



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

**2014**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2014 or tax year beginning JUNE 1, 2014, and ending MAY 31, 2015

Name of foundation: THE ROTHKOPF FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address): 210 STUART DR

Room/suite: \_\_\_\_\_

City or town, state or province, country, and ZIP or foreign postal code: NEW ROCHELLE, NY 10804

A Employer identification number: 13-6096781

B Telephone number (see instructions): 914-636-5602

C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 371,266.92

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	.97	.97		
4	Dividends and interest from securities	10120.47	10120.47		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	<b>Total.</b> Add lines 1 through 11	10121.41	10121.41		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	281.94			281.94
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	155.26			155.26
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	437.20	437.20		437.20
25	Contributions, gifts, grants paid	10513.45			10513.45
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	10950.65	437.20		10950.65
27	Subtract line 26 from line 12:				
a	<b>Excess of revenue over expenses and disbursements</b>	(729.24)			
b	<b>Net investment income</b> (if negative, enter -0-)		9684.21		
c	<b>Adjusted net income</b> (if negative, enter -0-)				

RECEIVED NOV 02 2015  
 REVENUE AND ADMINISTRATIVE EXPENSES  
 OCT 27 2015  
 REC IN BATCHING/ COMES OGDEN

2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing . . . . .	19915.13	6638.92	6638.92
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	311271.43	322299.84	364629.
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	331271.13	328938.76	371267.92	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶)			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>				
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/>				
	<b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	330448.93	328938.76		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	330448.93
2	Enter amount from Part I, line 27a . . . . .	2	(829.24)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 . . . . .	4	329619.69
5	Decreases not included in line 2 (itemize) ▶	5	680.93
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	328938.76

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) <i>XII 6</i> Adjusted qualifying distributions	(c) <i>X 5</i> Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	<i>6887.03</i>	<i>304554</i>	<i>.0227</i>
2012	<i>7249.79</i>	<i>263345.23</i>	<i>.0298</i>
2011	<i>5705.18</i>	<i>223944.66</i>	<i>.0249</i>
2010	<i>4317.28</i>	<i>205231.46</i>	<i>.0210</i>
2009	<i>4170.67</i>	<i>177452.23</i>	<i>.0235</i>

2	Total of line 1, column (d)	2	<i>.1221</i>
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	<i>.0244</i>
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	<i>345766.77</i>
5	Multiply line 4 by line 3	5	<i>8436.71</i>
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	<i>96.84</i>
7	Add lines 5 and 6	7	<i>8533.55</i>
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	<i>10950.65</i>

3

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: <b>(attach copy of letter if necessary—see instructions)</b>			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	96	84
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	96	84
6	Credits/Payments:			
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	96	84
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10		
11	Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
b		X
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6		
7		X
8a	Delaware + New York	
8b	X	
9		
10		X

2

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<input checked="" type="checkbox"/>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		<input checked="" type="checkbox"/>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13		
14	The books are in care of ▶ <b>HARRIET ROTHKOPF</b> Telephone no. ▶ <b>914 636-5602</b> Located at ▶ <b>210 STUART DR. New Rochelle, NY.</b> ZIP+4 ▶ <b>10804-1421</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶	16	Yes	No <input checked="" type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	<input checked="" type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ▶ 20, 20, 20, 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	<input checked="" type="checkbox"/>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20, 20, 20, 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input checked="" type="checkbox"/>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	<input checked="" type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No
- Organizations relying on a current notice regarding disaster assistance check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No
- If "Yes" to 6b, file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b		
6b		<input checked="" type="checkbox"/>
7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRIET M. ROTHKOPF 210 STUART DR; New Rochelle, NY	Pres - 1	—	—	—
RHODA ROTHKOPF 63 E 9 ST, New York, NY 10003	Treas 2	—	—	—
NOLAN ROTHKOPF 211 E 53 ST, New York, NY	VP 1	—	—	—

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
.....		
.....		
.....		
.....		
.....		

**Total number of others receiving over \$50,000 for professional services** . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	<i>Westchester Reform Temple</i>	<i>2344</i>
2	<i>Jewish Museum</i>	<i>2692</i>
3	<i>Lafayette College</i>	<i>2300</i>
4	<i>TOWN Hall</i>	<i>1000</i>

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	.....	
2	.....	
3	All other program-related investments. See instructions.	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities . . . . .	1a	343218.49
b	Average of monthly cash balances . . . . .	1b	7813.76
c	Fair market value of all other assets (see instructions) . . . . .	1c	
d	<b>Total</b> (add lines 1a, b, and c) . . . . .	1d	351032.25
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets . . . . .	2	
3	Subtract line 2 from line 1d . . . . .	3	351032.25
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	4	5265.48
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	5	345766.77
6	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	6	17288.34

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6 . . . . .	1	17288.34
2a	Tax on investment income for 2014 from Part VI, line 5 . . . . .	2a	96.84
b	Income tax for 2014. (This does not include the tax from Part VI.) . . . . .	2b	-
c	Add lines 2a and 2b . . . . .	2c	96.84
3	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	3	17191.50
4	Recoveries of amounts treated as qualifying distributions . . . . .	4	
5	Add lines 3 and 4 . . . . .	5	17191.50
6	Deduction from distributable amount (see instructions) . . . . .	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	7	17191.50

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	1a	10950.65
b	Program-related investments—total from Part IX-B . . . . .	1b	-
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	2	-
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required) . . . . .	3a	
b	Cash distribution test (attach the required schedule) . . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	4	10950.65
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	5	96.84
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	6	10853.81

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2014.				
<b>a</b> Enter amount for 2013 only . . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009 . . . . .				
<b>b</b> From 2010 . . . . .				
<b>c</b> From 2011 . . . . .				
<b>d</b> From 2012 . . . . .				
<b>e</b> From 2013 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ _____				
<b>a</b> Applied to 2013, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2014 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 . . . . .				17191. <sup>50</sup>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9.				
<b>a</b> Excess from 2010 . . . . .				
<b>b</b> Excess from 2011 . . . . .				
<b>c</b> Excess from 2012 . . . . .				
<b>d</b> Excess from 2013 . . . . .				
<b>e</b> Excess from 2014 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . .
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					↗
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

- 1 **Information Regarding Foundation Managers:**
  - a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
  - b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

  - a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
  - b The form in which applications should be submitted and information and materials they should include.
  - c Any submission deadlines:
  - d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:







**CONTRIBUTIONS**

6/2/2014	Off the Record	250.00
6/3/2014	NYU Alumni	75.00
6/15/2014	Innovation	25.00
6/16/2014	FWA	55.00
6/17/2014	Jewish Museum	192 06
6/27/2014	Lafayette College	2000 00
7/16/2014	Bnai Zion	40 00
7/19/2014	NYU Development	15 00
7/1/2014	Westchester Reform	940 00
7/29/2014	Westchester Reform	500 00
9/1/2014	CAMERA	45.00
9/2/2014	FWA of NY	70.00
9/17/2014	Lehigh	25.00
9/19/2014	Lincoln Center	53.50
9/22/2014	Lincoln Center	53.50
9/22/2014	Lincoln Center	53.50
9/22/2014	The Town Hall	1000.00
10/24/2014	SUNY Performing	25.00
10/9/2014	Alumni Ass	35.00
10/27/2014	NYU	30 00
11/12/2014	Lafayette College	89.00
11/13/2014	JCC Manhattan	25 00
11/10/2014	Anti Defamation	125.00
11/24/2014	Lafayette College	100.00
11/24/2014	Lafayette College	100.00
1/21/2015	Westchester Reform	884 00
1/5/2015	Nat'l MS	25 00
1/15/2015	TDF	30 00
2/12/2015	NYU Development	50 00
3/8/2015	Women of Reform Judaism	20.00
3/10/2015	Lafayette College	100 00
4/1/2015	Conservative Syna of 5th Ave	200 00
4/22/2015	Lehigh	50.00
4/13/2015	Avon Walk	50.00
4/30/2015	Jewish Museum	2500.00
4/30/2015	Hunter College	100 00
4/30/2015	Alumni Ass - <i>Lehigh</i>	295.00
5/15/2015	WRT	20.00
5/11/2015	TDF	30.00
5/13/2015	EB American Friends	37 89
5/18/2015	Anto Defamation League	100.00
5/26/2015	NYU Development	100 00

Total	<hr/>	10513.45
-------	-------	----------

**EXPENSES**

10/16/2014	United States Treasury	181.94
10/24/2014	NYS Department of Law	100.00
1/26/2015	Delaware Corp Tax	25.00
5/7/2015	Corporation Service	130 26

Total		437 20
-------	--	--------

# ROTHKOPF FOUNDATION

stock name	purchase date	share	cost per share	total purchase price	5/31/2015 end of year market value	profit/(loss)
innovative	10/14/97	1080	8.40	9073.20	0.00	-9073.20
espire	1/19/00	1000	7.75	7964.90	0.00	-7964.90
pac-west	1/24/00	500	25.59	12794.07	0.00	-12794.07
american water works	3/23/10	500	21.21	10733.45	26435.00	15701.55
amsouth/regions	478	31.84	15222.00			
amsouth /regions	3/16/05	500	33.11	16555.00	9868.00	-21909.00
at&t	2/18/00	500	38.61	19303.62	40135.00	-349.73
at&t	2/18/00	662	32.00	21181.11		
bristol myers	1/14/10	500	25.08	12623.00	32300.00	19677.00
capitol one	2/11/04	116	80.12	9294.40	63673.00	5158.84
capitol one	2/11/04	646	76.19	49219.76		
century link	6/13/96	287	111.94	32162.74	9540.00	-22622.74
dt	5/21/99	953	26.50	25251.25	16411.00	-8840.25
home depot	3/23/10	500	32.41	16285.00	55710.00	39425.00
intel	1/14/10	500	21.07	10588.60	17230.00	6641.40
kraft	11/09/09	333	28.23	9402.00	28122.00	18720.00
mondelez	10/2/12	1000	17.39	17392.00	41590.00	24198.00
piper jaffray	5/6/05	17	27.30	464.00	806.00	342.00
pfizer	7/17/14	400	30.90	12443.40	13900.00	1456.60
tenneco		40	15.22	609.00	2349.00	1740.00
windstream	2/21/2007	166	20.12	3340.92	1350.00	-1990.92
Communications sales	2/21/2007	400	51.98	10396.42	5210.00	-5186.42
Total				322299.84	364629.00	42329.16

Mondelez is a spin off from Kraft on 10/2/12. KRA-333 shares and MDLZ-1000 Windstream Corp exchanged for Windstream Holdings 9/3/13 --1000 shares. Communications Sales and Leasing spin off on 1000 shares of Windstream on 4/10/15 -200 shares Windstream Holdings reverse split 1:6 on 4/30/15 -- 166 shares for the previous 1000



**TJM**  
 CLEARED THROUGH ITS  
 WHOLLY OWNED SUBSIDIARY  
 J.P. Morgan Clearing Corp.  
 4 Metrotech Center, Floor 3  
 Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

**PRIVILEGED ACCESS PLATINUM ACCOUNT**

Month End Closing Method FIFO

STATEMENT PERIOD  
 May 1 - May 29, 2015

ACCOUNT NUMBER  
 036-00707018  
 LAST STATEMENT  
 April 30, 2015



065604 - 1 of 5 NSP08SRA-Z1 100000  
**THE ROTHKOPF FOUNDATION**  
 210 STUART DRIVE  
 NEW ROCHELLE NY 10804-1421



Financial Advisor **LEONARD S. GRUENBERG**  
 TELEPHONE/EMAIL (212) 272-2626 /LS.Gruenberg@jpmorgan.com  
 VISIT OUR WEBSITE [www.jpmorgansecurities.com](http://www.jpmorgansecurities.com)

**What's In This Statement**

Financial Summary.....3  
 Your Portfolio Holdings.....4  
 Transaction Detail.....6  
 Sweep Program Activity.....6  
 Privileged Access Activity.....7  
 Your Messages.....8

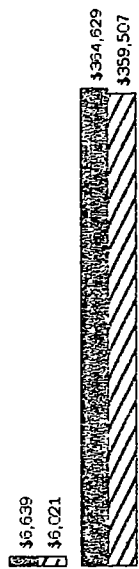
**Your Portfolio at a Glance**

TOTAL VALUE OF SECURITIES THIS PERIOD *	364,629
SWEEP PROGRAM BALANCE	6,639
<b>NET EQUITY THIS PERIOD</b>	<b>\$371,268</b>
NET EQUITY LAST STATEMENT	365,528
CHANGE SINCE LAST STATEMENT	5,740

\*This portfolio includes one or more unpriced securities that are not reflected in the Total Value of Securities and the Net Equity This Period.

**There are no "Stop Loss" orders or other pending buy or sell open orders on file for your account.**

**Market Value of Your Portfolio**



**Difference or non-receipt of checks or stocks:**

Please report any difference or non-receipt of checks or stocks, indicated as delivered to you, to Client Services at 800.633.1474 or write to Client Services at J.P. Morgan Clearing Corp., 4 Chase Metrotech Center, Brooklyn, N.Y.

**SPC** This summary is for informational purposes only. It is not intended as a tax document. This statement should be retained for your records. See reverse side for important information.

PRIVILEGED ACCESS PLATINUM ACCOUNT

STATEMENT PERIOD  
May 1 - May 29, 2015  
ACCOUNT NUMBER  
036-00707 018  
LAST STATEMENT  
April 30, 2015

Your Portfolio Holdings  
CASH & MONEY MARKET FUNDS

DESCRIPTION	TYPE	SYMBOL/CUSIP	QUANTITY	PRICE	MARKET VALUE
JPMORGAN DEPOSIT ACCT B	CASH	200AV4004	6,638.92	1.0000	6,639
CURRENT YIELD 0.01%					
FDIC-INSURED					
SUBJECT TO APPLICABLE LIMITS					
NOT COVERED BY SIPC					
<b>TOTAL CASH &amp; MONEY MARKET FUNDS</b>					<b>\$6,639</b>

EQUITIES

Equities & Options

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
AT&T INC	T	CASH	1,162	34.5400	40,135	2,185	5.4441
AMERICAN WTR WKS CO INC	AWK	CASH	500	52.8700	26,435	680	2.5723
BRISTOL MYERS SQUIBB CO	BMY	CASH	500	64.6000	32,300	740	2.2910
CENTURY LINK INC	CTL	CASH	287	33.2400	9,540	620	6.4990
COMMUNICATIONS SALES & LEASING INC COM	CSAL	CASH	200	26.0500	5,210	353	6.7754
CAPITAL ONE FINANCIAL CORP	COF	CASH	762	83.5600	63,673	1,219	1.9145
DEUTSCHE TELEKOM AG SPONSORED ADR	DTEGY	CASH	953	17.2200	16,411	503	3.0650
E SPIRE COMMUNICATIONS INC	269153102	CASH	1,000	Unpriced			
INNOVATIVE CLINICAL SOLUTIONS	45767E305	CASH	1,080	Unpriced			
HOME DEPOT INC	HD	CASH	500	111.4200	55,710	1,180	2.1181
INTEL CORP	INTC	CASH	500	34.4600	17,230	480	2.7858
KRAFT FOODS GROUP INC COM	KRFT	CASH	333	84.4500	28,122	733	2.6065
MONDELEZ INTERNATIONAL INC COM	MDLZ	CASH	1,000	41.5900	41,590	600	1.4427
PAC-WEST TELECOMM INC	69371Y101	CASH	500	Unpriced			
PIPER JAFFRAY COS	PJC	CASH	17	47.4200	806		
PFIZER INC	PFE	CASH	400	34.7500	13,900	448	3.2230
REGIONS FINANCIAL CORP	RF	CASH	978	10.0900	9,868	235	2.3814
TENNECO INC	TEN	CASH	40	58.7200	2,349		
WINDSTREAM HOLDINGS INC COM	WIN	CASH	166	8.1350	1,350	73	5.4074
<b>Total Equities &amp; Options</b>					<b>\$364,629</b>	<b>\$10,049</b>	
<b>TOTAL EQUITIES</b>					<b>\$364,629</b>	<b>\$10,049</b>	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME \$10,049

YOUR PRICED PORTFOLIO HOLDINGS \$371,268