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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

1505

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning 06/01 , 2014, and ending 05/31, 2015

Name of foundation: THE BALLMANN FAMILY PRIVATE FOUNDATION

A Employer identification number: 43-6466750

Number and street (or P O box number if mail is not delivered to street address): PO BOX 0634

Room/suite:

B Telephone number (see instructions): 314-418-2643

City or town, state or province, country, and ZIP or foreign postal code: MILWAUKEE, WI 53201-0634

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 30,766,313.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	639,583.	631,595.	7,988.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	907,330.			
	b	Gross sales price for all assets on line 6a 5,473,044.				
	7	Capital gain net income (from Part IV, line 2)		907,330.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	7,408.			STMT 1
	12	**Total.** Add lines 1 through 11	1,554,321.	1,538,925.	7,988.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	281,631.	140,815.		140,815.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 2	16,140.	NONE	NONE	16,140.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 3	20,043.	12,590.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	317,814.	153,405.	NONE	156,955.
	25	Contributions, gifts, grants paid	1,439,250.			1,439,250.
	26	Total expenses and disbursements Add lines 24 and 25	1,757,064.	153,405.	NONE	1,596,205.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-202,743.			
	b	**Net investment income** (if negative, enter -0-)		1,385,520.		
	c	**Adjusted net income** (if negative, enter -0-)			7,988.	

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JSA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2014)

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	136,473.	99,641.	99,641.
	3	Accounts receivable ▶ Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less· allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STMT 4	21,791,168.	21,419,370.	30,666,672.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	21,927,641.	21,519,011.	30,766,313.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	21,927,641.	21,519,011.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	21,927,641.	21,519,011.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	21,927,641.	21,519,011.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,927,641.
2	Enter amount from Part I, line 27a	2	-202,743.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	21,724,898.
5	Decreases not included in line 2 (itemize) ▶COST ADJ	5	205,887.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,519,011.

Form **990-PF** (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,473,044.		4,565,714.	907,330.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				907,330.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 907,330.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	1,529,712.	29,492,720.	0.051867
2012	1,444,590.	28,124,378.	0.051364
2011	1,335,395.	27,271,538.	0.048967
2010	1,295,344.	27,007,831.	0.047962
2009	1,049,866.	24,764,179.	0.042395

2	**Total** of line 1, column (d)	2	0.242555
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048511
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	30,039,751.
5	Multiply line 4 by line 3	5	1,457,258.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	13,855.
7	Add lines 5 and 6	7	1,471,113.
8	Enter qualifying distributions from Part XII, line 4	8	1,596,205.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	13,855.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	13,855.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	13,855.
6	Credits/Payments				
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	12,413.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	12,413.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	1,442.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ NONE **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no ▶ (314)418-2643

Located at ▶ PO BOX 387, ST LOUIS, MO ZIP+4 ▶ 63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly).

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No

If "Yes," list the years ▶ ____, ____, ____, ____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here

▶ ____, ____, ____, ____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK N.A. PO BOX 387, ST LOUIS, MO 63166	TRUSTEE 0	281,631.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Form **990-PF** (2014)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	30,242,568.
b	Average of monthly cash balances	1b	254,641.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	30,497,209.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	30,497,209.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	457,458.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	30,039,751.
6	**Minimum investment return.** Enter 5% of line 5	6	1,501,988.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,501,988.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	13,855.		
b	Income tax for 2014 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	13,855.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,488,133.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	1,488,133.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,488,133.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,596,205.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,596,205.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	13,855.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,582,350.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7					1,488,133.
2	Undistributed income, if any, as of the end of 2014					
a	Enter amount for 2013 only				NONE	
b	Total for prior years 20_____,20_____,20_____			NONE		
3	Excess distributions carryover, if any, to 2014					
a	From 2009	NONE				
b	From 2010	NONE				
c	From 2011	NONE				
d	From 2012	29,278.				
e	From 2013	64,996.				
f	**Total** of lines 3a through e		94,274.			
4	Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 1,596,205.					
a	Applied to 2013, but not more than line 2a				NONE	
b	Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c	Treated as distributions out of corpus (Election required - see instructions)		NONE			
d	Applied to 2014 distributable amount					1,488,133.
e	Remaining amount distributed out of corpus		108,072.			
5	Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		202,346.			
b	Prior years' undistributed income Subtract line 4b from line 2b			NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e	Undistributed income for 2013 Subtract line 4a from line 2a. Taxable amount - see instructions				NONE	
f	Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		NONE			
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)		NONE			
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		202,346.			
10	Analysis of line 9					
a	Excess from 2010	NONE				
b	Excess from 2011	NONE				
c	Excess from 2012	29,278.				
d	Excess from 2013	64,996.				
e	Excess from 2014	108,072.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 26				1,439,250.
Total . . . . ▶ **3a**				1,439,250.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	639,583.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	907,330.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b			14	7,408.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,554,321.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 1,554,321.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

James W. Nelson | 09/24/2015 | TRUSTEE

Signature of officer or trustee | Date | Title

U.S. BANK NA

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: DONALD J ROMAN

Preparer's signature:

Date: 09/24/2015

Check ☒ if self-employed

PTIN: P00364310

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET
PITTSBURGH, PA 15219

Phone no 412-355-6000

Form **990-PF** (2014)

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL TAX REFUND		7,408.
	TOTALS	7,408.

STATEMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	16,140.			16,140.
TOTALS	16,140.	NONE	NONE	16,140.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	7,453.	
FOREIGN TAXES PAID	12,590.	12,590.
TOTALS	20,043.	12,590.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SCHLUMBERGER LTD	75,563.	75,563.	117,093.
ABBOTT LABS	28,603.	28,603.	48,600.
JP MORGAN CHASE & CO	161,343.	123,540.	197,340.
ECOLAB INC	47,874.	47,874.	121,529.
APACHE CORP	119,102.		
CHEVRON CORP	101,519.	101,519.	161,916.
PROCTER & GAMBLE CO	59,618.	59,618.	86,935.
ATT INC	111,238.	80,564.	103,620.
APPLE INC	59,761.	59,761.	810,732.
MICROS SYS INC	17,880.		
J2 GLOBAL COMMUNICATIONS INC	16,201.	13,765.	36,127.
BRANDYWINE REALTY TRUST	19,622.	20,399.	27,020.
CRANE CO	19,592.		
DISNEY WALT CO	90,550.	72,556.	331,110.
ENERSYS	10,304.	6,092.	28,855.
IBM CORP	147,063.		
ORACLE CORPORATION	52,056.	52,056.	122,207.
WELLS FARGO CO	75,769.	75,769.	150,644.
ACCENTURE LTD	58,126.	58,126.	144,636.
ACE LTD	98,683.	98,683.	190,493.
MIDCAP SPDR TRUST SER 1	728,804.	728,804.	2,082,675.
PIMCO TOTAL RETURN FUND	566,534.		
ALLERGAN INC	106,943.		
AMTRUST FINANCIAL SERVICES	15,568.		
CINEMARK HLDGS INC	18,304.	11,519.	27,115.
EXPRESS SCRIPTS HLDGS	62,275.	62,275.	96,551.
EXXON MOBIL CORP	121,293.	121,293.	141,602.
GENERAL MILLS INC	66,893.	66,893.	142,733.
JOHNSON JOHNSON	95,674.	95,674.	170,438.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
LAKELAND FINANCIAL CORP	12,047.	9,709.	18,845.
MASTEC INC	12,127.		
MCDONALDS CORP	73,226.	73,226.	95,930.
PFIZER INC	98,175.	98,175.	195,782.
TEXAS ROADHOUSE INC	16,819.	13,933.	33,584.
SPDR GOLD SHARES ETF	549,911.	607,169.	627,550.
AMAZON COM INC	118,411.	118,411.	363,987.
AMERICAN EQUITY INVT LIFE	16,342.	13,110.	29,984.
AMERICAN EXPRESS CO	152,755.	152,755.	279,020.
AMERICAN TOWER CORP	66,117.	66,117.	124,524.
AMERIPRISE FINL INC	70,792.	70,792.	187,383.
AMERISOURCEBERGEN CORP	55,001.	55,001.	219,379.
AMPHENOL CORP	66,312.	66,312.	161,908.
ARTIC CAT INC	19,887.		
ASHFORD HOSPITALITY TR INC	14,997.	18,927.	21,457.
BUFFALO WILD WINGS INC	9,111.	11,936.	27,633.
CAMERON INTL CORP	48,019.		
CARDTRONICS INC	16,526.	16,526.	32,266.
CENTENE CORP	17,816.		
CERNER CORPORATION	32,264.	32,264.	110,625.
CONCHO RES INC	60,706.	60,706.	103,939.
CSX CORP	43,360.	43,360.	68,160.
CUMMINS INC	67,843.	67,843.	111,829.
CYBERONICS INC	17,746.	16,739.	28,292.
DICKS SPORTING GOODS INC	44,891.	44,891.	93,580.
DIRECTV	69,296.	69,296.	157,954.
DOLLAR TREE INC	37,211.	37,211.	118,634.
DOW CHEM CO	49,559.	49,559.	99,766.
DR PEPPER SNAPPLE GROUP	44,995.	44,995.	89,362.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
EATON CORP	81,392.	78,283.	113,542.
EDWARDS LIFESCIENCES CORP	43,528.	43,528.	94,641.
ENTEGRIS INC	17,530.	11,450.	21,968.
FED EX CORP	50,268.	50,268.	103,066.
FINISAR CORPORATION	18,433.	18,433.	24,791.
GENERAL ELECTRIC CO	113,179.	113,179.	195,526.
GOOGLE INC CL A	93,107.	93,107.	163,596.
GRAINGER W W INC	50,883.	50,883.	110,071.
INTEL CORP	77,188.	77,188.	148,764.
KAPSTONE PAPER & PACKAGING	5,470.	11,280.	22,422.
KRAFT FOODS GROUP INC	14,539.		
LAUDER ESTEE COS INC	60,255.	60,255.	180,106.
LITTELFUSE INC	21,360.	12,579.	24,562.
MASTERCARD INC	62,486.	62,486.	294,309.
MATTEL INC	51,521.		
MAXIMUS INC	15,827.	20,015.	57,133.
MEASUREMENT SPECIALTIES INC	8,701.		
NETGEAR INC	16,670.		
NIKE INC	61,380.	61,380.	168,366.
OCCIDENTAL PETROLEUM CORP	97,685.		
PERRIGO CO	152,205.	152,205.	190,300.
PHILIP MORRIS INTL	119,728.	119,728.	184,914.
PRAXAIR INC	61,959.	61,959.	87,599.
PRECISION CASTPARTS CORP	66,780.	66,780.	105,815.
PRICELINE COM INC	137,152.	137,152.	494,601.
QUALCOMM INC	69,016.	69,016.	116,923.
ROCKWELL COLLINS INC	64,642.	64,642.	106,137.
SALESFORCE COM INC	101,668.	101,668.	246,186.
TAL INTL GROUP INC	18,539.		

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
TARGET CORPORATION	78,379.		
THERMO FISHER SCIENTIFIC INC	55,214.	55,214.	160,482.
TRIUMPH GROUP INC	31,031.		
UNITED TECHNOLOGIES CORP	145,555.	145,555.	248,283.
VERIZON COMMUNICATIONS INC	70,340.	70,340.	114,206.
VISA INC	59,383.	59,383.	236,809.
WESBANCO INC	13,432.	13,432.	19,079.
WYNN RESORTS LTD	95,806.	95,806.	100,690.
3M CO	74,278.	74,278.	141,899.
INGERSOLL RAND PLC	39,264.	39,264.	100,556.
ISHARES MSCI EMERGING MKTS ETF	896,018.	896,018.	990,786.
IDR CORE EQUITY RE STRATEGY	226,481.	215,457.	332,778.
ALERE INC	19,044.		
AMERICAN AXLE & MFG HLDGS INC	13,085.	13,034.	32,869.
AMERICAN STATES WATER CO	13,637.	18,807.	23,903.
ATWOOD OCEANICS INC	31,532.		
AZZ INCORPORATED	9,628.	9,628.	18,309.
CHEMICAL FINANCIAL CORP	12,380.	12,380.	16,478.
CHESAPEAKE LODGING TRUST	21,906.		
COCA COLA COMPANY	69,129.	111,159.	122,880.
COMMUNITY TR BANCORP INC	10,293.		
DSW INC	12,087.		
MOOG INC	18,811.		
MYRIAD GENETICS INC	13,622.	13,622.	19,148.
PRIMORIS SERVICES CORP	16,063.	18,949.	21,905.
PROTECTIVE LIFE CORP	13,326.		
RALPH LAUREN CORP	64,372.	64,372.	102,886.
SABRA HEALTH CARE REIT INC	18,993.		
SPIRIT AIRLINES INC	9,894.		

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
STONE ENERGY CORP	14,431.	24,079.	20,289.
TENNECO AUTOMOTIVE INC	26,797.	22,071.	34,410.
COLUMBIA INCOME FD	3,500,000.	3,500,000.	3,354,738.
OPPENHEIMER SR FLOAT RATE	923,865.	2,019,520.	1,985,097.
ABBVIE INC	12,947.	12,947.	33,295.
BLUCORA INC	14,502.		
CARMIKE CINEMAS INC	16,824.		
CELADON GROUP INC	15,463.		
CME GROUP INC	164,969.	39,802.	70,650.
CNO FINANCIAL GROUP INC	26,232.	26,232.	38,268.
CONOCOPHILLIPS	93,825.	62,550.	63,680.
ELECTRONICS FOR IMAGING INC	18,178.	18,178.	33,676.
FIRSTMERIT CORP	24,150.	33,795.	38,750.
GRAPHIC PACKAGING HLDG CO	24,997.	17,104.	30,075.
HANGER INC	14,859.		
HILLTOP HOLDINGS INC	29,710.	23,418.	31,959.
LITHIA MOTORS INC	9,652.	13,511.	42,899.
MATRIX SERVICE CO	12,451.		
MEDASSETS INC	36,440.	5,305.	5,823.
MICROSEMI CORP	20,572.	16,218.	31,696.
MONDELEZ INTERNATIONAL W I	26,935.		
MYR GROUP INC	11,487.		
ORBITAL SCIENCES CORP	18,284.	12,709.	33,048.
PENNYMAC MTG INV TR	22,780.	22,780.	18,315.
PIONEER NAT RES CO	149,213.	84,348.	110,873.
ROSETTA RESOURCES INC	32,821.	26,892.	23,594.
RPX CORP	17,356.		
SAPIENT CORP	16,666.		
SINCLAIR BROADCAST GROUP INC	15,692.	17,758.	29,649.

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SWIFT TRANSPORTATION CO	13,801.	18,453.	34,952.
TEREX CORP NEW	18,672.		
THOR INDUSTRIES INC	24,200.	24,200.	39,098.
UNISYS CORPORATION	15,856.		
WABASH NATL CORP	22,885.	18,187.	23,262.
ABERDEEN FDS EMRGN MKT INSTL	535,000.	500,000.	517,382.
FORWARD INTL REAL ESTATE	500,000.	330,963.	294,626.
ISHARES CORE S&P SMALL CAP ETF	562,587.	562,587.	1,169,900.
NUVEEN GLOBAL INFRASTR FD	500,000.	625,000.	665,484.
NUVEEN REAL ESTATE SECS	818,840.	628,655.	655,966.
SCOUT INTERNATIONAL FUND	975,000.	738,193.	798,532.
UTILITIES SELECT SECTOR SPDR	91,159.	72,069.	89,000.
INV BALANCE RISK COMM STR Y	200,000.		
AMN HELATHCARE SVCS INC	16,431.	18,334.	33,238.
ARRIS GROUP INC	20,093.	28,640.	28,554.
AUXILIUM PHARMACEUTICALS INC	16,500.		
BBCN BANCORP	22,855.		
BOISE CASCASDE CO	30,864.	36,088.	40,260.
EMERGENT BIOSOLUTIONS INC	20,456.	17,869.	24,086.
ENANTA PHARMACEUTICALS INC	12,230.		
GOOGLE INC CL C	92,810.	92,474.	159,633.
GRAND CANYON EDUCATION INC	30,405.	37,257.	34,125.
IGATE CAPITAL CORPORATION	16,181.		
INTEGRATED SILICON SOLUTION IN	11,892.		
JETBLUE AIRWAYS CORP	27,772.		
KORN FERRY INTL	27,973.	30,729.	38,123.
LA Z BOY INC	29,982.	28,904.	29,448.
MADDEN STEVEN LTD	25,552.	25,552.	30,073.
MENTOR GRAPHICS CORP	26,994.	29,664.	34,074.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SANCHEZ ENERGY CORP	19,612.		
SILICON IMAGE INC	16,725.		
SUMMIT HOTEL PROPERTIES INC	17,470.	21,003.	28,256.
SYNAPTICS INC	24,819.	21,365.	34,675.
THORATEC CORP	30,113.		
TRIMAS CORP	26,922.		
UBIQUITI NETWORKS INC	32,973.	19,385.	12,307.
UNION BANKSHARES CORP	8,344.		
VCA ANTECH INC	28,536.	20,187.	37,876.
WESTERN ALLIANCE BANCORP	28,757.	27,320.	47,558.
WILSHIRE BANCORP INC	25,841.	28,140.	30,393.
WINTRUST FINL CORP	30,365.	35,576.	41,683.
ALLEGION PLC	10,087.		
ISHARES MSCI EAFE ETF	1,013,151.	1,013,151.	1,493,736.
CALL ON EEM 10/28/14 @ 42.990	-19,790.		
CALL ON EEM 10/28/14 @ 54.450	2,320.		
CALL ON EFA 10/28/14 @ 70.780	-21,768.		
CALL ON AFA 10/28/14 @ 89.660	3,092.		
CALL ON SPX 10/28/14 @ 1952.63	-87,566.		
CALL ON SPX 10/28/14 @ 2473.33	1,544.		
GAM UNCONSTRAINED BOND FUND	500,000.	500,000.	549,479.
GCA CREDIT OPPORTUNITIES OFFSH	1,000,000.	1,000,000.	1,262,490.
PUT ON EEM 10/28/14 @ 30.300	-4,445.		
PUT ONE EEM 10/28/14 @ 36.440	19,315.		
PUT ON EFA 10/28/14 @ 49.880	-5,048.		
PUT ON EFA 10/28/14 @ 59.990	28,832.		
PUT ON SPX 10/28/14 @ 1376.140	-19,106.		
PUT ON SPX 10/28/14 @ 1655.090	99,894.		
ACTIVIS PLC		168,365.	169,359.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
BOSTON PPTYS INC		100,710.	97,523.
CELGENE CORP		86,905.	85,830.
JPM 13M BRENT LR		100,000.	60,210.
CALL ON EEM 10/30/15 @ 45.470		-15,995.	-6,435.
CALL ON EEM 10/30/15 @ 57.270		835.	165.
CALL ON EFA 10/30/15 @ 69.890		-21,768.	-17,600.
CALL ON EFA 10/30/15 @ 88.520		1,992.	1,100.
CALL ON SPX 10/30/15 @ 2189.51		-104,856.	-103,810.
CALL ON SPX 10/30/15 @ 2773.38		494.	350.
PUT ON EEM 10/30/15 @ 32.300		-4,280.	-5,115.
PUT ON EEM 10/30/15 @ 38.750		19,480.	21,780.
PUT ON EFA 10/30/15 @ 49.920		-6,148.	-5,940.
PUT ON EFA 10/30/15 @ 59.900		31,692.	24,420.
PUT ON SPX 10/30/15 @ 1563.940		-35,206.	-22,190.
PUT ON SPX 10/30/15 @ 1876.730		140,144.	92,890.
ACADIA HEALTHCARE CO INC		36,333.	51,453.
AIR METHODS CORP		28,901.	26,139.
AKORN INC COM		28,334.	34,930.
AMAG PHARMACETICALS INC		27,021.	43,070.
AMSURG CORP		28,552.	40,404.
ARCBEST CORP		20,005.	18,463.
BIG LOTS INC		29,083.	28,667.
BRUNSWICK CORP		35,866.	43,486.
COHERENT INC		27,235.	27,635.
DEPOMED INC		19,568.	27,952.
DUPONT FABROS TECHNOLOGY		22,788.	25,921.
EPR PROPERTIES		29,439.	27,970.
EURONET WORLDWIDE INC		28,244.	33,488.
GREENBRIER COS INC		22,354.	20,599.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
HAWAIIAN HLDGS INC		10,672.	13,805.
HELEN OF TROY CORP LTD		24,282.	34,821.
HORACE MANN EDUCATORS CORP		11,887.	13,183.
HORIZON PHARMA PLC		22,103.	32,008.
ICONIX BRAND GROUP INC		29,814.	19,675.
IGATE CORP		17,866.	29,694.
INTEGRATED DEVICE TECHNOLOGY		26,777.	37,304.
IRIDIUM COMMUNICATION INC		33,031.	32,126.
KITE REALTY GROUP TRUST		27,207.	28,565.
LANNETT CO INC		25,232.	24,588.
MOLINA HEALTHCARE INC		21,507.	24,077.
NATIONAL PENN BANCSHARES INC		13,014.	13,011.
OASIS PETROLEUM INC		20,208.	20,512.
PIER 1 IMPORTS INC		29,721.	22,179.
PLANTRONICS INC		23,265.	25,764.
QORVO INC		21,833.	40,664.
RADIAN GROUP INC		36,217.	41,306.
RYLAND GROUP INC		33,509.	31,258.
RYMAN HOSPITALITY PROPERTIES		25,636.	29,153.
SCANSOURCE INC		18,084.	17,349.
SKYWEST INC		13,705.	13,142.
STAMPS COM INC		14,990.	20,389.
STERIS CORP		34,442.	41,702.
TESSERA TECHNOLOGIES INC		29,356.	28,296.
TRUEBLUE INC		15,056.	16,917.
US CONCRETE INC		14,285.	15,003.
WELLCARE HEALTH PLANS INC		21,935.	25,016.
ZUMIEZ INC		16,525.	14,840.
SUPER MICRO COMPUTER INC		7,891.	7,930.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
TOTALS	21,791,168.	21,419,370.	30,666,672.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
YOUTHBRIDGE ASSOCIATION
ADDRESS:
12685 OLIVE STREET ROAD STE 100
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
SALVATION ARMY
ADDRESS:
1130 HAMPTON AVE
ST LOUIS, MO 63139-3147
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
SHRINERS CHILDRENS HOSPITAL
ADDRESS:
PO BOX 863770
ORLANDO, FL 32886-3770
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

STATEMENT 14

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
ST LOUIS COLLEGE OF PHARMACY
ADDRESS:
4588 PARKVIEW PLACE
ST LOUIS, MO 63110-1029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 79,500.

RECIPIENT NAME:
RANKEN TECHNICAL COLLEGE
ADDRESS:
4431 FINNEY AVE
ST LOUIS, MO 63113-2811
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
BARNES JEWISH HOSPITAL FOUNDATION
ADDRESS:
1001 HIGHLANDS PLAZA DR WEST
ST LOUIS, MO 63110-1337
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 43,500.

STATEMENT 15

RECIPIENT NAME:
ST LOUIS UNIVERSITY SCHOOL OF NURSING
ADDRESS:
3437 CAROLINE ST
ST LOUIS, MO 63104-1111
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 43,500.

RECIPIENT NAME:
ST ANDREWS AT HOME SERVICES
ADDRESS:
6633 DELMAR BLVD
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
ST LOUIS ALTENHEIM
ADDRESS:
5408 S BROADWAY
ST LOUIS, MO 63111-2023
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
HUMANE SOCIETY OF MISSOURI
ADDRESS:
1201 MACKLIND AVE
ST LOUIS, MO 63110-1431
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
MEALS ON WHEELS
ADDRESS:
25 BEAVER DRIVE
ST LOUIS, MO 63141-7901
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
VISITING NURSE ASSOCIATION
ADDRESS:
11440 OLIVE BLVD SUITE 200
CREVE COEUR, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

==

RECIPIENT NAME:
ANNIE MALONE CHILDRENS HOME
ADDRESS:
2612 ANNIE MALONE DRIVE
ST LOUIS, MO 63113-2929
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
GOOD SHEPARD CILDRENS AND
FAMILY SERVICES
ADDRESS:
1340 PARTRIDGE AVE
ST LOUIS, MO 63140
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
EDGEWOOD CHILDRENS CENTER
ADDRESS:
330 N GORE AVE
ST LOUIS, MO 63119-1600
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

==

RECIPIENT NAME:
INDEPENDENCE CENTER
ADDRESS:
4245 FOREST PARK AVE
ST LOUIS, MO 63108-2810
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
CHILDRENS HOME SOCIETY OF MISSOURI
ADDRESS:
9445 LITZSINGER RD
ST LOUIS, MO 63144-2113
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
UNITY SCHOOL OF CHRISTIANITY OF MO
ADDRESS:
1901 NW BLUE PKWY
UNITY VILLAGE, MO 64065-0001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
BEREA COLLEGE
ADDRESS:
CPO 2214
BEREA, KY 40404
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
MRIAM FOUNDATION
ADDRESS:
501 BACON AVE
ST LOUIS, MO 63119-1512
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
RANKEN JORDAN HOME FOR CHILDREN
ADDRESS:
11365 DORSETT ROAD
MARYLAND HEIGHTS, MO 63043-3411
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

STATEMENT 20

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
GOOD SAMARITAN HOME
ADDRESS:
6633 DELMAR BLVD STE 300
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 108,750.

RECIPIENT NAME:
MISSOURI COUNCIL FOR THE BLIND
ADDRESS:
5453 CHIPPEWA ST
ST LOUIS, MO 63109-1635
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 72,500.

RECIPIENT NAME:
DEACONESS FOUNDATION
ADDRESS:
211 N BROADWAY STE 1260
ST LOUIS, MO 63102-2733
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
EPWORTH CHILDRENS HOME
ADDRESS:
110 N ELM AVE
ST LOUIS, MO 63119-2418
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
PEREGRINE SOCIETY INC OF STL
ADDRESS:
2343 HAMPTON AVE
SAINT LOUIS, MO 63139-2908
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 29,000.

RECIPIENT NAME:
UNIVERSITY OF ALABAMA
ADDRESS:
BOX 870120
TUSCALOOSA, AL 35487-0120
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

STATEMENT 22

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
SOUTHEAST MISSOURI STATE UNIV
ADDRESS:
1 UNIVERSITY PLAZA
CAPE GIRARDEAU, MO 64093
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
UNIVERSITY OF CENTRAL MISSOURI
ADDRESS:
WARD EDWARDS BUILDING ROOM 100
WARRENSBURG, MO 64093
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
WESTMINSTER COLLEGE
ADDRESS:
501 WESTMINSTER AVE
FULTON, MO 65251
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
UNIVERSITY OF TULSA
ADDRESS:
800 SOUTH TUCKER DR
TULSA, OK 74104
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
TUFFS UNIVERSITY
ADDRESS:
419 BOSTON AVE
MEDFORD, MA 02155
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
AMERICAN UNIVERSITY
ADDRESS:
AU CENTRAL ASBURY 2014400
WASHINGTON, DC 20016
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 3,500.

STATEMENT 24

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
UNIVERSITY OF ROCHESTER
ADDRESS:
330 MELIORA AVE
ROCHESTER, NY 14627
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
NORTHWESTERN UNIVERSITY
ADDRESS:
1801 HINMANN AVE
EVANSTON, IL 60208-1270
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
MISSOURI STATE UNIVERSITY
ADDRESS:
901 NATIONAL AVENUE
SPRINGFIELD, MO 65897
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNIVERSITY OF MISSOURI AT COLUMBIA
ADDRESS:
11 JESSE HALL
COLUMBIA, MO 65211
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 24,500.

TOTAL GRANTS PAID: 1,439,250.