



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Extended to February 16, 2016

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2014 or tax year beginning

JUL 1, 2014

, and ending

JUN 30, 2015

Name of foundation

Pattony Foundation

A Employer identification number

04-3577440

Number and street (or P.O. box number if mail is not delivered to street address)

Choate LLP PO Box 961019

Room/suite

B Telephone number

(617) 248-4760

City or town, state or province, country, and ZIP or foreign postal code

Boston, MA 02196

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 5,833,935.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**  
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received	300,009.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	25.	25.		Statement 1
4 Dividends and interest from securities	119,065.	119,065.		Statement 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	203,251.			
b Gross sales price for all assets on line 6a	1,647,307.			
7 Capital gain net income (from Part IV, line 2)		203,251.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	622,350.	322,341.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees Stmt 3	1,365.	0.		1,365.
b Accounting fees				
c Other professional fees Stmt 4	58,960.	44,220.		14,740.
17 Interest				
18 Taxes	9,505.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses Stmt 6	125.	0.		125.
24 Total operating and administrative expenses. Add lines 13 through 23	69,955.	44,220.		16,230.
25 Contributions, gifts, grants paid	0.			0.
26 Total expenses and disbursements. Add lines 24 and 25	69,955.	44,220.		16,230.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	552,395.			
b Net investment income (if negative, enter -0-)		278,121.		
c Adjusted net income (if negative, enter -0-)			N/A	

223501  
11-24-14

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2014) 21

SCANNED FEB 17 2016

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	1.		
	2 Savings and temporary cash investments	181,802.	200,005.	200,005.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 905,428.	Statement 8		
	Less: allowance for doubtful accounts ▶ 0.	824,930.	905,428.	905,428.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 9	4,105,820.	4,190,716.	4,190,716.
	c Investments - corporate bonds Stmt 10	185,910.	192,815.	192,815.
	<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 11		368,849.	344,971.	344,971.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		5,667,312.	5,833,935.	5,833,935.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	5,668,716.	5,833,935.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	<1,404.>	0.		
30 Total net assets or fund balances	5,667,312.	5,833,935.		
31 Total liabilities and net assets/fund balances	5,667,312.	5,833,935.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,667,312.
2 Enter amount from Part I, line 27a	2	552,395.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	6,219,707.
5 Decreases not included in line 2 (itemize) ▶ See Statement 7	5	385,772.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,833,935.

Form 990-PF (2014)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Publicly Traded Securities</b>		<b>P</b>		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,647,307.		1,444,056.	203,251.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			203,251.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		2		203,251.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3		N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	215,567.	4,282,817.	.050333
2012	178,204.	3,694,911.	.048230
2011	144,241.	3,149,496.	.045798
2010	168,277.	2,953,085.	.056983
2009	124,747.	2,394,361.	.052100
2 Total of line 1, column (d)			.253444
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.050689
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4,705,612.
5 Multiply line 4 by line 3			238,523.
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,781.
7 Add lines 5 and 6			241,304.
8 Enter qualifying distributions from Part XII, line 4			182,731.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	5,562.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	5,562.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,562.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	8,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,438.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 2,438. Refunded <input type="checkbox"/> 0.	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2014)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A		X	
14	The books are in care of ▶ Choate Hall & Stewart LLP Telephone no. ▶ (617) 248-4760 Located at ▶ Two International Place, Boston, MA ZIP+4 ▶ 02110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶		Yes	No
		16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Patricia A. Rubbiccio	Trustee			
Choate Hall & Stewart LLP Two Int'l Boston, MA 02110	1.00	0.	0.	0.
Anthony F. Rubbiccio	Trustee			
Choate Hall & Stewart LLP Two Int'l Boston, MA 02110	1.00	0.	0.	0.
William A. Lowell	Trustee			
Choate Hall & Stewart LLP Two Int'l Boston, MA 02110	1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Choate Hall & Stewart LLP Two Int'l Place, Boston, MA 02110	Invst Mgmt and Legal	60,325.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1		
	See Statement 12	166,501.
2		
3	All other program-related investments. See instructions.	
<b>Total.</b> Add lines 1 through 3		166,501.

Form 990-PF (2014)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,564,924.
b	Average of monthly cash balances	1b	212,347.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	4,777,271.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,777,271.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	71,659.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,705,612.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	235,281.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	235,281.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	5,562.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,562.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	229,719.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	229,719.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	229,719.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	16,230.
b	Program-related investments - total from Part IX-B	1b	166,501.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	182,731.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	182,731.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				229,719.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	5,673.			
b From 2010	23,911.			
c From 2011				
d From 2012				
e From 2013	9,339.			
f Total of lines 3a through e	38,923.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	182,731.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				182,731.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	38,923.			38,923.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				8,065.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

423581  
11-24-14

Form 990-PF (2014)



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
None				
<b>Total</b>			<b>▶ 3a</b>	<b>0.</b>
<b>b</b> <i>Approved for future payment</i>				
None				
<b>Total</b>			<b>▶ 3b</b>	<b>0.</b>

Form **990-PF** (2014)

**Part XVI-A**

## Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	25.	
4 Dividends and interest from securities			14	119,065.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	203,251.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		322,341.	0.
13 Total. Add line 12, columns (b), (d), and (e)					
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B**

### Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Form 990-PF (2014)

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization

Pattony Foundation

Employer identification number

04-3577440

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization <b>Pattony Foundation</b>	Employer identification number <b>04-3577440</b>
---	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>Anthony F. &amp; Patricia A. Rubbiccio</u> <u>Choate Hall &amp; Stewart LLP Two Int'l</u> <u>Place</u>  <u>Boston, MA 02110</u>	\$ <u>300,009.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )



Employer identification number

04-3577440

[illegible]

Name of organization

Employer identification number

Pattony Foundation

04-3577440

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Blackrock Federal Trust Fund	25.	25.	
Total to Part I, line 3	25.	25.	

## Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Dividends and Interest	119,065.	0.	119,065.	119,065.	
To Part I, line 4	119,065.	0.	119,065.	119,065.	

## Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Choate Hall & Stewart LLP - Legal Fees RE scholarship Loan Program	1,365.	0.		1,365.
To Fm 990-PF, Pg 1, ln 16a	1,365.	0.		1,365.

Form 990-PF	Other Professional Fees	Statement	4
-------------	-------------------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Choate Hall & Stewart LLP - Investment Management, Custody, Recordkeeping	58,960.	44,220.		14,740.
To Form 990-PF, Pg 1, ln 16c	58,960.	44,220.		14,740.

Form 990-PF	Taxes	Statement	5
-------------	-------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	9,505.	0.		0.
To Form 990-PF, Pg 1, ln 18	9,505.	0.		0.

Form 990-PF	Other Expenses	Statement	6
-------------	----------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Filing Fee for MA Form PC	125.	0.		125.
To Form 990-PF, Pg 1, ln 23	125.	0.		125.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement	7
-------------	--	-----------	---

Description	Amount
Unrealized Loss on Securities	147,642.
Excess of Market Value Over Cost of Donated Securities	192,131.
Scholarship Loans Forgiven	45,999.
Total to Form 990-PF, Part III, line 5	385,772.

Form 990-PF	Other Notes and Loans Receivable	Statement	8
-------------	----------------------------------	-----------	---

Description	Balance Due	Doubtful Acct Allowance	FMV of Loan
Various Scholarship Loans	905,428.	0.	905,428.
Total to Form 990-PF, Part II, line 7	905,428.	0.	905,428.

Form 990-PF	Corporate Stock	Statement	9
-------------	-----------------	-----------	---

Description	Book Value	Fair Market Value
Corporate Stock- Statement 14	4,190,716.	4,190,716.
Total to Form 990-PF, Part II, line 10b	4,190,716.	4,190,716.

Form 990-PF	Corporate Bonds	Statement	10
-------------	-----------------	-----------	----

Description	Book Value	Fair Market Value
Corporate Bonds- Statement 14	192,815.	192,815.
Total to Form 990-PF, Part II, line 10c	192,815.	192,815.

Form 990-PF	Other Investments	Statement	11
-------------	-------------------	-----------	----

Description	Valuation Method	Book Value	Fair Market Value
Other Investments- Statement 14	FMV	344,971.	344,971.
Total to Form 990-PF, Part II, line 13		344,971.	344,971.

## Form 990-PF Summary of Program-Related Investments Statement 12

### Description

Scholarship Loans (Non-Interest Bearing) To Individuals -  
Paid Directly To Educational Institutions.

Current Year Scholarship Loans:	\$212,500
Prior Year Loans Forgiven:	\$<45,999>
	<u>\$166,501</u>

Amount

To Form 990-PF, Part IX-B, line 1

166,501.

Form 990-PF	Part XV - Line 1a	Statement	13
	List of Foundation Managers		

## Name of Manager

Patricia A. Rubbiccio  
Anthony F. Rubbiccio

# Asset Detail

## Statement of Value and Activity

June 1, 2015 - June 30, 2015

### Asset Detail

Description	Shares/Quantity	Market Value	Unrealized G/L	Accrued Income	Cost Basis	% of Account
<b>Cash &amp; Equivalents</b>						
BlackRock Liquidity Funds Federal Trust Fund Portfolio TICKER: TFFXX	200,004.95	\$200,004.95	\$0.00	\$1.56	\$200,004.95	4.06%
<b>Total Cash &amp; Equivalents</b>		\$200,004.95	\$0.00	\$1.56	\$200,004.95	4.06%
<b>Fixed Income</b>						
<b>Other Taxable</b>						
Metropolitan West Total Return Bond Fund TICKER: MWTIX	2,730.49	\$29,516.54	\$292.94	\$45.59	\$29,223.60	0.60%
Vanguard Long-Term Government Bond ETF TICKER: VGLT	315.00	\$23,013.90	-\$2,781.01	\$0.00	\$25,794.91	0.47%
Vanguard Total Bond Market Index Fund TICKER: VBTIX	6,389.17	\$68,491.90	-\$1,486.36	\$82.51	\$69,978.26	1.39%
<b>Total Fixed Income</b>		\$121,022.34	-\$3,974.43	\$128.10	\$124,996.77	2.46%
<b>Other International</b>						
Lazard Emerging Mkts Debt-Inst TICKER: LEDIX	8,486.19	\$71,793.18	-\$3,331.82	\$0.00	\$75,125.00	1.46%
<b>Total Fixed Income</b>		\$192,815.52	-\$7,306.25	\$128.10	\$200,121.77	3.92%

**Asset Detail (continued)**

**Statement of Value and Activity**

June 1, 2015 - June 30, 2015

<b>Description</b>	<b>Shares/Quantity</b>	<b>Market Value</b>	<b>Unrealized G/L</b>	<b>Accrued Income</b>	<b>Cost Basis</b>	<b>% of Account</b>
<b>Equity</b>						
<b>U.S. Small Capitalization</b>						
iShares Core S&P Small-Cap ETF TICKER: IJR	385.00	\$45,383.80	\$1,638.02	\$0.00	\$43,745.78	0.92%
		\$45,383.80	\$1,638.02	\$0.00	\$43,745.78	0.92%
<b>U.S. Mid Capitalization</b>						
Midcap SPDR Trust Series 1 TICKER: MDY	290.00	\$79,228.00	\$1,478.15	\$267.87	\$77,749.85	1.61%
		\$79,228.00	\$1,478.15	\$267.87	\$77,749.85	1.61%
<b>U.S. Large Capitalization</b>						
iShares Core S&P 500 ETF TICKER: IVV	1,606.00	\$332,795.32	\$60,908.78	\$0.00	\$271,886.54	6.75%
Powershares Buyback Achievers Portfolio TICKER: PKW	3,530.00	\$171,593.30	\$65,580.20	\$0.00	\$106,013.10	3.48%
Powershares FTSE Rafi US 1000 Portfolio TICKER: PRF	910.00	\$82,518.80	-\$357.79	\$0.00	\$82,876.59	1.67%
Vanguard 500 Index Fund TICKER: VFIAX	5,796.78	\$1,103,474.47	\$676,932.75	\$0.00	\$426,541.72	22.39%
		\$1,690,381.89	\$803,063.94	\$0.00	\$887,317.95	34.29%
<b>International Emerging</b>						
Causeway Emerging Markets Fund TICKER: CEMIX	7,480.92	\$89,920.68	\$4,940.68	\$0.00	\$84,980.00	1.82%



**Asset Detail (continued)**

**Statement of Value and Activity**

June 1, 2015 - June 30, 2015

<b>Description</b>	<b>Shares/Quantity</b>	<b>Market Value</b>	<b>Unrealized G/L</b>	<b>Accrued Income</b>	<b>Cost Basis</b>	<b>% of Account</b>
SPDR S&P Emerging Markets Small Cap TICKER: EWX	1,330.00	\$59,903.20	\$347.23	\$338.13	\$59,555.97	1.22%
Van ECK Emerging Markets Fund TICKER: EMRIX	5,618.11	\$87,305.37	-\$3,033.77	\$0.00	\$90,339.14	1.77%
Vanguard FTSE Emerging Markets ETF TICKER: VWO	4,185.00	\$171,082.80	\$16,464.32	\$1,615.41	\$154,618.48	3.47%
<hr/>						
<i>International Developed</i>		\$408,212.05	\$18,718.46	\$1,953.54	\$389,493.59	8.28%
Artisan International Value Fund TICKER: ARTKX	7,422.69	\$264,915.66	-\$369.34	\$0.00	\$265,285.00	5.38%
MFS International Value Fund TICKER: MINIX	7,247.44	\$265,256.16	\$53,480.16	\$0.00	\$211,776.00	5.38%
Vanguard FTSE Developed Markets ETF TICKER: VEA	13,190.00	\$522,983.50	\$55,452.07	\$6,766.47	\$467,531.43	10.61%
<hr/>						
<i>International Small Cap</i>		\$1,053,155.32	\$108,562.89	\$6,766.47	\$944,592.43	21.37%
Fidelity Advisor International Small Cap Opportunities Insit TICKER: FOPIX	6,610.30	\$101,269.77	\$8,969.77	\$0.00	\$92,300.00	2.05%
Templeton Institutional Foreign Smaller Company Fund TICKER: TFSCX	4,409.38	\$99,034.63	\$14,876.93	\$0.00	\$84,157.70	2.01%
<hr/>						
<i>Unclassified</i>		\$200,304.40	\$23,846.70	\$0.00	\$176,457.70	4.06%
iShares MSCI USA Min Vol ETF TICKER: USMV	9,115.00	\$367,516.80	\$25,165.67	\$0.00	\$342,351.13	7.46%

**Asset Detail (continued)**

**Statement of Value and Activity**

June 1, 2015 - June 30, 2015

<b>Description</b>	<b>Shares/Quantity</b>	<b>Market Value</b>	<b>Unrealized G/L</b>	<b>Accrued Income</b>	<b>Cost Basis</b>	<b>% of Account</b>
Powershares S&P Emerging Markets Low Volatility Portfolio TICKER: EELV	2,860.00	\$71,385.60	-\$7,484.19	\$0.00	\$78,869.79	1.45%
Powershares S&P International Developed Low Volatility Portfolio TICKER: IDLV	8,945.00	\$275,148.20	-\$8,245.48	\$0.00	\$283,393.68	5.58%
<hr/>						
Total Equity		\$714,050.60	\$9,436.00	\$0.00	\$704,614.60	14.49%
<hr/>						
Alternative Global Real Estate Vanguard REIT ETF TICKER: VNQ	585.00	\$43,693.65	-\$7,040.05	\$445.77	\$50,733.70	0.89%
<hr/>						
Commodities ALPS Corecommodity Management Completecommodities Strategy Fund TICKER: JCRIX	5,858.30	\$48,213.77	-\$56.23	\$0.00	\$48,270.00	0.98%
<hr/>						
Frontier Markets Morgan Stanley Ins Fr Emg-I TICKER: MFMIX	3,874.72	\$73,890.82	\$6,405.72	\$0.00	\$67,485.10	1.50%

**Asset Detail (continued)**

**Statement of Value and Activity**

June 1, 2015 - June 30, 2015

<b>Description</b>	<b>Shares/Quantity</b>	<b>Market Value</b>	<b>Unrealized G/L</b>	<b>Accrued Income</b>	<b>Cost Basis</b>	<b>% of Account</b>
T Rowe Price Institutional Frontier Markets Equity Fund TICKER: PRFFX	8,368.46	\$73,056.67	-\$818.33	\$0.00	\$73,875.00	1.48%
<b>High Yield</b>						
iShares Iboxx HY Corp Bond ETF TICKER: HYG	1,195.00	\$106,116.00	-\$1,966.90	\$0.00	\$108,082.90	2.15%
<b>Total Alternative</b>		\$106,116.00	-\$1,966.90	\$0.00	\$108,082.90	2.15%
<b>Total All Assets</b>		<b>\$4,928,507.44</b>	<b>\$955,962.12</b>	<b>\$9,563.31</b>	<b>\$3,972,545.32</b>	<b>100.00%</b>

# **THE PATTONY FOUNDATION**

---

## **Educational Loan Application for School Year 2015-2016**

The Pattony Foundation provides interest-free loans to help students finance their education. Loans range in amounts from \$1,000 to \$8,000. The Foundation makes interest-free loans rather than outright grants because it believes that it is important for students to invest in their own education.

### **Selection Criteria**

In order to qualify as an applicant, you must meet the following criteria:

- Have graduated or be about to graduate from a high school or college located in New England (i.e., the states of Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, or Vermont).
- Be enrolled or enrolling in an accredited post-secondary institution.
- Demonstrate, in order of most to least important, the following: financial need; character, motivation and leadership skills; and academic promise.
- Complete and submit this application with the required attachments, prior to the deadline listed below.

In order to receive the interest-free loan, if selected:

- Dependent students: you and your parents will be required to sign a promissory note agreeing to repay the loan (without interest).
- Independent students: you will be required to sign a promissory note agreeing to repay the loan (without interest).

**All loans shall be paid back in equal monthly payments over a five year term. The first payment will be due on the first day of the month following the six-month anniversary of your graduation. Payments are principal only and no interest will be due unless the loan is in default.**

\* Applicants who have qualified and received interest-free loan(s) from the Foundation in prior year(s) may be eligible to apply for additional loans in succeeding year(s) \*

### **Deadline**

Your completed application and attachments must arrive in one envelope at the address listed below no later than **May 31, 2015**. Applicants selected to receive a loan will be notified on or about July 31, 2015.

---

Mail three (3) copies of the completed application together with three (3) copies of the required attachments in one envelope to:

The Pattony Foundation  
c/o William A. Lowell  
Choate, Hall & Stewart LLP  
Two International Place  
Boston, Massachusetts 02110

Please contact Maggie Wilson at (617) 248-4700 if you have any questions concerning the application or submission process.

# THE PATTONY FOUNDATION EDUCATIONAL LOAN APPLICATION

*The student applying for the loan must complete this application legibly in black or blue ink. Every section must be completed. If a section does not apply to you write an explanation or "N/A". This application will be considered incomplete if a section is left blank.*

## **A. Background Information**

Name: \_\_\_\_\_  
Social Security No.: \_\_\_\_\_  
Birth Date: \_\_\_\_\_  
Permanent Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone: \_\_\_\_\_  
E-mail: \_\_\_\_\_

Please provide the address and phone number for the best place to contact you, if different from above:

Address: \_\_\_\_\_  
Telephone: \_\_\_\_\_

### **High School**

Graduated: Yes/No (circle one)

If yes, date of graduation: \_\_\_\_\_ If no, expected date of graduation: \_\_\_\_\_

Name, City and State of High School: \_\_\_\_\_

**Post-Secondary Institution**, that you currently attend or have attended in the past, if applicable.

Expected date of graduation: \_\_\_\_\_

Name, City and State of Institution: \_\_\_\_\_

**Post-Secondary Institution**, for this loan request.

Name, City and State of Institution: \_\_\_\_\_

Loan Amount Requested: \$ \_\_\_\_\_, Not to exceed \$8,000.

(This section MUST be completed. The Foundation reserves the right to award less than the requested amount.)

**Enrollment Status:**

In Fall 2015,

I am: Accepted/Enrolled/Awaiting a Decision (*circle one*)  
*If applicable, attach copy of Acceptance Letter*

I will be a: Freshman/Sophomore/Junior/Senior/Graduate Student (*circle one*)  
Full-time/Part-time (*circle one*)

My expected graduation date is: \_\_\_\_\_

My intended field of study and degree are: \_\_\_\_\_

My housing status is: On Campus/Off Campus/Home with Family (*circle one*)

**Character Reference Letter:** (Required for first time applicants)

Attach a reference letter from someone who will know your address after graduation. (Personal friends, neighbors, employers, clergy, etc.)

**\*\* Immediate family and persons from the academic field may not be used \*\***

<b>B. Financial Aid Information</b>
-------------------------------------

(1) Financial Aid:

If you **HAVE NOT** applied for financial aid, check this box ☐

If you **HAVE** applied for financial aid, please attach the following documents:

- Copy of the financial aid award letter from the college or institution of higher education you will be attending (if you are undecided, please send award letters from your top two choices)
- Copy of your final Student Aid Report (SAR);  
"Information Acknowledgement 2015-2016 Student Aid Report Federal Student Aid Programs"

(2) Budget (Attach Official School Brochure or Letter Showing Costs of Tuition, Room & Board, Etc.)

Budget for Academic Year

Tuition/Fees	\$ _____
Room/Board	\$ _____
Books	\$ _____
Travel	\$ _____
Other	\$ _____
<b>Total</b>	<b>\$ _____</b>

Your Resources for Academic Year

Given by Family	\$ _____
Your Own Resources	\$ _____
Wages (if any)	\$ _____
Scholarships (if any)	\$ _____
Other Loans (if any)	\$ _____
<b>Total</b>	<b>\$ _____</b>

(3) Educational Indebtedness:

Total student loans owed to date - please list sources, interest rate and repayment terms.  
Include any previous loans from this Foundation.

---

---

---

---

Estimate your total debt upon completion of your studies/graduation. \_\_\_\_\_

(4) Financial Resources – Dependent Student:

*Parental Information:*

Complete this question using information from the most recent U.S. Income Tax Return.  
(A completed Form 4506-T – Request for Transcript of Tax Return must also be included)

Father - Name and Occupation: \_\_\_\_\_

Mother - Name and Occupation: \_\_\_\_\_

Adjusted Gross Income: \$ \_\_\_\_\_ (Amount from Father \$ \_\_\_\_\_)  
(Amount from Mother \$ \_\_\_\_\_)

Number of Family Members \_\_\_\_\_ Number of Dependents \_\_\_\_\_

List all family members supported at least half-time by parents, **not** including student applicant.

Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant

**(5) Financial Resources - Independent Student:**

Complete this question about you and your spouse (if applicable) using information from your most recent U.S. Income Tax Return.

*(A completed Form 4506-T – Request for Transcript of Tax Return must also be included)*

Adjusted Gross Income: \$\_\_\_\_\_ (Amount from Student \$\_\_\_\_\_)

(Amount from Spouse \$\_\_\_\_\_)

List all family members that you support at least half-time, **not** including yourself.

Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant

**(6) Special Circumstances**

- Do you have any unusual personal, financial, or family circumstances that warrant special attention? Please be specific.

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins or other markings on the paper.



### C. Leadership And Personal Qualities

- (1) Resume/Activities: Please attach a resume or list of activities that you participate in including positions held, dates of participation, estimated time spent on each activity and any special honors received.
- (2) Essay:  
If you are going to be a college freshman in the fall AND you are a first-time applicant –  
Submit an essay describing your educational goals, why you selected a particular college, efforts you have made to finance your education, and general information about yourself.  
  
If you are not a first-time applicant OR you are already enrolled in college or graduate school OR you will be attending graduate school in the fall –  
Submit an essay of no more than 500 words on **one** of the following topics:
  - *Describe an experience or obstacle that you have had and how it has influenced your educational development.*
  - *Pick a problem that exists on college campuses and suggest a solution to that problem.*
- (3) Letter of Recommendation: (Required for first time applicants)  
Submit a letter of recommendation that addresses your leadership skills and potential. You may submit the letter directly or have the person writing the recommendation send it directly to the Pattony Foundation.

### D. Academic Information

- (1) Submit an official copy of your most recent transcript; high school, college, or graduate school.

### E. Additional Information

- (1) Will you be the first person in your family to pursue a post-secondary education?  
☐ Yes                      ☐ No
- (2) How did you hear about the interest-free loans from the Pattony Foundation?  
\_\_\_\_\_  
\_\_\_\_\_

## F. CERTIFICATION AND SIGNATURES

I (we) certify that the information on this form is true and complete to the best of my (our) knowledge. I (we) shall provide on request any information required to verify statements made in this application. I (we) realize that if I (we) do not comply with requests for information, eligibility for loans will be lost. I (we) further agree that, if the Pattony Foundation selects me to receive an interest-free loan, I (we) will execute a promissory note to repay the loan.

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Applicant's Mother, if applicant is a dependent

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Applicant's Father, if applicant is a dependent

\_\_\_\_\_  
Date

\*\*\*

Mail three (3) copies of the completed application together with three (3) copies of the required attachments in one envelope to:

The Pattony Foundation  
c/o William A. Lowell  
Choate, Hall & Stewart LLP  
Two International Place  
Boston, Massachusetts 02110

\*\*\*

**Your envelope must arrive no later than May 31, 2015**

\*\*\*

*Please **do not** staple the application or attachments, and include your name on all materials submitted*