29491297055245

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning JUN 1, 2014 , and ending MAY 31, 2015

Name of foundation: SAIBEL FOUNDATION, INC.

A Employer identification number: 22-6064734

Number and street (or P O box number if mail is not delivered to street address): 29 SHOSHONE TRAIL | Room/suite:

B Telephone number: (973) 471-5000

City or town, state or province, country, and ZIP or foreign postal code: WAYNE, NJ 07470

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 2,097,585.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 44,848. | 44,848. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 323,311. | | | |
| | b Gross sales price for all assets on line 6a 1,825,774. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 323,311. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 368,159. | 368,159. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 2 | 3,670. | 1,835. | | 1,835. |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes STMT 3 | 7,025. | 0. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 4 | 17,991. | 17,991. | | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 28,686. | 19,826. | | 1,835. |
| | 25 Contributions, gifts, grants paid | 85,535. | | | 85,535. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 114,221. | 19,826. | | 87,370. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 253,938. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 348,333. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

SCANNED OCT 23 2015

SCANNED FEB 22 2016

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 44,274. | 21,859. | 21,859. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 5 | 1,207,044. | 1,483,397. | 2,075,726. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 1,251,318. | 1,505,256. | 2,097,585. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 1,251,318. | 1,505,256. | |
| | 30 **Total net assets or fund balances** | 1,251,318. | 1,505,256. | |
| | 31 **Total liabilities and net assets/fund balances** | 1,251,318. | 1,505,256. | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,251,318. |
| 2 | Enter amount from Part I, line 27a | 2 | 253,938. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 1,505,256. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 1,505,256. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE SCHEDULE ATTACHED | P | VARIOUS | VARIOUS |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 1,825,774. | | | 323,311. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | 323,311. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 323,311.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2013 | 76,605. | 1,857,949. | .041231 |
| 2012 | 72,230. | 1,574,577. | .045873 |
| 2011 | 70,555. | 1,451,714. | .048601 |
| 2010 | 67,685. | 1,444,646. | .046852 |
| 2009 | 70,284. | 1,367,100. | .051411 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .233968 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .046794 |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 2,051,275. |
| 5 | Multiply line 4 by line 3 | 5 | 95,987. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 3,483. |
| 7 | Add lines 5 and 6 | 7 | 99,470. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 87,370. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)** | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 6,967. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 6,967. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 6,967. |
| 6 Credits/Payments: | | | | |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014 | 6a | 4,840. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 4,840. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached | | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | 2,127. |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ | | **Refunded** ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | 1b | | X |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | | X |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |



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**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ ROY H AIBEL Telephone no. ▶ (973) 471-5000

Located at ▶ 835 BLOOMFIELD AVENUE, CLIFTON, NJ ZIP+4 ▶ 07012

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | 4b | | X |

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | ☐ Yes ☒ No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | **5b** | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | |
| *If "Yes," attach the statement required by Regulations section 53 4945-5(d).* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | **6b** | X |
| *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | **7b** | |

**Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ROY H. AIBEL<br>835 BLOOMFIELD AVENUE<br>CLIFTON, NJ 07012 | PRESIDENT<br>0.00 | 0. | 0. | 0. |
| JOHN AIBEL<br>835 BLOOMFIELD AVENUE<br>CLIFTON, NJ 07012 | TREASURER<br>0.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,033,121. |
| b | Average of monthly cash balances | 1b | 49,392. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,082,513. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 2,082,513. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 31,238. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,051,275. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 102,564. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 102,564. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a | 6,967. | | |
| b | Income tax for 2014. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 6,967. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 95,597. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 95,597. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 95,597. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 87,370. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 87,370. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 87,370. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 95,597. |
| 2 Undistributed income, if any, as of the end of 2014 | | | | |
| a Enter amount for 2013 only | | | 85,244. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2014: | | | | |
| a From 2009 | | | | |
| b From 2010 | | | | |
| c From 2011 | | | | |
| d From 2012 | | | | |
| e From 2013 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 87,370. | | | | |
| a Applied to 2013, but not more than line 2a | | | 85,244. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 2,126. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 93,471. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2010 | | | | |
| b Excess from 2011 | | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 | | | | |
| e Excess from 2014 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| SEE SCHEDULE ATTACHED | NONE | NONE | GENERAL | 85,535. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3a | 85,535. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

**Part XVI-A** **Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 44,848. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 323,311. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 368,159. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 368,159.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B** **Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes [X] No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ X [signature] Signature of officer or trustee | X 10/5/15 Date | ▶ [signature] Title

May the IRS discuss this return with the preparer shown below (see instr )? [X] Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| STEVEN KAPLAN | [signature] | 10/1/15 | | P00048856 |
| Firm's name ▶ SAXBST LLP | | | Firm's EIN ▶ 46-4001827 | |
| Firm's address ▶ 855 VALLEY ROAD CLIFTON, NJ 07013-2483 | | | Phone no. 973-472-6250 | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| RBC | 44,848. | 0. | 44,848. | 44,848. | |
| TO PART I, LINE 4 | 44,848. | 0. | 44,848. | 44,848. | |

FORM 990-PF ACCOUNTING FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| SAXBST | 3,670. | 1,835. | | 1,835. |
| TO FORM 990-PF, PG 1, LN 16B | 3,670. | 1,835. | | 1,835. |

FORM 990-PF TAXES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAXES | 7,025. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 7,025. | 0. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT ADVISORY FEES | 17,554. | 17,554. | | 0. |
| MISC. EXPENSE | 437. | 437. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 17,991. | 17,991. | | 0. |

FORM 990-PF CORPORATE STOCK STATEMENT 5

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| VARIOUS INVESTMENTS- SEE ATTACHED | 1,483,397. | 2,075,726. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,483,397. | 2,075,726. |

## ASSET DETAIL

*The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized*

** The Unrealized Gain/Loss may not reflect your investments' total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvested Additionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see "About Your Statement" on page 2 for further information*

*Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) consolidated*

### RBC BANK DEPOSIT PROGRAM

*Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits" are held by the Program Banks, not RBC Capital Markets They are not covered by SIPC Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks Deposit information is included on this statement solely as a service to our clients All balance and transaction information is provided by the Program Banks RBC Capital Markets is not responsible for the accuracy of this information Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 per depositor at each bank subject to certain limitations* ***This means that your funds in excess of $250,000 in the same insurable capacity at any single Program Bank are not insured.*** *FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www rbcwmconnect com for more details, including the current aggregate FDIC deposit insurance amount available through the Program For questions concerning bank balances, please contact your Financial Advisor*

| DESCRIPTION | | BANK BALANCE | CURRENT BALANCE | PREVIOUS STATEMENT BALANCE | YTD INCOME |
|---|---|---|---|---|---|
| RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED | | | $2,346.20 | $2,346.18 | $0.04 |
| DEPOSITS ARE HELD AT RBC Bank (Georgia) | Atlanta, GA | $2,346 20 | | | |
| TOTAL RBC BANK DEPOSIT PROGRAM | | | $2,346.20 | | $0.04 |

### US EQUITIES

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | MARKET VALUE | NET COST * | UNREALIZED GAIN/LOSS * | ESTIMATED ANNUALIZED INCOME |
|---|---|---|---|---|---|---|---|
| EXXON MOBIL CORP | XOM | 1,056.000 | $85 200 | $89,971.20 | $19,414.75 | $70,556.45 | $3,083.52 |
| HOME DEPOT INC | HD | 2,700.000 | $111.420 | $300,834.00 | $33,725.00 | $267,109.00 | $6,372.00 |
| TOTAL US EQUITIES | | | | $390,805.20 | $53,139.75 | $337,665.45 | $9,455.52 |

| Summary of all investments. | |
|---|---|
| US Equities- | 390,805 |
| US Equities (Parametric Account) | 1,412,992 |
| Int'l Equities (Parametric Account) | 192,312 |
| Other Assets (Parametric Account) | 79,617 |
| Total Investments: | 2,075,726 |

## ASSET DETAIL

*The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized*

*• The Unrealized Gain/Loss may not reflect your investments' total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvested Additionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see "About Your Statement" on page 2 for further information*

*Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) consolidated*

### RBC BANK DEPOSIT PROGRAM

*Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets They are not covered by SIPC Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks Deposit information is included on this statement solely as a service to our clients All balance and transaction information is provided by the Program Banks RBC Capital Markets is not responsible for the accuracy of this information Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 per depositor at each bank subject to certain limitations* ***This means that your funds in excess of $250,000 in the same insurable capacity at any single Program Bank are not insured.*** *FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www rbcwmconnect com for more details, including the current aggregate FDIC deposit insurance amount available through the Program For questions concerning bank balances, please contact your Financial Advisor*

| DESCRIPTION | | BANK BALANCE | CURRENT BALANCE | PREVIOUS STATEMENT BALANCE | YTD INCOME |
|---|---|---|---|---|---|
| RBC BANK DEPOSIT PROGRAM<br>NOT SIPC COVERED | | | $11,740.48 | $18,068.27 | $1.25 |
| DEPOSITS ARE HELD AT:<br>RBC Bank (Georgia) | Atlanta, GA | $11,740.48 | | | |
| TOTAL RBC BANK DEPOSIT PROGRAM | | | $11,740.48 | | $1.25 |

$11,740.48-$2,510.91=$9,229.57

### CASH AND MONEY MARKET

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | CURRENT MARKET VALUE | PREVIOUS STATEMENT MARKET VALUE | YTD INCOME |
|---|---|---|---|---|---|---|
| CASH | | | | -$2,510.91 | | |
| TOTAL CASH AND MONEY MARKET | | | | -$2,510.91 | | |

### US EQUITIES

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | MARKET VALUE | NET COST • | UNREALIZED GAIN/LOSS • | ESTIMATED ANNUALIZED INCOME |
|---|---|---|---|---|---|---|---|
| ABBVIE INC | ABBV | 221.000 | $66.590 | $14,716.39 | $14,578.28 | $138.11 | $450.84 |
| AFFILIATED MANAGERS GROUP INC | AMG | 118.000 | $223 660 | $26,391.88 | $22,244 98 | $4,146 90 | |
| AFLAC INC | AFL | 503.000 | $62.220 | $31,296.66 | $29,234.79 | $2,061.87 | $784.68 |
| ALTRIA GROUP INC | MO | 620.000 | $51.200 | $31,744.00 | $26,070.38 | $5,673.62 | $1,289.60 |
| ANADARKO PETROLEUM CORP | APC | 154.000 | $83.610 | $12,875.94 | $13,856.84 | -$980.90 | $166.32 |
| BANK OF AMERICA CORP | BAC | 1,504.000 | $16.500 | $24,816.00 | $23,066.21 | $1,749 79 | $300.80 |
| C H ROBINSON WORLDWIDE INC | CHRW | 340.000 | $61.730 | $20,988.20 | $21,907.30 | -$919.10 | $516.80 |

RBC Wealth Management®

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# MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

MAY 1, 2015 - MAY 31, 2015



## US EQUITIES
*(continued)*

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | MARKET VALUE | NET COST * | UNREALIZED GAIN/LOSS * | ESTIMATED ANNUALIZED INCOME |
|---|---|---|---|---|---|---|---|
| CBS CORP CLASS B | CBS | 605.000 | $61.720 | $37,340.60 | $34,671.81 | $2,668.79 | $363.00 |
| CITIGROUP INC COM | C | 907.000 | $54.080 | $49,050.56 | $32,026.65 | $17,023.91 | $181.40 |
| CVS HEALTH CORPORATION | CVS | 243.000 | $102.380 | $24,878.34 | $7,326.20 | $17,552.14 | $340.20 |
| DEVON ENERGY CORPORATION NEW | DVN | 274.000 | $65.220 | $17,870.28 | $15,941.32 | $1,928.96 | $263.04 |
| ELI LILLY & CO | LLY | 498.000 | $78.900 | $39,292.20 | $30,387.84 | $8,904.36 | $996.00 |
| EXXON MOBIL CORP | XOM | 695.000 | $85.200 | $59,214.00 | $67,029.14 | -$7,815.14 | $2,029.40 |
| GENERAL ELECTRIC CO | GE | 2,806.000 | $27.270 | $76,519.62 | $72,196.82 | $4,322.80 | $2,581.52 |
| GENERAL MILLS INC | GIS | 571.000 | $56.150 | $32,061.65 | $31,333.52 | $728.13 | $1,004.96 |
| GOLDMAN SACHS GROUP INC | GS | 190.000 | $206.190 | $39,176.10 | $36,770.30 | $2,405.80 | $494.00 |
| HOME DEPOT INC | HD | 148.000 | $111.420 | $16,490.16 | $11,611.93 | $4,878.23 | $349.28 |
| HUBBELL INC-CL B | HUBB | 128.000 | $108.030 | $13,827.84 | $14,210.23 | -$382.39 | $286.72 |
| INTEL CORP | INTC | 1,195.000 | $34 460 | $41,179.70 | $38,194.17 | $2,985.53 | $1,147.20 |
| INTERNATIONAL PAPER CO | IP | 290.000 | $51.830 | $15,030.70 | $14,261.79 | $768.91 | $464.00 |
| JPMORGAN CHASE & CO | JPM | 974.000 | $65 780 | $64,069.72 | $34,942.86 | $29,126.86 | $1,714.24 |
| KEYCORP NEW | KEY | 1,853.000 | $14.580 | $27,016.74 | $24,938.67 | $2,078.07 | $555.90 |
| KROGER CO | KR | 240.000 | $72.800 | $17,472.00 | $12,279.98 | $5,192.02 | $177.60 |
| LINCOLN NATIONAL CORP-IND | LNC | 200.000 | $57.010 | $11,402.00 | $11,699.24 | -$297.24 | $160.00 |
| MCKESSON CORP | MCK | 38.000 | $237.230 | $9,014.74 | $8,827.02 | $187.72 | $36.48 |
| MERCK & CO INC | MRK | 875.000 | $60.890 | $53,278.75 | $39,054.82 | $14,223.93 | $1,575.00 |
| MICROSOFT CORP | MSFT | 1,127.000 | $46.860 | $52,811.22 | $50,625.78 | $2,185.44 | $1,397.48 |
| MONSANTO CO | MON | 252.000 | $116.980 | $29,478.96 | $28,411.80 | $1,067.16 | $493.92 |
| NEXTERA ENERGY INC | NEE | 464.000 | $102.340 | $47,485.76 | $41,500.51 | $5,985.25 | $1,429.12 |
| NUCOR CORP | NUE | 56.000 | $47.300 | $2,648.80 | $2,678.94 | -$30.14 | $83.44 |
| OCCIDENTAL PETE CORP | OXY | 570.000 | $78.190 | $44,568.30 | $51,025.30 | -$6,457.00 | $1,710.00 |
| ORACLE CORPORATION | ORCL | 690.000 | $43.490 | $30,008.10 | $26,528.96 | $3,479.14 | $414.00 |
| PFIZER INC | PFE | 238.000 | $34.750 | $8,270.50 | $8,255.86 | $14.64 | $266.56 |
| PG&E CORP | PCG | 595.000 | $53.470 | $31,814.65 | $28,520.55 | $3,294.10 | $1,082.90 |
| PHILLIPS 66 COM | PSX | 299.000 | $79.120 | $23,656.88 | $10,352.48 | $13,304.40 | $669.76 |
| PNC FINANCIAL SVCS GROUP INC | PNC | 360.000 | $95.690 | $34,448.40 | $18,292.69 | $16,155.71 | $734.40 |

000027 01CDBN01 002860

## US EQUITIES
*(continued)*

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | MARKET VALUE | NET COST * | UNREALIZED GAIN/LOSS * | ESTIMATED ANNUALIZED INCOME |
|---|---|---|---|---|---|---|---|
| PPG INDUSTRIES INC | PPG | 36.000 | $228.890 | $8,240.04 | $8,540.31 | -$300.27 | $103.68 |
| PRAXAIR INC | PX | 216.000 | $122 860 | $26,537.76 | $26,345.83 | $191.93 | $617.76 |
| QUALCOMM INC | QCOM | 282.000 | $69 680 | $19,649.76 | $19,173 33 | $476 43 | $541.44 |
| REYNOLDS AMERICAN INC | RAI | 515 000 | $76 750 | $39,526.25 | $31,043 80 | $8,482.45 | $1,380.20 |
| SEMPRA ENERGY | SRE | 267.000 | $107.470 | $28,694.49 | $18,126.56 | $10,567.93 | $747.60 |
| STRYKER CORP | SYK | 220 000 | $96.130 | $21,148.60 | $17,000.75 | $4,147 85 | $303.60 |
| THERMO FISHER SCIENTIFIC INC | TMO | 222.000 | $129.630 | $28,777.86 | $17,349 79 | $11,428.07 | $133.20 |
| TRINITY INDUSTRIES INC | TRN | 243 000 | $29 990 | $7,287.57 | $8,551.87 | -$1,264.30 | $106.92 |
| UNITED TECHNOLOGIES CORP | UTX | 277.000 | $117.170 | $32,456.09 | $16,457.10 | $15,998.99 | $709 12 |
| VERIZON COMMUNICATIONS | VZ | 736.000 | $49.440 | $36,387.84 | $34,383.54 | $2,004.30 | $1,619 20 |
| WALT DISNEY CO | DIS | 229.000 | $110.370 | $25,274.73 | $8,304 41 | $16,970.32 | $263.35 |
| WELLS FARGO & CO | WFC | 479.000 | $55 960 | $26,804.84 | $24,605 75 | $2,199.09 | $718 50 |
| TOTAL US EQUITIES | | | | $1,412,992.17 | $1,184,739.00 | $228,253.17 | $33,755.13 |

## INTERNATIONAL EQUITIES

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | MARKET VALUE | NET COST * | UNREALIZED GAIN/LOSS * | ESTIMATED ANNUALIZED INCOME |
|---|---|---|---|---|---|---|---|
| ACE LIMITED | ACE | 163.000 | $106.480 | $17,356.24 | $10,553.16 | $6,803.08 | $436.84 |
| CREDIT SUISSE GROUP SPONSORED ADR | CS | 771.000 | $26.470 | $20,408.37 | $20,510.33 | -$101.96 | $575.17 |
| INVESCO LTD | IVZ | 629.000 | $39.830 | $25,053.07 | $23,778.74 | $1,274.33 | $679.32 |
| MEDTRONIC PLC COM | MDT | 337.000 | $76.320 | $25,719.84 | $26,107.44 | -$387.60 | $411.14 |
| PRUDENTIAL PLC SPONS ADR | PUK | 280.000 | $50.020 | $14,005.60 | $13,846.39 | $159.21 | $327.60 |
| ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD | RHHBY | 545.000 | $36.598 | $19,945.91 | $16,844.02 | $3,101.89 | $450.17 |
| SAP SE SPONSORED ADR | SAP | 240.000 | $73.900 | $17,736.00 | $17,399.62 | $336.38 | $205.20 |
| TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR | TEVA | 372.000 | $60.100 | $22,357.20 | $20,955.09 | $1,402.11 | $425.20 |
| XL GROUP PLC | XL | 789.000 | $37 680 | $29,729.52 | $26,670.25 | $3,059.27 | $504.96 |
| TOTAL INTERNATIONAL EQUITIES | | | | $192,311.75 | $176,665.04 | $15,646.71 | $4,015.60 |

## OTHER ASSETS

| DESCRIPTION | SYMBOL/CUSIP | QUANTITY | MARKET PRICE | MARKET VALUE | NET COST * | UNREALIZED GAIN/LOSS * | ESTIMATED ANNUALIZED INCOME |
|---|---|---|---|---|---|---|---|
| EQUITY RESIDENTIAL | EQR | 357.000 | $74.320 | $26,532.24 | $22,761.62 | $3,770.62 | $732.56 |
| PUBLIC STORAGE | PSA | 144.000 | $193.540 | $27,869.76 | $22,745.02 | $5,124.74 | $979.20 |
| SIMON PROPERTY GROUP INC | SPG | 139.000 | $181.400 | $25,214.60 | $23,346.34 | $1,868.26 | $764.50 |
| TOTAL OTHER ASSETS | | | | $79,616.60 | $68,852.98 | $10,763.62 | $2,476.26 |

$1,684,921

## ACTIVITY DETAIL

*Realized gain/loss column includes fees and commissions It does not include accrued interest*

*Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of priced securities" line of the Account Value Summary*

** Information that appears in these columns may be based on information provided by you or at your direction, RBC has not verified such data Please see "About Your Statement" on page 2 for further information*

### PURCHASES

#### Regular Purchases

| DATE | DESCRIPTION | QUANTITY | PRICE | NET COST/ ACCRUED INTEREST | COMMENTS |
|---|---|---|---|---|---|
| 05/06/15 | LINCOLN NATIONAL CORP-IND<br>SOLICITED<br>WE MAKE A MKT IN THIS SECURITY | 200.000 | $58.496 | -$11,699.24 | |
| 05/13/15 | MCKESSON CORP<br>SOLICITED<br>WE MAKE A MKT IN THIS SECURITY | 38.000 | $232.290 | -$8,827.02 | |
| 05/20/15 | ABBVIE INC<br>SOLICITED<br>WE MAKE A MKT IN THIS SECURITY | 221.000 | $65.965 | -$14,578.28 | |
| 05/27/15 | TRINITY INDUSTRIES INC<br>SOLICITED<br>WE MAKE A MKT IN THIS SECURITY | 243.000 | $30.705 | -$7,461.32 | |
| **Total regular purchases** | | | | -$42,565.86 | |
| TOTAL PURCHASES | | | | -$42,565.86 | |

SAIBEL Foundation
6/1/14–5/31/15

| | | | | |
|---|---|---|---|---|
| 732 | Jewish Federation of the Berkshires | 196 S. St. Pitssfield, MA 01201 | | 200.00 |
| 733 | Tanglewood Fund | 501 Mass Ave Boston, MA | | 750.00 |
| 734 | Pine Brook Jewish Center | montville, NJ | | 300.00 |
| 633 | monterey Fire Dept. | 411 Main Rd. Monterey, MA 01230 | | 250.00 |
| 735 | Two Kids Foundation | 93 Griffith Dr. Whippany, nJ 07981 | | 200.00 |
| 736 | montville 1rst aid squad | box 416 Montville, NJ 07045 | | 300.00 |
| 737 | Pine Brook Jewish Center | 174 Changebridge Rd Montville, NJ 07045 | | 2,000.00 |
| 739 | Women's American Ort | wayne, nj | | 200.00 |
| 741 | NY Presbyterian Hospital | 654 W 170th St. NY NY 10032 | | 2,500.00 |
| 742 | Breast Cancer Research Foundation | 60 e 56 st ny, ny 10022 | | 500.00 |
| 743 | St Jude Children's Research Hospital | 501 St. Jude Pl Memphis, TN 38105 | | 500.00 |
| 744 | als association | 42 Bdwy suite 1724 ny, ny 10004 | | 200.00 |
| 745 | Simchat Hajev | 421 split rook rd. Syosset, NY 11791 | | 1,000.00 |
| 746 | Lymphoma | box 286236 ny, ny 10128 | | 300.00 |
| 747 | jewish national fund | Box 5609 Hicksville, NJ 11802 | | 300.00 |
| 748 | Hevreh of Southern Berkshire | 270 St Rd Gt Barrington, 01230 | | 200.00 |
| 749 | Jewish family Services | 1 Pike Dr. Wayne, NJ 07470 | | 5,000.00 |
| 750 | Wayne Township Memorial First Aid Squad | Box 2004 Wayne, NJ 07470 | | 300.00 |
| 751 | Cherish The Children Foundation | VOID | ✓ | 0.00 |
| 752 | big brothers big sisters | 305 Bond St. Asbury Pk, NJ 07712 | | 1,000.00 |
| 753 | Count Basie Theater Foundation | 99 Monmouth St. RedBank, NJ 07701 | | 300.00 |
| 754 | Cherish The Children Foundation | box 128 Glastenbury, CT 06033 | | 300.00 |
| 757 | Rainbow Foundation | 15 herry Tree Farm Road New Monmouth, NJ 07748 | | 300.00 |

| | | | |
|---|---|---|---|
| 759 | Pine Brook Jewish Center | 174 Changebridge road montville nj 07045 | 200.00 |
| 760 | make a wish foundation | box 97104 washington dc 20090 | 300.00 |
| 761 | American Cancer Society | box 238812 oklahoma city, ok 73123 | 200 00 |
| 762 | Morristown Medical Center foundation | box 35558 newark, nj 07193 | 2,500.00 |
| 763 | American Heart Assoc. | box 78851 phoenix, az 85062 | 300.00 |
| 764 | ny society library | 53 E 79th St New York, NY 10075 | 250.00 |
| 765 | Berkshire botanical Garden | Box 826 Stockbridge, MA 01262 | 100.00 |
| 767 | NCJW/essex | 70 S Orange Ave Livingston, NJ 07039 | 300.00 |
| 738 | Jacob's Pillow | Carter Rd Becket, ma 01203 | 5,000.00 |
| 757 | mayo clinic health fund | Box 93012 Big Sandy, TX 75705 | 60.00 |
| 770 | MMC Foundation | 100 Madison Ave, Morristown, NJ 07960 | 300.00 |
| 756 | jericho Union Free School District kick for cancer | 99 Cedar Swamp Rd Jerico, NY 11753 | 500.00 |
| 771 | Fairview First Aid | 17 kanes lane middletown, nj | 100.00 |
| 772 | lincroft first aid | 58 hurleys lane lincroft nj 07738 | 25.00 |
| 775 | Jewish family Services | 1 Pike Dr. Wayne, NJ 07470 | 5,000.00 |
| 776 | Veritans Camp Scholarship fund | 1 Pike Dr. Wayne, NJ 07470 | 1,000.00 |
| 777 | spca of Monmouth County | 260 Wall St Eatontown, NJ 07724 | 100.00 |
| 778 | Lunch Break | 121 Drs James Parker Blvd Red Bank, NJ 07701 | 200.00 |
| 779 | relay for life (American Cancer Society) | 63 Tindall Rd, Middletown, NJ 07748 | 500.00 |
| 780 | Bridge of Books foundation | Box 39 Rumson, NJ 07760 | 500.00 |
| 781 | American Heart Assoc. | box 78851 phoenix, az 85062 | 1,000.00 |
| 782 | the frick collection | 1 E 70th St. NY NY 10021 | 200.00 |
| 783 | Metropolitan Museum of Art | 1000 5th Ave NY, NY 10130 | 250.00 |
| 784 | Brooklyn Museum | Eastern Pkwy, Brooklyn, NY 11238 | 150.00 |

| | | | |
|---|---|---|---|
| 785 | Art Student's LEague | 1 East 70th St NY NY 10021 | 500.00 |
| 786 | Hevreh of Southern Berkshire | 270 State Rd Box 912, Gt Barrington MA 01230 | 2,000.00 |
| 787 | The Smile train | box 96231 washington, DC 20090 | 2,000.00 |
| 788 | Pompton Falls Volunteer Fire Dept | 130 jackson ave, wayne nj 07470 | 300.00 |
| 789 | Special Olympics | box 3589 princeton, nj 08543 | 200.00 |
| 790 | Doctors Without Boarders | box 5023 Hagerstown, MD 21741 | 1,500.00 |
| 791 | Jewish Federation of the Berkshires | 196 south street, pittsfield, ma 51201 | $500 ~~250.00~~ |
| 792 | wayne interfaith network | box 3341 wayne nj 07470 | 5,000.00 |
| 793 | Planned Parenthood | 151 washington st, newark, nj 07102 | 100.00 |
| 794 | Mount Sinai Hospital OBGYN Fund | 1 gustave levy place NY, NY 10029 | 2,000.00 |
| 795 | temple Beth Tikvah | 950 preakness ave wayne, nj 07470 | 2,500.00 |
| 796 | Valley Hospital Foundation | 223 n vandeen ave Ridgewood, nj 07450 | 1,000.00 |
| 797 | Deborah HOspital Foundation | 20 pine mill road, browns mill, nj 08015 | 1,000.00 |
| 798 | fairview hospital fund | 29 lewis ave gt. barrington, ma 01230 | 1,000.00 |
| 799 | Fisher House | 7305 N military trail west palm beach fl 53410 | 3,000.00 |
| 800 | wounded warrior project | box 758540 topeka ks 66675 | 3,000.00 |
| 801 | Salvation Army | box 2006 paterson, nj 07509 | 5,000.00 |
| 802 | aemrican red cross | 209 fairfield road, Fairfield, NJ 07704 | 7,000.00 |
| 803 | Memorial Sloan Kettering Cancer Ctr | 1275 yrok ave ny, ny 10065 | 10,000.00 |
| 804 | Friends of Wayne Animals | box 3701 wayne, nj 07470 | 100.00 |
| 805 | Saratoga Independant School Fund | 459 Lake Ave, Saratoga Springs, NY 12866 | 500.00 |
| 807 | The Duane Stuart School Fund | 199 washington Ave, Rensselaer, NY 12144 | 500.00 |
| 808 | St. Jude Childrens Research Hospital | box 50 Memphis, tn 38701 | 1,000.00 |
| | | | ~~85,385.00~~ 85,635 – |

Prior year void check
$100, ending
contribution balance
$85,535

Saibel Foundation, Inc.
Summary of Capital Gains & Losses
Y/E May 31, 2015

| Account | Date Range | S/T | L/T | Total |
|---|---|---|---|---|
| RBR #315-84454 | 6/14-12/14 | - | (3,999 52) | (3,999 52) |
| | 1/15-5/15 | - | - | - |
| RBR #315-84459 | 6/14-12/14 | 40,689.39 | 248,986.48 | 289,675.87 |
| | 1/14-5/15 | 10,612.37 | 27,022.68 | 37,635.05 |
| Totals | | 51,301.76 | 272,009.64 | 323,311.40 |

| Data Entry: | S/T | L/T | Total |
|---|---|---|---|
| 1/1-12/31/14 | - | (3,999 52) | (3,999 52) |
| 1/1-05/31/14 | - | - | - |
| 6/1-12/31/14 | - | **(3,999.52)** | **(3,999.52)** |
| 1/1-12/31/14 | 38,784 89 | 357,790 14 | 396,575 03 |
| 1/1-05/31/14 | (1,904 50) | 108,803 66 | 106,899 16 |
| 6/1-12/31/14 | **40,689.39** | **248,986.48** | **289,675.87** |

SAIBEL FOUNDATION
835 BLOOMFIELD AVENUE
CLIFTON NJ 07012-1146



For Period. 6/1/14-12/31/14

## Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

### Long-Term

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 79.000 | CITIGROUP INC COM SYMBOL· C, CUSIP 172967424 | ☑ | 06/05/96 | 09/16/14 | 4,045 07 | 8,044.59 | -3,999.52 |
| | **Long-Term Subtotal** | | | | **4,045.07** | | **-3,999.52** |
| **Total Gains and Losses** | | | | | **4,045.07** | | **-3,999.52** |
| | **Short-Term** | | | | | | **0.00** |
| | **Long-Term** | | | | | | **-3,999.52** |
| | **Term Unknown** | | | | | | **0.00** |

**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized In addition, cost basis information may reflect accretion for zero coupon and OID bonds Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS

### Short-Term

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 172.000 | ALLSTATE CORP<br>SYMBOL ALL, CUSIP 020002101 | ☐ | 04/01/14 | 07/09/14 | 10,055.27 | 9,703.81 | 351 46 |
| 151 000 | ALLSTATE CORP<br>SYMBOL ALL, CUSIP 020002101 | ☐ | 02/20/14 | 07/09/14 | 8,827.60 | 8,072.16 | 755 44 |
| 180.000 | ALTRIA GROUP INC<br>SYMBOL· MO, CUSIP 02209S103 | ☐ | 07/24/14 | 11/13/14 | 8,899 02 | 7,568 82 | 1,330 20 |
| 134.000 | AMERICAN TOWER CORPORATION REIT<br>SYMBOL AMT, CUSIP 03027X100 | ☐ | 01/27/14 | 06/30/14 | 12,046 57 | 10,645.46 | 1,401 11 |
| 4.000 | ANADARKO PETROLEUM CORP<br>SYMBOL APC, CUSIP 032511107 | ☐ | 04/01/14 | 10/14/14 | 338.55 | 345.23 | -6.68 |
| 164.000 | APPLE INC<br>SYMBOL AAPL, CUSIP· 037833100 | ☐ | 10/17/13 | 07/29/14 | 16,152.13 | 11,823 46 | 4,328.67 |
| 64 000 | APPLE INC<br>SYMBOL. AAPL, CUSIP 037833100 | ☐ | 10/17/13 | 09/02/14 | 6,605 99 | 4,614.03 | 1,991.96 |
| 189.000 | APPLE INC<br>SYMBOL. AAPL, CUSIP 037833100 | ☐ | 10/17/13 | 09/05/14 | 18,620.03 | 13,625.82 | 4,994.21 |
| 563.000 | BANK OF AMERICA CORP<br>SYMBOL BAC, CUSIP 060505104 | ☐ | 11/20/13 | 08/20/14 | 8,738.69 | 8,523 82 | 214.87 |
| 87.000 | BANK OF AMERICA CORP<br>SYMBOL. BAC, CUSIP: 060505104 | ☐ | 11/20/13 | 09/02/14 | 1,411.20 | 1,317.18 | 94.02 |

SAIBEL FOUNDATION
PARAMETRIC PORTFOLIO ASSOCIATE
835 BLOOMFIELD AVENUE
CLIFTON NJ 07012-1146



**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 83 000 | BOEING CO<br>SYMBOL BA, CUSIP 097023105 | ☐ | 02/20/14 | 09/16/14 | 10,579.03 | 10,778 37 | -199 34 |
| 55.000 | BOEING CO<br>SYMBOL BA, CUSIP 097023105 | ☐ | 08/27/14 | 09/16/14 | 7,010.20 | 7,047 30 | -37.10 |
| 9 000 | BOEING CO<br>SYMBOL BA, CUSIP 097023105 | ☐ | 04/01/14 | 09/16/14 | 1,147.12 | 1,153.17 | -6.05 |
| 132.000 | C H ROBINSON WORLDWIDE INC<br>SYMBOL CHRW, CUSIP 12541W209 | ☐ | 06/12/14 | 11/18/14 | 9,596.19 | 8,160.36 | 1,435 83 |
| 69 200 | CALIFORNIA RESOURCES CORPORATION<br>SYMBOL CRC, CUSIP: 13057Q107 | ☐ | 09/02/14 | 12/16/14 | 393.82 | 616 34 | -222 52 |
| 77 600 | CALIFORNIA RESOURCES CORPORATION<br>SYMBOL CRC, CUSIP 13057Q107 | ☐ | 10/23/14 | 12/16/14 | 441 63 | 616.63 | -175 01 |
| 37.200 | CALIFORNIA RESOURCES CORPORATION<br>SYMBOL CRC, CUSIP: 13057Q107 | ☐ | 06/12/14 | 12/16/14 | 211.71 | 327.70 | -115 99 |
| 9.200 | CALIFORNIA RESOURCES CORPORATION<br>SYMBOL CRC, CUSIP: 13057Q107 | ☐ | 04/01/14 | 12/16/14 | 52 36 | 76 04 | -23.69 |
| 146.000 | CAMERON INTERNATIONAL CORPORATION<br>SYMBOL CAM, CUSIP 13342B105 | ☐ | 11/04/13 | 06/04/14 | 9,380.46 | 8,032.92 | 1,347.54 |
| 57.000 | CF INDUSTRIES HOLDINGS INC<br>SYMBOL CF, CUSIP 125269100 | ☐ | 09/10/13 | 06/12/14 | 13,436.89 | 11,278.62 | 2,158.27 |
| 7.000 | CF INDUSTRIES HOLDINGS INC<br>SYMBOL CF, CUSIP 125269100 | ☐ | 09/10/13 | 06/13/14 | 1,643.19 | 1,385.09 | 258 10 |
| 128.000 | CONOCOPHILLIPS<br>SYMBOL COP, CUSIP 20825C104 | ☐ | 05/02/14 | 10/16/14 | 8,574.05 | 9,799.53 | -1,225 48 |
| 40 000 | CORNING INC<br>SYMBOL GLW, CUSIP 219350105 | ☐ | 01/27/14 | 11/18/14 | 819.66 | 735.95 | 83.71 |
| 100.000 | CORNING INC<br>SYMBOL GLW, CUSIP. 219350105 | ☐ | 04/01/14 | 12/09/14 | 2,145.95 | 2,099.88 | 46.07 |
| 145.000 | CORNING INC<br>SYMBOL GLW, CUSIP 219350105 | ☐ | 07/29/14 | 12/09/14 | 3,111.63 | 2,890.89 | 220.74 |

**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 500.000 | CORNING INC<br>SYMBOL GLW, CUSIP 219350105 | ☐ | 10/02/14 | 12/09/14 | 10,729 76 | 9,339.00 | 1,390.76 |
| 518.000 | CORNING INC<br>SYMBOL GLW, CUSIP 219350105 | ☐ | 01/27/14 | 12/09/14 | 11,116 03 | 9,530.58 | 1,585.46 |
| 100 000 | COVIDIEN PLC<br>CUSIP. G2554F113 | ☐ | 05/12/14 | 10/08/14 | 9,445.88 | 7,236.99 | 2,208 89 |
| 18.000 | COVIDIEN PLC<br>CUSIP G2554F113 | ☐ | 05/12/14 | 10/09/14 | 1,671.98 | 1,302.66 | 369.32 |
| 46.000 | DELTA AIR LINES INC DEL<br>COM<br>SYMBOL DAL, CUSIP 247361702 | ☐ | 04/01/14 | 06/12/14 | 1,766 41 | 1,642 15 | 124.26 |
| 439.000 | DELTA AIR LINES INC DEL<br>COM<br>SYMBOL. DAL, CUSIP 247361702 | ☐ | 09/10/13 | 06/12/14 | 16,857 66 | 9,984 48 | 6,873.18 |
| 41.000 | DELTA AIR LINES INC DEL<br>COM<br>SYMBOL. DAL, CUSIP 247361702 | ☐ | 04/01/14 | 06/27/14 | 1,608.91 | 1,463 65 | 145 26 |
| 94.000 | DEVON ENERGY CORPORATION NEW<br>SYMBOL DVN, CUSIP 25179M103 | ☐ | 05/02/14 | 09/22/14 | 6,571.39 | 6,610.38 | -38 99 |
| 3.000 | DEVON ENERGY CORPORATION NEW<br>SYMBOL DVN, CUSIP 25179M103 | ☐ | 05/02/14 | 10/14/14 | 165.53 | 210.97 | -45.44 |
| 39.000 | DEVON ENERGY CORPORATION NEW<br>SYMBOL DVN, CUSIP 25179M103 | ☐ | 05/02/14 | 10/23/14 | 2,347.74 | 2,742.60 | -394 86 |
| 75.000 | EATON CORPORATION PLC<br>SYMBOL ETN, CUSIP G29183103 | ☐ | 04/01/14 | 08/01/14 | 5,037.76 | 5,589.66 | -551.90 |
| 57.000 | EATON CORPORATION PLC<br>SYMBOL ETN, CUSIP G29183103 | ☐ | 07/29/14 | 08/01/14 | 3,828.69 | 3,993.99 | -165 30 |
| 56.000 | EDISON INTERNATIONAL<br>SYMBOL. EIX, CUSIP. 281020107 | ☐ | 04/01/14 | 07/11/14 | 3,172.97 | 3,171.73 | 1.24 |
| 22.000 | EDISON INTERNATIONAL<br>SYMBOL. EIX, CUSIP. 281020107 | ☐ | 07/16/13 | 07/11/14 | 1,246.52 | 1,079.54 | 166.98 |
| 45.000 | EDISON INTERNATIONAL<br>SYMBOL. EIX, CUSIP: 281020107 | ☐ | 10/17/13 | 07/11/14 | 2,549.71 | 2,160.45 | 389.26 |
| 131.000 | EMC CORP-MASS<br>SYMBOL EMC, CUSIP 268648102 | ☐ | 07/25/13 | 06/12/14 | 3,472.98 | 3,504.23 | -31.25 |
| 325.000 | EMC CORP-MASS<br>SYMBOL EMC, CUSIP. 268648102 | ☑ | 01/08/14 | 06/12/14 | 8,616.18 | 8,290.75 | 325.43 |
| 184.000 | EMC CORP-MASS<br>SYMBOL EMC, CUSIP 268648102 | ☐ | 06/12/13 | 06/12/14 | 4,878.08 | 4,482.24 | 395.84 |

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## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 24 000 | EMERSON ELECTRIC CO<br>SYMBOL EMR, CUSIP 291011104 | ☐ | 03/17/14 | 07/24/14 | 1,608.20 | 1,529.01 | 79 19 |
| 7 000 | EMERSON ELECTRIC CO<br>SYMBOL EMR, CUSIP 291011104 | ☐ | 04/01/14 | 08/20/14 | 451.23 | 470.46 | -19.23 |
| 102 000 | EMERSON ELECTRIC CO<br>SYMBOL. EMR, CUSIP: 291011104 | ☐ | 03/17/14 | 08/20/14 | 6,575.06 | 6,498 30 | 76 76 |
| 117.000 | EMERSON ELECTRIC CO<br>SYMBOL EMR, CUSIP. 291011104 | ☐ | 06/04/14 | 10/23/14 | 7,267.04 | 7,809.61 | -542.57 |
| 17.000 | EMERSON ELECTRIC CO<br>SYMBOL. EMR, CUSIP. 291011104 | ☐ | 04/01/14 | 10/23/14 | 1,055.89 | 1,142.53 | -86.64 |
| 175.000 | EMERSON ELECTRIC CO<br>SYMBOL EMR, CUSIP 291011104 | ☐ | 09/22/14 | 12/17/14 | 10,444.03 | 11,248 51 | -804.48 |
| 49.000 | EMERSON ELECTRIC CO<br>SYMBOL EMR, CUSIP 291011104 | ☐ | 06/04/14 | 12/17/14 | 2,924.33 | 3,270 69 | -346.36 |
| 630.000 | ERICSSON<br>ADR CL B SEK 10<br>SYMBOL· ERIC, CUSIP. 294821608 | ☐ | 04/01/14 | 07/24/14 | 8,033.58 | 8,523.27 | -489.69 |
| 1,025.000 | ERICSSON<br>ADR CL B SEK 10<br>SYMBOL ERIC, CUSIP 294821608 | ☐ | 06/09/14 | 11/14/14 | 12,374 12 | 12,678.02 | -303.90 |
| 401.000 | ERICSSON<br>ADR CL B SEK 10<br>SYMBOL. ERIC, CUSIP 294821608 | ☐ | 06/19/14 | 11/14/14 | 4,841 00 | 4,947.86 | -106.86 |
| 47.000 | EXXON MOBIL CORP<br>SYMBOL: XOM, CUSIP 30231G102 | ☐ | 06/30/14 | 09/22/14 | 4,539.15 | 4,731.43 | -192.28 |

**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 140.000 | HALLIBURTON COMPANY SYMBOL HAL, CUSIP 406216101 | ☐ | 10/21/14 | 11/24/14 | 6,984.45 | 7,787.70 | -803.25 |
| 33.000 | INTEL CORP SYMBOL INTC, CUSIP 458140100 | ☐ | 10/17/13 | 07/18/14 | 1,113.10 | 789.69 | 323.41 |
| 77.000 | INTEL CORP SYMBOL INTC, CUSIP 458140100 | ☐ | 09/16/13 | 07/18/14 | 2,597.23 | 1,801.07 | 796.16 |
| 331 000 | INTEL CORP SYMBOL INTC, CUSIP 458140100 | ☐ | 12/05/13 | 07/18/14 | 11,164 71 | 8,030.06 | 3,134.65 |
| 24.000 | INVESCO LTD SYMBOL IVZ, CUSIP: G491BT108 | ☐ | 10/17/13 | 08/25/14 | 975.85 | 779.04 | 196.81 |
| 277.000 | INVESCO LTD SYMBOL IVZ, CUSIP. G491BT108 | ☐ | 12/27/13 | 10/27/14 | 10,506.89 | 10,107.73 | 399.16 |
| 10.000 | INVESCO LTD SYMBOL IVZ, CUSIP G491BT108 | ☐ | 12/27/13 | 11/18/14 | 399.20 | 364.90 | 34 30 |
| 241.000 | INVESCO LTD SYMBOL· IVZ, CUSIP· G491BT108 | ☐ | 03/24/14 | 11/18/14 | 9,620.79 | 8,743.45 | 877.33 |
| 43.000 | INVESCO LTD SYMBOL IVZ, CUSIP G491BT108 | ☐ | 03/24/14 | 11/19/14 | 1,699.75 | 1,560.04 | 139.71 |
| 650.000 | KEYCORP NEW SYMBOL KEY, CUSIP: 493267108 | ☐ | 10/08/14 | 10/23/14 | 8,275.03 | 8,775.00 | -499.97 |
| 166.000 | KROGER CO SYMBOL. KR, CUSIP: 501044101 | ☐ | 08/27/14 | 09/02/14 | 8,504.45 | 8,425.91 | 78 54 |
| 317.000 | LIONS GATE ENTERTAINMENT CORP SYMBOL· LGF, CUSIP 535919203 | ☐ | 05/05/14 | 06/30/14 | 9,050 33 | 8,377.68 | 672 65 |
| 11.000 | LYONDELLBASELL INDUSTRIES N V CL A SYMBOL· LYB, CUSIP N53745100 | ☐ | 04/01/14 | 12/05/14 | 887.96 | 975.37 | -87 41 |
| 15.000 | MARATHON OIL CORP SYMBOL MRO, CUSIP 565849106 | ☐ | 04/01/14 | 07/09/14 | 593 39 | 529.92 | 63.47 |
| 55.000 | MARATHON OIL CORP SYMBOL: MRO, CUSIP. 565849106 | ☐ | 10/17/13 | 07/09/14 | 2,175.77 | 1,919.50 | 256.27 |
| 43 000 | MARATHON OIL CORP SYMBOL: MRO, CUSIP 565849106 | ☐ | 04/01/14 | 07/10/14 | 1,694.71 | 1,519.10 | 175 61 |

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## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 35.000 | METLIFE INC<br>SYMBOL MET, CUSIP 59156R108 | ☐ | 04/01/14 | 10/16/14 | 1,680.36 | 1,871.80 | -191.44 |
| 185 000 | MICROSOFT CORP<br>SYMBOL MSFT, CUSIP 594918104 | ☐ | 07/18/14 | 10/23/14 | 8,325.33 | 8,234 17 | 91.16 |
| 17 000 | MOHAWK INDUSTRIES INC<br>SYMBOL: MHK, CUSIP 608190104 | ☐ | 11/20/13 | 07/17/14 | 2,214 54 | 2,369.29 | -154 75 |
| 49.000 | MOHAWK INDUSTRIES INC<br>SYMBOL· MHK, CUSIP· 608190104 | ☐ | 11/20/13 | 08/06/14 | 6,381.91 | 6,829.13 | -447.22 |
| 25 000 | MOHAWK INDUSTRIES INC<br>SYMBOL MHK, CUSIP 608190104 | ☐ | 04/01/14 | 08/06/14 | 3,256 08 | 3,432.25 | -176.17 |
| 30.000 | MORGAN STANLEY<br>SYMBOL: MS, CUSIP 617446448 | ☐ | 04/01/14 | 09/23/14 | 1,051.20 | 935.70 | 115 50 |
| 47.000 | OCCIDENTAL PETE CORP<br>SYMBOL· OXY, CUSIP 674599105 | ☐ | 06/12/14 | 12/05/14 | 3,825 72 | 4,586.49 | -760 77 |
| 23.000 | OCCIDENTAL PETE CORP<br>SYMBOL OXY, CUSIP· 674599105 | ☐ | 04/01/14 | 12/05/14 | 1,872.16 | 2,105.97 | -233 80 |
| 181.000 | PG&E CORP<br>SYMBOL. PCG, CUSIP· 69331C108 | ☐ | 07/24/14 | 07/30/14 | 8,310.03 | 8,570 17 | -260.14 |
| 198.000 | PG&E CORP<br>SYMBOL PCG, CUSIP 69331C108 | ☐ | 07/24/14 | 10/23/14 | 9,140 02 | 9,375.10 | -235.08 |
| 97.000 | PRUDENTIAL FINANCIAL INC<br>SYMBOL PRU, CUSIP 744320102 | ☐ | 04/01/14 | 06/04/14 | 8,548.56 | 8,319.59 | 228.97 |
| 15.000 | QUALCOMM INC<br>SYMBOL: QCOM, CUSIP. 747525103 | ☐ | 08/30/13 | 07/30/14 | 1,141.02 | 993 19 | 147.83 |
| 139.000 | REYNOLDS AMERICAN INC<br>SYMBOL. RAI, CUSIP 761713106 | ☐ | 12/05/13 | 07/24/14 | 7,970.47 | 7,023.67 | 946.80 |
| 84.000 | REYNOLDS AMERICAN INC<br>SYMBOL RAI, CUSIP 761713106 | ☐ | 09/02/14 | 10/03/14 | 4,994.21 | 4,949.15 | 45 06 |
| 139.000 | REYNOLDS AMERICAN INC<br>SYMBOL. RAI, CUSIP 761713106 | ☐ | 07/15/14 | 10/03/14 | 8,264.24 | 8,195.05 | 69 19 |
| 65.000 | REYNOLDS AMERICAN INC<br>SYMBOL: RAI, CUSIP· 761713106 | ☐ | 12/05/13 | 10/03/14 | 3,864.57 | 3,284.45 | 580.12 |

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## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 176 000 | ROYAL CARIBBEAN CRUISES LTD<br>SYMBOL: RCL, CUSIP V7780T103 | ☐ | 10/16/14 | 11/20/14 | 12,335.91 | 9,916.52 | 2,419 39 |
| 11.000 | SHIRE PLC<br>AMERICAN DEPOSITARY SHARES<br>SYMBOL· SHPG, CUSIP 82481R106 | ☐ | 04/01/14 | 07/18/14 | 2,829.63 | 1,627.34 | 1,202.29 |
| 24 000 | SIMON PROPERTY GROUP INC<br>SYMBOL SPG, CUSIP 828806109 | ☐ | 10/17/13 | 09/02/14 | 4,072.46 | 3,578.63 | 493.83 |
| 20 000 | SIMON PROPERTY GROUP INC<br>SYMBOL· SPG, CUSIP· 828806109 | ☐ | 04/01/14 | 10/21/14 | 3,436.39 | 3,085 79 | 350.60 |
| 35.000 | SIMON PROPERTY GROUP INC<br>SYMBOL SPG, CUSIP. 828806109 | ☐ | 02/24/14 | 10/21/14 | 6,013.69 | 5,286.61 | 727.08 |
| 63 000 | SUNTRUST BANKS INC<br>SYMBOL: STI, CUSIP 867914103 | ☐ | 10/17/13 | 10/02/14 | 2,372.62 | 2,143.89 | 228.73 |
| 84.000 | SYNGENTA AG<br>SPONSORED ADR<br>SYMBOL: SYT, CUSIP· 87160A100 | ☐ | 07/09/14 | 07/17/14 | 6,088 35 | 6,266.53 | -178.18 |
| 3.000 | SYNGENTA AG<br>SPONSORED ADR<br>SYMBOL: SYT, CUSIP. 87160A100 | ☐ | 07/09/14 | 07/24/14 | 217.80 | 223.80 | -6.00 |
| 14.000 | SYNGENTA AG<br>SPONSORED ADR<br>SYMBOL: SYT, CUSIP 87160A100 | ☐ | 07/09/14 | 08/20/14 | 1,005.87 | 1,044 42 | -38.55 |
| 10.000 | SYNGENTA AG<br>SPONSORED ADR<br>SYMBOL: SYT, CUSIP 87160A100 | ☐ | 07/09/14 | 08/25/14 | 717 60 | 746.01 | -28 41 |
| 55.000 | SYNGENTA AG<br>SPONSORED ADR<br>SYMBOL: SYT, CUSIP 87160A100 | ☐ | 07/09/14 | 11/24/14 | 3,628.26 | 4,103 08 | -474.82 |
| 70.000 | TJX COMPANIES INC NEW<br>SYMBOL· TJX, CUSIP· 872540109 | ☐ | 05/16/14 | 07/17/14 | 3,661.62 | 4,104 70 | -443.08 |
| 134.000 | TJX COMPANIES INC NEW<br>SYMBOL: TJX, CUSIP· 872540109 | ☐ | 05/16/14 | 12/01/14 | 8,754.36 | 7,857.56 | 896.80 |
| 42.000 | UNITED CONTINENTAL HLDGS INC<br>SYMBOL· UAL, CUSIP 910047109 | ☐ | 04/01/14 | 06/04/14 | 1,955.08 | 1,965.14 | -10 06 |
| 175.000 | UNITED CONTINENTAL HLDGS INC<br>SYMBOL· UAL, CUSIP 910047109 | ☐ | 01/27/14 | 06/04/14 | 8,146.19 | 7,990.33 | 155.86 |
| 72.000 | VOLKSWAGEN AG-SPONSORED ADR | ☐ | 08/15/13 | 07/09/14 | 3,603.52 | 3,456.65 | 146.87 |

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## Schedule of Realized Gains and Losses (con't)

### Short-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| | REPSTG ORD DM 50 PAR<br>SYMBOL VLKAY, CUSIP. 928662303 | | | | | | |
| 164.000 | VOLKSWAGEN AG-SPONSORED ADR<br>REPSTG ORD DM 50 PAR<br>SYMBOL VLKAY, CUSIP 928662303 | ☐ | 10/15/13 | 07/09/14 | 8,208.01 | 7,494.80 | 713.21 |
| 10.000 | WASHINGTON PRIME GROUP INC<br>COM<br>CUSIP 939647103 | ☐ | 04/01/14 | 06/12/14 | 191.35 | 196.41 | -5.06 |
| 17.500 | WASHINGTON PRIME GROUP INC<br>COM<br>CUSIP. 939647103 | ☐ | 02/24/14 | 06/12/14 | 334.85 | 336 49 | -1 64 |
| 34.500 | WASHINGTON PRIME GROUP INC<br>COM<br>CUSIP 939647103 | ☐ | 10/17/13 | 06/12/14 | 660.14 | 654.85 | 5.29 |
| | **Short-Term Subtotal** | | | | Short Term Gain $40,689.39 | | |

### Long-Term

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 9.000 | AMERICAN EXPRESS COMPANY SYMBOL AXP, CUSIP: 025816109 | ☐ | 10/24/11 | 10/02/14 | 772 65 | 448 65 | 324.00 |
| 13.000 | AMERICAN EXPRESS COMPANY SYMBOL AXP, CUSIP 025816109 | ☐ | 12/13/11 | 10/02/14 | 1,116 04 | 614.12 | 501 92 |
| 20 000 | AMERICAN EXPRESS COMPANY SYMBOL AXP, CUSIP 025816109 | ☐ | 08/19/11 | 10/02/14 | 1,716.99 | 895.80 | 821.19 |
| 221.000 | AMERICAN EXPRESS COMPANY SYMBOL AXP, CUSIP 025816109 | ☑ | 10/16/09 | 10/02/14 | 18,972.76 | 7,758.94 | 11,213.82 |
| 90 000 | ANADARKO PETROLEUM CORP SYMBOL APC, CUSIP 032511107 | ☐ | 06/04/13 | 10/14/14 | 7,617.43 | 7,881.30 | -263.87 |
| 17.000 | APPLE INC SYMBOL AAPL, CUSIP 037833100 | ☐ | 10/17/13 | 10/20/14 | 1,698.43 | 1,225.60 | 472.83 |
| 51.000 | BOEING CO SYMBOL BA, CUSIP 097023105 | ☑ | 01/22/10 | 07/17/14 | 6,426 88 | 2,950.26 | 3,476.62 |
| 36.000 | BOEING CO SYMBOL. BA, CUSIP 097023105 | ☑ | 01/22/10 | 07/24/14 | 4,478.70 | 2,082.53 | 2,396 17 |
| 7.000 | BOEING CO SYMBOL BA, CUSIP 097023105 | ☐ | 08/19/11 | 09/16/14 | 892 21 | 403.76 | 488.45 |
| 56.000 | BOEING CO SYMBOL BA, CUSIP 097023105 | ☑ | 01/22/10 | 09/16/14 | 7,137.66 | 3,239.50 | 3,898.16 |
| 0.800 | CALIFORNIA RESOURCES CORPORATION SYMBOL CRC, CUSIP 13057Q107 | ☑ | 12/08/08 | 11/30/14 | 5.07 | 3.61 | 1.46 |
| 4.400 | CALIFORNIA RESOURCES CORPORATION SYMBOL CRC, CUSIP 13057Q107 | ☐ | 01/28/13 | 12/16/14 | 25.04 | 32.13 | -7.09 |

**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 0.400 | CALIFORNIA RESOURCES CORPORATION SYMBOL CRC, CUSIP· 13057Q107 | ☑ | 12/08/08 | 12/16/14 | 2.28 | 1.80 | 0.47 |
| 24 000 | CALIFORNIA RESOURCES CORPORATION SYMBOL CRC, CUSIP· 13057Q107 | ☑ | 03/31/09 | 12/16/14 | 136.59 | 118.66 | 17.92 |
| 88 000 | CATERPILLAR INC SYMBOL CAT, CUSIP 149123101 | ☐ | 06/19/13 | 10/14/14 | 8,162.69 | 7,433.99 | 728.70 |
| 37 000 | CITIGROUP INC COM SYMBOL C, CUSIP. 172967424 | ☐ | 02/23/12 | 11/11/14 | 1,990.62 | 1,204.84 | 785 78 |
| 9 000 | CITIGROUP INC COM SYMBOL C, CUSIP: 172967424 | ☐ | 02/23/12 | 12/08/14 | 506 77 | 293.07 | 213.70 |
| 7.000 | COMCAST CORP CL A SYMBOL CMCSA, CUSIP 20030N101 | ☐ | 08/08/11 | 07/10/14 | 379.95 | 144.70 | 235.25 |
| 7.000 | COMCAST CORP CL A SYMBOL. CMCSA, CUSIP. 20030N101 | ☐ | 08/08/11 | 09/10/14 | 399.20 | 144.70 | 254.50 |
| 150.000 | COMCAST CORP CL A SYMBOL CMCSA, CUSIP 20030N101 | ☐ | 08/08/11 | 11/11/14 | 7,947 12 | 3,100.66 | 4,846 46 |
| 125 000 | COMCAST CORP CL A SYMBOL CMCSA, CUSIP. 20030N101 | ☐ | 01/28/13 | 11/13/14 | 6,783 79 | 4,950.84 | 1,832.95 |
| 61.000 | COMCAST CORP CL A SYMBOL· CMCSA, CUSIP 20030N101 | ☐ | 08/19/11 | 11/13/14 | 3,310.49 | 1,225.49 | 2,085.00 |
| 212.000 | COMCAST CORP CL A SYMBOL: CMCSA, CUSIP 20030N101 | ☐ | 08/08/11 | 11/13/14 | 11,505.30 | 4,382.27 | 7,123.03 |
| 6.000 | CONOCOPHILLIPS SYMBOL: COP, CUSIP 20825C104 | ☐ | 06/21/12 | 08/15/14 | 484.56 | 316.74 | 167.82 |
| 23.000 | CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104 | ☑ | 06/18/10 | 08/15/14 | 1,857.49 | 991.75 | 865.73 |
| 36.000 | CONOCOPHILLIPS SYMBOL· COP, CUSIP. 20825C104 | ☑ | 11/02/10 | 08/15/14 | 2,907.37 | 1,649.88 | 1,257.49 |

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 119 000 | CONOCOPHILLIPS<br>SYMBOL COP, CUSIP: 20825C104 | ☑ | 05/28/10 | 08/15/14 | 9,610.47 | 4,775.22 | 4,835.25 |
| 328 000 | CONOCOPHILLIPS<br>SYMBOL COP, CUSIP. 20825C104 | ☐ | 06/21/12 | 10/16/14 | 21,971 01 | 17,315 12 | 4,655.89 |
| 507.000 | CORNING INC<br>SYMBOL GLW, CUSIP 219350105 | ☐ | 11/15/13 | 11/18/14 | 10,389.21 | 8,624.07 | 1,765.14 |
| 31.000 | COVIDIEN PLC<br>CUSIP G2554F113 | ☑ | 01/14/09 | 06/19/14 | 2,832.55 | 1,016 69 | 1,815.86 |
| 30.000 | COVIDIEN PLC<br>CUSIP G2554F113 | ☑ | 03/03/09 | 06/19/14 | 2,741.18 | 833.64 | 1,907.54 |
| 5 000 | COVIDIEN PLC<br>CUSIP G2554F113 | ☑ | 03/03/09 | 10/06/14 | 468.88 | 138.94 | 329.94 |
| 26.000 | COVIDIEN PLC<br>CUSIP. G2554F113 | ☑ | 03/03/09 | 10/08/14 | 2,455.93 | 722.49 | 1,733.44 |
| 37.000 | COVIDIEN PLC<br>CUSIP G2554F113 | ☑ | 11/02/10 | 10/08/14 | 3,494.97 | 1,344.88 | 2,150 09 |
| 67.000 | COVIDIEN PLC<br>CUSIP G2554F113 | ☑ | 06/18/10 | 10/08/14 | 6,328.74 | 2,613.33 | 3,715.41 |
| 81.000 | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | ☑ | 08/16/10 | 07/24/14 | 6,393.76 | 2,321 59 | 4,072.17 |
| 6.000 | CVS HEALTH CORPORATION<br>SYMBOL. CVS, CUSIP 126650100 | ☑ | 08/16/10 | 10/10/14 | 497 08 | 171.97 | 325.11 |
| 58.000 | CVS HEALTH CORPORATION<br>SYMBOL. CVS, CUSIP. 126650100 | ☑ | 08/16/10 | 12/26/14 | 5,697.22 | 1,662.37 | 4,034.85 |
| 167 000 | DISCOVER FINANCIAL SERVICES<br>SYMBOL DFS, CUSIP 254709108 | ☐ | 03/19/13 | 07/09/14 | 10,517.44 | 7,357.23 | 3,160.21 |
| 146 000 | DOLLAR GENERAL CORPORATION<br>SYMBOL DG, CUSIP. 256677105 | ☐ | 06/19/13 | 09/26/14 | 8,990 77 | 7,521.82 | 1,468.95 |
| 10 000 | EATON CORPORATION PLC<br>SYMBOL ETN, CUSIP G29183103 | ☑ | 12/03/12 | 08/01/14 | 671.70 | 495.59 | 176 11 |
| 19.000 | EDISON INTERNATIONAL<br>SYMBOL EIX, CUSIP 281020107 | ☐ | 02/04/13 | 07/11/14 | 1,076.54 | 904.59 | 171.95 |
| 41.000 | EDISON INTERNATIONAL<br>SYMBOL EIX, CUSIP 281020107 | ☐ | 01/28/13 | 07/11/14 | 2,323.07 | 1,917.16 | 405.91 |
| 106.000 | EMC CORP-MASS<br>SYMBOL EMC, CUSIP 268648102 | ☐ | 03/26/13 | 06/12/14 | 2,810.20 | 2,516.42 | 293.78 |

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 264 000 | FREEPORT MCMORAN INC<br>SYMBOL. FCX, CUSIP 35671D857 | ☑ | 05/15/09 | 06/19/14 | 9,150 43 | 5,957.18 | 3,193.25 |
| 84.000 | GILEAD SCIENCES INC<br>SYMBOL GILD, CUSIP 375558103 | ☐ | 04/20/12 | 06/12/14 | 6,755.30 | 2,149.59 | 4,605.71 |
| 6 000 | GILEAD SCIENCES INC<br>SYMBOL· GILD, CUSIP 375558103 | ☐ | 04/20/12 | 07/07/14 | 523.36 | 153.54 | 369 82 |
| 4.000 | GILEAD SCIENCES INC<br>SYMBOL GILD, CUSIP 375558103 | ☐ | 04/20/12 | 07/23/14 | 361.71 | 102.36 | 259.35 |
| 5.000 | GILEAD SCIENCES INC<br>SYMBOL GILD, CUSIP 375558103 | ☐ | 04/20/12 | 07/30/14 | 469.03 | 127.95 | 341.08 |
| 6.000 | GILEAD SCIENCES INC<br>SYMBOL: GILD, CUSIP 375558103 | ☐ | 04/20/12 | 08/18/14 | 602 80 | 153 54 | 449.26 |
| 4 000 | GILEAD SCIENCES INC<br>SYMBOL· GILD, CUSIP 375558103 | ☐ | 04/20/12 | 08/26/14 | 424.99 | 102.36 | 322.63 |
| 49.000 | GILEAD SCIENCES INC<br>SYMBOL GILD, CUSIP 375558103 | ☐ | 04/20/12 | 10/20/14 | 5,003 47 | 1,253.93 | 3,749.55 |
| 52.000 | GILEAD SCIENCES INC<br>SYMBOL. GILD, CUSIP· 375558103 | ☐ | 08/08/12 | 10/20/14 | 5,309 81 | 1,478.10 | 3,831.71 |
| 127 000 | GILEAD SCIENCES INC<br>SYMBOL GILD, CUSIP. 375558103 | ☐ | 10/17/13 | 10/20/14 | 12,968.19 | 8,552.18 | 4,416.01 |

SAIBEL FOUNDATION
PARAMETRIC PORTFOLIO ASSOCIATE
835 BLOOMFIELD AVENUE
CLIFTON NJ 07012-1146



**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 204.000 | INTEL CORP<br>SYMBOL INTC, CUSIP 458140100 | ☐ | 07/12/13 | 07/18/14 | 6,880.97 | 4,856 53 | 2,024 44 |
| 50 000 | INTEL CORP<br>SYMBOL· INTC, CUSIP 458140100 | ☐ | 12/05/13 | 12/08/14 | 1,855 45 | 1,213.00 | 642.45 |
| 222 000 | INVESCO LTD<br>SYMBOL. IVZ, CUSIP G491BT108 | ☐ | 07/12/13 | 08/25/14 | 9,026.60 | 7,052.74 | 1,973 86 |
| 25.000 | INVESCO LTD<br>SYMBOL IVZ, CUSIP. G491BT108 | ☐ | 10/17/13 | 10/27/14 | 948.28 | 811.50 | 136.78 |
| 1.000 | JPMORGAN CHASE & CO<br>SYMBOL JPM, CUSIP 46625H100 | ☑ | 12/04/08 | 07/09/14 | 55.97 | 30.70 | 25.28 |
| 30 000 | JPMORGAN CHASE & CO<br>SYMBOL JPM, CUSIP 46625H100 | ☑ | 06/27/08 | 07/09/14 | 1,679.12 | 1,047 17 | 631.95 |
| 8.000 | JPMORGAN CHASE & CO<br>SYMBOL JPM, CUSIP 46625H100 | ☑ | 12/04/08 | 07/17/14 | 463.34 | 245.56 | 217.78 |
| 6.000 | JPMORGAN CHASE & CO<br>SYMBOL· JPM, CUSIP. 46625H100 | ☑ | 12/04/08 | 09/19/14 | 367.25 | 184.17 | 183.08 |
| 23.000 | LYONDELLBASELL INDUSTRIES<br>N V CL A<br>SYMBOL LYB, CUSIP N53745100 | ☐ | 07/12/12 | 06/30/14 | 2,244.31 | 895.18 | 1,349 13 |
| 6.000 | LYONDELLBASELL INDUSTRIES<br>N V CL A<br>SYMBOL· LYB, CUSIP N53745100 | ☐ | 07/12/12 | 07/23/14 | 614.80 | 233.52 | 381.28 |
| 4.000 | LYONDELLBASELL INDUSTRIES<br>N V CL A<br>SYMBOL LYB, CUSIP. N53745100 | ☐ | 07/12/12 | 07/28/14 | 438 99 | 155.68 | 283.31 |
| 4 000 | LYONDELLBASELL INDUSTRIES<br>N V CL A<br>SYMBOL LYB, CUSIP N53745100 | ☐ | 07/12/12 | 08/07/14 | 431 79 | 155 68 | 276.11 |
| 50.000 | LYONDELLBASELL INDUSTRIES<br>N V CL A<br>SYMBOL LYB, CUSIP N53745100 | ☐ | 07/12/12 | 09/02/14 | 5,716.03 | 1,946.04 | 3,769.99 |
| 59.000 | LYONDELLBASELL INDUSTRIES<br>N V CL A<br>SYMBOL LYB, CUSIP N53745100 | ☐ | 03/19/13 | 12/05/14 | 4,762.67 | 3,765.40 | 997.27 |

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 152.000 | LYONDELLBASELL INDUSTRIES N V CL A SYMBOL LYB, CUSIP N53745100 | ☐ | 07/12/12 | 12/05/14 | 12,269.93 | 5,915.95 | 6,353.97 |
| 219.000 | MARATHON OIL CORP SYMBOL MRO, CUSIP 565849106 | ☐ | 05/16/13 | 07/09/14 | 8,663 53 | 7,694.98 | 968.55 |
| 175.000 | MARATHON OIL CORP SYMBOL MRO, CUSIP. 565849106 | ☐ | 03/19/13 | 07/09/14 | 6,922 91 | 5,923 70 | 999.21 |
| 131.000 | MARATHON OIL CORP SYMBOL MRO, CUSIP: 565849106 | ☐ | 05/21/12 | 07/09/14 | 5,182.29 | 3,212.12 | 1,970.17 |
| 53.000 | MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105 | ☑ | 06/18/10 | 08/25/14 | 3,167.36 | 1,888.92 | 1,278.44 |
| 89.000 | MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105 | ☑ | 09/01/10 | 08/25/14 | 5,318.77 | 3,163.41 | 2,155.36 |
| 301.000 | METLIFE INC SYMBOL. MET, CUSIP 59156R108 | ☐ | 07/12/13 | 10/16/14 | 14,451.08 | 14,754.54 | -303.46 |
| 212 000 | METLIFE INC SYMBOL· MET, CUSIP 59156R108 | ☐ | 08/14/13 | 10/16/14 | 10,178.17 | 10,334 32 | -156.15 |
| 49.000 | MORGAN STANLEY SYMBOL MS, CUSIP 617446448 | ☐ | 05/16/13 | 07/11/14 | 1,538.56 | 1,204.24 | 334.32 |
| 15 000 | MORGAN STANLEY SYMBOL: MS, CUSIP. 617446448 | ☐ | 05/16/13 | 07/23/14 | 500.10 | 368.64 | 131.46 |
| 275.000 | MORGAN STANLEY SYMBOL· MS, CUSIP 617446448 | ☐ | 05/16/13 | 08/06/14 | 8,654.56 | 6,758.48 | 1,896.08 |
| 256.000 | MORGAN STANLEY SYMBOL: MS, CUSIP 617446448 | ☐ | 05/16/13 | 08/27/14 | 8,727.45 | 6,291.53 | 2,435.92 |
| 103.000 | MORGAN STANLEY SYMBOL MS, CUSIP 617446448 | ☐ | 06/19/13 | 09/23/14 | 3,609 13 | 2,693 45 | 915.68 |
| 98.000 | MORGAN STANLEY SYMBOL. MS, CUSIP 617446448 | ☐ | 05/16/13 | 09/23/14 | 3,433.93 | 2,408 48 | 1,025 45 |
| 279.000 | MORGAN STANLEY SYMBOL· MS, CUSIP 617446448 | ☐ | 09/10/13 | 09/23/14 | 9,776 19 | 7,814.79 | 1,961.40 |
| 21.000 | NESTLE SA-SPONSORED ADR | ☑ | 02/25/08 | 12/26/14 | 1,549.99 | 917.76 | 632.23 |

**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| | REPSTG REGD ORD (SF 10 PAR) SYMBOL NSRGY, CUSIP 641069406 | | | | | | |
| 31.000 | NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL NSRGY, CUSIP 641069406 | ☑ | 09/06/07 | 12/26/14 | 2,288 09 | 1,324.24 | 963.85 |
| 52.000 | NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL NSRGY, CUSIP 641069406 | ☑ | 02/20/08 | 12/26/14 | 3,838.08 | 2,238 60 | 1,599 48 |
| 141.000 | NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL NSRGY, CUSIP 641069406 | ☑ | 07/23/09 | 12/26/14 | 10,407 10 | 5,663.97 | 4,743.13 |
| 88 000 | NEXTERA ENERGY INC SYMBOL NEE, CUSIP. 65339F101 | ☐ | 04/18/12 | 07/24/14 | 8,684.87 | 5,523.61 | 3,161.26 |
| 88.000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☑ | 09/06/07 | 07/30/14 | 8,652.14 | 5,208.72 | 3,443.42 |
| 86.000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☑ | 09/06/07 | 09/22/14 | 8,334.07 | 5,090.34 | 3,243.73 |
| 20.000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☑ | 12/08/08 | 11/24/14 | 1,764.76 | 1,035.46 | 729.30 |
| 72 000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☑ | 09/06/07 | 11/24/14 | 6,353 14 | 4,261.68 | 2,091.46 |
| 11.000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☐ | 01/28/13 | 12/05/14 | 895.38 | 889.89 | 5.49 |
| 3.000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☑ | 12/08/08 | 12/05/14 | 244.20 | 149.91 | 94.29 |
| 60.000 | OCCIDENTAL PETE CORP SYMBOL OXY, CUSIP 674599105 | ☑ | 03/31/09 | 12/05/14 | 4,883.90 | 3,286.34 | 1,597.57 |
| 14 000 | PFIZER INC SYMBOL PFE, CUSIP 717081103 | ☑ | 06/01/09 | 08/20/14 | 404 62 | 208.59 | 196.02 |
| 547.000 | PFIZER INC SYMBOL PFE, CUSIP 717081103 | ☑ | 03/31/09 | 08/20/14 | 15,809 04 | 7,549 31 | 8,259.73 |
| 241.000 | PFIZER INC SYMBOL PFE, CUSIP 717081103 | ☑ | 08/16/10 | 09/23/14 | 7,259 00 | 3,860.58 | 3,398.42 |
| 541.000 | PFIZER INC SYMBOL PFE, CUSIP 717081103 | ☑ | 06/01/09 | 09/23/14 | 16,295.09 | 8,060.69 | 8,234.41 |

**CORRECTED COPY:** March 17, 2015

## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 7.000 | PHILLIPS 66<br>COM<br>SYMBOL PSX, CUSIP 718546104 | ☑ | 03/24/11 | 09/02/14 | 606 54 | 274.85 | 331.69 |
| 9.000 | PHILLIPS 66<br>COM<br>SYMBOL. PSX, CUSIP 718546104 | ☑ | 11/02/10 | 09/02/14 | 779 83 | 245.16 | 534 67 |
| 17 000 | PHILLIPS 66<br>COM<br>SYMBOL PSX, CUSIP 718546104 | ☐ | 06/21/12 | 09/02/14 | 1,473 01 | 571.42 | 901 59 |
| 11.000 | PUBLIC STORAGE<br>SYMBOL. PSA, CUSIP 74460D109 | ☐ | 08/08/12 | 09/22/14 | 1,801 21 | 1,596.66 | 204.55 |
| 944.000 | REGIONS FINANCIAL CORP<br>SYMBOL RF, CUSIP. 7591EP100 | ☐ | 02/22/12 | 10/08/14 | 9,422.80 | 5,497.58 | 3,925.22 |
| 154.000 | REGIONS FINANCIAL CORP<br>SYMBOL RF, CUSIP 7591EP100 | ☐ | 08/08/12 | 10/16/14 | 1,396.75 | 1,065 68 | 331.07 |
| 347.000 | REGIONS FINANCIAL CORP<br>SYMBOL RF, CUSIP 7591EP100 | ☐ | 02/22/12 | 10/16/14 | 3,147 22 | 2,020.82 | 1,126.39 |
| 956.000 | REGIONS FINANCIAL CORP<br>SYMBOL RF, CUSIP 7591EP100 | ☐ | 12/21/12 | 10/16/14 | 8,670.72 | 6,806.72 | 1,864.00 |
| 222.000 | SANOFI<br>SPONSORED ADR<br>SYMBOL SNY, CUSIP 80105N105 | ☐ | 03/20/13 | 10/31/14 | 10,286.94 | 11,270.78 | -983.84 |
| 154.000 | SANOFI<br>SPONSORED ADR<br>SYMBOL. SNY, CUSIP 80105N105 | ☐ | 03/19/13 | 10/31/14 | 7,135.99 | 7,590.49 | -454.50 |
| 2 000 | SANOFI<br>SPONSORED ADR<br>SYMBOL SNY, CUSIP. 80105N105 | ☐ | 03/20/13 | 11/25/14 | 95 33 | 101.54 | -6.21 |

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 85.000 | SEMPRA ENERGY<br>SYMBOL SRE, CUSIP 816851109 | ☑ | 05/03/10 | 07/24/14 | 8,677 69 | 4,290.49 | 4,387.20 |
| 25 000 | SHIRE PLC<br>AMERICAN DEPOSITARY SHARES<br>SYMBOL SHPG, CUSIP 82481R106 | ☐ | 06/04/13 | 07/11/14 | 6,213.09 | 2,467.93 | 3,745 16 |
| 2.000 | SHIRE PLC<br>AMERICAN DEPOSITARY SHARES<br>SYMBOL SHPG, CUSIP: 82481R106 | ☐ | 06/04/13 | 07/14/14 | 508.22 | 197.43 | 310.79 |
| 57.000 | SHIRE PLC<br>AMERICAN DEPOSITARY SHARES<br>SYMBOL· SHPG, CUSIP: 82481R106 | ☐ | 06/04/13 | 07/18/14 | 14,662.65 | 5,626.88 | 9,035.77 |
| 46.000 | SIMON PROPERTY GROUP INC<br>SYMBOL· SPG, CUSIP· 828806109 | ☐ | 10/17/13 | 10/21/14 | 7,903 71 | 6,859.03 | 1,044.67 |
| 65.000 | STRYKER CORP<br>SYMBOL. SYK, CUSIP 863667101 | ☐ | 03/19/13 | 07/24/14 | 5,260.34 | 4,205.75 | 1,054.59 |
| 40.000 | STRYKER CORP<br>SYMBOL SYK, CUSIP. 863667101 | ☐ | 03/19/13 | 09/02/14 | 3,323.12 | 2,588.16 | 734.96 |
| 67 000 | SUNTRUST BANKS INC<br>SYMBOL STI, CUSIP: 867914103 | ☐ | 08/14/13 | 10/02/14 | 2,523.26 | 2,345.63 | 177 63 |
| 353.000 | SUNTRUST BANKS INC<br>SYMBOL STI, CUSIP 867914103 | ☐ | 06/04/13 | 10/02/14 | 13,294.18 | 11,338.40 | 1,955.78 |
| 80.000 | THERMO FISHER SCIENTIFIC INC<br>SYMBOL. TMO, CUSIP 883556102 | ☑ | 12/19/08 | 10/23/14 | 9,322.20 | 2,600.71 | 6,721.49 |

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

| QUANTITY | DESCRIPTION | NON-COVERED | OPEN DATE | CLOSE DATE | NET PROCEEDS | NET COST | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 84 000 | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP 907818108 | ☑ | 11/10/09 | 06/30/14 | 8,380.66 | 2,606 61 | 5,774 05 |
| 4.000 | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP 907818108 | ☑ | 11/10/09 | 07/23/14 | 410.60 | 124.12 | 286.48 |
| 206.000 | UNION PACIFIC CORP<br>SYMBOL: UNP, CUSIP. 907818108 | ☑ | 11/10/09 | 07/29/14 | 20,539.53 | 6,392.40 | 14,147.13 |
| 14 000 | WALT DISNEY CO<br>SYMBOL DIS, CUSIP 254687106 | ☐ | 08/11/11 | 07/17/14 | 1,191 51 | 460.32 | 731.19 |
| 19 000 | WALT DISNEY CO<br>SYMBOL· DIS, CUSIP· 254687106 | ☐ | 08/11/11 | 07/18/14 | 1,628 68 | 624.72 | 1,003.96 |
| 50.000 | WALT DISNEY CO<br>SYMBOL DIS, CUSIP 254687106 | ☐ | 08/19/11 | 07/18/14 | 4,286 00 | 1,598 50 | 2,687 50 |
| 9.000 | WALT DISNEY CO<br>SYMBOL DIS, CUSIP. 254687106 | ☐ | 10/24/11 | 07/24/14 | 780.94 | 317.88 | 463 06 |
| 44.000 | WALT DISNEY CO<br>SYMBOL DIS, CUSIP 254687106 | ☐ | 08/19/11 | 07/24/14 | 3,817.91 | 1,406.68 | 2,411.23 |
| 4.000 | WALT DISNEY CO<br>SYMBOL DIS, CUSIP: 254687106 | ☐ | 10/24/11 | 08/05/14 | 346 31 | 141.28 | 205.03 |
| 26 000 | WALT DISNEY CO<br>SYMBOL DIS, CUSIP· 254687106 | ☐ | 12/13/11 | 08/25/14 | 2,350.71 | 942.86 | 1,407 85 |
| 60.000 | WALT DISNEY CO<br>SYMBOL: DIS, CUSIP. 254687106 | ☐ | 10/24/11 | 08/25/14 | 5,424.70 | 2,119 20 | 3,305.50 |

Long Term Gain: $248,986.48

SAIBEL FOUNDATION
PARAMETRIC PORTFOLIO ASSOCIATE

For Period: 1/1/15-5/31/15

# MANAGED ACCOUNT PROGRAM
# ACCOUNT STATEMENT

MAY 1, 2015 - MAY 31, 2015



## SCHEDULE OF REALIZED GAINS AND LOSSES

| | PRIOR PERIOD | THIS PERIOD | ADJUSTMENTS | THIS YEAR |
|---|---|---|---|---|
| Total Realized Gain or Loss | 30,984.91 | 6,650.14 | 0 00 | 37,635.05 |
| Short-term | 6,830.25 | 3,782 12 | 0.00 | 10,612 37 |
| Long-term | 24,154.66 | 2,868.02 | 0.00 | 27,022 68 |

| QUANTITY | DESCRIPTION | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| Short Term | | | | | | | |
| 43.000 | ACE LIMITED | ACE | 11/18/14 | 4,788.48 | 03/20/15 | 4,887.72 | 99.24 V |
| 42.000 | ACE LIMITED | ACE | 02/03/15 | 4,656 11 | 03/20/15 | 4,774.06 | 117.95 V |
| 36.000 | ACE LIMITED | ACE | 02/03/15 | 3,990.96 | 04/30/15 | 3,847.96 | -143 00 V |
| 5.000 | ACE LIMITED | ACE | 02/03/15 | 554.30 | 05/04/15 | 537.19 | -17.11 V |
| 43.000 | AFFILIATED MANAGERS GROUP INC | AMG | 05/02/14 | 8,461.47 | 04/30/15 | 9,731.43 | 1,269.96 V |
| 5.000 | AFFILIATED MANAGERS GROUP INC | AMG | 11/14/14 | 984.35 | 04/30/15 | 1,131.56 | 147.21 V |
| 14.000 | AFFILIATED MANAGERS GROUP INC | AMG | 03/12/15 | 2,989.42 | 04/30/15 | 3,168.37 | 178 95 V |
| 94.000 | ANADARKO PETROLEUM CORP | APC | 05/02/14 | 9,378.76 | 03/23/15 | 7,648.64 | -1,730.12 V |
| 63.000 | ANADARKO PETROLEUM CORP | APC | 11/14/14 | 5,701.88 | 03/23/15 | 5,126.21 | -575.67 V |
| 103.000 | ANADARKO PETROLEUM CORP | APC | 04/23/15 | 9,656.66 | 05/04/15 | 9,654.01 | -2.65 V |
| 5.000 | BANK OF AMERICA CORP | BAC | 07/09/14 | 77.95 | 03/05/15 | 80.00 | 2.05 V |
| 829 000 | BANK OF AMERICA CORP | BAC | 07/09/14 | 12,924.11 | 04/01/15 | 12,808.64 | -115.47 V |
| 67.000 | C H ROBINSON WORLDWIDE INC | CHRW | 08/20/14 | 4,592.82 | 05/04/15 | 4,427.27 | -165.55 V |
| 20.000 | CATERPILLAR INC | CAT | 04/01/14 | 1,994.40 | 01/14/15 | 1,721.82 | -272.58 |
| 154.000 | CATERPILLAR INC | CAT | 06/04/14 | 16,078.96 | 01/14/15 | 13,258 03 | -2,820.93 |
| 79.000 | CATERPILLAR INC | CAT | 11/14/14 | 8,009.02 | 01/14/15 | 6,801 20 | -1,207.82 |
| 68.000 | CBS CORP CLASS B | CBS | 03/04/15 | 4,258.65 | 04/20/15 | 4,165.06 | -93 59 V |
| 24.000 | CBS CORP CLASS B | CBS | 03/04/15 | 1,503.05 | 05/04/15 | 1,502.85 | -0 20 V |
| 48.000 | CBS CORP CLASS B | CBS | 03/04/15 | 3,006.11 | 05/13/15 | 2,866.02 | -140.09 V |
| 13.000 | CHEVRON CORPORATION | CVX | 11/06/14 | 1,538.16 | 04/14/15 | 1,416.22 | -121.94 V |
| 8.000 | CHEVRON CORPORATION | CVX | 11/14/14 | 930.16 | 04/14/15 | 871.52 | -58 64 V |
| 159.000 | COVIDIEN PLC | G2554F113 | 11/06/14 | 14,694.78 | 01/27/15 | 17,291.92 | 2,597.14 |
| 87 000 | COVIDIEN PLC | G2554F113 | 12/04/14 | 8,925.39 | 01/27/15 | 9,461.62 | 536 23 |
| 308.000 | CREDIT SUISSE GROUP SPONSORED ADR | CS | 04/01/15 | 8,506 83 | 04/23/15 | 8,211.37 | -295.46 V |

| QUANTITY | DESCRIPTION | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | |
| 52.000 | CREDIT SUISSE GROUP SPONSORED ADR | CS | 04/01/15 | 1,436.22 | 05/13/15 | 1,400.86 | -35.36 V |
| 573.000 | CSX CORP | CSX | 07/30/14 | 17,447.28 | 03/02/15 | 19,848.92 | 2,401.64 V |
| 161.000 | DEVON ENERGY CORPORATION NEW | DVN | 05/02/14 | 11,322.03 | 02/20/15 | 10,242.65 | -1,079.38 |
| 39.000 | DEVON ENERGY CORPORATION NEW | DVN | 05/02/14 | 2,742.60 | 04/23/15 | 2,589.94 | -152.66 V |
| 49.000 | DEVON ENERGY CORPORATION NEW | DVN | 07/29/14 | 3,797.99 | 04/23/15 | 3,254.03 | -543.96 V |
| 45.000 | DEVON ENERGY CORPORATION NEW | DVN | 08/11/14 | 3,339.90 | 04/23/15 | 2,988.40 | -351 50 V |
| 226.000 | DISCOVER FINANCIAL SERVICES | DFS | 02/06/14 | 12,168.96 | 01/27/15 | 12,598.19 | 429.23 |
| 123.000 | ELI LILLY & CO | LLY | 08/20/14 | 7,633.14 | 03/02/15 | 8,740.36 | 1,107.22 V |
| 16.000 | ELI LILLY & CO | LLY | 08/20/14 | 992.93 | 05/20/15 | 1,174.69 | 181.76 V |
| 39.000 | ELI LILLY & CO | LLY | 11/06/14 | 2,615.73 | 03/02/15 | 2,771.33 | 155.60 V |
| 49.000 | EQUITY RESIDENTIAL | EQR | 09/23/14 | 3,052.96 | 02/03/15 | 3,812.30 | 759.34 |
| 18.000 | EQUITY RESIDENTIAL | EQR | 03/12/15 | 1,387.34 | 05/04/15 | 1,353.57 | -33.77 V |
| 632.000 | ERICSSON ADR CL B SEK 10 | ERIC | 06/19/14 | 7,798.12 | 03/27/15 | 8,026.25 | 228.13 V |
| 750.000 | ERICSSON ADR CL B SEK 10 | ERIC | 10/14/14 | 8,646.00 | 03/27/15 | 9,524.82 | 878.82 V |
| 382.000 | ERICSSON ADR CL B SEK 10 | ERIC | 03/12/15 | 4,843.19 | 03/27/15 | 4,851.31 | 8.12 V |
| 66.000 | EXXON MOBIL CORP | XOM | 06/30/14 | 6,644.14 | 03/23/15 | 5,640.44 | -1,003.70 V |
| 14.000 | EXXON MOBIL CORP | XOM | 06/30/14 | 1,409.36 | 05/04/15 | 1,247.51 | -161.85 V |
| 11.000 | EXXON MOBIL CORP | XOM | 06/30/14 | 1,107.36 | 05/13/15 | 950 82 | -156.54 V |
| 38.000 | EXXON MOBIL CORP | XOM | 07/29/14 | 3,941.74 | 03/23/15 | 3,247.52 | -694.22 V |
| 331.000 | GENERAL ELECTRIC CO | GE | 11/18/14 | 8,939.98 | 02/20/15 | 8,332 04 | -607.94 |
| 429.000 | GENERAL ELECTRIC CO | GE | 04/14/15 | 11,887.16 | 05/13/15 | 11,622.59 | -264 57 V |
| 208.000 | GENERAL MILLS INC | GIS | 01/27/15 | 11,478.21 | 03/23/15 | 11,219.33 | -258.88 V |
| 217.000 | HALLIBURTON COMPANY | HAL | 12/26/14 | 8,643.09 | 03/27/15 | 9,343.86 | 700.77 V |
| 66.000 | HOME DEPOT INC | HD | 06/12/14 | 5,178.29 | 04/20/15 | 7,447.43 | 2,269.14 V |
| 34.000 | HOME DEPOT INC | HD | 06/12/14 | 2,667.61 | 04/30/15 | 3,636 41 | 968.80 V |
| 5.000 | HOME DEPOT INC | HD | 06/12/14 | 392.29 | 05/04/15 | 550.28 | 157.99 V |
| 4.000 | HOME DEPOT INC | HD | 06/12/14 | 313.84 | 05/13/15 | 444.51 | 130.67 V |
| 13.000 | HOME DEPOT INC | HD | 07/29/14 | 1,055.34 | 04/20/15 | 1,466.92 | 411.58 V |
| 29.000 | HOME DEPOT INC | HD | 11/06/14 | 2,825.47 | 04/20/15 | 3,272.36 | 446.89 V |
| 10.000 | HOME DEPOT INC | HD | 11/14/14 | 983.10 | 04/20/15 | 1,128.40 | 145.30 V |
| 216.000 | INTERNATIONAL PAPER CO | IP | 09/12/14 | 10,754.64 | 02/20/15 | 12,411.45 | 1,656.81 |
| 19.000 | KROGER CO | KR | 09/23/14 | 991.38 | 03/02/15 | 1,349 40 | 358.02 V |

SAIBEL FOUNDATION
PARAMETRIC PORTFOLIO ASSOCIATE

# MANAGED ACCOUNT PROGRAM
# ACCOUNT STATEMENT

MAY 1, 2015 - MAY 31, 2015



| QUANTITY | DESCRIPTION | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | |
| 7.000 | KROGER CO | KR | 09/23/14 | 365.25 | 03/09/15 | 525.27 | 160.02 V |
| 171.000 | KROGER CO | KR | 09/23/14 | 8,922.44 | 05/04/15 | 11,971.48 | 3,049.04 V |
| 5.000 | KROGER CO | KR | 09/23/14 | 260.89 | 05/15/15 | 364.54 | 103 65 V |
| 58.000 | KROGER CO | KR | 09/23/14 | 3,026.32 | 05/27/15 | 4,305 38 | 1,279.06 V |
| 0.176 | MEDTRONIC PLC COM | MDT | 01/27/15 | 13.54 | 01/28/15 | 13.20 | -0.34 |
| 114.000 | MEDTRONIC PLC COM | MDT | 02/20/15 | 8,968.21 | 04/20/15 | 8,792.88 | -175 33 V |
| 15.000 | MEDTRONIC PLC COM | MDT | 02/20/15 | 1,180 03 | 05/13/15 | 1,121.37 | -58.66 V |
| 60.000 | MERCK & CO INC | MRK | 08/20/14 | 3,571.68 | 03/23/15 | 3,532.73 | -38.95 V |
| 58.000 | MERCK & CO INC | MRK | 08/20/14 | 3,452.63 | 04/09/15 | 3,330.99 | -121.64 V |
| 7.000 | MERCK & CO INC | MRK | 08/20/14 | 416 70 | 04/29/15 | 418.52 | 1.82 V |
| 64.000 | MICROSOFT CORP | MSFT | 07/18/14 | 2,848.58 | 02/20/15 | 2,803.14 | -45.44 |
| 206.000 | MICROSOFT CORP | MSFT | 11/24/14 | 9,825.62 | 04/23/15 | 8,960 83 | -864.79 V |
| 187.000 | NUCOR CORP | NUE | 04/09/15 | 8,945.76 | 04/20/15 | 8,849 04 | -96.72 V |
| 36.000 | ORACLE CORPORATION | ORCL | 11/18/14 | 1,488.53 | 04/14/15 | 1,537.23 | 48.70 V |
| 210.000 | ORACLE CORPORATION | ORCL | 12/09/14 | 8,792 39 | 03/02/15 | 9,229.75 | 437.36 V |
| 31.000 | ORACLE CORPORATION | ORCL | 12/09/14 | 1,297.92 | 04/14/15 | 1,323.72 | 25.80 V |
| 244.000 | ORACLE CORPORATION | ORCL | 03/12/15 | 10,154 91 | 04/14/15 | 10,418.97 | 264.06 V |
| 266.000 | PFIZER INC | PFE | 03/02/15 | 9,227.14 | 05/13/15 | 8,911.57 | -315.57 V |
| 38.000 | PPG INDUSTRIES INC | PPG | 03/02/15 | 9,014.78 | 03/23/15 | 8,644.46 | -370.32 V |
| 24.000 | QUALCOMM INC | QCOM | 04/01/14 | 1,918.27 | 03/12/15 | 1,666.12 | -252.15 V |
| 50.000 | QUALCOMM INC | QCOM | 09/10/14 | 3,801.50 | 03/12/15 | 3,471.08 | -330 42 V |
| 77 000 | QUALCOMM INC | QCOM | 11/14/14 | 5,455.23 | 04/20/15 | 5,290 57 | -164.66 V |
| 111.000 | QUALCOMM INC | QCOM | 12/17/14 | 8,000.66 | 03/12/15 | 7,705 79 | -294.87 V |
| 38.000 | QUALCOMM INC | QCOM | 12/17/14 | 2,738.96 | 03/23/15 | 2,670.59 | -68.37 V |
| 15.000 | QUALCOMM INC | QCOM | 12/17/14 | 1,081.17 | 04/20/15 | 1,030.63 | -50.54 V |
| 268 000 | ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD | RHHBY | 10/16/14 | 9,280.84 | 03/02/15 | 9,098.43 | -182 41 V |
| 24.000 | ROYAL CARIBBEAN CRUISES LTD | RCL | 10/16/14 | 1,352.25 | 05/06/15 | 1,602.04 | 249.79 V |
| 10.000 | SEMPRA ENERGY | SRE | 11/14/14 | 1,087.40 | 05/13/15 | 1,038.48 | -48.92 V |
| 19 000 | SIMON PROPERTY GROUP INC | SPG | 07/17/14 | 3,224.26 | 01/27/15 | 3,888.27 | 664.01 |
| 94 000 | STRYKER CORP | SYK | 01/27/15 | 8,689.22 | 05/13/15 | 8,720.22 | 31.00 V |
| 115 000 | SYNGENTA AG SPONSORED ADR | SYT | 07/09/14 | 8,579.17 | 01/14/15 | 7,492.09 | -1,087.08 |

RBC Wealth Management®

A division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC.

# MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

MAY 1, 2015 - MAY 31, 2015



| QUANTITY | DESCRIPTION | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | |
| 28.000 | SYNGENTA AG SPONSORED ADR | SYT | 09/25/14 | 1,798.06 | 01/14/15 | 1,824.16 | 26.10 |
| 200.000 | SYNGENTA AG SPONSORED ADR | SYT | 09/25/14 | 12,843 27 | 04/09/15 | 14,055.05 | 1,211.78 V |
| 117.000 | TJX COMPANIES INC NEW | TJX | 05/16/14 | 6,860.71 | 03/02/15 | 8,142.03 | 1,281.32 V |
| 75.000 | TJX COMPANIES INC NEW | TJX | 08/18/14 | 4,048.37 | 03/02/15 | 5,219.25 | 1,170.88 V |
| 25.000 | TJX COMPANIES INC NEW | TJX | 08/18/14 | 1,349.46 | 04/30/15 | 1,609.22 | 259.76 V |
| 32.000 | TJX COMPANIES INC NEW | TJX | 11/06/14 | 2,064.96 | 03/02/15 | 2,226.88 | 161.92 V |
| 15.000 | TJX COMPANIES INC NEW | TJX | 11/14/14 | 935.85 | 03/02/15 | 1,043.85 | 108.00 V |
| 155 000 | TRINITY INDUSTRIES INC | TRN | 10/27/14 | 5,431.02 | 04/23/15 | 5,181.67 | -249 35 V |
| 107.000 | TRINITY INDUSTRIES INC | TRN | 10/27/14 | 3,066.56 | 05/04/15 | 3,066.56 | 0.00 V |
| 100.000 | TRINITY INDUSTRIES INC | TRN | 03/12/15 | 2,865.95 | 05/04/15 | 2,865.95 | 0.00 V |
| 43.000 | UNITED TECHNOLOGIES CORP | UTX | 01/27/15 | 5,143.53 | 04/20/15 | 5,016.71 | -126.82 V |
| 190.000 | VERIZON COMMUNICATIONS | VZ | 09/02/14 | 9,432.97 | 03/27/15 | 9,215.21 | -217.76 V |
| **Short Term Subtotal** | | | | | | | 10,612.37 |

| QUANTITY | DESCRIPTION | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| **Long Term** | | | | | | | |
| 81.000 | ACE LIMITED | ACE | 03/19/13 | 7,095.18 | 05/14/15 | 8,786.72 | 1,691.54 V |
| 650.000 | BANK OF AMERICA CORP | BAC | 01/27/14 | 10,652.72 | 03/05/15 | 10,400.46 | -252.26 V |
| 36.000 | CATERPILLAR INC | CAT | 06/19/13 | 3,041.18 | 01/14/15 | 3,099.28 | 58.10 |
| 26.000 | CATERPILLAR INC | CAT | 10/17/13 | 2,232.10 | 01/14/15 | 2,238.37 | 6.27 |
| 180.000 | CHEVRON CORPORATION | CVX | 10/24/11 | 19,104.19 | 01/14/15 | 18,749.07 | -355.12 |
| 36.000 | CHEVRON CORPORATION | CVX | 12/13/11 | 3,722.04 | 01/14/15 | 3,749.81 | 27.77 |
| 67.000 | CHEVRON CORPORATION | CVX | 12/13/11 | 6,927.12 | 04/14/15 | 7,298.98 | 371.86 V |
| 70.000 | CHEVRON CORPORATION | CVX | 06/21/12 | 7,008.16 | 04/14/15 | 7,625.80 | 617.64 V |
| 4.000 | CVS HEALTH CORPORATION | CVS | 08/16/10 | 114.65 | 01/12/15 | 389.55 | 274.90 |
| 28.000 | CVS HEALTH CORPORATION | CVS | 10/24/11 | 1,001.58 | 04/20/15 | 2,834.94 | 1,833.36 V |
| 4.000 | CVS HEALTH CORPORATION | CVS | 10/24/11 | 143.08 | 05/04/15 | 400.63 | 257.55 V |
| 4.000 | CVS HEALTH CORPORATION | CVS | 10/24/11 | 143.08 | 05/13/15 | 398.95 | 255.87 V |
| 8.000 | CVS HEALTH CORPORATION | CVS | 12/13/11 | 301.36 | 04/14/15 | 815.91 | 514.55 V |
| 16.000 | CVS HEALTH CORPORATION | CVS | 12/13/11 | 602.72 | 04/20/15 | 1,619.97 | 1,017.25 V |
| 18.000 | CVS HEALTH CORPORATION | CVS | 01/11/12 | 756.90 | 04/14/15 | 1,835.79 | 1,078.89 V |
| 52.000 | CVS HEALTH CORPORATION | CVS | 05/11/12 | 2,357.14 | 03/02/15 | 5,420.91 | 3,063.77 V |
| 58.000 | CVS HEALTH CORPORATION | CVS | 05/11/12 | 2,629.12 | 04/14/15 | 5,915.32 | 3,286.20 V |
| 203.000 | DISCOVER FINANCIAL SERVICES | DFS | 03/19/13 | 8,943.23 | 01/27/15 | 11,316.07 | 2,372.84 |

| QUANTITY | DESCRIPTION | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| **Long Term** | | | | | | | |
| 126.000 | HONEYWELL INTL INC | HON | 02/10/12 | 7,470.06 | 03/27/15 | 12,942.96 | 5,472.90 V |
| 23.000 | HONEYWELL INTL INC | HON | 06/12/12 | 1,288.23 | 03/27/15 | 2,362.60 | 1,074.37 V |
| 16.000 | HONEYWELL INTL INC | HON | 08/08/12 | 943.20 | 03/27/15 | 1,643.55 | 700.35 V |
| 255.000 | ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD | RHHBY | 01/28/13 | 6,975.53 | 01/21/15 | 9,167 04 | 2,191.51 |
| 66.000 | ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD | RHHBY | 10/17/13 | 2,247 63 | 03/02/15 | 2,240 66 | -6.97 V |
| 22.000 | ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD | RHHBY | 01/27/14 | 733.48 | 03/02/15 | 746.89 | 13.41 V |
| 112.000 | VERIZON COMMUNICATIONS | VZ | 03/19/13 | 5,481.04 | 03/27/15 | 5,432.12 | -48.92 V |
| 4.000 | WALT DISNEY CO | DIS | 12/13/11 | 145.06 | 01/07/15 | 370.43 | 225.37 |
| 4 000 | WALT DISNEY CO | DIS | 12/13/11 | 145.06 | 02/05/15 | 410.11 | 265.05 |
| 5.000 | WALT DISNEY CO | DIS | 12/13/11 | 181.32 | 03/13/15 | 532.89 | 351 57 V |
| 4.000 | WALT DISNEY CO | DIS | 12/13/11 | 145.06 | 05/04/15 | 444.11 | 299.05 V |
| 5.000 | WALT DISNEY CO | DIS | 12/13/11 | 181.32 | 05/13/15 | 545.33 | 364.01 V |
| **Long Term Subtotal** | | | | | | | 27,022.68 |
| TOTAL REALIZED GAIN OR LOSS | | | | | | | 37,635.05 |

*V - Cost basis for this tax lot was determined by specific lot identification methodology, based on instructions you provided or by your standing orders on file at the time of the trade*

## CASH SWEEP PROGRAM DETAIL

*• Transaction details are only provided for cash sweep programs that sweep funds into bank deposit accounts Please refer to the Asset Detail section of this statement for more information*

RBC BANK DEPOSIT PROGRAM
NOT SIPC COVERED

| DATE | DESCRIPTION | AMOUNT | DATE | DESCRIPTION | AMOUNT |
|---|---|---|---|---|---|
| 05/01/15 | BALANCE FORWARD | $18,068 27 | 05/01/15 | DEPOSIT | 684.28 |
| 05/04/15 | DEPOSIT | 940.80 | 05/06/15 | DEPOSIT | 1,125.96 |
| 05/11/15 | WITHDRAWAL | -2,031 97 | 05/11/15 | WITHDRAWAL | -10,097.20 |
| 05/13/15 | DEPOSIT | 38,021.41 | 05/13/15 | WITHDRAWAL | -30,000.00 |
| 05/18/15 | DEPOSIT | 29,215 85 | 05/18/15 | WITHDRAWAL | -30,000.00 |
| 05/19/15 | DEPOSIT | 8,786.72 | 05/26/15 | INTEREST REINVEST | 0.17 |
| 05/26/15 | WITHDRAWAL | -12,973.81 | 05/31/15 | ENDING BALANCE | $11,740.48 |

INCOME FROM MAY 1, 2015 - MAY 31, 2015. $0.17