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Form **990**

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2014

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter-social security numbers on this form as it may be made public

Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

| ΑF | or th | e 201 | 4 calendar year, or tax year beginr | ning 04 | /01, 2014 , | , and er | ding | | 03 | /31, 20 15 | | |
|-------------------------|------------------|----------|---|---|--------------------|-----------------|---|--|----------|-----------------------------|--|--|
| _ | | | C Name of organization | | | | | D Employer idei | ntıfica | tron number | | |
| Во | heck if ap | plicable | IN-Q-TEL, INC. | | | | | 52-2149 | 9962 | 2 | | |
| | Addres | | Doing business as | | | | | | | | | |
| | 7 | change | Number and street (or P O box if mail is no | ot delivered to street addre | ss) | Room/su | ite | E Telephone nui | mber | | | |
| \vdash | Initial | | PO BOX 749 | | | | | (703) 248-3000 | | | | |
| \vdash | Final | - 1 | City or town, state or province, country, and | d ZIP or foreign postal cod | | | | (703) 24 | 0 3 | | | |
| | termin Amend | ated | , | a zii or loroigii postarooa | • | | | C Cross record | | 117 204 400 | | |
| | return Applic | | ARLINGTON, VA 22216 | | | | | G Gross receipt H(a) Is this a grou | | 117,394,488. | | |
| L_ | pendir | | F Name and address of principal officer | CHRISTOPHER I | DARBY | | | subordinates | ? | | | |
| | | | PO BOX 749 ARLINGTON, V | VA 22216 | | 1 | | H(b) Are all subord | | | | |
| | Tax-exe | | |) ◀ (insert no) | 4947(a)(1) | or | 527 | If "No," attac | h a list | (see instructions) | | |
| | | | WWW.IQT.ORG | · | ···· | | | H(c) Group exemp | ption ni | ımber ► N/A | | |
| <u>K</u> | Form o | of organ | zation X Corporation Trust A | ssociation Other | <u> </u> | L Ye | ar of format | ion 1999 M | State | of legal domicile DE | | |
| Pá | art I | Su | mmary | | | | | | | | | |
| | 1 | Briefly | describe the organization's mission or r | most significant activitie | s IN-Q-T | CEL II | ENTIFI | ES, ADAPT | S A | ND DELIVERS | | |
| ø | | | OVATIVE TECHNOLOGY SOLUTI | | | | | | | | | |
| anc | · | INT | ERESTS OF THE UNITED STAT | res. | - | | | | | | | |
| ern | 2 | Check | this box I if the organization dis | continued its operation | ns or dispose | ed of more | than 25% | of its net assets | | | | |
| Activities & Governance | 1 | | er of voting members of the governing be | • | • | | | | 3 | 11. | | |
| અં | 4 | Numb | er of independent voting members of the | e governing body (Part | \/ line 1b\ | | | | 4 | 10. | | |
| - je | 5 | Total r | number of individuals employed in calen | darwar 2014 (Bart V | vi, iiic 10/. | : | | | 5 | 121. | | |
| ž. | | | | | | | | | 6 | 0 | | |
| Act | 6 | Tetal | number of volunteers (estimate if necessar | (C) bas 40 | | | | | | | | |
| _ | | | unrelated business revenue from Part VIII | | | | | | 7a | 0 | | |
| | ь | Net ur | related business taxable income from Fo | orm 990-1, line 34 | | | | Prior Year | 7b | Current Year | | |
| | | | | | | | <u> </u> | | | | | |
| ē | | | butions and grants (Part VIII, line 1h) | | | | · · <u> </u> | 84,293,38 | | 93,878,682. | | |
| ē | | | am service revenue (Part VIII, line 2g) | | | | | | 이 | 0 | | |
| Revenue | | | ment income (Part VIII, column (A), lines | | | | | 46,320,08 | 7. | -2,070,432. | | |
| _ | 11 | Other | revenue (Part VIII, column (A), lines 5, 6 | d, 8c, 9c, 10c, and 11e |) | | | | _0 | 0 | | |
| | 12 | Total | evenue - add lines 8 through 11 (must e | egual Part VIII, column | (A), line 12). | | 1 | 30,613,46 | 7. | 91,808,250. | | |
| | 13 | Grants | s and similar amounts paid (Part IX, colun | nn (A), lines 1-3) | | | | | 0 | 0 | | |
| | 14 | Benef | its paid to or for members (Part IX, colum | ın (A), lıne 4) | | | | | 0 | 0 | | |
| ý | | | es, other compensation, employee benefi | | | | | 26,306,33 | 7. | 30,029,993. | | |
| Expenses | | | ssional fundraising fees (Part IX, column (| | | 0 | 0 | | | | | |
| ē, | h | Total t | undraising expenses (Part IX, column (D) | \ line 25\ ► | | 0 | • | - | | , | | |
| û | 17 | Other | expenses (Part IX, column (A), lines 11a- | -11d. 11f-24e) | | | <u> </u> | 34,953,29 | 9. | 38,992,680. | | |
| | 18 | Total | expenses Add lines 13-17 (must equal P | Part IX column (A) line | 95 EIVE | D | 1. | 61,259,63 | + | 69,022,673. | | |
| | l | | lue less expenses Subtract line 18 from l | | | ي زــــــ | | 69,353,83 | | 22,785,577. | | |
| es | | 110101 | ad read experieds educate line to heir | 1=1 | | | | ning of Current Y | | End of Year | | |
| ets or | 20 | Total | assets (Part X, line 16) | AM AG | R 0 2 201 | | | 39,446,13 | | 418,662,367. | | |
| Asset d Balar | | | | • | | | (· | 85,609,34 | _ | 91,813,465. | | |
| Net A | l | | iabilities (Part X, line 26) | | DENIU | 0.1. · <u> </u> | `{ · | 53,836,79 | | 326,848,902. | | |
| | | | sets or fund balances Subtract line 21 f | rom line 20 | <u></u> | , s | <u>. . </u> | .55,636,75 | ٠. ا | 320,040,302. | | |
| _ | rt II | | gnature Block | | | | | | | | | |
| | | | of perjury, I declare that I have examined this complete. Declaration of preparer (other than of | | | | | | myı | knowledge and belief, it is | | |
| | | <u> </u> | MA AL | • | | | | 7/ | // | 111- | | |
| Sig | 22 | | Mor Mic | | | | | 7/1 | 61 | 16 | | |
| He | | | Signature of officer | | | | | Date | | | | |
| 116 | | | Motther Strottmm | ,000 | | | | | | | | |
| | | | Type or print name and title | | | | | | | | | |
| | | Print/ | Type preparer's name | Preparer's signature | < | Date | | Check | ıf F | PTIN | | |
| Paid | | TRA | VIS L PATTON | 1/1 | | FEB | 1 1 201 | 6 self-employ | ed | P00369623 | | |
| | parer | Firm's | name PRICEWATERHOUSECO | PERS LLP | | | | Firm's EIN ▶ 1 | 3 - 4 | 008324 | | |
| USE | Only | | address >600 13TH STREET NW, SUITE | | 20005-3005 | | | | | 414-1000 | | |
| May | the If | | cuss this return with the preparer shown | | | | | | | . X Yes No | | |
| | | | Reduction Act Notice, see the separate | • | | • • • • | | · · · · · · · · · | ••• | Form 990 (2014) | | |

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PAGE 1

| orm 990 (20 | | | | Page 2 |
|----------------|---|--|---------------------------------------|---|
| Part III | Statement of Program Service Ac | | | <u></u> |
| Desofts | | sponse or note to any line in this Part | III | x |
| • | describe the organization's mission | ND DELIVERS INNOVATIVE TE | CHNOLOGY | |
| | | ONAL SECURITY INTERESTS OF | | |
| STATE | | omis odeomiii iniimsbib o. | | |
| | | - · · · · · · · · · · · · · · · · · · · | | |
| prior F | orm 990 or 990-EZ? | cant program services during the year | | Yes X No |
| Did th | | or make significant changes in he | | Yes X No |
| If "Yes. | " describe these changes on Schedu | le O | | |
| Descril expens | be the organization's program serv | rice accomplishments for each of its) organizations are required to repo | | |
| |) (Expenses \$ | 17,690 Including grants of \$ |) (Revenue \$ |) |
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| d Other (| program services (Describe in Sched | nts of \$) (Revenue | | |

PAGE 2

| : or == ^ | • | 2-2149 | 9962 | | D |
|-----------|---|---------|----------|--|--------------|
| Part | 90 (2014) Checklist of Required Schedules | | | | Page |
| raru | Oneckiist of required ochedules | | | Yes | N |
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If " | • | 4 | х | |
| 2 | complete Schedule A | • • • • | 1 2 | $\frac{\Lambda}{X}$ | |
| 2 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition | | | | - |
| 3 | candidates for public office? If "Yes," complete Schedule C, Part I | | 3 | | |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 50 | | | | ╁ |
| * | election in effect during the tax year? If "Yes," complete Schedule C, Part II | | 4 | | |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership of | | - | | - |
| J | assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedu | | | | |
| | Part III | - | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which do | | <u> </u> | | t |
| • | have the right to provide advice on the distribution or investment of amounts in such funds or account | | | | |
| | "Yes," complete Schedule D, Part I | | 6 | | |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open sp | | <u> </u> | | |
| | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | | 7 | | |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If " | | <u> </u> | | t |
| • | complete Schedule D, Part III | | 8 | | |
| 9 | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve | | - | | |
| • | custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repa | | | | |
| | debt negotiation services? If "Yes," complete Schedule D, Part IV | | 9 | | |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restr | | | | İ |
| | endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V | | 10 | | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Part | | |) - <u>1</u> | 2 |
| | VII, VIII, IX, or X as applicable | | | | 0 |
| а | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If " | Yes," | | | |
| | complete Schedule D, Part VI | | 11a | Х | |
| b | Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or i | | | | |
| | of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | | 11b | | |
| С | Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or i | nore | | | Γ |
| | of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | | 11c | Х | L |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total as | sets | | | |
| | reported in Part X, line 16? If "Yes," complete Schedule D, Part IX | | 11d | | L |
| е | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, | Part X | 11e | | L |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that address | esses | | | |
| | the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X | | 11f | | L |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If | • | | | ŀ |
| | complete Schedule D, Parts XI and XII | | 12a | | Ļ |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," | | | | |
| | the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional | | 12b | Х | ╀ |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | | 13 | | 1 |
| | Did the organization maintain an office, employees, or agents outside of the United States? | | 14a | ļ | ╀ |
| b | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantma | | | | l |
| | fundraising, business, investment, and program service activities outside the United States, or aggre | - | l | ٠ | l |
| | foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV | | 14b | X | ╀ |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance | | | | |
| 40 | for any foreign organization? If "Yes," complete Schedule F, Parts II and IV | | 15 | - | ╀ |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or organization of the second report of the second | | 140 | | l |
| 4- | assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV | | 16 | | ╁ |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services | | 4.7 | | l |
| 10 | Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) | | 17 | | + |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contribution Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II | | 18 | | |
| 10 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line | | 10 | | ╁ |
| 19 | | | 10 | | |
| 20- | If "Yes," complete Schedule G, Part III | | | - | ╁ |
| | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | | | | + |

Form 990 (2014) Page 4 Checklist of Required Schedules (continued) Part IV Yes No Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or 21 domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II....... 21 Х Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Х 22 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the 23 organization's current and former officers, directors, trustees, key employees, and highest compensated Х 23 Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b 24a Х Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?..... 24b Did the organization maintain an escrow account other than a refunding escrow at any time during the year 24c Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? 24d 25 a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit Х transaction with a disqualified person during the year? If "Yes," complete Schedule L. Part I.......... 25a Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? Х Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II 26 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, 27 substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III.......... 27 Х 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV Х 28a A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Х 28b c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV. 28c Х 29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M. . . . 29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified 30 Х 31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Х 31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," 32 Х 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations Х 33 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, 34 34 Х 35a If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 35b Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable 36 36 Х Did the organization conduct more than 5% of its activities through an entity that is not a related organization 37 and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Х

Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and

Form 990 (2014)

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| Par | | | | |
|-------|---|-------------|--|---------------|
| | Check if Schedule O contains a response or note to any line in this Part V | · · · | | لـــا: |
| | 5 | | Yes | No |
| | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable | . [| | ′ |
| | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | 23 | 7, G | |
| С | Did the organization comply with backup withholding rules for reportable payments to vendors and | استشسسا | ــــــــــــــــــــــــــــــــــــــ | لنسسنا |
| 2 - | reportable gaming (gambling) winnings to prize winners? | 1c | | `\$ * |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return 121 | | 3.5 | |
| h | Statements, filed for the calendar year ending with or within the year covered by this return . 2a 121 If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | 2b | X | لسنخت |
| Ü | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | 7 | | 25. |
| 3 a | Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3a | | X |
| | If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O | 3b | | |
| | At any time during the calendar year, did the organization have an interest in, or a signature or other authority | | | |
| | over, a financial account in a foreign country (such as a bank account, securities account, or other financial | | | |
| | account)? | 4a | | Х |
| b | If "Yes," enter the name of the foreign country ▶ | | | FX \$4 |
| | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts | 150 W | | |
| | (FBAR) | 2002 | | |
| | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5a | | Х |
| | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | Х |
| | If "Yes" to line 5a or 5b, did the organization file Form 8886-T? | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the | | | |
| | organization solicit any contributions that were not tax deductible as charitable contributions? | 6a | | Х |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or | 6 | | |
| 7 | gifts were not tax deductible? | 6b | MZ4.6TS | - 3341 |
| | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods | | | |
| a | and services provided to the payor? | 7a | <u> </u> | X |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b | | |
| | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was | | | |
| | required to file Form 8282? | 7c | | Х |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 200 | 1 | |
| е | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | X |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7f | | Х |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | 7g | | |
| | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | 7h | | 78° . A |
| 8 | Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the | | £.3 | لثنق |
| _ | sponsoring organization have excess business holdings at any time during the year? | 8 | \$6.02 <u>a</u> 6 | To Asses |
| 9 | Sponsoring organizations maintaining donor advised funds. | 1 - 1 | 282 | الانككا |
| | Did the sponsoring organization make any taxable distributions under section 4966? | 9a 9b | | |
| 10 | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | 200 | | 200 |
| | Initiation fees and capital contributions included on Part VIII, line 12 | | | |
| | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b | 1 | | |
| 11 | Section 501(c)(12) organizations. Enter | 0.40 | | |
| а | Gross income from members or shareholders | 1 | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources | 4 | * | |
| | against amounts due or received from them) | 1 | | * |
| 12a | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12a | E4 - NE24 | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | | | |
| | Section 501(c)(29) qualified nonprofit health insurance issuers. | 教教 , | | 120 |
| а | Is the organization licensed to issue qualified health plans in more than one state? | 13a | Administration of the second | -670LBV -2 |
| | Note. See the instructions for additional information the organization must report on Schedule O | 100 | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which | | | |
| | the organization is licensed to issue qualified health plans | · San | 꽖 | |
| | Enter the amount of reserves on hand | 140 | 48.284.7 | 医石多石 X |
| | Did the organization receive any payments for indoor tanning services during the tax year? | 14a 14b | | _^ |
| ICA U | | | Ь | Ь—— |

| Form 9 | 90 (2014)IN-Q-TEL, INC. | 52-2149 | 962 | F | age |
|----------|---|----------------|----------------|--------------|---------------|
| Part | Governance, Management, and Disclosure For each "Yes" response to lines 2 through | | | | |
| | response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Sci | | | | - |
| <u> </u> | Check if Schedule O contains a response or note to any line in this Part VI | • • • • • • | • • • | • • • | } |
| Sect | ion A. Governing Body and Management | | | | F |
| 4 - | Enter the number of voting members of the governing body at the end of the tax year | 11 | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the one of the tax year 1 1 1 1 | | ``\ | , ' , ' ' | |
| | If there are material differences in voting rights among members of the governing body, or if the governing | | | - | , |
| b | body delegated broad authority to an executive committee or similar committee, explain in Schedule O Enter the number of voting members included in line 1a, above, who are independent. | 10 | ٠, ا | | |
| 2 | Enter the number of voting members included in line 1a, above, who are independent | | | 3 | • |
| - | any other officer, director, trustee, or key employee? | · . | 2 | ~ | x ~ |
| 3 | Did the organization delegate control over management duties customarily performed by or under the | | - | | - |
| J | supervision of officers, directors, or trustees, or key employees to a management company or other pers | | 3 | | х |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 1 | 4 | | Х |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets | j. | 5 | | Х |
| 6 | Did the organization have members or stockholders? | | 6 | | Х |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or | 1 | | | <u> </u> |
| | one or more members of the governing body? | | 7a | | х |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) m | | | | |
| ~ | stockholders, or persons other than the governing body? | | 7b | | x |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertake | | ,,, | | - |
| · | the year by the following | i during | | | |
| а | The governing body? | | 8a | х | <u> </u> ^ |
| . b | Each committee with authority to act on behalf of the governing body? | | 8b | Х | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be re- | | | | |
| - | the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | 9 | | x |
| Secti | on B. Policies (This Section B requests information about policies not required by the Internal | | Code |) | • |
| | | | | Yes | No |
| 10a | Did the organization have local chapters, branches, or affiliates? | [| 10a | | Х |
| | If "Yes," did the organization have written policies and procedures governing the activities of such of | | | - | |
| | affiliates, and branches to ensure their operations are consistent with the organization's exempt purpose | | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the | I | 11a | Х | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | ₩, | , , |
| 12a | Did the organization have a written conflict of interest policy? If "No," go to line 13 | | 12a | Х | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that co | uld give | | | |
| | rise to conflicts? | - | 12b | <u>X</u> | |
| Ç | Did the organization regularly and consistently monitor and enforce compliance with the policy? | If "Yes," | | | |
| | describe in Schedule O how this was done | | 12c | Х | |
| 13 | Did the organization have a written whistleblower policy? | | 13 | Х | |
| 14 | Did the organization have a written document retention and destruction policy? | | 14 | Х | |
| 15 | Did the process for determining compensation of the following persons include a review and app | roval by | | .* | , |
| | independent persons, comparability data, and contemporaneous substantiation of the deliberation and contemporaneous | ecision? | | -` -` ' | |
| а | The organization's CEO, Executive Director, or top management official | | <u>15a</u> | <u> </u> | |
| b | Other officers or key employees of the organization | | 15b | X | <u> </u> |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | | ، |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arra | ngement | | | |
| | with a taxable entity during the year? | | <u>16a</u> | | Х |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to eva- | | | | |
| | participation in joint venture arrangements under applicable federal tax law, and take steps to safeg | uard the | - | | ŀ |
| | organization's exempt status with respect to such arrangements? | | 16b | | |
| Sect | on C. Disclosure | | | | |
| 17 | | | | | |
| 18 | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-available for public inspection. Indicate how you made these available. Check all that apply Own website. X Upon request. Other (explain in Schedule) | • | 501(c | :)(3)s | only |
| | | • | | | |
| 40 | Describe in Schedule O whether (and if so, how) the organization made its governing documents, confinencial statements qualitate the public during the towards. | iffict of inte | rest | policy | , ar |
| 19 | ting principal expressions available to the public during the tay year | | | | |
| | financial statements available to the public during the tax year | | _ | | |
| 19 20 | State the name, address, and telephone number of the person who possesses the organization's books MATTHEW STROTTMAN 2107 WILSON BLVD SUITE 1100 ARLINGTON, VA 22201 703-248-30 | | 5. ▶ | | |

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII..............

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
 - List all of the organization's current key employees, if any See instructions for definition of "key employee"
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons.

| Check this box if neither the organization | | | | (0 | ٠, | | | | | |
|--|--|-----------------------------------|--------------------------|--------------------|--------------|------------------------------|----------|--|----------------------------------|--|
| (A) | (B) | | | Posi | | | | (D) | (E) | (F) |
| Name and Title | Average | (do | | heck more than one | | | | Reportable | Reportable | Estimated |
| | hours per | box, | unles | s pe | rson | ıs both | an | compensation | compensation from | amount of |
| | week (list any | office | er and | lad | ırect | or/trust | ee) | from | related | other |
| | hours for related organizations below dotted line) | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | the organization (W-2/1099-MISC) | organizations (W-2/1099-MISC) | compensation from the organization and related organizations |
| (1)M. CROW | 5.00 | | | | | | | | | |
| CHAIRMAN | 0 | Х | \sqcup | | | | | 42,500. | 0 | (|
| (2)J. BARKSDALE | 5.00 | | | | | | | | | |
| TRUSTEE | 0 | X | | | | | | 35,000. | 0 | (|
| _(3)P. BARRIS | 5.00 | | | | | | | | | |
| TRUSTEE & COMMITTEE CHAIR | 0 | Х | | | | | | 37,500. | 0 | (|
| (4)A. JONES | 5.00 | | | | | | | | | |
| TRUSTEE & COMMITTEE CHAIR | 0 | X | | | | | | 37,500. | 0 | (|
| (5)E. PATE-CORNELL | 5.00 | | | | | | | | | |
| TRUSTEE | 0 | Х | | | | | <u> </u> | 35,000. | 0 | (|
| _(6)J. MISCIK | 5.00 | | l i | | | | | | | |
| TRUSTEE | 0 | X | | | | | | 35,000. | 0 | (|
| _(7)H. COX | 5.00 | | | | | | | | | |
| TRUSTEE & COMMITTEE CHAIR | 0 | X | \sqcup | _ | | | | 37,500. | 0 | |
| _(8)AB_KRONGARD | 5.00 | | | | | | | | | |
| TRUSTEE | 0 | Х | \sqcup | | | | <u> </u> | 0 | 0 | |
| _(9)T. SCHLEIN | 5.00 | | 1 1 | İ | | | | | | |
| TRUSTEE | 0 | X | \sqcup | | | | <u> </u> | 35,000. | 0 | |
| (10)M. MULLEN | 5.00 | | | | | | | | | |
| TRUSTEE | 0 | X | $\perp \perp \downarrow$ | _ | | | _ | 35,000. | 0 | |
| (11)C. DARBY | 40.00 | Į | | - 1 | | | | | | |
| PRESIDENT, CEO & TRUSTEE | 0 | L | \sqcup | Х | <u></u> | L | <u> </u> | 1,526,388. | 0 | 276,451 |
| (12)S. BOWSHER | 40.00 | [| | | | | | | | |
| EVP & MANAGING PARTNER | 0 | ļ | | Х | | L | | 1,826,380. | 0 | 256,151 |
| (13)M. STROTTMAN | 40.00 | | | | | | | | | |
| EVP & CFO | 0 | ļ | Ш | Х | | | _ | 562,498. | 0 | 165,542 |
| (14)B. ADAMS | 40.00 | | | | | | | | | |
| EVP & GENERAL COUNSEL | 0 | | | Х | | | | 524,416. | 0 | 46,304 |

Form 990 (2014)

JSA

| (A) Name and title | (B) Average | | | (C Pos | C) iition | e than o | | (D) Reportable | (E) Reporta | ible | (F) Estimated | |
|--|---|-----------------|------------------|-----------|-----------------|------------------------------|--------------|--|---|---------------------|--|-----------|
| | hours per week (list any hours for related organizations below dotted line) | box, | unles: er and | s pe | rson | s or the Highest compensated | an | compensation from the organization (W-2/1099-MISC) | compensati relate organiza (W-2/1099 | d tions | amount of other compensation from the organization and related organizations | |
| 15) B. GLEICHAUF EVP & CHIEF SCIENTIST | 40.00 | | | х | | | | 502,679. | | 0 | 55,40 |)1. |
| 16) E. POULOS EVP & CHIEF OF STAFF | 40.00 | | | х | | | | 493,571. | | 0 | 51,23 | 32. |
| 17) W. RADOSEVICH EVP - TECHNOLOGY PROGRAMS | 40.00 | | | x | | | | 180,927. | | 0 | 36,45 | |
| 18) T. O'TOOLE EVP & SENIOR FELLOW | 40.00 | | | х | | | | 245,510. | | 0 | 29,14 | |
| 19) G. HOYEM | 40.00 | | | •• | | | | | | | | |
| PARTNER 20) E. KAUFMANN | 40.00 | - | | | | Х | | 461,379. | | 0 | 34,10 |)1. |
| PARTNER 21) T. RYLANDER | 40.00 | | | | | Х | | 445,681. | | 0 | 10,40 | 0. |
| PARTNER | | | | | | х | | 480,407. | | 0 | 92,21 | L8. |
| 22) P. BORBELY PARTNER | 40.00 | | | | | х | | 443,237. | | 0 | 26,48 | 34 |
| 23) S. DAVIDSON | 40.00 | | | | | | | | | | | |
| PARTNER 24) T. PEARSALL FORMER EVP-TECHNOLOGY TRANSFI | 40.00 ER 0 | | | | | _X | x | 451,422. | | <u> </u> | 88,64 35,35 | |
| TOMBE BY THE MODE OF TRANSPORT | | | | | | | | 131,311. | | | 33,33 | , 2 |
| 1b Sub-total | | | | | L | L | ▶ | 4,769,682. | | 0 | 744,44 | 8. |
| c Total from continuation sneets to Part VII | , Section A . | | | | | | > | 4,139,790. 8,909,472. | | 0 | | |
| d Total (add lines 1b and 1c) | ot limited to t | | listed | | | | o re | | \$100,000 | | 1,203,67 | <u>J.</u> |
| 3 Did the organization list any former of employee on line 1a? If "Yes," complete Sch. | fficer, directo | or, or | trus | | | | | | | | Yes I | Νo |
| 4 For any individual listed on line 1a, is the organization and related organizations individual | greater than | \$15 | 0,00 | 002 | ⁱ If | "Yes | ;," | complete Schedu | le J for . | such | 4 X | } ^ v |
| 5 Did any person listed on line 1a receive for services rendered to the organization? It | or accrue co | mpen | satio | on f | fron | n any | un | related organization | on or indivi | dual | | x |
| Section B. Independent Contractors | · · · · · · · · · · · · · · · · · · · | | | | | | | | | | | |
| Complete this table for your five highest compensation from the organization Repoyear | ompensated i rt compensati | ndepe on for | the | nt o | con | tracto Iar ye | rs t ar e | that received more ending with or with | than \$100 nin the orga | 0,000 d anizatio | of n's tax | |
| (A) Name and business | address | • | | | | | | (B) Description of se | ervices | (| (C) Compensation | |
| ATTACHMENT 2 | | | | | | | - | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | + | · · · · · · · · · · · · · · · · · · · | | | | _ |
| Total number of independent contractors more than \$100,000 in compensation from | | | | ite | | thos | e I | isted above) who | received | | 1.0.80% | _ |

| Page 1 | _ | | Check if Schedule O contains a response | or note to an | y line in this Part | /III | | |
|--|------------|-----|--|---------------|--|--|--|--|
| The federated companys 15 b Membership dues 15 b Me | | | | | · · | Related or exempt function | Unrelated business | Revenue excluded from tax under sections |
| The property of the property o | rants | | Todal attack and an input growth at a second at a seco | | | | | |
| The property of the property o | S, G | 1 | 1 . 1 | | | ~ | · · · · · · · · · · · · · · · · · · · | |
| The property of the property o | ia ia | d | | | | | | |
| The property of the property o | Sim Sim | e | Government grants (contributions). 1e | 93,878,682 | | | | |
| Business Code Business Code | utio | f | All other contributions, gifts, grants, | | | | - · · · · · | , , |
| Business Code Business Code | g t | | and similar amounts not included above . 1f | ···· | | · , · | - | Î |
| Business Code Business Code | ig g | g | | | | , i | 5. 5.27 | |
| All other program service revenue | | h_ | | | | 23.00 | \$2.34 Nation | 3 3 |
| All other program service revenue | àun | | Bı | isiness Code | | Residence de la companya della companya della companya de la companya de la companya della compa | | <u> Tari sabu</u> |
| All other program service revenue | Şeve | 2a | | | | | | |
| All other program service revenue | 93 | b | | | | | | |
| All other program service revenue | چَ | С | | · · · | | | | |
| 3 Investment income (including drividends, interest, and other similar amounts). 4 Income from investment of tax-exempt bond proceeds 5 Royalties (i) Real (ii) Personal 6a Gross rents b Less rental expenses c Rental income or (loss) 1, 938,677 (ii) Real (iii) Personal 6 Gross arents b Less rental expenses c Rental income or (loss) 1 Net rental income or (loss) 2 (iii) Securities 2 (iii) Other 3 assets other than inventory b Less cost or other basis and sales expenses c Gan or (loss) 4 Net gan or (loss) 5 Royalties (iv) Personal 6 C C C C C C C C C C C C C C C C C C C | Š | d | | | | | | |
| 3 Investment income (including drividends, interest, and other similar amounts). 4 Income from investment of tax-exempt bond proceeds 5 Royalties (i) Real (ii) Personal 6a Gross rents b Less rental expenses c Rental income or (loss) 1, 938,677 (ii) Real (iii) Personal 6 Gross arents b Less rental expenses c Rental income or (loss) 1 Net rental income or (loss) 2 (iii) Securities 2 (iii) Other 3 assets other than inventory b Less cost or other basis and sales expenses c Gan or (loss) 4 Net gan or (loss) 5 Royalties (iv) Personal 6 C C C C C C C C C C C C C C C C C C C | rar | e | | | | | | |
| 3 Investment income (including drividends, interest, and other similar amounts). 4 Income from investment of tax-exempt bond proceeds 5 Royalties (i) Real (ii) Personal 6a Gross rents b Less rental expenses c Rental income or (loss) 1, 938,677 (ii) Real (iii) Personal 6 Gross arents b Less rental expenses c Rental income or (loss) 1 Net rental income or (loss) 2 (iii) Securities 2 (iii) Other 3 assets other than inventory b Less cost or other basis and sales expenses c Gan or (loss) 4 Net gan or (loss) 5 Royalties (iv) Personal 6 C C C C C C C C C C C C C C C C C C C | õ | f | | | | | | 3∰47. ('∀5÷1 |
| and other similar amounts). Income from investment of tax-exempt bond proceeds Royalties (i) Real (ii) Personal B a Gross renta expenses | | | | | 0 | (10) 100 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | ************************************** | 3.8542", "2"(3.9) |
| 1 | | 3 | • • • | | 1 000 477 | | | 1 000 677 |
| 100 | | 1 | | | 1,338,677 | · | | 1,998,877 |
| (i) Real (ii) Personal (iii) Personal Personal (iii) Personal Personal (iii) Personal Personal (iii) Personal | | 1 | | | | | | |
| Description | | - | | | | | | |
| Description | | 62 | Gross rents | | | | | |
| C Rental income or (loss) d Net rental income or (loss) 7a Gross amount from sales of assets other than inventory b Less cost or other basis and sales expenses | | ١. | | | | | | |
| Net rental income or (loss) Other asset of asset other than inventory | | 1 | · | - | | | 3.3 | |
| Tag Gross amount from sales of assets other than inventory | | Ι. | · , , | ▶ | 0 | | | |
| b Less cost or other basis and sales expenses | | 7a | · · · · · · · · · · · · · · · · · · · | | | | | 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |
| and sales expenses | | | assets other than inventory 21,517,129 | | | | | |
| C Gain or (loss) -4,069,109 | | b | Less cost or other basis | | | [1] | | - |
| d Net gain or (loss) | | | and sales expenses 25,586,238 | | | 3. | r, g, s Swa | ad |
| 8a Gross income from fundraising events (not including \$ | | С | Gain or (loss)4,069,109 | | The state of the s | | | · |
| 88 Gross income from fundraising events (not including \$\sum_{\text{of contributions reported on line 1c})} See Part IV, line 18 | | d | Net gain or (loss) | <u> ▶</u> | -4,069,109 | | | -4,069,109 |
| of contributions reported on line 1c) See Part IV, line 18 | e | 8a | Gross income from fundraising | | * | | | [] |
| 9a Gross income from gaming activities See Part IV, line 19 | | İ | events (not including \$ | | | | | |
| 9a Gross income from gaming activities See Part IV, line 19 | é | | of contributions reported on line 1c) | ĺ | | | · | |
| 9a Gross income from gaming activities See Part IV, line 19 | E | | * | | | | | |
| 9a Gross income from gaming activities See Part IV, line 19 | the | | | | | | <u> </u> | <u> </u> |
| See Part IV, line 19 | 0 | | | | 0 | | | |
| b Less direct expenses b c Net income or (loss) from gaming activities > 0 10a Gross sales of inventory, less returns and allowances a b Less cost of goods sold b c Net income or (loss) from sales of inventory > 0 Miscellaneous Revenue Business Code 11a | | 9a | | | | | | |
| c Net income or (loss) from gaming activities ▶ 0 10a Gross sales of inventory, less returns and allowances | | ١. | l | | | | | |
| 10a Gross sales of inventory, less returns and allowances | | | | | | | | |
| returns and allowances | | L | | | 0 | | | |
| c Net income or (loss) from sales of inventory | | | returns and allowances a | | | | | |
| Miscellaneous Revenue Business Code 11a b c d All other revenue | | р | Less cost of goods sold b | | | | | |
| 11a | | ⊢∸ | | | | | | 1 |
| b | | 110 | | | | | | |
| c | | l . | ·- | | | <u> </u> | | |
| d All other revenue | | , | | | | | | |
| e Total. Add lines 11a-11d · · · · · · · · · · · · · · · · · · | | " | | | | | | |
| | | 1 | | ▶ | 0 | | | |
| | | 1 - | | | 91,808,250 | | | -2,070,432. |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

| Check if Schedule O contains a respo | (A) Total expenses | (B) Program service | (C) Management and | (D) Fundraising |
|---|-----------------------|---|-----------------------|---------------------------------------|
| 8b, 9b, and 10b of Part VIII. | | expenses | general expenses | expenses |
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | 0 | | | |
| 2 Grants and other assistance to domestic individuals See Part IV, line 22 | 0 | | | |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16 | 0 | | , , , , , | e e e e e e e e e e e e e e e e e e e |
| 4 Benefits paid to or for members | 0 | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 7,178,345. | 3,674,245. | 3,504,100. | |
| Г | | | | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| | 19,695,720. | 16,716,793. | 2,978,927. | |
| 7 Other salaries and wages | 19,093,720. | 10,710,753. | 2,570,527. | |
| section 401(k) and 403(b) employer contributions) | 2 021 042 | 1,613,985. | 407 050 | |
| 9 Other employee benefits | 2,021,943. | | 407,958. | |
| 0 Payroll taxes | 1,133,985. | 900,669. | 233,316. | . |
| a Management | 0 | | | |
| b Legal | 460,543. | 39,631. | 420,912. | |
| c Accounting | 264,988. | | 264,988. | |
| d Lobbying | 0 | | | |
| e Professional fundraising services See Part IV, line 17. | 0 | | <u> </u> | |
| f Investment management fees | 0 | | | |
| g Other (If line 11g amount exceeds 10% of line 25, column | | | | |
| (A) amount, list line 11g expenses on Schedule O) | 1,364,621. | 1,096,332. | 268,289 | |
| 2 Advertising and promotion | 336,134. | 1,182. | 334,952. | |
| 3 Office expenses | 818,066. | 260,531. | 557,535. | |
| 4 Information technology | 1,036,710. | 1,019,485. | 17,225. | |
| 5 Royalties | 0 | | | |
| 6 Occupancy | 2,618,347. | 1,593,438. | 1,024,909. | |
| 7 Travel | 2,032,080. | 1,649,291. | 382,789. | |
| 8 Payments of travel or entertainment expenses for any federal, state, or local public officials | 0 | | | |
| 9 Conferences, conventions, and meetings | 546,166. | 113,791. | 432,375. | |
| · · · · · · · · · · · · · · · · · · · | 0 | , : | | |
| 10 Interest | 0 | | | |
| 22 Depreciation, depletion, and amortization | 1,433,344. | 7,758. | 1,425,586. | |
| | 383,471. | .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 383,471. | |
| | | | | |
| 24 Other expenses Itemize expenses not covered | | | | |
| above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column | | | | |
| (A) amount, list line 24e expenses on Schedule O) | | ļ | | |
| aWORK PROGRAM FOR CIA AND IC | 26,574,101. | 26,517,759. | 56,342. | |
| | 425,691. | 423,790. | 1,901. | |
| bEQUIPMENT | | | | |
| cDUES AND SUBSCRIPTIONS | 175,700. | 116,155. | 59,545. -295,406. | |
| dALLOCATION OF INDIRECT EXP | 522,718. | 295,406. 307,449. | 215, 269. | |
| e All other expenses | | | | |
| 25 Total functional expenses. Add lines 1 through 24e 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here | 69,022,673. | 56,347,690. | 12,674,983. | |
| following SOP 98-2 (ASC 958-720) | d | | | |
| | | | | |

JSA 4E1052 1 000 Form 990 (2014)

| 3 Pledges and grants receivable, net 4 Accounts receivable, net 5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L 6 Loans and other receivables from other disqualified persons (as defined under section (4958(n)(f)), persons described in section 4958(n)(f)), persons described in section 501(n)(f)) voluntary employees beneficiary organizations (see instructions) Complete Part II of Schedule L 7 Notes and loans receivable, net 8 Inventiores for sale or use 9 Prepard expenses and deferred charges 10 Prepard expenses and deferred charges 11 Prepard expenses and deferred charges 12 Prepard expenses and deferred charges 12 Prepard expenses and deferred charges 13 Prepard expenses and deferred charges 14 Prepard expenses and deferred charges 15 Other labilities of the prepart expenses and deferred charges 16 Prepard expenses and defer | Pa | rt X | Balance Sheet | | | | |
|--|-------|------|---|--------------------------------|---------------------------------------|--------------|---------------------------------------|
| 1 Cash - non-interest-bearing | | | Check if Schedule O contains a response or note to any line in | this Pa | rt X | | |
| 2 Savings and temporary cash investments | | | | | | | , , |
| 2 Savings and temporary cash investments 3 Pledges and grants receivable, net 4 Accounts receivable, net 5 Loans and other receivables from current and former officers, directors, trustees, key employees and highest compensated employees Complete Part II of Schedule L 6 Loans and other receivables from the disqualified persons (as defined under section 4958(f)(1), persons described in section 4958(f)(3)(8), and contributing employers and sponsoroing organizations (see instructions) Complete Part II of Schedule L 7 Notes and loans receivable, net 8 Inventores for sale or use 9 Prepare deveness and deferred charges 9 Prepare deveness and deferred charges 9 Prepare deveness and deferred charges 9 Prepare deveness and deferred charges 10a Land, buildings, and equipment cost or other basis Complete Part IV of Schedule D 10 Investments - publicly traded securities 11 Investments - publicly traded securities 12 Investments - program-related See Part IV, line 11 13 Integralpele assets 14 Integralpele assets 15 Other assets See Part IV, line 11 16 Total assets. Add lines 1 through 15 (must equal line 34) 17 Accounts payable and accrued expenses 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 10 Total assets. Add lines 1 through 15 (must equal line 34) 10 Total assets. Add lines 1 through 15 (must equal line 34) 10 Total assets. Add lines 1 through 15 (must equal line 34) 10 Total assets. Add lines 1 through 15 (must equal line 34) 10 Total assets. Add lines 1 through 25 (as a directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part IV of Schedule D 10 Total assets. Add lines 17 (through 25 (as a directors, and the payables to current and former officers, directors, trustees, key employees, and disqualified persons Complete Part IV of Schedule D 10 Total liabilities of nucled on lines 17-24) Complete Part IV of Schedule D 10 Total liabilities of nucled on lines 17-24) Complete Part IV of Schedule D 10 Total liabilities on liculated on lines | | 1 | Cash - non-interest-bearing | | 0 | 1 | C |
| 3 Pledges and grants receivable, net 4 Accounts receivable, net 5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L 6 Loans and other receivables from other disqualified persons (as defined under section 4986(f)(II)), persons described in section 4986(f)(II), persons described in 4986(f)(II), persons described in 4986(f)(III), persons described | | 2 | | | 57,587,235. | 2 | 45,382,541. |
| 4 Accounts receivable, net 5 Loans and other receivables from current and former officers, directors, trustees, key employees and highest compensated employees Complete Part II of Schedule I. 6 Loans and other receivables from other disqualified persons (as defined under section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in section 4988(7(1)), persons described in 4988(7(1)), persons describ | | | Pledges and grants receivable, net | | | 3 | 0 |
| Section Complete | | _ | Accounts receivable, net | | 12.446.711. | | 23.014.774. |
| Trustees, key employees, and highest compensated employees Complete Part II of Schedule L 6 Loans and other receivables from other degualited persons (as defined under section and sometimes of the composition of the degualited persons (as defined under section and sponsoring organizations (see instructions) Complete Part II of Schedule L 7 Notes and loans receivable, net 8 Inventorias for sale or use 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 10a Land, buildings, and equipment cost or other basis Complete Part IV of Schedule D 10a 11,389,140. 10b 5,307,580. 4,990,087. 10c 6,081,560. 11 Investments - program-related See Part IV, line 11 122,147,708. 13 Investments - other securities See Part IV, line 11 15,546,820. 12 172,3976. 15 Other assets See Part IV, line 11 16 Total assets. Add lines 1 through 15 (must equal line 34) 17 Accounts payable and accrued expenses 10 Prepard expenses 10 Prepard expenses 11 Prepard expenses 12 Escrow or custodial account liability Complete Part IV of Schedule D 12 Escrow or custodial account liability Complete Part IV of Schedule D 12 Escrow or custodial account liability Complete Part IV of Schedule D 12 Escrow or custodial account liability Complete Part IV of Schedule D 13 Escrow or custodial account liability Complete Part IV of Schedule D 14 Escrow or custodial account l | | | Loans and other receivables from current and former officers dire | ctors | | | 23/022/112 |
| Complete Part II of Schedule I 6 Loans and other reseawaites from other disqualitied persons (as defined under section 4988(N(11)), persons described in section 4988(A(3)(8), and contributing employers and sponsoring organizations (see instructions). Complete Part II of Schedule L 7 Notes and loans reseawable, net. 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 9 Prepard expenses and deferred charges 10a Land, buildings, and equipment cost or other basis Complete Part Vi of Schedule D 1 Investments - publicly traded securities 1 Investments - other securities See Part IV, line 11 1 Investments - other securities See Investment | | | | | | | · . |
| Comparison of the receivables from other disqualified persons (as defined under section 4958(c)(19)), persons described in section 4958(c)(19), and contributing employers and sponsoring organizations of section 4958(c)(19), and contributing employers and sponsoring organizations (see mixinucions) Complete Part I of Schedule L | | | | | | | , , , , , , , , , , , , , , , , , , , |
| 8 November Nove | | 6 | Loans and other receivables from other disqualified persons (as defined under 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing empland sponsoring organizations of section 501(c)(9) voluntary employees' benefits | section ployers eficiary | | | - ' - 0 |
| 9 Prepaid expenses and deferred charges 922,183 9 1,107,401 10a Land, buildings, and equipment cost or other basis Complete Part Viof Schedule D 10a 11,389,140. b Less accumulated depreciation 10b 5,307,580. 4,990,087, 10c 6,881,566 11 Investments - prolicity traded securities 112,147,708 12 Investments - other securities See Part IV, line 11 5,546,820, 12 7,123,970 13 Investments - program-related See Part IV, line 11 150,477,556, 13 211,250,639 14 Intangible assets 15 Other assets See Part IV, line 11 4,939,136, 15 2,553,774 15 Total assets. Add lines 1 through 15 (must equal line 34) 339,446,139, 16 418,662,367 17 Accounts payable and accrued expenses 14,657,569, 17 17,978,341 18 Grants payable 6 018 19 Deferred revenue 70,951,775, 19 73,835,124 20 Tax-exempt bond liabilities 12 Leans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L 0 22 21 Escrow or custodial account liability Complete Part IV of Schedule D 0 21 22 Loans and other payable to unrelated third parties 0 23 24 Unsecured notes and loans payable to unrelated third parties 0 24 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D 0 25 26 Total liabilities. Add lines 17 through 25 85, 60e, khere 10 28 27 Unrestricted net assets 25 9 Permanently restricted net assets 25 0 29 28 Permanently restricted net assets 25 0 29 29 Permanently restricted net assets 25 0 29 29 Permanently restricted net assets 31 0 29 29 20 Capital stock or trust principal, or current funds 31 20 25 253,836,795, 33 326,848,902 31 Paid-in or capital surplus, or land, building, or equipment fund 31 32 2 Retained earnings, endowment, accumulated income, or other funds 31 31 31 31 31 31 31 31 31 31 31 31 31 | ets | 7 | Notes and loans receivable, net | | 0 | 7 | O |
| 9 Prepaid expenses and deferred charges 922,183 9 1,107,401 10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D b Less accumulated depreciation 10b 5,307,580 4,990,087 10c 6,881,566 11 Investments - publicly traded securities 11 Investments - publicly traded securities 12 Investments - other securities See Part IV, line 11 5,546,820 12 7,123,970 13 Investments - program-related See Part IV, line 11 150,477,556 13 211,250,639 14 Intangible assets 15 Other assets See Part IV, line 11 4,939,136 15 2,553,774 15 Total assets. Add lines 1 through 15 (must equal line 34) 339,446,139 16 418,662,367 17 Accounts payable and accrued expenses 14,657,569 17 17,978,341 18 Grants payable 6 9 18 18 19 Deferred revenue 70,951,775 19 73,835,124 20 Tax-exempt bond liabilities 12 Laons and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L 0 22 Secured mortgages and notes payable to unrelated third parties 2 Secured mortgages and notes payable to unrelated third parties 2 Cother liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D 22 Total liabilities. Add lines 17 through 25 85, 609,344 26 91,813,465 27 Unrestricted net assets 2 25,836,795 27 326,848,902 28 Temporarily restricted net assets 2 25 (29 Permanently restricted net assets 2 29 Permanently restricted net assets 2 29 Permanently restricted net assets 2 29 Permanently restricted net assets 3 31 Pard-in or capital surplus, or land, building, or equipment fund 31 32 Retained earnings, endowment, accumulated income, or other funds 3 31 70tal liabilities and net assets/fund balances 3 339,446,139, 34 418,662,367 34 10tal liabilities and net assets/fund balances 3 339,446,139, 34 418,662,367 34 10tal liabilities and net assets/fund balances 3 339,446,139, 34 418,662,367 34 10tal liabilities and net assets/fund balances 3 | SS | | Inventories for sale or use | | 0 | 8 | 0 |
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| ther basis Complete Part VI of Schedule D b Less accumulated depreciation 11 Investments - publicly traded securities 12 Investments - other securities See Part IV, line 11 13 Investments - other securities See Part IV, line 11 14 Intangible assets 15 Other assets See Part IV, line 11 15 Other assets See Part IV, line 11 16 Total assets. Add lines 1 through 15 (must equal line 34) 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 20 Tax-exempt bond liabilities 21 Escrow or custodial account liability Complete Part IV of Schedule D 22 Loans and other payables to current and former officers, directors, trustess, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L 23 Secured mortgages and notes payable to unrelated third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D 26 Total liabilities. Add lines 17 through 25 26 Total liabilities. Add lines 17 through 25 27 Unrestricted net assets 28 Permanently restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 20 Capital stock or trust principal, or current funds 31 Pad-in or capital surplus, or land, building, or equipment fund 31 Pad-in or capital surplus, or land, building, or equipment fund 31 Pad-in or capital surplus, or land, building, or equipment fund 31 Total liabilities and net assets/fund balances 31 Total liabilities and net assets/fund balances 32 Set liabilities and net assets/fund balances 33 Total liabilities and net assets/fund balances 34 Total liabilities and net assets/fund balances 34 Total liabilities and net assets/fund balances 35 Add 18 Pad-in or capital surplus, or land, building, or equipment fund 36 Total liabilities and net assets/fund balances 37 Add 18 Pad-in or capital surplus, or land, building, | | | | | 332/103. | Ť | 2,207,102. |
| b Less accumulated depreciation. 10b 5,307,580 4,990,087 10c 6,081,560 11 Investments - publicly traded securities 102,536,411 11 122,147,708 12 Investments - other securities See Part IV, line 11 150,477,556 13 211,250,639 13 Investments - program-related See Part IV, line 11 150,477,556 13 211,250,639 14 Intangible assets 14,939,136 15 2,553,774 15 Other assets See Part IV, line 11 4,939,136 15 2,553,774 16 Total assets Add lines 1 through 15 (must equal line 34) 339,446,139 16 418,662,367 17 Accounts payable 14,657,569 17 17,978,341 18 Grants payable 70,951,775 19 73,835,124 19 Deferred revenue 70,951,775 19 73,835,124 20 Tax-exempt bond liabilities 20 20 21 Escrow or custodial account liability Complete Part IV of Schedule D 21 22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualfied persons Complete Part IV of Schedule D 22 23 Secured mortgages and notes payable to unrelated third parties 0 24 24 Unsecured notes and loans payable to unrelated third parties, and other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D 25 26 Organizations that follow SFAS 117 (ASC 958), check here X and complete lines 27 through 29, and lines 33 and 34. 253,836,795 27 326,848,902 28 29 Permanently restricted net assets 253,836,795 27 326,848,902 28 29 Permanently restricted net assets 253,836,795 33 326,848,902 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 31 32 Retained earnings, endowment, accumulated income, or other funds 32 33 Total liabilities and net assets/fund balances 333,446,139 34 418,662,367 348,662,367 34 3662,367 34 3662,367 34 3662,367 366 | | 10 a | - ' ' | 140 | · · · · · · · · · · · · · · · · · · · | | |
| 11 Investments - publicly traded securities 102,536,411 11 122,147,708 12 Investments - other securities See Part IV, line 11 5,546,820 12 7,123,970 13 Investments - program-related See Part IV, line 11 150,477,556 13 211,250,639 14 Intangible assets 0,14 15 Other assets See Part IV, line 11 4,939,136 15 2,553,774 16 Total assets. Add lines 1 through 15 (must equal line 34) 339,446,139 16 418,662,367 17 Accounts payable and accrued expenses 14,657,569 17 17,978,341 18 Grants payable and accrued expenses 14,657,569 17 17,978,341 18 Grants payable and accrued expenses 14,657,569 17 17,978,341 19 Deferred revenue 70,951,775 19 73,835,124 19 Deferred revenue 70,951,755 19 | | h | Loss accumulated depreciation 10h 5 307 | 580 | 4 990 097 | 100 | 6 001 560 |
| 12 Investments - other securities See Part IV, line 11 5,546,820. 12 7,123,970 13 Investments - program-related See Part IV, line 11 150,477,556. 13 211,250,639 14 Intangible assets | | | | | | | |
| 13 Investments - program-related See Part IV, line 11 150,477,556. 13 211,250,639 14 Intangible assets 0 14 150 14 150 | | | | | | | |
| 14 Intangible assets | | | | | | | · |
| 15 Other assets See Part IV, line 11 | | ľ | | | | - | 211,250,639. |
| 16 | | | Other posts Car Bat IV I and 44 | } | | | 2 552 774 |
| 17 | | | | | | - | |
| 18 Grants payable | | | | | | _ | |
| 19 Deferred revenue 70,951,775 19 73,835,124 | | | | | | | 17,978,341. |
| 20 Tax-exempt bond liabilities 21 Escrow or custodial account liability Complete Part IV of Schedule D | | | | | | | 72 025 124 |
| 21 Escrow or custodial account liability Complete Part IV of Schedule D | | | | | | | /3,835,124. |
| Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | | Tax-exempt bond liabilities | | | 0 | |
| 23 Secured mortgages and notes payable to unrelated third parties | ies | | | <u>_</u> | 21 | | |
| 23 Secured mortgages and notes payable to unrelated third parties | Ħ | 22 | | I . | *1 | _ | |
| 23 Secured mortgages and notes payable to unrelated third parties | ia; | | | | | | - \ |
| 24 Unsecured notes and loans payable to unrelated third parties. 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D 26 Total liabilities. Add lines 17 through 25. 27 Organizations that follow SFAS 117 (ASC 958), check here 28 Temporarily restricted net assets 29 Permanently restricted net assets 29 Permanently restricted net assets 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 31 Total net assets or fund balances 32 Total liabilities and net assets/fund balances 33 Total liabilities and net assets/fund balances 33 39,446,139, 34 418,662,367 | _ | | | | | | 0 |
| Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D | | | | | | | 0 |
| parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D 26 Total liabilities. Add lines 17 through 25 | | 24 | | | 0 | 24 | 0 |
| of Schedule D | | 25 | | | | | |
| Total liabilities. Add lines 17 through 25 | | | · · | | | | |
| Organizations that follow SFAS 117 (ASC 958), check here Wand complete lines 27 through 29, and lines 33 and 34. Unrestricted net assets Permanently restricted net assets Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), check here Organizations that do not follow SFAS 117 (ASC 958), che | | | of Schedule D | | | | 0 |
| Complete lines 27 through 29, and lines 33 and 34. | | 26 | | | 85,609,344. | 26 | 91,813,465. |
| complete lines 30 through 34. 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 32 Retained earnings, endowment, accumulated income, or other funds 33 Total net assets or fund balances 34 Total liabilities and net assets/fund balances 39 39,446,139 34 418,662,367 | ses | | complete lines 27 through 29, and lines 33 and 34. | | • | , | |
| complete lines 30 through 34. 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 32 Retained earnings, endowment, accumulated income, or other funds 33 Total net assets or fund balances 34 Total liabilities and net assets/fund balances 39 39,446,139 34 418,662,367 | an | | Unrestricted net assets | | 253,836,795. | 27 | 326,848,902. |
| complete lines 30 through 34. 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 32 Retained earnings, endowment, accumulated income, or other funds 33 Total net assets or fund balances 34 Total liabilities and net assets/fund balances 39 39,446,139 34 418,662,367 | Ba | 28 | Temporarily restricted net assets | | | 28 | 0 |
| complete lines 30 through 34. 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 32 Retained earnings, endowment, accumulated income, or other funds 33 Total net assets or fund balances 34 Total liabilities and net assets/fund balances 39 39,446,139 34 418,662,367 | 9 | 29 | Permanently restricted net assets | <u></u> | | 29 | C |
| 34 Total liabilities and net assets/fund balances | or Fu | | complete lines 30 through 34. | _ | | | |
| 34 Total liabilities and net assets/fund balances | ţ | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| 34 Total liabilities and net assets/fund balances | SSe | 31 | Paid-in or capital surplus, or land, building, or equipment fund | | | 31 | |
| 34 Total liabilities and net assets/fund balances | Ä | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| 34 Total liabilities and net assets/fund balances | Ne. | 33 | Total net assets or fund balances | | 253,836,795. | 33 | 326,848,902. |
| | | 34 | Total liabilities and net assets/fund balances | | | | 418,662,367. |
| | _ | | | <u> </u> | | | Form 990 (2014) |

| Form 9 | 90 (2014) | | | | Pa | ge 12 |
|--------|--|-------|-------|------------------|--------------|----------------|
| Par | t XI Reconciliation of Net Assets | | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XI | | | | | |
| 1 | | 1 | | | 08,2 | 250. |
| 2 | | 2 | | | 22,6 | |
| 3 | | 3 | 2 | 2,7 | 85,5 | 577. |
| 4 | | 4 | | | 36,7 | |
| 5 | | 5 | | | 26,5 | |
| 6 | ì | 6 | | | | 0 |
| 7 | i e e e e e e e e e e e e e e e e e e e | 7 | | | | 0 |
| 8 | | 8 | | | | 0 |
| 9 | | 9 | | | | 0 |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line | | | | | |
| | 00 1 (5) | 10 | 32 | 6,8 | 48,9 | 02. |
| Part | XII Financial Statements and Reporting | | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XII | | | | | |
| | | | _ | | Yes | No |
| 1 | Accounting method used to prepare the Form 990 CashX Accrual Other | | [| | * | - [|
| | If the organization changed its method of accounting from a prior year or checked "Other," exp | laın | in | , a' | | , |
| | Schedule O | | _ | | , | · · · |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | | | 2a | | Х |
| | If "Yes," check a box below to indicate whether the financial statements for the year were comp | ıled | or | | ٠, | 7 7 |
| | reviewed on a separate basis, consolidated basis, or both | | ١, | , , | » , , , , , | 3.75 |
| | Separate basis Consolidated basis Both consolidated and separate basis | | _ | | ` | |
| b | Were the organization's financial statements audited by an independent accountant? | | L | 2b | X | |
| | If "Yes," check a box below to indicate whether the financial statements for the year were audited | d on | ιа ` | · • | 3 8° | , , , , , t |
| | separate basis, consolidated basis, or both | | 1. | , | • | · |
| | Separate basis X Consolidated basis Both consolidated and separate basis | | _ | . ب ^ر | `, | |
| С | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for ov | ersiç | ght | | | |
| | of the audit, review, or compilation of its financial statements and selection of an independent according | | | 2c | Х | |
| | If the organization changed either its oversight process or selection process during the tax year, exp | laın | ın ' | 4. | | |
| | Schedule O | | | 3. S | . | [N. 1 |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set f | orth | ın | | | |
| | the Single Audit Act and OMB Circular A-133? | | L | 3a | | Х |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not under | go I | he | | | |
| | required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audit | (S | - 1 | 3b | | |

Form **990** (2014)

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No 1545-0047 2014

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

| Name of the organization | Name of the organization Employer identification number | | | | | | | | | | |
|--|---|--|-------------|-----------------------|-------------------------------|----------------------------------|--|--|--|--|--|
| IN-Q-TEL, INC. | | | | | 52 | -2149962 | | | | | |
| Part I Reason for Public Ch | arity Status (All o | organizations must o | omplet | e this pa | art) See instructions | | | | | | |
| The organization is not a private for | undation because if | t is (For lines 1 through | gh 11, ch | eck only | one box.) | | | | | | |
| 1 A church, convention of ch | nurches, or associa | tion of churches desc | ribed in s | ection 1 | 70(b)(1)(A)(ı). | | | | | | |
| 2 A school described in sect | tion 170(b)(1)(A)(ii) | . (Attach Schedule E) | | | | | | | | | |
| 3 A hospital or a cooperative | e hospital service o | rganization described | ın sectio | n 170(b) | (1)(A)(ıii). | | | | | | |
| 4 A medical research organ | ization operated in | conjunction with a hos | spital de | scribed ii | n section 170(b)(1)(A) | (iii). Enter the | | | | | |
| hospital's name, city, and s | state | | | | | | | | | | |
| 5 An organization operated section 170(b)(1)(A)(iv). | | a college or universit | y owner | d or ope | rated by a governme | ental unit described in | | | | | |
| 6 A federal, state, or local g | overnment or gove | rnmental unit describe | d in sect | tion 170(| b)(1)(A)(v). | | | | | | |
| 7 X An organization that norm | nally receives a sub | ostantial part of its su | pport fre | om a go | vernmental unit or fr | om the general public | | | | | |
| described in section 170(b | o)(1)(A)(vi). (Compl | lete Part II) | | | | | | | | | |
| 8 A community trust describ | ed in section 170(b | o)(1)(A)(vi). (Complete | Part II) | | | | | | | | |
| 9 An organization that norm | nally receives (1) n | nore than 331/3% of | its supp | ort from | contributions, memb | ership fees, and gross | | | | | |
| receipts from activities re | lated to its exemp | t functions - subject | to certa | іп ехсер | tions, and (2) no mo | re than 331/3% of its | | | | | |
| support from gross inves | stment income an | d unrelated business | taxable | e income | e (less section 511 | tax) from businesses | | | | | |
| acquired by the organization | on after <u>J</u> une 30 <u>,</u> 19 | 975 See section 509 | (a)(2). (C | Complete | Part III) | | | | | | |
| 10 An organization organized | and operated excl | usively to test for publi | c safety | See sec | tion 509(a)(4). | | | | | | |
| 11 An organization organized | and operated excl | usively for the benefit o | of, to per | rform the | functions of, or to ca | rry out the purposes of | | | | | |
| one or more publicly supp | orted organizations | described in section ! | 509(a)(1 |) or sect | ion 509(a)(2). See se | ction 509(a)(3). Check | | | | | |
| the box in lines 11a throug | h 11d that describe | es the type of support | ing orga | nızatıon | and complete lines 11 | e, 11f, and 11g | | | | | |
| a Type I A supporting org | ganization operated | , supervised, or contr | olled by | its supp | orted organization(s), | typically by giving | | | | | |
| the supported organizati | on(s) the power to | regularly appoint or e | elect a m | ajority o | f the directors or trus | tees of the supporting | | | | | |
| organization You must o | complete Part IV, S | ections A and B | | | | | | | | | |
| b Type II A supporting or | ganization supervis | ed or controlled in co | nnection | with its | supported organizati | on(s), by having | | | | | |
| control or management | | | | | | | | | | | |
| organization(s) You mus | t complete Part IV | , Sections A and C | | | | | | | | | |
| c Type III functionally inte | egrated A supporti | ng organization opera | ated in co | onnectio | n with, and functiona | lly integrated with, | | | | | |
| its supported organizatio | n(s) (see instruction | s) You must comple | te Part I | V, Section | ons A, D, and E | | | | | | |
| d Type III non-functionally | integrated Asup | porting organization of | perated | in conn | ection with its suppor | ted organization(s) | | | | | |
| that is not functionally in | tegrated The orgai | nization generally mus | st satisfy | a distrib | oution requirement an | d an attentiveness | | | | | |
| requirement (see instruc | | | _ | | | | | | | | |
| e Check this box if the org | anization received | a written determinatio | n from t | he IRS t | hat it is a Type I, Type | II, Type III | | | | | |
| functionally integrated, o | | | | | | | | | | | |
| f Enter the number of supporte | | | | | | | | | | | |
| g Provide the following informat | on about the supp | orted organization(s) | | | | | | | | | |
| (i) Name of supported organization | (II) EIN | (III) Type of organization | (IV) Is the | organization | (v) Amount of monetary | (vi) Amount of | | | | | |
| | | (described on lines 1-9 above or IRC section | | ur governing ment? | support (see instructions) | other support (see instructions) | | | | | |
| | | (see instructions)) | | | | | | | | | |
| | | | Yes | No | | | | | | | |
| (A) | | | | | | | | | | | |
| (A) | | | | | | | | | | | |
| (P) | | | | | | | | | | | |
| (B) | | | | j . | | | | | | | |
| (C) | | | | | · | | | | | | |
| (C) | | | | | | | | | | | |
| (D) | | | | | | | | | | | |
| (D) | | | | | | | | | | | |
| (5) | | | | | | | | | | | |
| (E) | | | 1 | | | | | | | | |
| | | | | | | | | | | | |
| Total | | | 1 | 1 | l | 1 | | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2014

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III If the organization fails to qualify under the tests listed below, please complete Part III)

| Sec | tion A. Public Support | | | | | | |
|--------|---|---------------------|--|---|--|--|-----------------------------|
| Cale | ndar year (or fiscal year beginning in) | (a) 2010 | (b) 2011 | (c) 2012 | (d) 2013 | (e) 2014 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants") | 56,459,458 | 63,944,737 | 82,711,583 | 84,293,380 | 93,878,682 | 381,287,840 |
| 2 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | 0 |
| 3 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | 0 |
| 4 | Total Add lines 1 through 3 | 56,459,458 | 63,944,737 | 82,711,583 | 84,293,380 | 93,878,682 | 381,287,840 |
| 5 | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 0 |
| _6_ | Public support. Subtract line 5 from line 4 | 3.4 | | , , , , , , , , , , , , , , , , , , , | | 2 | 381,287,840 |
| Sec | tion B. Total Support | | | | | | |
| _ Cale | ndar year_(or fiscal year beginning in) 🕨 | (a) 2010 | (b) 2011 | (c) 2012 | (d) 2013 | (e) 2014 | (f) Total |
| 7 | Amounts from line 4 | 56,459,458 | 63,944,737 | 82,711,583 | 84,293,380 | 93,878,682 | 381,287,840 |
| 8 | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 2,860,962 | 1,049,073 | 242,389 | 2,277,943 | 1,998,677 | 8,429,044 |
| 9 | Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | 0 |
| 10 | Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI) | ^; | | | | | 0 |
| 11 | Total support. Add lines 7 through 10 | | | | 4 | 8 | 389,716,884 |
| 12 | Gross receipts from related activities, etc. (s | | | | | | |
| 13 | First five years. If the Form 990 is for | or the organizat | ion's first, secor | nd, third, fourth, | or fifth tax ye | ar as a section | 501(c)(3) |
| Sec | organization, check this box and stop here tion C. Computation of Public Sup | | | · · · · · · · · · · · · | | · · · · · · · · · · · · | · · · • • · · · |
| 14 | | | × | 44 | | 44 | 97.84 % |
| 15 | Public support percentage for 2014 (li | | | | | | 97.95% |
| | Public support percentage from 2013 331/3% support test - 2014. If the o | | | | | | |
| iva | this box and stop here. The organization | | | | | | |
| h | 331/3% support test - 2013. If the o | | | | | | |
| ~ | check this box and stop here. The organization | - | | | - | | |
| 17a | 10%-facts-and-circumstances test - 2 | | | | | | |
| | 10% or more, and if the organization | | | | | | |
| b | Part VI how the organization meets to organization | he "facts-and-c | ircumstances" t ganization did n s the "facts-an | est The organi ot check a box d-circumstances | zation qualifies on line 13, 16 " test, check ti | as a publicly s a, 16b, or 17a, his box and st | upported and line op here. |
| 18 | Explain in Part VI how the organization supported organization Private foundation. If the organization | | | | | | ▶ □ |
| | instructions | <u> </u> | | <u> </u> | | | |
| | | | | | | abadula A (Farm 0 | |

Part III

Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec | tion A. Public Support | | | | | | |
|------|--|---------------------|----------------------|--------------------|---|-------------------|--------------------|
| Cale | ndar year (or fiscal year beginning in) | (a) 2010 | (b) 2011 | (c) 2012 | (d) 2013 | (e) 2014 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees | | | | | | |
| | received (Do not include any "unusual grants ") | | | | | | |
| 2 | Gross receipts from admissions, merchandise | | | | | | |
| | sold or services performed, or facilities | | | | | | |
| | furnished in any activity that is related to the | | | | | | |
| | organization's tax-exempt purpose | | | | | | |
| 3 | Gross receipts from activities that are not an | | | | | | |
| | unrelated trade or business under section 513 | | | | | | |
| 4 | Tax revenues levied for the | - | | | | | |
| | organization's benefit and either paid | | | | | | |
| | to or expended on its behalf | | | | | | |
| 5 | The value of services or facilities | | | | | | |
| | furnished by a governmental unit to the | | | | | | |
| | organization without charge | | | | | | |
| 6 | Total. Add lines 1 through 5 | | | | _ | | |
| 7 a | Amounts included on lines 1, 2, and 3 | | | | | | |
| | received from disqualified persons | | | | • | | |
| _ b | Amounts included on lines 2 and 3 | | | - | | | |
| | received from other than disqualified | | | | | | |
| | persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | |] | |
| _ | Add lines 7a and 7b | | | | | | |
| 8 | Public support (Subtract line 7c from | * 'y', '\\$', | | ** (; | * | 7 4 7 7 | |
| _ | line 6) | | | | 3. | | |
| Sec | tion B. Total Support | | | <u> </u> | <u> </u> | | |
| | ndar year (or fiscal year beginning in) | (a) 2010 | (b) 2011 | (c) 2012 | (d) 2013 | (e) 2014 | (f) Total |
| 9 | Amounts from line 6 | | | | | | |
| 10 a | Gross income from interest, dividends, | | | | | | |
| | payments received on securities loans, rents, royalties and income from similar | | | | | | |
| | sources | | | | | | |
| b | Unrelated business taxable income (less | | | | | | |
| | section 511 taxes) from businesses | | | | | | |
| | acquired after June 30, 1975 | | | | L | | |
| С | Add lines 10a and 10b | | | | | | |
| 11 | Net income from unrelated business | | | | | | |
| | activities not included in line 10b, | | | | | | |
| | whether or not the business is regularly carried on | | | | | | |
| 12 | Other income Do not include gain or | | | | | | |
| | loss from the sale of capital assets | | | | | | |
| | (Explain in Part VI) | | | | | | |
| 13 | Total support. (Add lines 9, 10c, 11, | | | | | | |
| | and 12) | | | | | | |
| 14 | First five years. If the Form 990 is for | the organization | n's first, second, | third, fourth, or | fifth tax year a | s a section 501(| c)(3) |
| | organization, check this box and stop here | | | | | | ▶ 🔲 |
| Sec | tion C. Computation of Public Sup | | | | | | |
| 15 | Public support percentage for 2014 (line 8 | , column (f) divide | ed by line 13, colur | nn (f)) | | 15 | % |
| 16 | Public support percentage from 2013 Sche | | | | | 16 | % |
| Sec | tion D. Computation of Investmen | | | | | | |
| 17 | Investment income percentage for 2014 (li | ne 10c, column (| f) divided by line | 3, column (f)) | | 17 | % |
| 18 | Investment income percentage from 2013 | | | | | 18 | % |
| 19 a | 331/3% support tests - 2014 If the or | | | | | e than 331/3%, a | and line |
| | 17 is not more than 331/3%, check th | | | | | | |
| b | 331/3% support tests - 2013. If the orga | | | | | | |
| | line 18 is not more than 331/3%, check | this box and s | top here. The or | ganızatıon qualıfı | es as a publicly | supported organi | zation 🕨 🔲 |
| 20 | Private foundation. If the organization | did not check | a box on line | 14, 19a, or 19b | , check this bo | x and see instr | uctions ► |
| JSA | ··· | | | | 5 | chedule A (Form 9 | 90 or 990-FZ) 2014 |

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I If you checked 11a of Part I, complete Sections A and B If you checked 11b of Part I, complete Sections A and C If you checked 11c of Part I, complete Sections A, D, and E If you checked 11d of Part I, complete Sections A and D, and complete Part V)

| Socti | ion A. All Supporting Organizations | (V) | | |
|-------|---|-------|-----------|-------------|
| Secti | On A. All Supporting Organizations | | Yes | No |
| 1 | Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated If designated by class or purpose, describe the designation If historic and continuing relationship, explain | 1 | 103 | |
| 2 | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2) | 2 | , ' | |
| 3a | Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below | 3a_ | | |
| b | Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination | 3b | /3* | », |
| С | Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2) (B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use | 3с | | |
| 4a | Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below | 4a | 22. | - 15 |
| b | Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations | 4b | - | |
| С | Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes | 4c | 2 | |
| 5a | Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document) | 5a | <i>*.</i> | , , , , , , |
| b | Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | 5b | ^ | |
| 6 | Substitutions only. Was the substitution the result of an event beyond the organization's control? Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI. | 5c | , | |
| 7 | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990) | 7 | | |
| 8 | Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990) | 8 | | |
| 9a | Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI . | 9a | | |
| b | Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI. | 9b | | |
| С | Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI. | 9c | | |
| 10a | Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer (b) below | 10a | | |
| b | Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings) | 10Ь | | |

| Part | V Supporting Organizations (continued) | | | |
|-------------|---|-------|-----------|-----------------|
| | | | Yes | No |
| 11 | Has the organization accepted a gift or contribution from any of the following persons? | | | |
| а | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) | - | | |
| | below, the governing body of a supported organization? | 11a | | |
| b | A family member of a person described in (a) above? | 11b | | |
| | A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. | 11c | | |
| Section | on B. Type I Supporting Organizations | | | |
| | | | Yes | <u>No</u> |
| 1 | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, | - | | . f |
| | describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year | 1 | - | |
| 2 | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization | 2 | | , , |
| Section | on C. Type II Supporting Organizations | | | |
| | | | Yes | No |
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s) | 1 | | |
| Section | on D. All Type III Supporting Organizations | | | |
| | | | Yes | No |
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s) | 2 | Va | |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. | 3 | | |
| Section | on E. Type III Functionally-Integrated Supporting Organizations | | . | |
| 1 a b | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instance) The organization satisfied the Activities Test Complete line 2 below The organization is the parent of each of its supported organizations. Complete line 3 below The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instruction). | | ions) | |
| | | | Yes | No |
| 2 a | Activities Test Answer (a) and (b) below. Did substantially all of the organization's activities during the tax year directly further the exempt purposes of | | | |
| | the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities | 2a | | , |
| b | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement | 2b | | |
| 3 a | Parent of Supported Organizations <i>Answer (a) and (b) below.</i> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or | | | |
| | trustees of each of the supported organizations? Provide details in Part VI. | 3a | | <u> </u> |
| b | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard. | 3b | | |
| 1SA | Schedule A (Form | 990 0 | 990-6 | 71 201 <i>4</i> |

| Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organ | nizatio | ons | |
|---|----------|---|--|
| 1 Check here if the organization satisfied the Integral Part Test as a qualifying | | | tructions. All |
| other Type III non-functionally integrated supporting organizations must con | npiete | Sections A through E | (B) Current Year |
| Section A - Adjusted Net Income | | (A) Prior Year | (optional) |
| 1 Net short-term capital gain | 1 | | (optional) |
| 2 Recoveries of prior-year distributions | 1 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3 | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or | + | | |
| collection of gross income or for management, conservation, or | | | |
| maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | · · · · · · · · · · · · · · · · · · · |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | · · · · · · · · · · · · · · · · · · · |
| | | | (B) Current Year |
| Section B - Minimum Asset Amount | | (A) Prior Year | (optional) |
| 1 Aggregate fair market value of all non-exempt-use assets (see | | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | |
| instructions for short tax year or assets held for part of year) | 1,3 | | |
| a Average monthly value of securities | 1a | , , | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d Total (add lines 1a, 1b, and 1c) | 1d | | |
| e Discount claimed for blockage or other | | Commence of the second of the | to referre to the second second second |
| factors (explain in detail in Part VI) | , , | | The state of the state of |
| 2 Acquisition indebtedness applicable to non-exempt-use assets | 2 | | |
| 3 Subtract line 2 from line 1d | 3 | | |
| 4 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, | _ | ······································ | |
| see instructions) | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | , | |
| 6 Multiply line 5 by 035 | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |
| Section C - Distributable Amount | • | | Current Year |
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | \Box 1 | - 5 (- 3) () | |
| 2 Enter 85% of line 1 | 2 | ` ` , | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | , | - |
| 4 Enter greater of line 2 or line 3 | 4 | , " | |
| 5 Income tax imposed in prior year | 5 | | |
| 6 Distributable Amount Subtract line 5 from line 4, unless subject to | | ** * , , , | |
| emergency temporary reduction (see instructions) | 6 | | <u> </u> |
| 7 Check here if the current year is the organization's first as a non-functional | ly-inte | grated Type III supporting | organization (see |
| instructions) | | _ | |

Schedule A (Form 990 or 990-EZ) 2014

Page 7 Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued) Section D - Distributions **Current Year** Amounts paid to supported organizations to accomplish exempt purposes Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity Administrative expenses paid to accomplish exempt purposes of supported organizations Amounts paid to acquire exempt-use assets Qualified set-aside amounts (prior IRS approval required) Other distributions (describe in Part VI) See instructions Total annual distributions. Add lines 1 through 6 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions Distributable amount for 2014 from Section C, line 6 10 Line 8 amount divided by Line 9 amount (ii) (iii) Underdistributions Section E - Distribution Allocations (see instructions) Distributable **Excess Distributions** Pre-2014 Amount for 2014 Distributable amount for 2014 from Section C, line 6 1 . 6 40 2 4 300 Underdistributions, if any, for years prior to 2014 (reasonable cause required-see instructions) Excess distributions carryover, if any, to 2014 а b c d е Total of lines 3a through e f Applied to underdistributions of prior years Applied to 2014 distributable amount Carryover from 2009 not applied (see instructions) 3-40 Remainder Subtract lines 3g, 3h, and 3i from 3f Distributions for 2014 from Section D. line 7 Applied to underdistributions of prior years b Applied to 2014 distributable amount Remainder Subtract lines 4a and 4b from 4 Remaining underdistributions for years prior to 2014, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) Remaining underdistributions for 2014 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions) Excess distributions carry over to 2015 Add lines 3 and 4c Breakdown of line 7 Я а b C Excess from 2013

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Excess from 2014

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Page 8

Part VI Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12 Also complete this part for any additional information (See instructions)

SCHEDULE D (Form 990)

Supplemental Financial Statements ► Complete if the organization answered "Yes" to Form 990,

Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

Department of the Treasury Internal Revenue Service

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990. Employer Identification number Name of the organization

Open to Public Inspection

OMB No 1545-0047

| IN- | Q-TEL, INC. | | 52-2149962 |
|----------|--|--|--|
| Pa | tl Organizations Maintaining Donor Ad | vised Funds or Other Similar Funds or | Accounts. |
| | Complete if the organization answere | d "Yes" to Form 990, Part IV, line 6 | |
| | | (a) Donor advised funds | (b) Funds and other accounts |
| 1 | Total number at end of year | | |
| 2 | Aggregate value of contributions to (during year) | | |
| 3 | Aggregate value of grants from (during year) | | |
| 4 | Aggregate value at end of year | | |
| 5 | Did the organization inform all donors and donor | or advisors in writing that the assets held i | n donor advised |
| | funds are the organization's property, subject to the | | |
| 6 | Did the organization inform all grantees, donors, | | |
| | only for charitable purposes and not for the ben | | |
| | conferring impermissible private benefit? | | |
| Pa | t II Conservation Easements. | | |
| | Complete if the organization answere | d "Yes" to Form 990, Part IV, line 7 | |
| 1 | Purpose(s) of conservation easements held by the | | |
| | Preservation of land for public use (e.g., re | creation or education) Preservation of | of a historically important land area |
| | Protection of natural habitat | Preservation of | of a certified historic structure |
| | Preservation of open space | | |
| 2 | Complete lines 2a through 2d if the organization | held a qualified conservation contribution in | the form of a conservation |
| | easement on the last day of the tax year | | Held at the End of the Tax Year |
| а | Total number of conservation easements | | 2a |
| b | Total acreage restricted by conservation easemer | ıts | 2b |
| С | Number of conservation easements on a certified | d historic structure included in (a) | 2c |
| d | Number of conservation easements included in | (c) acquired after 8/17/06, and not on a | |
| | historic structure listed in the National Register. | | 2d |
| 3 | Number of conservation easements modified, tra | ansferred, released, extinguished, or termina | ated by the organization during the |
| | tax year ▶ | | |
| 4 | Number of states where property subject to cons | | |
| 5 | Does the organization have a written policy r | | |
| | violations, and enforcement of the conservation e | | |
| 6 | Staff and volunteer hours devoted to monitoring, | inspecting, and enforcing conservation ease | ements during the year |
| | > | | |
| 7 | Amount of expenses incurred in monitoring, inspe | ecting, and enforcing conservation easemen | its during the year |
| | ▶ \$ | | |
| 8 | Does each conservation easement reported on li | | l i i i |
| | and section 170(h)(4)(B)(II)? | | |
| 9 | In Part XIII, describe how the organization report | | |
| | balance sheet, and include, if applicable, the text | | al statements that describes the |
| | organization's accounting for conservation easem | | Cimilar Apoeta |
| Pa | rt III Organizations Maintaining Collection Complete if the organization answere | ns of Art, Historical Treasures, or Other | Similar Assets. |
| | | | |
| 1a | If the organization elected, as permitted under works of art, historical treasures, or other simpublic service, provide, in Part XIII, the text of the | SEAS 116 (ASC 958), not to report in its r ilar assets held for public exhibition, educ | evenue statement and balance sheet cation, or research in furtherance of |
| | public service, provide, in Part XIII, the text of the | footnote to its financial statements that des | cribes these items |
| b | If the organization elected, as permitted under | SFAS 116 (ASC 958), to report in its re | evenue statement and balance sheet |
| | works of art, historical treasures, or other sim | | cation, or research in furtherance of |
| | public service, provide the following amounts rela | | ► c |
| | (i) Revenue included in Form 990, Part VIII, line | 1 | |
| _ | (ii) Assets included in Form 990, Part X | | |
| 2 | If the organization received or held works of | | |
| | following amounts required to be reported under | | |
| a | Revenue included in Form 990, Part VIII, line 1. | | |
| <u>b</u> | Assets included in Form 990, Part X | <u> </u> | · · · · · · · · · · · · · · · · · · · |

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule D (Form 990) 2014

Schedule D (Form 990) 2014

6,081,560.

Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))

| _ | | • |
|----|---|---|
| ·~ | • | • |
| | | |

| Complete if the organization answer | ed "Yes" to Form 990 | , Part IV, line 11b See Form 990 |), Part X, line 12 |
|--|----------------------------|---|---------------------|
| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of value Cost or end-of-year ma | ation |
| (1) Financial derivatives | | | |
| (2) Closely-held equity interests | | | |
| (3) Other | | | |
| (A) | 1 | | |
| (B) | | | |
| (C) | | | |
| (D) | | | |
| (E) | | | 77-1-11-1-11-1 |
| (F) | | | |
| (G) | | | |
| (H) | | | |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 12) | | , | |
| Part VIII Investments - Program Related. Complete if the organization answer | ed "Yes" to Form 990 | , Part IV, line 11c. See Form 990 |), Part X, line 13. |
| (a) Description of investment | (b) Book value | (c) Method of valu Cost or end-of-year ma | |
| (1) PREFERRED STOCK | 67 633 433 | | ret value |
| (2) COMMON STOCK | 67,633,423. | FMV | |
| (3) WARRANTS - PREFERRED | 3,099,553. 132,603,850. | FMV | |
| (4) WARRANTS - COMMON | 2,549,186. | FMV FMV | |
| (5) CONVERTIBLE NOTE | 5,364,627. | FMV | |
| (6) | 3,304,027. | riiv | |
| (7) | | | |
| (8) | | | |
| (9) | | | |
| Total (Column (b) must equal Form 990, Part X, col (B) line 13) | 211,250,639. | - | |
| Part IX Other Assets. | | | |
| Complete if the organization answer | ed "Yes" to Form 990, | , Part IV, line 11d. See Form 990 |), Part X, line 15. |
| (a) (| Description | | (b) Book value |
| (1) | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| _(5) | , | | |
| (6) | | | |
| | | | |
| (8) | | | |
| (9) | | | |
| Total. (Column (b) must equal Form 990, Part X, col (B |) line 15) | <u></u> | • |
| Part X Other Liabilities. Complete if the organization answer | ed "Ves" to Form 990 | Part IV line 11e or 11f See For | rm 000 Bort V |
| line 25. | ed res to rollingso, | , raitiv, line the or the Seet of | iiii 990, Fait A, |
| 1. (a) Description of liability | (b) Book valu | | |
| (1) Federal income taxes | (b) BOOK Valu | | |
| (2) | | | |
| (3) | | —————————————————————————————————————— | |
| (4) | | | |
| (5) | | | |
| (6) | | | |
| (7) | | | |
| (8) | | | |
| (9) | | | |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 25 | 5) ▶ | | |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII

| ao | e | 4 |
|----|---|---|
| | | |

| Part 2 | Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered "Yes" to Form 990, Part IV, line 12a. | ۱. | |
|---------|--|------------|--------------------|
| 1 | Total revenue, gains, and other support per audited financial statements | 1 | 142,034,780. |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | - | 212/031/100. |
| a | Net unrealized gains (losses) on investments 2a 50,226,530. | | |
| b | Donated services and use of facilities 2b | | |
| c | Recoveries of prior year grants 2c | | |
| d | Other (Describe in Part XIII) | | |
| | Add lines 2a through 2d | 2e | 50,226,530. |
| 3 | Subtract line 2e from line 1 | 3 | 91,808,250. |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1 | | J1,000,230. |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | - | |
| | Other (Describe in Part XIII) | | |
| c | | 4c | |
| 5 | Add lines 4a and 4b Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12) | 5 | 91,808,250. |
| Part | | | 31,000,130. |
| | Complete if the organization answered "Yes" to Form 990, Part IV, line 12a. | •••• | |
| 1 | Total expenses and losses per audited financial statements | 1 | 69,022,673. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | - * | |
| а | Donated services and use of facilities 2a | ` , ` | |
| b | Prior year adjustments 2b | | |
| С | Other losses 2c | | |
| d | Other (Describe in Part XIII) Add Inno 3a through 3d | ٠ | |
| е | Add lines 2a through 2d | 2e | |
| 3 | Subtract line 2e from line 1 | 3 | 69,022,673. |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1 | h, | |
| а | Investment expenses not included on Form 990, Part VIII, line 7b | ČĢ. | |
| b | Other (Describe in Part XIII) | 24 | |
| С | Add lines 4a and 4b | 4c | |
| 5 | Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18) | 5 | 69,022,673. |
| Provide | Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part III, lines 1b and 2b, Part II | | ne 4, Part X, line |
| 2, Part | XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional inform | nation | |
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Schedule D (Form 990) 2014 IN-Q-TEL, INC.

Part XIII Supplemental Information (continued)

SCHEDULE F (Form 990)

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

OMB No 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service Name of the organization

► Attach to Form 990. ▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

Inspection

| Name of the organization | | | | Employer Identifica | tion number |
|--|-----------------------|--|---|---|--|
| IN-Q-TEL, INC. | | | | 52-2149962 | |
| Part I General Information of Form 990, Part IV, line 14 | on Activities 4b | Outside the l | Jnited States. Complete | of the organization answe | red "Yes" on |
| 1 For grantmakers. Does the orga | nization mainta | in records to s | substantiate the amount of | f its grants and other | |
| assistance, the grantees' eligibili | ty for the gran | ts or assistance | e, and the selection criteri | ia used to award the | |
| grants or assistance? | | | | | Yes No |
| | | | | | |
| 2 For grantmakers. Describe in | | ganization's pi | rocedures for monitoring | the use of its grants a | and other |
| assistance outside the United Sta | ates | | | | |
| 3 Activities per Region (The follow | ving Part I line | 3 table can be | e duplicated if additional sr | nace is needed) | |
| (a) Region | (b) Number of | (c) Number of | (d) Activities conducted in | (e) If activity listed in (d) is | (f) Total |
| | offices in the region | employees, agents, and independent contractors in region | region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region) | a program service, describe specific type of service(s) in region | expenditures for and investments in region |
| | } | | | | - |
| (1) NORTH AMERICA | | | PROGRAM SERVICES | TECHNOLOGY DEVELOPMENT | 3,161,000 |
| | | | | | |
| (2) EUROPE | | | PROGRAM SERVICES | TECHNOLOGY DEVELOPMENT | 180,000 |
| (3) EAST ASIA AND THE PACIFIC | | | DROCDAM CEDUTCEC | TECHNOLOGY DEVELOPMENT | 155 000 |
| (4) EAST ASTA AND THE PACTIFIC | | | PROGRAM SERVICES | TECHNOLOGY DEVELOPMENT | 165,000 |
| (4) NORTH AMERICA | | | INVESTMENTS | | 7,914,026 |
| | | | | | |
| (5) EUROPE | | | INVESTMENTS | | 1,232,188 |
| | | | | | |
| (6) EAST ASIA AND THE PACIFIC | | | INVESTMENTS | | 236,157 |
| (7) | | | | | |
| (1) | | | | | |
| (8) | | | | | |
| | | | | | |
| (9) | | | | | |
| | | | | | |
| (10) | | | | | |
| (11) | | | | | |
| (11) | | | | | |
| (12) | | | | | |
| | | | | | |
| (13) | | | | | |
| | | | | | |
| (14) | | | | | |
| /15) | | | | | |
| (15) | | _ | | | |
| (16) | | | | | |
| | | | | | |
| (17) | | | | | |
| 3a Sub-total | | | | | 12,888,371 |
| b Total from continuation | | | | | |
| sheets to Part I | | | | | |
| c Totals (add lines 3a and 3b) | l i | | I | 1 | 12 989 371 |

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Schedule F (Form 990) 2014

IN-Q-TEL, INC.

Schedule F (Form 990) 2014

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000 Part II can be duplicated if additional space is needed Part II

| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|----------------------------|--|------------|-------------------------|--------------------------|---------------------------------|---|--|---|
| (1) | | | | | | | | • |
| (2) | | | | | | | | |
| (3) | | | | | | | | |
| (4) | v /m | | | | | | | |
| (5) | | | | - | | | | |
| (9) | | | | | | | | |
| (7) | | | | | | | | |
| (8) | | | | | | | | |
| (6) | 136 | | | | | | | |
| (10) | ~ | | | | | | | |
| (11) | | | | | | | | |
| (12) | | | | | | | | |
| (13) | | | | | | | | |
| (14) | | | | • | | | | |
| (15) | | | | | | | | |
| (16) | | | | | | | | |
| | | | | | | | | |

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt ~

က

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Schedule F (Form 990) 2014

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IN-Q-TEL, INC.

52-2149962

Schedule F (Form 990) 2014 (h) Method of valuation (book, FMV, appraisal, other) Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. (g) Description of non-cash assistance (f) Amount of non-cash assistance (e) Manner of cash disbursement (d) Amount of cash grant (c) Number of recipients (b) Region (a) Type of grant or assistance Schedule F (Form 990) 2014 JSA 4E1276 1 000 Part III Ξ 2 ව ₹ 9 (9) 8 (11) (12) 8 6 9 (13) (14) (15) (16) (18) (17)

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for Form 5713, do not file with Form 990)

Schedule F (Form 990) 2014

X

Yes

Part V

Supplemental Information
Complete this part to provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable Also complete this part to provide any additional information (see instructions)

SCHEDULE J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Information about Schedule J (Form 990) and its instructions is at www irs gov/form990

OMB No 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service Name of the organization IN-Q-TEL, INC.

Employer Identification number

52-2149962

| Part | Questions Regarding Compensation | | | |
|--------|--|-------------|-----------|-------------------|
| | | | Yes | No |
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form | | , | |
| | 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items | | , • | - 3 |
| | X First-class or charter travel Housing allowance or residence for personal use | *, | ٠, | |
| | Travel for companions Payments for business use of personal residence | | | ÷ |
| | Tax indemnification and gross-up payments Health or social club dues or initiation fees | | | , - 1 |
| | Discretionary spending account Personal services (e.g., maid, chauffeur, chef) | 3 / | ,,, | ٠, |
| h | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment | ~. * | ` . | , , |
| | or reimbursement or provision of all of the expenses described above? If "No," complete Part III to | | | |
| | explain | 1b | X | |
| 2 | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all | ٠ | | - ; . |
| | directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line | | | |
| | 1a [?] | 2 | Х | ļ., |
| 3 | Indicate which, if any, of the following the filing organization used to establish the compensation of the | e 3 4 | * _ ` | 1 |
| | organization's CEO/Executive Director Check all that apply. Do not check any boxes for methods used by a | 7. | | |
| | related organization to establish compensation of the CEO/Executive Director, but explain in Part III | · · · | ر سپک | ا <u>بر شه</u> اد |
| | X Compensation committee X Written employment contract | F | \ \ ; | , , |
| | X Independent compensation consultant X Compensation survey or study | () | 81,3 | .`. |
| | Form 990 of other organizations X Approval by the board or compensation committee | 2 t | (S) | , , |
| 4 | During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing | . ` | | |
| | organization or a related organization | - - - | 1.2 | 2. 3 |
| a | Receive a severance payment or change-of-control payment? | 4a | | Х |
| b | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | 4b | X | ļ |
| C | Participate in, or receive payment from, an equity-based compensation arrangement? | 4c | X | |
| | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | 18 % | <i>\$</i> | 20.0 |
| | Only posting 504(s)/2) 504(s)/4) and 504(s)/20) associations must complete lines 5.0 | | , | |
| 5 | Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5–9. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any | , | | |
| 5 | compensation contingent on the revenues of | | 4. | |
| | The organization? | 5a | | X |
| a b | Any related organization? | 5b | | X |
| b | If "Yes" to line 5a or 5b, describe in Part III | 35 | - | ^ |
| 6 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any | , | ' | |
| U | compensation contingent on the net earnings of | | 1 | |
| а | The organization? | 6a | ~~ ~ | X |
| b | Any related organization? | 6b | | X |
| U | If "Yes" to line 6a or 6b, describe in Part III | | | ╁ |
| 7 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed | Ì | 1 | |
| • | payments not described in lines 5 and 6? If "Yes," describe in Part III | 7 | | х |
| 8 | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject | 广 | | |
| Ū | to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe | | | |
| | In Part III | 8 | | x |
| 9 | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in | | | 1 |
| - | Regulations section 53 4958-6(c)? | 9 | | - |
| | | | | |

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Schedule J (Form 990) 2014

Schedule J (Form 990) 2014

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(ı)-(ııı) for each listed ındıvıdual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| | | (B) Breakdown of W | f W-2 and/or 1099-MISC compensation | SC compensation | (C) Retirement and | (D) Nontaxable | (E) Total of columns | (F) Compensation |
|-----------------------------------|------------|--------------------------|-------------------------------------|---|--------------------------------|----------------|----------------------|--|
| (A) Name and Title | | (ı) Base compensation | (ii) Bonus & incentive compensation | (III) Other reportable compensation | other deferred compensation | benefits | (B)(i)-(D) | in column (B) reported as deferred in prior Form 990 |
| C. DARBY | ε | 622,770. | 803,250. | 100,368. | 251,200. | 26,199. | 1,803,787. | 0 |
| 1 PRESIDENT, CEO & TRUSTEE | Ξ | 0 | D | 0 | 0 | 0 | | 0 |
| S. BOWSHER | ε | 573,070. | 1,252,500. | 810. | 231,200. | 26,804. | 2,084,384. | 600,000. |
| 2 EVP & MANAGING PARTNER | € | 0 | D | 0 | 0 | 0 | | 0 |
| M. STROTTMAN | ε | 344,593. | 216,426. | 1,479. | 140,291. | 26,151. | 728,940. | 0 |
| 3 EVP & CFO | 3 | D | D | 0 | _ | 0 | 8 | 0 |
| B. ADAMS | Θ | 319,982. | 201,318. | 3,116. | 31,200. | 16,066. | 571,682. | 0 |
| 4 EVP & GENERAL COUNSEL | Ξ | p | þ | O | 0 | b | 0 | 0 |
| B. GLEICHAUF | Ξ | 306, 676. | 193,681. | 2,322. | 31,200. | 26,124. | 560,003. | 0 |
| 5 EVP & CHIEF SCIENTIST | (ii) | 5 | þ | 0 | 0 | o | 0 | 0 |
| E. POULOS | (3) | 301,086. | 190,737. | 1,748. | . 31,200. | 20,949. | 545,720. | 0 |
| 6 EVP & CHIEF OF STAFF | (ii) | 5 | þ | 0 | 0 | p | þ | 0 |
| W. RADOSEVICH | Ξ | 179,740. | D | 1,187. | 22,192. | 14,928. | 218,047. | 0 |
| 7 EVP - TECHNOLOGY PROGRAMS | (E) | b | þ | 0 | 0 | 0 | 0 | 0 |
| T. O'TOOLE | (i) | 240,337. | 2,500. | 2,673. | 29,141. | o | 274,651. | 0 |
| 8 EVP & SENIOR FELLOW | (ii) | 5 | þ | o | þ | o | d | 0 |
| G. HOYEM | (i) | 286,482. | 171,650. | 3,247. | 10,400. | 24,673. | 496,452. | 0 |
| 9 PARTNER | (ii) | O | 0 | 0 | 0 | 0 | ď | 0 |
| E. KAUFMANN | (ι) | 288,655. | 155,555. | 1,471. | 10,400. | 914. | 456,995. | 0 |
| 10PARTNER | (E) | b | p . | 0 | 0 | D | p | 0 |
| T. RYLANDER | ε | 306,375. | 173,546. | 486. | , 92,218. | 1,838. | 574,463. | 0 |
| 11 PARTNER | (ii) | b | D | o | d | o | O | 0 |
| P. BORBELY | ε | 270,279. | 169,394. | 3,564. | 10,400. | 17,990. | 471,627. | 0 |
| 12 PARTNER | (ii) | Ь | ρ | 0 | o | d | 0 | 0 |
| S. DAVIDSON | Θ | .290,482. | 160,130. | 810. | 64,945. | 25,554. | 541,921. | 0 |
| 13 PARTNER | (E) | b | þ | 0 | þ | 0 | 0 | 0 |
| T. PEARSALL | Θ | 269,567. | 164,202. | 1,208. | 10,400. | 26,833. | 472,210. | 0 |
| 14 FORMER EVP-TECHNOLOGY TRANSFER | (II) | b | 0 | 0 | 0 | 0 | 0 | 0 |
| | € | | | | | | | |
| 15 | • | | | | - | | | |
| | ε | | | | | | | |
| 16 | 3 | | | | ~ - | | | |
| | | | | | | | Sche | Schedule J (Form 990) 2014 |

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Schedule J (Form 990) 2014

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

FORM 990, PART VII & SCHEDULE J, PART

IN ADDITION TO C. DARBY'S RESPONSIBILITIES AS PRESIDENT AND CEO OF

IN-Q-TEL (IQT), MR. DARBY SERVES AS A TRUSTEE ON THE COMPANY'S BOARD OF

DARBY HAS WAIVED ANY COMPENSATION RELATED TO HIS TRUSTEE AR. TRUSTEES. DUTIES AND HIS INCOME AS REPORTED IN THIS FORM IS ENTIRELY ATTRIBUTABLE

TO HIS DUTIES AS PRESIDENT AND CEO

FORM 990, SCHEDULE J, PART I, LINE 1A

"WITH THE EXCEPTION OF FOREIGN IN-Q-TEL'S CORPORATE TRAVEL POLICY STATES,

BUSINESS TRAVEL, AND NOTWITHSTANDING THE CEO AND EXECUTIVE VICE

PRESIDENTS, ALL OTHER IQT EMPLOYEES ARE REQUIRED TO TRAVEL IN COACH OR

ECONOMY CLASS. EXCEPTIONS MUST BE PRE-APPROVED BY THE CEO OR CFO. WHILE

THAN SIX HOURS ARE ELIGIBLE TO UPGRADE TO BUSINESS CLASS OR FIRST CLASS

ON FOREIGN BUSINESS TRIPS, EMPLOYEES TRAVELING ON NONSTOP FLIGHTS LONGER

IF BUSINESS CLASS IS NOT AVAILABLE." WHILE THE CORPORATE TRAVEL POLICY

SPECIFICALLY ADDRESSES IN-Q-TEL EMPLOYEE TRAVEL, IN-Q-TEL BOARD OF

TRUSTEES ARE PROVIDED THE OPTION TO TRAVEL TO QUARTERLY BOARD MEETINGS IN

BUSINESS CLASS AND RECEIVE REIMBURSEMENT

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Schedule J (Form 990) 2014

| IN-Q-TEL, INC. | 52-2149962 |
|--|---|
| \sim | Page 3 |
| Part III Supplemental Information Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, Also complete this part for any additional information. | 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part.II. |
| FORM 990, SCHEDULE J, LINE 4B | • |
| FOR CALENDAR YEAR 2014, MR. DARBY'S EMPLOYMENT ARRANGEMENT IS AS | |
| FOLLOWS: | |
| - BASE SALARY OF \$630,000 | |
| - AN ANNUAL PERFORMANCE BASED BONUS DECIDED BY THE BOARD BASED ON PRESET | |
| CRITERIA | |
| - SUPPLEMENTAL NON-QUALIFIED DEFERRED COMPENSATION AS A RETENTION | |
| MECHANISM, PAYABLE UPON COMPLETION OF THE CONTRACT TERM. THE AMOUNT | |
| ACCRUED DURING CALENDAR YEAR 2014 IS \$220,000. | |
| | |
| FOR CALENDAR YEAR 2014, MR. BOWSHER'S EMPLOYMENT ARRANGEMENT IS AS | |
| FOLLOWS: | |
| - BASE SALARY OF \$580,000 | |
| - AN ANNUAL PERFORMANCE BASED BONUS DECIDED BY THE BOARD BASED ON PRESET | |
| CRITERIA | |
| - SUPPLEMENTAL NON-QUALIFIED DEFERRED COMPENSATION AS A RETENTION | |
| MECHANISM, PAYABLE UPON COMPLETION OF THE CONTRACT TERM. THE AMOUNT | |
| ACCRUED DURING CALENDAR YEAR 2014 IS \$200,000. | |
| | Schedule J (Form 990) 2014 |

Schedule J (Form 990) 2014
Part III Supplemental Information

Page 3

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

THE FOLLOWING INDIVIDUALS RECEIVED SUPPLEMENTAL NON-QUALIFIED DEFERRED

COMPENSATION AS A RETENTION MECHANISM. THE AMOUNT ACCRUED DURING CALENDAR YEAR 2014 IS:

- M. STROTTMAN \$109,091
- \$81,818 T. RYLANDER
- \$54,545 S. DAVIDSON

FORM 990, SCHEDULE J, LINE 4C

PLEASE REFER TO THE SCHEDULE O DISCLOSURE ON IN-Q-TEL EMPLOYEE FUND, LLC.

FORM 990, SCHEDULE

THE COMPENSATION OF THE CEO AND MANAGING PARTNER IS NOT PAID FOR WITH

IN ADDITION, ALL INDIVIDUAL EMPLOYEE COMPENSATION IN GOVERNMENT FUNDING.

EXCESS OF \$487,000 IS ALSO NOT PAID FOR WITH GOVERNMENT FUNDING.

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Schedule J (Form 990) 2014

SCHEDULE O

(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

➤ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

IN-Q-TEL, INC.

Employer identification number 52-2149962

PART VI, LINE 11B

REVIEW OF FORM 990

THE FORM 990 WAS PREPARED BY THE COMPANY'S EXTERNAL TAX ADVISORY FIRM WITH THE ASSISTANCE OF IN-Q-TEL'S FINANCE TEAM. THE FORM 990 WAS ALSO REVIEWED BY CERTAIN MEMBERS OF THE COMPANY'S EXECUTIVE TEAM, INCLUDING THE CFO AND GENERAL COUNSEL. ADDITIONALLY, THE AUDIT AND ETHICS COMMITTEE OF THE BOARD OF TRUSTEES REVIEWED THE FORM 990 DURING ONE OF ITS-QUARTERLY MEETINGS. AFTER REVIEW BY THE AUDIT-AND ETHICS-COMMITTEE, THE FORM 990 WAS DISTRIBUTED TO ALL MEMBERS OF THE BOARD OF TRUSTEES PRIOR TO FILING.

PART VI, LINE 12C

CONFLICT OF INTEREST POLICY

FOR EVERY TRANSACTION SUBJECT TO BOARD/COMMITTEE APPROVAL, THE MEMBERS OF THE BOARD AND COMMITTEE ARE ASKED TO IDENTIFY ANY CONFLICTS. THE "DEAL TEAMS" ALSO IDENTIFY CONFLICTS INVOLVING IQT OFFICERS OR EMPLOYEES IN TRANSACTIONS IN THE DOCUMENTS SUBMITTED FOR APPROVAL. ADDITIONALLY, EACH YEAR IN CONNECTION WITH THE PREPARATION OF THE FORM 990, A QUESTIONNAIRE IS SENT TO IQT TRUSTEES AND OFFICERS ASKING FOR IDENTIFICATION OF CONFLICTS.

PART VI, LINE 15A & 15B

COMPENSATON EXPLANATION

IN FORMULATING IQT'S COMPENSATION SYSTEM, THE BOARD OF TRUSTEES COMPLIES

52-2149962

WITH ITS RESPONSIBILITIES UNDER THE INTERNAL REVENUE CODE OF 1986, AS AMENDED ("CODE"), TO PAY REASONABLE COMPENSATION TO IOT'S EMPLOYEES AND TO AVOID ANY "EXCESS BENEFIT TRANSACTIONS" UNDER SECTION 4958 OF THE CODE. IN OVERSEEING IQT'S COMPENSATION SYSTEM, THE BOARD ADHERES TO THE FOLLOWING PRINCIPLES:

- THE COMPENSATION SYSTEM IS APPROVED BY THE BOARD OR A COMMITTEE OF THE BOARD COMPOSED ENTIRELY OF INDEPENDENT TRUSTEES WHO ARE NOT EMPLOYEES OF, OR INDEPENDENT CONTRACTORS TO, IQT.
- THE BOARD, OR COMMITTEE THEREOF, OBTAINS AND RELIES UPON APPROPRIATE COMPENSATION DATA FOR COMPARABLE ENTITIES PRIOR TO MAKING COMPENSATION DETERMINATIONS: AND
- THE BASIS FOR ITS DETERMINATION IS DOCUMENTED CONCURRENTLY WITH THE BOARD OR COMMITTEE MAKING THAT DETERMINATION.

THE HUMAN RESOURCES & COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES AS SET FORTH IN ITS CHARTER, IQT'S HUMAN RESOURCES AND COMPENSATION COMMITTEE (THE "HR AND COMPENSATION COMMITTEE" OR "COMMITTEE") HAS BEEN CHARGED BY THE BOARD OF TRUSTEES TO ASSIST THE BOARD IN OVERSEEING IOT'S COMPENSATION SYSTEM. SPECIFICALLY, THE COMMITTEE PERFORMS THE FOLLOWING DUTIES:

- ENSURES THAT IQT'S COMPENSATION PROGRAM AND OTHER EMPLOYEE BENEFITS ARE

Employer Identification number 52-2149962

COMPARABLE TO APPROPRIATE MARKETS

- DETERMINES, WITH INPUT FROM THE CIA, AN OVERALL ANNUAL COMPANY
 "PERFORMANCE SCORE" THAT REFLECTS THE EXTENT AND MANNER IN WHICH IQT HAS
 BEEN SUCCESSFUL IN PURSUING ITS MISSION.
- CONDUCTS AN ANNUAL REVIEW OF THE CHIEF EXECUTIVE OFFICER'S ("CEO'S")
 PERFORMANCE, AND MAKES RECOMMENDATIONS TO THE BOARD OF TRUSTEES ON THE
 CEO'S COMPENSATION AND BENEFITS FOR THE NEXT YEAR.
- ON AN ANNUAL BASIS, REVIEWS THE CEO'S RECOMMENDATIONS REGARDING THE COMPENSATION AND BENEFITS OF THE OTHER DISQUALIFIED PERSONS, AS DEFINED IN SECTION 4958 OF THE INTERNAL REVENUE CODE, AND DETERMINES THEIR COMPENSATION AND BENEFITS FOR THE NEXT YEAR.

- ON AN ANNUAL BASIS, REVIEWS THE DETERMINATION OF COMPENSATION AND BENEFITS OF THE OTHER EMPLOYEES MADE BY THE CEO OR THE CEO'S DESIGNEE.
- ENGAGES AN INDEPENDENT COMPENSATION CONSULTING FIRM TO PERFORM A

 PERIODIC STUDY OF IQT'S COMPENSATION PROGRAM AND ADMINISTRATION, OR PARTS

 THEREOF. (THIS STUDY INCLUDES, BUT IS NOT LIMITED TO, A REVIEW OF DATA

 THE COMPANY USED TO BENCHMARK POSITIONS, DOCUMENTATION OF BASE SALARY

 ADJUSTMENTS, AND ANNUAL INCENTIVE PLAN AWARDS.) FOLLOWING COMPLETION OF

 SUCH STUDY, THE COMPENSATION CONSULTING FIRM DELIVERS A REPORT TO THE

 HUMAN RESOURCES AND COMPENSATION COMMITTEE DISCUSSING IQT'S ADHERENCE TO

Employer identification number

52-2149962

ITS COMPENSATION POLICIES.

- WORKS WITH IQT'S IN-HOUSE AND OUTSIDE COUNSEL TO ENSURE THAT IQT'S COMPENSATION STRUCTURE AND PLANS COMPLY WITH INTERNAL REVENUE CODE AND OTHER LEGAL REQUIREMENTS.
- KEEPS THE BOARD OF TRUSTEES INFORMED OF KEY COMPENSATION AND HUMAN RESOURCE ISSUES AFFECTING IOT.

PART VI, LINE 19

DOCUMENTS AVAILABLE TO THE PUBLIC

IN-Q-TEL DOES NOT MAKE THIS INFORMATION AVAILABLE TO THE PUBLIC.

SCHEDULE R, PART V

EIP DISCLOSURE STATEMENT

IN-Q-TEL MAINTAINS AN INVESTMENT IN A SEPARATE RELATED ENTITY CALLED

IN-Q-TEL EMPLOYEE FUND, LLC. THIS ENTITY WAS CREATED AS PART OF AN

EMPLOYEE INCENTIVE PROGRAM (EIP) WHICH INVOLVED MAKING SIDE-BY-SIDE

EQUITY INVESTMENTS WITH IN-Q-TEL. THE EIP WAS SUSPENDED IN JUNE 2007 AND

NO FURTHER INVESTMENTS ARE BEING MADE.

ATTACHMENT 1

FORM 990, PART III - PROGRAM SERVICE, LINE 4A

IQT WAS ESTABLISHED IN 1999 AS A PRIVATE, INDEPENDENT,

NOT-FOR-PROFIT CORPORATION TO HELP THE CIA AND BROADER U.S.

INTELLIGENCE COMMUNITY (IC) IDENTIFY, ADAPT, AND DELIVER

CUTTING-EDGE TECHNOLOGIES THAT ADDRESS NATIONAL SECURITY NEEDS.

Employer Identification number 52-2149962

ATTACHMENT 1 (CONT'D)

IOT'S STRATEGIC INVESTMENT MODEL GIVES IT THE AGILITY - OFTEN LACKING WITHIN TRADITIONAL GOVERNMENT CONTRACTING APPROACHES - TO FIND AND NURTURE ENTREPRENEURS AND COMPANIES THAT CAN PROVIDE A SUPPLY CHAIN OF INNOVATION WHICH ENABLES THE IC TO BENEFIT FROM COMMERCIAL TECHNOLOGY ADVANCES.

IQT'S MISSION IS TO TAKE THE CALCULATED INVESTMENT RISKS NECESSARY TO SUPPORT LEADING-EDGE, BUT OFTEN UNPROVEN TECHNOLOGIES, AND MATURE THEM TO OPERATIONAL READINESS TO HELP THE CIA AND BROADER IC ACHIEVE THEIR MISSION.

APPROACH

IQT WORKS AS:

- * A STRATEGIC INVESTMENT ORGANIZATION, INVESTING IN COMPANIES TO HELP NASCENT COMMERCIAL TECHNOLOGIES MATURE INTO MISSION-READY PRODUCTS AT A LOWER COST THAN ALTERNATIVE APPROACHES;
- * A TECHNOLOGY ACCELERATOR, FOSTERING DEVELOPMENT AND INTRODUCTION OF TECHNOLOGIES NEEDED BY THE IC; AND
- * AN IDEA LAB AND FORUM FOR INNOVATION, PROVIDING THE IC WITH INSIGHT AND ACCESS TO BOTH NEW TECHNOLOGIES AND LEADING INNOVATORS AND THINKERS.

| IDENTIFYING | INNOVATIVE | TECHNOLOGIES |
|-------------|------------|--------------|
| | | |

Employer identification number 52-2149962

ATTACHMENT 1 (CONT'D)

AS A STRATEGIC INVESTOR, IQT IDENTIFIES STARTUP COMPANIES THAT HAVE COMMERCIALLY-FOCUSED INNOVATIVE TECHNOLOGIES IN DEVELOPMENT THAT FIT THE NEEDS OF THE IC. IQT CRAFTS WORK PROGRAMS TO ADAPT A COMPANY'S TECHNOLOGY TO SPECIFIC CUSTOMER REQUIREMENTS AND MAKES AN INVESTMENT TOWARDS THAT WORK PROGRAM. IQT DESIGNS ITS STRATEGIC INVESTMENTS TO ACCELERATE PRODUCT DEVELOPMENT AND DELIVERY, AND SPECIFICALLY TO HELP COMPANIES ADD CAPABILITIES THAT ITS INTELLIGENCE COMMUNITY CUSTOMERS NEED. ADDITIONALLY, IQT EFFECTIVELY LEVERAGES A SIGNIFICANT AMOUNT OF PRIVATE SECTOR FUNDS, OFTEN FROM TOP-TIER VENTURE CAPITAL FIRMS, TO CO-INVEST IN OUR PORTFOLIO COMPANIES. ON AVERAGE, FOR EVERY DOLLAR THAT IQT INVESTS IN A COMPANY, THE VENTURE CAPITAL COMMUNITY HAS INVESTED MORE THAN FIFTEEN DOLLARS, HELPING TO DELIVER CRUCIAL NEW CAPABILITIES AT LOWER COST TO THE GOVERNMENT.

AS OF MARCH 31, 2015, IQT'S AREAS OF TECHNOLOGY FOCUS ARE: FIELD DEPLOYABLE TECHNOLOGIES, ADVANCED ANALYTICS, INFRASTRUCTURE AND SECURITY, AND MOBILITY.

BUILDING STRONG COMPANIES FOR STRONG TECHNOLOGIES:

USING PRODUCT DEVELOPMENT FUNDING AND EQUITY INVESTING, IQT

CREATES INCENTIVES FOR COMPANIES TO PUT THEIR BEST TALENT INTO

SOLVING THE TOUGHEST TECHNOLOGY PROBLEMS FACING THE CIA AND

BROADER INTELLIGENCE COMMUNITY. ONCE AN INVESTMENT IS MADE, IQT

Employer identification number 52-2149962

ATTACHMENT 1 (CONT'D)

WORKS WITH THE COMPANY AND THE CUSTOMER TO ADAPT THE TECHNOLOGY

ACCORDING TO CUSTOMER NEED, AND FACILITATES SOLUTION DELIVERY. THE

ADVANTAGES TO THE IC ARE SIGNIFICANT: LOWER INITIAL AND LONG-TERM

COSTS, FASTER DEVELOPMENT, AND ONGOING PRODUCT ENHANCEMENTS TO

MEET IC NEEDS.

SERVING NEW INTELLIGENCE COMMUNITY CUSTOMERS:

AS OF MARCH 31, 2015, IQT HAS EXPANDED ITS IC PARTNERSHIPS TO INCLUDE THE CIA, THE DEFENSE INTELLIGENCE AGENCY (DIA), THE DEPARTMENT OF HOMELAND SECURITY SCIENCE & TECHNOLOGY DIRECTORATE (DHS S&T), THE NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY (NGA), AND OTHERS.

PROGRAM SERVICE ACCOMPLISHMENTS:

IN FURTHERANCE OF ITS EXEMPT PURPOSES, IQT'S ACHIEVEMENTS SINCE INCEPTION IN 1999 THROUGH MARCH 31, 2015 INCLUDE THE FOLLOWING:

1. INVESTED IN MORE THAN 250 PORTFOLIO COMPANIES, MANY OF WHICH HAVE PRODUCED TECHNOLOGIES THAT HAVE CONTRIBUTED DIRECTLY TO IC MISSIONS. TECHNOLOGY DELIVERED BY IQT, FOR EXAMPLE, MAKES IT POSSIBLE TO FUSE DATA FROM MAPS, IMAGES, TEXT AND OTHER SOURCES; VISUALIZE INFORMATION IN WAYS NOT PREVIOUSLY POSSIBLE; RAPIDLY PROCESS VAST AMOUNTS OF INFORMATION IN MULTIPLE LANGUAGES; MAKE SENSE OF SEEMINGLY UNCONNECTED INFORMATION; AND IDENTIFY THE

Schedule O (Form 990 or 990-EZ) 2014

Page 2

Name of the organization

IN-Q-TEL, INC.

Employer identification number 52-2149962

ATTACHMENT 1 (CONT'D)

CRITICAL INTELLIGENCE FASTER AND MORE EFFECTIVELY.

- 2. CULTIVATED A NETWORK OF MORE THAN 200 VENTURE CAPITAL FIRMS,

 100 LABS AND RESEARCH ORGANIZATIONS, FURTHER BROADENING THE IC'S

 ACCESS TO INNOVATIVE TECHNOLOGIES.
- 3. ESTABLISHED LAB41, A CHALLENGE LAB TO EXPLORE BIG DATA PROBLEMS THAT IMPACT THE INTELLIGENCE COMMUNITY AND NATIONAL SECURITY.
- 4. LEVERAGED MORE THAN \$8.9 BILLION IN PRIVATE-SECTOR FUNDS TO SUPPORT TECHNOLOGY FOR THE CIA AND THE IC.

GOVERNANCE AND OVERSIGHT:

THE COMPANY IS GOVERNED BY AN INDEPENDENT BOARD OF TRUSTEES

COMPRISED OF FORMER OFFICIALS FROM THE DEFENSE AND INTELLIGENCE

COMMUNITIES, AS WELL AS CURRENT OR FORMER CEOS OF MAJOR COMPANIES,

UNIVERSITY LEADERS, AND LEADERS IN THE INVESTMENT INDUSTRY.

IQT IS A PRIVATE, INDEPENDENT, NOT-FOR-PROFIT CORPORATION. IQT IS NOT PART OF THE CIA AND IS NOT A GOVERNMENT AGENCY. HOWEVER, IQT IS PARTY TO AND BOUND BY A CHARTER AGREEMENT AND ANNUAL CONTRACTS WITH THE CIA. THESE AGREEMENTS PROVIDE FOR APPROPRIATE INSIGHT AND OVERSIGHT OF IQT BY THE GOVERNMENT.

THE CIA KEEPS CONGRESS INFORMED OF THE COMPANY'S ACTIVITIES.

Employer identification number 52-2149962

ATTACHMENT 1 (CONT'D)

IQT HAS BEEN THE FOCUS OF A NUMBER OF STUDIES THAT DESCRIBE AND REVIEW THE ORGANIZATION. TWO ARE CITED BELOW.

* BUSINESS EXECUTIVES FOR NATIONAL SECURITY (BENS) (JUNE 2001 WWW.BENS.ORG)

THE ASSESSMENT WAS MADE BY A PANEL FROM BUSINESS EXECUTIVES FOR
NATIONAL SECURITY, A NATIONAL, NON-PARTISAN, AND NOT-FOR-PROFIT
ORGANIZATION OF BUSINESS LEADERS - AFTER THE CIA SELECTED BENS TO
CONDUCT THE CONGRESSIONALLY MANDATED STUDY. THE REPORT,
"ACCELERATING THE ACQUISITION AND IMPLEMENTATION OF NEW
TECHNOLOGIES FOR INTELLIGENCE: THE REPORT OF THE INDEPENDENT PANEL
ON THE CENTRAL INTELLIGENCE AGENCY IN-Q-TEL VENTURE," WAS
SUBMITTED TO THE CIA AND CONGRESS.

* "HARVARD BUSINESS SCHOOL CASE STUDY" BY JOSH LERNER, FELDA

HARDYMON, KEVIN BOOK, ANN LEAMON FEBRUARY 12, 2004

(HTTP://HARVARDBUSINESSONLINE.HBSP.HARVARD.EDU/B02/EN/CASES

/CASES_HOME.JHTML)

THIS HARVARD BUSINESS SCHOOL CASE STUDY PROVIDES BACKGROUND ABOUT

IN-Q-TEL'S HISTORY AND STRATEGY, LAYING THE GROUNDWORK FOR

IN ADDITION, IQT HAS PARTICIPATED IN REVIEWS BY THE CIA'S

INSPECTOR GENERAL OFFICE AND THE U.S. SENATE SELECT COMMITTEE ON

INTELLIGENCE TO ASSESS THE EFFECTIVENESS OF TECHNOLOGY SOLUTIONS

IN-Q-TEL TO CONSIDER THE CASE FOR EXPANSION.

Page 2

Name of the organization $\label{eq:incomplex} \mbox{IN-Q-TEL} \,, \quad \mbox{INC} \,.$

Employer identification number 52-2149962

ATTACHMENT 1 (CONT'D)

FROM ITS INVESTMENTS IN SOLVING CHALLENGING PROBLEMS IN THE

INTELLIGENCE COMMUNITY.

ATTACHMENT 2

| 990, PART VII- | COMPENSATION | OF | THE | FIVE | HIGHEST | PAID | IND. | CONTRACTORS |
|----------------|--------------|----|-----|------|---------|------|------|-------------|
| | | | | | | | | |

| NAME AND ADDRESS | DESCRIPTION OF SERVICES | COMPENSATION |
|---|-------------------------|--------------|
| LMS 1 FRONT ST., SUITE 2700 SAN FRANCISCO, CA 94111 | TECH. DEVELOPMENT | 2,136,238. |
| PLATFORA INC 1300 S EL CAMINO REAL, 6TH FLOOR SAN MATEO, CA 94402 | TECH. DEVELOPMENT | 1,979,468. |
| PROTONEX TECHNOLOGY CORPORATION 153 NORTHBORO ROAD SOUTHBOROUGH, MA 01772 | TECH. DEVELOPMENT | 1,532,000. |
| EXPECT LABS INC 251 KEARNY STREET, SUITE 400 SAN FRANCISCO, CA 94108 | TECH. DEVELOPMENT | 1,491,031. |
| SWI 408 E. PLUMERIA DRIVE SAN JOSE, CA 95134 | TECH. DEVELOPMENT | 1,450,000. |

IN-Q-TEL, INC.

SCHEDULE R (Form 990)

52-2149962

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

| Informa |
|----------|
| <u> </u> |

IN-Q-TEL, INC. Internal Revenue Service Name of the organization Department of the Treasury

Open to Public 2014

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52-2149962

Employer identification number

(g) Section 512(b)(13) controlled entity? Direct controlling entity Schedule R (Form 990) 2014 ŝ IN-Q-TEL Yes Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year. 0 (f) Direct controlling (e) End-of-year assets entity (if section 501(c)(3)) Public charity status (d) Total income Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33 (c) Legal domicile (state or foreign country) (d) Exempt Code section CA Legal domicile (state or foreign country) (b) Primary activity Primary activity 46-1185334 22216 ARLINGTON, VA (a)Name, address, and EIN (if applicable) of disregarded entity For Paperwork Reduction Act Notice, see the Instructions for Form 990. (a)
Name, address, and EIN of related organization LLC P.O. BOX 749 (1) LAB 41, Part II Part I ව ত্র 9 (2) (3) € (2) 3 4 Ξ 9 0

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IN-Q-TEL, INC.

(h) (l)
Percentage Section
ownership \$12(b)(13)
controlled
entity? Yes No Schedule R (Form 990) 2014 Percentage ownership 27 1358 3 managing partner? Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year. Yes No General or Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year. S (g) Share of end-of-year assets (I)
Code V-UBI
amount in box 20
of Schedule K-1
(Form 1065) (f) Share of total income (h) Disproportemble allocators? ŝ Yes (g) Share of end-of-990,465 (e)
Type of entity
(C copp, S corp, or | trust) year assets 31,133 (f) Share of total (d)

(Direct controlling entity income (c) Legal domicile (state or foreign Predominant income (related, unrelated, excluded from tax under sections 512-514) country) EXCLUDED (b) Primary activity (d)
Direct controlling | N/A (c) Legal domicile (state or foreign country) Œ (a) Name, address, and EIN of related organization (b) Primary activity INVESTMENTS PO BOX 749 ARLINGTON, VA 22216 1QT EMPL FUND, LLC 54-2043626 (a) Name, address, and EIN of related organization Schedule R (Form 990) 2014 JSA 4E1308 1 000 Part III Part IV 9 (2) 3 (4) 3 8 (2) ල (4) (2) ≘ 9 8

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Schedule R (Form 990) 2014

IN-Q-TEL, INC.

Schedule R (Form 990) 2014 Method of determining Yes × amount involved 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds 10 Ε ļ 6 ¥ = Purchase of assets from related organization(s)................................. Lease of facilities, equipment, or other assets to related organization(s), ..., ..., ..., ..., ... Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36. Amount involved During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? (b) Transaction type (a-s) Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity.......... m Performance of services or membership or fundraising solicitations by related organization(s), Performance of services or membership or fundraising solicitations for related organization(s) Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) Gift, grant, or capital contribution to related organization(s) Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule Other transfer of cash or property from related organization(s). Reimbursement paid by related organization(s) for expenses (a)
Name of related organization r Other transfer of cash or property to related organization(s) d Loans or loan guarantees to or for related organization(s) Dividends from related organization(s), JSA 4E1309 1 000 ပ Ω c <u>ہ</u> م ¥ ø Ø $\widehat{\Xi}$ 3 (3) € (2) 9

Unrelated Organizations Taxable as a Partnership Complete If the organization answered "Yes" on Form 990, Part IV, line 37. Part VI Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

| (a) (b) (c) (d) (d) (e) | (a) | (2) | (p) | (e) | 6 | (6) | £ | 0 | 3 | | 3 |
|---------------------------------|--|---|-------------------|---|-----------------------|-----------------------------------|----------------------------------|----------------------------------|------------------------------------|----------|----------------------------|
| Name, address, and EIN of enuty | Primary activity | Legal domicile (state or foreign country) | | Are all partners section 501(c)(3) organizations? | Share of total income | Share of end-of-year assets | Disproportionate allocations? | amount in box 20 of Schedule K-1 | General or managing partner? | | Percentage ownership |
| | | | sections 512-514) | Yes No | | | Yes No | | Yes | No | |
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Schedule R (Form 990) 2014

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)