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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning **APR 1, 2014**, and ending **MAR 31, 2015**

Name of foundation

**CASTELLINI FOUNDATION  
C/O CHRISTOPHER L. FISTER, TRUSTEE**

Number and street (or P O box number if mail is not delivered to street address)

**312 ELM STREET**

Room/suite

**2600**

City or town, state or province, country, and ZIP or foreign postal code

**CINCINNATI, OH 45202**

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

\$ **10,433,008.** (Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

|                                       |  |            |            |     |             |
|---------------------------------------|--|------------|------------|-----|-------------|
| Revenue                               | 1 Contributions, gifts, grants, etc., received                                     | 2,172,587. |            | N/A |             |
|                                       | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B |            |            |     |             |
|                                       | 3 Interest on savings and temporary cash investments                               | 114.       | 114.       |     | STATEMENT 1 |
|                                       | 4 Dividends and interest from securities   | 135,817.   | 135,817.   |     | STATEMENT 2 |
|                                       | 5a Gross rents   |            |            |     |             |
|                                       | b Net rental income or (loss)  |            |            |     |             |
|                                       | 6a Net gain or (loss) from sale of assets not on line 10                           | 1,037,645. |            |     |             |
|                                       | b Gross sales price for all assets on line 6a                                      | 1,789,931. |            |     |             |
|                                       | 7 Capital gain net income (from Part IV, line 2)                                   |            | 1,037,645. |     |             |
|                                       | 8 Net short-term capital gain  |            |            |     |             |
|                                       | 9 Income modifications   |            |            |     |             |
|                                       | 10a Gross sales less returns and allowances  |            |            |     |             |
| Operating and Administrative Expenses | b Less Cost of goods sold  |            |            |     |             |
|                                       | c Gross profit or (loss)   |            |            |     |             |
|                                       | 11 Other income  | 122,477.   | 36.        |     | STATEMENT 3 |
|                                       | 12 Total. Add lines 1 through 11   | 3,468,640. | 1,173,612. |     |             |
|                                       | 13 Compensation of officers, directors, trustees, etc                              | 2,166.     | 1,516.     |     | 650.        |
|                                       | 14 Other employee salaries and wages   |            |            |     |             |
|                                       | 15 Pension plans, employee benefits  |            |            |     |             |
|                                       | 16a Legal fees   |            |            |     |             |
|                                       | b Accounting fees  |            |            |     |             |
|                                       | c Other professional fees  |            |            |     |             |
|                                       | 17 Interest  |            |            |     |             |
|                                       | 18 Taxes <b>STMT 4</b>   | 25,001.    | 17,501.    |     | 7,500.      |
|                                       | 19 Depreciation and depletion  |            |            |     |             |
|                                       | 20 Occupancy   |            |            |     |             |
|                                       | 21 Travel, conferences, and meetings   | 21.        | 15.        |     | 6.          |
|                                       | 22 Printing and publications   |            |            |     |             |
|                                       | 23 Other expenses <b>STMT 5</b>  | 18,919.    | 13,243.    |     | 5,676.      |
|                                       | 24 Total operating and administrative expenses. Add lines 13 through 23            | 46,107.    | 32,275.    |     | 13,832.     |
|                                       | 25 Contributions, gifts, grants paid   | 2,141,432. |            |     | 2,141,432.  |
|                                       | 26 Total expenses and disbursements. Add lines 24 and 25                           | 2,187,539. | 32,275.    |     | 2,155,264.  |
|                                       | 27 Subtract line 26 from line 12:  |            |            |     |             |
|                                       | a Excess of revenue over expenses and disbursements                                | 1,281,101. |            |     |             |
|                                       | b Net investment income (if negative, enter -0-)                                   |            | 1,141,337. |     |             |
|                                       | c Adjusted net income (if negative, enter -0-)                                     |            |            | N/A |             |

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LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2014)

**CASTELLINI FOUNDATION**

Form 990-PF (2014)

**C/O CHRISTOPHER L. FISTER, TRUSTEE**

**31-6429763**

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| <b>Part II Balance Sheets</b>      |  | Attached schedules and amounts in the description column should be for end-of-year amounts only |            | Beginning of year | End of year    |                       |
|------------------------------------|--|---|------------|-------------------|----------------|-----------------------|
|                                    |  |   |            | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1  | Cash - non-interest-bearing   |            | 514,210.          | 658.           | 658.                  |
|                                    | 2  | Savings and temporary cash investments  |            | 490,171.          | 518,559.       | 518,559.              |
|                                    | 3  | Accounts receivable ▶   |            |                   |                |                       |
|                                    |  | Less: allowance for doubtful accounts ▶   |            |                   |                |                       |
|                                    | 4  | Pledges receivable ▶  |            |                   |                |                       |
|                                    |  | Less: allowance for doubtful accounts ▶   |            |                   |                |                       |
|                                    | 5  | Grants receivable   |            |                   |                |                       |
|                                    | 6  | Receivables due from officers, directors, trustees, and other disqualified persons              |            |                   |                |                       |
|                                    | 7  | Other notes and loans receivable ▶  | 393,715.   |                   |                |                       |
|                                    |  | Less: allowance for doubtful accounts ▶   | 0.         | 502,593.          | 393,715.       | 393,715.              |
|                                    | 8  | Inventories for sale or use   |            |                   |                |                       |
|                                    | 9  | Prepaid expenses and deferred charges   |            |                   |                |                       |
|                                    | 10a  | Investments - U.S. and state government obligations   |            |                   |                |                       |
|                                    | b  | Investments - corporate stock   | STMT 7     | 1,367,381.        | 2,809,555.     | 5,739,191.            |
|                                    | c  | Investments - corporate bonds   |            |                   |                |                       |
|                                    | 11   | Investments - land, buildings, and equipment: basis ▶   |            |                   |                |                       |
|                                    | Less: accumulated depreciation ▶   |   |            |                   |                |                       |
| 12                                 | Investments - mortgage loans   |   |            |                   |                |                       |
| 13                                 | Investments - other  |   |            |                   |                |                       |
| 14                                 | Land, buildings, and equipment: basis ▶  |   |            |                   |                |                       |
|                                    | Less: accumulated depreciation ▶   |   |            |                   |                |                       |
| 15                                 | Other assets (describe ▶ <b>OTHER INVESTMENTS</b> )  |   | 2,563,171. | 2,993,941.        | 3,780,885.     |                       |
| 16                                 | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) |   | 5,437,526. | 6,716,428.        | 10,433,008.    |                       |
| <b>Liabilities</b>                 | 17   | Accounts payable and accrued expenses   |            | 10.               | 10.            |                       |
|                                    | 18   | Grants payable  |            |                   |                |                       |
|                                    | 19   | Deferred revenue  |            |                   |                |                       |
|                                    | 20   | Loans from officers, directors, trustees, and other disqualified persons                        |            |                   |                |                       |
|                                    | 21   | Mortgages and other notes payable   |            |                   |                |                       |
|                                    | 22   | Other liabilities (describe ▶)  |            |                   |                |                       |
| 23                                 | <b>Total liabilities</b> (add lines 17 through 22)   |   | 10.        | 10.               |                |                       |
| <b>Net Assets or Fund Balances</b> | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                              |   |            |                   |                |                       |
|                                    | and complete lines 24 through 26 and lines 30 and 31   |   |            |                   |                |                       |
|                                    | 24   | Unrestricted  |            |                   |                |                       |
|                                    | 25   | Temporarily restricted  |            |                   |                |                       |
|                                    | 26   | Permanently restricted  |            |                   |                |                       |
|                                    | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>            |   |            |                   |                |                       |
|                                    | and complete lines 27 through 31   |   |            |                   |                |                       |
|                                    | 27   | Capital stock, trust principal, or current funds  |            | 4,686,393.        | 5,437,515.     |                       |
| 28                                 | Paid-in or capital surplus, or land, bldg., and equipment fund                                       |   | 0.         | 0.                |                |                       |
| 29                                 | Retained earnings, accumulated income, endowment, or other funds                                     |   | 751,123.   | 1,278,903.        |                |                       |
| 30                                 | <b>Total net assets or fund balances</b>   |   | 5,437,516. | 6,716,418.        |                |                       |
| 31                                 | <b>Total liabilities and net assets/fund balances</b>  |   | 5,437,526. | 6,716,428.        |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |   |   |            |
|---|---|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 5,437,516. |
| 2 | Enter amount from Part I, line 27a  | 2 | 1,281,101. |
| 3 | Other increases not included in line 2 (itemize) ▶  | 3 | 0.         |
| 4 | Add lines 1, 2, and 3   | 4 | 6,718,617. |
| 5 | Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 6</b>   | 5 | 2,199.     |
| 6 | <b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>  | 6 | 6,716,418. |

**CASTELLINI FOUNDATION**

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**C/O CHRISTOPHER L. FISTER, TRUSTEE**

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| <b>1a</b>  |  |                                      |                                  |
| <b>b</b> SEE ATTACHED STATEMENTS   |  |                                      |                                  |
| <b>c</b>   |  |                                      |                                  |
| <b>d</b>   |  |                                      |                                  |
| <b>e</b>   |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| <b>a</b>              |  |   |  |
| <b>b</b>              |  |   |  |
| <b>c</b>              |  |   |  |
| <b>d</b>              |  |   |  |
| <b>e</b> 1,789,931.   |  | 752,286.  | 1,037,645.                                   |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| <b>a</b>                  |                                      |   |   |
| <b>b</b>                  |                                      |   |   |
| <b>c</b>                  |                                      |   |   |
| <b>d</b>                  |                                      |   |   |
| <b>e</b>                  |                                      |   | 1,037,645.  |

|  |   |          |            |
|--|---|----------|------------|
| <b>2</b> Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | <b>2</b> | 1,037,645. |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |   | <b>3</b> | N/A        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2013  | 1,839,744.                            | 7,361,527.                                | .249913  |
| 2012  | 1,754,930.                            | 4,457,655.                                | .393689  |
| 2011  | 2,038,803.                            | 4,988,838.                                | .408673  |
| 2010  | 1,656,029.                            | 6,208,452.                                | .266738  |
| 2009  | 1,672,142.                            | 5,906,710.                                | .283092  |

|   |          |            |
|---|----------|------------|
| <b>2</b> Total of line 1, column (d)  | <b>2</b> | 1.602105   |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | .320421    |
| <b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5   | <b>4</b> | 7,302,220. |
| <b>5</b> Multiply line 4 by line 3  | <b>5</b> | 2,339,785. |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)   | <b>6</b> | 11,413.    |
| <b>7</b> Add lines 5 and 6  | <b>7</b> | 2,351,198. |
| <b>8</b> Enter qualifying distributions from Part XII, line 4   | <b>8</b> | 2,155,264. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

# CASTELLINI FOUNDATION

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## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

|    |   |            |
|----|---|------------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.                    |            |
| b  | Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)   |            |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 22,827.  |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                        |            |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                       | 2 0.       |
| 3  | Add lines 1 and 2   | 3 22,827.  |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                     | 4 0.       |
| 5  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-  | 5 22,827.  |
| 6  | Credits/Payments:   |            |
| a  | 2014 estimated tax payments and 2013 overpayment credited to 2014   | 6a 10,000. |
| b  | Exempt foreign organizations - tax withheld at source   | 6b         |
| c  | Tax paid with application for extension of time to file (Form 8868)   | 6c         |
| d  | Backup withholding erroneously withheld   | 6d         |
| 7  | Total credits and payments. Add lines 6a through 6d   | 7 10,000.  |
| 8  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached             | 8 310.     |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>   | 9 13,137.  |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>   | 10         |
| 11 | Enter the amount of line 10 to be: <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>      | 11         |

## Part VII-A Statements Regarding Activities

|  | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.   |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.  |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?   |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?   |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.  |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV  | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>OH</u>  |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV   |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  | X   |    |

N/A

STMT 8

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**CASTELLINI FOUNDATION**

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**C/O CHRISTOPHER L. FISTER, TRUSTEE**

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**Part VII-A Statements Regarding Activities (continued)**

|   |    |     |         |
|---|----|-----|---------|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  | 11 |     | X       |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)   | 12 |     | X       |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <b>N/A</b>  | 13 |     | X       |
| 14 The books are in care of ► <b>CASTELLINI MANAGEMENT COMPANY</b> Telephone no. ► <b>513-651-9400</b><br>Located at ► <b>312 ELM STREET, SUITE 2600 CINCINNATI, OHIO</b> ZIP+4 ► <b>45202</b>  |    |     |         |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year<br>► <b>15</b> <b>N/A</b>   |    |     |         |
| 16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ► | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|  |           | Yes | No |
|--|-----------|-----|----|
| <b>1a During the year did the foundation (either directly or indirectly):</b>  |           |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>  |           |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>  |           |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>  |           |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>  |           |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>   |           |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>   |           |     |    |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here <span style="float: right;"><b>N/A</b> <input type="checkbox"/></span>   | <b>1b</b> |     |    |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?   | <b>1c</b> |     | X  |
| <b>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</b>  |           |     |    |
| <b>a</b> At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br>If "Yes," list the years ►  |           |     |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <span style="float: right;"><b>N/A</b></span>  | <b>2b</b> |     |    |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►   |           |     |    |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>  |           |     |    |
| <b>b</b> If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) <span style="float: right;"><b>N/A</b></span> | <b>3b</b> |     |    |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  | <b>4a</b> |     | X  |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?   | <b>4b</b> |     | X  |

Form **990-PF** (2014)

## CASTELLINI FOUNDATION

Form 990-PF (2014)

C/O CHRISTOPHER L. FISTER, TRUSTEE

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address       | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------------|---|---|---|---------------------------------------|
| FIFTH THIRD BANK           | AGENT   |   |   |                                       |
| 38 FOUNTAIN SQUARE         |   |   |   |                                       |
| CINCINNATI, OH 45202       | 1.00  | 2,166.                                    | 0.  | 0.                                    |
| ROBERT H. CASTELLINI       | CHAIRMAN/PRESIDENT & TRUST                                |   |   |                                       |
| 312 ELM STREET, SUITE 2600 |   |   |   |                                       |
| CINCINNATI, OH 45202       | 0.00  | 0.  | 0.  | 0.                                    |
| SUSAN F. CASTELLINI        | TRUSTEE   |   |   |                                       |
| 312 ELM STREET, SUITE 2600 |   |   |   |                                       |
| CINCINNATI, OH 45202       | 0.00  | 0.  | 0.  | 0.                                    |
| CHRISTOPHER L. FISTER      | SECRETARY/TREASURER & TRUS                                |   |   |                                       |
| 312 ELM STREET, SUITE 2600 |   |   |   |                                       |
| CINCINNATI, OH 45202       | 0.00  | 0.  | 0.  | 0.                                    |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000

0

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## CASTELLINI FOUNDATION

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C/O CHRISTOPHER L. FISTER, TRUSTEE

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |   |    |            |
|---|---|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities   | 1a | 3,111,950. |
| b | Average of monthly cash balances  | 1b | 621,584.   |
| c | Fair market value of all other assets   | 1c | 3,679,887. |
| d | Total (add lines 1a, b, and c)  | 1d | 7,413,421. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets  | 2  | 0.         |
| 3 | Subtract line 2 from line 1d  | 3  | 7,413,421. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 111,201.   |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 7,302,220. |
| 6 | Minimum investment return. Enter 5% of line 5   | 6  | 365,111.   |

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |  |    |          |
|----|--|----|----------|
| 1  | Minimum investment return from Part X, line 6  | 1  | 365,111. |
| 2a | Tax on investment income for 2014 from Part VI, line 5   | 2a | 22,827.  |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)                                 | 2b |          |
| c  | Add lines 2a and 2b  | 2c | 22,827.  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 342,284. |
| 4  | Recoveries of amounts treated as qualifying distributions  | 4  | 0.       |
| 5  | Add lines 3 and 4  | 5  | 342,284. |
| 6  | Deduction from distributable amount (see instructions)   | 6  | 0.       |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 342,284. |

**Part XII****Qualifying Distributions** (see instructions)

|   |   |    |            |
|---|---|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | 1a | 2,155,264. |
| b | Program-related investments - total from Part IX-B  | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:  |    |            |
| a | Suitability test (prior IRS approval required)  | 3a |            |
| b | Cash distribution test (attach the required schedule)   | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 2,155,264. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.         |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4  | 6  | 2,155,264. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**CASTELLINI FOUNDATION**

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**Part XIII Undistributed Income** (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014     |
|---|---------------|----------------------------|-------------|-----------------|
| <b>1</b> Distributable amount for 2014 from Part XI, line 7   |               |                            |             | <b>342,284.</b> |
| <b>2</b> Undistributed income, if any, as of the end of 2014  |               |                            |             |                 |
| <b>a</b> Enter amount for 2013 only   |               |                            | 0.          |                 |
| <b>b</b> Total for prior years:   |               | 0.                         |             |                 |
| <b>3</b> Excess distributions carryover, if any, to 2014:   |               |                            |             |                 |
| <b>a</b> From 2009  | 1,400,214.    |                            |             |                 |
| <b>b</b> From 2010  | 1,346,718.    |                            |             |                 |
| <b>c</b> From 2011  | 1,805,096.    |                            |             |                 |
| <b>d</b> From 2012  | 1,532,479.    |                            |             |                 |
| <b>e</b> From 2013  | 1,492,703.    |                            |             |                 |
| <b>f</b> Total of lines 3a through e  | 7,577,210.    |                            |             |                 |
| <b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ▶ \$  | 2,155,264.    |                            |             |                 |
| <b>a</b> Applied to 2013, but not more than line 2a   |               |                            | 0.          |                 |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |                 |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)  | 0.            |                            |             |                 |
| <b>d</b> Applied to 2014 distributable amount   |               |                            |             | 342,284.        |
| <b>e</b> Remaining amount distributed out of corpus   | 1,812,980.    |                            |             |                 |
| <b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))   | 0.            |                            |             | 0.              |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |                 |
| <b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5  | 9,390,190.    |                            |             |                 |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |                 |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |                 |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |                 |
| <b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.  |               |                            | 0.          |                 |
| <b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  |               |                            |             | 0.              |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |                 |
| <b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7   | 1,400,214.    |                            |             |                 |
| <b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  | 7,989,976.    |                            |             |                 |
| <b>10</b> Analysis of line 9:   |               |                            |             |                 |
| <b>a</b> Excess from 2010   | 1,346,718.    |                            |             |                 |
| <b>b</b> Excess from 2011   | 1,805,096.    |                            |             |                 |
| <b>c</b> Excess from 2012   | 1,532,479.    |                            |             |                 |
| <b>d</b> Excess from 2013   | 1,492,703.    |                            |             |                 |
| <b>e</b> Excess from 2014   | 1,812,980.    |                            |             |                 |

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**CASTELLINI FOUNDATION**

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|  | Tax year |          |          |          | Prior 3 years | (e) Total |
|--|----------|----------|----------|----------|---------------|-----------|
|  | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 |               |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                     |          |          |          |          |               |           |
| <b>b</b> 85% of line 2a  |          |          |          |          |               |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed   |          |          |          |          |               |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities   |          |          |          |          |               |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c                                   |          |          |          |          |               |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:  |          |          |          |          |               |           |
| <b>a</b> "Assets" alternative test - enter:  |          |          |          |          |               |           |
| <b>(1)</b> Value of all assets   |          |          |          |          |               |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)   |          |          |          |          |               |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                              |          |          |          |          |               |           |
| <b>c</b> "Support" alternative test - enter:   |          |          |          |          |               |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |          |          |          |               |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |          |          |          |               |           |
| <b>(3)</b> Largest amount of support from an exempt organization   |          |          |          |          |               |           |
| <b>(4)</b> Gross investment income   |          |          |          |          |               |           |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**ROBERT H. CASTELLINI**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 9**

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## CASTELLINI FOUNDATION

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**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--|--|--------------------------------------|-------------------------------------|------------|
| <b>a</b> Paid during the year                    |  |                                      |                                     |            |
| SEE CONTRIBUTION LIST- STATEMENT #10             |  |                                      |                                     | 2,141,432. |
|  |  |                                      |                                     |            |
|  |  |                                      |                                     |            |
|  |  |                                      |                                     |            |
|  |  |                                      |                                     |            |
|  |  |                                      |                                     |            |
| <b>Total</b>                                     |  |                                      | <b>3a</b>                           | 2,141,432. |
| <b>b</b> Approved for future payment             |  |                                      |                                     |            |
| NONE   |  |                                      |                                     |            |
|  |  |                                      |                                     |            |
|  |  |                                      |                                     |            |
| <b>Total</b>                                     |  |                                      | <b>3b</b>                           | 0.         |




## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

|          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
|          | (1) Cash   |     | X  |
|          | (2) Other assets   |     | X  |
| <b>b</b> | Other transactions:  |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
|          | (3) Rental of facilities, equipment, or other assets   |     | X  |
|          | (4) Reimbursement arrangements   |     | X  |
|          | (5) Loans or loan guarantees   |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations   |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**  **8/10/15** **TRUSTEE**  
 Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)?  
☐ Yes ☐ No

|                                       |                            |                      |      |   |              |
|---------------------------------------|----------------------------|----------------------|------|---|--------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed | PTIN         |
|                                       | Firm's name ▶              |                      |      |   | Firm's EIN ▶ |
|                                       | Firm's address ▶           |                      |      |   | Phone no.    |

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2014**

Name of the organization

CASTELLINI FOUNDATION

C/O CHRISTOPHER L. FISTER, TRUSTEE

Employer identification number

31-6429763

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization

CASTELLINI FOUNDATION

C/O CHRISTOPHER L. FISTER, TRUSTEE

Employer identification number

31-6429763

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|---|----------------------------|---|
| 1          | ROBERT H. CASTELLINI<br>312 ELM STREET, SUITE 2600<br>CINCINNATI, OH 45202          | \$ 2,151,517.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions) |
| 2          | CASTELLINI MANAGEMENT COMPANY<br>312 ELM STREET, SUITE 2600<br>CINCINNATI, OH 45202 | \$ 21,070.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            |   |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                       |
|            |   |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                       |
|            |   |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                       |
|            |   |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                       |
|            |   |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                       |



|   |   |
|---|---|
| Name of organization<br><b>CASTELLINI FOUNDATION<br/>C/O CHRISTOPHER L. FISTER, TRUSTEE</b> | Employer identification number<br><b>31-6429763</b> |
|---|---|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given         | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|------------------------------|--|--|----------------------|
| 1                            | 2,107 SHARES OF SQUARE 1; 167,969<br>SHARES OF UNIFI | \$ 5,016,001.                                  | VARIOUS              |
| 2                            | 2,107 SHARES OF SQUARE 1                             | \$ 39,577.                                     | 08/01/14             |
|                              |  | \$   |                      |
|                              |  | \$   |                      |
|                              |  | \$   |                      |
|                              |  | \$   |                      |
|                              |  | \$   |                      |
|                              |  | \$   |                      |

Name of organization

Employer identification number

CASTELLINI FOUNDATION

C/O CHRISTOPHER L. FISTER, TRUSTEE

31-6429763

**Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|---|-----------------|--|
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| ACCOUNT 023             | 90.                         | 90.                             |                               |
| ACCOUNT 883             | 24.                         | 24.                             |                               |
| TOTAL TO PART I, LINE 3 | 114.                        | 114.                            |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| ACCOUNT 015       | 180.            | 0.                            | 180.                        | 180.                              |                               |
| ACCOUNT 509       | 193.            | 0.                            | 193.                        | 193.                              |                               |
| OTHER INVESTMENTS | 135,444.        | 0.                            | 135,444.                    | 135,444.                          |                               |
| TO PART I, LINE 4 | 135,817.        | 0.                            | 135,817.                    | 135,817.                          |                               |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                                   | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---|-----------------------------|-----------------------------------|-------------------------------|
| OTHER PORTFOLIO INCOME - OTHER<br>INVESTMENTS | 36.                         | 36.                               |                               |
| ORDINARY INCOME - OTHER INVESTMENTS           | <20,563.>                   | 0.                                |                               |
| RENTAL INCOME - OTHER INVESTMENTS             | <5,377.>                    | 0.                                |                               |
| NET SECTION 1231 GAIN                         | 148,381.                    | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11         | 122,477.                    | 36.                               |                               |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT                     | 4 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| INCOME TAXES                | 25,001.                      | 17,501.                           |                               | 7,500.                        |   |
| TO FORM 990-PF, PG 1, LN 18 | 25,001.                      | 17,501.                           |                               | 7,500.                        |   |

| FORM 990-PF  | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 5 |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| ANNUAL FILING FEE                                  | 200.                         | 140.                              |                               | 60.                           |   |
| FUNDRAISING EXPENSES                               | 7,012.                       | 4,908.                            |                               | 2,104.                        |   |
| PORTFOLIO EXPENSES - OTHER<br>INVESTMENTS          | 11,623.                      | 8,136.                            |                               | 3,487.                        |   |
| INVESTMENT INTEREST EXPENSE<br>- OTHER INVESTMENTS | 11.                          | 8.                                |                               | 3.                            |   |
| CHARITABLE CONTRIBUTIONS -<br>OTHER INVESTMENTS    | 73.                          | 51.                               |                               | 22.                           |   |
| MISCELLANEOUS EXPENSES                             | 0.                           | 0.                                |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 23                        | 18,919.                      | 13,243.                           |                               | 5,676.                        |   |

| FORM 990-PF  | OTHER DECREASES IN NET ASSETS OR FUND BALANCES |  |  | STATEMENT | 6 |
|--|--|--|--|-----------|---|
| DESCRIPTION  | AMOUNT   |  |  |           |   |
| NON DEDUCTIBLE PORTION OF MEALS RE: CONFERENCES AND MEETINGS<br>ROUNDING | 34.  |  |  |           |   |
| NON DEDUCTIBLE EXPENSES FROM PASS THROUGH INVESTMENTS                    | 2,164.   |  |  |           |   |
| TOTAL TO FORM 990-PF, PART III, LINE 5                                   | 2,199.   |  |  |           |   |

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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 7 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| DFA EMERGING MARKETS                    | 3,455.     | 2,880.            |
| DFA INTERNATIONAL VALUE                 | 3,784.     | 4,056.            |
| ENDOCYTE, INC.                          | 1,145,630. | 1,155,126.        |
| ISHARES RUSSELL 2000 GROWTH INDEX FD    | 6,505.     | 8,032.            |
| ISHARES RUSSELL MIDCAP INDEX FD         | 6,662.     | 8,656.            |
| UNIFI, INC.                             | 1,643,519. | 4,560,441.        |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 2,809,555. | 5,739,191.        |

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|             |   |           |   |
|-------------|---|-----------|---|
| FORM 990-PF | LIST OF SUBSTANTIAL CONTRIBUTORS<br>PART VII-A, LINE 10 | STATEMENT | 8 |
|-------------|---|-----------|---|

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| NAME OF CONTRIBUTOR  | ADDRESS  |
|----------------------|--|
| ROBERT H. CASTELLINI | 312 ELM STREET, SUITE 2600<br>CINCINNATI, OH 45202 |

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTEDCASTELLINI FOUNDATION, C/O C. FISTER, TRUSTEE  
312 ELM STREET SUITE 2600  
CINCINNATI, OH 45202TELEPHONE NUMBER

513-651-9400

FORM AND CONTENT OF APPLICATIONS

LETTER FORM

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SHOULD BE LIMITED TO THE GREATER CINCINNATI AREA, MUST BE A TAX-EXEMPT ORGANIZATION AND PREFER NOT TO MAKE GRANTS FOR RELIGIOUS OR POLITICAL PURPOSES.

**Castellini Foundation**  
**Tax Year Ended March 31, 2015**  
**EIN # 31-6429763**  
**Contributions Paid During the Year**

**Statement 10**

| <b>Organization</b>                            | <b>Address</b>   | <b>Purpose of Contribution</b>                | <b>Amount</b>       |
|--|--|---|---------------------|
| Alzheimer's Association of Greater Cincinnati  | 644 Linn Street, Suite 1026<br>Cincinnati, Ohio 45203      | Contribution<br>To support their organization | <b>\$1,000.00</b>   |
| American Enterprise Institute                  | 1150 Seventeenth Street N W<br>Washington, DC 20036        | Contribution<br>To support their organization | <b>\$200,000.00</b> |
| American Enterprise Institute                  | 1150 Seventeenth Street N W<br>Washington, DC 20036        | Contribution<br>To support their organization | <b>\$8,500.00</b>   |
| American Heart Association                     | 5211 Madison Road<br>Cincinnati, Ohio 45227                | Contribution<br>To support their organization | <b>\$4,000.00</b>   |
| Americans for Prosperity Foundation            | 2111 Wilson Blvd , Suite 350<br>Arlington, VA 22201        | Contribution<br>To support their organization | <b>\$150,000.00</b> |
| Animal Rescue Foundation                       | 2890 Mitchell Drive<br>Walnut Creek, California 94598      | Contribution<br>To support their organization | <b>\$2,000.00</b>   |
| ArtsWave                                       | 20 East Central Parkway, Suite 200<br>Cincinnati, OH 45202 | Contribution<br>To support their organization | <b>\$16,000.00</b>  |
| Athenaeum of Ohio                              | 6616 Beechmont Avenue<br>Cincinnati, Ohio 45230            | Contribution<br>To support their organization | <b>\$25,000.00</b>  |
| Athenaeum of Ohio                              | 6616 Beechmont Avenue<br>Cincinnati, Ohio 45230            | Contribution<br>To support their organization | <b>\$25,000.00</b>  |
| Babson College                                 | 231 Forest Street<br>Babson Park, Massachusetts 02457-0310 | Contribution<br>To support their organization | <b>\$1,000.00</b>   |
| Big Brothers Big Sisters of Greater Cincinnati | 2400 Reading Road, Suite 148<br>Cincinnati, Ohio 45202     | Contribution<br>To support their organization | <b>\$1,000.00</b>   |
| Canterbury School                              | 101 Aspetuck Avenue<br>New Milford, Connecticut 06776      | Contribution<br>To support their organization | <b>\$4,000.00</b>   |
| Canterbury School                              | 101 Aspetuck Avenue<br>New Milford, Connecticut 06776      | Contribution<br>To support their organization | <b>\$8,000.00</b>   |
| Cashier Historical Society                     | 1940 Highway 107 South<br>Cashiers, NC 28717               | Contribution<br>To support their organization | <b>\$1,000.00</b>   |
| Catholic Inner City Schools Education Fund     | 100 East Eighth Street<br>Cincinnati, Ohio 45202           | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Catholic Inner City Schools Education Fund     | 100 East Eighth Street<br>Cincinnati, Ohio 45202           | Contribution<br>To support their organization | <b>\$3,000.00</b>   |
| Chatfield College                              | 20918 State Route 251<br>St Martin, OH 45118               | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Children's Theater of Cincinnati, The          | 5020 Oaklawn Drive<br>Cincinnati, OH 45227-1434            | Contribution<br>To support their organization | <b>\$3,000.00</b>   |
| Children's Theater of Cincinnati, The          | 5020 Oaklawn Drive<br>Cincinnati, OH 45227-1434            | Contribution<br>To support their organization | <b>\$2,000.00</b>   |
| Cincinnati Art Museum                          | 953 Eden Park Drive<br>Cincinnati, Ohio 45202              | Contribution<br>To support their organization | <b>\$10,000.00</b>  |
| Cincinnati Art Museum                          | 953 Eden Park Drive<br>Cincinnati, Ohio 45202              | Contribution<br>To support their organization | <b>\$1,000.00</b>   |
| Cincinnati Art Museum                          | 953 Eden Park Drive<br>Cincinnati, Ohio 45202              | Contribution<br>To support their organization | <b>\$200,000.00</b> |

**Castellini Foundation**  
**Tax Year Ended March 31, 2015**  
**EIN # 31-6429763**  
**Contributions Paid During the Year**

**Statement 10**

| <b>Organization</b>                                | <b>Address</b>  | <b>Purpose of Contribution</b>                | <b>Amount</b>       |
|--|---|---|---------------------|
| Cincinnati Art Museum                              | 953 Eden Park Drive<br>Cincinnati, Ohio 45202                           | Contribution<br>To support their organization | <b>\$1,500.00</b>   |
| Cincinnati Arts and Technology Center              | 700 W Pete Rose Way - Lobby C/D<br>Cincinnati, Ohio 45203               | Contribution<br>To support their organization | <b>\$9,000.00</b>   |
| Cincinnati Arts and Technology Center              | 700 W Pete Rose Way - Lobby C/D<br>Cincinnati, Ohio 45203               | Contribution<br>To support their organization | <b>\$5,000.00</b>   |
| Cincinnati Arts Association                        | 650 Walnut Street<br>Cincinnati, OH 45202                               | Contribution<br>To support their organization | <b>\$2,500.00</b>   |
| Cincinnati Center City Development Corporation     | 1410 Race Street<br>Cincinnati, Ohio 45202                              | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Cincinnati Museum Center                           | 1301 Western Avenue<br>Cincinnati, Ohio 45203                           | Contribution<br>To support their organization | <b>\$10,000.00</b>  |
| Cincinnati Opera Association                       | 1243 Elm Street<br>Cincinnati, Ohio 45202                               | Contribution<br>To support their organization | <b>\$2,000.00</b>   |
| Cincinnati Parks Foundation                        | 950 Eden Park Drive<br>Cincinnati, OH 45202                             | Contribution<br>To support their organization | <b>\$4,875.00</b>   |
| Cincinnati Parks Foundation                        | 950 Eden Park Drive<br>Cincinnati, OH 45202                             | Contribution<br>To support their organization | <b>\$2,500.00</b>   |
| Cincinnati Parks Foundation                        | 950 Eden Park Drive<br>Cincinnati, OH 45202                             | Contribution<br>To support their organization | <b>\$100,000.00</b> |
| Cincinnati Reds Hall of Fame and Museum            | Great American Ball Park, 100 Joe Nuxhall Way<br>Cincinnati, Ohio 45202 | Contribution<br>To support their organization | <b>\$10,000.00</b>  |
| Cincinnati Reds Hall of Fame and Museum            | Great American Ball Park, 100 Joe Nuxhall Way<br>Cincinnati, Ohio 45202 | Contribution<br>To support their organization | <b>\$3,500.00</b>   |
| Cincinnati Squash Racquets Association             | 220 W 3rd Street<br>Cincinnati, OH 45202                                | Contribution<br>To support their organization | <b>\$3,000.00</b>   |
| Cincinnati USA Regional Chamber Foundation         | 441 Vine Street, Suite 300<br>Cincinnati, Ohio 45202                    | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Cincinnati USA Regional Chamber Foundation         | 3 E 4th Street, Suite 200<br>Cincinnati, Ohio 45202                     | Contribution<br>To support their organization | <b>\$25,000.00</b>  |
| Cincinnati USA Regional Chamber Foundation         | 3 E 4th Street, Suite 200<br>Cincinnati, Ohio 45202                     | Contribution<br>To support their organization | <b>\$12,700.00</b>  |
| Cincinnati USA Regional Chamber Foundation         | 3 E 4th Street, Suite 200<br>Cincinnati, Ohio 45202                     | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Cincinnati USA Regional Chamber Foundation         | 3 E 4th Street, Suite 200<br>Cincinnati, Ohio 45202                     | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Cincinnati USA Regional Chamber Foundation         | 3 E 4th Street, Suite 200<br>Cincinnati, Ohio 45202                     | Contribution<br>To support their organization | <b>\$50,000.00</b>  |
| Cincinnati Zoo and Botanical Garden                | 3400 Vine Street<br>Cincinnati, Ohio 45220                              | Contribution<br>To support their organization | <b>\$6,500.00</b>   |
| The Community Foundation of Western North Carolina | 4 Vanderbilt Park Drive, Suite 300<br>Asheville, NC 28803               | Contribution<br>To support their organization | <b>\$5,000.00</b>   |
| Community Foundation of Western North Carolina     | 4 Vanderbilt Park Drive, Suite 300                                      | Contribution                                  | <b>\$1,000.00</b>   |



**Castellini Foundation**  
**Tax Year Ended March 31, 2015**  
**EIN # 31-6429763**  
**Contributions Paid During the Year**

**Statement 10**

| Organization  | Address  | Purpose of Contribution                       | Amount      |
|---|--|---|-------------|
|   | Asheville, NC 28803  | To support their organization                 |             |
| Community Foundation of Western North Carolina                    | 4 Vanderbilt Park Drive, Suite 300<br>Asheville, NC 28803  | Contribution<br>To support their organization | \$200.00    |
| Convalescent Hospital for Children,<br>Association for Volunteers | Cincinnati Children's Hospital Medical Center<br>3333 Burnet Avenue<br>Cincinnati, Ohio 45229-3026 | Contribution<br>To support their organization | \$1,000.00  |
| Covington Catholic High School                                    | 1600 Dixie Highway<br>Park Hills, Kentucky 41011   | Contribution<br>To support their organization | \$500.00    |
| Crayons to Computers  | 1350 Tennessee Avenue<br>Cincinnati, Ohio 45229  | Contribution<br>To support their organization | \$100.00    |
| Crime Stoppers  | 824 Broadway Street, Suite 500<br>Cincinnati, Ohio 45202   | Contribution<br>To support their organization | \$500.00    |
| Dan Beard Council, BSA  | 10078 Reading Road<br>Cincinnati, Ohio 45241-4833  | Contribution<br>To support their organization | \$100.00    |
| Dan Beard Council, BSA  | 10078 Reading Road<br>Cincinnati, Ohio 45241-4833  | Contribution<br>To support their organization | \$2,500.00  |
| Dawn Redwoods Charitable Trust                                    | One Blackfield Drive - Suite 331<br>Tiburon, CA 94920  | Contribution<br>To support their organization | \$2,500.00  |
| Denison University  | 100 West College Street<br>Granville, Ohio 43023   | Contribution<br>To support their organization | \$15,000.00 |
| Diocese of Covington  | 1125 Madison Avenue<br>Covington, KY 41011-3115  | Contribution<br>To support their organization | \$6,000.00  |
| Evans Scholarship Foundation                                      | One Briar Road<br>Golf, Illinois 60029   | Contribution<br>To support their organization | \$250.00    |
| Family Learning Center  | 31626 US Hwy 6<br>Edwards, Colorado 81632  | Contribution<br>To support their organization | \$1,000.00  |
| Franciscan Renewal Center   | 5802 East Lincoln Drive<br>Scottsdale, Arizona 85253   | Contribution<br>To support their organization | \$20,000.00 |
| George W Bush Presidential Library Foundation                     | 6116 North Central Expressway, Suite 400<br>Dallas, Texas 75206                                    | Contribution<br>To support their organization | \$25,000.00 |
| Georgetown University   | 3300 Whitehaven Street - Harris Bldg<br>Washington, DC 20007                                       | Contribution<br>To support their organization | \$50,000.00 |
| Good Samaritan Hospital Foundation                                | 375 Dixmyth Avenue<br>Cincinnati, Ohio 45220   | Contribution<br>To support their organization | \$50,000.00 |
| Good Samaritan Hospital Foundation                                | 375 Dixmyth Avenue<br>Cincinnati, Ohio 45220   | Contribution<br>To support their organization | \$500.00    |
| Greater Cincinnati Foundation                                     | 200 West Fourth Street<br>Cincinnati, OH 45202-2775  | Contribution<br>To support their organization | \$1,000.00  |
| Greene County Habitat for Humanity                                | 1373 Willow Run Road<br>Greensboro, GA 30642   | Contribution<br>To support their organization | \$100.00    |
| Gregonan University Foundation                                    | 106 West 56th Street<br>New York, New York 10019   | Contribution<br>To support their organization | \$5,000.00  |
| Hope Emergency Program  | 5333 Kernan Road<br>Lynchburg, Ohio 45142  | Contribution<br>To support their organization | \$5,000.00  |

**Castellini Foundation**  
**Tax Year Ended March 31, 2015**  
**EIN # 31-6429763**  
**Contributions Paid During the Year**

**Statement 10**

| <b>Organization</b>                             | <b>Address</b>   | <b>Purpose of Contribution</b>                | <b>Amount</b>      |
|---|--|---|--------------------|
| Hope Emergency Program                          | 5333 Kernan Road<br>Lynchburg, Ohio 45142  | Contribution<br>To support their organization | <b>\$2,500.00</b>  |
| Hospice of Cincinnati                           | 4360 Cooper Road<br>Cincinnati, Ohio 45242   | Contribution<br>To support their organization | <b>\$10,000.00</b> |
| Joy Outdoor Education Center                    | 10117 Old 3C Hwy<br>Clarksville, Ohio 45113  | Contribution<br>To support their organization | <b>\$5,000.00</b>  |
| Judy and John Ruthven Foundation, Inc           | 3454 Tiffany Ridge Lane<br>Blue Ash, OH 45241                                      | Contribution<br>To support their organization | <b>\$900.00</b>    |
| Junior Achievement                              | 644 Linn Street, Suite 1024<br>Cincinnati, Ohio 45203                              | Contribution<br>To support their organization | <b>\$2,200.00</b>  |
| Juvenile Diabetes Research Foundation           | 8050 Hosbrook Road, Suite 314<br>Cincinnati, Ohio 45236                            | Contribution<br>To support their organization | <b>\$3,000.00</b>  |
| Kelly-Carol Foundation for Children with Cancer | 301 East Fourth Street, Suite 3300, Great American Tower<br>Cincinnati, Ohio 45202 | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| KNOM Radio Mission, Inc                         | 107 West 3rd Avenue<br>Nome, Alaska 99762  | Contribution<br>To support their organization | <b>\$5,000.00</b>  |
| L A D D   | 3603 Victory Parkway<br>Cincinnati, Ohio 45229                                     | Contribution<br>To support their organization | <b>\$100.00</b>    |
| Life Issues Institute, Inc                      | 1821 West Galbraith Road<br>Cincinnati, Ohio 45239                                 | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Life Issues Institute, Inc                      | 1821 West Galbraith Road<br>Cincinnati, Ohio 45239                                 | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Lighthouse Youth Services, Inc                  | 401 East McMillan Street<br>Cincinnati, OH 45206                                   | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Lindner Center of Hope                          | 4075 Old Western Row Road<br>Cincinnati, Ohio 45040                                | Contribution<br>To support their organization | <b>\$25,000.00</b> |
| Lindner Center of Hope                          | 4075 Old Western Row Road<br>Cincinnati, Ohio 45040                                | Contribution<br>To support their organization | <b>\$25,000.00</b> |
| Lindner Center of Hope                          | 4075 Old Western Row Road<br>Cincinnati, Ohio 45040                                | Contribution<br>To support their organization | <b>\$100.00</b>    |
| Medical Mission Sisters                         | 8400 Pine Road<br>Philadelphia, PA 19111-9986                                      | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Medical Mission Sisters                         | 8400 Pine Road<br>Philadelphia, PA 19111-9986                                      | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Mercy Montessori School                         | 2335 Grandview Avenue<br>Cincinnati, Ohio 45206                                    | Contribution<br>To support their organization | <b>\$500.00</b>    |
| Mercy Montessori School                         | 2335 Grandview Avenue<br>Cincinnati, Ohio 45206                                    | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| NAACP Cincinnati Branch                         | 4439 Reading Road<br>Cincinnati, Ohio 45229  | Contribution<br>To support their organization | <b>\$2,000.00</b>  |
| National Center for Arts and Technology         | 1815 Metropolitan Street<br>Pittsburgh, PA 15233                                   | Contribution<br>To support their organization | <b>\$22,600.27</b> |
| National Center for Arts and Technology         | 1815 Metropolitan Street   | Contribution                                  | <b>\$25,000.00</b> |

**Castellini Foundation**  
**Tax Year Ended March 31, 2015**  
**EIN # 31-6429763**  
**Contributions Paid During the Year**

**Statement 10**

| <b>Organization</b>                          | <b>Address</b>  | <b>Purpose of Contribution</b>                | <b>Amount</b>      |
|--|---|---|--------------------|
|  | Pittsburgh, PA 15233  | To support their organization                 |                    |
| National Center for Arts and Technology      | 1815 Metropolitan Street<br>Pittsburgh, PA 15233                        | Contribution<br>To support their organization | <b>\$27,303.19</b> |
| National Center for Arts and Technology      | 1815 Metropolitan Street<br>Pittsburgh, PA 15233                        | Contribution<br>To support their organization | <b>\$25,000.00</b> |
| National Multiple Sclerosis Society          | 4440 Lake Forest Drive, Suite 120<br>Cincinnati, Ohio 45242             | Contribution<br>To support their organization | <b>\$3,000.00</b>  |
| National Underground Railroad Freedom Center | 50 East Freedom Way<br>Cincinnati, Ohio 45202                           | Contribution<br>To support their organization | <b>\$200.00</b>    |
| National Underground Railroad Freedom Center | 50 East Freedom Way<br>Cincinnati, Ohio 45202                           | Contribution<br>To support their organization | <b>\$75,000.00</b> |
| New Bremen Foundation, Inc                   | 44 South Washington St<br>New Bremen, OH 45869                          | Contribution<br>To support their organization | <b>\$100.00</b>    |
| North Kent Community Services                | 10075 Northland Drive NE<br>Rockford, MI 49341                          | Contribution<br>To support their organization | <b>\$100.00</b>    |
| Ohio Cancer Research Associates              | 50 West Broad Street, Suite 1132<br>Columbus, OH 43215-3388             | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Order Sons of Italy                          | 2093 Sylved Lane<br>Cincinnati, Ohio 45238                              | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| Order of Malta, American Association         | 1011 First Avenue, Suite 1350<br>New York, NY 10022                     | Contribution<br>To support their organization | <b>\$3,000.00</b>  |
| Our Lady of the Light Foundation, Inc        | 5440 Moeller Avenue<br>Cincinnati, Ohio 45212                           | Contribution<br>To support their organization | <b>\$6,250.00</b>  |
| Our Lady of the Light Foundation, Inc        | 5440 Moeller Avenue<br>Cincinnati, Ohio 45212                           | Contribution<br>To support their organization | <b>\$6,250.00</b>  |
| Our Lady of the Light Foundation, Inc        | 5440 Moeller Avenue<br>Cincinnati, Ohio 45212                           | Contribution<br>To support their organization | <b>\$6,250.00</b>  |
| Our Lady of the Light Foundation, Inc        | 5440 Moeller Avenue<br>Cincinnati, Ohio 45212                           | Contribution<br>To support their organization | <b>\$6,250.00</b>  |
| Reds Community Fund                          | Great American Ball Park, 100 Joe Nuxhall Way<br>Cincinnati, Ohio 45202 | Contribution<br>To support their organization | <b>\$20,000.00</b> |
| Ruah Woods                                   | 6675 Wesselman Road<br>Cincinnati, Ohio 45248                           | Contribution<br>To support their organization | <b>\$5,000.00</b>  |
| Sacred Heart Church                          | 211 West Charlotte Avenue<br>Punta Gorda, Florida 33950                 | Contribution<br>To support their organization | <b>\$100.00</b>    |
| Sacred Heart Radio, Inc                      | 5440 Moeller Ave<br>Cincinnati, Ohio 45212                              | Contribution<br>To support their organization | <b>\$100.00</b>    |
| SCORE Cincinnati and Northern Kentucky       | 525 Vine Street, Room 1030<br>Cincinnati, Ohio 45202                    | Contribution<br>To support their organization | <b>\$10,000.00</b> |
| St Aloysius                                  | 4721 Reading Road<br>Cincinnati, Ohio 45237                             | Contribution<br>To support their organization | <b>\$5,000.00</b>  |
| St Joseph Church                             | 2470 Lorraine Ct<br>Crescent Springs, Kentucky 41017                    | Contribution<br>To support their organization | <b>\$100.00</b>    |

### Statement 10

[illegible]

**Castellini Foundation**  
**Tax Year Ended March 31, 2015**  
**EIN # 31-6429763**  
**Contributions Paid During the Year**

**Statement 10**

| <b>Organization</b>                 | <b>Address</b>   | <b>Purpose of Contribution</b>                | <b>Amount</b>      |
|-------------------------------------|--|---|--------------------|
| UGIVE org                           | 9380 Montgomery Road, Suite 207<br>Cincinnati, Ohio 45242              | Contribution<br>To support their organization | <b>\$2,238.23</b>  |
| United Way of Coastal Georgia       | 3400 Parkwood Dr<br>Suite A, 2nd Floor<br>Brunswick, GA 31521          | Contribution<br>To support their organization | <b>\$200.00</b>    |
| United Way of Greater Cincinnati    | 2400 Reading Road<br>Cincinnati, OH 45202                              | Contribution<br>To support their organization | <b>\$2,000.00</b>  |
| United Way of Greater Cincinnati    | 2400 Reading Road<br>Cincinnati, OH 45202                              | Contribution<br>To support their organization | <b>\$98,000.00</b> |
| University of Cincinnati Foundation | University Hall, Suite 100, 51 Goodman Drive<br>Cincinnati, Ohio 45219 | Contribution<br>To support their organization | <b>\$1,000.00</b>  |
| University of Cincinnati Foundation | University Hall, Suite 100, 51 Goodman Drive<br>Cincinnati, Ohio 45219 | Contribution<br>To support their organization | <b>\$100.00</b>    |
| University of Cincinnati Foundation | University Hall, Suite 100, 51 Goodman Drive<br>Cincinnati, Ohio 45219 | Contribution<br>To support their organization | <b>\$98,700.00</b> |
| University of Norte Dame            | 1100 Grace Hall<br>Notre Dame, IN 46556                                | Contribution<br>To support their organization | <b>\$10,000.00</b> |
| Ursuline Academy of Cincinnati      | 5535 Pfeiffer Road<br>Cincinnati, Ohio 45242                           | Contribution<br>To support their organization | <b>\$100.00</b>    |
| Ursuline Academy of Cincinnati      | 5535 Pfeiffer Road<br>Cincinnati, Ohio 45242                           | Contribution<br>To support their organization | <b>\$6,000.00</b>  |
| Ursulines of Brown County           | 20860 State Route 251<br>Saint Martin, Ohio 45118-2311                 | Contribution<br>To support their organization | <b>\$2,000.00</b>  |
| Ursulines of Brown County           | 20860 State Route 251<br>Saint Martin, Ohio 45118-2311                 | Contribution<br>To support their organization | <b>\$10,000.00</b> |
| Ursulines of Brown County           | 20860 State Route 251<br>Saint Martin, Ohio 45118-2311                 | Contribution<br>To support their organization | <b>\$6,000.00</b>  |
| Ursulines of Brown County           | 20860 State Route 251<br>Saint Martin, Ohio 45118-2311                 | Contribution<br>To support their organization | <b>\$1,295.00</b>  |
| USO Tribute Cincinnati              | 2111 Wilson Boulevard, Suite 1200<br>Arlington, VA 22201               | Contribution<br>To support their organization | <b>\$5,000.00</b>  |
| USSGA Memorial Fund                 | 49 Knollwood Road<br>Elmsford, NY 10523-2819                           | Contribution<br>To support their organization | <b>\$500.00</b>    |
| Vilar Performing Arts Center        | 68 Avondale Lane<br>Beaver Creek, CO 81620                             | Contribution<br>To support their organization | <b>\$5,000.00</b>  |
| Vilar Performing Arts Center        | 68 Avondale Lane<br>Beaver Creek, CO 81620                             | Contribution<br>To support their organization | <b>\$2,180.00</b>  |
| Women Helping Women                 | 215 East 9th Street, 7th Floor<br>Cincinnati, Ohio 45202               | Contribution<br>To support their organization | <b>\$100.00</b>    |
| Xavier University                   | 3800 Victory Parkway<br>Cincinnati, Ohio 45207-7530                    | Contribution<br>To support their organization | <b>\$6,000.00</b>  |
| Xavier University                   | 3800 Victory Parkway<br>Cincinnati, Ohio 45207-7530                    | Contribution<br>To support their organization | <b>\$13,800.00</b> |

Castellini Foundation  
Tax Year Ended March 31, 2015  
EIN # 31-6429763  
Contributions Paid During the Year

Statement 10

| Organization               | Address   | Purpose of Contribution                       | Amount                |
|----------------------------|---|---|-----------------------|
| Xavier University          | 3800 Victory Parkway<br>Cincinnati, Ohio 45207-7530 | Contribution<br>To support their organization | \$39,445.00           |
| YWCA of Greater Cincinnati | 898 Walnut Street<br>Cincinnati, Ohio 45202         | Contribution<br>To support their organization | \$12,500.00           |
|                            |   | Total Contributions                           | <u>\$2,141,431.76</u> |

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                         | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|-------------------------|--|--------------------------------------|----------------------------------|
| 1a   | 2,000 SHARES UNIFI, INC | D  | 08/20/10                             | 09/11/14                         |
| b  | 2,000 SHARES UNIFI, INC | D  | 08/20/10                             | 09/17/14                         |
| c  | 1,927 SHARES UNIFI, INC | D  | 08/20/10                             | 09/19/14                         |
| d  | 645 SHARES UNIFI, INC   | D  | 08/20/10                             | 09/22/14                         |
| e  | 428 SHARES UNIFI, INC   | D  | 08/20/10                             | 09/22/14                         |
| f  | 5,815 SHARES UNIFI, INC | D  | 08/26/10                             | 03/18/15                         |
| g  | 572 SHARES UNIFI, INC   | D  | 08/26/12                             | 09/22/14                         |
| h  | 2,000 SHARES UNIFI, INC | D  | 08/26/12                             | 09/23/14                         |
| i  | 546 SHARES UNIFI, INC   | D  | 08/26/12                             | 09/24/14                         |
| j  | 382 SHARES UNIFI, INC   | D  | 08/26/12                             | 09/24/14                         |
| k  | 500 SHARES UNIFI, INC   | D  | 08/26/12                             | 10/02/14                         |
| l  | 1,500 SHARES UNIFI, INC | D  | 08/26/12                             | 10/03/14                         |
| m  | 2,000 SHARES UNIFI, INC | D  | 08/26/12                             | 10/08/14                         |
| n  | 2,000 SHARES UNIFI, INC | D  | 08/26/12                             | 10/09/14                         |
| o  | 500 SHARES UNIFI, INC   | D  | 08/26/12                             | 10/10/14                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     | 57,213.                                    | 24,950.   | 32,263.                                      |
| b                     | 58,863.                                    | 24,950.   | 33,913.                                      |
| c                     | 55,009.                                    | 24,040.   | 30,969.                                      |
| d                     | 17,638.                                    | 8,047.  | 9,591.                                       |
| e                     | 11,711.                                    | 5,340.  | 6,371.                                       |
| f                     | 200,562.                                   | 77,257.   | 123,305.                                     |
| g                     | 15,651.                                    | 6,345.  | 9,306.                                       |
| h                     | 54,145.                                    | 22,185.   | 31,960.                                      |
| i                     | 14,435.                                    | 6,057.  | 8,378.                                       |
| j                     | 10,109.                                    | 4,237.  | 5,872.                                       |
| k                     | 13,394.                                    | 5,546.  | 7,848.                                       |
| l                     | 40,394.                                    | 16,639.   | 23,755.                                      |
| m                     | 54,818.                                    | 22,185.   | 32,633.                                      |
| n                     | 57,467.                                    | 22,185.   | 35,282.                                      |
| o                     | 14,005.                                    | 5,546.  | 8,459.                                       |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 32,263.   |
| b                         |                                      |   | 33,913.   |
| c                         |                                      |   | 30,969.   |
| d                         |                                      |   | 9,591.  |
| e                         |                                      |   | 6,371.  |
| f                         |                                      |   | 123,305.  |
| g                         |                                      |   | 9,306.  |
| h                         |                                      |   | 31,960.   |
| i                         |                                      |   | 8,378.  |
| j                         |                                      |   | 5,872.  |
| k                         |                                      |   | 7,848.  |
| l                         |                                      |   | 23,755.   |
| m                         |                                      |   | 32,633.   |
| n                         |                                      |   | 35,282.   |
| o                         |                                      |   | 8,459.  |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a 1,000 SHARES UNIFI, INC   | D  | 08/30/10                             | 09/16/14                         |
| b 1,000 SHARES UNIFI, INC  | D  | 08/30/10                             | 09/16/14                         |
| c 355 SHARES UNIFI, INC  | D  | 08/30/10                             | 09/22/14                         |
| d 1,500 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/10/14                         |
| e 250 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/13/14                         |
| f 1,750 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/13/14                         |
| g 2,000 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/14/14                         |
| h 2,000 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/15/14                         |
| i 2,000 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/16/14                         |
| j 500 SHARES UNIFI, INC  | D  | 08/30/12                             | 10/20/14                         |
| k 1,500 SHARES UNIFI, INC  | D  | 08/31/12                             | 10/20/14                         |
| l 2,000 SHARES UNIFI, INC  | D  | 08/31/12                             | 10/21/14                         |
| m 2,000 SHARES UNIFI, INC  | D  | 08/31/12                             | 10/31/14                         |
| n 2,000 SHARES UNIFI, INC  | D  | 08/31/12                             | 11/03/14                         |
| o 620 SHARES UNIFI, INC  | D  | 08/31/12                             | 11/05/14                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 28,364.             |  | 12,582.   | 15,782.                                      |
| b 28,480.             |  | 12,582.   | 15,898.                                      |
| c 9,708.              |  | 4,467.  | 5,241.                                       |
| d 42,015.             |  | 16,567.   | 25,448.                                      |
| e 6,778.              |  | 2,761.  | 4,017.                                       |
| f 47,449.             |  | 19,328.   | 28,121.                                      |
| g 55,309.             |  | 22,089.   | 33,220.                                      |
| h 56,145.             |  | 22,089.   | 34,056.                                      |
| i 60,087.             |  | 22,089.   | 37,998.                                      |
| j 13,828.             |  | 5,522.  | 8,306.                                       |
| k 41,485.             |  | 16,588.   | 24,897.                                      |
| l 56,719.             |  | 22,117.   | 34,602.                                      |
| m 56,845.             |  | 22,117.   | 34,728.                                      |
| n 56,995.             |  | 22,117.   | 34,878.                                      |
| o 17,251.             |  | 6,856.  | 10,395.                                      |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 15,782.   |
| b                         |                                      |   | 15,898.   |
| c                         |                                      |   | 5,241.  |
| d                         |                                      |   | 25,448.   |
| e                         |                                      |   | 4,017.  |
| f                         |                                      |   | 28,121.   |
| g                         |                                      |   | 33,220.   |
| h                         |                                      |   | 34,056.   |
| i                         |                                      |   | 37,998.   |
| j                         |                                      |   | 8,306.  |
| k                         |                                      |   | 24,897.   |
| l                         |                                      |   | 34,602.   |
| m                         |                                      |   | 34,728.   |
| n                         |                                      |   | 34,878.   |
| o                         |                                      |   | 10,395.   |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3



**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a 980 SHARES UNIFI, INC   |  | D  | 09/04/12                             | 11/05/14                         |
| b 2,000 SHARES UNIFI, INC  |  | D  | 09/04/12                             | 11/06/14                         |
| c 1,896 SHARES UNIFI, INC  |  | D  | 09/04/12                             | 11/07/14                         |
| d 772 SHARES UNIFI, INC  |  | D  | 09/04/12                             | 11/17/14                         |
| e 300 SHARES UNIFI, INC  |  | D  | 09/04/12                             | 11/17/14                         |
| f 928 SHARES UNIFI, INC  |  | D  | 09/05/12                             | 11/17/14                         |
| g 2,000 SHARES UNIFI, INC  |  | D  | 09/05/12                             | 11/19/14                         |
| h 2,000 SHARES UNIFI, INC  |  | D  | 09/05/12                             | 11/21/14                         |
| i 2,000 SHARES UNIFI, INC  |  | D  | 09/05/12                             | 11/24/14                         |
| j 2,000 SHARES UNIFI, INC  |  | D  | 09/05/12                             | 11/25/14                         |
| k 1,072 SHARES UNIFI, INC  |  | D  | 09/05/12                             | 12/03/14                         |
| l 118 SHARES UNIFI, INC  |  | D  | 09/06/12                             | 09/24/14                         |
| m 500 SHARES UNIFI, INC  |  | D  | 09/12/12                             | 09/24/14                         |
| n 2,107 SHARES SQUARE 1 FNCL INC CL A  |  | D  | 05/01/05                             | 08/14/14                         |
| o 2,107 SHARES SQUARE 1 FNCL INC CL A  |  | D  | 05/01/05                             | 08/14/14                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 27,268.             |  | 10,841.   | 16,427.                                      |
| b 56,398.             |  | 22,124.   | 34,274.                                      |
| c 53,561.             |  | 20,973.   | 32,588.                                      |
| d 20,818.             |  | 8,540.  | 12,278.                                      |
| e 8,090.              |  | 3,319.  | 4,771.                                       |
| f 25,025.             |  | 10,247.   | 14,778.                                      |
| g 54,093.             |  | 22,084.   | 32,009.                                      |
| h 54,204.             |  | 22,084.   | 32,120.                                      |
| i 55,419.             |  | 22,084.   | 33,335.                                      |
| j 55,403.             |  | 22,084.   | 33,319.                                      |
| k 30,310.             |  | 11,837.   | 18,473.                                      |
| l 3,123.              |  | 1,311.  | 1,812.                                       |
| m 13,232.             |  | 5,558.  | 7,674.                                       |
| n 39,811.             |  | 21,070.   | 18,741.                                      |
| o 39,811.             |  | 21,070.   | 18,741.                                      |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "0-") |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |  |
| a   |                                      |   | 16,427.  |
| b   |                                      |   | 34,274.  |
| c   |                                      |   | 32,588.  |
| d   |                                      |   | 12,278.  |
| e   |                                      |   | 4,771.   |
| f   |                                      |   | 14,778.  |
| g   |                                      |   | 32,009.  |
| h   |                                      |   | 32,120.  |
| i   |                                      |   | 33,335.  |
| j   |                                      |   | 33,319.  |
| k   |                                      |   | 18,473.  |
| l   |                                      |   | 1,812.   |
| m   |                                      |   | 7,674.   |
| n   |                                      |   | 18,741.  |
| o   |                                      |   | 18,741.  |

|  |   |  |
|--|---|--|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "0-" in Part I, line 7 }   | 2 |  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "0-" in Part I, line 8 } | 3 |  |

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a OTHER INVESTMENTS   |  | P  | VARIOUS                              | VARIOUS                          |
| b OTHER INVESTMENTS  |  | P  | VARIOUS                              | VARIOUS                          |
| c ACCOUNT 023 - STOCK LITIGATION   |  | P  | VARIOUS                              | VARIOUS                          |
| d ACCOUNT 015 - STOCK LITIGATION   |  | P  | VARIOUS                              | VARIOUS                          |
| e LOSS FROM FINAL K-1'S  |  | P  | VARIOUS                              | VARIOUS                          |
| f  |  |  |                                      |                                  |
| g  |  |  |                                      |                                  |
| h  |  |  |                                      |                                  |
| i  |  |  |                                      |                                  |
| j  |  |  |                                      |                                  |
| k  |  |  |                                      |                                  |
| l  |  |  |                                      |                                  |
| m  |  |  |                                      |                                  |
| n  |  |  |                                      |                                  |
| o  |  |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     |  | 5,972.  | <5,972.>                                     |
| b                     |  | 15,064.   | <15,064.>                                    |
| c 477.                |  |   | 477.   |
| d 16.                 |  |   | 16.  |
| e                     |  | 644.  | <644.>                                       |
| f                     |  |   |  |
| g                     |  |   |  |
| h                     |  |   |  |
| i                     |  |   |  |
| j                     |  |   |  |
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| n                     |  |   |  |
| o                     |  |   |  |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | <5,972.>  |
| b                         |                                      |   | <15,064.>   |
| c                         |                                      |   | 477.  |
| d                         |                                      |   | 16.   |
| e                         |                                      |   | <644.>  |
| f                         |                                      |   |   |
| g                         |                                      |   |   |
| h                         |                                      |   |   |
| i                         |                                      |   |   |
| j                         |                                      |   |   |
| k                         |                                      |   |   |
| l                         |                                      |   |   |
| m                         |                                      |   |   |
| n                         |                                      |   |   |
| o                         |                                      |   |   |

|   |   |            |
|---|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }   | 2 | 1,037,645. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A        |