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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning **JUL 1, 2014**, and ending **JUN 30, 2015**

Name of foundation <b>THE COUNTESS MOIRA CHARITABLE FOUNDATION</b>		A Employer identification number <b>11-3551993</b>
Number and street (or P O box number if mail is not delivered to street address) <b>PO BOX 8078</b>		B Telephone number <b>646 710-3360</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PELHAM, NY 10803-8878</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return  <input type="checkbox"/> Final return  <input type="checkbox"/> Address change         </div> <div> <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Amended return  <input type="checkbox"/> Name change         </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>32,935,077.</b> (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <b>MODIFIED CASH</b>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			<b>N/A</b>	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	349.	349.		<b>STATEMENT 1</b>
4 Dividends and interest from securities	760,625.	760,625.		<b>STATEMENT 2</b>
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	1,717,871.			
b Gross sales price for all assets on line 6a	13,595,690.			
7 Capital gain net income (from Part IV, line 2)		1,717,871.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	2,478,845.	2,478,845.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	14,952.	0.		14,952.
c Other professional fees	355,306.	355,306.		0.
17 Interest				
18 Taxes	20,000.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses	4,539.	10.		4,529.
24 Total operating and administrative expenses. Add lines 13 through 23	394,797.	355,316.		19,481.
25 Contributions, gifts, grants paid	2,086,330.			2,086,330.
26 Total expenses and disbursements. Add lines 24 and 25	2,481,127.	355,316.		2,105,811.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<2,282.>			
b Net investment income (if negative, enter -0-)		2,123,529.		
c Adjusted net income (if negative, enter -0-)			N/A	

CANNED MAY 10 2016

Revenue

Operating and Administrative Expenses

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	778,170.	1,487,378.	1,487,378.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	22,990,102.	12,908,418.	12,908,418.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	11,690,141.	18,539,281.	18,539,281.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	35,458,413.	32,935,077.	32,935,077.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	35,458,413.	32,935,077.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b>	35,458,413.	32,935,077.		
31 <b>Total liabilities and net assets/fund balances</b>	35,458,413.	32,935,077.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,458,413.
2 Enter amount from Part I, line 27a	2	<2,282.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	35,456,131.
5 Decreases not included in line 2 (itemize) ▶ <b>CHANGE INVESTMENT UNREALIZED LOSS</b>	5	2,521,054.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	32,935,077.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SALE OF PUBLICLY TRADED SECURITIES</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b CAPITAL GAINS DIVIDENDS</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 13,497,559.</b>		<b>11,877,819.</b>	<b>1,619,740.</b>
<b>b 98,131.</b>			<b>98,131.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>1,619,740.</b>
<b>b</b>			<b>98,131.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,717,871.
	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,731,410.	34,217,550.	.050600
2012	1,748,847.	34,070,035.	.051331
2011	2,026,665.	34,381,576.	.058946
2010	1,539,882.	35,139,998.	.043821
2009	1,476,933.	33,722,411.	.043797

2 Total of line 1, column (d)	2 .248495
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .049699
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4 34,770,971.
5 Multiply line 4 by line 3	5 1,728,082.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 21,235.
7 Add lines 5 and 6	7 1,749,317.
8 Enter qualifying distributions from Part XII, line 4	8 2,105,811.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	21,235.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	21,235.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	21,235.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		
6	<b>Credits/Payments:</b>		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	51,793.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	51,793.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	277.
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	30,281.
11	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <input checked="" type="checkbox"/> <b>Refunded</b> <input checked="" type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
d		
(1) On the foundation. $\$$ 0.		
(2) On foundation managers. $\$$ 0.		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► <u>WWW.COUNTESSMOIRAFDN.ORG</u>				
14	The books are in care of ► <u>TAYLOR T. GRAY</u>	Telephone no. ►	<u>914 246-0280</u>	
	Located at ► <u>PO BOX 8078, PELHAM, NY</u>	ZIP+4 ►	<u>10803-8878</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FIELDPOINT PRIVATE ADVISORS, INC. - 400 PARK AVENUE, 15TH FLOOR, NEW YORK, NY 10022-4406	INVESTMENT ADVISORY & ACCOUNTING	355,306.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	

All other program-related investments. See instructions.

3		

Total. Add lines 1 through 3

0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	31,990,541.
b	Average of monthly cash balances	1b	3,309,937.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	35,300,478.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	35,300,478.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	529,507.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	34,770,971.
6	Minimum investment return. Enter 5% of line 5	6	1,738,549.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,738,549.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	21,235.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,235.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,717,314.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,717,314.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,717,314.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,105,811.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,105,811.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,235.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,084,576.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				1,717,314.
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only			1,009,458.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009				
<b>b</b> From 2010				
<b>c</b> From 2011				
<b>d</b> From 2012				
<b>e</b> From 2013				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: <b>2,105,811.</b>				
<b>a</b> Applied to 2013, but not more than line 2a			1,009,458.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2014 distributable amount	0.			1,096,353.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				620,961.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010				
<b>b</b> Excess from 2011				
<b>c</b> Excess from 2012				
<b>d</b> Excess from 2013				
<b>e</b> Excess from 2014				



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
ATTACHMENT B	ATTACHMENT B	ATTACHMENT B	ATTACHMENT B	2,086,330.
<b>Total</b>				▶ 3a 2,086,330.
<b>b</b> Approved for future payment				
ATTACHMENT C	ATTACHMENT C	ATTACHMENT C	ATTACHMENT C	374,700.
<b>Total</b>				▶ 3b 374,700.



## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a Transfers from the reporting foundation to a noncharitable exempt organization of:**

(1) Cash

**(2) Other assets**

**b Other transactions:**

**(1) Sales of assets to a noncharitable exempt organization**

**(2) Purchases of assets from a noncharitable exempt organization**

**(3) Rental of facilities, equipment, or other assets**

#### (4) Reimbursement arrangements

**(5) Loans or loan guarantees**

**(6) Performance of services or membership or fundraising solicitations**

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b. If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instr. 1)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

---

Print/Type preparer's name \_\_\_\_\_

THOMAS F. BLANEY,  
CPA, CFE

Preparer's signature

Date

7/26/16

Check ☐ self-employed

PTIN

P00234022

Firm's name ► PKF O'CONNOR DAVIES, LLP

Firm's EIN ► 27-1728945

Firm's address ► 665 FIFTH AVENUE  
NEW YORK, NY 10022-5305

Phone no. (212) 286-2600

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONEY MARKET ACCOUNTS	349.	349.	
TOTAL TO PART I, LINE 3	349.	349.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
EQUITIES, MUTUAL FUNDS, AND ETF'S	858,756.	98,131.	760,625.	760,625.	
TO PART I, LINE 4	858,756.	98,131.	760,625.	760,625.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT AND TAX PREPARATION	14,952.	0.		14,952.
TO FORM 990-PF, PG 1, LN 16B	14,952.	0.		14,952.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	355,306.	355,306.		0.
TO FORM 990-PF, PG 1, LN 16C	355,306.	355,306.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	20,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	20,000.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	750.	0.		750.
DUES	725.	0.		725.
BANK FEE	10.	10.		0.
INSURANCE	3,054.	0.		3,054.
TO FORM 990-PF, PG 1, LN 23	4,539.	10.		4,529.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK, ATTACHMENT A	12,908,418.	12,908,418.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,908,418.	12,908,418.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE TRADED FUNDS, ATTACHMENT A	FMV	11,445,221.	11,445,221.
MUTUAL FUNDS, ATTACHMENT A	FMV	7,094,060.	7,094,060.
TOTAL TO FORM 990-PF, PART II, LINE 13		18,539,281.	18,539,281.



FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CAROLYN B. GRAY PO BOX 8078 PELHAM, NY 10803-8878	PRESIDENT 3.00	0.	0.	0.
MICHELE J. LE MOAL-GRAY PO BOX 8078 PELHAM, NY 10803-8878	CHAIRPERSON 3.00	0.	0.	0.
MARC E. GARLASCO PO BOX 8078 PELHAM, NY 10803-8878	TRUSTEE 3.00	0.	0.	0.
PETER G. GRAY PO BOX 8078 PELHAM, NY 10803-8878	SECRETARY & VICE-PRESIDENT 3.00	0.	0.	0.
TAYLOR T. GRAY * PO BOX 8078 PELHAM, NY 10803-8878	TREASURER & VICE-PRESIDENT 3.00	0.	0.	0.
KATHLEEN M. GRAY PO BOX 8078 PELHAM, NY 10803-8878	TRUSTEE 3.00	0.	0.	0.
DONNA M. FITZGERALD PO BOX 8078 PELHAM, NY 10803-8878	TRUSTEE 3.00	0.	0.	0.
BRENDAN T. GRAY PO BOX 8078 PELHAM, NY 10803-8878	TRUSTEE 3.00	0.	0.	0.
TAYLOR T. GRAY * PO BOX 8078 PELHAM, NY 10803-8878	TRUSTEE 3.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

THE COUNTESS MOIRA CHARITABLE FOUNDATION  
FORM 990-PF, PART II, LINES 10B AND 13  
JUNE 30, 2015

EIN # 11-3551993

Security	Security Symbol	Quantity	Total Cost	Market Value
<b><u>EQUITIES</u></b>				
MONSANTO CO NEW COM	mon	2,660	\$ 168,990	\$ 283,529
VERIZON COMMUNICATIONS INC COM	vz	19,600	588,489	913,556
COMCAST CORP CL A	cmcs.a	18,600	727,046	1,118,604
NEWELL RUBBERMAID INC COM	nwl	24,180	725,637	994,040
CHUBB CORP	cb	9,600	734,116	913,344
REGIONS FINL CORP NEW COM	rf	77,000	836,688	797,720
ABBVIE INC COM	abbv	15,580	698,649	1,046,820
MEAD JOHNSON NUTRITION CO COM	mjn	8,330	719,530	751,533
ILLINOIS TOOL WORKS INC COM	itw	11,320	492,440	1,039,063
GENERAL ELECTRIC CO COM	ge	34,200	710,694	908,694
NORFOLK SOUTHN CORP COM	nsc	7,080	612,731	618,509
LITTELFUSE INC	lfus	5,900	443,787	559,851
CISCO SYSTEMS INC	csc	30,000	669,830	823,800
APPLE INC COM	aapl	7,490	626,195	939,433
AKAMAI TECHNOLOGIES INC COM	akam	7,800	425,758	544,596
DOMINION RES INC VA COM	d	9,800	423,665	655,326
<b>TOTAL EQUITIES</b>			<b>9,604,244</b>	<b>12,908,418</b>
<b><u>MUTUAL FUNDS</u></b>				
FPA CRESCENT PORTFOLIO	fpacx	14,548	500,010	490,835
GOETHAM NEUTRAL FUND INSTITUTIONAL CLASS	gonix	99,788	1,100,020	985,902
GOETHAM ENHANCED RETURN FUND	genix	85,751	1,100,020	1,015,291
MUZINICH CREDIT OPPORTUNITIES FUND	mzcsx	167,773	1,770,010	1,739,801
SALIENT MLP & ENERGY	smplx	78,989	1,000,000	1,007,109
APEXCM SMALL/MID CAP GROWTH FUND	apsgx	106,862	1,800,020	1,855,122
<b>TOTAL MUTUAL FUNDS</b>			<b>7,270,080</b>	<b>7,094,060</b>
<b><u>EXCHANGE TRADED FUNDS</u></b>				
ISHARES TR TIPS BD ETF	tip	6,300	726,867	705,915
ISHARES TR CORE U S AGGREGATE BD ETF	agg	21,230	2,232,233	2,309,399
ISHARES TR IBOX USD INVT GRADE CORP BD	lqd	19,100	1,907,259	2,210,252
ISHARES TR US REAL ESTATE ETF	tyr	12,170	759,433	867,721
ISHARES TR S&P U S PFD STK INDEX FD	pff	27,830	1,057,125	1,090,101
SPDR SER TR BARCLAYS CONV SECS ETF	cwb	16,000	787,919	761,120
SPDR SER TR S&P REGL BKG EFT	kre	31,000	1,388,962	1,368,960
SPDR SER TR S&P DIVID ETF	sdv	15,600	792,896	1,188,876
VANGUARD TAX-MANAGED INTL FD FTSE	vea	23,780	992,501	942,877
<b>TOTAL EXCHANGE TRADED FUNDS</b>			<b>10,645,195</b>	<b>11,445,222</b>
<b>TOTAL PORTFOLIO</b>			<b>\$ 27,519,519</b>	<b>\$ 31,447,699</b>

THE COUNTESS MOIRA CHARITABLE FOUNDATION  
FORM 990-PF, PART XV, LINE 3A  
JUNE 30, 2015

EIN # 11-3551993

Recipient	Address	Amount	Status	Purpose
Astor Services for Children and Families	6339 Mill Street Rhinebeck NY 12572	25,000	PC	Provide children's mental health services, child welfare services, and early childhood development programs
Boston College Trustees	Boston College Advancement More Hall 220 140 Commonwealth Ave Boston, MA 02163	300,000	PC	Nursing care and supplies for Central American regions
Center for Civilians in Conflict	1850 M Street NW, Suite 350 Washington, DC 20036	175,000	PC	Recognition and help for civilians caught in the crossfire of armed conflict
Camp AmeriKids	88 Hamilton Avenue Stamford, CT 06902	35,000	PC	Enhance the lives of youth living with the challenges of HIV/AIDS and sickle cell disease by providing an enriching summer camp experience, year-round skill building and a supported transition to adulthood
Camp Exclamation Point	PO Box 91 Richmond, Vermont 05477	7,500	PC	Provides continuity and community to underserved rural Vermont kids through a weeklong residential summer camp
Children's Hospital Foundation at Westchester Medical	Mana Faren Children's Hospital Foundation 100 Woods Road Taylor Pavilion, Suite C3 Valhalla, New York 10595	20,000	PC	Combines advanced pediatric care with a special, calming physical environment State-of-the-art facility includes the region's only neonatal and pediatric intensive care units and transplants programs, and is designed to accommodate the special needs of pediatric patients and their families
Christo Rey Brooklyn High School	710 E 37th St, Brooklyn, NY 11203	24,000	PC	Christo Rey Brooklyn is a Catholic high school that educates young people of limited economic means to become men and women of faith, purpose, and service Grant supports the scholarship fund
Concordia Conservatory	171 White Plains Road Bronxville NY 10708	28,000	PC	Inspire, instruct and enrich lives through music the tuition-free Music Outreach Program
Doctors Without Borders USA	333 Seventh Avenue, 2nd Floor New York NY 10001	60,000	PC	To assist people whose survival is threatened by violence, neglect, or catastrophe
Don Bosco Community Center	22 Don Bosco Drive Port Chester NY 10573	50,000	PC	Multi-lingual youth and adult social / support services to the community-at-large without regard to sex, race, and religion
Fiver Childrens Foundation	240 West 35th Street Suite 305 New York NY 10001	50,000	PC	Empower children from underserved communities to develop life skills and to reach their full potential
Fund for the City of New York / ENACT	630 Ninth Avenue, Suite 305 New York, NY 10036	50,000	PC	Help New York City public school students learn social and emotional skills through creative drama and drama therapy techniques
Girl's Educational and Mentoring Services	201 West 148th Street New York, NY 10039	50,000	PC	Girls Educational & Mentoring Services (GEMS) is the only organization in New York State specifically designed to serve girls and young women who have experienced commercial sexual exploitation and domestic trafficking
Long Island Cares	10 Davids Drive Hauppauge NY 11788	70,000	PC	Provides nutritional food and support services to food pantries, soup kitchens, emergency shelters, child care programs, disability organizations, veterans services
Natural Heritage Trust / Staatsburgh Historical Site	Staatsburgh State Historic Site PO Box 308 Staatsburg, NY 12580	5,000	PC	Sponsor educational programs and secure funds for the preservation and interpretation of the mansion
Southeast Consortium For Special Services	740 W Boston Post Road, Suite 316 Mamaroneck, New York 10543	48,100	PC	The mission of the South East Consortium is to effectively develop and implement a balanced and comprehensive schedule of therapeutic recreation and leisure-related services that strive to meet the interests and needs of children and adults with disabilities and special needs
Southern Poverty Law Center	400 Washington Ave Montgomery AL 36104	65,000	PC	To fund the Teaching Tolerance Perspectives initiative to provide educators a web based delivery system

THE COUNTESS MOIRA CHARITABLE FOUNDATION  
FORM 990-PF, PART XV, LINE 3A  
JUNE 30, 2015

EIN # 11-3551993

Recipient	Address	Amount	Status	Purpose
Storytelling Arts	P O Box 995 Princeton Junction, New Jersey 08550	56,230	PC	Storytelling Arts programs serve to boost literacy and build community through classroom residencies, teacher development, and services in juvenile detention centers
The Community Word Project	42 Broadway, Suite 1827 New York NY 10004	40,000	PC	Multi-disciplinary arts residency programs in 13 public schools and seven branches of the New York and Queens Public Library
The Trust for Public Land	666 Broadway, 9th Floor New York, NY 10012	33,000	PC	Working from more than 30 offices nationwide, The Trust for Public Land helps communities raise funds, conduct research and planning, acquire and protect land, and design and renovate parks, playgrounds, trails, and gardens
United Way Long Island	United Way of Long Island 819 Grand Blvd Deer Park, NY 11729	100,000	PC	Operation Rebuild and Recovery, a program to aid Long Island families affected by Hurricane Sandy
Women Make Movies	3916 Northampton St, NW Washington, DC 20015	44,500	PC	Challenges, Gifts & Wisdom Succeeding with ADHD and Learning Disabilities is a series of documentary-style videos that provides an intimate look at adults sharing their successes, struggles and strategies for managing their learning and attention issues in order to live productive and positive lives
YMCA of New Rochelle	50 Weyman Avenue New Rochelle, NY 10805	750,000	PC	Being healthy means more than simply being physically active it's about maintaining a balanced spirit, mind and body. The Y is a place where you can work toward that balance by challenging yourself to learn a new skill or hobby, fostering connections with friends
TOTAL GRANTS MADE		<u>\$2,086,330</u>		

THE COUNTESS MOIRA CHARITABLE FOUNDATION  
FORM 990-PF, PART XV, LINE 3B  
JUNE 30, 2015

EIN # 11-3551993

Recipient	Address	Amount	Status	Purpose
Center for Civilians in Conflict	1850 M Street NW, Suite 350 Washington, DC 20036	300,000	PC	Recognition and help for civilians caught in the crossfire of armed conflict
The Trust for Public Land	666 Broadway, 9th Floor New York, NY 10012	34,500	PC	Working from more than 30 offices nationwide, The Trust for Public Land helps communities raise funds, conduct research and planning, acquire and protect land, and design and renovate parks, playgrounds, trails, and gardens
Women Make Movies	3916 Northampton St, NW Washington, DC 20015	40,200	PC	Challenges, Gifts & Wisdom Succeeding with ADHD and Learning Disabilities is a series of documentary-style videos that provides an intimate look at adults sharing their successes, struggles and strategies for managing their learning and attention issues in order to live productive and positive lives
TOTAL PAYABLE		374,700		