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Form 990



Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public

Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2014

Open to Public Inspection

A For the 2014 calendar year, or tax year beginning 07-01-2014, and ending 06-30-2015

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final return/terminated

☐ Amended return

☐ Application pending

C Name of organization

YALE UNIVERSITY

Doing business as

Number and street (or P O box if mail is not delivered to street address)Room/suite

PO BOX 208239

City or town, state or province, country, and ZIP or foreign postal code

NEW HAVEN, CT 065208239

F Name and address of principal officer

PETER SALOVEY

PO BOX 208229

NEW HAVEN,CT 065208229

H(a) Is this a group return for subordinates?

☐ Yes

☒ No

H(b) Are all subordinates included?

☐ Yes

☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number

I Tax-exempt status

☒ 501(c)(3)

☐ 501(c) ()

☐ (insert no)

☐ 4947(a)(1) or

☐ 527

J Website:

WWW.YALE.EDU

K Form of organization

☒ Corporation

☐ Trust

☐ Association

☐ Other

L Year of formation

1701

M State of legal domicile

CT

Part I	Summary																																																								
Activities & Governance	<div><div>1</div><div>Briefly describe the organization's mission or most significant activities</div><div>TO CREATE, PRESERVE, AND DISSEMINATE KNOWLEDGE</div><div></div><div></div><div></div></div>																																																								
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Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

STEPHEN C MURPHY VP FOR FINANCE AND CFO

Date

2016-05-10

Preparer's signature

Type or print name and title

Prnt/Type preparer's name

Firm's name

Firm's address

Preparer's signature

Firm's EIN

Phone no

Date

Check if self-employed

PTIN

Paid Preparer Use Only

May the IRS discuss this return with the preparer shown above? (see instructions)

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2014)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐

1

Briefly describe the organization's mission

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 1,599,895,635 including grants of \$ 3,458,376) (Revenue \$ 1,373,723,816)

INSTRUCTION THE PROGRAM SERVICE COSTS INCLUDE SALARIES, FRINGE BENEFITS AND SUPPLIES OF TEACHING APPROXIMATELY 12,300 STUDENTS INCLUDING 5,453 UNDERGRADUATES, 2,926 GRADUATE STUDENTS AND 3,933 PROFESSIONAL SCHOOL STUDENTS A FACULTY OF MORE THAN 4,400 TEACH AND ADMINISTER PROGRAMS ACROSS A RANGE OF DISCIPLINES IN THE SCIENCES AND ENGINEERING, THE SOCIAL SCIENCES, THE HUMANITIES, AND THE VARIOUS LEARNED PROFESSIONS MORE THAN 80 MAJORS ARE AVAILABLE IN THE UNDERGRADUATE COLLEGE AND MORE THAN 35 DIFFERENT DEGREE PROGRAMS ARE OFFERED BY THE UNIVERSITY - CONTINUED ON SCHEDULE O

4b

(Code) (Expenses \$ 494,588,000 including grants of \$ 57,608,385) (Revenue \$ 72,491,114)

RESEARCH THE UNIVERSITY ENGAGES IN EXTENSIVE RESEARCH IN MEDICINE, THE PHYSICAL SCIENCES, THE SOCIAL SCIENCES AND HUMANITIES THE UNIVERSITY'S RESEARCH ACTIVITIES ARE AIMED AT THE ADVANCEMENT OF KNOWLEDGE AS WELL AS TRAINING STUDENTS IN THE RESEARCH PROCESS INCLUDED AMONG THE UNIVERSITY'S OUTSTANDING RESEARCH FACILITIES ARE LABORATORIES AND OTHER FACILITIES DEVOTED TO RESEARCH IN GEOLOGY, CHEMISTRY, BIOLOGY, ENGINEERING AND APPLIED SCIENCE, ANTHROPOLOGY, PALEONTOLOGY, PSYCHOLOGY, MOLECULAR AND STRUCTURAL BIOLOGY, NUCLEAR STRUCTURE, CHILD DEVELOPMENT, SOCIAL POLICY, BIOSPHERIC STUDIES AND NUMEROUS BIOMEDICAL SCIENCES, TO NAME BUT A FEW

4c

(Code) (Expenses \$ 662,482,649 including grants of \$ 350,603,596) (Revenue \$ 78,082,859)

STUDENT FINANCIAL AID AND SERVICES YALE COLLEGE ADMITS STUDENTS FOR THEIR ACADEMIC AND PERSONAL PROMISE WITHOUT REGARD TO THEIR ABILITY TO PAY YALE IS COMMITTED TO A NEED-BLIND ADMISSIONS POLICY AND MEETS 100% OF DEMONSTRATED NEED FOR ALL STUDENTS REGARDLESS OF CITIZENSHIP BY COMMITTING TO AN ADMISSIONS POLICY THAT DOES NOT CONSIDER A STUDENT'S ABILITY TO PAY, AND BY MEETING THE FULL FINANCIAL NEED OF ALL ADMITTED STUDENTS (WITH NO LOANS REQUIRED), YALE ENSURES THAT IT IS ACCESSIBLE TO THE MOST TALENTED STUDENTS FROM AROUND THE WORLD, REGARDLESS OF THEIR FAMILY'S INCOME WITH THIS POLICY, YALE STRIVES TO CREATE A LEARNING ENVIRONMENT THAT INCORPORATES THE WIDEST POSSIBLE RANGE OF STUDENT BACKGROUNDS - CONTINUED ON SCHEDULE O

See Additional Data

4d

Other program services (Describe in Schedule O)
















(Expenses \$ 416,175,995 including grants of \$ 2,731,001) (Revenue \$ 114,604,918)

4e

Total program service expenses

3,173,142,279

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19 Yes	
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	24,332	
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	28,141	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	Yes	
b	CA , CJ , CH , HK , IN , EI , JE , LU , MP , MJ , NL , PL , If "Yes," enter the name of the foreign country SN , SZ , UK , IM See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	Yes	
d	If "Yes," indicate the number of Forms 8282 filed during the year	1	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		No
9a	Did the sponsoring organization make any taxable distributions under section 4966?		No
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		No
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	19	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
1b	Enter the number of voting members included in line 1a, above, who are independent	18	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	a The governing body?	8a	Yes
8b	b Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
10b	b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
	b Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
12b	b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
12c	c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	a The organization's CEO, Executive Director, or top management official	15a	Yes
15b	b Other officers or key employees of the organization	15b	Yes
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes
16b	b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, address, and telephone number of the person who possesses the organization's books and records ▶STEPHEN C MURPHY VP FOR FINANCE A 25 SCIENCE PARK 150 MUNSON STREET NEW HAVEN, CT 06511 (203) 436-4488

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			

Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			

1b	Sub-Total	▶			
c	Total from continuation sheets to Part VII, Section A	▶			
d	Total (add lines 1b and 1c)	▶	21,244,319	0	1,980,569

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization▶3,390

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
DIMEO CONSTRUCTION COMPANY 75 CHAPMAN STREET PROVIDENCE, RI 02905	CONSTRUCTION & RELATED SERVICES	54,100,724
THE WHITING-TURNER CONTRACTING COMPANY 300 EAST JOPPA ROAD BALTIMORE, MD 21286	CONSTRUCTION & RELATED SERVICES	28,277,851
HILLHOUSE CAPITAL ADVISORS LTD 190 ELGIN AVENUE GEORGE TOWN CJ	INVESTMENT MANAGEMENT	24,668,102
FRANK LILL AND SON INC 785 OLD DUTCH ROAD VICTOR, NY 14564	CONSTRUCTION & RELATED SERVICES	18,537,848
STANDARD BUILDERS INC 52 HOLMES ROAD NEWINGTON, CT 06111	CONSTRUCTION & RELATED SERVICES	15,460,196
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶431		

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	77,097			
	b	Membership dues				
	c	Fundraising events	299,427			
	d	Related organizations	450,111			
	e	Government grants (contributions)	436,086,210			
	f	All other contributions, gifts, grants, and similar amounts not included above	473,848,529			
	g	Noncash contributions included in lines 1a-1f \$	54,111,474			
	h	Total. Add lines 1a-1f	910,761,374			
Program Service Revenue	2a	MEDICAL SERVICE INCOME	Business Code 541900	786,541,197	782,063,164	4,478,033
	b	STUDENT INCOME	611310	577,724,178	577,724,178	
	c	CONTRACT INCOME-GOVT	541700	103,967,845	103,967,845	
	d	CONTRACT INC-NON-GOVT	541700	60,542,602	60,542,602	
	e	PUBLICATIONS INCOME	511130	20,873,250	20,873,250	
	f	All other program service revenue		65,292,297	63,201,814	2,090,483
	g	Total. Add lines 2a-2f	1,614,941,369			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	383,274,474		-22,580,612	405,855,086
	4	Income from investment of tax-exempt bond proceeds	19,014			19,014
	5	Royalties	11,261,469			11,261,469
	6a	Gross rents	(i) Real 15,906,757	(ii) Personal		
	b	Less rental expenses	12,547,168			
	c	Rental income or (loss)	3,359,589			
	d	Net rental income or (loss)	3,359,589			3,359,589
	7a	Gross amount from sales of assets other than inventory	(i) Securities 5,857,947,252	(ii) Other 2,346,163,439		
	b	Less cost or other basis and sales expenses	5,344,272,474	380,558,537		
	c	Gain or (loss)	513,674,778	1,965,604,902		
	d	Net gain or (loss)	2,479,279,680		18,171,977	2,461,107,703
	8a	Gross income from fundraising events (not including \$ 299,427 of contributions reported on line 1c) See Part IV, line 18	a 384,719			
	b	Less direct expenses	b 299,314			
	c	Net income or (loss) from fundraising events	85,405			85,405
	9a	Gross income from gaming activities See Part IV, line 19	a 21,829			
	b	Less direct expenses	b 5,019			
	c	Net income or (loss) from gaming activities	16,810			16,810
	10a	Gross sales of inventory, less returns and allowances	a			
	b	Less cost of goods sold	b			
	c	Net income or (loss) from sales of inventory				
		Miscellaneous Revenue	Business Code			
	11a	EDUCATIONAL SUPPORT	611710	30,529,854	30,529,854	
	b	PARKING	812930	12,692,387	1,442,322	11,250,065
	c	UTILITIES	221000	11,461,994		11,461,994
	d	All other revenue		3,989,106	217,427	3,771,679
	e	Total. Add lines 11a-11d	58,673,341			
	12	Total revenue. See Instructions	5,461,672,525	1,638,902,707	3,819,630	2,908,188,814

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	51,167,183	51,167,183		
2	Grants and other assistance to domestic individuals See Part IV, line 22	346,824,585	346,824,585		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16	16,409,590	16,409,590		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	18,379,732	1,355,266	16,483,429	541,037
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	13,638,870	10,064,095	3,296,313	278,462
7	Other salaries and wages	1,498,977,415	1,396,600,198	82,509,606	19,867,611
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	142,942,236	130,311,262	10,276,728	2,354,246
9	Other employee benefits	280,212,304	250,731,417	26,186,068	3,294,819
10	Payroll taxes	89,372,393	81,129,536	6,755,123	1,487,734
11	Fees for services (non-employees)				
a	Management				
b	Legal	5,737,387	1,443,574	4,293,813	
c	Accounting	1,935,142	143,033	1,792,109	
d	Lobbying	93,539		93,539	
e	Professional fundraising services See Part IV, line 17				
f	Investment management fees	49,908,313		49,908,313	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	109,312,720	92,760,114	15,594,935	957,671
12	Advertising and promotion	2,505,401	2,310,114	99,454	95,833
13	Office expenses	22,882,308	15,957,205	5,921,996	1,003,107
14	Information technology	46,568,397	28,367,230	17,702,240	498,927
15	Royalties	34,185	34,185		
16	Occupancy	78,382,742	75,385,316	1,025,140	1,972,286
17	Travel	50,701,728	45,641,778	3,885,812	1,174,138
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	3,962	3,962		
19	Conferences, conventions, and meetings	5,111,649	4,641,102	412,634	57,913
20	Interest	90,475,417	88,375,463	1,702,308	397,646
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	259,040,139	240,356,292	15,145,881	3,537,966
23	Insurance	19,132,879	17,755,312	1,377,567	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	SUPPLIES-MED & RESEARCH	71,332,622	71,332,622	0	0
b	SUPPLIES-OTHER	36,066,214	35,009,072	903,014	154,128
c	BOOKS, PERIODICALS, ETC	32,589,866	32,429,027	74,936	85,903
d	FOOD & BEVERAGE	16,621,536	14,941,987	979,003	700,546
e	All other expenses	157,438,408	121,661,759	31,762,671	4,013,978
25	Total functional expenses. Add lines 1 through 24e	3,513,798,862	3,173,142,279	298,182,632	42,473,951
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		33,493,994	1	30,865,353
	2	Savings and temporary cash investments		199,428,482	2	255,243,298
	3	Pledges and grants receivable, net		487,397,603	3	565,538,631
	4	Accounts receivable, net		118,699,644	4	140,790,800
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		197,956	5	189,572
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		80,520	6	
	7	Notes and loans receivable, net		150,464,013	7	151,925,997
	8	Inventories for sale or use		15,811,800	8	12,574,686
	9	Prepaid expenses and deferred charges		22,044,264	9	26,206,118
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a6,815,517,460			
	b	Less: accumulated depreciation	10b2,382,540,118	4,330,617,995	10c	4,432,977,342
	11	Investments—publicly traded securities		3,885,016,809	11	5,481,272,090
	12	Investments—other securities. See Part IV, line 11		22,378,135,546	12	22,529,019,061
	13	Investments—program-related. See Part IV, line 11		29,390,558	13	32,285,358
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11		381,062,083	15	474,621,229
	16	Total assets. Add lines 1 through 15 (must equal line 34)		32,031,841,267	16	34,133,509,535
Liabilities	17	Accounts payable and accrued expenses		342,857,310	17	352,016,560
	18	Grants payable		3,228,455	18	5,235,344
	19	Deferred revenue		90,694,215	19	99,911,513
	20	Tax-exempt bond liabilities		2,651,280,890	20	2,897,175,687
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		2,193,991	21	2,020,683
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties		558,438,931	24	558,161,864
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D		3,262,495,235	25	3,536,619,522
	26	Total liabilities. Add lines 17 through 25		6,911,189,027	26	7,451,141,173
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		4,244,671,456	27	4,280,801,593
	28	Temporarily restricted net assets		17,531,623,759	28	18,921,626,384
	29	Permanently restricted net assets		3,344,357,025	29	3,479,940,385
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		25,120,652,240	33	26,682,368,362
	34	Total liabilities and net assets/fund balances		32,031,841,267	34	34,133,509,535

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	5,461,672,525
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,513,798,862
3	Revenue less expenses Subtract line 2 from line 1	3	1,947,873,663
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	25,120,652,240
5	Net unrealized gains (losses) on investments	5	-281,843,173
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-104,314,368
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	26,682,368,362

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990, Part III - Line 4c: Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$	416,175,995	including grants of \$	2,731,001) (Revenue \$	114,604,918)
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Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) PETER SALOVEY TRUSTEE & PRESIDENT	50 00 2 20	X		X				951,712	0	235,082
(1) JOSHUA BEKENSTEIN TRUSTEE	5 00 0 00	X						0	0	0
(2) JEFFREY L BEWKES TRUSTEE	5 00 0 00	X						0	0	0
(3) MAUREEN C CHIQUET TRUSTEE	5 00 0 00	X						0	0	0
(4) FRANCISCO G CIGARROA TRUSTEE	5 00 0 00	X						0	0	0
(5) PETER B DERVAN TRUSTEE	5 00 0 00	X						0	0	0
(6) DONNA L DUBINSKY TRUSTEE	5 00 0 00	X						0	0	0
(7) CHARLES W GOODYEAR IV TRUSTEE	5 00 0 00	X						0	0	0
(8) CATHARINE B HILL TRUSTEE	5 00 0 00	X						0	0	0
(9) PAUL L JOSKOW TRUSTEE	5 00 0 00	X						0	0	0
(10) WILLIAM E KENNARD TRUSTEE	5 00 0 00	X						0	0	0
(11) NEAL L KENY-GUYER TRUSTEE	5 00 0 00	X						0	0	0
(12) DANNEP MALLOY TRUSTEE (EX-OFFICIO)	0 00 0 00	X						0	0	0
(13) MARGARET H MARSHALL TRUSTEE	5 00 0 00	X						0	0	0
(14) GINA M RAIMONDO TRUSTEE	5 00 0 00	X						0	0	0
(15) E JOHN RICE JR TRUSTEE	5 00 0 00	X						0	0	0
(16) KEVIN P RYAN TRUSTEE	5 00 0 00	X						0	0	0
(17) DOUGLAS A WARNER III TRUSTEE	5 00 0 00	X						0	0	0
(18) NANCY WYMAN TRUSTEE (EX-OFFICIO)	0 00 0 00	X						0	0	0
(19) BRUCE D ALEXANDER VP FOR NEW HAVEN & STATE AFFAIRS	50 00 0 00			X				546,511	0	42,270
(20) ALEXANDER E DREIER VP & GENERAL COUNSEL	50 00 0 00			X				0	0	0
(21) KIMBERLY M GOFF-CREWS SECRETARY & VP FOR STUDENT LIFE	50 00 1 00			X				381,802	0	38,318
(22) SHAUNA R KING VP FOR FINANCE & BUSINESS OPERATIONS	50 00 1 20			X				567,290	0	43,251
(23) LINDA K LORIMER VP FOR GLOBAL & STRAT INITIATIVES	50 00 1 50			X				550,305	0	46,839
(24) JOAN E O'NEILL VP FOR DEVELOPMENT	50 00 0 40			X				459,561	0	67,717

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(26) MICHAEL A PEEL VP FOR HR & ADMINISTRATION	50 00 0 00			X				570,543	0	50,420
(1) BENJAMIN POLAK PROVOST	50 00 0 20			X				567,440	0	30,416
(2) DOROTHY K ROBINSON VP & GENERAL COUNSEL	50 00 0 20			X				565,701	0	39,419
(3) SCOTT A STROBEL VP FOR WEST CAMPUS PLANNING	50 00 0 00			X				420,283	0	56,096
(4) ROBERT J ALPERN DEAN SCHOOL OF MEDICINE	50 00 0 00				X			852,651	0	32,705
(5) JOHN H BOLLIER AVP FOR FACILITIES	50 00 0 00				X			370,490	0	67,135
(6) RICHARD C LEVIN PRESIDENT EMERITUS	50 00 0 00				X			213,789	0	4,452
(7) DAVID F SWENSEN CHIEF INVESTMENT OFFICER	50 00 3 60				X			4,888,288	0	247,437
(8) DEAN J TAKAHASHI SR DIRECTOR INVESTMENTS	50 00 2 00				X			2,728,813	0	220,081
(9) KHALID M ABBED CHIEF, NEUROSURGERY SPINE	50 00 0 00					X		1,259,648	0	60,106
(10) DAVID MULLIGAN PROFESSOR OF SURGERY (TRANSPLANT)	50 00 0 00					X		1,258,751	0	55,096
(11) THOMAS J RUTHERFORD PROFESSOR, OB/GYN	50 00 0 00					X		1,404,621	0	40,497
(12) TIMOTHY R SULLIVAN DIRECTOR INVESTMENTS	50 00 0 00					X		1,196,723	0	477,771
(13) DAVID D YUH PROFESSOR OF CARDIAC SURGERY	50 00 0 00					X		1,228,380	0	60,541
(14) MICHAEL J DONOGHUE FORMER VP FOR WEST CAMPUS PLANNING	50 00 0 00						X	261,017	0	64,920

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f

Enter the number of supported organizations _____
- g

Provide the following information about the supported organization(s)

(i)Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	1,239,645,403	863,987,731	846,509,395	878,354,797	910,761,374	4,739,258,700
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1,239,645,403	863,987,731	846,509,395	878,354,797	910,761,374	4,739,258,700
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						4,739,258,700

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4	1,239,645,403	863,987,731	846,509,395	878,354,797	910,761,374	4,739,258,700
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	554,044,718	511,578,007	558,241,125	731,938,276	433,042,326	2,788,844,452
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
11 Total support Add lines 7 through 10						7,528,103,152
12 Gross receipts from related activities, etc (see instructions)					12	7,092,291,210
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage		
14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f))	14	62 950 %
15 Public support percentage for 2013 Schedule A, Part II, line 14	15	61 340 %
16a 33 1/3% support test—2014. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support test—2013. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
17a 10%-facts-and-circumstances test—2014. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization		
b 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage			
15 Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f))	15		
16 Public support percentage from 2013 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2014 (line 10c, column (f) divided by line 13, column (f))	17		
18 Investment income percentage from 2013 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2014. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶			
b 33 1/3% support tests—2013. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶			
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶			

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1	
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2	
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.	3a	
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.	3b	
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.	3c	
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.	4a	
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b	
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.	4c	
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).	5a	
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b	
c Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c	
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .	6	
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).	7	
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part II of Schedule L (Form 990).	8	
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .	9a	
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .	9b	
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .	9c	
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer b below.	10a	
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).	10b	
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a	
b A family member of a person described in (a) above?	11b	
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c	

Part IV

Supporting Organizations (continued)

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)			
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
2 <u>Activities Test</u> Answer (a) and (b) below.			
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
3 <u>Parent of Supported Organizations</u> Answer (a) and (b) below.			
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.			
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			

Part V – Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI) _____		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2014 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2014	(iii) Distributable Amount for 2014
1 Distributable amount for 2014 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2014 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2014			
a From 2009.			
b From 2010.			
c From 2011.			
d From 2012.			
e From 2013.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2014 distributable amount			
i Carryover from 2009 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2014 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2014 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2014, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2014 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2015. Add lines 3j and 4c			
8 Breakdown of line 7			
a From 2010.			
b From 2011.			
c From 2012.			
d From 2013.			
e From 2014.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference

Explanation

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at**

www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$ _____
3	Volunteer hours	_____

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$ _____
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$ _____
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$ _____
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$ _____
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$ _____
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check ☒ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	5,821	5,821												
b Total lobbying expenditures to influence a legislative body (direct lobbying)	429,349	429,349												
c Total lobbying expenditures (add lines 1a and 1b)	435,170	435,170												
d Other exempt purpose expenditures	3,372,161,397	3,430,184,762												
e Total exempt purpose expenditures (add lines 1c and 1d)	3,372,596,567	3,430,619,932												
f Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000	1,000,000												
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000													
Over \$17,000,000	\$1,000,000													
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000	250,000												
h Subtract line 1g from line 1a If zero or less, enter -0-	0	0												
i Subtract line 1f from line 1c If zero or less, enter -0-	0	0												
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	521,672	606,971	506,069	435,170	2,069,882
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	21,232	18,971	10,448	5,821	56,472

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i.			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912.			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912.			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

1	Were substantially all (90% or more) dues received nondeductible by members?	1	Yes	No
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation

[illegible]

TY 2014 Affiliated Group Schedule

Name: YALE UNIVERSITY
EIN: 06-0646973

Affiliated Group Business Name:	YALE UNIVERSITY
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520
EIN:	06-0646973
Electing Organization Checkbox:	<input checked="" type="checkbox"/>
Total Grassroots Lobbying:	5,821
Total Direct Lobbying:	429,349
Total Lobbying Expenditures:	435,170
Other Exempt Purpose Expenditures:	3,372,161,397
Total Exempt Purpose Expenditures:	3,372,596,567
Lobbying Nontaxable Amount:	1,000,000
Grassroots Nontaxable Amount:	250,000
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name:	3C CORPORATION
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520
EIN:	41-2046529
Electing Organization Checkbox:	<input type="checkbox"/>
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	0
Total Exempt Purpose Expenditures:	0
Lobbying Nontaxable Amount:	0
Grassroots Nontaxable Amount:	0
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0

Affiliated Group Business Name:	5C CORPORATION	
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520	
EIN:	20-0763050	
Electing Organization Checkbox:	<input type="checkbox"/>	
Total Grassroots Lobbying:		0
Total Direct Lobbying:		0
Total Lobbying Expenditures:		0
Other Exempt Purpose Expenditures:		0
Total Exempt Purpose Expenditures:		0
Lobbying Nontaxable Amount:		0
Grassroots Nontaxable Amount:		0
Tot Lobbying Grassroot Minus Non Tx:		0
Tot Lobby Expend Mns Lobbying Non Tx:		0
Share Of Excess Lobbying:		0
Affiliated Group Business Name:	ALUMNI FUND ASSOCIATION OF YALE UNIVERSITY	
Address. Either US or Foreign Type:	157 CHURCH STREET NEW HAVEN, CT 06510	
EIN:	06-6078326	
Electing Organization Checkbox:	<input type="checkbox"/>	
Total Grassroots Lobbying:		0
Total Direct Lobbying:		0
Total Lobbying Expenditures:		0
Other Exempt Purpose Expenditures:		0
Total Exempt Purpose Expenditures:		0
Lobbying Nontaxable Amount:		0
Grassroots Nontaxable Amount:		0
Tot Lobbying Grassroot Minus Non Tx:		0
Tot Lobby Expend Mns Lobbying Non Tx:		0
Share Of Excess Lobbying:		0

Affiliated Group Business Name:	BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6032565		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		17,638,381	
Total Exempt Purpose Expenditures:		17,638,381	
Lobbying Nontaxable Amount:		1,000,000	
Grassroots Nontaxable Amount:		250,000	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0
Affiliated Group Business Name:	THE CHIEF EXECUTIVE LEADERSHIP INST OF THE YALE SCHOOL OF MANAGEMENT INC		
Address. Either US or Foreign Type:	101 CONSTITUTION AVUENUE SUITE 900 EAST WASHINGTON, DC 20001		
EIN:	58-2617787		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		2,020,540	
Total Exempt Purpose Expenditures:		2,020,540	
Lobbying Nontaxable Amount:		251,027	
Grassroots Nontaxable Amount:		62,757	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0

Affiliated Group Business Name:	THE NEW CLINICAL PROGRAM DEVELOPMENT CORPORATION		
Address. Either US or Foreign Type:	789 HOWARD AVENUE NEW HAVEN, CT 06519		
EIN:	06-1565494		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:			0
Total Exempt Purpose Expenditures:			0
Lobbying Nontaxable Amount:			0
Grassroots Nontaxable Amount:			0
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0
Affiliated Group Business Name:	YALE SOUTHERN OBSERVATORY INC		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6068906		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:			0
Total Exempt Purpose Expenditures:			0
Lobbying Nontaxable Amount:			0
Grassroots Nontaxable Amount:			0
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0

Affiliated Group Business Name:	YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6414276		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:	0		
Total Direct Lobbying:	0		
Total Lobbying Expenditures:	0		
Other Exempt Purpose Expenditures:	31,350,876		
Total Exempt Purpose Expenditures:	31,350,876		
Lobbying Nontaxable Amount:	1,000,000		
Grassroots Nontaxable Amount:	250,000		
Tot Lobbying Grassroot Minus Non Tx:	0		
Tot Lobby Expend Mns Lobbying Non Tx:	0		
Share Of Excess Lobbying:	0		
Affiliated Group Business Name:	YALE NEW HAVEN MEDICAL CENTER INC		
Address. Either US or Foreign Type:	20 YORK STREET NEW HAVEN, CT 06510		
EIN:	23-7440891		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:	0		
Total Direct Lobbying:	0		
Total Lobbying Expenditures:	0		
Other Exempt Purpose Expenditures:	1,160,076		
Total Exempt Purpose Expenditures:	1,160,076		
Lobbying Nontaxable Amount:	191,008		
Grassroots Nontaxable Amount:	47,752		
Tot Lobbying Grassroot Minus Non Tx:	0		
Tot Lobby Expend Mns Lobbying Non Tx:	0		
Share Of Excess Lobbying:	0		

Affiliated Group Business Name:	THE GRUBER FOUNDATION		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	45-1540594		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:			0
Total Direct Lobbying:			0
Total Lobbying Expenditures:			0
Other Exempt Purpose Expenditures:		5,853,492	
Total Exempt Purpose Expenditures:		5,853,492	
Lobbying Nontaxable Amount:		442,675	
Grassroots Nontaxable Amount:		110,669	
Tot Lobbying Grassroot Minus Non Tx:			0
Tot Lobby Expend Mns Lobbying Non Tx:			0
Share Of Excess Lobbying:			0

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	9
2	Aggregate value of contributions to (during year)	9,508,351
3	Aggregate value of grants from (during year)	17,676,095
4	Aggregate value at end of year	63,673,463
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► _____

4

Number of states where property subject to conservation easement is located ► _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ► _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included in Form 990, Part VIII, line 1

► \$ _____

(ii) Assets included in Form 990, Part X

► \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenue included in Form 990, Part VIII, line 1

► \$ _____

b

Assets included in Form 990, Part X

► \$ _____

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2014

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a

☒ Public exhibition

d

☒ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☒ Preservation for future generations
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
c Beginning balance	
d Additions during the year	
e Distributions during the year	
f Ending balance	
- 2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☒ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☒

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

- | | (a)Current year | (b)Prior year | b (c)Two years back | (d)Three years back | (e)Four years back |
|--|-----------------|----------------|---------------------|---------------------|--------------------|
| 1a Beginning of year balance | 24,089,614,000 | 20,993,584,000 | 19,606,479,000 | 19,630,896,000 | 16,863,612,000 |
| b Contributions | 117,434,000 | 131,476,000 | 147,031,000 | 137,655,000 | 303,444,000 |
| c Net investment earnings, gains, and losses | 2,579,415,000 | 4,037,639,000 | 2,294,825,000 | 877,883,000 | 3,465,103,000 |
| d Grants or scholarships | 165,168,000 | 162,357,000 | 157,718,000 | 152,900,000 | 146,778,000 |
| e Other expenditures for facilities and programs | 750,471,000 | 833,291,000 | 824,778,000 | 819,118,000 | 788,353,000 |
| f Administrative expenses | 81,013,000 | 77,437,000 | 72,255,000 | 67,937,000 | 66,132,000 |
| g End of year balance | 25,789,811,000 | 24,089,614,000 | 20,993,584,000 | 19,606,479,000 | 19,630,896,000 |
- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a

Board designated or quasi-endowment

15 900 %
- b

Permanent endowment

13 360 %
- c

Temporarily restricted endowment

70 740 %
- The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- (i) unrelated organizations

	Yes	No
3a(i)		No
3a(ii)		No
- (ii) related organizations
- b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b
- 4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		134,327,277		134,327,277
b Buildings	59,731,892	6,049,160,118	1,961,636,110	4,147,255,900
c Leasehold improvements		1,299,035	789,394	509,641
d Equipment		570,999,138	420,114,614	150,884,524
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				4,432,977,342

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	4,771,948,000
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains (losses) on investments	2a	-281,843,173
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	-70,680,139
e	Add lines 2a through 2d	2e	-352,523,312
3	Subtract line 2e from line 1	3	5,124,471,312
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	88,100,066
b	Other (Describe in Part XIII)	4b	249,101,147
c	Add lines 4a and 4b	4c	337,201,213
5	Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)	5	5,461,672,525

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	3,187,608,000
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	11,010,351
e	Add lines 2a through 2d	2e	11,010,351
3	Subtract line 2e from line 1	3	3,176,597,649
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	88,100,066
b	Other (Describe in Part XIII)	4b	249,101,147
c	Add lines 4a and 4b	4c	337,201,213
5	Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)	5	3,513,798,862

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART III, LINE 1A	YALE UNIVERSITY FINANCIAL STATEMENTS - COLLECTIONS FOOTNOTE COLLECTIONS AT YALE INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S MUSEUMS AND LIBRARIES. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE. COLLECTIONS ARE NOT CAPITALIZED, PURCHASES OF COLLECTION ITEMS ARE RECORDED AS OPERATING EXPENSES IN THE UNIVERSITY'S CONSOLIDATED FINANCIAL STATEMENTS IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED.
PART III, LINE 4	YALE UNIVERSITY COLLECTIONS ARE AN INTEGRAL PART OF TEACHING AND LEARNING ACROSS THE UNIVERSITY AND INCLUDE THE YALE UNIVERSITY ART GALLERY, THE YALE CENTER FOR BRITISH ART, THE PEABODY MUSEUM OF NATURAL HISTORY, AND THE COLLECTION OF MUSICAL INSTRUMENTS. ALL THE COLLECTIONS ARE OPEN TO THE PUBLIC. THE YALE UNIVERSITY ART GALLERY, THE YALE UNIVERSITY ART GALLERY, FOUNDED IN 1832, IS THE OLDEST U.S. COLLEGE ART MUSEUM. THE GALLERY HOUSES MORE THAN 200,000 OBJECTS REPRESENTING EASTERN AND WESTERN CULTURES AND RANGING IN DATE FROM ANCIENT TIMES TO THE PRESENT DAY. THE COLLECTION INCLUDES ANCIENT, MEDIEVAL AND RENAISSANCE ART, NEAR AND FAR EASTERN ART, ARCHAEOLOGICAL MATERIAL, PRE-COLOMBIAN AND AFRICAN ART, WORKS OF EUROPEAN AND AMERICAN MASTERS FROM VIRTUALLY EVERY PERIOD, AND A RICH COLLECTION OF MODERN AND CONTEMPORARY ART. THE MISSION OF THE YALE UNIVERSITY ART GALLERY IS TO ENCOURAGE APPRECIATION AND UNDERSTANDING OF ART AND ITS ROLE IN SOCIETY THROUGH DIRECT ENGAGEMENT WITH ORIGINAL WORKS OF ART. THE GALLERY STIMULATES ACTIVE LEARNING ABOUT ART AND THE CREATIVE PROCESS THROUGH RESEARCH, TEACHING, AND DIALOGUE AMONG COMMUNITIES OF YALE STUDENTS, FACULTY, ARTISTS, SCHOLARS, ALUMNI, AND THE WIDER PUBLIC. THE GALLERY ORGANIZES EXHIBITIONS AND EDUCATIONAL PROGRAMS TO OFFER ENJOYMENT AND ENCOURAGE INQUIRY, WHILE BUILDING AND MAINTAINING ITS COLLECTIONS IN TRUST FOR FUTURE GENERATIONS. THE YALE CENTER FOR BRITISH ART, THE YALE CENTER FOR BRITISH ART IS A PUBLIC ART MUSEUM AND RESEARCH INSTITUTE FOR THE STUDY OF BRITISH ART AND CULTURE. THE YALE CENTER FOR BRITISH ART, WHICH OPENED IN 1977, HOUSES THE LARGEST COLLECTION OF BRITISH ART OUTSIDE THE UNITED KINGDOM. THE COLLECTION OF PAINTINGS, SCULPTURE, PRINTS, DRAWINGS, RARE BOOKS, AND MANUSCRIPTS REFLECTS THE DEVELOPMENT OF BRITISH ART AND LIFE FROM THE ELIZABETHAN PERIOD ONWARD. THE CENTER OFFERS A YEAR-ROUND SCHEDULE OF EXHIBITIONS AND PROGRAMS, INCLUDING LECTURES, CONFERENCES, FILMS, CONCERTS, AND PERFORMANCES. THE YALE CENTER FOR BRITISH ART OVERSEES AN ACTIVE RESEARCH PROGRAM THROUGH WHICH IT PROMOTES AND FOSTERS THE SCHOLARSHIP OF ALL ASPECTS OF BRITISH ART AND MATERIAL CULTURE. ACADEMIC RESOURCES OF THE CENTER INCLUDE THE REFERENCE LIBRARY AND ARCHIVE, CONSERVATION LABORATORIES, A STUDY ROOM FOR EXAMINING WORKS ON PAPER AS WELL AS RARE BOOKS AND MANUSCRIPTS FROM THE COLLECTION, AND AN ONLINE CATALOGUE OF THE COLLECTIONS. PEABODY MUSEUM, YALE'S PEABODY MUSEUM OF NATURAL HISTORY, FOUNDED IN 1866, IS ONE OF THE OLDEST AND LARGEST UNIVERSITY-BASED NATURAL HISTORY MUSEUMS, WITH MORE THAN 13 MILLION OBJECTS HOUSED IN 10 DIFFERENT DIVISIONS AND REPRESENTING MORE THAN 4 BILLION YEARS OF GEOLOGICAL, BIOLOGICAL, AND HUMAN HISTORY. THESE COLLECTIONS ARE HISTORICALLY IMPORTANT, AND ALL ARE IN ACTIVE USE BY SCHOLARS FROM YALE AND ELSEWHERE. MUSEUMS AND THE EXPERTISE THAT SURROUNDS THEM ARE VIBRANT ELEMENTS OF YALE'S CULTURE OF SCHOLARSHIP AND TEACHING. THE PEABODY IS A WORKING MUSEUM, WHERE PUBLIC EXHIBITION, RESEARCH, CONSERVATION, TEACHING, AND LEARNING INTERSECT. ITS MISSION IS TO SERVE THE UNIVERSITY BY ADVANCING THE UNDERSTANDING OF EARTH'S HISTORY THROUGH GEOLOGICAL, BIOLOGICAL, AND ANTHROPOLOGICAL RESEARCH, AND BY COMMUNICATING THE RESULTS OF THIS RESEARCH TO THE WIDEST POSSIBLE AUDIENCE THROUGH PUBLICATION, EXHIBITION, AND EDUCATIONAL PROGRAMS. FUNDAMENTAL TO THIS MISSION IS STEWARDSHIP OF THE MUSEUM'S RICH COLLECTIONS, WHICH PROVIDE A REMARKABLE RECORD OF THE HISTORY OF THE EARTH, ITS LIFE, AND ITS CULTURES. CONSERVATION, AUGMENTATION AND USE OF THESE COLLECTIONS ARE INCREASINGLY URGENT AS MODERN THREATS TO THE DIVERSITY OF LIFE AND CULTURE CONTINUE TO INTENSIFY. THE COLLECTIONS OF THE YALE PEABODY MUSEUM OF NATURAL HISTORY PROVIDE MATERIAL SUPPORT FOR UNDERGRADUATE RESEARCH AT YALE UNIVERSITY. THE MUSEUM'S CURATORIAL DIVISIONS MAINTAIN TEACHING COLLECTIONS FOR USE IN A WIDE RANGE OF UNDERGRADUATE CLASSROOM AND LABORATORY COURSES, FROM FORENSIC ANTHROPOLOGY TO EVOLUTIONARY BIOLOGY. THESE COLLECTIONS ARE ACTIVELY USED FOR SENIOR THESIS RESEARCH. IN ADDITION, EACH YEAR THE YALE PEABODY MUSEUM PROVIDES EDUCATIONAL PROGRAMS ON BIOLOGY, PALEONTOLOGY, GEOLOGY, ANCIENT CIVILIZATIONS, SOCIAL STUDIES AND ART TO MORE THAN 25,000 VISITORS FROM THROUGHOUT THE NORTHEAST, INCLUDING ELEMENTARY THROUGH COLLEGE STUDENTS AND OTHER PRIVATE AND COMMUNITY-BASED GROUPS. ALL PROGRAMS DRAW ON THE MUSEUM'S EXHIBITS TO MEET THE INCREASINGLY SOPHISTICATED NEEDS OF SCIENCE AND SOCIAL STUDIES EDUCATION AND MOST CAN BE ADAPTED TO ACCOMMODATE SPECIFIC GROUP NEEDS AS REQUESTED. THE MUSEUM'S COLLECTIONS ARE AVAILABLE TO LEGITIMATE RESEARCHERS AT ESTABLISHED INSTITUTIONS FOR SCHOLARLY USE. COLLECTION OF MUSICAL INSTRUMENTS: ESTABLISHED IN 1900, THE COLLECTION OF MUSICAL INSTRUMENTS TODAY COMPRISES NEARLY ONE THOUSAND INSTRUMENTS, THE MAJORITY DOCUMENTING THE HISTORY OF WESTERN ART MUSIC. IT IS COMMITTED TO FOSTERING THE UNDERSTANDING AND APPRECIATION OF MUSICAL INSTRUMENTS FROM ALL CULTURES AND PERIODS. ONE OF THE FOREMOST INSTITUTIONS OF ITS KIND, THE COLLECTION ACQUIRES, PRESERVES, AND EXHIBITS MUSICAL INSTRUMENTS FROM ANTIQUITY TO THE PRESENT, FEATURING RESTORED EXAMPLES IN DEMONSTRATION AND LIVE PERFORMANCE. IT PROVIDES ACCESS TO AND DISSEMINATES INFORMATION ABOUT ITS HOLDINGS TO YALE STUDENTS, FACULTY, AND STAFF, TO SCHOLARS, MUSICIANS, AND INSTRUMENT MAKERS, AND TO THE BROADER PUBLIC. AN IMPORTANT RESOURCE FOR THE MUSIC CURRICULA OF THE UNIVERSITY, THE COLLECTION SERVES AS A LABORATORY FOR COURSES IN THE HISTORY OF MUSICAL INSTRUMENTS AND AS A SUPPLEMENTAL ARCHIVE FOR COURSES TAUGHT IN THE ARTS AND SCIENCES. THE COLLECTION MAINTAINS REGULAR PUBLIC VISITING HOURS, MOUNTS EXHIBITS, AND PRESENTS AN ANNUAL SERIES OF CONCERTS, LECTURES, TOURS, AND SPECIAL CONCERTS ARE OFFERED THROUGHOUT THE YEAR. EXHIBITIONS ARE ALSO FREQUENTLY MOUNTED AT THE FOLLOWING VENUES ON CAMPUS: YALE SCHOOL OF ARCHITECTURE GALLERY, BEINECKE RARE BOOK AND MANUSCRIPT LIBRARY, GREEN HALL GALLERY (SCHOOL OF ART), 32 EDGEWOOD GALLERY (SCHOOL OF ART), GALLERY AT WHITNEY, AND STERLING MEMORIAL LIBRARY, AS WELL AS AT OTHER NON-YALE LOCATIONS AND INSTITUTIONS THROUGH UNIVERSITY LOAN OR EXCHANGE PROGRAMS. YALE UNIVERSITY LIBRARY: IN ADDITION TO ITS MUSEUMS, THE YALE UNIVERSITY LIBRARY SERVES TEACHING, LEARNING AND RESEARCH AT YALE AND FOR THE WORLD-WIDE SCHOLARLY COMMUNITY THROUGH OUR STAFF, COLLECTIONS, LIBRARY SPACES, AND SYSTEMS. THE COLLECTION OF NEARLY 15 MILLION PRINT AND ELECTRONIC VOLUMES IS HOUSED IN 15 LIBRARIES, INCLUDING STERLING MEMORIAL, BEINECKE, AND BASS LIBRARIES, THE CENTER FOR SCIENCE AND SOCIAL SCIENCE INFORMATION, AS WELL AS MANY OTHER SCHOOL AND DEPARTMENTAL LIBRARIES. COLLECTIONS RANGE FROM ANCIENT PAPYRI AND EARLY PRINTED BOOKS TO DIGITAL COLLECTIONS AND ELECTRONIC DATABASES, AND THEY TRANSCEND A WIDE ARRAY OF FORMATS INCLUDING TEXT, PHOTOGRAPHS, VIDEO, AUDIO, DATA, MAPS, AND EPHEMERA. IN BUILDING THE MAGNIFICENT COLLECTIONS OVER THE LAST THREE CENTURIES, YALE MADE A STEWARDSHIP COMMITMENT TO ASSIST IN THE PRESERVATION OF HUMAN KNOWLEDGE. THE LIBRARY ALSO HAS A RESPONSIBILITY TO BRING DIGITAL RESOURCES TO THE YALE COMMUNITY AND TO ADDRESS THE INTIMIDATING CHALLENGES OF DIGITAL PRESERVATION.
PART IV, LINE 2B	THE AMOUNT REPORTED ON FORM 990, PART X, LINE 21, ESCROW ACCOUNT LIABILITY, CONSISTS OF SECURITY DEPOSITS RELATED TO LEASE AGREEMENTS AND OTHER DEPOSITS. PART V, LINE 1, ENDOWMENT FUNDS INCLUDE THE VALUE OF THE ENDOWMENT AND UNEXPENDED ENDOWMENT INCOME AT JUNE 30, 2015.
PART V, LINE 4	THE ENDOWMENT PROVIDES THE LARGEST SOURCE OF SUPPORT FOR THE ACADEMIC PROGRAMS OF THE UNIVERSITY. SPENDING FROM THE ENDOWMENT GREW DURING THE LAST DECADE FROM \$567 MILLION TO \$1.1 BILLION, AN ANNUAL GROWTH RATE OF 6.7%. YALE'S SPENDING AND INVESTMENT POLICIES PROVIDED SUBSTANTIAL LEVELS OF CASH FLOW TO THE OPERATING BUDGET FOR CURRENT SCHOLARS WHILE PRESERVING ENDOWMENT PURCHASING POWER FOR FUTURE GENERATIONS. THE YALE ENDOWMENT CONTAINS THOUSANDS OF FUNDS WITH VARIOUS PURPOSES AND RESTRICTIONS. APPROXIMATELY 84% OF FUNDS CONSTITUTE TRUE ENDOWMENT, GIFTS RESTRICTED BY DONORS TO PROVIDE LONG-TERM FUNDING FOR DESIGNATED PURPOSES. THE REMAINING FUNDS REPRESENT QUASI-ENDOWMENT, MONIES THAT THE YALE CORPORATION CHOOSES TO INVEST AND TREAT AS ENDOWMENT. DONORS FREQUENTLY SPECIFY A PARTICULAR PURPOSE FOR GIFTS, CREATING ENDOWMENTS TO FUND PROFESSORSHIPS, TEACHING, AND LECTURESHIPS (24%), SCHOLARSHIPS, FELLOWSHIPS, AND PRIZES (17%), MAINTENANCE (4%), BOOKS (3%), AND MISCELLANEOUS SPECIFIC PURPOSES (27%). TWENTY-FIVE PERCENT OF FUNDS ARE UNRESTRICTED. TWENTY-THREE PERCENT OF THE ENDOWMENT BENEFITS THE OVERALL UNIVERSITY, WITH REMAINING FUNDS FOCUSED ON SPECIFIC UNITS, INCLUDING THE FACULTY OF ARTS AND SCIENCES (37%), THE PROFESSIONAL SCHOOLS (26%), THE LIBRARY (7%), AND OTHER ENTITIES (7%). PART X, LINE 2: THE UNIVERSITY WAS NOT REQUIRED TO INCLUDE A FIN 48 (ASC 740), ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, FOOTNOTE IN ITS FINANCIAL STATEMENTS FOR THE FISCAL YEAR ENDED JUNE 30, 2015.
PART XI, LINE 2D - OTHER ADJUSTMENTS	AFFILIATED ORGANIZATION INCOME(LOSS) 35,367,791 CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS (119,262,000)ACTUARIAL ADJUSTMENT FOR SPLIT INTEREST LIABILITY 14,940,070 NON-CONTROLLING INTEREST (1,726,000)TOTAL (70,680,139)
PART XI, LINE 4B - OTHER ADJUSTMENTS	EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS (12,851,501) TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 261,952,648 TOTAL 249,101,147
PART XII, LINE 2D - OTHER ADJUSTMENTS	AFFILIATED ORGANIZATION EXPENSE 11,010,351

[illegible]

Additional Data

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990, Schedule D, Part X, - Other Liabilities

1	(a) Description of Liability	(b) Book Value
	EMPLOYEE BENEFIT OBLIGATIONS	955,440,196
	COMPENSATED ABSENCES	64,096,987
	FINANCIAL AID GRANT OBLIGATIONS	50,703,000
	ASSET RETIREMENT OBLIGATIONS	36,800,000
	CAPITAL LEASE OBLIGATIONS	54,264,875
	LIABILITIES UNDER SPLIT-INTEREST AGREEMENTS	114,605,747
	ADVANCES FROM FEDERAL GOV'T FOR STUDENT LOANS	31,437,624
	SECURITIES SOLD NOT YET PURCHASED	220,550,888
	DUE TO INSTITUTIONS	1,770,045,854
	OTHER LIABILITIES	238,674,351

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990,
Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2014

Open to Public
Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number

06-0646973

Part I

- 1** Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2** Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3** Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

- 4** Does the organization maintain the following?
- a** Records indicating the racial composition of the student body, faculty, and administrative staff?
- b** Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c** Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d** Copies of all material used by the organization or on its behalf to solicit contributions?
- If you answered "No" to any of the above, please explain If you need more space, use Part II

- 5** Does the organization discriminate by race in any way with respect to
- a** Students' rights or privileges?
- b** Admissions policies?
- c** Employment of faculty or administrative staff?
- d** Scholarships or other financial assistance?
- e** Educational policies?
- f** Use of facilities?
- g** Athletic programs?
- h** Other extracurricular activities?
- If you answered "Yes" to any of the above, please explain If you need more space, use Part II

- 6a** Does the organization receive any financial aid or assistance from a governmental agency?
- b** Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either line 6a or line 6b, explain on Part II

- 7** Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	
4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	
5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No
6a	Yes	
6b		No
7	Yes	

Part III Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions)

Return Reference	Explanation
SCHEDULE E, PART I, LINE 3	SEE SCHEDULE E PAGE 2
SCHEDULE E, LINE 3	YALE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT THE UNIVERSITY IS COMMITTED TO BASING JUDGMENTS CONCERNING THE ADMISSION, EDUCATION, AND EMPLOYMENT OF INDIVIDUALS UPON THEIR QUALIFICATIONS AND ABILITIES AND AFFIRMATIVELY SEEKS TO ATTRACT TO ITS FACULTY, STAFF, AND STUDENT BODY QUALIFIED PERSONS OF DIVERSE BACKGROUNDS IN ACCORDANCE WITH THIS POLICY AND AS DELINEATED BY FEDERAL AND CONNECTICUT LAW, YALE DOES NOT DISCRIMINATE IN ADMISSIONS, EDUCATIONAL PROGRAMS, OR EMPLOYMENT AGAINST ANY INDIVIDUAL ON ACCOUNT OF THAT INDIVIDUAL'S SEX, RACE, COLOR, RELIGION, AGE, DISABILITY, STATUS AS A SPECIAL DISABLED VETERAN, VETERAN OF THE VIETNAM ERA OR OTHER COVERED VETERAN, OR NATIONAL OR ETHNIC ORIGIN, NOR DOES YALE DISCRIMINATE ON THE BASIS OF SEXUAL ORIENTATION OR GENDER IDENTITY OR EXPRESSION UNIVERSITY POLICY IS COMMITTED TO AFFIRMATIVE ACTION UNDER LAW IN EMPLOYMENT OF WOMEN, MINORITY GROUP MEMBERS, INDIVIDUALS WITH DISABILITIES, SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER COVERED VETERANS THE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT IS MADE KNOWN TO ALL SEGMENTS OF THE GENERAL COMMUNITY SERVICED BY THE UNIVERSITY IT IS INCLUDED IN CATALOGUES AND BROCHURES DEALING WITH STUDENT ADMISSIONS, PROGRAMS AND SCHOLARSHIPS OF THE UNIVERSITY AS WELL AS ON ITS WEBSITE WHICH ARE ALL AVAILABLE TO THE GENERAL PUBLIC
SCHEDULE E, LINE 6	THE UNIVERSITY HAS RECEIVED AND CONTINUES TO RECEIVE STUDENT FINANCIAL AID AND GRANTS FROM GOVERNMENTAL AGENCIES

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990.
► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	0	0			425,013
b Total from continuation sheets to Part I	4	122			2,767,288,818
c Totals (add lines 3a and 3b)	4	122			2,767,713,831

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)	See Add'l Data								
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶

22

3

Enter total number of other organizations or entities ▶

83

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) See Add'l Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)*

☒ Yes ☐ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)*

☒ Yes ☐ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART I, LINE 2	WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY 'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBAWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND MANAGEMENT OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART I, LINE 3	PURSUANT TO THE FORM INSTRUCTIONS, THE FOLLOWING IS A BREAKOUT OF AMOUNTS PROVIDED AS INVESTMENTS INVESTMENTS EXPENDITURES CENTRAL AMERICA AND THE CARIBBEAN 2,263,705,970 8,833,845 EAST ASIA AND THE PACIFIC 1,194,402 237,014 EUROPE (INCLUDING ICELAND & GREENLAND) 193,313,005 376,316 NORTH AMERICA 20,638,030 18,344 SOUTH AMERICA 0 2,684,259 SOUTH ASIA 0 32,618 SUB-SAHARAN AFRICA 228,686,270 0

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART II, LINE 3	THE TOTAL NUMBER REPORTED ON LINE 3 INCLUDES TAX EXEMPT ORGANIZATIONS AND PUBLIC INSTITUTIONS MOST OF THE SUBAWARD RECIPIENTS ARE UNIVERSITIES, HOSPITALS, AND RESEARCH INSTITUTIONS

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART II	A SUBAWARD IS AN AWARD OF FINANCIAL SUPPORT FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT SCHEDULE F, PART III, COLUMNS (C) AND (D) THE AMOUNTS REPORTED IN COLUMNS (C) AND (D) REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2015 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2015

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	15,697
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	28,922
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	90,391

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	1,143
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	29,544
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	25,315

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	RESEARCH	205,784
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	28,217
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	33,567

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	FUNDRAISING		2,392
CENTRAL AMERICA AND THE CARIBBEAN	0	0	GRANTMAKING		509,869
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENTS		2,272,539,815

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	1	16	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	2,775,518
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	46,218
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	1,241,316

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	41,409
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	123,080
EAST ASIA AND THE PACIFIC	2	12	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	1,870,749

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	5	PROGRAM SERVICES	RESEARCH	550,728
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	132,789
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	282,014

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	32,927
EAST ASIA AND THE PACIFIC	0	0	FUNDRAISING		96,853
EAST ASIA AND THE PACIFIC	0	0	GRANTMAKING		3,366,076

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	INVESTMENTS		1,431,416
EUROPE (INCLUDING ICELAND & GREENLAND)	0	5	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	727,008
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	111,044

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	3,310,098
EUROPE (INCLUDING ICELAND & GREENLAND)	0	1	PROGRAM SERVICES	CULTURAL EXCHANGE	102,060
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	104,200

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	20	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	11,307,335
EUROPE (INCLUDING ICELAND & GREENLAND)	0	8	PROGRAM SERVICES	RESEARCH	1,415,717
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	81,833

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	695,976
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	49,563
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	FUNDRAISING		118,532

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTMAKING		6,150,128
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	INVESTMENTS		193,689,321
MIDDLE EAST AND NORTH AFRICA	0	1	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	72,184

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	142,869
MIDDLE EAST AND NORTH AFRICA	0	1	PROGRAM SERVICES	INSTRUCTION/TEACHING	29,221
MIDDLE EAST AND NORTH AFRICA	0	4	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	58,041

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	1	8	PROGRAM SERVICES	RESEARCH	259,661
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	19,483
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	26,251

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	9,098
MIDDLE EAST AND NORTH AFRICA	0	0	FUNDRAISING		7,671
MIDDLE EAST AND NORTH AFRICA	0	0	GRANTMAKING		644,844

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	1	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	46,057
NORTH AMERICA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	67,970
NORTH AMERICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	811,870

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	25,296
NORTH AMERICA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	2,803
NORTH AMERICA	0	10	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	75,453

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	3	PROGRAM SERVICES	RESEARCH	54,860
NORTH AMERICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	55,027
NORTH AMERICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	14,730

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	7,114
NORTH AMERICA	0	0	FUNDRAISING		13,309
NORTH AMERICA	0	0	GRANTMAKING		904,171

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	INVESTMENTS		20,656,374
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	2	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	47,754
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	33,026

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	8,684
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	PROGRAM SERVICES	RESEARCH	87,376
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	4,498

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	17,402
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	GRANTMAKING		845,318
SOUTH AMERICA	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	107,618

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	11,192
SOUTH AMERICA	0	1	PROGRAM SERVICES	CONFERENCES & SEMINARS	365,266
SOUTH AMERICA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	7,941

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	55,674
SOUTH AMERICA	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	87,715
SOUTH AMERICA	0	2	PROGRAM SERVICES	RESEARCH	314,260

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	56,026
SOUTH AMERICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	197,255
SOUTH AMERICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	6,017

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	FUNDRAISING		9,629
SOUTH AMERICA	0	0	GRANTMAKING		1,705,487
SOUTH AMERICA	0	0	INVESTMENTS		2,684,259

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	48,040
SOUTH ASIA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	13,894
SOUTH ASIA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	98,671

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	21,245
SOUTH ASIA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	12,277
SOUTH ASIA	0	0	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	30,267

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	2	PROGRAM SERVICES	RESEARCH	159,312
SOUTH ASIA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	52,327
SOUTH ASIA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	11,818

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	2,432
SOUTH ASIA	0	0	FUNDRAISING		9,661
SOUTH ASIA	0	0	GRANTMAKING		992,962

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	INVESTMENTS		32,618
SUB-SAHARAN AFRICA	0	3	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	132,884
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	ALUMNI RELATIONS	7,455

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	CONFERENCES & SEMINARS	128,448
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	CULTURAL EXCHANGE	1,984
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	INSTRUCTION/TEACHING	157,054

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	78,399
SUB-SAHARAN AFRICA	0	15	PROGRAM SERVICES	RESEARCH	1,503,002
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	STUDENT RECRUITMENT	4,658

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	90,816
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	196,538
SUB-SAHARAN AFRICA	0	0	FUNDRAISING		2,299

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	GRANTMAKING		1,217,182
SUB-SAHARAN AFRICA	0	0	INVESTMENTS		228,686,270

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		CENTRAL AMERICA AND THE CARIBBEAN	SUBAWARD - SPONSORED RESEARCH	352,576	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	15,000	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	5,000	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	57,027	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	71,905	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	59,433	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	11,190	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	30,230	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	7,997	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	25,977	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	149,257	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	12,457	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	78,354	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	6,500	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	190,458	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,675	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	116,512	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	7,986	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	9,299	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	138,515	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,400	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	9,276	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	40,780	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	9,376	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	16,994	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	9,300	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,945	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,687	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,877	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	59,763	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	21,120	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	20,746	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	7,319	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	126,107	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	38,042	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	26,477	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,411	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,144	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	56,803	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	22,161	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	12,286	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,099	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	24,219	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,861	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	18,271	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	13,031	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,150	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	116,484	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,009	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	14,961	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	7,601	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	337,629	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,783	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	16,702	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	88,000	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	12,164	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	495,164	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	70,943	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	9,897	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	23,655	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	24,175	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,281	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,434	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	37,267	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,977	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	62,000	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	18,278	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	6,200	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	5,587	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	21,680	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	6,793	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	15,374	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	9,474	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	64,021	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	22,499	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	31,404	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	16,843	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	35,428	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	176,600	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	110,782	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	6,089	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	63,979	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	113,354	WIRE			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	19,711	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	24,961	WIRE			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	129,265	WIRE			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	276,099	WIRE			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	58,008	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	28,973	WIRE			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	20,871	WIRE			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	339,444	WIRE			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	208,595	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	37,694	WIRE			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	188,943	WIRE			
		SOUTH ASIA	SUBAWARD - SPONSORED RESEARCH	28,678	WIRE			
		SOUTH ASIA	SUBAWARD - SPONSORED RESEARCH	380,980	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	SUBAWARD - SPONSORED RESEARCH	75,828	WIRE			
		SOUTH ASIA	SUBAWARD - SPONSORED RESEARCH	43,375	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	21,259	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	129,063	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	130,450	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	60,427	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	16,803	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	142,329	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	37,680	WIRE			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FELLOWSHIPS	CENTRAL AMERICA AND THE CARIBBEAN	19	58,900	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	EAST ASIA AND THE PACIFIC	209	1,857,730	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	EUROPE (INCLUDING ICELAND AND GREENLAND)	100	390,709	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	MIDDLE EAST AND NORTH AFRICA	55	185,148	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	NORTH AMERICA	28	81,765	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	RUSSIA AND THE NEWLY INDEPENDENT STATES	11	201,483	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	SOUTH AMERICA	52	328,076	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	SOUTH ASIA	19	249,068	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	SUB-SAHARAN AFRICA	56	336,827	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	CENTRAL AMERICA AND THE CARIBBEAN	14	44,437	ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT STIPENDS	EAST ASIA AND THE PACIFIC	48	297,380	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	EUROPE (INCLUDING ICELAND AND GREENLAND)	74	653,349	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	MIDDLE EAST AND NORTH AFRICA	5	16,866	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	NORTH AMERICA	10	82,516	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	RUSSIA AND THE NEWLY INDEPENDENT STATES	4	39,980	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SOUTH AMERICA	41	167,993	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SOUTH ASIA	17	161,265	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SUB-SAHARAN AFRICA	29	163,000	ELECTRONIC FUND OR WIRE TRANSFER			
SCHOLARSHIPS	CENTRAL AMERICA AND THE CARIBBEAN	6	53,956	CHECK			
SCHOLARSHIPS	EAST ASIA AND THE PACIFIC	40	399,025	CHECK			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	EUROPE (INCLUDING ICELAND AND GREENLAND)	293	2,853,927	CHECK			
SCHOLARSHIPS	MIDDLE EAST AND NORTH AFRICA	35	293,240	CHECK			
SCHOLARSHIPS	NORTH AMERICA	7	34,787	CHECK			
SCHOLARSHIPS	RUSSIA AND THE NEWLY INDEPENDENT STATES	10	83,283	CHECK			
SCHOLARSHIPS	SOUTH AMERICA	56	411,106	CHECK			
SCHOLARSHIPS	SOUTH ASIA	10	38,003	CHECK			
SCHOLARSHIPS	SUB-SAHARAN AFRICA	14	166,879	CHECK			
OTHER ASSISTANCE - HEALTH FEES	EAST ASIA AND THE PACIFIC	59	91,157	CHECK			
OTHER ASSISTANCE - HEALTH FEES	EUROPE (INCLUDING ICELAND AND GREENLAND)	86	90,386	CHECK			
OTHER ASSISTANCE - HEALTH FEES	MIDDLE EAST AND NORTH AFRICA	4	4,204	CHECK			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
OTHER ASSISTANCE - HEALTH FEES	NORTH AMERICA	8	19,432	CHECK			
OTHER ASSISTANCE - HEALTH FEES	RUSSIA AND THE NEWLY INDEPENDENT STATES	7	7,357	CHECK			
OTHER ASSISTANCE - HEALTH FEES	SOUTH AMERICA	12	23,636	CHECK			
OTHER ASSISTANCE - HEALTH FEES	SOUTH ASIA	15	15,765	CHECK			
OTHER ASSISTANCE - HEALTH FEES	SUB-SAHARAN AFRICA	11	12,466	CHECK			

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the
organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public
Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number

06-0646973

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a** ☐ Mail solicitations

b ☐ Internet and email solicitations

c ☐ Phone solicitations

d ☐ In-person solicitations

e ☐ Solicitation of non-government grants

f ☐ Solicitation of government grants

g ☐ Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ **Yes** ☐ **No**
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶						

- 3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.
-
-

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>GOLF OUTING</u> (event type)	<u>GOLF OUTING</u> (event type)	<u>11</u> (total number)	(add col (a) through col (c))
Revenue	1	Gross receipts	132,210	66,951	484,985
	2	Less Contributions	113,357	37,725	148,345
	3	Gross income (line 1 minus line 2)	18,853	29,226	336,640
Direct Expenses	4	Cash prizes			
	5	Noncash prizes	4,551	3,690	14,069
	6	Rent/facility costs	4,500	6,885	13,854
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	21,260	20,141	210,364
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶			
	11	Net income summary Subtract line 10 from line 3, column (d) ▶			
					(299,314)
					85,405

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue		21,829	21,829
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes		4,700	4,700
	4	Rent/facility costs		319	319
	5	Other direct expenses			
	6	Volunteer labor <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input checked="" type="checkbox"/> No	
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Subtract line 7 from line 1, column (d) ▶			
					5,019
					16,810

9 Enter the state(s) in which the organization conducts gaming activities CT

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☒ No

b If "No," explain _____
THE UNIVERSITY CONDUCTED OCCASIONAL RAFFLES FOR WHICH A CONNECTICUT LICENSE WAS NOT REQUIRED

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☒ No

b If "Yes," explain _____

11

Does the organization conduct gaming activities with nonmembers?

☐ Yes ☒ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☒ No

13

Indicate the percentage of gaming activities conducted in

a	The organization's facility	13a	100 000 %
b	An outside facility	13b	%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name

DIRECTOR OF ACCOUNTING AND FIN SERVICES ATHLETICS

Address

PO BOX 208216
NEW HAVEN, CT 065208216

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☒ No

b

If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c

If "Yes," enter name and address of the third party

Name

Address

16

Gaming manager information

Name

NA

Gaming manager compensation \$

Description of services provided

☐ Director/officer

☐ Employee

☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☒ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV Supplemental Information.

Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Return Reference	Explanation
------------------	-------------

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public
Inspection

Employer identification number

06-0646973

Part I

General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

247

3

Enter total number of other organizations listed in the line 1 table

25

Part III

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) STUDENT STIPENDS	3867	68,455,585			
(2) SCHOLARSHIPS	7876		257,618,443	COST	TUITION REDUCTION
(3) HEALTH PREMIUM AWARDS	2756		10,384,828	COST	HEALTHCARE
(4) FELLOWSHIPS	1102	6,898,815			
(5) PRIZES AND AWARDS	1363	3,466,914			

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
SCHEDULE I, PART I, LINE 2	WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND MANAGEMENT OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.
SCHEDULE I, PART II	A SUBAWARD IS AN AWARD OF FINANCIAL SUPPORT FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT.
SCHEDULE I, PART III	THE AMOUNTS REPORTED IN PART III REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2015 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS. SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2015.

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ABT SRBI INC275 SEVENTH AVENUE SUITE 2700 NEW YORK,NY 10001	13-3077900		50,000				SUBAWARD - SPONSORED RESEARCH
ADVANCED NEUROLOGY SPECIALISTS400 15TH AVENUE SOUTH SUITE 206 GREAT FALLS,MT 59405	20-1821484		12,928				SUBAWARD - SPONSORED RESEARCH
ADVENTIST HEALTH SYSTEM-SUNBELT INC601 E ROLLINS STREET ORLANDO,FL 32803	59-0724459	501(C)(3)	37,643				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AGILENT TECHNOLOGIES INC4187 COLLECTIONS CENTER DRIVE CHICAGO,IL 60693	77-0518772		314,281				SUBAWARD - SPONSORED RESEARCH
AIDS PROJECT NEW HAVEN INC1302 CHAPEL STREET NEW HAVEN,CT 06511	22-2506184	501(C)(3)	360,789				SUBAWARD - SPONSORED RESEARCH
ALERE WELLBEING INC999 THIRD AVENUE SUITE 2700 SEATTLE,WA 98104	20-0231080		42,883				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALLEGHENY-SINGER RESEARCH INSTITUTE2 ALLEGHENY CENTER 11TH FLOOR PITTSBURGH, PA 152124746	25-1320493	501(C)(3)	22,945				SUBAWARD - SPONSORED RESEARCH
ALTRU HEALTH SYSTEM 1200 SOUTH COLUMBIA ROAD GRAND FORKS,ND 58201	45-0310462	501(C)(3)	9,845				SUBAWARD - SPONSORED RESEARCH
AMERICAN COLLEGE OF CARDIOLOGY FOUNDATION2400 N STREET NW WASHINGTON,DC 20037	13-5641985	501(C)(3)	9,365				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN PSYCHOSOCIAL ONCOLOGY SOCIETY154 HANSEN ROAD SUITE 201 CHARLOTTESVILLE, VA 22911	04-3720121	501(C)(3)	83,492				SUBAWARD - SPONSORED RESEARCH
AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES2025 E STREET NW WASHINGTON, DC 200065009	53-0196605	501(C)(3)	961,249				SUBAWARD - SPONSORED RESEARCH
APT FOUNDATION INCONE LONG WHARF DRIVE SUITE 321 NEW HAVEN, CT 06511	23-7061218	501(C)(3)	789,549				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ATLANTA RESEARCH & EDUCATION FOUNDATION INC1902 CLAIRMONT ROAD DECATUR,GA 30033	58-1857346	501(C)(3)	85,286				SUBAWARD - SPONSORED RESEARCH
BALTIMORE RESEARCH & EDUCATION FOUNDATION INC10 NORTH GREENE STREET BALTIMORE,MD 21201	52-1705976	501(C)(3)	91,263				SUBAWARD - SPONSORED RESEARCH
BANNER HEALTH901 E WILLETTA STREET PHOENIX,AZ 85006	45-0233470	501(C)(3)	60,748				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BARKER BI-COASTAL HEALTH CONSULTATIONS INC3556 ELM DRIVE CALABASAS,CA 91302	20-8139387		18,785				SUBAWARD - SPONSORED RESEARCH
BAYLOR COLLEGE OF MEDICINEONE BAYLOR PLAZA HOUSTON,TX 770303498	74-1613878	501(C)(3)	291,360				SUBAWARD - SPONSORED RESEARCH
BAYLOR UNIVERSITYONE BEAR PLACE 97041 WACO,TX 767987041	74-1159753	501(C)(3)	347,801				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYSTATE MEDICAL CENTER INC759 CHESTNUT STREET SPRINGFIELD,MA 01199	04-2790311	501(C)(3)	225,751				SUBAWARD - SPONSORED RESEARCH
BECKMAN RESEARCH INSTITUTE OF THE CITY OF HOPE1500 E DUARTE ROAD DUARTE,CA 910103000	95-3432210	501(C)(3)	18,439				SUBAWARD - SPONSORED RESEARCH
BENAROYA RESEARCH INSTITUTE AT VIRGINIA MASON1201 NINTH AVENUE SEATTLE,WA 981012795	91-0653422	501(C)(3)	8,438				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BETH ISRAEL DEACONESS MEDICAL CENTER330 BROOKLINE AVENUE BOSTON, MA 02215	04-2103881	501(C)(3)	282,179				SUBAWARD - SPONSORED RESEARCH
BHCARE INC127 WASHINGTON AVENUE 3RD FLOOR NORTH HAVEN, CT 06473	22-2598799	501(C)(3)	7,812				SUBAWARD - SPONSORED RESEARCH
BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION4505 MARYLAND PARKWAY LAS VEGAS, NV 89154	88-6000024	501(C)(3)	49,531				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOSTON MEDICAL CENTER 660 HARRISON AVENUE BOSTON,MA 02118	04-3314093	501(C)(3)	18,593				SUBAWARD - SPONSORED RESEARCH
BOSTON UNIVERSITY881 COMMONWEALTH AVENUE BOSTON,MA 022151303	04-2103547	501(C)(3)	249,878				SUBAWARD - SPONSORED RESEARCH
BOSTON VA RESEARCH INSTITUTE INC150 SOUTH HUNTINGTON AVENUE BOSTON,MA 02130	04-3081524	501(C)(3)	13,497				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH INC533 TOWER ROAD ITHACA,NY 14853	13-1739923	501(C)(3)	70,278				SUBAWARD - SPONSORED RESEARCH
BRANDEIS UNIVERSITY 415 SOUTH STREET WALTHAM,MA 024549110	04-2103552	501(C)(3)	45,784				SUBAWARD - SPONSORED RESEARCH
BRENTWOOD BIOMEDICAL RESEARCH INSTITUTE INC PO BOX 25027 LOS ANGELES,CA 90025	95-4183712	501(C)(3)	35,035				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIDGEPORT BOARD OF EDUCATION45 LYON TERRACE BRIDGEPORT,CT 06604	06-6001865	CITY-BRIDGEPORT	348,735				SUBAWARD - SPONSORED RESEARCH
BRIDGEPORT HOSPITAL 267 GRANT STREET BRIDGEPORT,CT 06610	06-0646554	501(C)(3)	89,249				SUBAWARD - SPONSORED RESEARCH
BRIGHAM & WOMEN'S HOSPITAL101 HUNTINGTON AVENUE SUITE 210 BOSTON,MA 02199	04-2312909	501(C)(3)	773,373				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BROWN UNIVERSITYPO BOX 1911 PROVIDENCE,RI 02912	05-0258809	501(C)(3)	677,193				SUBAWARD - SPONSORED RESEARCH
CALIFORNIA CENTER FOR PUBLIC HEALTH ADVOCACYPO BOX 2309 DAVIS,CA 95617	95-4723901	501(C)(3)	75,837				SUBAWARD - SPONSORED RESEARCH
CARNEGIE MELLON UNIVERSITYPO BOX 371032 PITTSBURGH,PA 152507032	25-0969449	501(C)(3)	99,487				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CASE WESTERN RESERVE UNIVERSITY10900 EUCLID AVENUE CLEVELAND, OH 441067006	34-1018992	501(C)(3)	10,353				SUBAWARD - SPONSORED RESEARCH
CEDARS-SINAI MEDICAL CENTER8700 BEVERLY BOULEVARD LOS ANGELES,CA 90048	95-1644600	501(C)(3)	18,549				SUBAWARD - SPONSORED RESEARCH
CENTER FOR SCIENCE IN THE PUBLIC INTEREST 1220 L STREET NW SUITE 300 WASHINGTON,DC 200054053	23-7122879	501(C)(3)	9,705				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CENTRAL CONNECTICUT STATE UNIVERSITY1615 STANLEY STREET NEW BRITAIN, CT 060532439	06-1303381	STATE OF CT	23,921				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL CORPORATIONPO BOX 414413 BOSTON, MA 022414413	04-2774441	501(C)(3)	595,160				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL LOS ANGELES4650 SUNSET BOULEVARD LOS ANGELES, CA 90027	95-1690977	501(C)(3)	67,860				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDREN'S HOSPITAL MEDICAL CENTER3333 BURNET AVENUE CINCINNATI,OH 452293039	31-0833936	501(C)(3)	72,281				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL OF PHILADELPHIAPO BOX 8500 PHILADELPHIA,PA 19178	23-1352166	501(C)(3)	98,309				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S RESEARCH INSTITUTE111 MICHIGAN AVENUE NW WASHINGTON,DC 200102970	52-1654453	501(C)(3)	57,501				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITY OF NEW HAVEN54 MEADOW STREET NEW HAVEN,CT 06519	06-6001876	CITY-NEW HAVEN	68,281				SUBAWARD - SPONSORED RESEARCH
CLIFFORD W BEERS GUIDANCE CLINIC INC93 EDWARDS STREET NEW HAVEN,CT 06511	06-0646757	501(C)(3)	59,137				SUBAWARD - SPONSORED RESEARCH
COLORADO SCHOOL OF MINESPO BOX 911911 DENVER,CO 802911911	84-6000551	STATE OF CO	368,470				SUBAWARD - SPONSORED RESEARCH

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COLORADO STATE UNIVERSITY2002 CAMPUS DELIVERY FORT COLLINS, CO 805232002	84-6000545	STATE OF CO	47,831				SUBAWARD - SPONSORED RESEARCH
COLUMBIA UNIVERSITYPO BOX 29789 NEW YORK, NY 100879789	13-5598093	501(C)(3)	507,773				SUBAWARD - SPONSORED RESEARCH
COMMUNITY HEALTH CENTER635 MAIN STREET MIDDLETOWN, CT 06457	06-0897105	501(C)(3)	99,853				SUBAWARD - SPONSORED RESEARCH

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CORNELL SCOTT-HILL HEALTH CORPORATION 400 COLUMBUS AVENUE 2ND FLOOR NEW HAVEN, CT 06519	06-0870990	501(C)(3)	208,397				SUBAWARD - SPONSORED RESEARCH
CORNELL UNIVERSITYPO BOX 22 ITHACA, NY 148510022	15-0532082	501(C)(3)	1,513,905				SUBAWARD - SPONSORED RESEARCH
DALLAS VA RESEARCH CORPORATIONPO BOX 516 LANCASTER, TX 75146	75-2329831	501(C)(3)	65,429				SUBAWARD - SPONSORED RESEARCH

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DANA-FARBER CANCER INSTITUTE INC450 BROOKLINE AVENUE BOSTON,MA 02215	04-2263040	501(C)(3)	68,427				SUBAWARD - SPONSORED RESEARCH
DARTMOUTH COLLEGE11 ROPE FERRY ROAD HANOVER,NH 037551404	02-0222111	501(C)(3)	116,164				SUBAWARD - SPONSORED RESEARCH
DJ CASE & ASSOCIATES INC317 E JEFFERSON BLVD MISHAWAKA,IN 46545	20-0509735		80,000				SUBAWARD - SPONSORED RESEARCH

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DONALD DANFORTH PLANT SCIENCE CENTER 975 NORTH WATSON ROAD ST LOUIS,MO 63132	31-1584621	501(C)(3)	740,378				SUBAWARD - SPONSORED RESEARCH
DUKE UNIVERSITY324 BLACKWELL STREET DURHAM,NC 27701	56-0532129	501(C)(3)	433,913				SUBAWARD - SPONSORED RESEARCH
EAST CAROLINA UNIVERSITY2200 S CHARLES BLVD GREENVILLE,NC 278584353	56-6000403	STATE OF NC	23,105				SUBAWARD - SPONSORED RESEARCH

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EDUCATION DEVELOPMENT CENTER INC43 FOUNDRY AVENUE WALTHAM,MA 024538313	04-2241718	501(C)(3)	23,100				SUBAWARD - SPONSORED RESEARCH
EMORY UNIVERSITY1599 CLIFTON ROAD ATLANTA,GA 30322	58-0566256	501(C)(3)	351,437				SUBAWARD - SPONSORED RESEARCH
FAIR HAVEN COMMUNITY HEALTH CLINIC INC374 GRAND AVENUE NEW HAVEN,CT 06513	06-0883545	501(C)(3)	267,839				SUBAWARD - SPONSORED RESEARCH

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FLORIDA NEUROVASCULAR INSTITUTES5 TAMPA GENERAL CIRCLE TAMPA,FL 33606	59-3458987		5,655				SUBAWARD - SPONSORED RESEARCH
FOUNDATION FOR APPLIED MOLECULAR EVOLUTION INC13709 PROGRESS BLVD ALACHUA,FL 32615	59-3471957	501(C)(3)	268,536				SUBAWARD - SPONSORED RESEARCH
GEISINGER CLINIC100 NORTH ACADEMY AVENUE DANVILLE,PA 178222602	23-6291113	501(C)(3)	36,152				SUBAWARD - SPONSORED RESEARCH

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GEORGE MASON UNIVERSITY4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	54-0836354	STATE OF VA	325,262				SUBAWARD - SPONSORED RESEARCH
GRIFFIN HOSPITAL130 DIVISION STREET DERBY, CT 06418	06-0647014	501(C)(3)	536,688				SUBAWARD - SPONSORED RESEARCH
GROUP HEALTH COOPERATIVEPO BOX 34587 SEATTLE, WA 981249990	91-0511770	501(C)(3)	25,138				SUBAWARD - SPONSORED RESEARCH

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GUILFORD NEUROLOGIC ASSOCIATES912 THIRD STREET SUITE 101 GREENSBORO,NC 27405	56-0963466		91,431				SUBAWARD - SPONSORED RESEARCH
H LEE MOFFITT CANCER CENTER AND RESEARCH INSTITUTE HOSPITAL INC 12902 MAGNOLIA DRIVE TAMPA,FL 33612	59-3238634	501(C)(3)	11,163				SUBAWARD - SPONSORED RESEARCH
HAMILL ASSOCIATES INC 1200 HIGH RIDGE ROAD STAMFORD,CT 06905	13-3804354		56,700				SUBAWARD - SPONSORED RESEARCH

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HARTFORD HOSPITAL80 SEYMOUR STREET HARTFORD,CT 061025037	06-0646668	501(C)(3)	474,996				SUBAWARD - SPONSORED RESEARCH
HEALTH FIRST PHYSICIANS INC1223 GATEWAY DRIVE MELBOURNE,FL 32901	59-3346397		7,097				SUBAWARD - SPONSORED RESEARCH
HENRY M JACKSON FOUNDATION FOR THE ADVANCEMENT OF MILITARY MEDICINE6720A ROCKLEDGE DRIVE SUITE 100 BETHESDA,MD 20817	52-1317896	501(C)(3)	41,835				SUBAWARD - SPONSORED RESEARCH

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HISPANIC HEALTH COUNCIL INC175 MAIN STREET HARTFORD,CT 06106	06-1018979	501(C)(3)	64,424				SUBAWARD - SPONSORED RESEARCH
HUGO W MOSER RESEARCH INSTITUTE AT KENNEDY KRIEGER INC707 NORTH BROADWAY BALTIMORE,MD 212051832	52-1524967	501(C)(3)	36,340				SUBAWARD - SPONSORED RESEARCH
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI1 GUSTAVE L LEVY PLACE NEWYORK,NY 100296574	13-6171197	501(C)(3)	49,246				SUBAWARD - SPONSORED RESEARCH

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ILLINOIS INSTITUTE OF TECHNOLOGY3300 SOUTH FEDERAL STREET CHICAGO,IL 60616	36-2170136	501(C)(3)	7,853				SUBAWARD - SPONSORED RESEARCH
INDIANA MEDICAL RESEARCH LLC303 SOUTH NAPPANEE STREET ELKHART,IN 46514	26-0303059		16,339				SUBAWARD - SPONSORED RESEARCH
INDIANA UNIVERSITY400 EAST 7TH STREET BLOOMINGTON,IN 474053024	35-6001673	501(C)(3)	59,318				SUBAWARD - SPONSORED RESEARCH

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INNOVATIONS FOR POVERTY ACTION101 WHITNEY AVENUE NEW HAVEN, CT 06510	06-1660068	501(C)(3)	1,784,525				SUBAWARD - SPONSORED RESEARCH
INSTITUTE FOR CLINICAL RESEARCH INCPO BOX 29545 WASHINGTON, DC 200170745	52-1336656	501(C)(3)	87,589				SUBAWARD - SPONSORED RESEARCH
INSTITUTE FOR COMMUNITY RESEARCH INC2 HARTFORD SQUARE WEST SUITE 100 HARTFORD, CT 061065128	06-0653116	501(C)(3)	71,750				SUBAWARD - SPONSORED RESEARCH

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IOWA NEUROLOGY RESEARCH INCPO BOX 65433 WEST DES MOINES,IA 50265	92-0179923		8,899				SUBAWARD - SPONSORED RESEARCH
IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY3609 ADMINISTRATIVE SVCS BLDG AMES,IA 500113609	42-6004224	STATE OF IOWA	80,837				SUBAWARD - SPONSORED RESEARCH
J CRAIG VENTER INSTITUTE9704 MEDICAL CENTER DRIVE ROCKVILLE,MD 20850	52-1842938	501(C)(3)	158,352				SUBAWARD - SPONSORED RESEARCH

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JOHNS HOPKINS UNIVERSITY3910 KESWICK ROAD BALTIMORE,MD 21211	52-0595110	501(C)(3)	326,156				SUBAWARD - SPONSORED RESEARCH
JUNTA FOR PROGRESSIVE ACTION INC169 GRAND AVENUE NEW HAVEN,CT 06513	23-7066862	501(C)(3)	90,346				SUBAWARD - SPONSORED RESEARCH
KALEIDA HEALTH100 HIGH STREET BUFFALO,NY 14203	16-1533232	501(C)(3)	12,671				SUBAWARD - SPONSORED RESEARCH

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KESSLER FOUNDATION INC300 EXECUTIVE DRIVE SUITE 70 WEST ORANGE, NJ 070521424	31-1562134	501(C)(3)	28,640				SUBAWARD - SPONSORED RESEARCH
L2 DIAGNOSTICS LLCPO BOX 8175 NEW HAVEN, CT 065300175	06-1509946		191,824				SUBAWARD - SPONSORED RESEARCH
LANKENAU INSTITUTE FOR MEDICAL RESEARCH100 LANCASTER AVENUE WYNNEWOOD, PA 19096	23-2175659	501(C)(3)	16,464				SUBAWARD - SPONSORED RESEARCH

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LIBERTY COMMUNITY SERVICES129 CHURCH STREET 2ND FLOOR NEW HAVEN,CT 06510	22-2849124	501(C)(3)	211,670				SUBAWARD - SPONSORED RESEARCH
LOUISIANA STATE UNIVERSITY AND AGRICULTURAL & MECHANICAL COLLEGE 6400 PERKINS ROAD BATON ROUGE,LA 70808	72-6000848	501(C)(3)	20,869				SUBAWARD - SPONSORED RESEARCH
LOVELACE BIOMEDICAL AND ENVIRONMENTAL INSTITUTE2425 RIDGECREST DRIVE SE ALBUQUERQUE,NM 87108	51-0154068	501(C)(3)	7,434				SUBAWARD - SPONSORED RESEARCH

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LOYOLA UNIVERSITY OF CHICAGO820 N MICHIGAN AVENUE CHICAGO,IL 606112147	36-1408475	501(C)(3)	10,032				SUBAWARD - SPONSORED RESEARCH
MASSACHUSETTS GENERAL HOSPITALPO BOX 3829 BOSTON,MA 022413829	04-2697983	501(C)(3)	787,729				SUBAWARD - SPONSORED RESEARCH
MASSACHUSETTS INSTITUTE OF TECHNOLOGY77 MASSACHUSETTS AVENUE CAMBRIDGE,MA 021394307	04-2103594	501(C)(3)	825,592				SUBAWARD - SPONSORED RESEARCH

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MAYO CLINICPO BOX 4006 ROCHESTER, MN 559034006	41-6011702	501(C)(3)	161,392				SUBAWARD - SPONSORED RESEARCH
MEDICAL UNIVERSITY OF SOUTH CAROLINA19 HAGOOD AVENUE CHARLESTON, SC 294258040	57-6000722	STATE OF SC	22,732				SUBAWARD - SPONSORED RESEARCH
MEMORIAL SLOAN-KETTERING CANCER CENTERPO BOX 26338 NEWYORK,NY 10087	13-1924236	501(C)(3)	390,019				SUBAWARD - SPONSORED RESEARCH

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METROHEALTH SYSTEMPO BOX 73308 CLEVELAND, OH 44193	34-6004382	CUYAHOGA COUNTY	7,529				SUBAWARD - SPONSORED RESEARCH
MIDWESTERN CONNECTICUT COUNCIL ON ALCOHOLISM INC38 OLD RIDGEBURY ROAD DANBURY, CT 06810	06-0890278	501(C)(3)	36,750				SUBAWARD - SPONSORED RESEARCH
MINNEAPOLIS CLINIC OF NEUROLOGY LTD4225 GOLDEN VALLEY ROAD GOLDEN VALLEY, MN 55422	41-0999094		12,303				SUBAWARD - SPONSORED RESEARCH

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MONTCLAIR STATE UNIVERSITY1 NORMAL AVENUE MONTCLAIR, NJ 07043	22-2912682	STATE OF NJ	11,571				SUBAWARD - SPONSORED RESEARCH
MORGAN STATE UNIVERSITY1700 EAST COLD SPRING LANE BALTIMORE, MD 21251	52-6002033	STATE OF MD	138,180				SUBAWARD - SPONSORED RESEARCH
NARROWS INSTITUTE FOR BIOMEDICAL RESEARCH 800 POLY PLACE BROOKLYN, NY 11209	11-3059470	501(C)(3)	253,311				SUBAWARD - SPONSORED RESEARCH

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NATIONAL DIAPER BANK NETWORK INC129 CHURCH ST STE 611 NEW HAVEN, CT 06510	45-2823935	501(C)(3)	6,892				SUBAWARD - SPONSORED RESEARCH
NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206	74-2044647	501(C)(3)	148,433				SUBAWARD - SPONSORED RESEARCH
NEW HAVEN DIAPER BANK INCORPORATEDPO BOX 9017 NEW HAVEN, CT 06532	20-1179912	501(C)(3)	10,929				SUBAWARD - SPONSORED RESEARCH

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NEW HAVEN FAMILY ALLIANCE INC370 JAMES STREET SUITE 201 NEW HAVEN, CT 06513	06-1324343	501(C)(3)	252,136				SUBAWARD - SPONSORED RESEARCH
NEW REACH INC153 EAST STREET NEW HAVEN, CT 06511	22-3037451	501(C)(3)	54,212				SUBAWARD - SPONSORED RESEARCH
NEW YORK UNIVERSITY 105 E 17TH STREET NEW YORK, NY 100039580	13-5562308	501(C)(3)	630,487				SUBAWARD - SPONSORED RESEARCH

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NORTH CAROLINA STATE UNIVERSITYCAMPUS BOX 7203 RALEIGH,NC 276957203	56-6000756	STATE OF NC	211,029				SUBAWARD - SPONSORED RESEARCH
NORTHEAST IOWA MEDICAL EDUCATION FOUNDATION INC2055 KIMBALL AVENUE WATERLOO,IA 50702	42-1058745	501(C)(3)	5,461				SUBAWARD - SPONSORED RESEARCH
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION INC4150 CLEMENT STREET SAN FRANCISCO ,CA 94121	94-3084159	501(C)(3)	68,646				SUBAWARD - SPONSORED RESEARCH

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NORTHWESTERN UNIVERSITY633 CLARK STREET EVANSTON,IL 602081112	36-2167817	501(C)(3)	1,737,994				SUBAWARD - SPONSORED RESEARCH
OAKLAND UNIVERSITY529 WILSON HALL ROCHESTER,MI 483094401	38-1714400	STATE OF MI	110,296				SUBAWARD - SPONSORED RESEARCH
OCEAN STATE RESEARCH INSTITUTE INC830 CHALKSTONE AVENUE PROVIDENCE,RI 029084734	05-0440574	501(C)(3)	67,124				SUBAWARD - SPONSORED RESEARCH

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OHIO STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS,OH 43210	31-6025986	STATE OF OH	32,809				SUBAWARD - SPONSORED RESEARCH
OHIO STATE UNIVERSITY RESEARCH FOUNDATION 1960 KENNY ROAD 4TH FLOOR COLUMBUS,OH 432101063	31-6401599	501(C)(3)	12,231				SUBAWARD - SPONSORED RESEARCH
OKLAHOMA MEDICAL RESEARCH FOUNDATION 825 NORTHEAST 13TH STREET OKLAHOMA CITY,OK 73104	73-0580274	501(C)(3)	19,043				SUBAWARD - SPONSORED RESEARCH

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OREGON HEALTH & SCIENCE UNIVERSITY2525 SW FIRST AVENUE SUITE 220 PORTLAND,OR 97201	93-1176109	STATE OF OR	102,477				SUBAWARD - SPONSORED RESEARCH
OREGON STATE UNIVERSITYPO BOX 1086 CORVALLIS,OR 973391086	48-1278540	STATE OF OR	122,725				SUBAWARD - SPONSORED RESEARCH
PENNSYLVANIA STATE UNIVERSITY500 UNIVERSITY DRIVE HERSHEY,PA 17033	24-6000376	STATE OF PA	27,476				SUBAWARD - SPONSORED RESEARCH

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PHARMASEQ INC11 DEER PARK DRIVE SUITE 104 MONMOUTH JUNCTION,NJ 08852	51-0439937		74,589				SUBAWARD - SPONSORED RESEARCH
PHOTON ACQUISITION CORPORATION DBA SOLAERO TECHNOLOGIES CORP10420 RESEARCH ROAD SE ALBUQUERQUE,NM 87123	47-1941203		104,938				SUBAWARD - SPONSORED RESEARCH
PORIFERA INC3502 BREAKWATER COURT HAYWARD,CA 94545	26-2704998		106,045				SUBAWARD - SPONSORED RESEARCH

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PPG INDUSTRIES INC DBA PPG COATINGS & RESINS R&D4325 ROSANNA DRIVE ALLISON PARK, PA 15101	25-0730780		29,809				SUBAWARD - SPONSORED RESEARCH
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE THIRD FLOOR CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	1,983,188				SUBAWARD - SPONSORED RESEARCH
PROFECTUS BIOSCIENCES INC6411 BECKLEY STREET SUITE 201 BALTIMORE, MD 21224	02-0579416		82,664				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PROVIDENCE MEDICAL RESEARCH CENTER101 WEST 8TH AVENUE SPOKANE, WA 992202555	91-1211963	501(C)(3)	16,280				SUBAWARD - SPONSORED RESEARCH
PUGET SOUND BLOOD CENTER AND PROGRAM 921 TERRY AVENUE SEATTLE, WA 98104	91-1019655	501(C)(3)	55,715				SUBAWARD - SPONSORED RESEARCH
PURDUE UNIVERSITY401 S GRANT STREET WEST LAFAYETTE, IN 479072024	35-6002041	501(C)(3)	62,504				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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RAYTHEON BBN TECHNOLOGIES CORPPO BOX 4340 BOSTON,MA 022114340	41-2126829		126,672				SUBAWARD - SPONSORED RESEARCH
RECOVERY NETWORK OF PROGRAMS INC2 TRAP FALLS ROAD SUITE 405 SHELTON,CT 06484	06-0910080	501(C)(3)	165,126				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT IRVINE 1400 BIOLOGICAL SCIENCES III IRVINE,CA 926971050	95-2226406	501(C)(3)	218,657				SUBAWARD - SPONSORED RESEARCH

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REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO9500 GILMAN DRIVE LA JOLLA,CA 920930009	95-6006144	501(C)(3)	912,666				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA DAVIS4860 Y STREET SUITE 3700 SACRAMENTO,CA 95817	94-6036494	501(C)(3)	83,151				SUBAWARD - SPONSORED RESEARCH
REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES 405 HILGARD AVENUE LOS ANGELES,CA 900959000	95-6006143	501(C)(3)	1,515,055				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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REGENTS OF THE UNIVERSITY OF CALIFORNIA SAN FRANCISCO1855 FOLSOM STREET SAN FRANCISCO ,CA 94143	94-6036493	501(C)(3)	988,382				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF COLORADOPO BOX 238 DENVER,CO 802910238	84-6000555	STATE OF CO	450,546				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 S STATE STREET ANN ARBOR,MI 481091287	38-6006309	501(C)(3)	511,789				SUBAWARD - SPONSORED RESEARCH

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REGENTS OF THE UNIVERSITY OF MINNESOTAPO BOX 1450 MINNEAPOLIS, MN 554855957	41-6007513	STATE OF MN	225,413				SUBAWARD - SPONSORED RESEARCH
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK230 WEST 41ST STREET NEW YORK, NY 10036	13-1988190	501(C)(3)	279,697				SUBAWARD - SPONSORED RESEARCH
RHODE ISLAND HOSPITAL 593 EDDY STREET PROVIDENCE,RI 02903	05-0258954	501(C)(3)	47,305				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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RTI INTERNATIONALPO BOX 12194 RESEARCH TRIANGLE PARK, NC 277092194	56-0686338	501(C)(3)	5,959				SUBAWARD - SPONSORED RESEARCH
RUSH UNIVERSITY MEDICAL CENTER1725 WEST HARRISON STREET CHICAGO, IL 60612	36-2174823	501(C)(3)	8,053				SUBAWARD - SPONSORED RESEARCH
RUTGERS THE STATE UNIVERSITY3 RUTGERS PLAZA NEW BRUNSWICK, NJ 08901	22-6001086	501(C)(3)	252,837				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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SAINT FRANCIS MEDICAL CENTER530 NE GLEN OAK AVENUE PEORIA,IL 61637	37-0662569	501(C)(3)	35,953				SUBAWARD - SPONSORED RESEARCH
SAGE ANALYTICA4915 ST ELMO AVENUE SUITE 205 BETHESDA,MD 20814	26-0603861		213,939				SUBAWARD - SPONSORED RESEARCH
SANFORD-BURNHAM MEDICAL RESEARCH INSTITUTE10901 NORTH TORREY PINES ROAD LA JOLLA,CA 92037	51-0197108	501(C)(3)	264,725				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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SCRIPPS HEALTH11025 N TORREY PINES ROAD SUITE 200 200 LA JOLLA, CA 92037	95-1684089	501(C)(3)	5,768				SUBAWARD - SPONSORED RESEARCH
SEATTLE BIOMEDICAL RESEARCH INSTITUTE307 WESTLAKE AVENUE SUITE 500 SEATTLE, WA 981095219	91-0961784	501(C)(3)	92,724				SUBAWARD - SPONSORED RESEARCH
SEATTLE CHILDREN'S HOSPITALPO BOX 24728 SEATTLE, WA 981240728	91-0564748	501(C)(3)	285,608				SUBAWARD - SPONSORED RESEARCH

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SENTARA MEDICAL GROUP 835 GLENROCK ROAD NORFOLK, VA 23502	54-1217184	501(C)(3)	12,003				SUBAWARD - SPONSORED RESEARCH
SEPULVEDA RESEARCH CORPORATION 16111 PLUMMER STREET BLDG 200 NORTH HILLS, CA 91343	95-4246275	501(C)(3)	32,295				SUBAWARD - SPONSORED RESEARCH
SMART POWER INC 1120 CONNECTICUT AVENUE NW SUITE 1040 WASHINGTON, DC 20036	03-0375454	501(C)(3)	337,221				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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SMITHSONIAN INSTITUTIONPO BOX 37012 WASHINGTON, DC 200137012	53-0206027	501(C)(3)	354,642				SUBAWARD - SPONSORED RESEARCH
SOCIAL SCIENCE RESEARCH COUNCILONE PIERREPONT PLAZA BROOKLYN, NY 11201	13-1325070	501(C)(3)	165,507				SUBAWARD - SPONSORED RESEARCH
SOUTH CAROLINA RESEARCH FOUNDATION 901 SUMTER STREET 5TH FL COLUMBIA, SC 29208	57-0967350	501(C)(3)	37,093				SUBAWARD - SPONSORED RESEARCH

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SOUTHERN CONNECTICUT STATE UNIVERSITY501 CRESCENT STREET NEW HAVEN,CT 065151355	06-1363115	STATE OF CT	270,549				SUBAWARD - SPONSORED RESEARCH
SOUTHTOWN NEUROLOGY OF WNY PC3775 SENECA STREET WEST SENECA,NY 14224	16-1556670		7,332				SUBAWARD - SPONSORED RESEARCH
SRI INTERNATIONALPO BOX 2767 MENLO PARK,CA 940252767	94-1160950	501(C)(3)	28,299				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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STANFORD UNIVERSITY 1215 WELCH ROAD STANFORD,CA 943055401	94-1156365	501(C)(3)	128,152				SUBAWARD - SPONSORED RESEARCH
STATE OF CONNECTICUT 24 WOLCOTT HILL ROAD WETHERSFIELD,CT 061091152	06-6000798	STATE OF CT	130,296				SUBAWARD - SPONSORED RESEARCH
TEMPLE UNIVERSITY3401 N BROAD STREET PHILADELPHIA,PA 19140	23-1365971	501(C)(3)	105,739				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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TEXAS A&M UNIVERSITY 401 GEORGE BUSH DRIVE COLLEGE STATION, TX 77840	74-6000531	501(C)(3)	11,578				SUBAWARD - SPONSORED RESEARCH
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS 912 S WOOD STREET CHICAGO, IL 60612	37-6000511	501(C)(3)	456,832				SUBAWARD - SPONSORED RESEARCH
THE BROAD INSTITUTE INC 7 CAMBRIDGE CENTER CAMBRIDGE, MA 02142	26-3428781	501(C)(3)	248,727				SUBAWARD - SPONSORED RESEARCH

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THE BRONX VETERANS MEDICAL RESEARCH FOUNDATION INC130 WEST KINGSBRIDGE ROAD BRONX, NY 10468	13-3699250	501(C)(3)	113,284				SUBAWARD - SPONSORED RESEARCH
THE CHILDREN'S COMMUNITY PROGRAMS OF CT INC446-A BLAKE STREET NEW HAVEN, CT 06515	06-1579606	501(C)(3)	11,985				SUBAWARD - SPONSORED RESEARCH
THE CLEVELAND CLINIC FOUNDATIONPO BOX 931562 CLEVELAND, OH 441935012	34-0714585	501(C)(3)	30,254				SUBAWARD - SPONSORED RESEARCH

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THE COLLEGE OF NEW JERSEYPO BOX 7718 ASB 201 EWING, NJ 086280718	22-2797398	STATE OF NJ	21,362				SUBAWARD - SPONSORED RESEARCH
THE FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 350 COMMUNITY DRIVE MANHASSET, NY 11030	11-2673595	501(C)(3)	12,500				SUBAWARD - SPONSORED RESEARCH
THE GEORGE WASHINGTON UNIVERSITY 45155 RESEARCH PLACE SUITE 160 ASHBURN, VA 20147	53-0196584	501(C)(3)	128,796				SUBAWARD - SPONSORED RESEARCH

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THE HEBREW HOME FOR THE AGED AT RIVERDALE 5901 PALISADE AVENUE BRONX,NY 10471	13-1739971	501(C)(3)	66,641				SUBAWARD - SPONSORED RESEARCH
THE HEKTOEN INSTITUTE OF MEDICINE2240 WEST OGDEN AVENUE 2ND FLOOR CHICAGO,IL 60612	36-2244897	501(C)(3)	37,705				SUBAWARD - SPONSORED RESEARCH
THE HOSPITAL FOR SPECIAL SURGERY FUND INC535 EAST 70TH STREET NEWYORK,NY 10021	13-6714749	501(C)(3)	13,582				SUBAWARD - SPONSORED RESEARCH

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THE HOWARD UNIVERSITY 525 BRYANT STREET NW SUITE 137 WASHINGTON, DC 20059	53-0204707	501(C)(3)	109,821				SUBAWARD - SPONSORED RESEARCH
THE INGALLS MEMORIAL HOSPITAL ONE INGALLS DRIVE HARVEY, IL 60426	36-2170866	501(C)(3)	16,525				SUBAWARD - SPONSORED RESEARCH
THE JACKSON LABORATORY 610 MAIN STREET BAR HARBOR, ME 046091500	01-0211513	501(C)(3)	11,882				SUBAWARD - SPONSORED RESEARCH

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THE JOHN B PIERCE LABORATORY INC290 CONGRESS AVENUE NEW HAVEN,CT 06519	06-0646780	501(C)(3)	223,579				SUBAWARD - SPONSORED RESEARCH
THE CORPORATION OF MERCER UNIVERSITY1501 MERCER UNIVERSITY DRIVE MACON,GA 312070001	58-0566167	501(C)(3)	5,833				SUBAWARD - SPONSORED RESEARCH
THE METHODIST HOSPITAL RESEARCH INSTITUTE6565 FANNIN SUITE 802 HOUSTON,TX 77030	87-0721923	501(C)(3)	8,936				SUBAWARD - SPONSORED RESEARCH

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THE NEBRASKA MEDICAL CENTER985100 NEBRASKA MEDICAL CENTER OMAHA, NE 681985100	91-1858433	501(C)(3)	27,986				SUBAWARD - SPONSORED RESEARCH
THE RAND CORPORATION 1776 MAIN STREET PO BOX 2138 SANTA MONICA, CA 904072138	95-1958142	501(C)(3)	31,900				SUBAWARD - SPONSORED RESEARCH
THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK35 STATE STREET ALBANY, NY 12207	14-1368361	501(C)(3)	20,091				SUBAWARD - SPONSORED RESEARCH

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THE URBAN INSTITUTE 2100 M STREET NW WASHINGTON,DC 20037	52-0880375	501(C)(3)	18,332				SUBAWARD - SPONSORED RESEARCH
THIRD SECTOR CAPITAL PARTNERS INC200 CLARENDON STREET 29TH FLOOR BOSTON,MA 02116	46-1301032	501(C)(3)	212,400				SUBAWARD - SPONSORED RESEARCH
THE TRUSTEES OF PRINCETON UNIVERSITY PO BOX 5292 PRINCETON,NJ 065445292	21-0634501	501(C)(3)	49,417				SUBAWARD - SPONSORED RESEARCH

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TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA3451 WALNUT STREET PHILADELPHIA, PA 191046205	23-1352685	501(C)(3)	794,610				SUBAWARD - SPONSORED RESEARCH
TUFTS MEDICAL CENTER INC800 WASHINGTON STREET BOSTON,MA 02111	04-3400617	501(C)(3)	77,853				SUBAWARD - SPONSORED RESEARCH
TUFTS UNIVERSITY169 HOLLAND STREET SOMERVILLE,MA 02144	04-2103634	501(C)(3)	18,245				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF ALABAMA AT BIRMINGHAM1530 3RD AVENUE SOUTH BIRMINGHAM,AL 352940109	63-6005396	501(C)(3)	68,358				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF ARIZONA 1303 E UNIVERSITY BLVD BOX 3 TUCSON,AZ 857190521	86-6004791	STATE OF AZ	66,712				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES4301 W MARKHAM STREET LITTLE ROCK,AR 72205	71-6046242	STATE OF AR	32,902				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF CINCINNATIPO BOX 932641 CLEVELAND,OH 44193	31-6000989	501(C)(3)	92,791				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO,IL 60637	36-2177139	501(C)(3)	3,697				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CONNECTICUT438 WHITNEY ROAD STORRS,CT 062691133	06-0772160	STATE OF CT	158,582				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF CONNECTICUT HEALTH CENTER263 FARMINGTON AVENUE FARMINGTON, CT 060305335	52-1725543	STATE OF CT	878,763				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF DENVER PO BOX 911811 DENVER, CO 802911811	84-0404231	501(C)(3)	23,100				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF FLORIDA 123 GRINTER HALL PO BOX 113001 GAINESVILLE, FL 32611	59-6002052	STATE OF FL	110,229				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF GEORGIA RESEARCH FOUNDATION 240A RIVERBEND ROAD BOX 5333 ATHENS, GA 306025333	58-1353149	501(C)(3)	18,354				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF HAWAII 2600 CAMPUS ROAD HONOLULU, HI 96822	99-6000354	STATE OF HI	118,344				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF IOWA105 JESSUP HALL IOWA CITY, IA 52242	42-6004813	STATE OF IA	493,338				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF KANSAS MEDICAL CENTER RESEARCH INSTITUTE 3901 RAINBOW BLVD MAIL STOP 1039 KANSAS CITY,KS 66160	48-1108830	501(C)(3)	14,326				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION 301 PETERSON SERVICE BUILDING LEXINGTON,KY 405060005	61-6033693	501(C)(3)	16,347				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATIONSTEVENSON HALL/BELKNAP CAMPUS LOUISVILLE,KY 40292	61-1029626	501(C)(3)	111,842				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF MAINE SYSTEM INC5717 CORBETT HALL ORONO,ME 044695717	01-6000769	501(C)(3)	67,583				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MARYLAND MEDICAL SYSTEM CORPPO BOX 41428 BALTIMORE,MD 212036428	52-1362793	501(C)(3)	16,220				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MASSACHUSETTS405 GOODELL BLDG 140 HICKS WAY AMHERST,MA 010039272	04-3167352	STATE OF MA	628,344				SUBAWARD - SPONSORED RESEARCH

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(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MIAMIPO BOX 248106 CORAL GABLES,FL 331242912	59-0624458	501(C)(3)	55,359				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MISSOURI PO BOX 805111 KANSAS CITY,MO 641805111	43-6003859	501(C)(3)	22,542				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF NEW MEXICO1 UNIVERSITY OF NEW MEXICO ALBUQUERQUE,NM 871310001	85-6000642	501(C)(3)	6,231				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL103 SOUTH BUILDING CHAPEL HILL,NC 27599	56-6001393	501(C)(3)	65,330				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF NOTRE DAME836A GRACE HALL NOTRE DAME,IN 465565612	35-0868188	501(C)(3)	49,807				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF OKLAHOMAPO BOX 26901 OKLAHOMA CITY,OK 731260901	73-6017987	STATE OF OK	11,831				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PITTSBURGHPO BOX 371220 PITTSBURGH, PA 152517220	25-0965591	501(C)(3)	541,521				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF PUERTO RICOPO BOX 365067 ROOM B622 SAN JUAN, PR 009365067	66-0433760	PUERTO RICO	151,925				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF RHODE ISLAND70 LOWER COLLEGE ROAD KINGSTON, RI 028810811	05-6000522	STATE OF RI	361,612				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ROCHESTER601 ELMWOOD AVENUE BOX 673 ROCHESTER, NY 146428670	16-0743209	501(C)(3)	105,121				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF SOUTH CAROLINA1600 HAMPTON STREET SUITE 613 COLUMBIA, SC 29208	57-6001153	STATE OF SC	56,815				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF SOUTH FLORIDA4202 E FOWLER AVENUE TAMPA, FL 33620	59-3102112	STATE OF FL	17,881				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTHERN CALIFORNIA 1540 ALCAZAR STREET SUITE 216 LOS ANGELES, CA 90033	95-1642394	501(C)(3)	527,512				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXASPO BOX 4390 HOUSTON, TX 772104390	74-6000203	STATE OF TX	642,578				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS MEDICAL BRANCH301 UNIVERSITY BLVD GALVESTON, TX 77555	74-6000949	STATE OF TX	40,700				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIOPO BOX 1898 SAN ANTONIO ,TX 782971898	74-1586031	501(C)(3)	373,425				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER5323 HARRY HINES BLVD DALLAS,TX 753908897	75-6002868	STATE OF TX	5,976				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF THE VIRGIN ISLANDS2 JOHN BREWERS BAY ST THOMAS,VI 008029990	66-0432514	501(C)(3)	248,896				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF UTAH201 S PRESIDENTS CIRCLE SALT LAKE CITY, UT 841129020	87-6000525	501(C)(3)	17,150				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF VERMONT AND STATE AGRICULTURAL COLLEGE106 CARRIGAN DRIVE BURLINGTON, VT 054050160	03-0179440	501(C)(3)	1,092,902				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 229044195	54-6001796	501(C)(3)	373,686				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WASHINGTONPO BOX 94224 SEATTLE,WA 981246524	91-6001537	STATE OF WA	973,288				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF WISCONSIN SYSTEM750 HIGHLAND AVENUE MADISON,WI 53705	39-1805963	STATE OF WI	128,797				SUBAWARD - SPONSORED RESEARCH
US CIVILLIAN RESEARCH AND DEVELOPMENT FOUNDATION1776 WILSON BLVD 3RD FLOOR ARLINGTON,VA 22209	54-1773406	501(C)(3)	178,140				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UTAH STATE UNIVERSITY 2400 OLD MAIN HILL LOGAN, UT 843222400	87-6000528	501(C)(3)	14,874				SUBAWARD - SPONSORED RESEARCH
VANDERBILT UNIVERSITY 3319 WEST END AVENUE SUITE 700 NASHVILLE, TN 372036840	62-0476822	501(C)(3)	318,792				SUBAWARD - SPONSORED RESEARCH
VETERANS MEDICAL RESEARCH FOUNDATION OF SAN DIEGO 3350 LA JOLLA VILLAGE DRIVE SAN DIEGO, CA 921610001	33-0189397	501(C)(3)	190,475				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIA CHRISTI HOSPITALS WICHITA INC1100 N SAINT FRANCIS WICHITA,KS 67214	48-1172106	501(C)(3)	24,359				SUBAWARD - SPONSORED RESEARCH
WASHINGTON UNIVERSITY 700 ROSEDALE AVENUE BOX 1034 SAINT LOUIS, MO 631121408	43-0653611	501(C)(3)	104,601				SUBAWARD - SPONSORED RESEARCH
WAYNE STATE UNIVERSITY PO BOX 02788 DETROIT,MI 48202	38-6028429	501(C)(3)	99,858				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK,NY 10022	13-1623978	501(C)(3)	177,125				SUBAWARD - SPONSORED RESEARCH
ABILIS COMMUNITY FOUNDATION INC50 GLENVILLE STREET GREENWICH,CT 06831	06-1331293	501(C)(3)	100,000				DAF DISTRIBUTIONS
DONORS TRUST INC109 N HENRY STREET ALEXANDRIA,VA 22314	52-2166327	501(C)(3)	200,000				DAF DISTRIBUTIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DOROT INC171 WEST 85TH STREET NEW YORK,NY 10024	13-3264005	501(C)(3)	50,000				DAF DISTRIBUTIONS
THE UNIVERSITY OF CONNECTICUT FOUNDATION INC2390 ALUMNI DRIVE STORRS,CT 06269	06-6070722	501(C)(3)	200,000				DAF DISTRIBUTIONS
UNITED JEWISH APPEAL FEDERATION OF JEWISH PHILANTHROPIES OF NEW YORK INC130 EAST 59TH STREET NEW YORK,NY 100221302	51-0172429	501(C)(3)	50,000				DAF DISTRIBUTIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO,IL 60637	36-2177139	501(C)(3)	50,000				DAF DISTRIBUTIONS
AMISTAD ACADEMY INC 403 JAMES STREET NEW HAVEN,CT 06513	06-1546695	501(C)(3)	100,000				CHARITABLE CONTRIBUTION
BEULAH LAND DEVELOPMENT CORP782 ORCHARD STREET NEW HAVEN,CT 065113306	06-1419774	501(C)(3)	7,500				CHARITABLE CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITY OF NEW HAVEN200 ORANGE STREET NEW HAVEN,CT 06510	06-6001876	CITY-NEW HAVEN	1,500				CHARITABLE CONTRIBUTION
CONNECTICUT ASSOCIATION FOR THE PERFORMING ARTS55 E STATE STREET COLUMBUS,OH 43215	06-1625278	501(C)(3)	1,500				CHARITABLE CONTRIBUTION
LEADERSHIP EDUCATION & ATHLETIC IN PARTNERSHIP INC31 JEFFERSON STREET NEW HAVEN,CT 06511	22-2906547	501(C)(3)	2,500				CHARITABLE CONTRIBUTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MUSIC HAVEN INC117 WHALLEY AVENUE NEW HAVEN, CT 06511	01-0870395	501(C)(3)	15,500				CHARITABLE CONTRIBUTION
NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS AND IDEAS INC 195 CHURCH STREET 12TH FLOOR NEW HAVEN, CT 06511	06-1444222	501(C)(3)	2,500				CHARITABLE CONTRIBUTION
TEACH FOR AMERICA INC 315 WEST 36TH STREET 8TH FLOOR NEW YORK, NY 10018	13-3541913	501(C)(3)	100,000				CHARITABLE CONTRIBUTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ULYSSES S GRANT FOUNDATIONPO BOX 200082 YALE STATION NEW HAVEN, CT 06520	06-6071625	501(C)(3)	10,000				CHARITABLE CONTRIBUTION
UNITED WAY OF GREATER NEW HAVEN INC370 JAMES STREET NEW HAVEN, CT 06513	06-0646761	501(C)(3)	106,000				CHARITABLE CONTRIBUTION
ARTSPACE INC50 ORANGE STREET NEW HAVEN, CT 06510	22-2533535	501(C)(3)	11,800				COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHAPEL HAVEN INC1040 WHALLEY AVENUE NEW HAVEN, CT 06515	06-0925031	501(C)(3)	10,000				COMMUNITY SUPPORT
CITY OF NEW HAVEN200 ORANGE STREET NEW HAVEN, CT 06510	06-6001876	CITY-NEW HAVEN	27,250				COMMUNITY SUPPORT
CONNECTICUT ASSOCIATION FOR THE PERFORMING ARTS55 E STATE STREET COLUMBUS, OH 43215	06-1625278	501(C)(3)	126,500				COMMUNITY SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CONSTRUCTION WORKFACT INITIATIVE 2 INCPO BOX 624 NEW HAVEN,CT 06510	74-3227736	501(C)(3)	10,000				COMMUNITY SUPPORT
DWIGHT HALL AT YALEPO BOX 209008 NEW HAVEN,CT 06520	06-0653140	501(C)(3)	50,531				COMMUNITY SUPPORT
LEADERSHIP EDUCATION AND ATHLETIC IN PARTNERSHIP INC31 JEFFERSON STREET NEW HAVEN,CT 06511	22-2906547	501(C)(3)	15,000				COMMUNITY SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MARKET NEW HAVEN INC 900 CHAPEL STREET NEW HAVEN,CT 06510	06-1578847	501(C)(6)	262,500				COMMUNITY SUPPORT
NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS AND IDEAS INC 195 CHURCH STREET 12TH FLOOR NEW HAVEN,CT 06510	06-1444222	501(C)(3)	120,000				COMMUNITY SUPPORT
NEW HAVEN WORKS INC 205 WHITNEY AVENUE NO 106 NEW HAVEN,CT 06511	46-2662124	501(C)(3)	50,000				COMMUNITY SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN YOUTH TENNIS & EDUCATION80 SEAVIEW TERRACE ROOM SUITE 37 GUILFORD,CT 06437	27-0772846	501(C)(3)	30,000				COMMUNITY SUPPORT
READ TO GROW INC53 SCHOOL GROUND ROAD UNIT 3 BRANFORD,CT 06405	06-1572185	501(C)(3)	10,500				COMMUNITY SUPPORT
SQUASH HAVEN INC70 TOWER PARKWAY NEW HAVEN,CT 06520	20-5500876	501(C)(3)	63,000				COMMUNITY SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE GREATER NEW HAVEN CHAMBER OF COMMERCE INC900 CHAPEL STREET NEW HAVEN,CT 06510	06-0468900	501(C)(6)	66,000				COMMUNITY SUPPORT
THE TENNIS FOUNDATION OF CONNECTICUT INC900 CHAPEL STREET SUITE 622 NEW HAVEN,CT 06510	06-1287098	501(C)(3)	300,000				COMMUNITY SUPPORT
ULYSSES S GRANT FOUNDATIONPO BOX 200082 YALE STATION NEW HAVEN,CT 06520	06-6071625	501(C)(3)	5,000				COMMUNITY SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WALTER CAMP FOOTBALL FOUNDATIONPO BOX 1663 NEW HAVEN,CT 06507	06-6099383	501(C)(4)	10,000				COMMUNITY SUPPORT
WOMEN MAKE MOVIES INC 115 WEST 29TH STREET NEWYORK,NY 10001	13-2740460	501(C)(3)	15,000				COMMUNITY SUPPORT
THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN70 AUDUBON STREET NEW HAVEN,CT 06510	06-6032106	501(C)(3)	1,200,920				SCHOLARSHIPS

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

Questions Regarding Compensation

	Yes	No
<div><div>1a</div><div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div><div><div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div></div>		
<div><div>b</div><div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</div></div>	Yes	
<div><div>2</div><div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</div></div>	Yes	
<div><div>3</div><div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</div><div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div></div><div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div></div>		
<div><div>4</div><div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div></div>		
<div><div>a</div><div>Receive a severance payment or change-of-control payment?</div></div>		No
<div><div>b</div><div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div></div>	Yes	
<div><div>c</div><div>Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div>		No
<div><div></div><div>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</div></div>		
<div><div>5</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div></div>		
<div><div>a</div><div>The organization?</div></div>		No
<div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 5a or 5b, describe in Part III</div>		No
<div><div>6</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div></div>		
<div><div>a</div><div>The organization?</div></div>		No
<div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 6a or 6b, describe in Part III</div>		No
<div><div>7</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div></div>	Yes	
<div><div>8</div><div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III</div></div>		No
<div><div>9</div><div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div></div>		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	EACH ONE OF THE BENEFITS THAT WAS CHECKED WAS PROVIDED PURSUANT TO A WRITTEN POLICY. FIRST-CLASS OR CHARTER TRAVEL ON OCCASION DURING THE CALENDAR YEAR 2014, THE UNIVERSITY CHARTERED PRIVATE AIRCRAFT WHEN DEEMED NECESSARY FOR THE CONDUCT OF UNIVERSITY BUSINESS, PURSUANT TO A CORPORATION POLICY. TWO OFFICERS TRAVELLED ON SUCH FLIGHTS IN 2014 IN ACCORDANCE WITH THE POLICY. UNDER APPLICABLE RULES, AMOUNTS FOR SUCH TRAVEL WERE NOT REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS. TRAVEL FOR COMPANIONS: THE SPOUSE OF ONE OFFICER ON OCCASION ACCOMPANIED THE OFFICER ON UNIVERSITY BUSINESS. THE PRIMARY PURPOSE OF THE TRAVEL WAS TO CONDUCT UNIVERSITY BUSINESS BY REPRESENTING THE UNIVERSITY AT OFFICIAL FUNCTIONS. UNDER APPLICABLE RULES, THE COST OF TRAVEL WAS NOT REQUIRED TO BE REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUAL. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS: THREE OFFICERS RECEIVED TAX GROSS-UP PAYMENTS DURING THE CALENDAR YEAR 2014 FOR CERTAIN LIMITED TAXABLE BENEFITS BASED ON THEIR ESTIMATED RELATED TAX OBLIGATIONS. THESE PAYMENTS WERE TREATED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE: AS A CONDITION OF HIS EMPLOYMENT AND FOR THE CONVENIENCE OF THE UNIVERSITY, THE PRESIDENT IS REQUIRED TO LIVE ON CAMPUS IN AN APARTMENT (COMPLETED IN AUGUST 2014) WITHIN THE PRESIDENT'S HOUSE. THE ESTIMATED FAIR MARKET RENTAL VALUE OF THIS HOUSING IS INCLUDED AS A NONTAXABLE BENEFIT IN SCHEDULE J, PART II, COLUMN (D). IN ADDITION, TWO OFFICERS WERE PROVIDED UNIVERSITY RESIDENCES FOR PERSONAL USE DURING THE CALENDAR YEAR 2014. THE VALUES OF THE RESIDENCES WERE DETERMINED PURSUANT TO IRC SECTION 119(D), AS REQUIRED, AND TREATED AS TAXABLE COMPENSATION. IN ADDITION, ONE OFFICER RECEIVED A HOUSING ALLOWANCE WHICH WAS TREATED AS TAXABLE COMPENSATION TO THE INDIVIDUAL DURING CALENDAR YEAR 2014. PERSONAL SERVICES: IN CONNECTION WITH UNIVERSITY BUSINESS ACTIVITY, ONE OFFICER RECEIVED SUPPORT FROM A SERVICE ASSISTANT; THE COST OF PERSONAL SERVICES RENDERED BY THAT ASSISTANT WAS TREATED AS TAXABLE COMPENSATION.
PART I, LINE 4B	CERTAIN LISTED PERSONS PARTICIPATED IN SUPPLEMENTAL NONQUALIFIED RETIREMENT PLANS DURING THE CALENDAR YEAR 2014 AS DESCRIBED BELOW. THE UNIVERSITY AGREED TO SUPPLEMENT THE PRESIDENT'S RETIREMENT INCOME BY MAKING AN ANNUAL CONTRIBUTION TO A NONQUALIFIED DEFERRED COMPENSATION PLAN, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY AND ADJUSTED FOR EARNINGS BASED ON POSITIVE PERFORMANCE OF THE ENDOWMENT. THE CURRENT YEAR ACCRUAL OF COMPENSATION ASSOCIATED WITH THIS PLAN IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C). THE UNIVERSITY PREVIOUSLY AGREED TO SUPPLEMENT THE RETIREMENT INCOME FOR TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO A PERCENTAGE OF SALARY AT VESTING, FOR A TERM OF 20 YEARS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY. AN ANNUAL ACCRUAL FOR THE ADDITIONAL RETIREMENT BENEFITS IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C). FOR ONE KEY EMPLOYEE, A PORTION OF THIS ADDITIONAL BENEFIT WAS VESTED AND PAID IN 2014 AND IS REFLECTED IN SCHEDULE J, PART II, COLUMN (B)(III). IN ADDITION, THE UNIVERSITY AWARDED BONUSES TO THE TWO PREVIOUSLY MENTIONED EMPLOYEES IN THE INVESTMENTS OFFICE THAT WERE TAXED IN 2014 AND SUBJECT TO DEFERRED PAYMENT WITH ADJUSTMENTS UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT. THESE BONUSES FOR 2014 ARE INCLUDED IN SCHEDULE J, PART II, COLUMN (B)(III). SCHEDULE J, PART I, LINE 7: THE UNIVERSITY PAID TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BONUSES AWARDED UNDER A LONG-TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR PAYMENTS WHICH ARE BASED ON QUALITATIVE AND QUANTITATIVE PERFORMANCE FACTORS. THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II). THE UNIVERSITY PAID A HIGHLY COMPENSATED EMPLOYEE A BONUS UNDER A LONG-TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR DEFERRED VESTING CONTINGENT ON THE PERFORMANCE OF FUTURE SERVICES AND MAY CHANGE UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT PRIOR TO VESTING AND PAYMENT. THE CURRENT YEAR PAYMENT THAT WAS AWARDED IN PREVIOUS YEARS IS REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II). THE AMOUNT AWARDED IN THE CURRENT YEAR BUT SUBJECT TO DEFERRED VESTING IS INCLUDED AS DEFERRED COMPENSATION ON SCHEDULE J, PART II, COLUMN (C). THE UNIVERSITY ALSO PAID FOUR HIGHLY COMPENSATED EMPLOYEES ADDITIONAL COMPENSATION PURSUANT TO CLINICAL INCENTIVE PLANS. IN ADDITION, ONE HIGHLY COMPENSATED INDIVIDUAL RECEIVED A SIGNING BONUS WHICH WAS APPROVED IN ACCORDANCE WITH UNIVERSITY POLICIES. THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II).
SCHEDULE J, PART II (GENERAL NOTES)	COLUMN (D): IN ADDITION TO THE EMPLOYER COST OF HEALTH, DENTAL AND OTHER NONTAXABLE BENEFITS AVAILABLE ON A NONDISCRIMINATORY BASIS, COLUMN (D) INCLUDES AMOUNTS AWARDED AS SCHOLARSHIP GRANTS TO CHILDREN OF EMPLOYEES UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE. COLUMN (F): COMPENSATION REPORTED IN PRIOR FORM 990. THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E). IT IS, THEREFORE, DOUBLE REPORTED IN PART II AND DOES NOT REPRESENT ADDITIONAL COMPENSATION.

Additional Data

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
PETER SALOVEY, TRUSTEE & PRESIDENT	(i) (ii)	845,240 0	0 0	106,472 0	209,575 0	25,507 0	1,186,794 0	0 0
BRUCE D ALEXANDER, VP FOR NEW HAVEN & STATE AFFAIRS	(i) (ii)	493,919 0	0 0	52,592 0	30,200 0	12,070 0	588,781 0	0 0
KIMBERLY M GOFF-CREWS, SECRETARY & VP FOR STUDENT LIFE	(i) (ii)	364,302 0	0 0	17,500 0	29,575 0	8,743 0	420,120 0	0 0
SHAUNA R KING, VP FOR FINANCE & BUSINESS OPERATIONS	(i) (ii)	549,790 0	0 0	17,500 0	29,575 0	13,676 0	610,541 0	0 0
LINDA K LORIMER, VP FOR GLOBAL & STRAT INITIATIVES	(i) (ii)	520,076 0	0 0	30,229 0	28,950 0	17,889 0	597,144 0	0 0
JOAN E O'NEILL, VP FOR DEVELOPMENT	(i) (ii)	408,664 0	0 0	50,897 0	29,888 0	37,829 0	527,278 0	0 0
MICHAEL A PEEL, VP FOR HR & ADMINISTRATION	(i) (ii)	493,030 0	0 0	77,513 0	30,200 0	20,220 0	620,963 0	0 0
BENJAMIN POLAK, PROVOST	(i) (ii)	549,940 0	0 0	17,500 0	29,575 0	841 0	597,856 0	0 0
DOROTHY K ROBINSON, VP & GENERAL COUNSEL	(i) (ii)	548,201 0	0 0	17,500 0	29,575 0	9,844 0	605,120 0	0 0
SCOTT A STROBEL, VP FOR WEST CAMPUS PLANNING	(i) (ii)	391,814 0	0 0	28,469 0	31,762 0	24,334 0	476,379 0	0 0
ROBERT J ALPERN, DEAN SCHOOL OF MEDICINE	(i) (ii)	835,151 0	0 0	17,500 0	29,575 0	3,130 0	885,356 0	0 0
JOHN H BOLLIER, AVP FOR FACILITIES	(i) (ii)	370,490 0	0 0	0 0	29,887 0	37,248 0	437,625 0	0 0
RICHARD C LEVIN, PRESIDENT EMERITUS	(i) (ii)	209,414 0	0 0	4,375 0	0 0	4,452 0	218,241 0	0 0
DAVID F SWENSEN, CHIEF INVESTMENT OFFICER	(i) (ii)	774,665 0	2,307,309 0	1,806,314 0	217,932 0	29,505 0	5,135,725 0	867,438 0
DEAN J TAKAHASHI, SR DIRECTOR INVESTMENTS	(i) (ii)	580,831 0	1,730,482 0	417,500 0	202,431 0	17,650 0	2,948,894 0	0 0
KHALID M ABBED, CHIEF, NEUROSURGERY SPINE	(i) (ii)	246,294 0	1,013,354 0	0 0	29,262 0	30,844 0	1,319,754 0	0 0
DAVID MULLIGAN, PROFESSOR OF SURGERY (TRANSPLANT)	(i) (ii)	790,751 0	468,000 0	0 0	29,575 0	25,521 0	1,313,847 0	0 0
THOMAS J RUTHERFORD, PROFESSOR, OB/GYN	(i) (ii)	252,661 0	1,138,391 0	13,569 0	28,950 0	11,547 0	1,445,118 0	0 0
TIMOTHY R SULLIVAN, DIRECTOR INVESTMENTS	(i) (ii)	570,431 0	608,792 0	17,500 0	432,908 0	44,863 0	1,674,494 0	191,667 0
DAVID D YUH, PROFESSOR OF CARDIAC SURGERY	(i) (ii)	842,755 0	368,125 0	17,500 0	29,575 0	30,966 0	1,288,921 0	0 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
MICHAEL J DONOGHUE, FORMER VP FOR WEST CAMPUS PLANNING	(i)	243,517	0	17,500	29,200	325,937	0
	(ii)	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774LZC2	01-08-2003	250,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774L6B6	10-05-2005	211,030,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
C STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774L6C4	10-05-2005	100,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
D STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UPP4	10-04-2007	414,292,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds legally defeased								
3	Total proceeds of issue	252,221,323		219,949,777		104,887,356		424,106,796	
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds								
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	252,221,323		219,949,777		104,887,356		424,106,796	
11	Other spent proceeds								
12	Other unspent proceeds								
13	Year of substantial completion	2009		2012		2012		2012	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X		X		X		X
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		X
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 100 %		0 %		0 %		0 %	
6	Total of lines 4 and 5	0 100 %		0 %		0 %		0 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?	X		X		X		X	
c	No rebate due?		X		X		X		X
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X			X	X			X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
DATE REBATE COMPUTATION PERFORMED	ISSUER NAME STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORI DATE THE REBATE COMPUTATION WAS PERFORMED 02/24/2015

Return Reference	Explanation
SCHEDULE K, PART I, BOND ISSUE, COLUMN (F)	CHEFA BONDS ISSUED 2/24/2010 (CUSIP# 20774UU60) - AND TO DEFEASE CHEFA SERIES W BONDS ISSUED 4/2002 SCHEDULE K, PART II, PROCEEDS, QUESTION 3 TOTAL PROCEEDS OF ISSUE REPRESENT THE ISSUE PRICE (PART I, COLUMN (E)) PLUS ANY INVESTMENT EARNINGS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTION 3B DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED ANY MANAGEMENT OR SERVICE CONTRACTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTION 3D DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED RESEARCH AGREEMENTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-5 IN CERTAIN CASES, A BOND ISSUE WITH AN ENTRY OF 00% MAY HAVE FINANCED PROPERTY WITH SOME PRIVATE BUSINESS USE IN EACH OF THESE CASES, THE PRIVATE BUSINESS USE PERCENTAGE OF THE BOND ISSUE WAS LESS THAN 0.05% AS THE AMOUNTS ARE ROUNDED TO THE NEAREST TENTH OF A PERCENTAGE POINT, PURSUANT TO THE INSTRUCTIONS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-6, BOND B, SECOND PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60) A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE, ONLY THE PRIVATE BUSINESS USE PERTAINING TO THE NEW MONEY PORTION OF THE 02/24/10 ISSUE IS REPORTED SCHEDULE K, PART IV, ARBITRAGE, QUESTION 2C, BOND B, SECOND PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60) A PORTION OF THE BOND ISSUE RELATING TO THE CONSTRUCTION FUND IS SUBJECT TO REBATE THE REBATE LIABILITY COMPUTED AT FEBRUARY 24, 2015 WAS NEGATIVE SCHEDULE K, PART IV, ARBITRAGE, QUESTION 3, BOND A, SECOND PAGE - CHEFA BOND ISSUED 10/04/07 (CUSIP# 20774UPQ2) PURSUANT TO THE INSTRUCTIONS FOR PART IV, QUESTION 3, A VARIABLE RATE ISSUE IS AN ISSUE CONTAINING A BOND WITH A YIELD NOT FIXED AND DETERMINABLE ON THE ISSUE DATE THE BOND ISSUE WAS CONVERTED TO A FIXED RATE IN 2008

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UPQ2	10-04-2007	200,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UU60	02-24-2010	579,984,034	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
C STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YPD3	07-02-2013	100,000,000	TO FULLY REDEEM CHEFA SERIES X1 BONDS ISSUED 1/2003		X		X		X
D STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YRW9	07-23-2014	250,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds legally defeased								
3	Total proceeds of issue	204,478,448		580,160,339		100,000,000		250,018,753	
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds								
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	204,478,448		490,643,522				142,495,407	
11	Other spent proceeds			89,516,817		100,000,000			
12	Other unspent proceeds							107,523,346	
13	Year of substantial completion	2012		2012		2013			
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X		X			X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X			X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X			X	X			X

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X		X		X		X
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X			X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 %		0 %	
6	Total of lines 4 and 5	0 %		0 %		0 %		0 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X	X	
b	Exception to rebate?	X			X	X			X
c	No rebate due?		X	X			X		X
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X		X		X		X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

2014

Open to Public Inspection

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b. ▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only) Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b				
1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?
				YesNo

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II

Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) SCOTT STROBEL	OFFICER	HOUSING		X	100,000	89,572		No		No	Yes	
(2) SCOTT STROBEL	OFFICER	HOUSING		X	100,000	100,000		No		No	Yes	

Total ▶ \$189,572

Part III Grants or Assistance Benefiting Interested Persons. Complete if the organization answered "Yes" on Form 990, Part IV, line 27.				
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)		73,581	SCHOLARSHIPS	(SEE PART V)

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) STEFANIE MARKOVITS	(SEE PART V) SPOUSE OF BENJAMIN POLAK, AN OFFICER	148,228	(SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR OF ENGLISH		No
(2) MARTA MORET	(SEE PART V) SPOUSE OF PETER SALOVEY, AN OFFICER	62,996	(SEE PART V) COMPENSATION AND BENEFITS, ASSOCIATE		No
(3) PATRICIA PREISIG	(SEE PART V) SPOUSE OF ROBERT ALPERN, A KEY EMPLOYEE	184,287	(SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR, MEDICINE (NEPHROLOGY) AND CELLULAR AND MOLECULAR PHYSIOLOGY		No
(4) WENDY SHARP	(SEE PART V) SPOUSE OF DEAN TAKAHASHI, A KEY EMPLOYEE	99,631	(SEE PART V) COMPENSATION AND BENEFITS, DIRECTOR OF CHAMBER MUSIC, LECTURER SCHOOL OF MUSIC		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
SCHEDULE L, PART II	ALL LOANS REPORTED ON SCHEDULE L, PART II WERE MADE AND APPROVED PURSUANT TO UNIVERSITY COMPENSATION POLICIES
SCHEDULE L, PART III	THE SCHOLARSHIP GRANTS REPRESENT AMOUNTS AWARDED TO INTERESTED PERSONS UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.
►Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

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Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part ITypes of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	88	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	1,093	52,729,440	FAIR VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	1	497,034	SALE OF COMP PROPERTY
12 Securities—Miscellaneous	X	1	0	
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential	X	1	885,000	SALE OF COMP PROPERTY
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	17	0	
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (EQUIPMENT)	X	3	0	
26 Other ► (MUSICAL INSTRUMENTS)	X	4	0	
27 Other ► ()				
28 Other ► ()				

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

112

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

No

b

If "Yes," describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b

If "Yes," describe in Part II

33

If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B)	AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY
PART I, LINE 32B	OCCASIONALLY, THE UNIVERSITY ENGAGES THIRD PARTIES (E G , REAL ESTATE BROKERS, AUCTION HOUSES, INVESTMENT BROKERS, ETC) TO SELL CERTAIN NON-CASH CONTRIBUTIONS
PART I, LINE 33	PURSUANT TO ACCOUNTING STANDARDS CODIFICATION 958 (ASC 958), THE UNIVERSITY DOES NOT CAPITALIZE ITS COLLECTIONS, INCLUDING WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN ITS MUSEUMS AND LIBRARIES NON-CASH CONTRIBUTIONS OF THIS NATURE ARE, THEREFORE, NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE OTHER NON-CASH CONTRIBUTIONS WITH NO READILY ASCERTAINABLE FAIR VALUE OR WHICH ARE DEEMED IMMATERIAL ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES

SCHEDULE O
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ****Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.****▶ Attach to Form 990 or 990-EZ.****▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.**

OMB No 1545-0047

2014**Open to Public
Inspection**Name of the organization
YALE UNIVERSITY**Employer identification number**

06-0646973

Return Reference	Explanation
FORM 990, PART I, LINE 6	TOTAL NUMBER OF VOLUNTEERS VOLUNTEERS SUPPORT AND BENEFIT THE UNIVERSITY IN A WIDE VARIETY OF ACTIVITIES, PROGRAMS, AND SERVICES WHILE YALE UNIVERSITY DOES NOT TRACK THE NUMBER OF ITS VOLUNTEERS, THE UNIVERSITY ESTIMATES THAT THE NUMBER OF VOLUNTEERS WAS APPROXIMATELY 7,300 DURING FYE 6/30/15 FORM 990, PART III, LINE 1 DESCRIPTION OF ORGANIZATION MISSION LIKE ALL GREAT RESEARCH UNIVERSITIES, YALE HAS A TRIPARTITE MISSION TO CREATE, PRESERVE, AND DISSEMINATE KNOWLEDGE YALE AIMS TO CARRY OUT EACH PART OF ITS MISSION AT THE HIGHEST LEVEL OF EXCELLENCE, ON PAR WITH THE BEST INSTITUTIONS IN THE WORLD YALE SEEKS TO ATTRACT A DIVERSE GROUP OF EXCEPTIONALLY TALENTED MEN AND WOMEN FROM ACROSS THE NATION AND AROUND THE WORLD AND TO EDUCATE THEM FOR LEADERSHIP IN SCHOLARSHIP, THE PROFESSIONS, AND SOCIETY FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS IN ADDITION TO THE GRADUATE SCHOOL OF ARTS AND SCIENCES, THE UNIVERSITY HAS PROFESSIONAL SCHOOLS INCLUDING ARCHITECTURE, ART, DIVINITY, DRAMA, ENGINEERING AND APPLIED SCIENCE, FORESTRY AND ENVIRONMENTAL STUDIES, LAW, MANAGEMENT, MEDICINE, MUSIC, NURSING AND PUBLIC HEALTH FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS IN FY 2015, 2,734 OR 50%, OF UNDERGRADUATES RECEIVED NEED-BASED SCHOLARSHIPS OR GRANTS FROM YALE SOURCES OF \$43,285 ON AVERAGE YALE'S GRADUATE AND PROFESSIONAL SCHOOLS ALSO PROVIDE FINANCIAL AID TO THEIR STUDENTS, RANGING FROM THE MERIT-BASED FUNDING FOR PHD STUDENTS TO THE NEED-BASED AID OFFERED AT THE LAW AND MEDICAL SCHOOLS ALL OF YALE'S GRADUATE AND PROFESSIONAL SCHOOLS PROVIDE FINANCIAL AID TO THEIR STUDENTS BASED ON THEIR INDIVIDUAL POLICIES AND PROCEDURES THIS CATEGORY OF EXPENDITURES ALSO INCLUDES THE OPERATION OF DINING HALLS THAT PROVIDED 2,843,536 MEALS TO STUDENTS, FACULTY AND STAFF, MAINTENANCE OF DORMITORIES HOUSING 4,688 UNDERGRADUATES AND 989 GRADUATE AND PROFESSIONAL SCHOOL STUDENTS, OPERATION AND MAINTENANCE OF ATHLETIC FACILITIES FOR THE 35 INTERCOLLEGIATE SPORTS, OVER 30 INTRAMURAL SPORTS, AND 50 CLUB SPORTS TEAMS OFFERED AT YALE ALSO INCLUDED ARE PHYSICAL EDUCATION INSTRUCTION, PARKING FACILITIES, LAUNDRY FACILITIES, STUDENT CENTERS, AND OTHER FACILITIES AND PROGRAMS (INCLUDING EXTRACURRICULAR ACTIVITIES AND STUDENT HEALTH SERVICES) THAT PROVIDE SUPPORT, SERVICES, AND ACADEMIC/CULTURAL ENRICHMENT TO THE UNIVERSITY'S STUDENTS FORM 990, PART III, LINE 4D OTHER PROGRAM SERVICES INCLUDE ACADEMIC SUPPORT, PUBLIC SERVICE, AND OTHER INSTITUTIONAL SUPPORT

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 1	THERE ARE TWO EX-OFFICIO TRUSTEES, THE GOVERNOR OF CONNECTICUT AND THE LIEUTENANT GOVERNOR OF CONNECTICUT HISTORICALLY, THESE INDIVIDUALS DO NOT PARTICIPATE IN GOVERNANCE ACTIVITIES AT THE UNIVERSITY AND THEY ARE REPORTED AS INDEPENDENT

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	DURING FYE 6/30/15, THE YALE CORPORATION BY-LAWS WERE AMENDED TO ENHANCE ADMINISTRATIVE FLEXIBILITY BY MOVING FROM THE BY-LAWS TO A CORPORATION RESOLUTION SPECIFICATIONS OF THE RESPONSIBILITIES OF CERTAIN VICE PRESIDENTS IN ADDITION, THE BY-LAWS WERE AMENDED TO MODIFY THE TITLE OF ONE VICE PRESIDENT, REVISE OPERATING PROCEDURES FOR CERTAIN CORPORATION COMMITTEES, INTRODUCE AND DESCRIBE THE RESPONSIBILITIES OF THE DEAN OF THE FACULTY OF ARTS AND SCIENCES, DEFINE THE COMPOSITION AND ROLE OF THE BOARD OF PERMANENT OFFICERS OF THE FACULTY OF ARTS AND SCIENCES, AMEND THE NOTIFICATION PROCESS FOR NOMINATIONS OF PROFESSORS ON PERMANENT APPOINTMENT AND DEANS, AND CLARIFY THE GOVERNANCE OF THE COUNCIL OF MASTERS AND OF THE BOARD OF GOVERNORS OF THE YALE UNIVERSITY PRESS

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	THE GOVERNING BODY INCLUDES SIX ALUMNI TRUSTEES WHO ARE ELECTED BY THE ALUMNI

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11	THE FORM 990 WAS REVIEWED BY SENIOR MANAGEMENT. IN ADDITION, THE UNIVERSITY DISTRIBUTED A DRAFT COPY OF FORM 990 TO THE CORPORATION AUDIT COMMITTEE, COMPRISED OF FOUR MEMBERS OF THE UNIVERSITY'S GOVERNING BODY. MEMBERS OF SENIOR MANAGEMENT MET WITH CERTAIN UNIVERSITY OFFICERS AND THE CORPORATION AUDIT COMMITTEE TO DISCUSS THE DRAFT FORM 990 AND ANSWER ANY QUESTIONS PRIOR TO FILING.

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>THE YALE UNIVERSITY CONFLICT OF INTEREST POLICY OBLIGATES EACH OFFICER, TRUSTEE, AND KEY EMPLOYEE TO PROMPTLY DISCLOSE ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST AS DEFINED IN THE POLICY. EACH SUCH PERSON MUST SIGN A STATEMENT ANNUALLY AFFIRMING THAT HE OR SHE HAS RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, HAS READ AND UNDERSTANDS THE POLICY, AGREES TO COMPLY WITH THE POLICY, AND UNDERSTANDS THAT THE CORPORATION IS CHARITABLE AND IN ORDER TO MAINTAIN ITS EXEMPTION IT MUST ENGAGE PRIMARILY IN ACTIVITIES WHICH ACCOMPLISH ONE OR MORE OF ITS TAX-EXEMPT PURPOSES. IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF HIS OR HER FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTOR AND MEMBERS OF THE COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT. AFTER DISCLOSURE OF A POTENTIAL CONFLICT OF INTEREST, THE INTERESTED PERSON IS EXCUSED FROM THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS MADE, AND IS RECUSED FROM PARTICIPATING IN ANY VOTE ON THE MATTER.</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	<p>THE PROCESS FOR DETERMINING THE COMPENSATION OF THE PRESIDENT INCLUDED INITIAL REVIEW BY THE COMMITTEE ON COMPENSATION (THE COMPENSATION COMMITTEE) OF THE YALE CORPORATION (YALE UNIVERSITY'S GOVERNING BOARD), A RECOMMENDATION VOTED BY THE COMMITTEE TO THE YALE CORPORATION, AND FULL REVIEW AND VOTE BY THE YALE CORPORATION. BOTH REVIEWS INCLUDED REVIEW OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER UNIVERSITIES, AS COMPILED BY A PROFESSIONAL COMPENSATION CONSULTANT RETAINED BY THE COMPENSATION COMMITTEE FOR THE PURPOSE, AND REVIEW OF INFORMATION ON SUCH COMPARABLE POSITIONS FROM IRS FORMS 990 FOR SIMILAR ORGANIZATIONS, BOTH REVIEWS CONSIDERED SUCH DATA IN LIGHT OF THE PERFORMANCE OF THE PRESIDENT, WHICH WAS REVIEWED BY THE COMPENSATION COMMITTEE AND BY THE YALE CORPORATION. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE, AND THE PROCEEDINGS OF THE YALE CORPORATION, EACH WERE DOCUMENTED IN MINUTES OF THE RESPECTIVE BODY, CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. ARRANGEMENTS FOR THE PRESIDENT EMERITUS SIMILARLY WERE REVIEWED BY THE COMPENSATION COMMITTEE AND APPROVED BY THE CORPORATION. THE PROCESS FOR DETERMINING COMPENSATION OF THE OFFICERS CONSISTED OF REVIEW BY THE COMPENSATION COMMITTEE OF THE RECOMMENDATIONS MADE BY THE PRESIDENT, CONSIDERING THE PERFORMANCE OF EACH OFFICER, AND REVIEW OF DATA ON COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT SIMILAR ORGANIZATIONS, AS COLLECTED BY A PROFESSIONAL COMPENSATION CONSULTANT THROUGH SURVEYS. THE COMPENSATION COMMITTEE VOTED RECOMMENDATIONS ON COMPENSATION OF SUCH INDIVIDUALS TO THE YALE CORPORATION. THE YALE CORPORATION RECEIVED THE RECOMMENDATIONS OF THE COMPENSATION COMMITTEE, AND DISCUSSED THESE WITH THE PRESIDENT, WHO REVIEWED THE PERFORMANCE OF EACH OFFICER WITH THE YALE CORPORATION, AND ALSO THE COMPARABLE COMPENSATION INFORMATION. THE YALE CORPORATION THEN VOTED ON THE COMPENSATION OF EACH OFFICER. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE AND OF THE CORPORATION EACH WERE DOCUMENTED IN MINUTES CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. OF THE KEY EMPLOYEES, THE COMPENSATION OF THE DEAN, SCHOOL OF MEDICINE WAS RECOMMENDED BY THE PRESIDENT TO THE COMPENSATION COMMITTEE, AND REVIEWED BY THAT COMMITTEE IN CONJUNCTION WITH ITS REVIEW OF THE PERFORMANCE OF THE DEAN AND CONSIDERATION OF DATA ON THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER INSTITUTIONS COMPILED BY AN INDEPENDENT COMPENSATION CONSULTANT. THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION, AND CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. WITH RESPECT TO THE CHIEF INVESTMENTS OFFICER AND SENIOR DIRECTOR OF INVESTMENTS, THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION AFTER CONSIDERATION OF THE RECOMMENDATIONS OF THE PRESIDENT AND OF THE FOLLOWING: REVIEW OF THE PERFORMANCE OF EACH, INVESTMENT PERFORMANCE OF THE YALE ENDOWMENT OVER DESIGNATED PERIODS, OTHER PERFORMANCE-RELATED INFORMATION, AND AN ANALYSIS OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT TAXABLE AND TAX-EXEMPT ORGANIZATIONS PREPARED BY AN INDEPENDENT COMPENSATION CONSULTANT RETAINED FOR THE PURPOSE. THE COMPENSATION COMMITTEE CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. EXCEPT AS NOTED ABOVE, COMPENSATION DECISIONS FOR KEY EMPLOYEES WERE MADE BY THE EMPLOYEE'S SUPERVISOR IN ACCORDANCE WITH UNIVERSITY POLICIES AND PROCEDURES. NO MEMBER OF THE YALE CORPORATION WHO HAD A CONFLICT OF INTEREST WITH RESPECT TO A COMPENSATION ARRANGEMENT DESCRIBED ABOVE (I.E., BY VIRTUE OF A FAMILY OR BUSINESS RELATIONSHIP) PARTICIPATED IN THE COMPENSATION COMMITTEE REVIEW OR THE VOTE OF THE YALE CORPORATION WITH RESPECT TO SUCH COMPENSATION ARRANGEMENT.</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	THE UNIVERSITY'S GOVERNING DOCUMENTS, INCLUDING ANY AMENDMENTS, FINANCIAL STATEMENTS AND STANDARDS OF BUSINESS CONDUCT ARE AVAILABLE ON THE UNIVERSITY'S WEBSITE OR UPON REQUEST

Return Reference	Explanation
FORM 990, PART VII, SECTION A	<p>COMPENSATION AND HOURS FOR A FORMER KEY EMPLOYEE RELATE TO CONTINUING SERVICE IN A NON-KEY EMPLOYEE POSITION FORM 990, PART VII, SECTION A, COLUMN (A) CONTINUATION OF TITLES FOR EACH LISTED PERSON PETER SALOVEY PROFESSOR OF PSYCHOLOGY BRUCE D ALEXANDER & CAMPUS DEVELOPMENT ALEXANDER E DREIER VP & GENERAL COUNSEL EFFECTIVE 3/23/15 LINDA K LORIMER VP FOR GLOBAL & STRATEGIC INITIATIVES THROUGH 4/5/15, SENIOR COUNSELOR TO THE PRESIDENT & PROVOST EFFECTIVE 4/6/15 JOAN O'NEILL VP FOR DEVELOPMENT THROUGH 5/18/15, VP FOR ALUMNI AFFAIRS & DEVELOPMENT EFFECTIVE 5/19/15 BENJAMIN POLAK PROFESSOR OF ECONOMICS, PROFESSOR SCHOOL OF MANAGEMENT DOROTHY K ROBINSON VP & GENERAL COUNSEL THROUGH 9/30/14, SENIOR COUNSELOR TO THE PRESIDENT EFFECTIVE 10/1/14 SCOTT A STROBEL & PROGRAM DEVELOPMENT, PROFESSOR OF MOLECULAR BIOPHYSICS AND BIOCHEMISTRY, PROFESSOR OF CHEMISTRY, HOWARD HUGHES MEDICAL INSTITUTE PROFESSOR ROBERT J ALPERN PROFESSOR OF MEDICINE (NEPHROLOGY) RICHARD C LEVIN SENIOR RESEARCH SCHOLAR, JACKSON INSTITUTE, PROFESSOR EMERITUS SCHOOL OF MANAGEMENT, PROFESSOR EMERITUS ECONOMICS KHALID M ABED ASSOCIATE PROFESSOR OF NEUROSURGERY, DIRECTOR, MINIMALLY INVASIVE SPINE SURGERY & SPINE TUMOR SURGERY DAVID MULLIGAN SECTION CHIEF, TRANSPLANTATION SURGERY AND IMMUNOLOGY THOMAS J RUTHERFORD SECTION CHIEF, GYNECOLOGIC ONCOLOGY, FELLOWSHIP DIRECTOR, GYNECOLOGIC ONCOLOGY DAVID D YUH SECTION CHIEF MICHAEL J DONOGHUE & PROGRAM DEVELOPMENT, PROFESSOR OF ECOLOGY & EVOLUTIONARY BIOLOGY</p>

Return Reference	Explanation
FORM 990, PART VII, SECTION A, COLUMN (B)	THE NUMBER OF HOURS REPORTED FOR EACH LISTED PERSON IS AN ESTIMATE. THE ESTIMATE FOR TRUSTEES INCLUDES MEETING TIME AND PREPARATION, AS WELL AS ATTENDANCE AT CERTAIN OFFICIAL UNIVERSITY FUNCTIONS DURING THE YEAR, BUT DOES NOT INCLUDE TRAVEL TIME OR ATTENDANCE AT OTHER EVENTS AS TRUSTEES. IN MANY CASES, THE ACTUAL AVERAGE HOURS PER WEEK FOR LISTED PERSONS, OTHER THAN TRUSTEES, EXCEEDED 50 HOURS. FORM 990, PART VII, SECTION B, COLUMN (C). THE AMOUNT REPORTED REPRESENTS DIRECT CASH PAYMENTS MADE DURING THE CALENDAR YEAR 2014 TO INDEPENDENT CONTRACTORS FOR SERVICES. FOR CONSTRUCTION SERVICES, THE FEES REPRESENT THE ENTIRE CONTRACT COST. FORM 990, PART IX, LINE 24. OTHER EXPENSES INCLUDE \$1,068,091 OF STATE UNRELATED BUSINESS INCOME TAX.

Return Reference	Explanation
FORM 990, PART XI, LINE 9	CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS -119,262,000 ACTUARIAL ADJUSTMENTS FOR SPLIT INTEREST LIABILITY 14,940,070 ROUNDING 7,562

Return Reference	Explanation
FORM 990, SCHEDULE B, PART I	CONTRIBUTOR NO 1 AND 2 THESE CONTRIBUTIONS REPRESENT PLEDGES IT IS UNKNOWN AT THIS TIME WHETHER THE PLEDGES WILL BE SATISFIED WITH CASH OR NON-CASH PROPERTY

SCHEDULE R

(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990.
- ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Open to Public
Inspection

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
See Additional Data Table							

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
See Additional Data Table												

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

Yes

1c

Yes

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

No

1k

No

1l

Yes

1m

Yes

1n

Yes

1o

No

1p

Yes

1q

Yes

1r

Yes

1s

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Schedule R (Form 990) 2014

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.
Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII

Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
SCHEDULE R, PART I-V	NAME, ADDRESS AND EIN THE FORM 990, SCHEDULE R, LISTS THE NAME OF EACH ENTITY AS OF THE DATE OF THE FORM 990 FILING SCHEDULE R, PART III GENERAL NOTE DISPROPORTIONATE ALLOCATIONS INDICATED IN COLUMN (H) OF PART III GENERALLY RELATE TO I) A GENERAL PARTNER'S TYPICAL CARRIED INTEREST WITH RESPECT TO PRIVATE FUND INVESTMENTS OR II) ALLOCATIONS AMONG 501(C)(3) ORGANIZATIONS THAT ARE PARTNERS OR MEMBERS IN AN INVESTMENT ENTITY SCHEDULE R, PART IV AS OF JUNE 30, 2015, YALE UNIVERSITY HELD MORE THAN 50% OF THE BENEFICIAL INTERESTS IN 47 CHARITABLE REMAINDER TRUSTS OF WHICH 42 WERE DOMICILED IN CONNECTICUT AND THE REMAINDER WERE DOMICILED IN TENNESSEE, PENNSYLVANIA, MASSACHUSETTS, AND NEW JERSEY SCHEDULE R, PART V GENERAL NOTE THIS SCHEDULE REFLECTS ALL TRANSFERS BY YALE UNIVERSITY TO CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES MADE FURTHER TRANSFERS TO OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY IN ADDITION, THIS SCHEDULE REFLECTS ALL TRANSFERS TO YALE UNIVERSITY BY CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES RECEIVED TRANSFERS FROM OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY

Additional Data

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
BOYLSTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3324804	INVESTMENT	DE	-2,291,992	945,859,855	YALE UNIVERSITY
YD SMA LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3365267	INVESTMENT	DE	20,107,838	292,874,267	YALE UNIVERSITY
ENDURANCE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1606540	INVESTMENT	DE	0	46,706,173	YALE UNIVERSITY
HARBOR HILLS INVESTMENTS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0099423	INVESTMENT	DE	50,168	20,641,249	YALE UNIVERSITY
SARDOUS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0580383	INVESTMENT	DE	1,589,170	17,501,218	YALE UNIVERSITY
WE 2 CHURCH STREET SOUTH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0066065	INVESTMENT	CT	500,000	8,625,009	YALE UNIVERSITY
ESV HOLDINGS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3980473	INVESTMENT	DE	0	4,880,396	YALE UNIVERSITY
ALPHA 9 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0982227	INVESTMENT	CT	0	3,451,348	YALE UNIVERSITY
YALE GLOBAL ENTERPRISES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0859961	SUPPORTS HIGHER EDUCATION	CT	0	3,143,982	YALE UNIVERSITY
S PROPERTY HOLDING LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3717440	INVESTMENT	CT	0	1,520,355	YALE UNIVERSITY
GSWM INVESTMENTS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3717358	INVESTMENT	CT	75,003	1,069,247	YALE UNIVERSITY
FENIX I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2863129	INVESTMENT	DE	0	980,711	YALE UNIVERSITY
PASSIVE HOLDING LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-3783661	INVESTMENT	NJ	0	965,602	YALE UNIVERSITY
4610 SOUTH 133RD STREET LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646973	INVESTMENT	NE	0	769,450	YALE UNIVERSITY
AMISTAD PARTNERS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 73-1683601	INVESTMENT	CT	0	599,975	YALE UNIVERSITY
YALE STUDENT INVESTMENT FUND LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3851735	INVESTMENT	DE	1,566	427,561	YALE UNIVERSITY
FLATIRON FUNDING II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 42-1688137	INVESTMENT	DE	20,152	249,759	YALE UNIVERSITY
41 NORTH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1594874	INVESTMENT	DE	0	4,995	YALE UNIVERSITY
BETA 14 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0982129	INACTIVE	CT	0	0	YALE UNIVERSITY
BLUEFIELD TIMBER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0520556	INVESTMENT	DE	0	0	41 NORTH LLC

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
MEDICAL PRACTICE MANAGEMENT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-1545102	ADMINISTRATIVE SERVICES	CT	0	0	YALE UNIVERSITY
RENAISSANCE I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2863298	INVESTMENT	DE	0	0	YALE UNIVERSITY
THE UK FRIENDS OF YALE UNIVERSITY LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0552738	ACCEPTS CHARITABLE CONTRIBUTIONS	UK	662,363	0	YALE UNIVERSITY
YALE EDUCATIONAL CONSULTING (BEIJING) LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1006940	SUPPORTS HIGHER EDUCATION	CH	0	0	YALE GLOBAL ENTERPRISES LLC
YALE LEADERSHIP (BEIJING) EDUCATIONAL CONSULTING CO LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1200465	SUPPORTS HIGHER EDUCATION	CH	0	0	YALE GLOBAL ENTERPRISES LLC

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) 1970 (DEC02) YALE WILLIAM S BEINEKE LIFE-PINGRY C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 13-6331695	INVESTMENT	IL	501(C)(3)	11, TYPE III-O	YALE UNIVERSITY	Yes	
(1) 3C CORPORATION C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 41-2046529	INVESTMENT	DC	501(C)(3)	11, TYPE I	YALE UNIVERSITY	Yes	
(2) 5C CORPORATION C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763050	INVESTMENT	DC	501(C)(3)	11, TYPE I	YALE UNIVERSITY	Yes	
(3) ALUMNI FUND ASSOCIATION OF YALE UNIVERSITY C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6078326	ENCOURAGES AND SOLICITS CONTRIBUTIONS TO OR FOR YALE UNIVERSITY	CT	501(C)(3)	11, TYPE I	YALE UNIVERSITY	Yes	
(4) CHAPEL COMPANY C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1532073	INACTIVE	CT	501(C)(2)	N/A	YALE UNIVERSITY	Yes	
(5) CHARLES A COFFIN MEMORIAL FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6034841	SUPPORTS HIGHER EDUCATION	CT	501(C)(3)	11, TYPE III-OTHER	YALE UNIVERSITY	Yes	
(6) FW & ELSIE HEYL SCIENCE SCHOLARSHIP FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-6194019	SUPPORTS HIGHER EDUCATION	MI	501(C)(3)	11, TYPE III-O	N/A		No
(7) FOUNDING FATHERS PAPERS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-2365602	SUPPORTS AND FACILITATES PUBLICATIONS	NJ	501(C)(3)	11, TYPE I	N/A		No
(8) GRUBER FOUNDATION THE C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-1540594	SUPPORTS HIGHER EDUCATION	DE	501(C)(3)	11, TYPE I	YALE UNIVERSITY	Yes	
(9) HEALTH BENEFITS HOLDINGS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1559155	INVESTMENT	CT	501(C)(2)	N/A	YALE UNIVERSITY		No
(10) HR PROPERTIES INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1341463	INVESTMENT	CT	501(C)(2)	N/A	YALE UNIVERSITY	Yes	
(11) JONATHAN EDWARDS TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6084676	SUPPORTS HIGHER EDUCATION	CT	501(C)(3)	11, TYPE III-FI	YALE UNIVERSITY	Yes	
(12) PAUL MELLON CENTRE FOR STUDIES IN BRITISH ART C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-3783661	PROMOTES AND SUPPORTS STUDY OF BRITISH ART AND ARCHITECTURE	UK	501(C)(3)	N/A	YALE UNIVERSITY	Yes	
(13) PROSPECT STREET HOLDINGS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1424260	TITLE HOLDING COMPANY	CT	501(C)(2)	N/A	YALE UNIVERSITY	Yes	
(14) RICHARD U LIGHT FOUNDATION C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-2456949	SUPPORTS EDUCATION	MI	501(C)(3)	11, TYPE I	N/A		No
(15) STAFF RETIREMENT HOLDINGS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1559156	INVESTMENT	CT	501(C)(2)	N/A	YALE UNIVERSITY		No
(16) STOECKEL E B RESIDUE TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0653108	INVESTMENT	CT	501(C)(3)	11, TYPE III-FI	YALE UNIVERSITY	Yes	
(17) THE BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6032565	PROMOTES THE STUDY OF THE PHYSICAL, NATURAL AND MATHEMATICAL SCIENCES	CT	501(C)(3)	11, TYPE II	YALE UNIVERSITY	Yes	
(18) THE CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF THE YALE SCHOOL OF MGMT INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 58-2617787	PROVIDES RESEARCH AND PEER-DRIVEN EDU PROGRAMS TO BUSINESSES	DE	501(C)(3)	11, TYPE I	YALE UNIVERSITY	Yes	
(19) YALE ALUMNI PUBLICATIONS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646970	DISTRIBUTES ALUMNI MAGAZINE	CT	501(C)(3)	11, TYPE III-FI	N/A		No

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity? <div>YesNo</div>	
(21) YALE ALUMNI SCHOLARSHIP OF CLEVELAND INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 34-6523145	FUNDS SCHOLARSHIPS	OH	501(C)(3)	11, TYPE III - FI	N/A		No
(1) YALE CLUB OF CENTRAL NEW JERSEY C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-6048686	FUNDS SCHOLARSHIPS	NJ	501(C)(3)	11, TYPE III - O	N/A		No
(2) YALE SCHOLARSHIP FUND OF PITTSBURGH C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 23-7046816	FUNDS SCHOLARSHIPS	PA	501(C)(3)	11, TYPE III - FI	N/A		No
(3) YALE SCHOLARSHIP TRUST OF BOSTON C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-6113108	FUNDS SCHOLARSHIPS	MA	501(C)(3)	11, TYPE III - FI	N/A		No
(4) YALE SOUTHERN OBSERVATORY INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6068906	RESEARCH AND EDUCATION	CT	501(C)(3)	7	YALE UNIVERSITY	Yes	
(5) YALE UNIVERSITY ELIZABETHAN CLUB CORP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0332975	PROMOTES APPRECIATION OF LITERATURE AMONG MEMBERSHIP AND COMMUNITY	CT	501(C)(3)	11, TYPE III - FI	YALE UNIVERSITY	Yes	
(6) YALE UNIVERSITY PRESS LONDON C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	PUBLISHES SERIOUS WORKS	UK	501(C)(3)		YALE UNIVERSITY	Yes	
(7) YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6414276	501(A) TRUST THAT SUPPORTS YALE UNIVERSITY	CT	501(C)(3)	11, TYPE II	YALE UNIVERSITY	Yes	
(8) YALE WISCONSIN SCHOLARSHIP FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 39-6065573	FUNDS SCHOLARSHIPS	WI	501(C)(3)	11, TYPE III - O	N/A		No
(9) YALE-NEW HAVEN MEDICAL CENTER INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 23-7440891	SUPPORTS JOINT PROGRAMS OF YALE- NEW HAVEN HOSPITAL AND YALE UNIVERSITY	CT	501(C)(3)	11, TYPE III - FI	N/A		No

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
FOURTH CENTURY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 41-2046537	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	631,601,315	8,233,483,303	Yes		-4,947,543		No	99 800 %
FIFTH CENTURY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763076	INVESTMENT	NV	YALE UNIVERSITY	EXCLUDED FROM TAX	935,279,079	5,431,120,052	Yes		9,623,200		No	99 800 %
LAUREL 2008 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1649501	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	203,763,648	1,396,344,304	Yes		3,179,031		No	99 600 %
667 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1609184	INVESTMENT	DE	BBF I HOLDINGS LP	EXCLUDED FROM TAX	55,838,201	896,410,180		No			No	86 740 %
YB INSTITUTIONAL LIMITED PARTNERSHIP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3431530	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	56,335,397	709,905,294	Yes		8,015,992		No	99 480 %
BBF I HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8497574	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	57,726,561	707,156,218		No			No	99 600 %
FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3106692	INVESTMENT	CA	YALE UNIVERSITY	EXCLUDED FROM TAX	34,053,595	559,252,355	Yes		5,101		No	90 920 %
CYR HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0788802	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	107,574,063	530,562,576		No			No	99 600 %
LONG TERM VALUE FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0623624	INVESTMENT	CJ	LAUREL 2008 LP	EXCLUDED FROM TAX	26,855,857	491,652,912	Yes		1,146,114		No	99 600 %
CRS MASTER FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2658870	INVESTMENT	CJ	CRS FUND LTD	EXCLUDED FROM TAX	67,149,863	436,282,111		No			No	90 520 %
SP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0697863	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	54,385,775	414,672,193	Yes		265,002		No	99 600 %
PROSPECT STREET PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 59-3041479	INVESTMENT	FL	YALE UNIVERSITY	EXCLUDED FROM TAX	18,504,924	387,256,345		No	19,188		No	79 220 %
EASTON 2007 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0627974	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	44,456,585	362,265,905	Yes		-41,315		No	99 600 %
YHG INVESTMENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	GAOLING YALI FEEDER LTD	EXCLUDED FROM TAX	21,639,242	346,410,963		No			No	73 740 %
NOGA PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1192059	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	301,848	277,866,989	Yes		-7,478		No	95 420 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Dispropportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
YELLOW WARBLER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0342603	INVESTMENT	TX	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-82,312,693	257,034,417		No			No	98 890 %
KTOWN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-4278453	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	9,902,593	216,369,379	Yes				No	97 370 %
FRC II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1670120	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,276,382	208,193,377		No			No	99 600 %
NIFL HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8973999	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,570,439	182,449,550		No			No	99 600 %
LUXIVER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-1504333	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-22,653,155	176,754,900		No	-10,579,047		No	99 140 %
KHP FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5882068	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,482,889	172,078,548	Yes		-452,791		No	60 300 %
STRATEGIC RESIDENTIAL FUND II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3584406	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	9,809,523	155,387,789		No			No	95 000 %
JBG INVESTMENT FUND IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 01-0815265	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-1,630,757	123,227,637	Yes		377,140		No	59 880 %
MORRIS ISLAND PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-4538290	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	7,780,893	117,796,872		No			No	95 890 %
CYR FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0270646	INVESTMENT	DE	CYR HOLDINGS LP	EXCLUDED FROM TAX	13,216,885	116,336,802		No			No	87 540 %
KHP FUND I LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 65-1232049	INVESTMENT	CA	FOURTH CENTURY LLC	UNRELATED	4,098,572	108,277,108	Yes		4,012,464		No	54 960 %
JBG INVESTMENT FUND III LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 52-2384738	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-116,551	102,573,325	Yes		-927,191		No	59 790 %
FOXWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3794906	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-1,131,743	100,952,994		No			No	99 760 %
JPF V HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008388	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	18,130,609	100,650,957	Yes		2,146,987		No	99 600 %
Y2K PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 71-1007459	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-962,423	100,160,851		No			No	99 510 %

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							Yes	No		Yes	No	
IVP VI HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8699381	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	67,708,992	93,102,632	Yes		-54,529		No	99 600 %
M&H REALTY PARTNERS VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 57-1182074	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-402,539	81,132,256	Yes				No	53 170 %
TDRC II-A LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5025534	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX		80,798,369		No			No	99 600 %
CAUTHEN VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3653027	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	6,704,763	73,412,154	Yes		1,014,575		No	79 840 %
BAYROOT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0390410	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	5,462,417	67,160,801	Yes				No	98 800 %
OMAHA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	EXCLUDED FROM TAX	-2,371,779	63,721,042		No			No	98 600 %
CAUTHEN VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8202030	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,516,941	62,689,583	Yes		-405,900		No	79 840 %
AEF 5 US HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4076676	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-3,394,896	61,271,996		No			No	99 600 %
RCP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0444200	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	10,873,593	61,223,837		No			No	99 600 %
GLOSTER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1263980	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	91,585	56,688,263	Yes		-298,336		No	79 840 %
MEP IX LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 75-2537935	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	12,924,525	53,762,458		No			No	100 000 %
SEDGWICK LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0483594	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,716,318	49,077,225		No			No	79 840 %
AEF 6 US HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2867531	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,547,263	48,239,072	Yes		163,093		No	99 600 %
OSCEOLA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2008431	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,557,140	47,460,085	Yes		1,010,347		No	79 840 %
PEPF IV HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0290250	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	51,602,815	45,887,708		No			No	99 600 %

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							Yes	No		Yes	No	
WOODWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1206761	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,835,998	44,582,963		No			No	79 840 %
MP-MF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2099979	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	56,552,824	41,974,752		No			No	99 600 %
MANASLU FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0615593	INVESTMENT	CJ	MP-MF HOLDINGS LP	EXCLUDED FROM TAX	56,532,985	41,973,838	Yes				No	98 910 %
JPS HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8909921	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX		40,986,431		No			No	99 600 %
MERRIWEATHER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3664410	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,056,686	39,538,306	Yes				No	98 800 %
GEF II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0348232	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,694,035	39,255,803	Yes		-474,012		No	99 600 %
BCAF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8453177	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-1,768,081	39,142,039		No			No	99 600 %
LCPF V HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2776532	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	12,208,380	38,683,076		No			No	99 600 %
ALAMANCE VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2046522	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,346,848	38,668,270		No			No	79 840 %
SP 8 HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0558478	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,641,022	36,854,335		No			No	99 600 %
YADKIN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2707395	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	26,079	36,781,136		No			No	79 840 %
BCVF 2007 HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5965183	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,147,302	33,696,523		No			No	99 600 %
RAMSGATE VIII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5259910	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,316,473	32,817,071		No			No	79 840 %
CALVERT VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1603346	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,378,559	32,770,762	Yes		243,292		No	79 840 %
RCP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008465	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	650,751	32,628,866		No			No	99 600 %

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							Yes	No		Yes	No	
CAUTHEN VIII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2750613	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	201,519	32,421,505	Yes		646,112		No	79 840 %
ANACONDA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	EXCLUDED FROM TAX	-2,298,278	32,421,275		No			No	98 800 %
NLVF II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1545222	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	883,885	32,271,150	Yes		-46,175		No	79 840 %
WYNNWOOD VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3294405	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,829,850	32,259,731	Yes		-402,402		No	79 840 %
WINDOM LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1768804	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,137,212	31,218,797	Yes		-71,968		No	79 840 %
FIF IV (CFA) HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4764198	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	938,817	31,111,030	Yes		-63,943		No	99 600 %
RAMSGATE X LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3616177	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,339,809	30,749,829		No			No	79 840 %
UHLE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3981909	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,040,008	30,516,525	Yes		-27,430		No	79 840 %
ABINGDON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 61-1707283	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-398,402	30,413,018	Yes		-407,207		No	79 840 %
ALDERBROOK LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2939768	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,189,332	29,329,074	Yes		-112,373		No	79 840 %
STRATEGIC RESIDENTIAL FUND I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3398268	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	57,930,546	29,314,804	Yes				No	92 500 %
HEVP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1828824	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-718,024	29,306,273		No			No	99 600 %
RIDGEFIELD VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1603389	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	12,475,348	28,952,160	Yes		560,009		No	79 840 %
CALVERT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3960036	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-1,338,464	27,437,007	Yes		-860,977		No	79 840 %
IRIS 2009 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-4100777	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	6,388,186	27,423,637		No			No	99 600 %

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							Yes	No		Yes	No	
FULTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0165041	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,776,525	26,500,968		No			No	79 840 %
WYNNWOOD II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5548320	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,431,745	26,422,264	Yes		-370,059		No	79 840 %
VEITCH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-0999084	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-362,334	26,102,679	Yes		-402,500		No	79 840 %
TYPHOON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 01-0685132	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	487,399	25,579,710	Yes				No	91 270 %
YADKIN IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-1569715	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,165,694	25,466,746		No			No	79 840 %
MANASLU FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1158285	INVESTMENT	CJ	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-964	24,825,643		No			No	61 600 %
HAWTHORNE III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0854590	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		24,312,862		No			No	79 840 %
SCOTT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3616316	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	346,608	23,139,516	Yes		-363,724		No	79 840 %
HEVP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4982016	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,036,502	22,818,510		No			No	99 600 %
FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3388317	INVESTMENT	DE	YALE UNIVERSITY	UNRELATED	3,617,016	21,665,987	Yes		4,122,392		No	87 350 %
YADKIN III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2477930	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,939,953	21,467,290		No			No	79 840 %
RIDGEFIELD IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-1007364	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	278,781	21,292,646		No			No	79 840 %
RIDGEFIELD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2022850	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,232,055	20,925,836		No			No	79 840 %
KENWOOD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-3863347	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	67,622	20,471,123		No			No	79 840 %
HERNDON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1659934	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	227,590	19,722,670		No			No	79 840 %

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							Yes	No		Yes	No	
DENT VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2867610	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,437,591	19,551,537	Yes		65,970		No	79 840 %
RADFORD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-5470954	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,746	19,529,469		No			No	79 840 %
ALAMANCE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2575524	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	25,514,000	19,303,103		No			No	79 840 %
TILDEN II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-4210952	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	234,857	18,372,307		No			No	79 840 %
HUIDIKOPER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-4196504	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	4,267,330	18,321,319		No			No	79 840 %
VOLTA IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0914710	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	828,725	18,116,677	Yes		180,802		No	79 840 %
GARFIELD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4592367	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,823,197	17,865,941	Yes		56		No	79 840 %
EDMUNDS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4257832	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,573,706	17,703,994	Yes		14,125		No	79 840 %
RAMSGATE IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8833887	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	800,878	17,573,630		No			No	79 840 %
WHITEHAVEN X LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-5205346	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	662,183	17,532,082		No			No	79 840 %
CHICAGO LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	EXCLUDED FROM TAX	-886,503	16,945,677		No			No	98 800 %
KCF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8861865	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	252,937	16,821,653		No			No	99 600 %
FILLMORE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3783115	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	169,109	16,092,394		No			No	79 840 %
LORTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-4238865	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,485,633	15,626,107	Yes		-26,139		No	79 840 %
DENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4202230	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-863,925	15,592,316		No			No	79 840 %

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							Yes	No		Yes	No	
IVA II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2652041	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-2,781,432	15,402,147		No			No	99 600 %
GHEP III HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3853985	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-417,124	15,099,212		No			No	99 600 %
POE LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2765485	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,063,090	14,784,222	Yes		-40,423		No	79 840 %
CLEVELAND LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-0743297	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	591,108	14,534,334	Yes		-10,616		No	79 840 %
RAMSGATE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0419757	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,772,895	14,264,590		No			No	79 840 %
VOLTA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3738749	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	5,267,468	14,060,155	Yes		5,238,178		No	79 840 %
SANGAMORE III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0753284	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,944,185	13,956,916		No			No	79 840 %
DANVILLE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3531407	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	1,257,432	13,835,802	Yes		1,240,292		No	79 840 %
ODE IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 61-1724296	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		13,280,735		No			No	79 840 %
FRESHET WIND ENERGY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200160	INVESTMENT	DE	FWEB LLC	EXCLUDED FROM TAX	-800,731	13,179,144		No			No	79 840 %
VERNON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0904292	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	178	12,951,643		No			No	79 840 %
CORBIN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1263962	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	177,417	12,814,107	Yes		-44,065		No	79 840 %
GLOSTER III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8833953	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	5,505,582	11,930,889		No			No	79 840 %
QUINN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3879664	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	9,061,615	11,686,106		No			No	79 840 %
ARLINGTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-3909979	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,380	11,656,353		No			No	79 840 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
GOLDSBORO LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1996058	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-318,960	11,582,952	Yes		-215,784		No	79 840 %
OGDEN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1212114	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,402,523	11,030,572		No			No	79 840 %
WHITEHAVEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3915778	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	622,935	10,340,106		No			No	79 840 %
BE III HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5495967	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	679,215	10,086,053		No			No	99 600 %
ECP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0151337	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	39,113,602	10,033,865		No			No	99 600 %
SALINA FORESTRY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	EXCLUDED FROM TAX	-354,881	10,015,648		No			No	98 600 %
CAUTHEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2776143	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	105,915	9,896,416	Yes		-116,759		No	79 840 %
WYNNWOOD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1363230	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-953,414	9,817,107	Yes		31,597		No	79 840 %
HARBOR HILLS HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0729602	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX		9,721,343	Yes				No	55 000 %
YUMA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1584783	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	10,747,940	9,557,562		No			No	79 840 %
RAMSGATE XI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5209548	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		9,200,313		No			No	79 840 %
CORBIN VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 47-2456807	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	123	8,983,456		No			No	79 840 %
MACOMB IX LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5025458	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,703,268	8,833,760	Yes		-163		No	79 840 %
HARBOR HILLS DEVELOPMENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453802	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	EXCLUDED FROM TAX		8,649,750		No			No	54 450 %
ALAMANCE VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8558864	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	16,234,597	8,587,572		No			No	79 840 %

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							Yes	No		Yes	No	
ORDWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5189347	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,378,010	8,426,932	Yes		-110,599		No	79 840 %
BOF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5923569	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	879,729	8,133,525	Yes		24,534		No	99 600 %
ALAMANCE A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3484520	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	15,700,924	8,109,228		No			No	79 840 %
DUMBARTON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3919432	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	812,535	8,079,419		No			No	79 840 %
MACOMB XI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0958889	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-23,172	7,775,672	Yes		-23,272		No	79 840 %
CORBIN VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0773197	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	520,840	7,729,267		No			No	79 840 %
TILDEN III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-2024260	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	8,102	7,540,474		No			No	79 840 %
FESSENDEN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2270383	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	963,167	7,417,064		No			No	79 840 %
WILSON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2232279	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-541,693	7,007,421		No			No	79 840 %
WESTPATH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2297672	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,677,359	6,844,619		No			No	79 840 %
MACOMB VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5681131	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-465,340	6,777,355	Yes		-469,876		No	79 840 %
CHANDLER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 32-0438514	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-80,689	6,729,498	Yes		-81,248		No	79 840 %
RAMSGATE IX A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1797786	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	291,228	6,390,416		No			No	79 840 %
UPTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5778792	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		6,095,471		No			No	79 840 %
BARTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 35-2497887	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	72	5,982,177		No			No	79 840 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

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							Yes	No		Yes	No	
AVON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4056103	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	55,410	5,682,870	Yes		15,587		No	79 840 %
CAUTHEN IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5376519	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	4,234	5,635,922	Yes		-3,838		No	79 840 %
WHITEHAVEN XI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1738599	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,079	5,461,292		No			No	79 840 %
ELM STREET VENTURES LP C/O YALE UNIVERSITY PO BOX 208240 NEW HAVEN, CT 065208239 04-3843574	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	58,489	4,212,641		No	-11,516		No	50 020 %
LUXIVER NAWAB LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3117734	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	29,635,125	4,147,784		No			No	100 000 %
DENT A LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8725065	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-224,620	4,054,016		No			No	79 840 %
KLINGLE LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4592461	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,038,160	4,002,864	Yes		-10		No	79 840 %
BARTON-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 61-1732894	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		3,480,078		No			No	79 840 %
GREENFIELD LAND PARALLEL PARTNERS I LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4411467	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-997,960	3,445,092	Yes		-208,806		No	98 800 %
CRANBROOK LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3115503	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,976,703	3,122,846		No			No	79 840 %
LORTON-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-4239136	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,018,307	3,085,925		No			No	79 840 %
ODE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1567347	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,268,385	2,872,742		No			No	79 840 %
IVP V CO-INVESTMENT HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2707519	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	14,139,633	2,413,333		No			No	99 600 %
NEWINGTON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763113	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	76,958	2,228,991		No			No	79 840 %
SF-NEW PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 92-0179258	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	330,027	2,107,643		No			No	95 350 %

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							Yes	No		Yes	No	
YANKEE FOREST LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3384575	INVESTMENT	NH	YALE UNIVERSITY	EXCLUDED FROM TAX	12,503,571	1,467,415	Yes				No	99 000 %
WELBORN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1212127	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	503,642	1,438,423		No			No	79 840 %
KENWOOD 2014-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5722301	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		1,149,696		No			No	79 840 %
TILDEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8558781	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	8,437,026	1,049,207		No			No	79 840 %
KENWOOD 2014 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-5704870	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	39	1,041,458		No			No	79 840 %
ROSELAND PROPERTY PARTNERS LP C/O YALE UNIVERSITY PO BOX 208240 NEW HAVEN, CT 065208239 22-3283867	INVESTMENT	NJ	YALE UNIVERSITY	UNRELATED	-92	897,351		No	-92		No	60 000 %
SANGAMORE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0357077	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	3,393,744	608,893	Yes		-12,869		No	79 840 %
SANGAMORE II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2672293	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	7,109,099	605,185	Yes		-11,792		No	79 840 %
100 HOWE STREET ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1386762	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	157,099	457,883	Yes				No	97 080 %
4620 ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 13-4036667	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	110,297	316,290		No			No	83 990 %
OM HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5073267	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-2,571,246	223,015		No			No	99 600 %
HARBOR HILLS UTILITIES LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453800	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	EXCLUDED FROM TAX		216,921		No			No	54 450 %
94 HOWE STREET ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1428914	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	38,232	149,487	Yes				No	91 930 %
STARFAR I PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3205101	INVESTMENT	DE	YALE UNIVERSITY	UNRELATED	-8,144	66,125		No	-8,144		No	60 830 %
SPOP II CP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8685452	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,778,432			No			No	99 600 %

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							Yes	No		Yes	No	
HIGHLAND WIND HOLDINGS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200162	INVESTMENT	DE	LUNXUS WIND ENERGY LLC	UNRELATED	65,475			No	65,473		No	72 000 %
LUNXUS WIND ENERGY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200159	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	62,565		Yes		62,688		No	79 840 %
FARALLON DEPOT INVESTORS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3309848	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-59,231			No			No	70 000 %
NEWBURN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0365032	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-875,155			No			No	79 840 %
HARBOR HILLS COUNTRY CLUB LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453797	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	EXCLUDED FROM TAX		-3,549,684		No			No	54 450 %
MEP IV HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0646713	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	56,107,214	-4,913,266		No			No	99 600 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
Yes	No								
NEW FYI LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YALE UNIVERSITY	C	92,037,708	1,284,736,281	100 000 %	Yes	
CRS FUND LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-0314487	INVESTMENT	CJ	CYR HOLDINGS LP	C	832,028	441,558,217	99 600 %	Yes	
THE CAERULEUS FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YALE UNIVERSITY	C	145,904,869	387,064,881	100 000 %	Yes	
GAOLING YALI FEEDER LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	119,399,767	317,555,257	99 800 %	Yes	
LTR FOCUS FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	MP	YALE UNIVERSITY	C	22,199,666	198,544,283	100 000 %	Yes	
FOURTH CENTURY TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-6699631	INVESTMENT	CT	YALE UNIVERSITY	T	20,623,397	179,307,440	100 000 %	Yes	
PWEB LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3304430	INVESTMENT	DE	FOURTH CENTURY LLC	C	-1,369,655	142,735,824	99 800 %	Yes	
YB CAYMAN LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YB INSTITUTIONAL LP	C	490,912	47,901,856	99 480 %	Yes	
LP VALUE LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	VQ	YALE UNIVERSITY	C	-944,664	24,438,557	95 170 %	Yes	
3148591 NOVA SCOTIA LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	C	335,769	17,344,912	98 600 %	Yes	
CONNECTICUT BOOLA INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0798544	INVESTMENT	CT	YALE UNIVERSITY	C	2,197,131	16,954,877	100 000 %	Yes	
ARMINIUS REAL ESTATE OPPORTUNITY FEEDER FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	UK	FOURTH CENTURY LLC	C		15,308,216	90 730 %	Yes	
NCH INVESTORS FUND (YU) CORP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	455,657	13,723,670	99 800 %	Yes	
MANASLU PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	MP-MF HOLDINGS LP	C	10,401,967	10,041,831	99 600 %	Yes	
FWEB LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3304506	INVESTMENT	DE	FOURTH CENTURY LLC	C	517	3,657,683	99 800 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
Yes	No								
2089841 ONTARIO LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	C	2,641	3,010,621	98 800 %	Yes	
3215186 NOVA SCOTIA LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	C	304,103	1,682,846	98 600 %	Yes	
HARBOR HILLS COUNTRY CLUB INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1452585	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	C	2,445	28,686	55 000 %	Yes	
HARBOR HILLS SALES INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 59-3343340	INVESTMENT	FL	HARBOR HILLS HOLDINGS LP	C		550	55 000 %	Yes	
YALE UNIVERSITY HONG KONG TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	ACCEPTS CHARITABLE CONTRIBUTIONS	HK	YALE UNIVERSITY	T	405,111		100 000 %	Yes	
3285500 NOVA SCOTIA LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	C			98 600 %	Yes	
DRAMA PRODUCTIONS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0851103	PERFORMING ARTS	CT	YALE UNIVERSITY	C			100 000 %	Yes	
YORK STREET ASSOCIATES INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1174464	INACTIVE	CT	YALE UNIVERSITY	C			100 000 %	Yes	
CHARITABLE POOLED INCOME FUNDS (3) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CT	VARIOUS	T					No
CHARITABLE REMAINDER TRUSTS (47) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CT	YALE UNIVERSITY	T					No

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
100 HOWE STREET ASSOCIATES LLC	S	208,590	CASH VALUE
3C CORPORATION	B	13,505,460	CASH VALUE
3C CORPORATION	S	15,534,468	CASH VALUE
4620 ASSOCIATES LLC	S	95,565	CASH VALUE
5C CORPORATION	B	1,248,292	CASH VALUE
5C CORPORATION	S	5,971,078	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	B	717,063	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	S	6,980,448	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	S	1,228,127	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	B	82,730,448	CASH VALUE
FARALLON DEPOT INVESTORS LLC	S	203,140	CASH VALUE
FIFTH CENTURY LP	B	322,456,989	CASH VALUE
FIFTH CENTURY LP	S	1,594,253,593	CASH VALUE
FOURTH CENTURY LLC	B	1,710,599,818	CASH VALUE
FOURTH CENTURY LLC	S	1,746,253,571	CASH VALUE
FOXWAY LP	B	150,000,000	CASH VALUE
LP VALUE LTD	S	26,682,444	CASH VALUE
LUXIVER LP	B	135,000,000	CASH VALUE
LUXIVER NAWAB LP	S	105,676,576	CASH VALUE
NEW FYI LTD	B	190,000,000	CASH VALUE
PAUL MELLON CENTRE FOR STUDIES IN BRITISH ART	B	5,407,540	CASH VALUE
PROSPECT STREET PARTNERS LTD	B	100,000,000	CASH VALUE
STOECKEL E B RESIDUE TRUST	S	300,000	CASH VALUE
STRATEGIC RESIDENTIAL FUND I LLC	S	62,584,939	CASH VALUE
STRATEGIC RESIDENTIAL FUND II LLC	S	1,900,000	CASH VALUE

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
THE BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL	S	17,629,993	CASH VALUE
THE CAERULEUS FUND	S	84,930,725	CASH VALUE
THE CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF THE YALE SCHOOL OF MANAGEMENT	S	464,400	CASH VALUE
YALE UNIVERSITY ELIZABETHAN CLUB CORP	R	551,501	CASH VALUE
YALE UNIVERSITY HONG KONG TRUST	C	405,111	CASH VALUE
YALE UNIVERSITY PRESS LONDON	M	1,417,486	CASH VALUE
YALE UNIVERSITY PRESS LONDON	L	945,014	CASH VALUE
YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST	Q	27,487,250	CASH VALUE
YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST	B	8,931,129	CASH VALUE