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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2014

Department of the Treasury

Internal Revenue Service

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 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014 or tax year beginning MAY 1, 2014, and ending APR 30, 2015

Name of foundation LAKE ROAD FOUNDATION		A Employer identification number 13-3745065
Number and street (or P.O. box number if mail is not delivered to street address) C/O LEONARD SCHWARTZ 1740 BROADWAY	Room/suite 3RD FL	B Telephone number 212-468-4915
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 24,329,041. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	257,000.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	29.	29.		Statement 1
	4 Dividends and interest from securities	9,746.	9,746.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	47,190.			
	b Gross sales price for all assets on line 6a	3,091,546.			
	7 Capital gain net income (from Part IV, line 2)		47,190.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	313,965.	56,965.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 3	5,300.	3,551.		1,749.
	c Other professional fees Stmt 4	185.	0.		0.
	17 Interest				
	18 Taxes Stmt 5	30,597.	252.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 6	10,262.	9,326.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	46,344.	13,129.		1,749.
	25 Contributions, gifts, grants paid	901,947.			901,947.
26 Total expenses and disbursements. Add lines 24 and 25	948,291.	13,129.		903,696.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-634,326.				
b Net investment income (if negative, enter -0-)		43,836.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		2.	2.
	2 Savings and temporary cash investments	1,213,730.	1,540,179.	1,540,179.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	2,051,437.	2,034,073.	3,247,438.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 8		12,531,209.	11,587,796.	19,541,422.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		15,796,376.	15,162,050.	24,329,041.
17 Accounts payable and accrued expenses				
18 Grants payable				
Liabilities	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	376,056.	376,056.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	15,420,320.	14,785,994.	
30 Total net assets or fund balances	15,796,376.	15,162,050.		
31 Total liabilities and net assets/fund balances	15,796,376.	15,162,050.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,796,376.
2 Enter amount from Part I, line 27a	2	-634,326.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	15,162,050.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,162,050.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,091,546.		3,044,356.	47,190.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			47,190.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	47,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,031,926.	21,697,993.	.047559
2012	1,029,703.	19,804,399.	.051994
2011	430,534.	18,651,776.	.023083
2010	16,727.	8,266,049.	.002024
2009	15,515.	1,232,531.	.012588

2 Total of line 1, column (d)	2	.137248
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.027450
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	23,541,114.
5 Multiply line 4 by line 3	5	646,204.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	438.
7 Add lines 5 and 6	7	646,642.
8 Enter qualifying distributions from Part XII, line 4	8	903,696.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	438.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	438.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	438.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		438.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) DE, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► SCHWARTZ & GINSBERG CPA'S PC Telephone no. ► 212-468-4915 Located at ► 1740 BROADWAY-3RD FLOOR, NEW YORK, NY ZIP+4 ► 10019			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WENDY SANDLER	PRESIDENT AND	TREASURER		
839 ORIENTA AVE.				
MAMARONECK, NY 10543	0.00	0.	0.	0.
NEIL SANDLER	SECRETARY			
839 ORIENTA AVE.				
MAMARONECK, NY 10543	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,562,765.
b	Average of monthly cash balances	1b	1,336,843.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	23,899,608.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,899,608.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	358,494.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	23,541,114.
6	Minimum investment return. Enter 5% of line 5	6	1,177,056.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,177,056.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	438.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	438.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,176,618.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,176,618.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,176,618.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	903,696.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	903,696.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	438.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	903,258.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				1,176,618.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			98,722.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ 903,696.				
a Applied to 2013, but not more than line 2a			98,722.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				804,974.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (if an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				371,644.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT			GENERAL	901,947.
Total			3a	901,947.
b Approved for future payment				
None				
Total			3b	0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

Employer identification number

LAKE ROAD FOUNDATION

13-3745065

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization	Employer identification number
LAKE ROAD FOUNDATION	13-3745065

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RITA LOWENSTEIN 1060 SEVEN OAKS LANE MAMARONECK, NY 10543	\$	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization LAKE ROAD FOUNDATION	Employer identification number 13-3745065
---	---

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

LAKE ROAD FOUNDATION**13-3745065**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Lake Road Foundation
Distribution Checks to Charities
FYE 4/30/15

DATE	Check #	CHARITY NAME	AMOUNT
5/6/14	Debit	Jewish Communal Fund	150,000
5/14/14	1940	Senior Friendship Center	12,500
6/2/14	1941	Let's get Ready	1,000
6/27/15	1942	Northwestern University	2,330
7/1/14	1944	Museum of Jewish Heritage	1,000
7/7/14	Debit	Komen NYC Race	100
7/7/14	Debit	My Sisters Place	100
7/8/14	Debit	Swim Across America	100
7/8/14	Debit	Pan Mass Challenge	256
7/10/14	1945	NYU School of Medicine	50,000
7/17/14	1946	Westchester Jewish Community Service	1,000
8/15/14	1948	UJA Federation	75,000
8/29/14	1950	Emory University	50,000
9/12/14	1951	Birth Right Israel	10,000
9/17/14	1949	Sunrise Day Camp	350
10/14/14	Debit	Crohns/Colitis Foundation of America	100
10/20/14	1956	Gildas Club of Westchester	100
10/21/14	1954	Cancer Support Team	100
10/21/14	1955	The Leukemia & Lymphoma Society	100
11/12/14	1953	Emelin Theater	1,000
11/21/14	1957	Jewish Community Center of North Shore	5,000
12/10/14	Online	Facing History	10,000
12/10/14	Online	Coalition for the Homeless	5,000
12/10/14	Online	Holocaust and Human Rights Education Center	5,000
12/10/14	Online	Anne Frank Center	1,000
12/10/14	Online	Museum of Jewish Heritage	10,000
12/10/14	Online	Israel Project	5,000
12/10/14	Online	My Sisters Place	1,000
12/10/14	Online	Pace Womens Justice Center	500
12/10/14	Online	Student Aid Fund	500
12/10/14	Online	Muhlenberg College	10,000
12/10/14	Online	Usc Shoah Foundation	5,000
12/12/14	Online	Friends of Israel Defence Force	5,000
12/12/14	Online	Gildas Club of Westchester	1,000
12/12/14	Online	Mamaroneck School Foundation	5,000
12/12/14	Online	Holocaust and Human Rights Education Center	1,000
12/12/14	Online	Hospice and Palliative Care	1,000
12/12/14	Online	Hadassah	100
12/12/14	Online	Mamaroneck Public Library	1,000
12/16/14	Debit	Jewish Communal Fund	316,000
12/16/14	Wire	La Colonie Scolaire	1,000
1/8/15	Online	Westchester Jewish Council	1,000
1/20/15	Online	Holocaust and Human Rights Education Center	180
1/20/15	Online	Larchmont Temple	360
1/20/15	Online	American Israel Public Affairs Committee	3,600
1/20/15	Online	Jewish Home Life Care	100
1/27/15	Online	UJA Federation	360
3/31/15	1958	Westchester Jewish Community Service	16,800
4/2/15	1959	Sunrise Day Camp	13,150
4/23/15	Wire	Jewish Communal Fund	80,000
4/23/15	Wire	Hebrew Union College Jewish Fund	7,512
4/24/15	Wire	Westchester Jewish Community	27,650
4/24/15	Debit	Muhlenberg College	1,000
4/28/15	Debit	Jewish Ed	5,000
4/29/15	Debit	Langone Medical Center	1,000
TOTAL			901,948

LAKE ROAD FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1510000 UTS A & Q GLOBAL DIV-STRAT	P	01/28/14	01/28/15
b	144320 UTS GLOBAL ACCESS GROWTH	P	02/26/14	07/10/14
c	169329 UTS GLOBAL ACCESS GROWTH	P	02/26/14	09/05/14
d	8625 UTS GLOBAL ACCESS GROWTH	P	02/26/14	03/25/15
e	JPM - PER SCHEDULE ATTACHED	P		
f	JPM - PER SCHEDULE ATTACHED	P		
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,750,000.		1,708,413.	41,587.
b 762,753.		744,320.	18,433.
c 173,054.		169,329.	3,725.
d 84,485.		86,351.	-1,866.
e 230,172.		235,523.	-5,351.
f 91,082.		100,420.	-9,338.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			41,587.
b			18,433.
c			3,725.
d			-1,866.
e			-5,351.
f			-9,338.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	47,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 4/1/15 to 4/30/15



12540730080010091106

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
ADVANCED AUTO PARTS INC 00751Y-10-6 AAP	143 00	75 000	10,725 00	11,334 65	(609 65)	18 00	0 17%
AGILENT TECHNOLOGIES INC 00846U-10-1 A	41 37	237 000	9,804 69	9,759 42	45 27	94 80	0 97%
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	80 85	133 000	10,753 05	10,534 86	218 19	212 80	1 98%
CELGENE CORPORATION 151020-10-4 CELG	108 06	128 000	13,831 68	10,476 11	3,355 57		
CF INDUSTRIES HOLDINGS INC 125269-10-0 CF	287 47	22 000	6,324 34	6,485 58	(161 24)	132 00	2 09%
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A 192446-10-2 CTSH	58 54	109 000	6,380 86	4,841 90	1,538 96		
P EXPRESS SCRIPTS HOLDING COMPANY 30219G-10-8 ESRX	86 40	94 000	8,121 60	7,835 99	285 61		
FACEBOOK INC-A 30303M-10-2 FB	78 77	135 000	10,633 95	10,372 59	261 36		
FEDEX CORP 31428X-10-6 FDX	169 57	54 000	9,156 78	9,336 37	(179 59)	43 20	0 47%
FORTUNE BRANDS HOME & SECURITY INC. 34964C-10-6 FBHS	44 60	200 000	8,920 00	9,436 10	(516 10)	112 00	1 26%



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 4/1/15 to 4/30/15

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US Large Cap Equity							
GENERAL ELECTRIC CO 369604-10-3 GE	27 08	279 000	7,555 32	7,723 24	(167 92)	256 68	3 40 %
GOOGLE INC CLASS A 38259P-50-8 GOOG L	548.77	12 000	6,585 24	6,106 84	478 40		
HESS CORP 42809H-10-7 HES	76 90	130 000	9,997.00	10,396 90	(399 90)	130 00	1 30 %
HILTON WORLDWIDE HOLDINGS IN 43300A-10-4 HLT	28.96	392 000	11,352 32	9,884 78	1,467 54		
HOME DEPOT INC 437076-10-2 HD	106 98	101 000	10,804 98	8,301 33	2,503.65	238 36	2 21 %
INTERPUBLIC GROUP COMPANIES INC 460690-10-0 IPG	20 84	379 000	7,898.36	6,558 52	1,339 84	181 92	2 30 %
MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK 582839-10-6 MJN	95 92	97 000	9,304 24	8,305 86	998 38	160 05	1 72 %
MORGAN STANLEY 617446-44-8 MS	37 31	294 000	10,969 14	10,618 26	350 88	176 40 44 10	1 61 %
PFIZER INC 717081-10-3 PFE	33 93	341 000	11,570.13	9,959 74	1,610 39	381 92	3 30 %
PHILLIPS 66 718546-10-4 PSX	79 31	160.000	12,689 60	12,062 16	627 44	320 00	2 52 %
P SALESFORCE.COM INC 79466L-30-2 CRM	72 82	71 000	5,170.22	4,895 50	274 72		
STANLEY BLACK & DECKER, INC. 854502-10-1 SWK	98 70	117 000	11,547 90	9,752 15	1,795 75	243 36	2.11 %
SYSCO CORP 871829-10-7 SY	37 03	197 000	7,294 91	7,708 83	(413 92)	236 40	3 24 %



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 4/1/15 to 4/30/15



12540730080010091107

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
VISA INC CLASS A SHARES 92826C-83-9 V	66.05	192,000	12,681.60	9,868.81	2,812.79	92.16	0.73%
WALT DISNEY CO 254687-10-6 DIS	108.72	128,000	13,916.16	10,432.66	3,483.50	147.20	1.06%
Total US Large Cap Equity			\$243,989.07	\$222,989.15	\$20,999.92	\$3,177.25 \$44.10	1.30%

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT N02440001
For the Period 5/1/14 to 4/30/15

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US All Cap Equity							
AGILENT TECHNOLOGIES INC 00846U-10-1 A	41.37	47,000	1,944.39	1,939.86	4.53	18.80	0.97%
AIRGAS INC 009363-10-2 ARG	101.28	31,000	3,139.68	3,350.19	(210.51)	74.40	2.37%
AMERICAN WATER WORKS CO INC 030420-10-3 AWK	54.52	80,000	4,361.60	3,829.53	532.07	108.80	2.49%

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 5/1/14 to 4/30/15

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
ANADARKO PETROLEUM CORP 032511-10-7 APC	94.10	24 000	2,258 40	2,075 58	182 82	25 92	1 15%
APACHE CORP 037411-10-5 APA	68 40	21 000	1,436 40	1,665 25	(228 85)	21 00 5.25	1.46%
AQUA AMERICA INC 03836W-10-3 WTR	26 82	104 000	2,789 28	2,618 96	170 32	68 64	2 46%
AXIAL CORPORATION 05463D-10-0 AXLL	40 80	77 000	3,141 60	3,049 07	92 53	49 28	1 57%
BAKER HUGHES INC 057224-10-7 BHI	68 46	28 000	1,916 88	1,681 16	235 72	19 04	0 99%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	54 82	30 000	1,644 60	1,883 98	(239 38)		
CARBO CERAMICS INC 140781-10-5 CRR	44 23	65 000	2,874 95	3,832 39	(957 44)	26.00 6 50	0 90%
CENTERPOINT ENERGY INC 15189T-10-7 CNP	20 97	235 000	4,927 95	5,672 40	(744 45)	232 65	4 72%
CHICAGO BRIDGE & IRON CO N V 167250-10-9 CBI	47 65	111 000	5,289 15	7,188 10	(1,898 95)	31 08	0 59%
CIMAREX ENERGY CO 171798-10-1 XEC	124 40	19 000	2,363 60	2,075 30	288 30	12 16	0 51%
CLEAN HARBORS INC 184496-10-7 CLH	55 25	129 000	7,127 25	6,108 86	1,018 39		
CONSOL ENERGY INC 20854P-10-9 CNX	32 48	87 000	2,825 76	3,426 93	(601 17)	21 75	0 77%
DANAHER CORP 235851-10-2 DHR	81 88	54 000	4,421 52	4,171 78	249 74	29 16	0 66%

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US All Cap Equity							
DEVON ENERGY CORP 25179M-10-3 DVN	68.21	32 000	2,182.72	2,027.54	155.18	30.72	1.41%
DOMINION RESOURCES INC VA 25746U-10-9 D	71.68	32 000	2,293.76	2,342.36	(48.60)	82.88	3.61%
DXP ENTERPRISES INC 233377-40-7 DXPE	45.05	49 000	2,207.45	4,281.38	(2,073.93)		
ECOLAB INC 278865-10-0 ECL	111.98	41 000	4,591.18	4,436.60	154.58	54.12	1.18%
EMERSON ELECTRIC CO 291011-10-4 EMR	58.83	61 000	3,588.63	4,001.10	(412.47)	114.68	3.20%
ENERGEN CORP 29265N-10-8 EGN	71.17	73 000	5,195.41	5,247.28	(51.87)	5.84	0.11%
EOG RESOURCES INC 26875P-10-1 EOG	98.95	65 000	6,431.75	6,132.03	299.72	43.55	0.68%
EQT CORPORATION 26884L-10-9 EQT	89.94	24 000	2,158.56	2,357.27	(198.71)	2.88	0.13%
FLOWSERVE CORP 34354P-10-5 FLS	58.53	136 000	7,960.08	10,638.70	(2,678.62)	97.92	1.23%
HALLIBURTON CO 406216-10-1 HAL	48.95	33 000	1,615.35	1,862.09	(246.74)	23.76	1.47%
HESS CORP 42809H-10-7 HES	76.90	24 000	1,845.60	1,842.52	3.08	24.00	1.30%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	100.92	36 000	3,633.12	3,407.52	225.60	74.52	2.05%
IDEX CORP 45167R-10-4 IEX	75.01	74 000	5,550.74	5,587.33	(36.59)	94.72	1.71%

J.P. Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 5/1/14 to 4/30/15

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
JACOBS ENGINEERING GROUP INC 469814-10-7 JEC	42 86	58 000	2,485 88	3,461 84	(975 96)		
KINDER MORGAN INC 49456B-10-1 KMI	42 95	69 000	2,963 55	2,264 99	698 56	132 48 33 12	4 47 %
KIRBY CO 497266-10-6 KEX	78 53	45 000	3,533 85	4,175 01	(641.16)		
LINCOLN ELECTRIC HOLDINGS INC 533900-10-6 LECO	66 86	49 000	3,276 14	3,703 69	(427 55)	56 84	1 73 %
MARATHON OIL CORP 565849-10-6 MRO	31 10	69 000	2,145 90	2,331.67	(185 77)	57 96	2 70 %
MARTIN MARIETTA MATERIALS INC 573284-10-6 MLM	142 65	20 000	2,853 00	2,212 71	640 29	32 00	1 12 %
MASTEC INC 576323-10-9 MTZ	17 94	95.000	1,704 30	2,737 48	(1,033 18)		
MURPHY USA INC-W/I 626755-10-2 MUSA	65 33	48 000	3,135 84	2,375 67	760 17		
NEWFIELD EXPLORATION CO 651290-10-8 NFX	39 24	108 000	4,237 92	2,952 23	1,285 69		
NISOURCE INC 65473P-10-5 NI	43 42	51 000	2,214 42	1,789 03	425 39	53 04 13 26	2 40 %
NOBLE ENERGY INC 655044-10-5 NBL	50 72	38 000	1,927 36	2,501 34	(573 98)	27 36	1 42 %
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	80 10	22 000	1,762 20	2,015 11	(252 91)	63 36	3 60 %
OGE ENERGY CORP 670837-10-3 OGE	32 68	54 000	1,764 72	1,759 95	4 77	54 00	3 06 %

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US All Cap Equity							
PDC ENERGY, INC 69327R-10-1 PDCE	56 74	19 000	1,078 06	1,094 87	(16 81)	72.96 15 50	2 06 %
PENTAIR LTD G7S00T-10-4 PNR	62 15	57 000	3,542 55	4,240 86	(698 31)	22 12 5 53	0 55 %
PERKINELMER INC 714046-10-9 PKI	51 26	79 000	4,049 54	3,627 51	422 03	92 00	2 52 %
PHILLIPS 66 718546-10-4 PSX	79 31	46 000	3,648 26	3,471 68	176 58	0 88	0 05 %
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	172 78	11 000	1,900 58	2,011 45	(110 87)	68 64	2 35 %
PRAXAIR INC 74005P-10-4 PX	121 93	24 000	2,926 32	3,141 13	(214.81)	120.12	3 58 %
QUANTA SERVICES INC 74762E-10-2 PWR	28.91	137 000	3,960 67	4,728 63	(767 96)	4.64	0 25 %
QUESTAR CORP 748356-10-2 STR	23 44	143 000	3,351 92	3,401 75	(49 83)	19 00	0 59 %
RANGE RESOURCES CORP 75281A-10-9 RRC	63 56	29 000	1,843 24	1,429.90	413 34	89.48	5 04 %
ROPER TECHNOLOGIES INC 776696-10-6 ROP	168 17	19 000	3,195 23	2,574 94	620 29	40 00	2 11 %
ROYAL DUTCH SHELL PLC ADR 780259-20-6 RDS A	63 43	28 000	1,776 04	2,086 72	(310 68)	62 56	1 62 %
SCHLUMBERGER LTD 806857-10-8 SLB	94 61	20 000	1,892.20	1,869 10	23 10	841 06	
SEM GROUP CORP 81663A-10-5 SEMG	84 19	46 000	3,872 74	3,031 68	841 06		

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LAKE ROAD FDN - TAP ENERGY ACCT N02440001
For the Period 5/1/14 to 4/30/15

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
SOUTHWESTERN ENERGY CO							
845467-10-9 SWN	28.03	167,000	4,681.01	6,067.14	(1,386.13)		
TIMKENSTEEL CORP-W/I							
887399-10-3 TMST	29.19	131,000	3,823.89	4,405.68	(581.79)	73.36	1.92%
TRINITY INDUSTRIES INC							
896522-10-9 TRN	27.09	49,000	1,327.41	1,721.73	(394.32)	19.60	1.48%
WASTE CONNECTIONS INC							
941053-10-0 WCN	47.41	69,000	3,271.29	3,075.24	196.05	35.88	1.10%
						8.97	
XYLEM INCORPORATION							
98419M-10-0 XYL	37.02	121,000	4,479.42	4,502.03	(22.61)	68.12	1.52%
Total US All Cap Equity			\$190,342.81	\$199,495.82	(\$9,153.01)	\$2,654.67	1.39%
						\$88.13	
Concentrated & Other Equity							
GENERAC HOLDINGS INC							
368736-10-4 GNRC	41.69	50,000	2,084.50	2,311.52	(227.02)		
WILLIAMS COMPANIES INC							
969457-10-0 WMB	51.19	40,000	2,047.60	1,811.70	235.90	92.80	4.53%
Total Concentrated & Other Equity			\$4,132.10	\$4,123.22	\$8.88	\$92.80	2.24%

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
JP MORGAN	26.	26.	
UBS	3.	3.	
Total to Part I, line 3	29.	29.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
JP MORGAN	9,741.	0.	9,741.	9,741.	
UBS	5.	0.	5.	5.	
To Part I, line 4	9,746.	0.	9,746.	9,746.	

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SCHWARTZ & GINSBERG CPA PC	5,300.	3,551.		1,749.
To Form 990-PF, Pg 1, ln 16b	5,300.	3,551.		1,749.

Form 990-PF Other Professional Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
UNITED CORPORATE SERVICES	185.	0.		0.
To Form 990-PF, Pg 1, ln 16c	185.	0.		0.

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FEDERAL EXCISE TAX	30,345.	0.		0.	
FOREIGN TAX WITHHELD	252.	252.		0.	
To Form 990-PF, Pg 1, ln 18	30,597.	252.		0.	

Form 990-PF	Other Expenses			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FILING FEES	936.	0.		0.	
INVESTMENT MANAGEMENT FEES	9,326.	9,326.		0.	
To Form 990-PF, Pg 1, ln 23	10,262.	9,326.		0.	

Form 990-PF	Corporate Stock		Statement	7
Description	Book Value	Fair Market Value		
13 SHS. BERKSHIRE HATHAWAY	1,569,750.	2,774,200.		
SEE ATTACHED STATEMENT	225,941.	243,989.		
SEE ATTACHED STATEMENT	205,074.	194,475.		
2040.724 SH TORTOISE MLP & PIPELINE-INC.	33,308.	34,774.		
Total to Form 990-PF, Part II, line 10b	2,034,073.	3,247,438.		

Form 990-PF	Other Investments	Statement	8
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Description	Valuation Method	Book Value	Fair Market Value
KENSICO OFFSHORE FUND	COST	9,531,209.	17,459,880.
A & q GLOBAL DIVERS STRAT	COST	291,587.	304,487.
GLOBAL ACCESS GROWTH TE LLC	COST	0.	0.
1032 SH GLOBAL ACCESS GROWTH LTD LLC	COST	750,000.	774,365.
BLOOM TREE OFFSHORE FUND	COST	1,015,000.	1,002,690.
Total to Form 990-PF, Part II, line 13		11,587,796.	19,541,422.



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD

Settle Date	Type	Selection Method	Description	Quantity	Transaction
				Cost	Market Value *
Securities Transferred Out					
6/3	Exchange		PENTAIR LTD SHS MANDATORY EXCHANGE - HOLDERS RECEIVE 1 ORDINARY SHARE OF PENTAIR PLC, CUSIP G7S00T104, FOR EACH REGISTERED SHARE HELD. (ID H6169Q-10-8)	(49 000) 3,933.95	(3,673.04)
12/1	Exchange		KINDER MORGAN MANAGEMENT LLC MERGER - HOLDERS RECEIVE 2.4849 SHARES OF KINDER MORGAN, INC. CUSIP. 49456B101, FOR EACH SHARE HELD. (ID 49455U-10-0)	(28 000) 2,264.99	(2,931.88)
Total Securities Transferred Out					(\$6,604.92)

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period
** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale Please contact your J.P. Morgan team for additional information.

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
4/29	Sale		NATIONAL FUEL GAS CO @ 72.985 1,240.75	(17.000)	72.968	1,240.46	(1,272.92)	(32.46) S*
5/2	High Cost		BROKERAGE 0.26 TAX &/OR SEC 03 PERSHING & COMPANY (ID. 636180-10-1)					
Settled Sales/Maturities/Redemptions								
5/1	Sale		CLEAN HARBORS INC @ 59.3331 356.00 BROKERAGE	(6.000)	59.317	355.90	(282.68)	73.22 S
5/6	High Cost		0.09 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 184496-10-7)					

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/6 5/9	Sale High Cost	TRINITY INDUSTRIES INC @ 78.4308 470.58 BROKERAGE 0.09 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 896522-10-9)	(6.000)	78.413	470.48	(426.05)	44.43 S
5/22 5/28	Sale High Cost	TRINITY INDUSTRIES INC @ 83.1004 415.50 BROKERAGE 0.08 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID. 896522-10-9)	(5.000)	83.082	415.41	(355.05)	60.36 S
5/27 5/30	Sale High Cost	AEGION CORPORATION @ 24.4724 318.14 BROKERAGE 0.20 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 00770F-10-4)	(13.000)	24.456	317.93	(300.51)	17.42 S
5/28 6/2	Sale High Cost	AEGION CORPORATION @ 24.2556 48.51 BROKERAGE 0.03 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID. 00770F-10-4)	(2.000)	24.235	48.47	(46.23)	2.24 S
6/2 6/5	Sale High Cost	AEGION CORPORATION @ 24.0749 96.30 BROKERAGE 0.06 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 00770F-10-4)	(4.000)	24.058	96.23	(92.47)	3.76 S
6/3 6/6	Sale High Cost	NEWFIELD EXPLORATION CO @ 36.4296 510.01 BROKERAGE 0.21 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 651290-10-8)	(14.000)	36.414	509.79	(387.68)	122.11 S
6/3 6/6	Sale High Cost	NEWFIELD EXPLORATION CO @ 36.4296 218.58 BROKERAGE 0.09 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 651290-10-8)	(6.000)	36.413	218.48	(166.15)	52.33 S
6/3 6/6	Sale High Cost	WILLIAMS COMPANIES INC @ 46.9327 610.13 BROKERAGE 0.20 TAX &/OR SEC .01 SANFORD C BERNSTEIN & CO. INC. (SCB (ID: 969457-10-0)	(13.000)	46.917	609.92	(537.39)	72.53 S
6/3 6/6	Sale High Cost	AEGION CORPORATION @ 23.6172 283.41 BROKERAGE 0.18 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 00770F-10-4)	(12.000)	23.602	283.22	(277.40)	5.82 S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/3 6/6	Sale High Cost	EOG RESOURCES INC @ 106.4557 425.82 BROKERAGE 0.06 TAX &/OR SEC .01 SANFORD C. BERNSTEIN & CO. INC (SCB (ID: 26875P-10-1)	(4.000)	106.438	425.75	(377.06)	48.69 S
6/4 6/9	Sale High Cost	AEGION CORPORATION @ 22.2836 490.24 BROKERAGE 0.33 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 00770F-10-4)	(22.000)	22.268	489.90	(508.56)	(18.66) S
6/5 6/10	Sale High Cost	AEGION CORPORATION @ 22.1239 597.35 BROKERAGE 0.41 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 00770F-10-4)	(27.000)	22.109	596.93	(624.14)	(27.21) S
6/5 6/10	Sale High Cost	ECOLAB INC @ 109.6574 877.26 BROKERAGE 0.12 TAX &/OR SEC .02 SANFORD C. BERNSTEIN & CO. INC (SCB (ID: 278865-10-0)	(8.000)	109.64	877.12	(863.46)	13.66 S
6/5 6/10	Sale High Cost	AMERICAN WATER WORKS CO INC @ 48.115 769.84 BROKERAGE 0.24 TAX &/OR SEC .02 BARCLAYS CAPITAL LE (ID: 030420-10-3)	(16.000)	48.099	769.58	(719.43)	50.15 S
6/5 6/10	Sale High Cost	PERKINELMER INC @ 46.699 326.89 BROKERAGE 0.11 TAX &/OR SEC .01 BARCLAYS CAPITAL LE (ID: 714046-10-9)	(7.000)	46.681	326.77	(317.60)	9.17 S
6/6 6/11	Sale High Cost	PERKINELMER INC @ 47.4381 284.63 BROKERAGE 0.09 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID: 714046-10-9)	(6.000)	47.422	284.53	(272.22)	12.31 S
6/16 6/19	Sale High Cost	WILLIAMS COMPANIES INC @ 56.6314 2,491.78 BROKERAGE 0.66 TAX &/OR SEC .06 GOLDMAN SACHS & CO. (ID: 969457-10-0)	(44.000)	56.615	2,491.06	(1,818.87)	672.19 S
6/24 6/27	Sale High Cost	AGCO CORP @ 56.3056 56.31 BROKERAGE 0.02 TAX &/OR SEC .01 DEUTSCHE BANK ALEX BROWN INC (ID: 001084-10-2)	(1.000)	56.28	56.28	(53.28)	3.00 S

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
6/24 6/27	Sale High Cost		CARBO CERAMICS INC @ 143.8971 143.90 BROKERAGE 0.02 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID: 140781-10-5)	(1.000)	143.87	143.87	(125.02)	18.85 S
6/24 6/27	Sale High Cost		CLEAN HARBORS INC @ 62.8692 502.95 BROKERAGE 0.12 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID: 184496-10-7)	(8.000)	62.853	502.82	(376.91)	125.91 S
6/24 6/27	Sale High Cost		NEWFIELD EXPLORATION CO @ 42.4007 678.41 BROKERAGE 0.24 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID: 651290-10-8)	(16.000)	42.385	678.16	(443.07)	235.09 S
6/24 6/27	Sale High Cost		TIMKEN CO @ 67.2208 403.32 BROKERAGE 0.09 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID: 887389-10-4)	(6.000)	67.203	403.22	(362.02)	41.20 S
6/26 7/1	Sale High Cost		CABOT OIL & GAS CORP CL A @ 34.1113 1,296.23 BROKERAGE 0.57 TAX &/OR SEC .03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 127097-10-3)	(38.000)	34.096	1,295.63	(1,327.58)	(31.95) S
7/3 7/9	Sale High Cost		TIMKENSTEEL CORP-W/I @ 42.8133 1,669.72 BROKERAGE 0.59 TAX &/OR SEC .04 KNIGHT EQUITY MARKETS L.P. (ID: 887399-10-3)	(39.000)	42.797	1,669.09	N/A **	N/A
7/7 7/10	Sale High Cost		WILLIAMS COMPANIES INC @ 57.6908 519.22 BROKERAGE 0.14 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 969457-10-0)	(9.000)	57.674	519.07	(372.04)	147.03 S
7/11 7/16	Sale High Cost		URS CORP @ 52.7543 633.05 BROKERAGE 0.18 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 903236-10-7)	(12.000)	52.738	632.86	(558.72)	74.14 S
7/18 7/23	Sale High Cost		NATIONAL FUEL GAS CO @ 72.6886 1,090.33 BROKERAGE 0.23 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 636180-10-1)	(15.000)	72.672	1,090.08	(1,123.16)	(33.08) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
7/21 7/24	Sale High Cost		NATIONAL FUEL GAS CO @ 72.30 1,156.80 BROKERAGE 0.24 TAX &/OR SEC .03 SANFORD C. BERNSTEIN & CO INC (SCB (ID: 636180-10-1)	(16,000)	72.283	1,156.53	(1,198.04)	(41.51) S
7/22 7/25	Sale High Cost		WILLIAMS COMPANIES INC @ 58.5704 1,464.26 BROKERAGE 0.38 TAX &/OR SEC .03 SANFORD C. BERNSTEIN & CO. INC (SCB (ID: 969457-10-0)	(25,000)	58.554	1,463.85	(1,033.45)	430.40 S
8/1 8/6	Sale High Cost		URS CORP @ 57.2725 515.45 BROKERAGE 0.14 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 903236-10-7)	(9,000)	57.256	515.30	(419.04)	96.26 S
8/5 8/8	Sale High Cost		URS CORP @ 57.9451 2,201.91 BROKERAGE 0.57 TAX &/OR SEC .05 GOLDMAN SACHS & CO. (ID: 903236-10-7)	(38,000)	57.929	2,201.29	(1,769.27)	432.02 S
8/5 8/8	Sale High Cost		TRINITY INDUSTRIES INC @ 43.534 304.74 BROKERAGE 0.11 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 896522-10-9)	(7,000)	43.517	304.62	(248.53)	56.09 S
8/6 8/11	Sale High Cost		CLEAN HARBORS INC @ 58.8105 764.54 BROKERAGE 0.20 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 184496-10-7)	(13,000)	58.794	764.32	(678.68)	85.64 S
8/18 8/21	Sale High Cost		PENTAIR LTD @ 69.3396 832.08 BROKERAGE 0.18 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: G7S00T-10-4)	(12,000)	69.323	831.88	(973.12)	(141.24) S
8/18 8/21	Sale High Cost		IDEX CORP @ 77.1535 694.38 BROKERAGE 0.14 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 45167R-10-4)	(9,000)	77.136	694.22	(677.55)	16.67 S
8/29 8/29	Cash In Lieu		KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF FRACTIONAL SHARES (ID: 49455U-10-0)		48.81			48.81 L
8/29 9/4	Sale High Cost		TRINITY INDUSTRIES INC @ 48.2673 289.60 BROKERAGE 0.09 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 896522-10-9)	(6,000)	48.25	289.50	(213.03)	76.47 S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/3 9/8	Sale High Cost	RANGE RESOURCES CORP @ 76.1759 1.371.17 BROKERAGE 0.27 TAX &/OR SEC .03 SJ LEVINSON & SONS LLC (ID: 75281A-10-9)	(18 000)	76 159	1,370 87	(1,559.74)	(188 87) S
9/19 9/24	Sale High Cost	AMERICAN WATER WORKS CO INC @ 49.3199 443.88 BROKERAGE 0 14 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 030420-10-3)	(9,000)	49 303	443.73	(404.68)	39.05 S
9/19 9/24	Sale High Cost	ROPER INDUSTRIES INC @ 149.7693 748.85 BROKERAGE 0 08 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 776696-10-6)	(5,000)	149.75	748.75	(678.27)	70 48 S
9/22 9/25	Sale High Cost	ROPER INDUSTRIES INC @ 147.6538 147.65 BROKERAGE 0.02 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 776696-10-6)	(1,000)	147.62	147.62	(135 66)	11.96 S
9/23 9/26	Sale High Cost	EQT CORPORATION @ 91 8215 1,285 50 BROKERAGE 0.21 TAX &/OR SEC .03 GOLDMAN SACHS & CO. (ID: 26884L-10-9)	(14,000)	91.804	1,285 26	(1,426.23)	(140 97) S
9/23 9/26	Sale High Cost	MARATHON PETROLEUM CORPORATION @ 84.9222 1,698 44 BROKERAGE 0.30 TAX &/OR SEC .04 MORGAN STANLEY & CO LLC (ID: 56585A-10-2)	(20,000)	84 905	1,698.10	(1,688.10)	10 00 S
9/23 9/26	Sale High Cost	EQT CORPORATION @ 92 22 276.66 BROKERAGE 0 07 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 26884L-10-9)	(3 000)	92 193	276 58	(305 62)	(29.04) S
10/2 10/7	Sale High Cost	SEM GROUP CORP @ 81.4653 896.12 BROKERAGE 0.17 TAX &/OR SEC 02 UBS SECURITIES LLC (ID 81663A-10-5)	(11 000)	81 448	895 93	(725 33)	170 60 S
10/2 10/7	Sale High Cost	DTE ENERGY CO @ 76 3538 458.12 BROKERAGE 0.09 TAX &/OR SEC 01 UBS SECURITIES LLC (ID: 233331-10-7)	(6,000)	76.337	458.02	(431 14)	26 88 S

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/2 10/7	Sale High Cost	NISOURCE INC @ 40.5472 324.38 BROKERAGE 0.12 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 65473P-10-5)	(8 000)	40.531	324 25	(280.63)	43 62 S
10/2 10/7	Sale High Cost	SEMPRA ENERGY @ 104.8601 419.44 BROKERAGE 0 06 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 816851-10-9)	(4,000)	104.843	419 37	(378 03)	41.34 S
10/8 10/14	Sale High Cost	SEMPRA ENERGY @ 106.7945 854.36 BROKERAGE 0.12 TAX &/OR SEC .02 KNIGHT EQUITY MARKETS L P. (ID: 816851-10-9)	(8,000)	106.778	854.22	(756.07)	98.15 S
10/15 10/20	Sale High Cost	TETRA TECH INC NEW @ 24.1527 676 28 BROKERAGE 0.42 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 88162G-10-3)	(28,000)	24.138	675.85	(810 62)	(134.77) S
10/15 10/20	Sale High Cost	URS CORP @ 52.8888 2,274 22 BROKERAGE 0 65 TAX &/OR SEC .05 RBC CAPITAL MARKETS CORPORATION (ID: 903236-10-7)	(43,000)	52 873	2,273.52	(1,993.86)	279.66 S
10/16 10/21	Sale High Cost	TETRA TECH INC NEW @ 24.3399 535 48 BROKERAGE 0.33 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 88162G-10-3)	(22,000)	24 325	535 14	(636 91)	(101.77) S
10/17 10/22	Sale High Cost	TETRA TECH INC NEW @ 24.7447 346.43 BROKERAGE 0.21 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 88162G-10-3)	(14 000)	24 729	346 21	(405.31)	(59.10) S
10/20 10/23	Sale High Cost	STATOIL ASA SPONS ADR @ 22.8078 1,345 66 BROKERAGE 0 89 TAX &/OR SEC .03 RBC CAPITAL MARKETS CORPORATION (ID: 85771P-10-2)	(59,000)	22 792	1,344 74	(1,592.51)	(247 77) S
10/20 10/23	Sale High Cost	TETRA TECH INC NEW @ 24 7445 197.96 BROKERAGE 0.12 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 88162G-10-3)	(8,000)	24 729	197 83	(231 60)	(33.77) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
10/21 10/24	Sale High Cost		TETRA TECH INC NEW @ 24 8983 547.76 BROKERAGE 0.33 TAX &/OR SEC.01 UBS SECURITIES LLC (ID. 88162G-10-3)	(22.000)	24 883	547.42	(608.20)	(60.78) S
10/30 11/4	Sale High Cost		NEWFIELD EXPLORATION CO @ 31.9562 159 78 BROKERAGE 0.08 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 651230-10-8)	(5.000)	31 938	159 69	(138.46)	21.23 S
10/30 11/4	Sale High Cost		TRINITY INDUSTRIES INC @ 34.4158 344.16 BROKERAGE 0 15 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. WASH SALE ADJUSTMENT 11/04/14 WASH SALE ADJUSTMENT 11/10/14 (ID 896522-10-9)	(10.000)	34.40	344.00	(344.00)	
10/30 11/4	Sale High Cost		CARBO CERAMICS INC @ 50 0145 450.13 BROKERAGE 0.14 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID 140781-10-5)	(9.000)	49 998	449 98	(1,125.16)	(675.18) S
10/30 11/4	Sale High Cost		FLOWERVE CORP @ 66.1918 330.96 BROKERAGE 0 08 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L.P. (ID. 34354P-10-5)	(5.000)	66.174	330 87	(403 89)	(73.02) S
10/30 11/4	Sale High Cost		SKF AB SPONS ADR PAR 12.50 SWEDISH KR @ 19.9855 339 75 BROKERAGE 0 26 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID. 784375-40-4)	(17.000)	19 969	339 48	(456 09)	(116.61) S
10/30 11/4	Sale High Cost		CLEAN HARBORS INC @ 49.0341 343 24 BROKERAGE 0 11 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID. 184496-10-7)	(7.000)	49 017	343.12	(329 80)	13 32 S
10/30 11/4	Sale High Cost		IDEX CORP @ 73 8829 147.77 BROKERAGE 0 03 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID 45167R-10-4)	(2.000)	73 865	147.73	(150 57)	(2 84) S
10/30 11/4	Sale High Cost		AGCO CORP @ 43 5957 653 94 BROKERAGE 0 23 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L.P. (ID: 001084-10-2)	(15.000)	43 58	653 70	(793 45)	(139 75) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
10/30 11/4	Sale High Cost		CHICAGO BRIDGE & IRON CO N V @ 53.793 591.72 BROKERAGE 0.17 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. WASH SALE ADJUSTMENT 11/04/14 (ID: 167250-10-9)	(11.000)	53.776	591.54	(886.58)	(295.04) S
10/30 11/4	Sale High Cost		MURPHY USA INC-W/I @ 56.6879 226.75 BROKERAGE 0.06 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 626755-10-2)	(4.000)	56.67	226.68	(222.20)	4.48 S
10/30 11/4	Sale High Cost		DARLING INGREDIENTS INC @ 17.2843 345.69 BROKERAGE 0.30 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. WASH SALE ADJUSTMENT 11/10/14 (ID: 237266-10-1)	(20.000)	17.269	345.38	(363.54)	(18.16) S
10/30 11/4	Sale High Cost		TIMKEN CO @ 41.602 208.01 BROKERAGE 0.08 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 887389-10-4)	(5.000)	41.584	207.92	(238.00)	(30.08) S
10/31 11/5	Sale High Cost		AGCO CORP @ 43.9262 131.78 BROKERAGE 0.05 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 001084-10-2)	(3.000)	43.907	131.72	(157.39)	(25.67) S
10/31 11/5	Sale High Cost		DARLING INGREDIENTS INC @ 17.5023 280.04 BROKERAGE 0.24 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 237266-10-1)	(16.000)	17.487	279.79	(324.73)	(44.94) S
10/31 11/5	Sale High Cost		MURPHY USA INC-W/I @ 57.0689 114.14 BROKERAGE 0.03 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 626755-10-2)	(2.000)	57.05	114.10	(111.10)	3.00 S
10/31 11/5	Sale High Cost		TIMKEN CO @ 42.3675 381.31 BROKERAGE 0.14 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 887389-10-4)	(9.000)	42.351	381.16	(428.39)	(47.23) S
10/31 11/5	Sale High Cost		IDEX CORP @ 74.7476 224.24 BROKERAGE 0.05 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 45167R-10-4)	(3.000)	74.727	224.18	(225.85)	(1.67) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
11/3 11/6	Sale High Cost		IDEX CORP @ 74.5916 74 59 BROKERAGE 0 02 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID 45167R-10-4)	(1.000)	74.56	74.56	(75.28)	(0.72) S
11/19 11/24	Sale High Cost		SUPERIOR ENERGY SERVICES INC @ 24.2632 752.16 BROKERAGE 0.47 TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 11/24/14 (ID 868157-10-8)	(31.000)	24.247	751.67	(848.99)	(97.32) S
11/19 11/24	Sale High Cost		SUPERIOR ENERGY SERVICES INC @ 24.475 24 48 BROKERAGE 0 02 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 868157-10-8)	(1.000)	24.45	24.45	(29.11)	(4.66) S
11/19 11/24	Sale High Cost		SUPERIOR ENERGY SERVICES INC @ 24.02 120.10 BROKERAGE 0 08 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 868157-10-8)	(5.000)	24.002	120.01	(145.57)	(25.56) S
11/20 11/25	Sale High Cost		SUPERIOR ENERGY SERVICES INC @ 24.9322 423.85 BROKERAGE 0.26 TAX &/OR SEC 01 MORGAN STANLEY & CO. LLC (ID. 868157-10-8)	(17.000)	24.916	423.58	(508.69)	(85.11) S
11/26 11/26	Cash In Lieu		KEYSIGHT TECHNOLOGIES, INC CASH IN LIEU OF FRACTIONAL SHARES (ID: 49338L-10-3)			15.57		15.57 L
11/21 11/26	Sale High Cost		KEYSIGHT TECHNOLOGIES, INC @ 32.2334 419.03 BROKERAGE 0 20 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID. 49338L-10-3)	(13.000)	32.217	418.82	(420.14)	(1.32) S
11/28 11/28	LT Capital Gain Distribution		TORTOISE MLP & PIPELINE-INS 11/25/14 LONG TERM CAPITAL GAINS @ 0.806 PER SHARE AS OF 11/25/14 (ID. 56166Y-40-4)	1,396.707	0.806	1,125.06	—	
11/24 11/28	Sale High Cost		KEYSIGHT TECHNOLOGIES, INC @ 32.5119 455.17 BROKERAGE 0.21 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID. 49338L-10-3)	(14.000)	32.496	454.95	(448.94)	6.01 S
12/2 12/2	Cash In Lieu		KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF FRACTIONAL SHARES (ID: 49455U-10-0)			41.92		41.92 L

J.P. Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
12/1 12/4	Sale High Cost		HALLIBURTON CO @ 40.8807 531.45 BROKERAGE 0.20 TAX &/OR SEC .01 ABEL NOSER CORP (ID 406216-10-1)	(13.000)	40.865	531.24	(740.27)	(209.03) S
12/1 12/4	Sale High Cost		BAKER HUGHES INC @ 56.2492 562.49 BROKERAGE 0.15 TAX &/OR SEC .01 SANFORD C. BERNSTEIN & CO. INC. (SCB (ID. 057224-10-7)	(10 000)	56 233	562.33	(647 37)	(85.04) S
12/2 12/5	Sale High Cost		CALIFORNIA RESOURCES COR-W/I @ 7.2147 57.72 BROKERAGE 0.12 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 13057Q-10-7)	(8.000)	7.199	57.59	N/A **	N/A
12/10 12/15	Sale High Cost		AIRGAS INC @ 112.1618 785 13 BROKERAGE 0.10 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID 009363-10-2)	(7 000)	112.146	785 02	(756.50)	28 52 S
12/10 12/15	Sale High Cost		HONEYWELL INTERNATIONAL INC @ 98 2401 392 96 BROKERAGE 0 06 RBC CAPITAL MARKETS CORPORATION (ID 438516-10-6)	(4 000)	98 225	392.90	(378 61)	14 29 S
12/10 12/15	Sale High Cost		DTE ENERGY CO @ 82.4858 1,979 65 BROKERAGE 0.36 TAX &/OR SEC 04 RBC CAPITAL MARKETS CORPORATION (ID 233331-10-7)	(24.000)	82 469	1,979.25	(1,724 55)	254.70 S
12/10 12/15	Sale High Cost		NISOURCE INC @ 41.1065 657.70 BROKERAGE 0 24 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 65473P-10-5)	(16.000)	41 091	657.45	(561.27)	96.18 S
12/10 12/15	Sale High Cost		NUCOR CORP @ 53 497 641.96 BROKERAGE 0.18 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 670346-10-5)	(12.000)	53.481	641.77	(605.91)	35.86 S
12/10 12/15	Sale High Cost		QUESTAR CORP @ 24 507 882.25 BROKERAGE 0.54 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID 748356-10-2)	(36.000)	24 492	881 70	(856.38)	25 32 S
12/16 12/16	Cash In Lieu		KINDER MORGAN INC CASH IN LIEU OF FRACTIONAL SHARES (ID: 49456B-10-1)			22.80		22 80 L

J.P. Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/12 12/17	Sale High Cost	MRC GLOBAL INC @ 14.2147 1,023.45 BROKERAGE 1 08 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID: 55345K-10-3)	(72.000)	14.199	1,022.35	(1,882.09)	(859.74) S
12/12 12/17	Sale High Cost	SEMPRA ENERGY @ 108.825 544.12 BROKERAGE 0 07 TAX &/OR SEC 01 UBS SECURITIES LLC (ID: 816851-10-9)	(5.000)	108.808	544.04	(472.54)	71.50 S
12/12 12/17	Sale High Cost	IDEX CORP @ 74.5766 372.88 BROKERAGE 0.07 S G COWEN & CO WASH SALE ADJUSTMENT 01/02/15 WASH SALE ADJUSTMENT 01/06/15 (ID: 45167R-10-4)	(5.000)	74.562	372.81	(373.53)	(0.72) S
12/15 12/18	Sale High Cost	MRC GLOBAL INC @ 13.8765 346.91 BROKERAGE 0.37 MORGAN STANLEY & CO LLC (ID: 55345K-10-3)	(25.000)	13.862	346.54	(573.15)	(226.61) S
12/15 12/18	Sale High Cost	VEOLIA ENVIRONNEMENT ADR @ 17.0733 904.88 BROKERAGE 0.79 TAX &/OR SEC. 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 92334N-10-3)	(53.000)	17.058	904.07	(1,006.71)	(102.64) S
12/16 12/19	Sale High Cost	VEOLIA ENVIRONNEMENT ADR @ 17.5004 245.00 BROKERAGE 0.21 UBS SECURITIES LLC (ID: 92334N-10-3)	(14.000)	17.485	244.79	(265.92)	(21.13) S
12/16 12/19	Sale High Cost	VEOLIA ENVIRONNEMENT ADR @ 17.5498 70.19 BROKERAGE 0.06 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 92334N-10-3)	(4.000)	17.533	70.13	(75.98)	(5.85) S
12/17 12/22	Sale High Cost	VEOLIA ENVIRONNEMENT ADR @ 17.4575 506.26 BROKERAGE 0.43 TAX &/OR SEC 01 UBS SECURITIES LLC (ID: 92334N-10-3)	(29.000)	17.442	505.82	(550.84)	(45.02) S
12/18 12/23	Sale High Cost	VEOLIA ENVIRONNEMENT ADR @ 17.9792 89.89 BROKERAGE 0.07 CITIGROUP GLOBAL MKTS INC (ID: 92334N-10-3)	(5.000)	17.964	89.82	(94.97)	(5.15) S
12/19 12/24	Sale High Cost	CHART INDUSTRIES INC @ 34.9761 349.76 BROKERAGE 0.15 GOLDMAN SACHS & CO. (ID: 16115Q-30-8)	(10.000)	34.961	349.61	(879.42)	(529.81) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/22 12/26	Sale High Cost	CHART INDUSTRIES INC @ 33.674 538.78 BROKERAGE 0 24 TAX &/OR SEC.01 CREDIT SUISSE FIRST BOSTON LLC (ID: 16115Q-30-8)	(16,000)	33 658	538 53	(1,384.85)	(846.32) S
12/22 12/26	Sale High Cost	NUCOR CORP @ 49 2806 542 08 BROKERAGE 0 16 TAX &/OR SEC.01 CITIGROUP GLOBAL MKTS INC (ID: 670346-10-5)	(11 000)	49 265	541.91	(555 42)	(13.51) S
12/22 12/26	Sale High Cost	NUCOR CORP @ 49 0232 735 34 BROKERAGE 0 22 TAX &/OR SEC.01 SJ LEVINSON & SONS LLC (ID: 670346-10-5)	(15 000)	49 007	735 11	(757 39)	(22.28) S
12/22 12/26	Sale High Cost	NUCOR CORP @ 49.1269 687.77 BROKERAGE 0 21 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 670346-10-5)	(14 000)	49 111	687 55	(706 89)	(19 34) S
12/23 12/29	Sale High Cost	CHART INDUSTRIES INC @ 35 1832 35 18 BROKERAGE 0.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 16115Q-30-8)	(1,000)	35 17	35 17	(83.65)	(48 48) S
12/23 12/29	Sale High Cost	CHART INDUSTRIES INC @ 34.4824 413.78 BROKERAGE 0.18 CREDIT SUISSE FIRST BOSTON LLC (ID: 16115Q-30-8)	(12,000)	34.467	413.60	(946 62)	(533.02) S
12/30 12/30	Cash In Lieu	CALIFORNIA RESOURCES COR-W/ CASH IN LIEU OF FRACTIONAL SHARES (ID: 13057Q-10-7)			4.67		4 67 L
12/24 12/30	Sale High Cost	CHART INDUSTRIES INC @ 34 0831 238.58 BROKERAGE 0 10 CITIGROUP GLOBAL MKTS INC (ID: 16115Q-30-8)	(7,000)	34,069	238 48	(310.50)	(72.02) S
12/29 12/30	Sale High Cost	MURPHY USA INC-W/ @ 69 1515 207.45 BROKERAGE 0.04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 62675S-10-2)	(3,000)	69 137	207.41	(154.60)	52 81 S
12/29 12/30	Sale High Cost	TRINITY INDUSTRIES INC @ 29.1908 437.86 BROKERAGE 0.22 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 896522-10-9)	(15,000)	29,176	437.64	(532.57)	(94.93) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/29 12/30	Sale High Cost	AGCO CORP @ 46.4747 371.79 BROKERAGE 0.12 MERRILL LYNCH PIERCE FENNER & SMIT (ID 001084-10-2)	(8,000)	46.459	371.67	(419.72)	(48.05) S
12/29 12/30	Sale High Cost	NEWFIELD EXPLORATION CO @ 27.4227 411.34 BROKERAGE 0.22 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 01/13/15 (ID 651290-10-8)	(15,000)	27.408	411.12	(413.95)	(2.83) S
12/29 12/30	Sale High Cost	TIMKEN CO @ 44.1464 176.58 BROKERAGE 0.06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 887389-10-4)	(4,000)	44.13	176.52	(190.40)	(13.88) S
12/29 12/30	Sale High Cost	DARLING INGREDIENTS INC. @ 18.1103 307.87 BROKERAGE 0.25 WEEDEN AND CO (ID. 237266-10-1)	(17,000)	18.095	307.62	(356.53)	(48.91) S
12/26 12/31	Sale High Cost	CHART INDUSTRIES INC @ 34.5541 103.66 BROKERAGE 0.04 CREDIT SUISSE FIRST BOSTON LLC (ID. 16115Q-30-8)	(3,000)	34.54	103.62	(133.07)	(29.45) S
12/30 12/31	Sale High Cost	TIMKEN CO @ 43.6605 742.22 BROKERAGE 0.25 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 887389-10-4)	(17,000)	43.645	741.96	(724.27)	17.69 S
12/30 12/31	Sale High Cost	TRINITY INDUSTRIES INC @ 28.3461 1,303.92 BROKERAGE 0.69 TAX &/OR SEC. 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 896522-10-9)	(46,000)	28.331	1,303.21	(1,633.21)	(330.00) S
12/30 12/31	Sale High Cost	AGCO CORP @ 45.8039 916.07 BROKERAGE 0.30 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 001084-10-2)	(20,000)	45.788	915.75	(1,049.29)	(133.54) S
12/30 12/31	Sale High Cost	NEWFIELD EXPLORATION CO @ 27.3027 1,665.46 BROKERAGE 0.91 TAX &/OR SEC. 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 651290-10-8)	(61,000)	27.287	1,664.52	(1,689.19)	(24.67) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
12/30 12/31	Sale High Cost		SKF AB SPONS ADR PAR 12.50 SWEDISH KR @ 21.2987 575.06 BROKERAGE 0.40 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID: 784375-40-4)	(27.000)	21.283	574.65	(724.38)	(149.73) S
12/30 12/31	Sale High Cost		DARLING INGREDIENTS INC @ 18.189 800.31 BROKERAGE 0.66 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID 237266-10-1)	(44.000)	18.174	799.64	(893.01)	(93.37) S
12/31 1/2	Sale High Cost		AGCO CORP @ 45.2801 1,086.72 BROKERAGE 0.36 TAX &/OR SEC .02 MORGAN STANLEY & CO LLC (ID 001084-10-2)	(24.000)	45.264	1,086.34	(1,259.16)	(172.82) S
12/31 1/2	Sale High Cost		DARLING INGREDIENTS INC. @ 18.1991 345.78 BROKERAGE 0.28 MORGAN STANLEY & CO LLC (ID. 237266-10-1)	(19.000)	18.184	345.50	(385.62)	(40.12) S
12/31 1/2	Sale High Cost		SKF AB SPONS ADR PAR 12.50 SWEDISH KR @ 21.00 21.00 BROKERAGE 0.01 MORGAN STANLEY & CO LLC (ID 784375-40-4)	(1.000)	20.99	20.99	(26.83)	(5.84) S
12/31 1/2	Sale High Cost		TIMKEN CO @ 43.2948 519.53 BROKERAGE 0.18 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID 887389-10-4)	(12.000)	43.278	519.34	(511.25)	8.09 S
12/31 1/2	Sale High Cost		AGCO CORP @ 45.2649 1,357.94 BROKERAGE 0.45 TAX &/OR SEC .03 MORGAN STANLEY & CO. LLC (ID: 001084-10-2)	(30.000)	45.249	1,357.46	(1,558.11)	(200.65) S
12/31 1/2	Sale High Cost		DARLING INGREDIENTS INC. @ 18.2114 418.86 BROKERAGE 0.34 MORGAN STANLEY & CO LLC (ID. 237266-10-1)	(23.000)	18.197	418.52	(466.80)	(48.28) S
12/31 1/2	Sale High Cost		SKF AB SPONS ADR PAR 12.50 SWEDISH KR @ 20.90 20.90 BROKERAGE 0.01 MORGAN STANLEY & CO LLC (ID 784375-40-4)	(1.000)	20.89	20.89	(26.83)	(5.94) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/31 1/2	Sale High Cost	TIMKEN CO @ 42.8859 557.51 BROKERAGE 0.19 TAX & OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 887389-10-4)	(13.000)	42.87	557.31	(553.85)	3.46 S
1/2 1/5	Sale High Cost	TIMKEN CO @ 42.4107 933.03 BROKERAGE 0.33 TAX & OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 887389-10-4)	(22.000)	42.395	932.68	(937.29)	(4.61) S
1/2 1/5	Sale High Cost	DARLING INGREDIENTS INC @ 18.1537 835.07 BROKERAGE 0.69 TAX & OR SEC .01 WEEDEN AND CO (ID: 237266-10-1)	(46.000)	18.138	834.37	(933.59)	(99.22) S
1/2 1/5	Sale High Cost	SKF AB SPONS ADR PAR 12 50 SWEDISH KR @ 20.8015 790.45 BROKERAGE 0.57 TAX & OR SEC .01 MORGAN STANLEY & CO LLC (ID: 784375-40-4)	(38.000)	20.786	789.87	(1,019.51)	(229.64) S
1/5 1/6	Sale High Cost	SKF AB SPONS ADR PAR 12 50 SWEDISH KR @ 20.31 121.86 BROKERAGE 0.09 MORGAN STANLEY & CO. LLC (ID: 784375-40-4)	(6.000)	20.295	121.77	(160.97)	(39.20) S
1/5 1/6	Sale High Cost	TIMKEN CO @ 41.9018 83.80 BROKERAGE 0.03 MORGAN STANLEY & CO LLC (ID: 887389-10-4)	(2.000)	41.885	83.77	(85.21)	(1.44) S
1/5 1/6	Sale High Cost	TIMKEN CO @ 41.594 499.12 BROKERAGE 0.18 TAX & OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 887389-10-4)	(12.000)	41.578	498.93	(511.25)	(12.32) S
1/5 1/6	Sale High Cost	DARLING INGREDIENTS INC. @ 18.0731 1,228.97 BROKERAGE 1.02 TAX & OR SEC .02 KNIGHT EQUITY MARKETS L.P. (ID: 237266-10-1)	(68.000)	18.058	1,227.93	(1,375.62)	(147.69) S
1/6 1/7	Sale High Cost	SKF AB SPONS ADR PAR 12 50 SWEDISH KR @ 20.3233 20.32 BROKERAGE 0.01 DEUTSCHE BANC ALEX BROWN INC (ID: 784375-40-4)	(1.000)	20.31	20.31	(26.83)	(6.52) S
1/7 1/8	Sale High Cost	SKF AB SPONS ADR PAR 12 50 SWEDISH KR @ 20.2465 1,174.29 BROKERAGE 0.87 TAX & OR SEC .02 DEUTSCHE BANC ALEX BROWN INC (ID: 784375-40-4)	(58.000)	20.231	1,173.40	(1,513.71)	(340.31) S

J.P.Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
1/8 1/13	Sale High Cost		ENERGEN CORP @ 61.7291 123 45 BROKERAGE 0 03 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 01/13/15 (ID: 29265N-10-8)	(2.000)	61.71	123.42	(123.42)	
1/15 1/21	Sale High Cost		FLOWERVE CORP @ 55.8245 1,618.91 BROKERAGE 0.43 TAX &/OR SEC .03 RBC CAPITAL MARKETS CORPORATION WASH SALE ADJUSTMENT 01/21/15 (ID: 34354P-10-5)	(29.000)	55.809	1,618.45	(1,818.20)	(199.75) S
1/15 1/21	Sale High Cost		SCHLUMBERGER LTD @ 76.5856 536 09 BROKERAGE 0.10 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 808857-10-8)	(7.000)	76.569	535.98	(684.45)	(148.47) S
1/16 1/22	Sale High Cost		FLOWERVE CORP @ 55.1144 826.71 BROKERAGE 0 22 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 34354P-10-5)	(15.000)	55.099	826.48	(1,278.98)	(452.50) S
1/22 1/27	Sale High Cost		NABORS INDUSTRIES LTD @ 10.8469 1,204.00 BROKERAGE 1.66 TAX &/OR SEC .02 RBC CAPITAL MARKETS CORPORATION (ID: G6359F-10-3)	(111.000)	10.832	1,202.32	(2,424.59)	(1,222.27) S
2/17 2/20	Sale High Cost		SEMPRA ENERGY @ 106.4247 2,341.34 BROKERAGE 0.33 TAX &/OR SEC .04 RBC CAPITAL MARKETS CORPORATION (ID: 816851-10-9)	(22.000)	106.408	2,340.97	(2,079.18)	261.79 S
2/17 2/20	Sale High Cost		FLUOR CORP @ 57.5919 2,534.04 BROKERAGE 0.66 TAX &/OR SEC .04 S G COWEN & CO (ID: 343412-10-2)	(44.000)	57.576	2,533.34	(3,352.17)	(818.83) S
2/19 2/24	Sale High Cost		CARBO CERAMICS INC @ 40.0414 40 04 BROKERAGE 0.01 ABEL NOSER CORP WASH SALE ADJUSTMENT 02/24/15 (ID: 140781-10-5)	(1.000)	40.03	40.03	(40.03)	
2/19 2/24	Sale High Cost		CARBO CERAMICS INC @ 39.7925 278.54 BROKERAGE 0.10 RBC CAPITAL MARKETS CORPORATION WASH SALE ADJUSTMENT 02/24/15 (ID: 140781-10-5)	(7.000)	39.777	278.44	(534.16)	(255.72) S

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/24 2/27	Sale High Cost	CARBO CERAMICS INC @ 37.4224 411.64 BROKERAGE 0.16 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 140781-10-5)	(11.000)	37.407	411.48	(1,375.19)	(963.71) S
2/24 2/27	Sale High Cost	CARBO CERAMICS INC @ 36.8782 73.75 BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID: 140781-10-5)	(2.000)	36.86	73.72	(250.03)	(176.31) S
2/24 2/27	Sale High Cost	CARBO CERAMICS INC @ 37.40 37.40 BROKERAGE 0.01 DEUTSCHE BANC ALEX BROWN INC (ID: 140781-10-5)	(1.000)	37.39	37.39	(125.02)	(87.63) S
2/24 2/27	Sale High Cost	CARBO CERAMICS INC @ 36.8643 331.77 BROKERAGE 0.13 LIQUIDNET INC (ID: 140781-10-5)	(9.000)	36.849	331.64	(1,086.83)	(755.19) S
3/19 3/24	Sale High Cost	AGILENT TECHNOLOGIES INC @ 42.0828 336.66 BROKERAGE 0.12 RBC CAPITAL MARKETS CORPORATION (ID: 00846U-10-1)	(8.000)	42.068	336.54	(338.74)	(2.20) S
3/19 3/24	Sale High Cost	ROPER INDUSTRIES INC @ 168.7664 675.06 BROKERAGE 0.06 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 776696-10-6)	(4.000)	168.748	674.99	(542.62)	132.37 L
3/27 4/1	Sale High Cost	QEP RESOURCES INC @ 21.0796 231.88 BROKERAGE 0.17 JEFFERIES & COMPANY (ID: 74733V-10-0)	(11.000)	21.065	231.71	(347.95)	(116.24) S
3/30 4/2	Sale High Cost	QEP RESOURCES INC @ 21.0707 1,222.10 BROKERAGE 0.87 TAX &/OR SEC .02 MORGAN STANLEY & CO. LLC (ID: 74733V-10-0)	(58.000)	21.055	1,221.21	(1,622.14)	(275.46) L (125.47) S
4/9 4/14	Sale High Cost	NEWFIELD EXPLORATION CO @ 37.1409 519.97 BROKERAGE 0.21 RBC CAPITAL MARKETS CORPORATION (ID: 651290-10-8)	(14.000)	37.126	519.76	(387.68)	132.08 L

Total Settled Sales/Maturities/Redemptions

\$95,174.00 (\$101,692.14) \$122.76 L
(12,800.00) (\$9,492.64) S
Less: Advisor Sale
Less: call on bond Indors
91,081.80 1,000,000.00 (9,771.82) S
7246

J.P. Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

S indicates Short Term Realized Gain/Loss

** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale. Please contact your J.P. Morgan team for additional information

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
5/16 5/21	Sale High Cost		RYLAND GROUP INC @ 37.392 1,084.37 BROKERAGE 0.44 TAX &/OR SEC .02 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(29.000)	37.376	1,083.91	(1,343.70)	(259.79) S
5/19 5/22	Sale High Cost		RYLAND GROUP INC @ 37.0998 296.80 BROKERAGE 0.12 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 783764-10-3)	(8.000)	37.084	296.67	(370.68)	(74.01) S
5/19 5/22	Sale High Cost		RYLAND GROUP INC @ 37.2152 111.65 BROKERAGE 0.05 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(3.000)	37.197	111.59	(139.00)	(27.41) S
5/19 5/22	Sale High Cost		RYLAND GROUP INC @ 37.0833 148.33 BROKERAGE 0.06 TAX &/OR SEC .01 IVY SECURITIES, INC. (ID: 783764-10-3)	(4.000)	37.065	148.26	(185.34)	(37.08) S
5/20 5/23	Sale High Cost		RYLAND GROUP INC @ 36.5842 182.92 BROKERAGE 0.08 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(5.000)	36.566	182.83	(231.67)	(48.84) S
5/20 5/23	Sale High Cost		RYLAND GROUP INC @ 36.6646 953.28 BROKERAGE 0.39 TAX &/OR SEC .02 BARCLAYS CAPITAL LE (ID: 783764-10-3)	(26.000)	36.649	952.87	(1,204.70)	(251.83) S
5/21 5/27	Sale High Cost		RYLAND GROUP INC @ 36.3532 399.89 BROKERAGE 0.17 TAX &/OR SEC .01 SANFORD C. BERNSTEIN & CO. INC. (SCB (ID: 783764-10-3)	(11.000)	36.337	399.71	(509.68)	(109.97) S
5/21 5/27	Sale High Cost		RYLAND GROUP INC @ 36.3067 254.15 BROKERAGE 0.11 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 783764-10-3)	(7.000)	36.29	254.03	(324.34)	(70.31) S

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LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/21 5/27	Sale High Cost		RYLAND GROUP INC @ 36 41 36 41 BROKERAGE 0 02 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(1.000)	36.38	36.38	(46.33)	(9.95) S
5/21 5/27	Sale High Cost		RYLAND GROUP INC @ 36 25 36 25 BROKERAGE 0.02 TAX &/OR SEC 01 LIQUIDNET INC (ID: 783764-10-3)	(1.000)	36.22	36.22	(46.33)	(10.11) S
5/21 5/27	Sale High Cost		RYLAND GROUP INC @ 36 2278 72.46 BROKERAGE 0.03 TAX &/OR SEC 01 IVY SECURITIES, INC. (ID 783764-10-3)	(2.000)	36.21	72.42	(92.67)	(20.25) S
5/21 5/27	Sale High Cost		RYLAND GROUP INC @ 36 3299 399.63 BROKERAGE 0 17 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID: 783764-10-3)	(11.000)	36.314	399.45	(509.68)	(110.23) S
5/22 5/28	Sale High Cost		RYLAND GROUP INC @ 36 7637 477.93 BROKERAGE 0 20 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID: 783764-10-3)	(13.000)	36.748	477.72	(602.35)	(124.63) S
5/22 5/28	Sale High Cost		RYLAND GROUP INC @ 36.9045 479.76 BROKERAGE 0 20 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(13.000)	36.888	479.55	(602.35)	(122.80) S
5/23 5/29	Sale High Cost		RYLAND GROUP INC @ 37 6601 1,280 44 BROKERAGE 0 51 TAX &/OR SEC 03 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(34.000)	37.644	1,279.90	(1,575.39)	(295.49) S
5/23 5/29	Sale High Cost		RYLAND GROUP INC @ 37 535 37 54 BROKERAGE 0.02 TAX &/OR SEC 01 LIQUIDNET INC (ID: 783764-10-3)	(1.000)	37.51	37.51	(46.33)	(8.82) S
5/27 5/30	Sale High Cost		RYLAND GROUP INC @ 37 7492 452 99 BROKERAGE 0 18 TAX &/OR SEC 01 MORGAN STANLEY & CO. LLC (ID: 783764-10-3)	(12.000)	37.733	452.80	(556.02)	(103.22) S
5/27 5/30	Sale High Cost		RYLAND GROUP INC @ 37 7737 37.77 BROKERAGE 0 02 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 783764-10-3)	(1.000)	37.74	37.74	(46.33)	(8.59) S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/28 6/2	Sale High Cost		RYLAND GROUP INC @ 37 6431 414 07 BROKERAGE 0.17 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID 783764-10-3)	(11,000)	37.626	413.89	(509.69)	(95.80) S
6/25 6/30	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.9493 1,621.06 BROKERAGE 0.87 TAX &/OR SEC .04 GOLDMAN SACHS & CO. (ID. 42805T-10-5)	(58,000)	27.934	1,620.15	(1,668.09)	(47.94) S
6/25 6/30	Sale High Cost		MC DONALDS CORP @ 101.4034 912 63 BROKERAGE 0.14 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID. 580135-10-1)	(9,000)	101.386	912.47	(858.18)	54.29 S
6/25 6/30	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.9388 419.08 BROKERAGE 0.23 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 42805T-10-5)	(15,000)	27.923	418.84	(431.40)	(12.56) S
6/25 6/30	Sale High Cost		MC DONALDS CORP @ 101.6208 4,166.45 BROKERAGE 0.62 TAX &/OR SEC .09 CREDIT SUISSE FIRST BOSTON LLC (ID. 580135-10-1)	(41,000)	101.603	4,165.74	(3,909.46)	256.28 S
6/25 6/30	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.8709 418.06 BROKERAGE 0.23 TAX &/OR SEC .01 BARCLAYS CAPITAL LE (ID 42805T-10-5)	(15,000)	27.855	417.82	(431.40)	(13.58) S
6/25 7/1	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.8424 167.05 BROKERAGE 0.09 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID. 42805T-10-5)	(6,000)	27.825	166.95	(172.56)	(5.61) S
6/26 7/1	Sale High Cost		MC DONALDS CORP @ 101.4286 1,115.71 BROKERAGE 0.17 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 580135-10-1)	(11,000)	101.411	1,115.52	(1,048.88)	66.64 S
6/26 7/1	Sale High Cost		MC DONALDS CORP @ 101.3957 2,940.48 BROKERAGE 0.44 TAX &/OR SEC 06 INSTINET (ID: 580135-10-1)	(29,000)	101.379	2,939.98	(2,765.23)	174.75 S
6/26 7/1	Sale High Cost		MC DONALDS CORP @ 101.3102 202 62 BROKERAGE 0.03 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID 580135-10-1)	(2,000)	101.29	202.58	(190.71)	11.87 S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
6/26 7/1	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.9828 2,266.61 BROKERAGE 1.22 TAX &/OR SEC.05 GOLDMAN SACHS & CO. (ID: 42805T-10-5)	(81 000)	27.967	2,265.34	(2,329.58)	(64.24) S
6/26 7/1	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 28.0354 168.21 BROKERAGE 0.09 TAX &/OR SEC.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 42805T-10-5)	(6.000)	28.018	168.11	(172.56)	(4.45) S
6/26 7/1	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.9867 391.81 BROKERAGE 0.21 TAX &/OR SEC.01 INSTINET (ID 42805T-10-5)	(14.000)	27.971	391.59	(402.64)	(11.05) S
6/26 7/1	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.9867 111.95 BROKERAGE 0.06 TAX &/OR SEC.01 INSTINET (ID 42805T-10-5)	(4.000)	27.97	111.88	(115.04)	(3.16) S
6/26 7/1	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 28.0046 504.08 BROKERAGE 0.27 TAX &/OR SEC.01 STATE STREET BROKERAGE SVCS (ID: 42805T-10-5)	(18 000)	27.989	503.80	(517.68)	(13.88) S
6/26 7/1	Sale High Cost		MC DONALDS CORP @ 101.4125 101.41 BROKERAGE 0.02 TAX &/OR SEC.01 INSTINET (ID: 580135-10-1)	(1 000)	101.38	101.38	(95.35)	6.03 S
6/27 7/2	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 27.9921 1,343.62 BROKERAGE 0.72 TAX &/OR SEC.03 GOLDMAN SACHS & CO (ID 42805T-10-5)	(48 000)	27.976	1,342.87	(1,380.49)	(37.62) S
6/30 7/7	Sale High Cost		HERTZ GLOBAL HOLDINGS INC @ 28.104 955.54 BROKERAGE 0.51 TAX &/OR SEC.02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 42805T-10-5)	(34.000)	28.089	955.01	(977.85)	(22.84) S
7/18 7/23	Sale High Cost		NXP SEMICONDUCTORS NV @ 66.0463 1,453.02 BROKERAGE 0.33 TAX &/OR SEC.03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: N6596X-10-9)	(22.000)	66.03	1,452.66	(1,237.62)	215.04 S
7/18 7/23	Sale High Cost		HESS CORP @ 98.7969 1,086.77 BROKERAGE 0.17 TAX &/OR SEC.02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 42809H-10-7)	(11.000)	98.78	1,086.58	(879.74)	206.84 S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
7/23 7/28	Sale High Cost		BOEING CO @ 126 7781 4,056.90 BROKERAGE 0.48 TAX &/OR SEC 09 MORGAN STANLEY & CO LLC (ID 097023-10-5)	(32,000)	126.76	4,056.33	(4,147.59)	(91.26) S
7/23 7/28	Sale High Cost		BOEING CO @ 127.11 1,016.88 BROKERAGE 0.12 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L.P. (ID 097023-10-5)	(8,000)	127.093	1,016.74	(1,036.90)	(20.16) S
8/6 8/11	Sale High Cost		ATMEL CORP @ 8 5721 1,757.28 BROKERAGE 3.08 TAX &/OR SEC .04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 049513-10-4)	(205,000)	8 557	1,754.16	(1,672.33)	81.83 S
8/7 8/12	Sale High Cost		ATMEL CORP @ 7.9308 547.23 BROKERAGE 1.04 TAX &/OR SEC .01 S G COWEN & CO (ID: 049513-10-4)	(69,000)	7.916	546.18	(562.88)	(16.70) S
8/7 8/13	Sale High Cost		ATMEL CORP @ 7.8519 172.74 BROKERAGE 0.33 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID 049513-10-4)	(22,000)	7.836	172.40	(179.47)	(7.07) S
8/13 8/18	Sale High Cost		XYLEM INCORPORATION @ 36.7303 110.19 BROKERAGE 0 05 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID 98419M-10-0)	(3,000)	36.71	110.13	(118.31)	(8.18) S
8/13 8/18	Sale High Cost		WALT DISNEY CO @ 87 6208 2,015.28 BROKERAGE 0 35 TAX &/OR SEC .04 MORGAN STANLEY & CO. LLC (ID 254687-10-6)	(23 000)	87.604	2,014.89	(1,874.62)	140.27 S
8/13 8/18	Sale High Cost		XYLEM INCORPORATION @ 36.7106 256.97 BROKERAGE 0 11 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID 98419M-10-0)	(7,000)	36.693	256.85	(276.04)	(19.19) S
8/13 8/18	Sale High Cost		ATMEL CORP @ 8 2799 943.91 BROKERAGE 1 71 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 049513-10-4)	(114 000)	8 265	942.18	(929.98)	12.20 S
8/13 8/18	Sale High Cost		ATMEL CORP @ 8 2946 282.02 BROKERAGE 0 51 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 049513-10-4)	(34 000)	8.279	281.50	(277.36)	4.14 S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/14 8/19	Sale High Cost	ATMEL CORP @ 8 262 156.98 BROKERAGE 0 29 TAX &OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 049513-10-4)	(19,000)	8 246	156.68	(155.00)	1 68 S
8/14 8/19	Sale High Cost	ATMEL CORP @ 8 30 398.40 BROKERAGE 0 72 TAX &OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 049513-10-4)	(48,000)	8 285	397.67	(391.57)	6.10 S
8/14 8/19	Sale High Cost	XYLEM INCORPORATION @ 36.6567 366.57 BROKERAGE 0 15 TAX &OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 98419M-10-0)	(10,000)	36 641	366.41	(394 35)	(27.94) S
8/14 8/19	Sale High Cost	ATMEL CORP @ 8 265 8 27 BROKERAGE 0 02 TAX &OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 049513-10-4)	(1,000)	8.24	8.24	(8.16)	0.08 S
8/14 8/20	Sale High Cost	ATMEL CORP @ 8 2605 156 95 BROKERAGE 0 29 TAX &OR SEC 01 INSTINET (ID: 049513-10-4)	(19,000)	8 245	156.65	(155 00)	1.65 S
8/15 8/20	Sale High Cost	XYLEM INCORPORATION @ 36 6003 146 40 BROKERAGE 0 06 TAX &OR SEC .01 JEFFERIES & COMPANY (ID 98419M-10-0)	(4,000)	36 583	146.33	(157.74)	(11.41) S
8/15 8/20	Sale High Cost	ATMEL CORP @ 8 3005 390.12 BROKERAGE 0 71 TAX &OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 049513-10-4)	(47,000)	8 285	389 40	(383 41)	5.99 S
8/15 8/20	Sale High Cost	ATMEL CORP @ 8 2385 238.92 BROKERAGE 0 44 TAX &OR SEC .01 SJ LEVINSON & SONS LLC (ID 049513-10-4)	(29,000)	8.223	238.47	(236 57)	1.90 S
8/15 8/20	Sale High Cost	ATMEL CORP @ 8 215 8.22 BROKERAGE 0.02 TAX &OR SEC .01 STATE STREET BROKERAGE SVCS (ID: 049513-10-4)	(1 000)	8.19	8.19	(8.16)	0.03 S
8/15 8/20	Sale High Cost	XYLEM INCORPORATION @ 36.5533 109.66 BROKERAGE 0 05 TAX &OR SEC .01 STATE STREET BROKERAGE SVCS (ID: 98419M-10-0)	(3 000)	36 533	109 60	(118 31)	(8.71) S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/15 8/21	Sale High Cost		XYLEM INCORPORATION @ 36.7382 330.64 BROKERAGE 0.14 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 98419M-10-0)	(9,000)	36.721	330.49	(354.91)	(24.42) S
8/18 8/21	Sale High Cost		ATMEL CORP @ 8.2805 16.56 BROKERAGE 0.03 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS LP. (ID: 049513-10-4)	(2,000)	8.26	16.52	(16.32)	0.20 S
8/18 8/21	Sale High Cost		ATMEL CORP @ 8.2755 206.89 BROKERAGE 0.38 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 049513-10-4)	(25,000)	8.26	206.50	(203.94)	2.56 S
8/18 8/21	Sale High Cost		XYLEM INCORPORATION @ 37.2892 335.60 BROKERAGE 0.14 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 98419M-10-0)	(9,000)	37.272	335.45	(354.92)	(19.47) S
8/18 8/21	Sale High Cost		ATMEL CORP @ 8.245 16.49 BROKERAGE 0.03 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID: 049513-10-4)	(2,000)	8.225	16.45	(16.32)	0.13 S
8/18 8/21	Sale High Cost		ATMEL CORP @ 8.2642 752.04 BROKERAGE 2.05 TAX &/OR SEC 02 OPPENHEIMER & CO INC (ID: 049513-10-4)	(91,000)	8.241	749.97	(742.34)	7.63 S
8/19 8/22	Sale High Cost		XYLEM INCORPORATION @ 37.5082 337.57 BROKERAGE 0.14 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 98419M-10-0)	(9,000)	37.491	337.42	(354.91)	(17.49) S
8/19 8/22	Sale High Cost		XYLEM INCORPORATION @ 37.41 374.10 BROKERAGE 0.15 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID: 98419M-10-0)	(10,000)	37.394	373.94	(394.35)	(20.41) S
8/19 8/22	Sale High Cost		NXP SEMICONDUCTORS NV @ 63.128 1,325.69 BROKERAGE 0.32 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: N6596X-10-9)	(21,000)	63.111	1,325.34	(1,181.36)	143.98 S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
8/19	Sale	High Cost	NXP SEMICONDUCTORS NV @ 63.5301 317.65 BROKERAGE 0.08 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID: N6596X-10-9)	(5 000)	63.512	317.56	(281.28)	36.28 S
8/22								
8/19	Sale	High Cost	NXP SEMICONDUCTORS NV @ 63.0654 378.39 BROKERAGE 0.09 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID: N6596X-10-9)	(6 000)	63.048	378.29	(337.53)	40.76 S
8/22								
8/19	Sale	High Cost	NXP SEMICONDUCTORS NV @ 63.1006 883.41 BROKERAGE 0.32 TAX &/OR SEC 02 MERRILL LYNCH PROF CLEARING CORP. (ID: N6596X-10-9)	(14,000)	63.076	883.07	(787.58)	95.49 S
8/22								
8/19	Sale	High Cost	ATMEL CORP @ 8.4144 589.01 BROKERAGE 1.58 TAX &/OR SEC 01 OPPENHEIMER & CO INC. (ID: 049513-10-4)	(70 000)	8.392	587.42	(571.03)	16.39 S
8/22								
8/19	Sale	High Cost	ATMEL CORP @ 8.4212 50.53 BROKERAGE 0.09 TAX &/OR SEC 01 INSTINET (ID: 049513-10-4)	(6 000)	8.405	50.43	(48.95)	1.48 S
8/22								
8/19	Sale	High Cost	ATMEL CORP @ 8.4213 320.01 BROKERAGE 0.57 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID: 049513-10-4)	(38,000)	8.406	319.43	(309.99)	9.44 S
8/22								
8/19	Sale	High Cost	NXP SEMICONDUCTORS NV @ 63.1241 441.87 BROKERAGE 0.11 TAX &/OR SEC 01 STATE STREET BROKERAGE SVCS (ID: N6596X-10-9)	(7 000)	63.107	441.75	(393.79)	47.96 S
8/22								
8/20	Sale	High Cost	NXP SEMICONDUCTORS NV @ 64.0059 128.01 BROKERAGE 0.03 TAX &/OR SEC 01 INSTINET (ID: N6596X-10-9)	(2,000)	63.985	127.97	(112.51)	15.46 S
8/25								
8/20	Sale	High Cost	NXP SEMICONDUCTORS NV @ 64.0848 1,409.87 BROKERAGE 0.33 TAX &/OR SEC 03 MORGAN STANLEY & CO. LLC (ID: N6596X-10-9)	(22,000)	64.069	1,409.51	(1,237.62)	171.89 S
8/25								
8/20	Sale	High Cost	NXP SEMICONDUCTORS NV @ 64.081 1,666.11 BROKERAGE 0.39 TAX &/OR SEC 04 CREDIT SUISSE FIRST BOSTON LLC (ID: N6596X-10-9)	(26,000)	64.065	1,665.68	(1,462.64)	203.04 S
8/25								

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
8/20 8/25	Sale High Cost	XYLEM INCORPORATION @ 37.9233 379.23 BROKERAGE 0 15 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC (ID: 98419M-10-0)	(10,000)	37,907	379.07	(394.35)	(15.28) S
8/20 8/25	Sale High Cost	NXP SEMICONDUCTORS NV @ 63.745 254.98 BROKERAGE 0 06 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: N6596X-10-9)	(4,000)	63,728	254.91	(225.02)	29.89 S
8/20 8/25	Sale High Cost	XYLEM INCORPORATION @ 37.9231 341.31 BROKERAGE 0 14 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID: 98419M-10-0)	(9,000)	37,907	341.16	(354.92)	(13.76) S
8/20 8/25	Sale High Cost	NXP SEMICONDUCTORS NV @ 63.7786 318.89 BROKERAGE 0 08 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID: N6596X-10-9)	(5,000)	63,76	318.80	(281.28)	37.52 S
8/20 8/26	Sale High Cost	XYLEM INCORPORATION @ 37.9015 37.90 BROKERAGE 0 02 TAX &/OR SEC .01 INSTINET (ID: 98419M-10-0)	(1,000)	37,87	37.87	(39.43)	(1.56) S
8/21 8/26	Sale High Cost	NXP SEMICONDUCTORS NV @ 64.135 641.35 BROKERAGE 0 15 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: N6596X-10-9)	(10,000)	64,119	641.19	(562.55)	78.64 S
8/21 8/26	Sale High Cost	NXP SEMICONDUCTORS NV @ 64.0445 1,024.71 BROKERAGE 0 24 TAX &/OR SEC .02 SJ LEVINSON & SONS LLC (ID: N6596X-10-9)	(16,000)	64,028	1,024.45	(900.09)	124.36 S
8/21 8/26	Sale High Cost	XYLEM INCORPORATION @ 37.8044 226.83 BROKERAGE 0 09 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID: 98419M-10-0)	(6,000)	37,788	226.73	(236.61)	(9.88) S
8/21 8/27	Sale High Cost	NXP SEMICONDUCTORS NV @ 64.0723 128.14 BROKERAGE 0 03 TAX &/OR SEC .01 INSTINET (ID: N6596X-10-9)	(2,000)	64,05	128.10	(112.51)	15.59 S
8/22 8/27	Sale High Cost	NXP SEMICONDUCTORS NV @ 65.3594 2,352.94 BROKERAGE 0 54 TAX &/OR SEC .05 GOLDMAN SACHS & CO (ID: N6596X-10-9)	(36,000)	65,343	2,352.35	(2,025.19)	327.16 S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
8/25 8/28	Sale High Cost		NXP SEMICONDUCTORS NV @ 65 3749 1,111.37 BROKERAGE 0.26 TAX & OR SEC. 02 MORGAN STANLEY & CO LLC (ID: N6596X-10-9)	(17,000)	65 358	1,111.09	(956.34)	154.75 S
9/10 9/15	Sale High Cost		RITE AID CORP @ 6.3573 2,784 50 BROKERAGE 6 57 TAX & OR SEC. 06 S G COWEN & CO (ID 767754-10-4)	(438 000)	6 342	2,777.87	(3,200.47)	(422.60) S
9/10 9/15	Sale High Cost		RITE AID CORP @ 6.343 1,452 55 BROKERAGE 3 44 TAX & OR SEC. 03 GOLDMAN SACHS & CO. (ID. 767754-10-4)	(229 000)	6 328	1,449.08	(1,662.97)	(213.89) S
9/10 9/15	Sale High Cost		RITE AID CORP @ 6.4098 705 08 BROKERAGE 1 65 TAX & OR SEC. 02 STATE STREET BROKERAGE SVCS (ID 767754-10-4)	(110 000)	6.395	703 41	(794.72)	(91.31) S
9/11 9/16	Sale High Cost		RITE AID CORP @ 6 4869 1,174.13 BROKERAGE 2 72 TAX & OR SEC. 03 MORGAN STANLEY & CO. LLC (ID 767754-10-4)	(181,000)	6 472	1,171.38	(1,305.53)	(134.15) S
9/11 9/16	Sale High Cost		RITE AID CORP @ 6 55 189 95 BROKERAGE 0.44 TAX & OR SEC. 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 767754-10-4)	(29 000)	6.534	189.50	(208 01)	(18.51) S
9/11 9/16	Sale High Cost		RITE AID CORP @ 6 555 124.55 BROKERAGE 0.43 TAX & OR SEC. 01 CREDIT SUISSE FIRST BOSTON LLC (ID: 767754-10-4)	(19 000)	6.532	124 11	(136.28)	(12.17) S
9/11 9/16	Sale High Cost		RITE AID CORP @ 6.5191 1,701.49 BROKERAGE 3.92 TAX & OR SEC. 04 S G COWEN & CO (ID: 767754-10-4)	(261,000)	6.504	1,697.53	(1,869.41)	(171.88) S
9/16 9/19	Sale High Cost		DEMANDWARE @ 50.216 1,054.54 BROKERAGE 0.32 TAX & OR SEC. 02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 24802Y-10-5)	(21,000)	50.20	1,054.20	(1,331.80)	(277.60) S



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
<u>Settle Date</u>								
Settled Sales/Maturities/Redemptions								
9/16 9/19	Sale High Cost		DEMANDWARE @ 50.6319 202.53 BROKERAGE 0 06 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 24802Y-10-5)	(4,000)	50.615	202.46	(245.85)	(43.39) S
9/17 9/22	Sale High Cost		DEMANDWARE @ 51.156 255.78 BROKERAGE 0 08 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 24802Y-10-5)	(5,000)	51.138	255.69	(305.39)	(49.70) S
9/17 9/22	Sale High Cost		DEMANDWARE @ 50.975 101.95 BROKERAGE 0.03 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 24802Y-10-5)	(2,000)	50.955	101.91	(121.18)	(19.27) S
9/17 9/22	Sale High Cost		DEMANDWARE @ 51.38 205.52 BROKERAGE 0 09 TAX &/OR SEC .01 BAIRD (ROBERT W.) & CO. INCORPORAT (ID: 24802Y-10-5)	(4,000)	51.355	205.42	(242.12)	(36.70) S
9/18 9/23	Sale High Cost		DEMANDWARE @ 51.70 155.10 BROKERAGE 0 07 TAX &/OR SEC .01 BAIRD (ROBERT W.) & CO INCORPORAT (ID: 24802Y-10-5)	(3,000)	51.673	155.02	(181.51)	(26.49) S
9/18 9/23	Sale High Cost		DEMANDWARE @ 53.0822 159.25 BROKERAGE 0.05 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC (ID: 24802Y-10-5)	(3,000)	53.063	159.19	(181.36)	(22.17) S
9/18 9/23	Sale High Cost		DEMANDWARE @ 52.575 157.73 BROKERAGE 0 05 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 24802Y-10-5)	(3,000)	52.557	157.67	(180.31)	(22.64) S
9/18 9/23	Sale High Cost		DEMANDWARE @ 52.0917 104.18 BROKERAGE 0 03 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID: 24802Y-10-5)	(2,000)	52.07	104.14	(120.21)	(16.07) S
9/17 9/24	Sale High Cost		DEMANDWARE @ 51.244 204.98 BROKERAGE 0.06 TAX &/OR SEC .01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 24802Y-10-5)	(4,000)	51.228	204.91	(239.97)	(35.06) S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/19 9/24	Sale High Cost		DEMANDWARE @ 55 9529 55 95 BROKERAGE 0.02 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID 24802Y-10-5)	(1.000)	55.92	55.92	(59.95)	(4.03) S
9/30 10/3	Sale High Cost		BOEING CO @ 128.1068 768 64 BROKERAGE 0.09 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 097023-10-5)	(6.000)	128.088	768.53	(777.67)	(9.14) S
9/30 10/6	Sale High Cost		BOEING CO @ 127.9991 2,047.99 BROKERAGE 0.24 TAX &/OR SEC .05 MORGAN STANLEY & CO LLC (ID 097023-10-5)	(16.000)	127.981	2,047.70	(2,073.79)	(26.09) S
10/1 10/6	Sale High Cost		BOEING CO @ 124.67 374.01 BROKERAGE 0.05 TAX &/OR SEC .01 JEFFERIES & COMPANY (ID 097023-10-5)	(3.000)	124.65	373.95	(388.84)	(14.89) S
10/1 10/6	Sale High Cost		BOEING CO @ 124.8419 1,872.63 BROKERAGE 0.23 TAX &/OR SEC .04 SJ LEVINSON & SONS LLC (ID 097023-10-5)	(15.000)	124.824	1,872.36	(1,944.18)	(71.82) S
10/1 10/6	Sale High Cost		BOEING CO @ 124.8485 873.94 BROKERAGE 0.11 TAX &/OR SEC .02 CITIGROUP GLOBAL MKTS INC (ID 097023-10-5)	(7.000)	124.83	873.81	(907.28)	(33.47) S
10/1 10/6	Sale High Cost		BOEING CO @ 124.9327 624.66 BROKERAGE 0.08 TAX &/OR SEC .01 INSTINET (ID: 097023-10-5)	(5.000)	124.914	624.57	(648.06)	(23.49) S
10/1 10/6	Sale High Cost		BOEING CO @ 124.9141 624.57 BROKERAGE 0.08 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID: 097023-10-5)	(5.000)	124.896	624.48	(648.06)	(23.58) S
10/8 10/14	Sale High Cost		MONSANTO CO @ 108.7074 543.54 BROKERAGE 0.08 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 61166W-10-1)	(5.000)	108.69	543.45	(603.28)	(59.83) S
10/8 10/14	Sale High Cost		MONSANTO CO @ 109.1407 2,182.81 BROKERAGE 0.30 TAX &/OR SEC .05 UBS SECURITIES LLC (ID: 61166W-10-1)	(20.000)	109.123	2,182.46	(2,409.29)	(226.83) S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/9 10/15	Sale High Cost	MONSANTO CO @ 108 328 1,191.61 BROKERAGE 0.17 TAX &/OR SEC 03 MORGAN STANLEY & CO. LLC (ID 61166W-10-1)	(11,000)	108.31	1,191.41	(1,319.47)	(128.06) S
10/9 10/15	Sale High Cost	MONSANTO CO @ 109 165 218 33 BROKERAGE 0.05 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC (ID 61166W-10-1)	(2,000)	109.135	218.27	(239.71)	(21.44) S
10/9 10/15	Sale High Cost	MONSANTO CO @ 109 0227 327 07 BROKERAGE 0.05 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 61166W-10-1)	(3,000)	109.003	327.01	(359.57)	(32.56) S
10/9 10/15	Sale High Cost	MONSANTO CO @ 108 2718 4,763.96 BROKERAGE 0.66 TAX &/OR SEC .11 SJ LEVINSON & SONS LLC (ID 61166W-10-1)	(44 000)	108.254	4,763.19	(5,229.11)	(465.92) S
10/14 10/17	Sale High Cost	QUALCOMM INC @ 72 1715 3,608.58 BROKERAGE 0.75 TAX &/OR SEC 08 MORGAN STANLEY & CO. LLC (ID 747525-10-3)	(50 000)	72.155	3,607.75	(4,088.91)	(481.16) S
10/14 10/17	Sale High Cost	QUALCOMM INC @ 72 3834 289.53 BROKERAGE 0.06 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 747525-10-3)	(4,000)	72.365	289.46	(327.05)	(37.59) S
10/14 10/17	Sale High Cost	QUALCOMM INC @ 72.0668 648.60 BROKERAGE 0.14 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID 747525-10-3)	(9,000)	72.05	648.45	(735.85)	(87.40) S
10/14 10/17	Sale High Cost	HOME DEPOT INC @ 89.6496 2,420.54 BROKERAGE 0.41 TAX &/OR SEC .05 MACQUARIE SECURITIES (USA) INC (ID: 437076-10-2)	(27,000)	89.633	2,420.08	(2,238.05)	182.03 S
10/14 10/17	Sale High Cost	DEMANDWARE @ 50.75 101.50 BROKERAGE 0.03 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID. 24802Y-10-5)	(2,000)	50.73	101.46	(119.91)	(18.45) S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/14 10/17	Sale High Cost	DEMANDWARE @ 49.4203 395.36 BROKERAGE 0.12 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID 24802Y-10-5)	(8.000)	49.404	395.23	(479.56)	(84.33) S
10/14 10/17	Sale High Cost	DEMANDWARE @ 50.1685 301.01 BROKERAGE 0.09 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 24802Y-10-5)	(6.000)	50.152	300.91	(359.23)	(58.32) S
10/14 10/20	Sale High Cost	DEMANDWARE @ 49.46 98.92 BROKERAGE 0.03 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID 24802Y-10-5)	(2.000)	49.44	98.88	(119.57)	(20.69) S
10/15 10/20	Sale High Cost	DEMANDWARE @ 49.8445 1,046.73 BROKERAGE 0.32 TAX &/OR SEC .02 CITIGROUP GLOBAL MKTS INC (ID 24802Y-10-5)	(21.000)	49.828	1,046.39	(1,253.01)	(206.62) S
10/15 10/20	Sale High Cost	DEMANDWARE @ 50.1831 1,003.66 BROKERAGE 0.30 TAX &/OR SEC .02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 24802Y-10-5)	(20.000)	50.167	1,003.34	(1,179.10)	(175.76) S
10/15 10/20	Sale High Cost	DEMANDWARE @ 50.9065 610.88 BROKERAGE 0.18 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID: 24802Y-10-5)	(12.000)	50.891	610.69	(700.43)	(89.74) S
10/15 10/20	Sale High Cost	DEMANDWARE @ 52.1165 573.28 BROKERAGE 0.17 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID 24802Y-10-5)	(11.000)	52.10	573.10	(618.20)	(45.10) S
10/16 10/21	Sale High Cost	DEMANDWARE @ 53.2675 426.14 BROKERAGE 0.12 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID: 24802Y-10-5)	(8.000)	53.251	426.01	(431.23)	(5.22) S
10/16 10/21	Sale High Cost	DEMANDWARE @ 53.4716 213.89 BROKERAGE 0.06 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID 24802Y-10-5)	(4.000)	53.455	213.82	(214.47)	(0.65) S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
10/17 10/22	Sale High Cost		DEMANDWARE @ 55.4085 55.41 BROKERAGE 0.02 TAX &/OR SEC .01 GOLDMAN SACHS & CO (ID 24802Y-10-5)	(1,000)	55.38	55.38	(53.39)	1.99 S
10/17 10/22	Sale High Cost		DEMANDWARE @ 55.9145 447.32 BROKERAGE 0.12 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID 24802Y-10-5)	(8,000)	55.899	447.19	(426.75)	20.44 S
10/17 10/22	Sale High Cost		DEMANDWARE @ 56.3238 506.91 BROKERAGE 0.14 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 24802Y-10-5)	(9,000)	56.307	506.76	(472.65)	34.11 S
10/17 10/22	Sale High Cost		DEMANDWARE @ 56.1185 448.95 BROKERAGE 0.12 TAX &/OR SEC .01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 24802Y-10-5)	(8,000)	56.103	448.82	(419.18)	29.64 S
10/17 10/22	Sale High Cost		DEMANDWARE @ 54.925 219.70 BROKERAGE 0.06 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID 24802Y-10-5)	(4,000)	54.908	219.63	(209.57)	10.06 S
10/22 10/27	Sale High Cost		LAS VEGAS SANDS CORP @ 64.054 1,217.03 BROKERAGE 0.29 TAX &/OR SEC .03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 517834-10-7)	(19,000)	64.037	1,216.71	(1,610.93)	(394.22) S
10/22 10/27	Sale High Cost		CATERPILLAR INC @ 95.0542 2,471.41 BROKERAGE 0.39 TAX &/OR SEC .05 MORGAN STANLEY & CO. LLC (ID: 149123-10-1)	(26,000)	95.037	2,470.97	(2,520.76)	(49.79) S
10/22 10/27	Sale High Cost		LAS VEGAS SANDS CORP @ 64.0775 961.16 BROKERAGE 0.23 TAX &/OR SEC .02 STATE STREET BROKERAGE SVCS (ID: 517834-10-7)	(15,000)	64.061	960.91	(1,271.78)	(310.87) S
10/22 10/27	Sale High Cost		LAS VEGAS SANDS CORP @ 63.703 2,484.42 BROKERAGE 0.59 TAX &/OR SEC .05 CITIGROUP GLOBAL MKTS INC (ID: 517834-10-7)	(39,000)	63.687	2,483.78	(3,306.64)	(822.86) S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
10/22 10/27	Sale High Cost		CATERPILLAR INC @ 95.52 95.52 BROKERAGE 0.02 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID 149123-10-1)	(1.000)	95.49	95.49	(96.95)	(1.46) S
10/23 10/28	Sale High Cost		LAS VEGAS SANDS CORP @ 63.522 127.04 BROKERAGE 0 03 TAX &/OR SEC .01 INSTINET (ID: 517834-10-7)	(2.000)	63.50	127.00	(169.57)	(42.57) S
10/23 10/28	Sale High Cost		LAS VEGAS SANDS CORP @ 63.905 511.24 BROKERAGE 0 12 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 517834-10-7)	(8.000)	63.889	511.11	(678.29)	(167.18) S
10/23 10/28	Sale High Cost		LAS VEGAS SANDS CORP @ 63.3805 1,584.51 BROKERAGE 0.38 TAX &/OR SEC 04 UBS SECURITIES LLC (ID: 517834-10-7)	(25.000)	63.364	1,584.09	(2,119.64)	(535.55) S
10/23 10/28	Sale High Cost		LAS VEGAS SANDS CORP @ 63.92 255.68 BROKERAGE 0 06 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 517834-10-7)	(4.000)	63.903	255.61	(339.14)	(83.53) S
10/23 10/28	Sale High Cost		LAS VEGAS SANDS CORP @ 63.7586 892.62 BROKERAGE 0 21 TAX &/OR SEC 02 STATE STREET BROKERAGE SVCS (ID: 517834-10-7)	(14.000)	63.742	892.39	(1,187.00)	(294.61) S
10/24 10/29	Sale High Cost		MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 102.9426 2,985.34 BROKERAGE 0 44 TAX &/OR SEC 07 CREDIT SUISSE FIRST BOSTON LLC (ID 582839-10-6)	(29.000)	102.925	2,984.83	(2,377.06)	607.77 S
10/24 10/29	Sale High Cost		LAS VEGAS SANDS CORP @ 62.7074 250.83 BROKERAGE 0 06 TAX &/OR SEC 01 MORGAN STANLEY & CO. LLC (ID 517834-10-7)	(4.000)	62.69	250.76	(339.14)	(88.38) S
10/24 10/29	Sale High Cost		LAS VEGAS SANDS CORP @ 62.5849 62.58 BROKERAGE 0.02 TAX &/OR SEC 01 INSTINET (ID: 517834-10-7)	(1.000)	62.55	62.55	(84.79)	(22.24) S
10/24 10/29	Sale High Cost		LAS VEGAS SANDS CORP @ 62.5267 500.21 BROKERAGE 0 12 TAX &/OR SEC 01 LIQUIDNET INC (ID: 517834-10-7)	(8.000)	62.51	500.08	(678.29)	(178.21) S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
10/24	Sale	High Cost	LAS VEGAS SANDS CORP @ 62.5912 1,689.96 BROKERAGE 0.61 TAX &/OR SEC.04 BARCLAYS CAPITAL LE (ID: 517834-10-7)	(27,000)	62.567	1,689.31	(2,044.45)	(355.14) S
10/29								
10/24	Sale	High Cost	LAS VEGAS SANDS CORP @ 62.31 62.31 BROKERAGE 0.01 TAX &/OR SEC.01 ACADEMY SECURITIES, INC (ID: 517834-10-7)	(1,000)	62.29	62.29	(73.13)	(10.84) S
10/29								
10/24	Sale	High Cost	LAS VEGAS SANDS CORP @ 62.6063 62.61 BROKERAGE 0.02 TAX &/OR SEC.01 STATE STREET BROKERAGE SVCS (ID: 517834-10-7)	(1,000)	62.58	62.58	(73.13)	(10.55) S
10/29								
10/27	Sale	High Cost	MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 102.0153 612.09 BROKERAGE 0.09 TAX &/OR SEC.01 MORGAN STANLEY & CO. LLC (ID: 582839-10-6)	(6,000)	101.998	611.99	(491.81)	120.18 S
10/30								
10/27	Sale	High Cost	LAS VEGAS SANDS CORP @ 61.9483 433.64 BROKERAGE 0.16 TAX &/OR SEC.01 BARCLAYS CAPITAL LE (ID: 517834-10-7)	(7,000)	61.924	433.47	(511.91)	(78.44) S
10/30								
10/27	Sale	High Cost	LAS VEGAS SANDS CORP @ 62.0342 62.03 BROKERAGE 0.02 TAX &/OR SEC.01 BARCLAYS CAPITAL LE (ID: 517834-10-7)	(1,000)	62.00	62.00	(73.13)	(11.13) S
10/30								
10/28	Sale	High Cost	LAS VEGAS SANDS CORP @ 60.1265 120.25 BROKERAGE 0.05 TAX &/OR SEC.01 BARCLAYS CAPITAL LE (ID: 517834-10-7)	(2,000)	60.095	120.19	(146.26)	(26.07) S
10/31								
10/31	Sale	High Cost	CELGENE CORPORATION @ 108.1433 1,838.44 BROKERAGE 0.26 TAX &/OR SEC.04 UBS SECURITIES LLC (ID: 151020-10-4)	(17,000)	108.126	1,838.14	(1,391.36)	446.78 S
11/5								
10/31	Sale	High Cost	CELGENE CORPORATION @ 107.1645 750.15 BROKERAGE 0.11 TAX &/OR SEC.02 UBS SECURITIES LLC (ID: 151020-10-4)	(7,000)	107.146	750.02	(572.91)	177.11 S
11/5								



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
11/4 11/7	Sale High Cost		KEYSIGHT TECHNOLOGIES, INC @ 30.4859 518.26 BROKERAGE 0.26 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 49338L-10-3)	(17,000)	30.47	517.99	N/A **	N/A
11/4 11/7	Sale High Cost		KEYSIGHT TECHNOLOGIES, INC @ 30.2166 302.17 BROKERAGE 0.15 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 49338L-10-3)	(10,000)	30.201	302.01	N/A **	N/A
11/4 11/7	Sale High Cost		KEYSIGHT TECHNOLOGIES, INC @ 30.4323 1,339.02 BROKERAGE 0.66 TAX &/OR SEC .03 CITIGROUP GLOBAL MKTS INC (ID: 49338L-10-3)	(44,000)	30.417	1,338.33	N/A **	N/A
11/4 11/7	Sale High Cost		KEYSIGHT TECHNOLOGIES, INC @ 30.2896 484.63 BROKERAGE 0.24 TAX &/OR SEC .01 STATE STREET BROKERAGE SVCS (ID: 49338L-10-3)	(16,000)	30.274	484.38	N/A **	N/A
11/20 11/25	Sale High Cost		DISH NETWORK CORP CL A @ 73.6148 2,208.44 BROKERAGE 0.45 TAX &/OR SEC .05 UBS SECURITIES LLC (ID: 25470M-10-9)	(30,000)	73.598	2,207.94	(1,949.63)	258.31 S
11/20 11/25	Sale High Cost		DISH NETWORK CORP CL A @ 72.1876 794.06 BROKERAGE 0.17 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 25470M-10-9)	(11,000)	72.17	793.87	(714.77)	79.10 S
11/20 11/25	Sale High Cost		DISH NETWORK CORP CL A @ 73.42 513.94 BROKERAGE 0.11 TAX &/OR SEC .01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 25470M-10-9)	(7,000)	73.403	513.82	(454.86)	58.96 S
11/20 11/25	Sale High Cost		DISH NETWORK CORP CL A @ 73.3912 513.74 BROKERAGE 0.11 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 25470M-10-9)	(7,000)	73.374	513.62	(454.86)	58.76 S
11/20 11/25	Sale High Cost		DISH NETWORK CORP CL A @ 72.2918 433.75 BROKERAGE 0.09 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 25470M-10-9)	(6,000)	72.275	433.65	(389.88)	43.77 S



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
11/20	Sale		DISH NETWORK CORP CL A @ 73.8726 517.11	(7,000)	73.856	516.99	(454.85)	62.14 S
11/25	High Cost		BROKERAGE 0.11 TAX &/OR SEC.01 STATE STREET BROKERAGE SVCS (ID: 25470M-10-9)					
11/21	Sale		DISH NETWORK CORP CL A @ 74.2574 668.32	(9,000)	74.241	668.17	(584.81)	83.36 S
11/26	High Cost		BROKERAGE 0.14 TAX &/OR SEC.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 25470M-10-9)					
11/21	Sale		DISH NETWORK CORP CL A @ 73.7696 2,508.85	(34,000)	73.773	2,508.28	(2,170.98)	337.30 S
11/26	High Cost		BROKERAGE 0.51 TAX &/OR SEC.06 CREDIT SUISSE FIRST BOSTON LLC (ID: 25470M-10-9)					
11/21	Sale		DISH NETWORK CORP CL A @ 73.7093 589.67	(8,000)	73.693	589.54	(502.14)	87.40 S
11/26	High Cost		BROKERAGE 0.12 TAX &/OR SEC.01 INSTINET (ID: 25470M-10-9)					
11/21	Sale		DISH NETWORK CORP CL A @ 73.9392 813.33	(11,000)	73.922	813.14	(690.28)	122.86 S
11/26	High Cost		BROKERAGE 0.17 TAX &/OR SEC.02 CITIGROUP GLOBAL MKTS INC (ID: 25470M-10-9)					
11/24	Sale		DISH NETWORK CORP CL A @ 75.2116 977.75	(13,000)	75.195	977.53	(814.20)	163.33 S
11/28	High Cost		BROKERAGE 0.20 TAX &/OR SEC.02 MORGAN STANLEY & CO LLC (ID: 25470M-10-9)					
12/1	Sale		US SILICA HOLDINGS INC @ 28.5724 485.73	(17,000)	28.556	485.46	(785.95)	(300.49) S
12/4	High Cost		BROKERAGE 0.26 TAX &/OR SEC.01 CITIGROUP GLOBAL MKTS INC (ID: 90346E-10-3)					
12/1	Sale		US SILICA HOLDINGS INC @ 29.154 2,857.09	(98,000)	29.138	2,855.56	(4,421.94)	(1,566.38) S
12/4	High Cost		BROKERAGE 1.47 TAX &/OR SEC.06 UBS SECURITIES LLC (ID: 90346E-10-3)					
12/2	Sale		US SILICA HOLDINGS INC @ 29.603 88.81	(3,000)	29.583	88.75	(131.82)	(43.07) S
12/5	High Cost		0.05 TAX &/OR SEC.01 CITIGROUP GLOBAL MKTS INC (ID: 90346E-10-3)					

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
12/11	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 8.0876 954.33	(118,000)	8.072	952.54	(912.88)	39.66 S
12/16			BROKERAGE 1.77 TAX &/OR SEC 02 BARCLAYS CAPITAL LE (ID: 654902-20-4)					
12/11	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 8.073 1,089.85	(135,000)	8.058	1,087.81	(1,044.40)	43.41 S
12/16			BROKERAGE 2.02 TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC (ID: 654902-20-4)					
12/11	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 8.0535 201.33	(25,000)	8.038	200.96	(193.41)	7.55 S
12/16			BROKERAGE 0 37 CITIGROUP GLOBAL MKTS INC (ID: 654902-20-4)					
12/11	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 8.0921 720.19	(89,000)	8.077	718.85	(688.53)	30.32 S
12/16			BROKERAGE 1.33 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID: 654902-20-4)					
12/12	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 7.9504 1,216.41	(153,000)	7.935	1,214.10	(1,183.66)	30.44 S
12/17			BROKERAGE 2.29 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID: 654902-20-4)					
12/12	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 8.0430 52	(38,000)	8.025	304.95	(293.98)	10.97 S
12/17			BROKERAGE 0.57 BARCLAYS CAPITAL LE (ID: 654902-20-4)					
12/15	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 7.7839 1,081.96	(139,000)	7.769	1,079.86	(1,075.35)	4.51 S
12/18			BROKERAGE 2.08 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID: 654902-20-4)					
12/15	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 7.765 108.71	(14,000)	7.75	108.50	(108.31)	0.19 S
12/18			BROKERAGE 0.21 CITIGROUP GLOBAL MKTS INC (ID: 654902-20-4)					
12/16	Sale	High Cost	NOKIA CORP CL A SPONS ADR @ 7.7592 845.75	(109,000)	7.744	844.11	(843.26)	0.85 S
12/19			BROKERAGE 1.63 TAX &/OR SEC 01 UBS SECURITIES LLC (ID: 654902-20-4)					



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
12/16	Sale		NOKIA CORP CL A SPONS ADR @ 7.7251 1.521.84	(197 000)	7.71	1,518.86	(1,524.05)	(5.19) S
12/19	High Cost		BROKERAGE 2.95 TAX &/OR SEC .03 S G COWEN & CO (ID 654902-20-4)					
12/17	Sale		NOKIA CORP CL A SPONS ADR @ 7.7289 85.01	(11,000)	7.714	84.85	(85.10)	(0.25) S
12/22	High Cost		BROKERAGE 0.16 INSTINET (ID: 654902-20-4)					
12/17	Sale		NOKIA CORP CL A SPONS ADR @ 7.8032 1.911.78	(245,000)	7.788	1,908.07	(1,895.39)	12.68 S
12/22	High Cost		BROKERAGE 3.67 TAX &/OR SEC .04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 654902-20-4)					
1/15	Sale		MICHAEL KORS HOLDINGS LTD DISCOUNT RATE % @	(34,000)	66 456	2,259.51	(2,590.36)	(330.85) S
1/21	High Cost		66.48 2,260 32 BROKERAGE 0.76 TAX &/OR SEC 05 MORGAN STANLEY & CO. LLC (ID: G60754-10-1)					
1/15	Sale		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @	(14,000)	53 837	753.72	(630.58)	123.14 S
1/21	High Cost		53 8533 753.94 BROKERAGE 0.21 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC (ID: 192446-10-2)					
1/15	Sale		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @	(12,000)	53.819	645.83	(540.15)	105.68 S
1/21	High Cost		53.8355 646.02 BROKERAGE 0.18 TAX &/OR SEC .01 S G COWEN & CO (ID. 192446-10-2)					
1/15	Sale		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @	(4 000)	54.058	216.23	(179.93)	36.30 S
1/21	High Cost		54 0726 216.29 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID 192446-10-2)					
1/15	Sale		MICHAEL KORS HOLDINGS LTD @ 67.16 671.60	(10,000)	67.144	671 44	(761 06)	(89.62) S
1/21	High Cost		BROKERAGE 0 15 TAX &/OR SEC .01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID G60754-10-1)					
1/15	Sale		MICHAEL KORS HOLDINGS LTD @ 66 602 666 02	(10,000)	66 586	665.86	(758.03)	(92.17) S
1/21	High Cost		BROKERAGE 0 15 TAX &/OR SEC .01 LIQUIDNET INC (ID G60754-10-1)					
1/15	Sale		MICHAEL KORS HOLDINGS LTD @ 66.75 200.25	(3,000)	66.737	200 21	(226.59)	(26 38) S
1/21	High Cost		BROKERAGE 0.04 STATE STREET GLOBAL MARKETS, LLC. (ID G60754-10-1)					

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
1/15 1/21	Sale High Cost		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 53.8289 2.153.15 BROKERAGE 0.60 TAX &/OR SEC 04 SJ LEVINSON & SONS LLC (ID: 192446-10-2)	(40,000)	53.813	2,152.51	(1,797.70)	354.81 S
1/16 1/22	Sale High Cost		MICHAEL KORS HOLDINGS LTD @ 66.8191 1.737.29 BROKERAGE 0.58 TAX &/OR SEC 03 MORGAN STANLEY & CO. LLC (ID: G60754-10-1)	(26,000)	66.795	1,736.68	(1,961.44)	(224.76) S
1/20 1/23	Sale High Cost		MICHAEL KORS HOLDINGS LTD @ 67.0307 3.753.71 BROKERAGE 1.26 TAX &/OR SEC 08 MORGAN STANLEY & CO. LLC (ID: G60754-10-1)	(56,000)	67.007	3,752.37	(4,125.21)	(372.84) S
1/29 2/3	Sale High Cost		XYLEM INCORPORATION @ 34.0304 2.688.40 BROKERAGE 1.18 TAX &/OR SEC 05 RBC CAPITAL MARKETS CORPORATION (ID: 98419M-10-0)	(79,000)	34.015	2,687.17	(3,115.37)	(428.20) S
1/29 2/3	Sale High Cost		XYLEM INCORPORATION @ 34.115.34 11 BROKERAGE 0 01 CITIGROUP GLOBAL MKTS INC (ID: 98419M-10-0)	(1,000)	34.10	34.10	(39.43)	(5.33) S
1/30 2/4	Sale High Cost		AMAZON COM INC @ 346.7871 8,669.67 BROKERAGE 0.37 TAX &/OR SEC 19 KNIGHT EQUITY MARKETS LP (ID: 023135-10-6)	(25,000)	346.764	8,669.11	(7,743.02)	926.09 S
1/30 2/4	Sale High Cost		XYLEM INCORPORATION @ 34.1296 1.535.83 BROKERAGE 0.67 TAX &/OR SEC 03 RBC CAPITAL MARKETS CORPORATION (ID: 98419M-10-0)	(45,000)	34.114	1,535.13	(1,774.58)	(239.45) S
2/4 2/9	Sale High Cost		XYLEM INCORPORATION @ 35.0481 175.24 BROKERAGE 0.07 CREDIT SUISSE FIRST BOSTON LLC (ID: 98419M-10-0)	(5,000)	35.034	175.17	(197.17)	(22.00) S
2/4 2/9	Sale High Cost		XYLEM INCORPORATION @ 34.9434 244.60 BROKERAGE 0.10 CITIGROUP GLOBAL MKTS INC (ID: 98419M-10-0)	(7,000)	34.929	244.50	(276.05)	(31.55) S
2/4 2/9	Sale High Cost		XYLEM INCORPORATION @ 35.1631 492.28 BROKERAGE 0.21 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC. (ID: 98419M-10-0)	(14,000)	35.147	492.06	(552.09)	(60.03) S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/4	Sale	High Cost	XYLEM INCORPORATION @ 35.0398 175.19 BROKERAGE	(5,000)	35.024	175.12	(197.17)	(22.05) S
2/9			0.07 SJ LEVINSON & SONS LLC (ID: 98419M-10-0)					
2/5	Sale	High Cost	XYLEM INCORPORATION @ 35.0249 35.02 BROKERAGE	(1,000)	35.01	35.01	(39.44)	(4.43) S
2/10			0.01 INSTINET (ID: 98419M-10-0)					
2/5	Sale	High Cost	XYLEM INCORPORATION @ 34.9672 314.70 BROKERAGE	(9,000)	34.952	314.57	(354.91)	(40.34) S
2/10			0.13 MORGAN STANLEY & CO. LLC (ID: 98419M-10-0)					
2/5	Sale	High Cost	XYLEM INCORPORATION @ 35.125 386.37 BROKERAGE	(11,000)	35.103	386.13	(433.79)	(47.66) S
2/10			0.24 WEDBUSH MORGAN SECURITIES INC (ID: 98419M-10-0)					
2/5	Sale	High Cost	XYLEM INCORPORATION @ 35.3578 106.07 BROKERAGE	(3,000)	35.343	106.03	(118.30)	(12.27) S
2/10			0.04 CITIGROUP GLOBAL MKTS INC (ID: 98419M-10-0)					
2/5	Sale	High Cost	XYLEM INCORPORATION @ 35.1081 667.05 BROKERAGE	(19,000)	35.085	666.62	(749.27)	(82.65) S
2/10			0.42 TAX &/OR SEC .01 JONESTRADING INSTITUTIONAL SERVICE (ID: 98419M-10-0)					
2/6	Sale	High Cost	XYLEM INCORPORATION @ 35.275 740.77 BROKERAGE	(21,000)	35.252	740.29	(828.13)	(87.84) S
2/11			0.47 TAX &/OR SEC .01 WEDBUSH MORGAN SECURITIES INC (ID: 98419M-10-0)					
2/6	Sale	High Cost	XYLEM INCORPORATION @ 35.1187 140.47 BROKERAGE	(4,000)	35.103	140.41	(157.74)	(17.33) S
2/11			0.06 CREDIT SUISSE FIRST BOSTON LLC (ID: 98419M-10-0)					
2/6	Sale	High Cost	XYLEM INCORPORATION @ 35.1669 457.16 BROKERAGE	(13,000)	35.151	456.96	(512.66)	(55.70) S
2/11			0.19 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 98419M-10-0)					
2/6	Sale	High Cost	XYLEM INCORPORATION @ 35.295 105.88 BROKERAGE	(3,000)	35.28	105.84	(118.30)	(12.46) S
2/11			0.04 STATE STREET GLOBAL MARKETS, LLC. (ID: 98419M-10-0)					
2/6	Sale	High Cost	XYLEM INCORPORATION @ 35.2324 387.55 BROKERAGE	(11,000)	35.217	387.39	(433.79)	(46.40) S
2/11			0.16 CITIGROUP GLOBAL MKTS INC (ID: 98419M-10-0)					

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
2/9 2/12	Sale High Cost	XYLEM INCORPORATION @ 35 505 106 51 BROKERAGE 0 06 CREDIT SUISSE FIRST BOSTON LLC (ID: 98419M-10-0)	(3,000)	35.483	106.45	(118.31)	(11.86) S
2/9 2/12	Sale High Cost	XYLEM INCORPORATION @ 35 222 598 77 BROKERAGE 0.25 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID 98419M-10-0)	(17,000)	35.206	598.51	(670.39)	(71.88) S
2/11 2/17	Sale High Cost	CATERPILLAR INC @ 83.0236 6,143.74 BROKERAGE 1 11 TAX &/OR SEC 11 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 149123-10-1)	(74,000)	83.007	6,142.52	(7,174.49)	(1,031.97) S
2/26 3/3	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62 6909 438.83 BROKERAGE 0.10 GOLDMAN SACHS & CO. (ID 192446-10-2)	(7,000)	62.676	438.73	(314.24)	124.49 S
2/26 3/3	Sale High Cost	JUNIPER NETWORKS INC @ 24.1311 313.70 BROKERAGE 0.19 GOLDMAN SACHS & CO. (ID. 48203R-10-4)	(13,000)	24.116	313.51	(284.88)	28.63 S
2/26 3/3	Sale High Cost	JUNIPER NETWORKS INC @ 24.0983 771.14 BROKERAGE 0.48 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 48203R-10-4)	(32,000)	24.083	770.65	(701.23)	69.42 S
2/26 3/3	Sale High Cost	JUNIPER NETWORKS INC @ 24.145 193.16 BROKERAGE 0 12 LIQUIDNET INC (ID: 48203R-10-4)	(8,000)	24.13	193.04	(175.25)	17.79 S
2/26 3/3	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62.661 814.59 BROKERAGE 0.19 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 192446-10-2)	(13,000)	62.645	814.39	(583.60)	230.79 S
2/26 3/3	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62 77 125 54 BROKERAGE 0.04 CREDIT SUISSE FIRST BOSTON LLC (ID 192446-10-2)	(2,000)	62.75	125.50	(89.78)	35.72 S
2/26 3/3	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62.5979 375 58 BROKERAGE 0.09 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(6,000)	62.582	375.49	(269.36)	106.13 S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/26 3/3	Sale High Cost	JUNIPER NETWORKS INC @ 24.16 314.08 BROKERAGE 0.19 CITIGROUP GLOBAL MKTS INC (ID: 48203R-10-4)	(13.000)	24.145	313.89	(284.68)	29.21 S
2/26 3/3	Sale High Cost	JUNIPER NETWORKS INC @ 24.0695 48.13 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC. (ID: 48203R-10-4)	(2.000)	24.05	48.10	(43.80)	4.30 S
2/27 3/4	Sale High Cost	JUNIPER NETWORKS INC @ 23.9547 479.09 BROKERAGE 0.30 SJ LEVINSON & SONS LLC (ID: 48203R-10-4)	(20.000)	23.94	478.79	(437.98)	40.81 S
3/17 3/20	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62.2961 1,245.92 BROKERAGE 0.30 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID: 192446-10-2)	(20.000)	62.28	1,245.60	(891.65)	353.95 S
3/26 3/31	Sale High Cost	SANDISK CORP @ 67.1022 6,106.30 BROKERAGE 1.36 TAX &/OR SEC 11 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 80004C-10-1)	(91.000)	67.086	6,104.83	(7,178.95)	(1,074.12) S
3/27 4/1	Sale High Cost	BANK OF AMERICA CORP @ 15.2967 5,552.70 BROKERAGE 5.44 TAX &/OR SEC .10 RBC CAPITAL MARKETS CORPORATION (ID: 060505-10-4)	(363.000)	15.281	5,547.16	(5,991.68)	(444.52) L
3/27 4/1	Sale High Cost	REGIONS FINANCIAL CORP @ 9.2424 2,828.17 BROKERAGE 4.59 TAX &/OR SEC .05 KNIGHT EQUITY MARKETS L.P. (ID: 7591EP-10-0)	(306.000)	9.227	2,823.53	(3,398.31)	(574.78) S
3/27 4/1	Sale High Cost	REGIONS FINANCIAL CORP @ 9.305 9.30 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 7591EP-10-0)	(1.000)	9.29	9.29	(10.95)	(1.66) S
3/27 4/1	Sale High Cost	BANK OF AMERICA CORP @ 15.3698 215.17 BROKERAGE 0.21 KNIGHT EQUITY MARKETS L.P. (ID: 060505-10-4)	(14.000)	15.354	214.96	(231.08)	(16.12) L
3/30 4/2	Sale High Cost	REGIONS FINANCIAL CORP @ 9.3365 709.57 BROKERAGE 1.14 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 7591EP-10-0)	(76.000)	9.321	708.42	(831.48)	(123.06) L



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
3/30 4/2	Sale High Cost		BANK OF AMERICA CORP @ 15.5142 2,249.55 BROKERAGE 2.17 TAX & OR SEC .04 RBC CAPITAL MARKETS CORPORATION (ID: 060505-10-4)	(145 000)	15.499	2,247.34	(2,393.37)	(146 03) L
3/30 4/2	Sale High Cost		BANK OF AMERICA CORP @ 15.5369 3,153.99 BROKERAGE 3.04 TAX & OR SEC .05 RBC CAPITAL MARKETS CORPORATION (ID: 060505-10-4)	(203 000)	15.522	3,150.90	(3,171.54)	(36 42) L 15 78 S
3/30 4/2	Sale High Cost		REGIONS FINANCIAL CORP @ 9.365 224.76 BROKERAGE 0.36 CITIGROUP GLOBAL MKTS INC (ID: 7591EP-10-0)	(24 000)	9.35	224.40	(262.10)	(37.70) L
3/30 4/2	Sale High Cost		REGIONS FINANCIAL CORP @ 9.325 93.25 BROKERAGE 0.15 STATE STREET GLOBAL MARKETS, LLC. (ID: 7591EP-10-0)	(10 000)	9.31	93.10	(107.92)	(14.82) L
3/30 4/2	Sale High Cost		REGIONS FINANCIAL CORP @ 9.3486 2,430.63 BROKERAGE 3.90 TAX & OR SEC .04 KNIGHT EQUITY MARKETS L.P. (ID: 7591EP-10-0)	(260 000)	9.333	2,426.69	(2,803.07)	(346.79) L (29.59) S
3/31 4/6	Sale High Cost		REGIONS FINANCIAL CORP @ 9.2812 668.24 BROKERAGE 1.08 TAX & OR SEC .01 MORGAN STANLEY & CO LLC (ID: 7591EP-10-0)	(72 000)	9.266	667.15	(767.90)	(100.75) S
3/31 4/6	Sale High Cost		REGIONS FINANCIAL CORP @ 9.3736 3,580.71 BROKERAGE 5.73 TAX & OR SEC .06 RBC CAPITAL MARKETS CORPORATION (ID: 7591EP-10-0)	(382 000)	9.358	3,574.92	(3,881.34)	(306.42) S
3/31 4/6	Sale High Cost		REGIONS FINANCIAL CORP @ 9.395 263.06 BROKERAGE 0.42 CITIGROUP GLOBAL MKTS INC (ID: 7591EP-10-0)	(28 000)	9.38	262.64	(267.41)	(4.77) S
3/31 4/6	Sale High Cost		REGIONS FINANCIAL CORP @ 9.365 187.30 BROKERAGE 0.30 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 7591EP-10-0)	(20 000)	9.35	187.00	(191.01)	(4.01) S
3/31 4/6	Sale High Cost		REGIONS FINANCIAL CORP @ 9.3202 363.48 BROKERAGE 0.58 STATE STREET GLOBAL MARKETS, LLC (ID: 7591EP-10-0)	(39 000)	9.305	362.90	(372.46)	(9.56) S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
4/10 4/15	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.6631 996.50 BROKERAGE 0.69 TAX &/OR SEC .01 GOLDMAN SACHS & CO. (ID: 460690-10-0)	(46,000)	21.648	995.80	(815.18)	180.62 L
4/10 4/15	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.7787 1,372.05 BROKERAGE 0.94 TAX &/OR SEC .02 GOLDMAN SACHS & CO. (ID: 460690-10-0)	(63,000)	21.763	1,371.09	(1,110.09)	261.00 L
4/13 4/16	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.61 194.49 BROKERAGE 0.13 JEFFERIES & COMPANY (ID: 460690-10-0)	(9,000)	21.596	194.36	(158.11)	36.25 L
4/13 4/16	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.6838 108.41 BROKERAGE 0.07 MORGAN STANLEY & CO. LLC (ID: 460690-10-0)	(5,000)	21.668	108.34	(87.84)	20.50 L
4/13 4/16	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.5755 86.30 BROKERAGE 0.06 MORGAN STANLEY & CO. LLC (ID: 460690-10-0)	(4,000)	21.56	86.24	(70.27)	15.97 L
4/23 4/28	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.17 415.53 BROKERAGE 0.20 CREDIT SUISSE FIRST BOSTON LLC (ID: 34964C-10-6)	(9,000)	46.148	415.33	(424.62)	(9.29) L
4/23 4/28	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.3246 3,242.72 BROKERAGE 1.05 TAX &/OR SEC .05 UBS SECURITIES LLC (ID: 34964C-10-6)	(70,000)	46.309	3,241.62	(3,302.64)	(61.02) L
4/23 4/28	Sale High Cost		JUNIPER NETWORKS INC @ 23.993 1,727.49 BROKERAGE 1.08 TAX &/OR SEC .03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 48203R-10-4)	(72,000)	23.978	1,726.38	(1,562.85)	163.53 S
4/23 4/28	Sale High Cost		JUNIPER NETWORKS INC @ 23.9627 191.70 BROKERAGE 0.12 INSTINET (ID: 48203R-10-4)	(8,000)	23.948	191.58	(173.51)	18.07 S
4/23 4/28	Sale High Cost		JUNIPER NETWORKS INC @ 23.965 191.72 BROKERAGE 0.12 LIQUIDNET INC (ID: 48203R-10-4)	(8,000)	23.95	191.60	(169.39)	22.21 S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/14 to 4/30/15

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/23 4/28	Sale High Cost	JUNIPER NETWORKS INC @ 23.965 191.72 BROKERAGE 0 12 ACADEMY SECURITIES, INC. (ID: 48203R-10-4)	(8,000)	23.95	191.60	(162.47)	29.13 S
4/23 4/28	Sale High Cost	JUNIPER NETWORKS INC @ 24.0956 1,421.64 BROKERAGE 0.88 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 48203R-10-4)	(59,000)	24.08	1,420.74	(1,196.99)	223.75 S
4/23 4/28	Sale High Cost	JUNIPER NETWORKS INC @ 24.0964 409.63 BROKERAGE 0 25 INSTINET (ID: 48203R-10-4)	(17,000)	24.081	409.38	(343.06)	66.32 S
4/23 4/28	Sale High Cost	JUNIPER NETWORKS INC @ 24.1181 192.94 BROKERAGE 0 12 STATE STREET GLOBAL MARKETS, LLC. (ID: 48203R-10-4)	(8,000)	24.103	192.82	(155.59)	37.23 S
4/23 4/28	Sale High Cost	JUNIPER NETWORKS INC @ 24.09 409.53 BROKERAGE 0 25 ACADEMY SECURITIES, INC. (ID: 48203R-10-4)	(17,000)	24.075	409.28	(329.14)	80.14 S
4/24 4/29	Sale High Cost	JUNIPER NETWORKS INC @ 26.0471 989.78 BROKERAGE 0.57 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 48203R-10-4)	(38,000)	26.032	989.20	(733.44)	255.76 S
4/24 4/29	Sale High Cost	JUNIPER NETWORKS INC @ 25.9587 882.59 BROKERAGE 0.51 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC (ID: 48203R-10-4)	(34,000)	25.943	882.07	(655.16)	226.91 S
4/24 4/29	Sale High Cost	JUNIPER NETWORKS INC @ 26.1447 444.45 BROKERAGE 0 25 ACADEMY SECURITIES, INC. (ID: 48203R-10-4)	(17,000)	26.129	444.20	(327.58)	116.62 S
4/24 4/29	Sale High Cost	JUNIPER NETWORKS INC @ 26.0299 1,171.34 BROKERAGE 0.67 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 48203R-10-4)	(45,000)	26.014	1,170.65	(862.47)	308.18 S

Total Settled Sales/Maturities/Redemptions

\$230,171.99 ✓ (\$235,523.06) (\$721.43) L
(\$7,272.35) S

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