-1603 NEWYORK,NY 10010	N/A	PC	The Zicklin School of Business, Graduate School	500
BIRTHRIGHT ISRAEL FOUNDATION 33 E 33RD ST 7TH FL NEWYORK,NY 10016	N/A	PC	General & Unrestricted	250
BIRTHRIGHT ISRAEL FOUNDATION 33 E 33RD ST 7TH FL NEWYORK,NY 10016	N/A	PC	Sponsor one participant in the Birthright Israel Program	3,000
BLUE ENGINE INC 75 BROAD ST RM 2900 NEWYORK,NY 10004	N/A	PC	General & Unrestricted	14,400
BOOMER ESIASON FOUNDATION 200 B ARMSTRONG RD GARDEN CITY PARK,NY 11040	N/A	PC	Charitable Event	1,750
BRIDGEPORT HOSPITAL FOUNDATION INC 267 GRANT ST BRIDGEPORT,CT 06610	N/A	PC	Fay Fund	15,000
BUILDERS BEYOND BORDERS 66 FORT POINT ST NORWALK,CT 06855	N/A	PC	General & Unrestricted	4,000
CHAI-LIFE LINE 151 W 30TH ST NEWYORK,NY 10001	N/A	PC	Midwest Lacey's Legacy Program	2,500
CHARTER OAK CHALLENGE FOUNDATION INC 575 RIVERSIDE AVE STE 202A WESTPORT,CT 06880	N/A	PC	General & Unrestricted	2,000
CHILD ABUSE PREVENTION SERVICES INC PO BOX 176 ROSLYN,NY 11576	N/A	PC	General & Unrestricted	2,800
CHILD ABUSE PREVENTION SERVICES INC PO BOX 176 ROSLYN,NY 11576	N/A	PC	Charitable Event	5,000
CITY OF HOPE 1500 E DUARTE RD DUARTE,CA 91010	N/A	PC	General & Unrestricted	1,000
CLASP HOMES INC 246 POST RD E WESTPORT,CT 06880	N/A	PC	General & Unrestricted	5,300
<b>Total . . . . .</b>  <b>3a</b>				1,439,945


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CNATURENET 808 14TH AVE SE MINNEAPOLIS,MN 55414	N/A	PC	General & Unrestricted	5,000
CONNECTICUT ASSOCIATION FOR THE GIFTED INC 7 REIMER RD WESTPORT,CT 06880	N/A	PC	General & Unrestricted	500
CONNECTICUT ASSOCIATION FOR THE GIFTED INC 7 REIMER RD WESTPORT,CT 06880	N/A	PC	Charitable Event	2,500
CONNECTICUT FOOD BANK INC PO BOX 8686 NEW HAVEN,CT 06512	N/A	PC	General & Unrestricted	5,000
CONNECTICUT HOSPICE INC 100 DOUBLE BEACH RD BRANFORD,CT 06405	N/A	PC	Fay Fund	5,000
CONNECTICUT VETERANS LEGAL CENTER 114 ORANGE AVE 2ND FL WEST HAVEN,CT 06516	N/A	PC	Charitable Event	1,000
CORNELL UNIVERSITY PO BOX 752 ITHACA,NY 14851	N/A	PC	Plantations Program	200
CORNELL UNIVERSITY- COLLEGE OF VETERINARY MEDICINE PO BOX 223623 PITTSBURGH,PA 15251	N/A	PC	Patient Assistance Program and Memorial Lecture Series	10,000
COUNCIL ON FOREIGN RELATIONS INC 58 E 68TH ST NEW YORK,NY 10065	N/A	PC	General & Unrestricted	2,000
CULTURE OF RESPECT INC 19 CRAWFORD RD WESTPORT,CT 06880	N/A	PC	General & Unrestricted	500
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION INC 625 JAMAICA AVE BROOKLYN,NY 11208	N/A	PC	College Persistence Program	50,000
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE 2ND FL NEW YORK,NY 10001	N/A	PC	General & Unrestricted	25,000
DOMUS KIDS INC 83 LOCKWOOD AVE STAMFORD,CT 06902	N/A	PC	General & Unrestricted	2,500
DON MONTI MEMORIAL RESEARCH FOUNDATION 1 Bungtown Rd COLD SPRING HARBOR,NY 11724	N/A	PC	General & Unrestricted	500
DONORSCHOOSE ORG 134 W 37TH ST 11TH FL NEW YORK,NY 10018	N/A	PC	General & Unrestricted	5,000
<b>Total . . . . .</b>  <b>3a</b>				1,439,945


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
DUKE UNIVERSITY PO BOX 90581 DURHAM,NC 27708	N/A	PC	Annual Fund and General & Unrestricted	5,000
ECHOING GREEN FOUNDATION 494 8TH AVE 2 NEW YORK,NY 10001	N/A	PC	General & Unrestricted	1,000
EDUCATION REFORM NOW INC 928 BROADWAY STE 505 NEW YORK,NY 10010	N/A	PC	General & Unrestricted	5,000
EMANUEL CONGREGATION 5959 N SHERIDAN RD CHICAGO,IL 60660	N/A	PC	General & Unrestricted	5,000
EXCEL BRIDGEPORT INC 1057 BROAD ST STE 302 BRIDGEPORT,CT 06604	N/A	PC	General & Unrestricted	12,500
FACING HISTORY AND OURSELVES NATIONAL FOUNDATION 14 E 4TH ST STE 3003 NEW YORK,NY 10012	N/A	PC	General & Unrestricted	2,500
FACULTY-STUDENT ASSOC OF STATE UNIVERSITY MARITIME 6 PENNYFIELD AVE BRONX,NY 10465	N/A	PC	To support the Humanities Department or Fort Schuyler Press	500
FAMILIES FOR EXCELLENT SCHOOLS INC 80 PINE ST 32 NEW YORK,NY 10005	N/A	PC	For Every Child Initiative	25,000
FED FOR JEWISH PHILANTHROPY OF UPPER FAIRFIELD CTY 431 POST RD E STE 17 WESTPORT,CT 06880	N/A	PC	General & Unrestricted	10,000
FIGURE SKATING IN HARLEM INC 361 W 125TH ST NEW YORK,NY 10027	N/A	PC	General & Unrestricted	40,000
FIVER CHILDRENS FOUNDATION INC 519 8TH AVE 24TH FL NEW YORK,NY 10018	N/A	PC	Step Up to High School and Beyond Program	50,000
FRIENDS OF BAXTER STATE PARK PO BOX 322 BELFAST,ME 04915	N/A	PC	General & Unrestricted	1,000
FRIENDS OF BURLEY 1630 W BARRY AVE CHICAGO,IL 60657	N/A	PC	General & Unrestricted	20,000
FRIENDS OF THE CHILDREN NEW YORK PO BOX 1649 NEW YORK,NY 10026	N/A	PC	Support a Friend Program	50,000
FRIENDS OF THE ISRAEL DEFENSE FORCES 1430 BROADWAY RM 1301 NEW YORK,NY 10018	N/A	PC	General & Unrestricted	5,000
<b>Total . . . . .</b>  <b>3a</b>				1,439,945


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
FRIENDS OF THE LEVITT PAVILION INC 260 S COMPO RD WESTPORT,CT 06880	N/A	PC	General & Unrestricted	1,000
FRIENDS OF THE NORWALK RIVER VALLEY TRAIL INC 115 NOD HILL RD RIDGEFIELD,CT 06877	N/A	PC	General & Unrestricted	250
FRIENDS OF YEMIN ORDE INC 4340 E-W HWY STE 202 BETHESDA,MD 20814	N/A	PC	Graduate Scholarship fund	1,000
FUND FOR THE AGED INC 120 W 106TH ST NEW YORK,NY 10025	N/A	PC	Jewish Home Lifecare Program	1,000
FUTURES WITHOUT VIOLENCE 100 MONTGOMERY ST SAN FRANCISCO,CA 94129	N/A	PC	General & Unrestricted	5,000
GLOBAL JUSTICE ECOLOGY PROJECT INC 230 N ST BUFFALO,NY 14201	N/A	PC	General & Unrestricted	10,000
GREAT NECK MANHASSET COMMUNITY 855 MIDDLE NECK RD GREAT NECK,NY 11024	N/A	PC	General & Unrestricted	1,000
G RICHARDS L POLATSCH & S WEINGARD MEMORIAL FD 4 EDGEWOOD DR RYE BROOK,NY 10573	N/A	PC	General & Unrestricted	1,000
HABITAT FOR HUMANITY INTERNATIONAL INC 51 AUSTIN ST DANBURY,CT 06810	N/A	PC	General & Unrestricted	5,000
HABITAT FOR HUMANITY INTERNATIONAL INC 322 W LAMAR ST ATLANTA,GA 31709	N/A	PC	General & Unrestricted	275
HABITAT FOR HUMANITY OF COASTAL FAIRFIELD COUNTY 1542 BARNUM AVE BRIDGEPORT,CT 06610	N/A	PC	General & Unrestricted	1,000
HADASSAH FOUNDATION INC 40 WALL ST NEW YORK,NY 10005	N/A	PC	Hadassah Medical Organization	500
HEBREW UNION COLLEGE-JEWISH INSTITUTE OF RELIGION 3101 CLIFTON AVE 3RD FL CINCINNATI,OH 45220	N/A	PC	General & Unrestricted	500
HENRY VISCARDI SCHOOL 201 I U WILLETS RD ALBERTSON,NY 11507	N/A	PC	General & Unrestricted	500
HILLEL FOUNDATION AT TUFTS UNIVERSITY GRANOFF FAMILY HILLEL CENTER MEDFORD,MA 02155	N/A	PC	General & Unrestricted	250
<b>Total . . . . .</b>  <b>3a</b>				1,439,945


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE CORNELL UNIVERSITY G34 ANABEL TAYL ITHACA, NY 14853	N/A	PC	General & Unrestricted	1,000
HOFSTRA UNIVERSITY - SCHOOL OF LAW 121 HOFSTRA UNIVERSITY RM 029L HEMPSTEAD, NY 11549	N/A	PC	Monroe H Freedman Institute for the Study of Legal Ethics Fund	2,500
HOLE IN THE WALL GANG FUND INC 555 LONG WHARF DR NEW HAVEN, CT 06511	N/A	PC	Charitable Event	3,000
HOMES WITH HOPE INC 49 RICHMONDVILLE AVE STE 112 WESTPORT, CT 06880	N/A	PC	General & Unrestricted	5,000
HUMAN RIGHTS WATCH INC 350 5TH AVE FL 34 NEW YORK, NY 10118	N/A	PC	General & Unrestricted	5,000
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PL BOX 1049 NEW YORK, NY 10029	N/A	PC	MSMC Glaucoma Research Fund	1,000
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PL BOX 1049 NEW YORK, NY 10029	N/A	PC	Steven M Podos, MD, Lectureship and Symposium in the Department of Ophthalmology	10,000
INDEGO AFRICA PROJECT 51 W 52ND ST STE 2300 NEW YORK, NY 10019	N/A	PC	General & Unrestricted	5,000
INSTITUTE OF NOETIC SCIENCES 101 SAN ANTONIO RD PETALUMA, CA 94952	N/A	PC	General & Unrestricted	1,000
INTERFAITH NUTRITION NETWORK 211 FULTON AVE HEMPSTEAD, NY 11550	N/A	PC	Charitable Event	3,500
INTERNATIONAL RESCUE COMMITTEE INC 122 E 42ND ST NEW YORK, NY 10168	N/A	PC	General & Unrestricted	15,000
ITHACA COLLEGE 953 DANBY RD ITHACA, NY 14850	N/A	PC	Annual Fund for Financial Aid	5,000
ITHACA COLLEGE 953 DANBY RD ITHACA, NY 14850	N/A	PC	Jewish Studies Program	5,000
ITNCOASTALCT INC 303 LINWOOD AVE FAIRFIELD, CT 06824	N/A	PC	General & Unrestricted	500
JB I INTERNATIONAL INC 110 E 30TH ST NEW YORK, NY 10016	N/A	PC	General & Unrestricted	100
<b>Total . . . . .</b>  <b>3a</b>				1,439,945

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
JEWISH CHILD CARE ASSOCIATION OF NEWYORK 858 E 29TH ST BROOKLYN,NY 11210	N/A	PC	General & Unrestricted	1,000
JEWISH FAMILY SERVICE OF STAMFORD INC 733 SUMMER ST 6TH FL STAMFORD,CT 06901	N/A	PC	General & Unrestricted	5,000
JEWISH FEDERATION OF PALM BEACH COUNTY INC 4601 COMMUNITY DR WEST PALM BEACH,FL 33417	N/A	PC	General & Unrestricted	10,000
JEWISH FEDERATION OF PALM BEACH COUNTY INC 4601 COMMUNITY DR WEST PALM BEACH,FL 33417	N/A	PC	Charitable Event	500
JEWISH HOME LIFECARE MANHATTAN 120 W106TH ST NEWYORK,NY 10025	N/A	PC	Geriatric Career Development Program	50,000
JUILLIARD SCHOOL 60 LINCOLN CTR PLZA 225 NEWYORK,NY 10023	N/A	PC	Chamber Music Program	1,000
LEARNING ALLY 20 ROSZEL RD PRINCETON,NJ 08540	N/A	PC	General & Unrestricted	3,000
LEAVE OUT VIOLENCE LOVE U S INC 32 W 28TH ST NEWYORK,NY 10001	N/A	PC	General & Unrestricted	1,500
LEUKEMIA & LYMPHOMA SOCIETY INC 1311 MAMARONECK AVE STE 310 WHITE PLAINS,NY 10605	N/A	PC	General & Unrestricted	1,100
LIBERATION PROGRAMS INC 129 GLOVER AVE STE 1 NORWALK,CT 06850	N/A	PC	General & Unrestricted	1,000
LIGHTHOUSE FOR THE BLIND OF PALM BEACHES INC 1715 E TIFFANY DR WEST PALM BCH,FL 33407	N/A	PC	General & Unrestricted	100
MIAMI CITY BALLET INC 2200 LIBERTY AVE MIAMI BEACH,FL 33139	N/A	PC	General & Unrestricted	250
MORRYS CAMP INC 350 EXECUTIVE BLVDSTE 125 ELMSFORD,NY 10523	N/A	PC	General & Unrestricted	50,000
NATL COMMITTEE FOR THE PREVENTION OF ELDER ABUSE 1730 RHODE ISLAND AVE NW WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	3,000
NATIONAL COUNCIL OF JEWISH WOMEN INCORPORATED 475 RIVERSIDE DR STE 1901 NEWYORK,NY 10115	N/A	PC	General & Unrestricted	100
<b>Total . . . . .</b>  <b>3a</b>				1,439,945

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
NATIONAL MULTIPLE SCLEROSIS SOCIETY 40 MARCUS DR STE 100 MELVILLE,NY 11747	N/A	PC	General & Unrestricted	5,000
NEIGHBORHOOD STUDIOS OF FAIRFIELD COUNTY INC 391 E WASHINGTON AVE BRIDGEPORT,CT 06608	N/A	PC	General & Unrestricted	2,500
NEW HEIGHTS YOUTH INC 2576 BROADWAY PMB 213 NEWYORK,NY 10025	N/A	PC	College Assistance Program	40,000
NEW YORK NEEDS YOU INC 589 8TH AVE 5TH FL NEWYORK,NY 10018	N/A	PC	General & Unrestricted	8,000
NEW YORK PRESBYTERIAN HOSPITAL 525 E 68TH ST BOX 123 NEWYORK,NY 10032	N/A	PC	General & Unrestricted	1,000
NEWYORKERS FOR CHILDREN INC 450 7TH AVE STE 403 NEWYORK,NY 10123	N/A	PC	General & Unrestricted	2,500
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDN 125 COMMUNITY DR GREAT NECK,NY 11021	N/A	PC	Adopt-A-Family Program	500
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDN 125 COMMUNITY DR GREAT NECK,NY 11021	N/A	PC	Advancing Women in Science and Medicine Program	2,500
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDN 125 COMMUNITY DR GREAT NECK,NY 11021	N/A	PC	The Feinstein Institute for Medical Research	25,000
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDN 125 COMMUNITY DR GREAT NECK,NY 11021	N/A	PC	the Maureen and Ralph Nappi Professorship at the Feinstein Institute for Medical REsearch	1,000
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDN 125 COMMUNITY DR GREAT NECK,NY 11021	N/A	PC	2015 New York Board of Rabbis Humanitarian Awards Dinner	5,000
NURSE FAMILY PARTNERSHIP 1900 GRANT ST NO 400 DENVER,CO 80203	N/A	PC	General & Unrestricted	7,500
NYU LANGONE MEDICAL CENTER 1 PARK AVE 17TH FL NEWYORK,NY 10016	N/A	PC	General & Unrestricted	2,500
OPERATION FUEL INC 75 CHARTER OAK AVE STE 2-240 HARTFORD,CT 06106	N/A	PC	General & Unrestricted	5,000
OPERATION HOPE OF FAIRFIELD INC 636 OLD POST RD FAIRFIELD,CT 06824	N/A	PC	General & Unrestricted	1,000
<b>Total . . . . .</b>  <b>3a</b>				1,439,945




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
OPERATION MILITARY EMBRACE INC 21307 CYPRESS ROSEHILL RD TOMBALL,TX 77377	N/A	PC	Walk Off The War Program	5,000
ORT AMERICA INC 75 MAIDEN LN RM 10 NEWYORK,NY 10038	N/A	PC	General & Unrestricted	150
OXALOSIS AND HYPEROXALURIA FOUNDATION 201 E 19TH ST NEWYORK,NY 10003	N/A	PC	General & Unrestricted	500
PALM BEACH COUNTRY CLUB FOUNDATION INC 760 N OCEAN BLVD PALM BEACH,FL 33480	N/A	PC	General & Unrestricted	1,000
PARTNERS IN HEALTH A NONPROFIT CORPORATION 888 COMMONWEALTH AVE 3RD FL BOSTON,MA 02215	N/A	PC	General & Unrestricted	7,500
POPULATION COUNCIL INC ONE DAG HAMMARSKJOLD PLZ NEWYORK,NY 10017	N/A	PC	Charitable Event	2,000
PROSPECTS OPPORTUNITY AND ENRICHMENT INC 25 PROSPECT ST RIDGEFIELD,CT 06877	N/A	PC	General & Unrestricted	1,000
PROTEUS FUND INC 15 RESEARCH DR AMHERST,MA 01002	N/A	PC	Solidaire Fund	10,000
RAISE YOUR HAND COALITION FOR ILLINOIS PUBLIC EDU 4039 N MAPLEWOOD AVE CHICAGO,IL 60618	N/A	PC	General & Unrestricted	2,500
REACH OUT AND READ OF GREATER NEWYORK INC 30 E 33RD ST NEWYORK,NY 10016	N/A	PC	General & Unrestricted	2,500
ROCKING THE BOAT INC 812 EDGEWATER RD BRONX,NY 10474	N/A	PC	College Access and Retention Program	50,000
ROW NEWYORK 10-27 46TH AVE STE 101 LONG ISLAND CITY,NY 11101	N/A	PC	To enhance the academic components of the middle and high school programs	40,000
SAVE THE CHILDREN FEDERATION INC 501 KINGS HWY E STE 400 FAIRFIELD,CT 06825	N/A	PC	Nepal Earthquake Children's Relief Fund	5,000
SEAMENS CHURCH INSTITUTE OF NEWYORK & NEW JERSEY 50 BROADWAY FL 26 NEWYORK,NY 10004	N/A	PC	General & Unrestricted	500
SEVA FOUNDATION 1786 5TH ST BERKELEY,CA 94710	N/A	PC	Nepal Disaster Relief Fund	5,000
<b>Total</b> . . . . .  <b>3a</b>				1,439,945


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEVA FOUNDATION 1786 5TH ST BERKELEY,CA 94710	N/A	PC	Bharatpur Eye Hospital	50,000
SEVA FOUNDATION 1786 5TH ST BERKELEY,CA 94710	N/A	PC	Mahendranagar Secondary Eye Hospital	25,000
SEVA FOUNDATION 1786 5TH ST BERKELEY,CA 94710	N/A	PC	Thori Secondary Eye Hospital	50,000
SOCIAL AND ENVIRONMENTAL ENTREPRENEURS 23532 CALABASAS RD STE A CALABASAS,CA 91302	N/A	PC	Outta Your Backpack Media Project	1,000
SOROPTIMIST INTERNATIONAL OF THE AMERICAS INC 40 FERGUSON DR FAIRFIELD,CT 06824	N/A	PC	Charitable Event	200
SOUTH EAST CONSORTIUM FOR SPECIAL SERVICES INC 740 W BOSTON POST RD MAMARONECK,NY 10543	N/A	PC	General & Unrestricted	20,000
STANFORD UNIVERSITY GRADUATE SCHOOL OF BUSINESS 518 MEMORIAL WAY RM S239 STANFORD,CA 94305	N/A	PC	Service Learning Program	5,000
STARLIGHT CHILDRENS FOUNDATION 1560 BROADWAY STE 600 NEWYORK,NY 10036	N/A	PC	General & Unrestricted	1,000
STREETSQUASH INC 40 W 116TH ST NEWYORK,NY 10026	N/A	PC	Alumni Program	50,000
TEMPLE BETH EL OF GREAT NECK 5 OLD MILL RD GREAT NECK,NY 11023	N/A	PC	General & Unrestricted	12,500
TEMPLE BETH EL OF GREAT NECK 5 OLD MILL RD GREAT NECK,NY 11023	N/A	PC	Robert Boas Music Fund	500
TEMPLE JUDEA OF MANHASSET 333 SEARINGTOWN RD MANHASSET,NY 11030	N/A	PC	Kol Nidre Fund	3,600
THE CONSERVATIVE SYNAGOGUE INC 30 HILLSPPOINT RD WESTPORT,CT 06880	N/A	PC	Share the Pie Project	440
THE CONSERVATIVE SYNAGOGUE INC 30 HILLSPPOINT RD WESTPORT,CT 06880	N/A	PC	Rabbi's and Cantor's discretionary Fund	1,000
THE CONSERVATIVE SYNAGOGUE INC 30 HILLSPPOINT RD WESTPORT,CT 06880	N/A	PC	Kol Nidre Appeal Fund	10,000
<b>Total . . . . .</b>  <b>3a</b>				1,439,945

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
THE FOUNDATION FOR AIDS RESEARCH 120 WALL ST 13TH FL NEWYORK,NY 10005	N/A	PC	General & Unrestricted	150
THE MULTIPLE MYELOMA RESEARCH FOUNDATION INC 383 MAIN AVE 5TH FL NORWALK,CT 06851	N/A	PC	General & Unrestricted	5,000
TOURETTE ASSOCIATION OF AMERICA INC 42-40 BELL BLVD BAYSIDE,NY 11361	N/A	PC	General & Unrestricted	1,000
TOWN OF PALM BEACH UNITED WAY INC 44 COCOANUT ROW STE 201 PALM BEACH,FL 33480	N/A	PC	General & Unrestricted	1,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NY 622 W 113TH ST MC4520 NEWYORK,NY 10025	N/A	PC	Columbia University Medical Center Lyme Evaluation Service	10,000
TRUSTEES OF TUFTS COLLEGE 62R TALBOT AVE MEDFORD,MA 02155	N/A	PC	Bridge to Liberal Arts at Tufts Program	34,000
UGANDA VILLAGE PROJECT 2632 42ND AVE W SEATTLE,WA 98199	N/A	PC	General & Unrestricted	100
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	General & Unrestricted	14,000
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	Charitable Event	750
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	Charitable Event	1,000
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	Charitable Event	1,980
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	North Shore Inaugural Campaign	3,250
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	Annual Fund, Lions of Judah Program and Israel Fund	52,000
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHROPIES 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	North Shore Inaugural	1,500
UNITED WAY OF COASTAL FAIRFIELD COUNTY INC 855 MAIN ST FL 10 BRIDGEPORT,CT 06604	N/A	PC	General & Unrestricted	20,000
<b>Total . . . . .</b>  <b>3a</b>				1,439,945

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
UNIVERSITY OF MICHIGAN OFC OF FINANCIAL AID 2500 STUDENT ACTIVITIES ANN ARBOR,MI 48109	N/A	PC	Scholarship Fund	500
UNIVERSITY OF PENNSYLVANIA SCHOOL OF NURSING 418 CURIE BLVD PHILADELPHIA,PA 19104	N/A	PC	Annual Fund	2,500
UNIVERSITY SETTLEMENT SOCIETY OF NEW YORK 184 ELDRIDGE ST 3RD FL NEW YORK,NY 10002	N/A	PC	General & Unrestricted	2,000
WALL STREET SYNAGOGUE INC 47 BEEKMAN ST NEW YORK,NY 10038	N/A	PC	Yahrzeit candle lighting Fund	100
WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1111 19TH ST NW STE 500 WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	2,500
WESTPORT ARTS CENTER 51 RIVERSIDE AVE WESTPORT,CT 06880	N/A	PC	Charitable Event	3,000
WESTPORT LIBRARY ASSOC 20 JESUP RD WESTPORT,CT 06880	N/A	PC	General & Unrestricted	10,000
WILDERNESS ON WHEELS FOUNDATION PO BOX 1007 WHEAT RIDGE,CO 80034	N/A	PC	General & Unrestricted	2,500
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX,NY 10460	N/A	PC	General & Unrestricted	1,000
WILTON LAND CONSERVATION TRUST PO BOX 77 WILTON,CT 06897	N/A	PC	General & Unrestricted	150
WILTON LIBRARY ASSOCIATION INCORPORATED 137 OLD RIDGEFIELD RD WILTON,CT 06897	N/A	PC	General & Unrestricted	1,000
WILTON VOLUNTEER AMBULANCE CORPS INC 234 DANBURY RD WILTON,CT 06897	N/A	PC	General & Unrestricted	250
WOUNDED WARRIOR PROJECT LONG TERM SUPPORT TR 4899 BELFORT RD STE 300 JACKSONVILLE,FL 32256	N/A	SO I	General & Unrestricted	100
<b>Total . . . . .</b>  <b>3a</b>				1,439,945

## TY 2014 Compensation Explanation

**Name:** The Carl Marks Foundation Inc

**EIN:** 13-6169215

Person Name	Explanation
Carol L Boas	Removed from position in 10/2014
Marjorie Boas-Levins	*Resigned from Foundation in 9/2014

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2014 Depreciation Schedule**

**Name:** The Carl Marks Foundation Inc

**EIN:** 13-6169215

**TY 2014 Investments Corporate  
Stock Schedule****Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
BARON FOCUSED GROWTH FD INSTI	668,915	1,351,379
BERKSHIRE HATHAWAY INC. CLASS	610,384	1,088,880
CRIMSON WINE GROUP, LTD	90,550	233,096
CUBAN ELECTRIC CO		
ENCANA CORP	268,204	183,681
FOREST CITY ENTERPRISES CL A	2,589,152	1,752,530
FRANCISCO IND INC	1,767	615
KANSAS CITY SOUTHERN	530,682	2,491,402
LEUCADIA NATL CORP	5,863,003	9,199,691
U S G CP	3,548,199	3,482,087

## TY 2014 Legal Fees Schedule

**Name:** The Carl Marks Foundation Inc

**EIN:** 13-6169215

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	11,167			11,167



**TY 2014 Other Expenses Schedule****Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	36,996			36,996
Bank Charges	230	230		
CREDIT CARD FEE	55			55
State or Local Filing Fees	750			750

TY 2014 Other Income Schedule

**Name:** The Carl Marks Foundation Inc

**EIN:** 13-6169215

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Federal Tax Refund	2,816		

## TY 2014 Other Professional Fees Schedule

**Name:** The Carl Marks Foundation Inc

**EIN:** 13-6169215

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PHILANTHROPIC SERVICES	85,737			85,737

## TY 2014 Substantial Contributors Schedule

**Name:** The Carl Marks Foundation Inc

**EIN:** 13-6169215

Name	Address
Marjorie B Levins 2013 Revocable T	269-17 Grand Central Pkwy 17 Floral Park, NY 11005

**TY 2014 Taxes Schedule****Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2015	43,700			
990-PF Extension for 2014	22,815			
Foreign Tax Paid	980	980		

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>.</div>	<div>OMB No 1545-0047</div> <div>2014</div>
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<div>Name of the organization</div> <div>The Carl Marks Foundation Inc</div>	<div>Employer identification number</div> <div>13-6169215</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)( ) (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
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Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Employer identification number**  
13-6169215

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	<div>Marjorie B Levins 2013 Revocable T</div> <div>269-17 Grand Central Pkwy 17</div> <div>Floral Park, NY 11005</div>	\$ 1,751,767	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input checked="" type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<div>Person <input type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contributions )</div>

**Employer identification number**  
13-6169215

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>  1  </u>	REX AMERICAN RESOURCES CORPORATION REX, 19716 sh	<u>\$ 1,751,767</u>	<u>2014-07-29</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>      </u>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<u>                    \$</u>	<u>                                </u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>      </u>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<u>                    \$</u>	<u>                                </u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>      </u>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<u>                    \$</u>	<u>                                </u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>      </u>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<u>                    \$</u>	<u>                                </u>



<b>Name of organization</b> The Carl Marks Foundation Inc	<b>Employer identification number</b> 13-6169215
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Part III

**Exclusively** religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	