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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

Department of the Treasury Internal Revenue Service

Open to Public Inspection ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

F		llendar year 2014 or tax year beginning		0/	701, 2014,	and endir			06/30, 2015			
60		of foundation	A	Employer identif								
5	THE DINAN FAMILY FOUNDATION Number and street (or P O box number if mail is not delivered to street address) Room/suite							13-397682	· ·			
~	Numb	per and street (or P O box number if mail is not delivered	d to stre	et address)		Room/suite	E		er (see instructions)			
>						(21	12) 300-1300					
₩_		FIFTH AVENUE, 17TH FLOOR										
7	City o	r town, state or province, country, and ZIP or foreign po	stal cod	е					_			
È							٥	If exemption application pending, check here	ation is			
۳_	NEW	YORK, NY 10153						. •				
3 0	Che	eck all that apply Initial return		Initial return o	of a former p	ublic chari	ty 📙) 1 Foreign organiza	tions, check here			
Ŧ		Final return	L	Amended ret	turn			2 Foreign organiza	ations meeting the			
Ī _		Address change		Name change				85% test, check here and attach computation				
jΗ	<u>C</u> he	eck type of organization X Section 501	c)(3)	exempt private f	oundation		۔ ا	,	n status was terminated			
٩	s	Section 4947(a)(1) nonexempt charitable trust		Other taxable pri	vate foundat	ion			o)(1)(A), check here			
١,	Fair	market value of all assets at JAcco	unting	method X Ca	ash Acc	rual		•	in a 60-month termination			
	end	of year (from Part II, col (c), line	ther (specify)			[`		o)(1)(B), check here			
	16)	▶\$ 66,260,804. (Part), (olumn	(d) must be on cas	:h basıs)							
	Part	Analysis of Revenue and Expenses (The	(a	Revenue and					(d) Disbursements			
		total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in	•	expenses per	(b) Net inve		(c)	Adjusted net income	for charitable purposes			
		may not necessarily equal the amounts in column (a) (see instructions))		books					(cash basis only)			
_	1	Contributions, gifts, grants etc., received (attach schedule)		600,000.								
	2	Check The foundation is not required to attach Sch B										
	3	Interest on savings and temporary cash investments.		45,014.	4	5,014.			ATCH 1			
	4	Dividends and interest from securities		717,262.	71	7,262.			ATCH 2			
	5a	Gross rents										
	Ь	Net rental income or (loss)										
9	6a	Net gain or (loss) from sale of assets not on line 10		1,540,803.								
Ž	ь	Gross sales price for all assets on line 6a 3, 615, 239.										
Revenue	7	Capital gain net income (from Part IV, line 2) .			1,76	7,489.						
2	8	Net short-term capital gain						•				
	9	Income modifications										
	10 a	Gross sales less returns and allowances										
	b	Less Cost of goods sold .										
	С	Gross profit or (loss) (attach schedule)		<u>.</u> .								
	11	Other income (attach schedule) ATCH, 3		222,262.		6,074.						
_	12	Total. Add lines 1 through 11		3,125,341.	2,66	5,839.						
	13	Compensation of officers, directors, trustees, etc		0								
oenses	14	Other employee salaries and wages										
Ü	15	Pension plans, employee benefits							ļ			
	3762	Legal free (attach schedule)										
Ш	ь	Accounting fees (attach schedule)										
Š	С	Other professional fees (attach scheduler										
ra)	17	Interest . ATGH ADENI IT		11,850.		1,850.						
<u> </u>	18	Interes: ATOGOEN UT Taxes (attach-schedule) (see Instructions) [5].		68,301.	4	6,299.						
Ė	19	Depreciation (attach schedule) and depletion.										
þ	20	Occupancy										
perating and Administrative Ex	21	Travel, conferences, and meetings							<u> </u>			
a	22	Printing and publications										
0	23	Other expenses (attach schedule) ${\tt ATCH}$.6 .		33,622.	3	1,978.						
afi	24	Total operating and administrative expenses.										
Je.		Add lines 13 through 23		113,773.	9	0,127.						
ō	25	Contributions, gifts, grants paid		3,756,975.					3,756,975			
_	26	Total expenses and disbursements Add lines 24 and 25		3,870,748.	9	0,127.			3,756,975			
	27	Subtract line 26 from line 12										
	а	Excess of revenue over expenses and disbursements $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$		-745,407.								
	b	Net investment income (if negative, enter -0-)			2,57	712.						
	l c	Adjusted net income (if negative, enter -0-)							1			

JSA For Paperwork Reduction Act Notice, see instructions. 42167H 702V

Form **990-PF** (2014)

		Attached schedules and amounts in the	Beginning of year	End of	year
نا	art II	Balance Sheets description column should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
		Savings and temporary cash investments $\dots \dots$		133,855.	133,855.
	3 .	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see instructions) L			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts ▶			
ţ		Inventories for sale or use			
ssets		Prepaid expenses and deferred charges			
ĕ		Investments - U.S. and state government obligations (attach schedule) [
	ь	Investments - corporate stock (attach schedule) ATCH 7 [24,976,876.	22,956,628.	28,321,313.
		Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation			
		(3.124. 34.134.13)			· · ·
		Investments - mortgage loans	29,160,372.	30,301,358.	37,805,636.
	14	Land, buildings, and equipment basis			
		(attach schedule)			·
		Other assets (describe)			
		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	54,137,248.	53,391,841.	66,260,804.
_			34,137,240.	33,391,041.	00,200,004.
		Accounts payable and accrued expenses			
		Grants payable			
ties	19	Deferred revenue			
Ē	20	Loans from officers, directors, trustees, and other disqualified persons			
Liabilities	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe			
		Total liabilities (add lines 17 through 22)	o		
_		Total liabilities (add lines 17 through 22)	<u> </u>		
Š		Foundations that follow SFAS 117, check here . ▶ and complete lines 24 through 26 and lines 30 and 31.			
alances	24	Unrestricted			
ala	25	Temporarily restricted			
Net Assets or Fund B	26	Permanently restricted · · · · · · · · · · · · · · · · · · ·			
ڌِ		Foundations that do not follow SFAS 117, \dots			•
ř		check here and complete lines 27 through 31.			
S	27	Capital stock, trust principal, or current funds			
set	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
As	29	Retained earnings, accumulated income, endowment, or other funds	54,137,248.	53,391,841.	
ē	30	Total net assets or fund balances (see instructions)	54,137,248.	53,391,841.	
_	31	Total liabilities and net assets/fund balances (see			
_		instructions)	54,137,248.	53,391,841.	
_		Analysis of Changes in Net Assets or Fund Bala			
1		I net assets or fund balances at beginning of year - Part I			
		of-year figure reported on prior year's return)		1	54,137,248.
2		r amount from Part I, line 27a			-745,407.
		er increases not included in line 2 (itemize) ▶		3	
4	Add	lines 1, 2, and 3		4	53,391,841.
5	Dec	reases not included in line 2 (itemize) ▶		5	
_6	Tota	I net assets or fund balances at end of year (line 4 minus	line 5) - Part II, column (b)), line 30 <u>6</u>	53,391,841.

		and Losses for Tax on Inve		(5)		r
	` '	d describe the kind(s) of property sold (errick warehouse, or common stock, 200 s	• •	(b) How acquired P - Purchase D - Donation		(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHE	DULE		D - portation		
<u>b</u>						
С						
d						
<u>e</u>						
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (lo (e) plus (f) mini	
<u>a</u>	-					
<u>b</u>						
<u>c</u>						
<u>d</u>					 -	
<u>e</u>	Complete only for assets	showing gain in column (h) and owner	d by the foundation on 12/31/69			
	Complete only for assets		(k) Excess of col (i)		Gains (Col (h) g (k), but not less t	
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	over col (j), if any		Losses (from co	
<u>a</u>	<u>.</u>					
<u>b</u>						
<u> </u>						
_ <u>d</u> e	····					
		(If	gain, also enter in Part I, line 7			
2	Capital gain net income		(loss), enter -0- in Part I, line 7	2	1,	767,489.
3	Net short-term capital ga	ain or (loss) as defined in sections				
		art I, line 8, column (c) (see inst				
	Part I, line 8		<u> </u>	3		0
Pa	art V Qualification	Under Section 4940(e) for Red	duced Tax on Net Investment li	ncome		
Wa		the section 4942 tax on the distrib not qualify under section 4940(e)	• •	ase perio	d?	Yes X No
<u> </u>		nount in each column for each yea		g any ent	tries	
÷	(a)	(b)	(c)	1	(d)	
Ca	Base period years atendar year (or tax year beginning in)	Adjusted qualifying distributions	Net value of nonchantable-use assets		Distribution n (col (b) divided by	
	2013	1,291,438.	51,016,191.			col(c))
	2012	952,900.	26,764,245.			0.025314
	2011	954,411.	19,928,777.			0.025314
	2010	949,395.				0.025314 0.035603 0.047891
_	2009		17,917,139.			0.025314 0.035603 0.047891 0.052988
		1,173,648.	17,917,139. 12,035,581.			0.025314 0.035603 0.047891
2	T-4-1 - 6 line - 6 line - /-	1,173,648.				0.025314 0.035603 0.047891 0.052988 0.097515
		d)	12,035,581.	2		0.025314 0.035603 0.047891 0.052988
3	Average distribution ratio	d)	12,035,581. e the total on line 2 by 5, or by the			0.025314 0.035603 0.047891 0.052988 0.097515 0.259311
3	Average distribution ratio	d)	12,035,581. e the total on line 2 by 5, or by the	2 3		0.025314 0.035603 0.047891 0.052988 0.097515
	Average distribution ration number of years the foundations and the second seco	d)	e the total on line 2 by 5, or by the s than 5 years	3	67	0.025314 0.035603 0.047891 0.052988 0.097515 0.259311 0.051862
3	Average distribution ration number of years the foundations and the second seco	d)	e the total on line 2 by 5, or by the s than 5 years		67,	0.025314 0.035603 0.047891 0.052988 0.097515 0.259311
4	Average distribution ration number of years the four Enter the net value of no	d)	e the total on line 2 by 5, or by the s than 5 years	3		0.025314 0.035603 0.047891 0.052988 0.097515 0.259311 0.051862 360,062.
	Average distribution ration number of years the four Enter the net value of no	d)	e the total on line 2 by 5, or by the s than 5 years	3		0.025314 0.035603 0.047891 0.052988 0.097515 0.259311 0.051862
4	Average distribution ration number of years the four Enter the net value of not Multiply line 4 by line 3	d)	e the total on line 2 by 5, or by the s than 5 years	3		0.025314 0.035603 0.047891 0.052988 0.097515 0.259311 0.051862 360,062.
4 5 6	Average distribution rationumber of years the four Enter the net value of not Multiply line 4 by line 3. Enter 1% of net investment	of for the 5-year base period - divided industrial has been in existence if less on charitable-use assets for 2014 from the component income (1% of Part I, line 27b)	e the total on line 2 by 5, or by the s than 5 years	3 4 5 6	3,	0.025314 0.035603 0.047891 0.052988 0.097515 0.259311 0.051862 360,062. 493,428. 25,757.
4	Average distribution rationumber of years the four Enter the net value of not Multiply line 4 by line 3. Enter 1% of net investment	d)	e the total on line 2 by 5, or by the s than 5 years	3 4 5	3,	0.025314 0.035603 0.047891 0.052988 0.097515 0.259311 0.051862 360,062. 493,428.

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Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instru	ıction	s)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1			
	Date of ruling or determination letter (attach copy of letter if necessary - see instructions)			
ь,	Domestic foundations that meet the section 4940(e) requirements in Part V, check		25,	757.
	here ► X and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of J			
	Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		05.5	
3	Add lines 1 and 2		25,	757.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		25 -	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0		25,	757.
6	Credits/Payments 2014 estimated tax payments and 2013 overpayment credited to 2014 6a 8,352.			
a	, ,			
b	The state of the s			
c d	, , , , , , , , , , , , , , , , , , , ,			
7	Backup withholding erroneously withheld		83.1	352.
8	Enter any penalty for underpayment of estimated tax. Check here			336.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		57.2	 259.
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ▶ 57,259. Refunded ▶ 11			
Par	t VII-A Statements Regarding Activities			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No
	participate or intervene in any political campaign?	1a		Х
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see			
	Instructions for the definition)?	1b		X
	If the answer is "Yes" to $1a$ or $1b$, attach a detailed description of the activities and copies of any materials			
	published or distributed by the foundation in connection with the activities			
C	Did the foundation file Form 1120-POL for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
	(1) On the foundation ▶ \$ (2) On foundation managers ▶ \$			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on			
	foundation managers \$	_	-	. ,,
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of			Х
	Incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	Х	
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a 4b	X	\vdash
5	If "Yes," has it filed a tax return on Form 990-T for this year?	5		X
3	If "Yes," attach the statement required by General Instruction T	 		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
•	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that			
	conflict with the state law remain in the governing instrument?	6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Х	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	NY,			1
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
	(or designate) of each state as required by General Instruction G ² If "No," attach explanation	8b	Х	<u> </u>
9	Is the foundation claiming status as a private operating foundation within the meaning of section $4942(j)(3)$ or			
	4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes,"			
	complete Part XIV	9		Х
40	Did only nowang become published contributes during the toy used if Was I attach a school to token their	1		

FOILL	550-FF (2014)		r	age 5
Pai	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	lacksquare	X
12`	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified			
	person had advisory privileges? If "Yes," attach statement (see instructions)			<u> </u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► HTTP://WWW.NEWYORKLAWJOURNAL.COM			
14	The books are in care of ► YORK CAPITAL MANAGEMENT Telephone no ► 212-300	-130	0	
	Located at ▶767 FIFTH AVENUE, 17TH FLOOR, NEW YORK ZIP+4 ▶ 10153			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		▶	Ш
	and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority		Yes	
	over a bank, securities, or other financial account in a foreign country?		<u> </u>	<u> </u>
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If			
Dai	"Yes," enter the name of the foreign country ► rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
ų ai	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1.	During the year did the foundation (either directly or indirectly)	_	163	
10	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			
	disqualified person? Yes X No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for			
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days) Yes X No			
t	olf any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2014?	1c		<u>X</u>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2014?			
	If "Yes," list the years			
	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	1	
	: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	20		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any time during the year? Yes X No			
Ŀ	of "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or			
•	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
	foundation had excess business holdings in 2014)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X
	r.	00	V-DE	

Total number of other employees paid over \$50,000.......

Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Emploand Contractors (continued)	yees,
3 ` Five h	ighest-paid independent contractors for professional services (see instructions). If none, enter "NON	E."
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE		
		
		
Total number	er of others receiving over \$50,000 for professional services	<u> </u>
Part IX-A	Summary of Direct Charitable Activities	
		Υ
	ndation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of s and other beneficianes served, conferences convened, research papers produced, etc.	Expenses
1 N/A		
2		
		,
3		
		
4		
5 (IV 5	O D.L. L.	
Part IX-B	Summary of Program-Related Investments (see instructions)	T
	e two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE		
2		
All other pro	gram-related investments. See instructions	
3 NONE		
Total, Add I	ines 1 through 3	
- Otali Add I		1

Par	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ign founda	tions,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		·
	purposes		
а	Average monthly fair market value of securities		67,487,306.
þ	Average of monthly cash balances	1b	898,544.
C		1c	
d		1d	68,385,850.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)	」	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see	3	68,385,850.
4			
	instructions)	4	1,025,788.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	67,360,062.
6	Minimum investment return. Enter 5% of line 5		3,368,003.
Par	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating four and certain foreign organizations check here ▶ and do not complete this part)	ndations	
1	Minimum investment return from Part X, line 6	1	3,368,003.
2a	Tax on investment income for 2014 from Part VI, line 5		
b			
c	Add lines 2a and 2b	2c	25 , 757.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	3,342,246.
4	Recoveries of amounts treated as qualifying distributions		
5	Add lines 3 and 4	5	3,342,246.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	3,342,246.
	Qualifying Distributions (see Instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		2 756 075
a		1a	3,756,975.
ь		1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
_	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a		3a	
b			
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,756,975.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income	_	0.5 5.5
	Enter 1% of Part I, line 27b (see instructions)	5	25,757.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,731,218.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when qualifies for the section 4940(e) reduction of tax in those years	calculating	whether the foundation

Page 9

Pa	rt XIII Undistributed Income (see instru	ictions)	_		
_		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI,	<u></u>			3,342,246.
	Undistributed income, if any, as of the end of 2014			*****	-,,
	Enter amount for 2013 only			680,879.	
	Total for pnor years 20 12 ,20 11 ,20 10	-			
	Excess distributions carryover, if any, to 2014				
	- aaa i				
	From 2009				
	From 2011				
ď	From 2012				
	From 2013				
	Total of lines 3a through e				
	Qualifying distributions for 2014 from Part XII,				
	line 4 ▶ \$3,756,975.				
а	Applied to 2013, but not more than line 2a			680,879.	
b	Applied to undistributed income of prior years				
	(Election required - see instructions)				
С	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2014 distributable amount				3,076,096.
e	Remaining amount distributed out of corpus				
5					
	(If an amount appears in column (d), the same amount must be shown in column (a))				
6	Enter the net total of each column as				
	indicated below:				
а	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract				
_	line 4b from line 2b				
C	Enter the amount of prior years' undistributed income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
	tax has been previously assessed	-			
d	Subtract line 6c from line 6b Taxable				
e	amount - see instructions				
·	4a from line 2a Taxable amount - see				
	instructions				
f	Undistributed income for 2014 Subtract lines		*		
	4d and 5 from line 1 This amount must be distributed in 2015				266,150.
7	Amounts treated as distributions out of corpus				
•	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (Election may be				
	required - see instructions)				
8	Excess distributions carryover from 2009 not				
	applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2015.	_]			
	Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9				
а	Excess from 2010				
b	Excess from 2011				
	Excess from 2012				
	Excess from 2013				
е	Excess from 2014		<u> </u>		

	If the foundation has	eceived a ruling or	determination letter th	at it is a private oner	ating	
	foundation, and the ruling	•		·		
						.)(2) ==
D	Check box to indicate v		n is a private operating		section 4942(j)(3) or 4942(j
2a	Enter the lesser of the ad-	Tax year		Prior 3 years	,	(e) Total
	justed net income from Part	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(.,
	I or the minimum investment					
	return from Part X for each year listed					
	• • • • • • • • •			 		
Ь	85% of line 2a		 		 	
C	Qualifying distributions from Part					
	XII, line 4 for each year listed .					
	Amounts included in line 2c not	I				
	used directly for active conduct	1				
	of exempt activities					
	directly for active conduct of					
	exempt activities. Subtract line	1				
_	2d from line 2c				ļ	-
	Complete 3a, b, or c for the alternative test relied upon	I				
	"Assets" alternative test - enter	I	1			
	(1) Value of all assets (2) Value of assets qualifying	 				1
	under section	İ				1
	4942(j)(3)(B)(i)		 		 	+
	"Endowment" alternative test- enter 2/3 of minimum invest-	ł	1			1
	ment return shown in Part X	I				
	line 6 for each year listed	L			ļ	
	"Support" alternative test - enter					1
	(1) Total support other than	İ				
	gross investment income		J			J
	(interest, dividends, rents, payments on securities	1				
	loans (section 512(a)(5)),	1				i
	or royalties)	<u> </u>			 	
	(2) Support from general	I				
	public and 5 or more exempt organizations as					
	provided in section 4942	ĺ	1			1
	(j)(3)(B)(iii)		 		 	
	(3) Largest amount of sup- port from an exempt	ĺ				
	organization	 			 	
	(4) Gross investment income		<u> </u>		<u></u>	<u> </u>
Par				rt only if the found	dation had \$5,000	or more in as
	at any time	during the year -	see instructions.)			
1	Information Regardin	Foundation Manag	jers:			
а	List any managers of	the foundation who	have contributed mo	re than 2% of the tot	al contributions rece	ived by the found:
	before the close of any	tax year (but only if	they have contributed	more than \$5,000) (S	See section 507(d)(2))
			-		. , , , ,	
		NAN & ELIZABET				
_	List any managers of					ly large portion of
		ship or other entity)	of which the foundation	n nas a 10% or greate	rinterest	
	ownership of a partner					
	ownership of a partner					
	ownership of a partner					
	NONE	1 Contribution Gra	nt. Gift. Loan. Scholars	ship, etc Programs		
2	NONE Information Regarding	-		-		
2	NONE Information Regarding Check here ► X if t	- he foundation only	makes contributions	to preselected char		
2	NONE Information Regarding Check here ► X if to the unsolicited requests for the second seco	he foundation only or funds. If the foun	makes contributions dation makes gifts, g	to preselected char		
2	NONE Information Regarding Check here ► X if to the unsolicited requests for other conditions, compared to the conditions.	he foundation only or funds If the foun plete items 2a, b, c, a	makes contributions idation makes gifts, g	to preselected char rants, etc (see instruc	ctions) to individuals	or organizations u
2	NONE Information Regarding Check here ► X if to the unsolicited requests for the second seco	he foundation only or funds If the foun plete items 2a, b, c, a	makes contributions idation makes gifts, g	to preselected char rants, etc (see instruc	ctions) to individuals	or organizations u
2	NONE Information Regarding Check here ► X if the unsolicited requests for the conditions, compared to the name, address, a	he foundation only or funds If the foun plete items 2a, b, c, a	makes contributions idation makes gifts, g	to preselected char rants, etc (see instruc	ctions) to individuals	or organizations u
2 a	NONE Information Regarding Check here ► X if it unsolicited requests foother conditions, comp The name, address, a ATCH 10	he foundation only or funds. If the foun plete items 2a, b, c, a nd telephone numbe	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
2 a	NONE Information Regarding Check here ► X if the unsolicited requests for the conditions, compared to the name, address, a	he foundation only or funds. If the foun plete items 2a, b, c, a nd telephone numbe	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
2 a	NONE Information Regarding Check here ► X if the unsolicited requests for other conditions, compute name, address, a ATCH 10 The form in which app	he foundation only or funds. If the foun plete items 2a, b, c, a nd telephone numbe	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
a b	NONE Information Regarding Check here ► X if it unsolicited requests foother conditions, comp The name, address, a ATCH 10 The form in which app	he foundation only or funds. If the foun plete items 2a, b, c, a and telephone numbe lications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
a b	NONE Information Regarding Check here ► X if the unsolicited requests for other conditions, compute name, address, a ATCH 10 The form in which app	he foundation only or funds. If the foun plete items 2a, b, c, a and telephone numbe lications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
a b	NONE Information Regarding Check here ► X if it unsolicited requests foother conditions, comp The name, address, a ATCH 10 The form in which app	he foundation only or funds. If the foun plete items 2a, b, c, a and telephone numbe lications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
a b	NONE Information Regarding Check here ► X if it unsolicited requests foother conditions, comp The name, address, a ATCH 10 The form in which app	he foundation only or funds. If the foun plete items 2a, b, c, a and telephone numbe lications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of	to preselected char rants, etc (see instruc- the person to whom ap	ctions) to individuals	or organizations u
a b	NONE Information Regarding Check here ► X if the unsolicited requests for other conditions, compared to the name, address, a ATCH 10 The form in which app N/A Any submission deadling N/A	he foundation only or funds. If the found blete items 2a, b, c, a and telephone numbe dications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of ubmitted and informat	to preselected char rants, etc (see instruc- the person to whom ap ion and materials they	pplications should be ac	or organizations u
a b	NONE Information Regarding Check here ► X if the unsolicited requests for other conditions, compound the name, address, a ATCH 10 The form in which app N/A Any submission deadle	he foundation only or funds. If the found blete items 2a, b, c, a and telephone numbe dications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of ubmitted and informat	to preselected char rants, etc (see instruc- the person to whom ap ion and materials they	pplications should be ac	or organizations u
a b	NONE Information Regarding Check here ► X if it unsolicited requests for other conditions, compared to the name, address, a ATCH 10 The form in which app N/A Any submission deadling N/A Any restrictions or lift factors	he foundation only or funds. If the found blete items 2a, b, c, a and telephone numbe dications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of ubmitted and informat	to preselected char rants, etc (see instruc- the person to whom ap ion and materials they	pplications should be ac	or organizations u
a b	NONE Information Regarding Check here ► X if it unsolicited requests for other conditions, compared to the name, address, a ATCH 10 The form in which app N/A Any submission deadling N/A Any restrictions or lifted factors N/A	he foundation only or funds. If the found blete items 2a, b, c, a and telephone numbe dications should be s	makes contributions idation makes gifts, g and d ir or e-mail address of ubmitted and informat	to preselected char rants, etc (see instruc- the person to whom ap ion and materials they	pplications should be ac	or organizations u

Part XV Supplementary Information (continued)			
3 Grants and Contributions Paid During Recipient Name and address (home or business)	ng the Year or Appr	oved for F	uture Payment	
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	contribution	
a Paid during the year				
ATCH 11				
		•		
				956 975
Total	<u> </u>		▶ 3a	3,756,975.
b Approved for future payment				
			•	
Total	•	·	▶ 3h	

Enter gross amounts unless otherwise indicated	Unrela	ated business income	Excluded by	section 512, 513, or 514	(e)
4. Program concess revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue	<u> </u>	<u></u>			(See instructions)
a b					· · · · · · · · · · · · · · · · · · ·
			 		
			-		
e					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	45,014.	·····
4 Dividends and interest from securities			14	717,262.	
5 Net rental income or (loss) from real estate					
a Debt-financed property			,		
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	523000	86,188.	18	136,074.	
8 Gain or (loss) from sales of assets other than inventory	50000		18	1,574,875.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a SECTION 1256			18	-37,970.	
b					
с	-				
d					
e					
12 Subtotal Add columns (b), (d), and (e)		90,086.		2,435,255.	
13 Total. Add line 12, columns (b), (d), and (e)				13	2,525,341.
Explain below how each activit accomplishment of the foundation					
					
					
					
					
					-
					
		· · · · · · · · · · · · · · · · · · ·			
					
				·····	
	·	· · · · · · · · · · · · · · · · · · ·			<u> </u>
		 			
AZL					Form 990-PF (2014)

JSA 4E1492 1 000

Part Avii	Exempt Organ		isicis io dilu Italis	actions at	nd Kelationships With Noi	iciiaiii	abic	,
1 Did the			ngage in any of the follo	wing with a	any other organization described		Yes	No
	_				section 527, relating to political			
organiz	zations?						1	
a Transfe	ers from the reportin	g foundation to a	noncharitable exempt o	rganization o	of			
(1) Cas	sh					. 1a(1)		<u> </u>
(2) Oth	ner assets					. 1a(2)		<u> </u>
b Other t	transactions							
								<u>X</u>
						1 1		X
								<u> </u>
								X
								X
								$\frac{\lambda}{X}$
							l	
					Column (b) should always sho If the foundation received les			
	_			-	e of the goods, other assets, or			
(a) Line no	(b) Amount involved	· · · · · · · · · · · · · · · · · · ·	ncharitable exempt organization		escription of transfers, transactions, and sh			
(a) Line no	N/A	(c) Hame of the	nonanable exempt organization	N/A			.900	
-						-		
								
	·				···			
	•	-			ore tax-exempt organizations	<u> </u>	<u></u>	٦
	• •	•	er than section 501(c)(3)) or in section	on 527?	Ye	s LX	No
b If "Yes	" complete the follo		(L) T		(a) December of relation			
	(a) Name of organizatio	n	(b) Type of organizat	ion	(c) Description of relation	nsnip	_	
			<u> </u>					
		- ,	·				-	—
Under	r penalties of pepiery, Legicia	that I have examine	d this return, including accompan	ying schedules ar	nd statements, and to the best of my knowle	dge and be	elsef, it	is true,
correc	ct, and complete Declaration	preparer (other than tax	payer) is based on all information of	which preparer ha	s any knowledge			
Sign			5/6/2016	P		RS discuss		return
Here Sign	nature of officer or trustee	/	Date	Trtle	with the	preparer s ons)? X	Yes	below No
					(**************************************			تت
_//	Print/Type preparer's na	me	Preparer's signature	<u></u>	Date Check if	PTIN		
Paid		MELTZ	Inother	v Charle	5 5 10 self-employed	P0018	3278	6
Preparer		OO USA, LLP	· /			538159		
Use Only		O PARK AVEN	UE	-				
		W YORK, NY		10	0017-5001 Phone no 212-	885-80	00	
						om 990	-PF	(2014)

Schedule B

(Form 990, 990-EZ, or 990-PF)

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Department of the Treasury
Internal Revenue Service

Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Employer identification number

Name of the organization			Employer identification number					
THE DINAN FAMILY FO	UNDATION							
			13-3976827					
Organization type (check on	=)							
Filers of:	Section:							
Form 990 or 990-EZ	501(c)() (enter number) organization							
	4947(a)(1) nonexempt charitable trust not	treated as a private fou	ndation					
	527 political organization							
Form 990-PF	X 501(c)(3) exempt private foundation							
	4947(a)(1) nonexempt charitable trust treated as a private foundation							
	501(c)(3) taxable private foundation							
Note. Only a section 501(c)(instructions General Rule	7), (8), or (10) organization can check boxes for both	the General Rule and a S	Special Rule See					
_	n filing Form 990, 990-EZ, or 990-PF that received, or property) from any one contributor. Complete Parcontributions		<u>•</u>					
Special Rules								
regulations under 13, 16a, or 16b, a	n described in section 501(c)(3) filing Form 990 or 99 sections 509(a)(1) and 170(b)(1)(A)(vi), that checked at that received from any one contributor, during the of the amount on (i) Form 990, Part VIII, line 1h, or (ii)	Schedule A (Form 990 year, total contributions	or 990-EZ), Part II, line of the greater of (1)					
contributor, during	n described in section 501(c)(7), (8), or (10) filing Foi the year, total contributions of more than \$1,000 exc onal purposes, or the prevention of cruelty to children	clusively for religious, ch	aritable, scientific,					
contributor, during contributions totale during the year for General Rule appl	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year.							
990-EZ, or 990-PF), but it mi	t is not covered by the General Rule and/or the Speciest answer "No" on Part IV, line 2, of its Form 990, or o certify that it does not meet the filing requirements	r check the box on line h	of its Form 990-EZ or on its					

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization THE DINAN FAMILY FOUNDATION

Employer identification number 13-3976827

(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
1_	JAMES G. DINAN & ELIZABETH R. MILLER 950 FIFTH AVENUE, APT 10/11 NEW YORK, NY 10021	\$600,000.	Person X Payroll Noncash (Complete Part II for noncash contributions)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a)	(b)	(c) Total contributions	(d)
No.	Name, address, and ZIP + 4		Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a)	(b)	(c) Total contributions	(d)
No.	Name, address, and ZIP + 4		Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Employer identification number

13-3976827

Part II	Noncash Property (see instructions) Use duplicate copies of Pa	nt II if additional space is nee	ded.
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		l	<u> </u>

Name of organization THE DINAN FAMILY FOUNDATION

13-3976827

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the

	following line entry. For organizations contributions of \$1,000 or less for the Use duplicate copies of Part III if additional additional copies.	e year. (Enter this in	formation once. So	exclusively religious, charitable, etc., ee instructions.) ► \$		
(a) No. from Part I	(b) Purpose of gift	(c) Use		(d) Description of how gift is held		
		(e) Transf	er of gift			
	Transferee's name, address, ar	nd ZIP + 4	Relatio	nship of transferor to transferee		
(a) No.		<u> </u>				
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held		
-						
		(e) Transi	er or gnt			
	Transferee's name, address, a	nd ZIP + 4	Relatio	nship of transferor to transferee		
(a) No.		T				
from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held		
		(2) 7		<u> </u>		
		(e) Trans	er or gift			
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee			
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held		
ı giri						
		(e) Trans	fer of gift			
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee			

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

, Kind of F	Property		Desc	ρη	Date acquired	Date sold		
Gross sale price less	Depreciation allowed/	Cost or other	FMV as of	Adj basis as of	Excess of FMV over		Gain or	
enses of sale	allowable	CRESCENDO P	ARTNERS LLL	12/31/69 LP (ST)	adı basıs	\parallel	(loss) VAR	V.
		16,218. CRESCENDO P	ADTNEDS TIT	ID /IT)			-16,218.	v
12,076.		CRESCENDO	AKINDKO III	ם (ם)			12,076.	·
145,887.		YORK SELECT	LP (ST)				VAR 145,887.	V
111,075.		YORK SELECT	LP (LT)				VAR 111,075.	V
839.		YSOF LP (LT	')				VAR 839.	7
214,767.		YSOF AIV II	LP (LT)				VAR 214,767.	V
7,766.		YSOF AIV VI	LP (LT)				VAR 7,766.	,
494.		YSOF AIV VI	II LP (ST)				VAR 494.	7
5,917.		YSOF AIV VI	II LP (LT)				VAR 5,917.	7
7,049.		YSOF AIV XI	LP (ST)				VAR 7,049.	1
2,492.		YSOF AIV XI	LP (LT)				VAR 2,492.	,
,		YORK EUROPE	AN DISTRESS	ED CREDIT F	UND LP		VAR 6,457.	,
6,457.							6,457.	
000								

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

. Kind of I	Property		Descri	ption		Date acquired	Date sold	
Gross sale price less expenses of sale	Depreciation allowed/	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adi basis	Gain or (loss)		
1,009,382.	allowable	DIRECTV GRO		12/31/69	aul basis	12/30/2008 836,070.	07/01/201	
1,107,683.		PEPSICO INC 815,375.				12/30/2010 292,308.	07/01/201	
983,355.		PROCTER & G 804,875.	AMBLE			12/30/2010 178,480.	07/01/201	
		SECTION 125 15,188.	6 GAIN/(LOSS)		VAR -15,188.	VF	
		SECTION 125 22,782.	6 GAIN/(LOSS)		VAR -22,782.	V.F	
'OTAL GAIN(L	oss)					1,767,489.		

NET	INVESTMENT	INCOME	27.	15,385.	· თ	8,913.	15.	2.	H	2,513.	18,149.	45,014.
AND	EXPENSES	rol	27.	15,385.	• 0	8,913.	15.	2.	H	2,513.	18,149.	45,014.
		DESCRIPTION	JP MORGAN	BANK OF AMERICA	CRESCENDO PARTNERS III LP	YORK SELECT, LP	YSOF AIV I LP	YSOF AIV II, LP	YSOF AIV IV, LP	YSOF AIV VII, LP	YORK EUROPEAN DISTRESSED CREDIT FUND DE	TOTAL

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

NET INVESTMENT INCOME	644,843. 4,751. 48,850. 18,818.	717,262.
REVENUE AND EXPENSES PER BOOKS	644,843. 4,751. 48,850. 18,818.	717,262.
		TOTAL
DESCRIPTION	JP MORGAN CRESCENDO PARTNERS III, LP YEDCF LP YORK SELECT LP	

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	CRESCENDO PARTNERS III	CT, LP	I, LP	II, LP	IV, LP	VII, LP	VIII, LP	XI LP	LP	
	CRESCENDO	YORK SELECT,	YSOF AIV	YSOF AIV	YSOF AIV	YSOF AIV	YSOF AIV	YSOF AIV	YEDCF DE	JP MORGAN
	FROM	FROM	FROM	FROM	FROM	FROM	FROM	FROM	FROM	FROM
TPTION	INCOME	INCOME	INCOME	INCOME	INCOME	INCOME	INCOME	INCOME	INCOME	INCOME
DESCRIPTION	OTHER	OTHER	OTHER	OTHER	OTHER	OTHER	OTHER	OTHER	OTHER	OTHER

TOTALS

NET INVESTMENT	1NCOME 4,356	26,513	m	170	104,467	565	136,074
> 4. FI	1 -	22,860. -11,919.	109, 603. 6, 386.	2,021.	-13,769. 104,467.	565.	222,262.

FORM 990PF, PART I - INTEREST EXPENSE

	NET	INVESTMENT	INCOME	4.457.	4,630.	131.	2,632.	11,850.
REVENUE	AND	EXPENSES	PER BOOKS	4.457.	4,630.	131.	2,632.	11,850.
			DESCRIPTION	CRESCENDO PARTNERS III. I.P	YORK SELECT, LP	YORK SPECIAL OPP FUND, LP	YEDCF LP	TOTALS

NET	INVESTMENT	INCOME	46,299.			46,299.
REVENUE AND	EXPENSES	PER BOOKS	46,299.	1,500.	20,502.	68,301.
						TOTALS
		DESCRIPTION	FOREIGN TAX	NEW YORK FILING FEE	FEDERAL TAX EXPENSE	

FORM 990PF, PART I - OTHER EXPENSES

NET INVESTMENT INCOME	12,487.	7,387.	82. 61.	36.			11.				٦		9,223.	
REVENUE AND EXPENSES INVES PER BOOKS	12,487.	7,387.	82. 61.	36.	13.	224.	11.	51.	197.	214.	1,396.	58.	9,223.	1,644.
DESCRIPTION	CRESCENDO PARTNERS III, LP	YORK SPECIAL OPP FUND, LP	YSOF AIV I, LP YSOF AIV II, LP	YSOF AIV IV, LP	YSOF AIV VI, LP	YSOF AIV VII, LP	YSOF AIV VIII, LP	YSOF AIV IX, LP	YSOF AIV X, LP	YSOF AIV XI, LP	JP MORGAN	PT INVESTOR LP	YEDCF LP	OTHER EXPENSES

31,978.
33,622.
TOTALS

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ENDING <u>FMV</u>	1,229,250. 1,229,250. 1,671,300. 588,450. 406,650. 1,461,900. 1,335,180. 1,232,545. 902,841. 2,436,500. 1,335,180. 1,229,250. 2,736,600. 1,335,180. 1,335,180. 1,335,180. 1,335,180. 1,335,180. 1,18,726.
ENDING BOOK VALUE	231,200. 138,370. 737,058. 737,058. 946,048. 563,400. 489,750. 1,065,450. 520,725. 980,049. 899,226. 2,305,317. 1,025,614. 1,025,614. 1,148,964. 991,799. 1,389,838. 2,374,676. 1,031,482. 955,311. 1,010,594.
DESCRIPTION	COMCAST DIRECTV NOVARTIS AG PEPSICO INC PROCTER & GAMBLE NESTLE SA BROWN-FORMAN COCA-COLA COMPANY INTERNATIONAL BUSINESS MACHINE JOHNSON & JOHNSON PROCTER & GAMBLE UNION PACIFIC CORP BROWN-FORMAN BROWN-FORMAN BROWN-FORMAN BROWN-FORMAN BROWN-FORMAN BROWN-FORMAN CL B NOVARTIS AG BASEL NESTLE SA JOHNSON & JOHNSON PEPSICO INC PROCTER & GAMBLE UNION PACIFIC CORP NOVARTIS AG AMERICA ZOETIS INC CL A AIR PRODUCTS & CHEMICALS BROWN FORMAN CL A AIR PRODUCTS & CHEMICALS BROWN FORMAN CL A DIAGEO PLC-ORD 28 101/108P HEINEKEN NV

PART II - CORPORATE STOCK

FORM 990PF,

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>EMV</u>
CRESCENDO PARTNERS III, LP YORK SELECT, LP YORK SPECIAL OPPORTUNITES YORK EUROPEAN OPPORTUNITIES DAVIDSON KEMPNER TACONIC YORK TOTAL RETURN UNIT TRUST YORK GLOBAL CREDIT INCOME FUND YORK SELECT INVESTORS UNIT TRU YORK EUROPEAN DISTRESSED CREDIT FUND DE LP YORK EUROPEAN FOCUS UNIT TRUST MASON CAPITAL LTD YORK INVESTMENT LTD LEGACY HARMONY LTD LEGACY HARMONY LTD	350,681. 264,502. 3,000,000. 2,500,000. 1,000,000. 3,400,000. 5,000,000. 5,000,000. 1,529,160. 2,500,000. 3,000,000. 3,000,000.	363,521. 2,246,177. 277,245. 3,892,117. 3,292,903. 1,248,791. 5,273,310. 6,124,565. 1,982,452. 1,391,225. 2,979,778. 2,396,759. 3,621,242.

37,805,636.

30,301,358.

TOTALS

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	PRESIDENT/TREASURER	SECRETARY	DIRECTOR
FORM 990PF, PART VIII - LIST OF	NAME AND ADDRESS	JAMES G. DINAN 950 FIFTH AVENUE, APT 10/11 NEW YORK, NY 10021	ELIZABETH MILLER 950 FIFTH AVENUE, APT 10/11 NEW YORK, NY 10021	WILLIAM A. DINAN 200 EAST 16TH STREET, APT 19D NEW YORK. NY 10003

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FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

N/A

ATTACHMENT 11		AMOUNT	3,756,937.	35	n
TEN TEN TEN TEN TEN TEN TEN TEN TEN TEN		PURPOSE OF GRANT OR CONTRIBUTION			
IS PAID DURING THE YEAR	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND	FOUNDATION STATUS OF RECIPIENT		CHARITABLE	CHARITABLE
FORM 99DPF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR		RECIPIENT NAME AND ADDRESS	SEE STATEMENT A	YORK SELECT LP	YSOF AIV II LP

3,756,925

TOTAL CONTRIBUTIONS PAID

Schedule A

Date	Name	Deductible
07/24/2044		+
	Los Angeles ice Theater	1,000 0
	American Friends of the Israel Museum	1,800 0
	Carter Burden Center for the Aging, Inc	25,000 0
	National Museum of the American Indian NY	2,500 0
	HorseAbility, Inc	500 0
	President and Fellows of Harvard College	50,000 0
	East Side House Settlement	500 0
	National Horse Show	25,000 0
	Covenant House New York	1,000 0
	Washington International Horse Show	13,600 0
12/02/2014	President and Fellows of Harvard College	83,332 €
12/15/2014	St Jude Children's Research Hospital	25,000 0
12/15/2014	Phipps Community Development Corporation	5,000 0
12/15/2014	The Wharton Fund	25,000 0
12/19/2014	NWHOF Dan Gable Museum	25,055 0
01/02/2015	Bancroft School	25,000 (
01/07/2015	Sinai Schools	50,000 0
02/09/2015	Prep for Prep	25,000 0
02/09/2015	Roundabout Theater Company	5,000 0
02/18/2015	North Salem Open Land Foundation	500 0
02/20/2015	Fund for the Aged, Inc	1,800 (
02/26/2015	Say Yes To Education	25,000 (
03/09/2015	Museum of Arts and Design	10,000 0
03/20/2015	Cornell University College of Vet Med	50,000 0
03/20/2015	Devon Horse Show Foundation	5,000 0
03/20/2015	Memorial Sloan-Kettering Cancer Center	25,000 0
03/20/2015	Parkinson's Disease Foundation	25,000 (
03/20/2015	Hospital for Special Surgery	148,513 (
	The School of American Ballet	20,000 0
	Museum of the City of New York	25,000 (
	Student Sponsor Partners	10,000 (
	North Salem Bridle Trails Association	10,000 (
	Jobs with Justice	180 (
	Oxfam for Nepal earthquake relief fund	1,800 (
	USA Wrestling	75,000 0
	United States Wrestling Foundation	25,000 0
	United States Equestrian Team	100,000 (
	Berkshire Playwrights Lab	500 (
	Museum of the City of New York	24,000 0
	The Hasty Pudding Club	50,000 (
	Lincoln Center for the Performing Arts	115,000 (
	Beat the Streets Club	20,000 (
		10,000 (
	Sail to Prevail	10,000 (
	Perez Art Museum Miami	
	Hawkeye Wrestling Club	24,000 0 47,000 0
	The School of American Ballet	
	North Salem Open Land Foundation	500 (
	Transportation Alternatives	10,000 (
	Hospital for Special Surgery	100,000 (
	NWHOF Dan Gable Museum	24,945 (
	Penn Vet New Bolton Center	5,000 (
	Dreamland Nantucket	10,000 (
	Nantucket Historical Association	5,000 (
	Nantucket Cottage Hospital	5,000 (
06/29/2015	Nantucket Land Council	5,000 (
	Katonah Art Museum	5,000 (
06/29/2015	John Jay Homestead	5,000 (
06/29/2015	Tate Americas Foundation	4,876 (
06/29/2015	New Museum	5,000 (
06/29/2015	World Wildlife Foundation	10,000 (
	Wildlife Conservation Society	10,000 (
	Hospital for Special Surgery	851,487 (
	Park Avenue Synagogue	360,000 (
	Charity Water	2,548 (
	Smith College	800,000 (
	The School of American Ballet	290,000 (
		3,756,937