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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014 or tax year beginning 04/01/14, and ending 03/31/15

Name of foundation THE MITSUI USA FOUNDATION		A Employer identification number 13-3415220
Number and street (or P O box number if mail is not delivered to street address) 200 PARK AVENUE	Room/suite	B Telephone number (see instructions) 212-878-4072
City or town, state or province, country, and ZIP or foreign postal code NEW YORK NY 10166		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 15,372,158	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis)		

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amount in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	26,350			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	150,843	150,843		
4 Dividends and interest from securities	190,270	190,270		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 Stmt 1	313,962			
b Gross sales price for all assets on line 6a 13,405,366				
7 Capital gain net income (from Part IV, line 2)		313,962		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	681,425	655,075	0	
13 Compensation of officers, directors, trustees, etc.	10,312			10,312
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Stmt 2	47,700	23,850		23,850
c Other professional fees (attach schedule) Stmt 3	85,969	82,329		3,640
17 Interest				
18 Taxes (attach schedule) (see instructions) Stmt 4	9,738	1,988		750
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att sch) Stmt 5	3,384			3,384
24 Total operating and administrative expenses. Add lines 13 through 23	157,103	108,167	0	41,936
25 Contributions, gifts, grants paid	700,000			700,000
26 Total expenses and disbursements. Add lines 24 and 25	857,103	108,167	0	741,936
27 Subtract line 26 from line 12	-175,678			
a Excess of revenue over expenses and disbursements		546,908		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2014)

DAA

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 Operating and Administrative Expenses

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash ?non-interest-bearing	3,615	7,346	7,346
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments ?U S. and state government obligations (attach schedule) Stmt 6	3,828,694	4,123,331	3,663,822
	b	Investments ?corporate stock (attach schedule) See Stmt 7	6,337,022	6,839,945	7,071,304
	c	Investments ?corporate bonds (attach schedule) See Stmt 8	6,204,285	3,265,890	2,899,674
	11	Investments ?land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	12	Investments ?mortgage loans			
	13	Investments ?other (attach schedule) See Statement 9		1,961,426	1,730,012
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
Liabilities	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers ?see the instructions. Also, see page 1, item I)	16,373,616	16,197,938	15,372,158
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	16,373,616	16,197,938	
	30	Total net assets or fund balances (see instructions)	16,373,616	16,197,938	
	31	Total liabilities and net assets/fund balances (see instructions)	16,373,616	16,197,938	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year ?Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,373,616
2	Enter amount from Part I, line 27a	2	-175,678
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	16,197,938
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) ?Part II, column (b), line 30	6	16,197,938

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P ?Purchase D ?Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATMENT 1			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,405,367		13,091,404	313,962
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			313,962
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	313,962
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If Yes, the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013			
2012			
2011			
2010			
2009			

2 Total of line 1 column (d)	2
3 Average distribution ratio for the 5-year base period ?divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the

Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 ?see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter NA on line 1 Date of ruling or determination letter (attach copy of letter if necessary ?see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	10,938
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	10,938
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	10,938
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	13,150
b	Exempt foreign organizations ?tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	13,150
8	Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,212
11	Enter the amount of line 10 to be Credited to 2015 estimated tax 2,212 Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is Yes to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If Yes , attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If Yes , attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If Yes , has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If Yes , attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If Yes , complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is Yes to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If No , attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If Yes , complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If Yes , attach a schedule listing their names and addresses	X	

N/A

Stmnt 10

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If Yes , attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If Yes , attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MR. MOTOMU TAKAHASHI 200 PARK AVENUE Located at ► NEW YORK NY ZIP+4 ► 10166 Telephone no ► 212-878-0901			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041? Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be RequiredFile Form 4720 if any item is checked in the **Yes** column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check Yes if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is Yes to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If Yes , list the years ► 20 , 20 , 20 , 20 ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer No and attach statement (see instructions)) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If Yes , did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945(d) ☐

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870 ☐

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11				

2 Compensation of five highest-paid employees (other than those included on line 1) (see instructions). If none, enter NONE.

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter **NONE**.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PER SCHEDULE OF CONTRIBUTIONS ATTACHED (STATEMENT 12)	
	700,000
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	15,293,817
b	Average of monthly cash balances	1b	135,568
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	15,429,385
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	15,429,385
4	Cash deemed held for charitable activities. Enter 1% of line 3 (for greater amount, see instructions)	4	231,441
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,197,944
6	Minimum investment return. Enter 5% of line 5	6	759,897

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	759,897
2a	Tax on investment income for 2014 from Part VI, line 5	2a	10,938
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	10,938
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	748,959
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	748,959
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	748,959

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	741,936
a	Expenses, contributions, gifts, etc. ?total from Part I, column (d), line 26	1b	
b	Program-related investments ?total from Part IX-B		
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	741,936
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	741,936

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				748,959
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010				
c From 2011				
d From 2012				44,662
e From 2013				17,144
f Total of lines 3a through e	61,806			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 741,936				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required ?see instructions)				
c Treated as distributions out of corpus (Election required ?see instructions)				
d Applied to 2014 distributable amount				741,936
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	7,023			7,023
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	54,783			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount ?see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount ?see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required ?see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	54,783			
10 Analysis of line 9				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				37,639
d Excess from 2013				17,144
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a Assets? alternative test? enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b Endowment? alternative test? enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c Support? alternative test? enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year? see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED SCHEDULE (STATEMENT 12				700,000
Total			▶ 3a	700,000
b Approved for future payment N/A				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

N/A

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury,
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2014

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

THE MITSUI USA FOUNDATION

13-3415220

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer **part** on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

THE MITSUI USA FOUNDATION

Employer identification number

13-3415220

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	INTERCONTINENTAL TERMINALS CO, LLC 1943 BATTLEGROUND ROAD LA PORTE TX 77571	\$ 7,500	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	MITSUI & CO. (U.S.A.) , INC. 200 PARK AVENUE NEW YORK NY 10166	\$ 8,850	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	MITSUI PLASTICS, INC. 11 MARTINE AVENUE WHITE PLAINS NY 10606	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	UNITED GRAIN CORP 900 WASHINGTON STREET SUITE 700 VANCOUVER WA 98660	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

THE MITSUI USA FOUNDATION
 EIN 13-3415220
 FORM 990-PF
 PART IV CAPITAL GAINS AND LOSSES

Description	Date Purchased	Date Sold	Cost	Sales price	Gain/Loss (\$)
3M COMPANY	7/13/2011	5/29/2014	1,840 30	2,694 71	854 41
ABBOTT LABS	7/13/2011	5/29/2014	433 45	673 53	240 08
ABBVIE INC 1 75%NOV06 17 GLB 01 750% NOV 06 2017	3/6/2014	10/21/2014	75,484 25	75,518 25	34 00
ABBVIE INC SHS	7/13/2011	5/29/2014	1,576 02	3,091 04	1,515 02
ACE LIMITED	10/6/2011	5/29/2014	607 01	1,035 18	428 17
AMER EXPRESS COMPANY	7/13/2011	5/29/2014	686 61	1,179 98	493 37
AMERICAN EXPRESS CREDIT SER MTN 02 375% MAR 24 2017	10/23/2014	2/3/2015	51,301 45	51,483 50	182 05
AMERICAN EXPRESS CREDIT SER MTN 02 800% SEP 19 2016	9/30/2013	4/17/2014	20,771 87	20,887 00	115 13
AMERICAN EXPRESS CREDIT SER MTN 02 800% SEP 19 2016	1/24/2014	10/21/2014	15,510 30	15,541 95	31 65
AMERICAN EXPRESS CREDIT SER MTN 02 800% SEP 19 2016	4/2/2014	10/21/2014	25,860 33	25,903 25	42 92
AMERICAN EXPRESS CREDIT SER MTN 02 800% SEP 19 2016	9/30/2013	10/21/2014	61,836 93	62,167 80	330 87
AMERICAN EXPRESS CREDIT SER MTN 02 800% SEP 19 2016	4/2/2014	2/3/2015	20,586 56	20,610 40	23 84
AMERICAN EXPRESS CREDIT SER MTN GLB 01 750% JUN 12 2015	9/30/2013	4/2/2014	101,322 11	101,439 99	117 88
AMERICAN EXPRESS CREDIT SER MTN GLB 01 750% JUN 12 2015	1/24/2014	4/2/2014	20,289 07	20,288 01	(1 06)
AMERICAN TOWER REIT INC (HLDG CO) SHS	1/15/2013	5/29/2014	790 13	891 28	101 15
AMERICAN TOWER REIT INC (HLDG CO) SHS	1/15/2013	3/17/2015	12,642 06	15,066 74	2,424 68
AMERICAN TOWER REIT INC (HLDG CO) SHS	1/24/2014	3/17/2015	4,866 58	5,744 21	877 63
AMERICAN TOWER REIT INC (HLDG CO) SHS	7/24/2013	3/17/2015	2,803 64	3,578 35	774 71
AMN INTL GROUP 3 80% 201	6/6/2012	4/17/2014	20,157 11	21,396 40	1,239 29
AMN INTL GROUP 3 80% 201	1/27/2014	7/31/2014	21,169 36	21,307 21	137 85
AMN INTL GROUP 3 80% 201	6/6/2012	7/31/2014	45,321 94	47,941 20	2,619 26
AMN INTL GROUP 3 80% 201	8/13/2012	7/31/2014	36,050 31	37,287 59	1,237 28
AMN INTL GROUP 3 80% 201	9/30/2013	7/31/2014	52,417 10	53,268 00	850 90
ANHEUSER-BUSCH IN 1 37% COMPANY GUARNT GLB 01 375% JUL 15 2017	7/19/2012	4/16/2014	25,132 68	25,166 75	34 07
ANHEUSER-BUSCH IN 1 37% COMPANY GUARNT GLB 01 375% JUL 15 2017	7/19/2012	10/21/2014	90,404 14	90,466 20	62 06
ANHEUSER-BUSCH IN 1 37% COMPANY GUARNT GLB 01 375% JUL 15 2017	7/19/2012	2/3/2015	10,040 30	10,077 40	37 10
ANHEUSER-BUSCH INBEV ADR	2/13/2015	3/25/2015	2,672 52	2,683 68	11 16
APPLE INC 0 45%MAY03 16 G.B 00 450% MAY 03 2016	9/30/2013	4/17/2014	14,925 94	14,989 65	63.71
APPLE INC 0 45%MAY03 16 G.B 00 450% MAY 03 2016	1/28/2014	5/5/2014	14,964 75	14,979 31	14 56
APPLE INC 0 45%MAY03 16 G.B 00 450% MAY 03 2016	9/30/2013	5/5/2014	69,661 48	69,903 39	241 91
APPLE INC 1 05%MAY05 17 G.B 01 050% MAY 05 2017	5/5/2014	10/21/2014	70,048 66	70,316 40	267 74
BANK OF NEW YORK MELLON SER MTN 01 350% MAR 06 2018	10/24/2013	10/21/2014	64,293 64	64,780 30	486 66
BAXTER INTL INC 1 85% 20 - 01 850% JAN 15 2017	5/5/2014	10/21/2014	50,930 05	51,019 50	89 45
BERKSHIRE HATHAWA 2 20% GLB 02 200% AUG 15 2016	12/2/2013	10/21/2014	15,395 01	15,417 45	22 44
BERKSHIRE HATHAWA 2 20% GLB 02 200% AUG 15 2017	9/30/2013	4/17/2014	20,606 64	20,679 40	72 76
BERKSHIRE HATHAWA 2 20% GLB 02 200% AUG 15 2018	9/30/2013	10/21/2014	56,308 14	56,530 65	222 51
BHP BILLITON FIN 1 12%	9/30/2013	4/16/2014	15,070 43	15,061 80	(8 63)
BHP BILLITON FIN 1 12%	1/27/2014	5/5/2014	15,073 33	15,071 70	(1 63)
BHP BILLITON FIN 1 12%	9/30/2013	5/5/2014	65,281 87	65,310 70	28 83
BHP BILLITON LTD ADR	7/13/2011	5/29/2014	3,510 07	2,580 32	(929 75)
BHP BILLITON LTD ADR	7/13/2011	8/28/2014	20,586 10	14,740 02	(5,846 08)
BHP BILLITON PLC SP ADR	2/13/2015	3/12/2015	4,622 99	4,082 53	(540 46)
BHP BILLITON PLC SP ADR	2/13/2015	3/13/2015	1,021 92	869 06	(152 86)
BLACKROCK STRATEGIC INCOME OPPRTNTS PTF INST	4/22/2014	2/4/2015	186,002 04	184,013 68	(1,988 36)
BNP PARIBAS 3 25%MAR11 1 COMP GUARNT SER 0212 03 250% MAR 11 2015	9/30/2013	4/17/2014	15,331 81	15,352 35	20 54
BNP PARIBAS 3 25%MAR11 1 COMP GUARNT SER 0212 03 250% MAR 11 2015	9/30/2013	10/21/2014	45,429 53	45,465 30	35 77
BNP PARIBAS 3 25%MAR11 1 COMP GUARNT SER 0212 03 250% MAR 11 2015	1/24/2014	1/22/2015	15,049 59	15,052 36	2 77
BNP PARIBAS 3 25%MAR11 1 COMP GUARNT SER 0212 03 250% MAR 11 2015	9/30/2013	1/22/2015	20,061 31	20,069 79	8 48
BRISTOL-MYERS SQUIBB CO	7/13/2011	5/29/2014	5,033 99	8,473 30	3,439 31
CALIFORNIA RESOURCES CORP SHS	1/24/2014	1/7/2015	529 61	317 95	(211 66)
CALIFORNIA RESOURCES CORP SHS	5/2/2013	1/7/2015	122 17	73 72	(48 45)
CALIFORNIA RESOURCES CORP SHS	7/13/2011	1/7/2015	1,792 18	912 34	(879 84)
CALIFORNIA RESOURCES CORP SHS	7/24/2013	1/7/2015	379 57	221 17	(158 40)
CALIFORNIA RESOURCES CORP SHS	8/30/2013	1/7/2015	99 85	59 90	(39 95)
CAP ONE FINCL CO 2 15% 1 GLB 02 150% MAR 23 2015	10/10/2013	4/17/2014	20,211 88	20,276 60	64 72
CAP ONE FINCL CO 2 15% 1 GLB 02 150% MAR 23 2015	10/10/2013	10/21/2014	65,311 61	65,328 25	16 64
CAP ONE FINCL CO 2 15% 1 GLB 02 150% MAR 23 2015	1/27/2014	1/22/2015	30,069 33	30,075 91	6 58
CAP ONE FINCL CO 2 15% 1 GLB 02 150% MAR 23 2015	10/10/2013	1/22/2015	25,045 04	25,063 24	18 20
CATERPILLAR FINCL 1 25% SER MTNG 01 250% NOV 06 2017	10/1/2013	10/21/2014	69,167 56	69,747 30	579 74
CHEVRON CORP	7/13/2011	5/29/2014	7,222 01	8,313 50	1,091 49
CHEVRON CORP	7/13/2011	1/15/2015	18,161 22	17,635 16	(526 06)
CHEVRON CORP	7/13/2011	1/16/2015	26,657 70	26,034 72	(622 98)
CHEVRON CORP	7/13/2011	3/3/2015	15,824 70	15,722 26	(102 44)
CHUBB CORP	7/13/2011	5/29/2014	2,067 65	3,065 30	997 65
CHUBB CORP	7/13/2011	2/18/2015	1,315 78	2,128 50	812 72
CHUBB CORP	7/13/2011	2/19/2015	3,320 77	5,365 52	2,044 75
CHUBB CORP	7/13/2011	2/20/2015	3,508 73	5,585 91	2,077 18
CHUBB CORP	7/13/2011	2/23/2015	3,383 42	5,376 95	1,993 53
CHUBB CORP	7/13/2011	2/24/2015	3,070 14	4,871 81	1,801 67
CISCO SYSTEMS INC 1 10% GLB 01 100% MAR 03 2017	3/6/2014	4/17/2014	15,054 50	15,024 90	(29 60)
CISCO SYSTEMS INC 1 10% GLB 01 100% MAR 03 2017	3/6/2014	10/21/2014	70,210 15	70,359 80	149 65
CITIGROUP INC 1 25% 2016 GLB 01 250% JAN 15 2016	9/30/2013	4/17/2014	30,015 71	30,166 50	150 79
CITIGROUP INC 1 25% 2016 GLB 01 250% JAN 15 2016	1/24/2014	8/15/2014	5,018 93	4,882 26	(136 67)

Description	Date Purchased	Date Sold	Cost	Sales price	Gain/Loss (\$)
CITIGROUP INC 1 25% 2016 GLB 01 250% JAN 15 2016	9/30/2013	8/15/2014	135,058 07	131,820 74	(3,237 33)
CITIGROUP INC 1 25% 2016 GLB 01 250% JAN 15 2016	1/24/2014	8/21/2014	20,074 12	20,105 60	31 48
CITIGROUP INC 1 55% 2017 GLB 01 550% AUG 14 2017	8/21/2014	10/21/2014	104,875 05	105,157 50	282 45
CITIGROUP INC COM NEW	3/11/2013	5/29/2014	1,808 13	1,797 36	(10 77)
CME GROUP INC	1/31/2014	5/29/2014	1,624 36	1,579 37	(44 99)
COCA COLA COM	7/13/2011	5/29/2014	1,331 97	1,590 48	258 51
COCA COLA COM	7/13/2011	8/22/2014	14,275 95	17,193 01	2,917 06
COMCAST CRP NEW CL A SPL	7/13/2011	5/29/2014	3,275 97	7,000 03	3,724 06
CONOCOPHILLIPS	7/13/2011	5/29/2014	520 51	712 33	191 82
COX COMMUN INC 5 50% 201 - 05 500% OCT 01 2015	10/2/2013	5/5/2014	110,984 44	111,886 95	902 51
CVS CAREMARK CORP 1 20% - 01 200% DEC 05 2016	5/5/2014	10/21/2014	50,352 00	50,199 00	(153 00)
DEUTSCHE BANK AG 3 25% 1 GLB 03 250% JAN 11 2016	12/4/2013	4/17/2014	15,623 56	15,630 15	6 59
DEUTSCHE BANK AG 3 25% 1 GLB 03 250% JAN 11 2016	12/4/2013	10/21/2014	46,325 40	46,386 45	61 05
DIAGEO PLC SP5D ADR NEW	7/13/2011	5/29/2014	2,939 40	4,597 85	1,658 45
DIAGEO PLC SP5D ADR NEW	7/13/2011	8/26/2014	7,021 90	10,172 45	3,150 55
DIAGEO PLC SP5D ADR NEW	7/13/2011	8/27/2014	14,941 95	21,740 89	6,798 94
DIAGEO PLC SP5D ADR NEW	7/13/2011	8/28/2014	7,021 90	10,282 40	3,260 50
DIRECTV HOLDINGS/ 4 75%	2/4/2014	4/24/2014	15,273 59	15,280 65	7 06
DIRECTV HOLDINGS/ 4 75%	9/30/2013	4/24/2014	111,880 77	112,058 10	177 33
DOMINION RES INC NEW VA	7/13/2011	5/29/2014	2,575 56	3,616 11	1,040 55
DOMINION RES INC NEW VA	7/13/2011	10/8/2014	10,205 03	15,007 82	4,802 79
DOW CHEMICAL CO	7/13/2011	5/29/2014	894 02	1,347 29	453 27
DR PEPPER SNAPPLE GROUP COMPANY GUARNT GLB 02 900% JAN 15 2016	10/1/2013	4/16/2014	15,477 78	15,558 60	80 82
DR PEPPER SNAPPLE GROUP COMPANY GUARNT GLB 02 900% JAN 15 2016	10/1/2013	10/21/2014	46,017 19	46,228 05	210 86
DU PONT E I DE NEMOURS	7/13/2011	5/29/2014	2,314 07	2,875 26	561 19
DUKE ENERGY CORP 1 62% 1 GLB 01 625% AUG 15 2017	5/5/2014	10/21/2014	55,387 55	55,515 35	127 80
DUKE ENERGY CORP NEW	2/11/2013	5/29/2014	3,313 90	3,388 73	74 83
DUKE ENERGY CORP NEW	2/11/2013	1/15/2015	11,391 54	14,177 26	2,785 72
DUKE ENERGY CORP NEW	2/12/2013	1/15/2015	9,695 55	12,029 19	2,333 64
DUKE ENERGY CORP NEW	5/2/2013	1/15/2015	1,492 40	1,718 46	226 06
DUKE ENERGY CORP NEW	1/24/2014	1/16/2015	7,562 26	9,546 15	1,983 89
DUKE ENERGY CORP NEW	5/2/2013	1/16/2015	522 34	607 48	85 14
DUKE ENERGY CORP NEW	5/8/2014	1/16/2015	3,639 03	4,339 17	700 14
DUKE ENERGY CORP NEW	7/24/2013	1/16/2015	5,270 25	6,508 73	1,238 48
EBAY INC 1 35%JUL15 17 GLB 01 350% JUL 15 2017	10/1/2013	10/21/2014	64,751 70	64,876 50	124 80
ENBRIDGE INC COM	7/13/2011	9/3/2014	2,616 28	4,055 19	1,438 91
ENBRIDGE INC COM	7/13/2011	9/4/2014	8,012 36	12,373 97	4,361 61
ENBRIDGE INC COM	7/13/2011	9/5/2014	8,012 36	12,381 79	4,369 43
ENBRIDGE INC COM	7/13/2011	9/8/2014	5,297 96	8,181 58	2,883 62
ENBRIDGE INC COM	1/24/2014	10/14/2014	15,056 41	15,594 08	537 67
ENBRIDGE INC COM	5/2/2013	10/14/2014	4,173 21	3,876 73	(296 48)
ENBRIDGE INC COM	7/13/2011	10/14/2014	9,058 87	12,065 79	3,006 92
ENBRIDGE INC COM	7/24/2013	10/14/2014	10,067 58	9,713 62	(353 96)
ENTERPRISE PRODUC 5 60%	10/4/2013	4/16/2014	10,243 70	10,233 10	(10 60)
ENTERPRISE PRODUC 5 60%	1/24/2014	5/5/2014	10,222 93	10,229 50	6 57
ENTERPRISE PRODUC 5 60%	10/4/2013	5/5/2014	61,326 97	61,377 00	50 03
EUTELSAT COMMUNICATIONS, 5 EUR PAR ORDINARY	2/13/2015	3/26/2015	1,705 00	1,615 65	(89 35)
EXXON MOBIL CORP COM	7/13/2011	5/29/2014	6,406 09	7,789 15	1,383 06
EXXON MOBIL CORP COM	7/13/2011	3/3/2015	15,141 67	15,967 81	826 14
FEDERAL NATL MTG 0 62%	9/27/2013	4/16/2014	70,168 30	70,193 20	24 90
FEDERAL NATL MTG 0 62%	9/27/2013	5/29/2014	100,188 00	100,223 00	35 00
FEDERAL NATL MTG 0 62%	1/24/2014	6/27/2014	70,111 87	70,123 21	11 34
FEDERAL NATL MTG 0 62%	9/27/2013	6/27/2014	360,543 28	360,633 59	90 31
FEDERAL NATL MTG 1 12% NOTES 01 125% APR 27 2017	10/23/2014	2/3/2015	10,090 87	10,101 14	10 27
FEDERAL NATL MTG 1 20% CALLABLE NOTES 01 200% FEB 28 2018	9/27/2013	4/21/2014	14,866 50	14,851 50	(15 00)
FEDERAL NATL MTG 1 20% CALLABLE NOTES 01 200% FEB 28 2018	1/24/2014	6/23/2014	14,899 80	14,855 40	(44 40)
FEDERAL NATL MTG 1 20% CALLABLE NOTES 01 200% FEB 28 2018	9/27/2013	6/23/2014	104,065 50	103,987 80	(77 70)
FEDERAL NATL MTG ASSOC NOTES 01 250% JAN 30 2017	10/23/2014	2/3/2015	10,123 45	10,129 71	6 26
FEDERAL NATL MTG ASSOC NOTES 05 375% JUL 15 2016	10/23/2014	2/3/2015	10,720 74	10,719 01	(1 73)
FIFTH THIRD BANCORP	12/20/2012	5/29/2014	1,649 39	2,226 83	577 44
GENERAL ELECTRIC	7/13/2011	5/29/2014	3,714 36	5,283 19	1,568 83
GENERAL MILLS	7/13/2011	5/29/2014	1,953 37	2,884 33	930 96
GENERAL MILLS	7/13/2011	8/22/2014	1,216 25	1,739 15	522 90
GENERAL MILLS	7/13/2011	8/25/2014	8,919 15	12,793 68	3,874 53
GENERAL MILLS	7/13/2011	8/26/2014	552 84	796 65	243 81
GENERAL MILLS	5/2/2013	1/15/2015	2,424 96	2,547 07	122 11
GENERAL MILLS	7/13/2011	1/15/2015	7,666 05	11,037 29	3,371 24
GENERAL MILLS	7/24/2013	1/15/2015	1,889 87	1,963 37	73 50
GENERAL MILLS	1/24/2014	1/16/2015	8,855 34	9,688 47	833 13
GENERAL MILLS	5/8/2014	1/16/2015	2,682 26	2,661 67	(20 59)
GENERAL MILLS	7/24/2013	1/16/2015	3,984 04	4,152 20	168 16
GOLDMAN SACHS GRO 3 62% GLB 03 625% FEB 07 2016	9/30/2013	4/17/2014	26,029 74	26,171 25	141 51
GOLDMAN SACHS GRO 3 62% GLB 03 625% FEB 07 2016	9/30/2013	10/21/2014	87,525 02	87,948 65	423 63
GOLDMAN SACHS GRO 3 62% GLB 03 625% FEB 07 2016	9/30/2013	2/3/2015	20,464 21	20,496 20	31 99
HENNES MAURITZ AB- ADR	2/13/2015	3/12/2015	1,853 64	1,775 68	(77 96)
HEWLETT-PACKARD 1 55% 20	9/30/2013	4/17/2014	10,008 26	10,009 80	1 54
HEWLETT-PACKARD 1 55% 20	9/30/2013	5/30/2014	70,000 00	70,000 00	-
HEWLETT-PACKARD 1 55% 20	1/28/2014	5/30/2014	10,000 00	10,000 00	-

Description	Date Purchased	Date Sold	Cost	Sales price	Gain/Loss (\$)
HOME DEPOT INC	7/13/2011	5/29/2014	656 58	1,435 29	778 71
HOME DEPOT INC	7/13/2011	2/18/2015	7,878 99	24,079 35	16,200 36
HOME DEPOT INC	7/13/2011	2/19/2015	3,611 20	11,094 61	7,483 41
HONEYWELL INTL INC DEL	3/29/2011	5/29/2014	415 80	647 77	231 97
INTEL CORP	7/13/2011	5/29/2014	2,173 81	2,578 50	404 69
INTL BUSINESS MACHINES CORP IBM	7/13/2011	10/24/2014	17,933 64	16,520 35	(1,413 29)
INTL BUSINESS MACHINES CORP IBM	7/13/2011	5/29/2014	1,054 92	1,096 78	41 86
INTL PAPER CO	7/16/2013	5/29/2014	1,205 58	1,169 97	(35 61)
JOHN DEERE CAPITAL CORP SER MTN 01 550% DEC 15 2017	9/22/2014	10/23/2014	60,142 90	60,251 40	108 50
JOHNSON AND JOHNSON COM	7/13/2011	5/29/2014	1,491 07	2,210 29	719 22
JOHNSON CONTROLS INC	7/13/2011	5/29/2014	788 80	917 11	128.31
JOHNSON CONTROLS INC	1/24/2014	2/13/2015	10,029 89	10,086 79	56 90
JOHNSON CONTROLS INC	5/2/2013	2/13/2015	2,042 58	2,847 46	804.88
JOHNSON CONTROLS INC	7/13/2011	2/13/2015	20,342 85	23,648 43	3,305 58
JOHNSON CONTROLS INC	7/24/2013	2/13/2015	4,905 79	5,743 19	837.40
JPMORGAN CHASE & 1 35% SER MTN 01 350% FEB 15 2017	4/2/2014	4/17/2014	15,002 80	15,021 00	18.20
JPMORGAN CHASE & 1 35% SER MTN 01 350% FEB 15 2017	4/2/2014	10/21/2014	120,018 49	120,593 99	575 50
JPMORGAN CHASE & 1 35% SER MTN 01 350% FEB 15 2017	6/23/2014	10/21/2014	10,020 61	10,049 51	28.90
JPMORGAN CHASE & 1 35% SER MTN 01 350% FEB 15 2017	6/23/2014	2/3/2015	15,027 17	15,022 05	(5 12)
JPMORGAN CHASE & 3 40% - 03 400% JUN 24 2015	10/10/2013	4/2/2014	113,317 69	113,560 70	243 01
JPMORGAN CHASE & 3 40% - 03 400% JUN 24 2015	12/2/2013	4/2/2014	10,312 96	10,323 69	10.73
JPMORGAN CHASE & 3 40% - 03 400% JUN 24 2015	1/24/2014	4/2/2014	20,635 68	20,647 41	11 73
JPMORGAN CHASE & CO	7/13/2011	5/29/2014	2,895 63	4,001 67	1,106 04
KELLOGG CO 1 12%MAY15 15 GLB 01 125% MAY 15 2015	9/30/2013	4/16/2014	20,130 06	20,122 80	(7.26)
KELLOGG CO 1 12%MAY15 15 GLB 01 125% MAY 15 2015	9/30/2013	10/21/2014	35,119 60	35,114 10	(5 50)
KELLOGG CO 1 12%MAY15 15 GLB 01 125% MAY 15 2015	1/24/2014	1/22/2015	20,030 84	20,038 81	7 97
KELLOGG CO 1 12%MAY15 15 GLB 01 125% MAY 15 2015	9/30/2013	1/22/2015	45,082 64	45,087 29	4 65
KIMBERLY CLARK	7/13/2011	5/29/2014	2,743 94	4,556 23	1,812 29
KIMBERLY CLARK	7/13/2011	9/11/2014	4,885 55	7,797 46	2,911 91
KIMBERLY CLARK	7/13/2011	9/12/2014	8,031 05	12,704 83	4,673 78
KIMBERLY CLARK	7/13/2011	9/15/2014	8,031 05	12,717 26	4,686 21
KIMBERLY CLARK	1/24/2014	9/16/2014	1,617 90	1,601 42	(16.48)
KIMBERLY CLARK	5/2/2013	9/16/2014	2,518 08	2,562 26	44 18
KIMBERLY CLARK	7/13/2011	9/16/2014	1,271 58	2,028 45	756 87
KIMBERLY CLARK	7/24/2013	9/16/2014	6,064 22	6,619 17	554 95
KIMBERLY CLARK	1/24/2014	9/17/2014	9,815 26	9,721 04	(94 22)
KIMBERLY CLARK	5/8/2014	9/17/2014	3,097 08	2,991 10	(105 98)
KIMBERLY CLARK	5/8/2014	9/18/2014	2,433 42	2,350 61	(82 81)
KINDER MORGAN INC DEL	2/5/2013	5/2/2014	16,509 45	14,456 35	(2,053 10)
KINDER MORGAN INC DEL	2/6/2013	5/2/2014	485 63	425 19	(60 44)
KINDER MORGAN INC DEL	1/24/2014	5/5/2014	4,907 27	4,523 98	(383 29)
KINDER MORGAN INC DEL	2/6/2013	5/5/2014	8,965 49	7,867 76	(1,097 73)
KINDER MORGAN INC DEL	5/2/2013	5/5/2014	1,355 90	1,147 38	(208 52)
KINDER MORGAN INC DEL	7/24/2013	5/5/2014	4,601 25	3,901 10	(700 15)
KINDER MORGAN INC DEL	1/24/2014	5/6/2014	2,915 91	2,688 36	(227 55)
KRAFT FOODS GROUP INC SHS	2/13/2015	3/25/2015	1,739 34	2,232 44	493 10
LOCKHEED MARTIN CORP	1/31/2014	5/29/2014	1,360 11	1,457 88	97 77
MARATHON PETROLEUM CORP	7/13/2011	5/29/2014	694 44	1,494 27	799 83
MCDONALDS CORP COM	7/13/2011	5/29/2014	4,624 34	5,460 36	836 02
MCDONALDS CORP COM	7/13/2011	1/15/2015	21,066 46	22,444 27	1,377 81
MEADWESTVACO CORP	7/13/2011	5/29/2014	1,614 89	2,177 24	562 35
MEADWESTVACO CORP	7/13/2011	9/23/2014	1,465 37	2,041 64	576 27
MEADWESTVACO CORP	7/13/2011	9/25/2014	2,452 25	3,369 25	917 00
MEADWESTVACO CORP	7/13/2011	9/29/2014	2,631 68	3,606 05	974 37
MEADWESTVACO CORP	7/13/2011	9/30/2014	2,661 58	3,661 70	1,000 12
MEADWESTVACO CORP	1/24/2014	10/8/2014	10,874 08	12,386 77	1,512 69
MEADWESTVACO CORP	5/2/2013	10/8/2014	2,446 52	2,933 70	487 18
MEADWESTVACO CORP	7/13/2011	10/8/2014	15,969 50	21,758 31	5,788 81
MEADWESTVACO CORP	7/24/2013	10/8/2014	7,008 74	7,904 70	895 96
MEADWESTVACO CORP	8/30/2013	10/8/2014	1,360 40	1,548 34	187 94
MERCK AND CO INC SHS	7/13/2011	5/29/2014	4,476 84	7,013 31	2,536 47
METLIFE INC COM	7/9/2013	5/29/2014	877 05	915 28	38 23
METLIFE INC COM	7/9/2013	2/18/2015	13,399 43	14,057 44	658 01
METLIFE INC 6 75%JUN01 1 - C6 750% JUN 01 2016	9/30/2013	4/17/2014	22,320 72	22,436 40	115 68
METLIFE INC 6 75%JUN01 1 - C6 750% JUN 01 2016	9/30/2013	10/21/2014	87,086 46	87,535 20	448 74
MICROSOFT CORP	1/10/2011	5/29/2014	1,326 63	1,882 78	556 15
MONDELEZ INTERNATIONAL INC	4/22/2013	5/29/2014	1,195 50	1,420 79	225 29
MORGAN STANLEY	1/21/2014	5/29/2014	1,791 99	1,711 01	(80 98)
MORGAN STANLEY 1 75% 201 GLB 01 750% FEB 25 2016	2/22/2013	4/17/2014	25,040 13	25,370 00	329 87
MORGAN STANLEY 1 75% 201 GLB 01 750% FEB 25 2016	2/22/2013	10/21/2014	75,087 83	75,882 74	794 91
MORGAN STANLEY 1 75% 201 GLB 01 750% FEB 25 2016	9/30/2013	10/21/2014	15,041 24	15,176 56	135 32
MORGAN STANLEY 1 75% 201 GLB 01 750% FEB 25 2016	9/30/2013	2/3/2015	20,043 43	20,139 20	95 77
MYLAN INC 1 35%NOV29 16 GLB 01 350% NOV 29 2016	11/21/2013	4/16/2014	25,060 00	25,097 75	37 75
MYLAN INC 1 35%NOV29 16 GLB 01 350% NOV 29 2016	11/21/2013	10/21/2014	70,135 80	70,392 00	256 20
NEXTERA ENERGY INC SHS	7/13/2011	5/29/2014	3,215 30	5,384 28	2,168 98
NEXTERA ENERGY INC SHS	7/13/2011	10/8/2014	9,473 64	15,671 25	6,197 61
NORTHEAST UTILITIES COM	7/13/2011	5/29/2014	1,984 46	2,515 46	531 00
NORTHROP GRUMMAN CORP	7/13/2011	5/29/2014	1,005 68	1,793 81	788 13

Description	Date Purchased	Date Sold	Cost	Sales price	Gain/Loss (\$)
OCCIDENTAL PETE CORP CAL	7/13/2011	5/29/2014	1,454 46	1,386 53	(67 93)
ORACLE CORP 2 25%OCT08 1 GLB 02 250% OCT 08 2019	10/23/2014	2/3/2015	15,127 61	15,351 15	223 54
PFIZER INC	7/13/2011	5/29/2014	1,732 65	2,548 98	816 33
PFIZER INC 5 35% DUE 3/15/2015	7/8/2011	4/16/2014	20,667 75	20,856 60	188 85
PFIZER INC 5 35% DUE 3/15/2015	7/8/2011	10/21/2014	45,658 15	45,769 50	111 35
PFIZER INC 5 35% DUE 3/15/2015	7/8/2011	1/22/2015	20,099 57	20,131 59	32 02
PFIZER INC 5 35% DUE 3/15/2015	8/30/2013	1/22/2015	5,031 34	5,032 91	1 57
PFIZER INC 5 35% DUE 3/15/2015	10/4/2011	1/22/2015	5,026 96	5,032 90	5 94
PFIZER INC 5 35% DUE 3/15/2015	12/16/2011	1/22/2015	5,028 72	5,032 90	4 18
PHILIP MORRIS INT 2 50% GLB 02 500% MAY 16 2016	5/5/2014	10/21/2014	51,493 72	51,484 00	(9 72)
PHILIP MORRIS INTL INC	7/13/2011	5/29/2014	1,138 42	1,490 53	352 11
PHILIP MORRIS INTL INC	7/13/2011	8/22/2014	12,053 88	15,177 51	3,123 63
PHILLIPS 66 SHS	7/13/2011	5/29/2014	550 00	1,334 37	784 37
PRAXAIR INC	7/13/2011	5/29/2014	1,834 33	2,219 47	385 14
PROCTER & GAMBLE CO	7/13/2011	5/29/2014	1,814 96	2,245 83	430 87
PRUDENTIAL FINANCIAL INC	7/13/2011	5/29/2014	1,941 67	2,530 78	589 11
PRUDENTIAL FINANCIAL INC	7/13/2011	8/28/2014	11,900 55	16,966 86	5,066 31
PRUDENTIAL FINANCIAL INC	7/13/2011	2/18/2015	6,451 35	8,270 29	1,818.94
PRUDENTIAL FINANCIAL INC	8/29/2012	2/18/2015	3,544 96	5,219 12	1,674.16
PRUDENTIAL FINANCIAL INC SER MTN 03 875% JAN 14 2015	9/30/2013	4/17/2014	20,465 68	20,471 60	5.92
PRUDENTIAL FINANCIAL INC SER MTN 03 875% JAN 14 2015	9/30/2013	10/22/2014	70,481 33	70,508 90	27 57
PRUDENTIAL FINANCIAL INC SER MTN 03 875% JAN 14 2015	9/30/2013	1/14/2015	25,000 00	25,000 00	-
PRUDENTIAL FINANCIAL INC SER MTN 03 875% JAN 14 2015	1/27/2014	1/14/2015	20,000 00	20,000 00	-
QUALCOMM INC	2/13/2014	5/29/2014	1,222 86	1,283 81	60 95
RAYTHEON CO DELAWARE NEW	7/13/2011	5/29/2014	1,993 97	4,062 57	2,068.60
ROYAL BANK OF CANADA GLB 01 200% JAN 23 2017	4/2/2014	10/21/2014	140,023 71	140,737 80	714.09
SCHLUMBERGER LTD	7/13/2011	5/29/2014	1,766 32	2,052 15	285.83
SHELL INTL FIN 3 10% 201	9/30/2013	4/16/2014	20,617 13	20,640 20	23.07
SHELL INTL FIN 3 10% 201	1/24/2014	10/6/2014	20,381 71	20,404 21	22 50
SHELL INTL FIN 3 10% 201	9/30/2013	10/6/2014	56,032 87	56,111 54	78.67
STATOIL ASA 1 80%NOV23 1 COMPANY GUARNT GLB 01 800% NOV 23 2016	9/30/2013	4/16/2014	10,222 24	10,254 10	31 86
STATOIL ASA 1 80%NOV23 1 COMPANY GUARNT GLB 01 800% NOV 23 2016	9/30/2013	10/21/2014	45,806 46	45,980 10	173 64
SUNTRUST BKS INC COM	2/20/2013	5/29/2014	1,499 39	2,049 79	550.40
TORONTO DOMINION BANK	7/27/2011	1/15/2015	18,379 94	19,043 28	663.34
TORONTO DOMINION BANK	5/2/2013	1/16/2015	3,190 55	3,238 25	47.70
TORONTO DOMINION BANK	7/24/2013	1/16/2015	6,501 80	6,310 46	(191.34)
TORONTO DOMINION BANK	7/27/2011	1/16/2015	2,241 46	2,283 38	41.92
TORONTO DOMINION BANK	8/30/2012	1/16/2015	8,537 63	8,718 38	180.75
TORONTO DOMINION BANK	1/24/2014	1/20/2015	11,826 83	11,180 69	(646 14)
TORONTO DOMINION BANK	7/24/2013	1/20/2015	85 55	83 43	(2 12)
TOTAL S A SP ADR	7/13/2011	5/29/2014	1,931 87	2,437 00	505 13
TOTAL S A SP ADR	7/13/2011	3/12/2015	2,263 04	1,987 15	(275 89)
TOTAL S A SP ADR	7/13/2011	3/25/2015	2,704 61	2,494 79	(209 82)
TOYOTA MTR CREDIT 1 25% SER MTN GLB 01 250% OCT 05 2017	9/22/2014	10/21/2014	64,742 60	65,176 15	433 55
TRAVELERS COS INC	7/13/2011	5/29/2014	3,116 91	4,979 78	1,862 87
TRAVELERS COS INC	7/13/2011	10/2/2014	8,350 98	13,238 16	4,887 18
TRAVELERS COS INC	7/13/2011	10/3/2014	20,054 11	32,060 76	12,006 65
UNILEVER NV NY REG SHS	7/13/2011	5/29/2014	1,035 65	1,389 09	353 44
UNILEVER NV NY REG SHS	7/13/2011	11/11/2014	3,074 60	3,707 16	632 56
UNILEVER NV NY REG SHS	5/2/2013	11/12/2014	6,605 43	6,063 39	(542 04)
UNILEVER NV NY REG SHS	7/13/2011	11/12/2014	1,974 22	2,370 94	396 72
UNILEVER NV NY REG SHS	7/24/2013	11/12/2014	1,553 36	1,476 99	(76 37)
UNILEVER NV NY REG SHS	7/24/2013	11/13/2014	2,289 17	2,190 66	(98 51)
UNION PACIFIC CORP	1/15/2014	5/29/2014	1,192 66	1,381 91	189 25
UNION PACIFIC CORP	1/15/2014	1/15/2015	11,074 75	14,517 00	3,442 25
UNITED PARCEL SVC CL B	3/18/2013	5/29/2014	1,023 98	1,244 01	220 03
UNITED TECHS CORP COM	7/13/2011	5/29/2014	2,399 92	3,138 95	739 03
UNITED TECHS CORP COM	7/13/2011	10/8/2014	5,155 39	5,923 50	768 11
UNITED TECHS CORP COM	7/13/2011	10/9/2014	33,510 02	38,042 61	4,532 59
US BANCORP (NEW)	7/13/2011	5/29/2014	458 39	754 90	296 51
US BANCORP 3 44%FEB01 16 JR SUBORDINATED 03 442% FEB 01 2016	10/24/2013	4/17/2014	20,737 63	20,890 00	152 37
US BANCORP 3 44%FEB01 16 JR SUBORDINATED 03 442% FEB 01 2016	10/24/2013	10/21/2014	41,060 14	41,280 00	219 86
US TSY 0 250% JUL 31 20	9/27/2013	3/31/2014	249,776 23	250,233 53	457 30
US TSY 0 250% JUL 31 20	1/24/2014	3/31/2014	30,004 22	30,028 03	23 81
US TSY 0 375% APR 15 20 0 375% APR 15 2015	4/16/2014	5/21/2014	300,709 53	300,795 87	86 34
US TSY 0 375% APR 30 20 0 375% APR 30 2016	5/21/2014	10/21/2014	215,093 32	215,453 15	359 83
US TSY 0 375% APR 30 20 0 375% APR 30 2016	5/21/2014	2/3/2015	20,007 02	20,025 75	18 73
US TSY 0 375% MAR 31 20 0 375% MAR 31 2016 00 375% MAR 31 2016	3/31/2014	4/16/2014	104,889 61	105,003 75	114 14
US TSY 0 375% MAR 31 20 0 375% MAR 31 2016 00 375% MAR 31 2016	3/31/2014	5/29/2014	99,894 87	100,148 10	253 23
US TSY 0 375% MAR 31 20 0 375% MAR 31 2016 00 375% MAR 31 2016	3/31/2014	10/21/2014	374,605 75	375,819 68	1,213 93
US TSY 0 375% MAR 31 20 0 375% MAR 31 2016 00 375% MAR 31 2016	3/31/2014	10/28/2014	49,947 43	50,099 53	152 10
US TSY 0 375% MAR 31 20 0 375% MAR 31 2016 00 375% MAR 31 2016	3/31/2014	11/26/2014	304,679 34	305,511 79	832 45
US TSY 0 375% MAR 31 20 0 375% MAR 31 2016 00 375% MAR 31 2016	1/22/2015	2/3/2015	25,040 09	25,037 07	(3 02)
US TSY 0 375% NOV 15 20 0 375% NOV 15 2015	10/23/2014	2/3/2015	230,409 63	230,394 93	(14 70)
US TSY 0 375% OCT 31 20 0 375% OCT 31 2016	11/26/2014	2/3/2015	14,969 56	14,987 08	17 52
US TSY 0 500% JUN 30 20 0 500% JUN 30 2016	6/27/2014	10/21/2014	225,134 03	225,869 74	735 71
US TSY 0 500% JUN 30 20 0 500% JUN 30 2016	6/27/2014	2/3/2015	35,017 31	35,092 91	75 60
US TSY 0 500% OCT 15 20	9/27/2013	3/31/2014	435,858 07	436,001 08	143 01

Description	Date Purchased	Date Sold	Cost	Sales price	Gain/Loss (\$)
US TSY 0.500% OCT 15 20	1/24/2014	3/31/2014	90,177 35	90,207 12	29 77
US TSY 0.500% SEP 30 20 0.500% SEP 30 2016	10/6/2014	10/21/2014	449,614 03	451,282 45	1,668 42
US TSY 0.500% SEP 30 20 0.500% SEP 30 2016	10/6/2014	2/3/2015	79,931 38	80,134 24	202 86
US TSY 0.625% FEB 15 20 0.625% FEB 15 2017	3/6/2014	4/16/2014	393,998 40	393,301 42	(696 98)
US TSY 0.625% FEB 15 20 0.625% FEB 15 2017	3/6/2014	10/21/2014	139,645 00	140,415 39	770 39
US TSY 0.625% FEB 15 20 0.625% FEB 15 2017	3/6/2014	2/3/2015	14,961 96	15,032 20	70 24
US TSY 1.000% AUG 31 20 1.000% AUG 31 2016 01.000% AUG 31 2016	9/27/2013	4/16/2014	35,291 53	35,345 78	54 25
US TSY 1.000% AUG 31 20 1.000% AUG 31 2016 01.000% AUG 31 2016	9/27/2013	10/21/2014	186,212 49	187,247 15	1,034.66
US TSY 1.000% AUG 31 20 1.000% AUG 31 2016 01.000% AUG 31 2016	9/27/2013	2/3/2015	25,138 30	25,248 00	109 70
US TSY 1.000% MAR 31 20 1.000% MAR 31 2017	10/23/2014	2/3/2015	211,708 61	211,960 19	251 58
US TSY 1.000% SEP 30 20 1.000% SEP 30 2016 01.000% SEP 30 2016	9/27/2013	7/16/2014	80,585 53	80,652 99	67 46
US TSY 1.250% AUG 31 20 1.250% AUG 31 2015 01.250% AUG 31 2015	10/23/2014	2/3/2015	100,641 86	100,648 27	6 41
US TSY 1.250% OCT 31 20	9/27/2013	5/29/2014	101,244 79	101,519 20	274 41
US TSY 1.250% OCT 31 20	1/24/2014	10/6/2014	141,384 90	141,629 45	244 55
US TSY 1.250% OCT 31 20	9/27/2013	10/6/2014	777,221 04	778,961 99	1,740.95
US TSY 1.250% OCT 31 20 1.250% OCT 31 2015 01.250% OCT 31 2015	9/27/2013	4/1/2014	101,394 49	101,550 45	155 96
US TSY 1.250% OCT 31 20 1.250% OCT 31 2015 01.250% OCT 31 2015	9/27/2013	4/3/2014	101,392 05	101,534 82	142 77
US TSY 1.625% DEC 31 20 1.625% DEC 31 2019 01.625% DEC 31 2019	1/22/2015	2/3/2015	15,191 75	15,256 03	64 28
US TSY 1.625% FEB 15 20 3.625% FEB 15 2044	10/23/2014	2/3/2015	22,322 21	25,278 87	2,956 66
USD BP CAP PLC 1.84% 201 1.846% MAY 05 2017	10/2/2013	4/16/2014	15,185 51	15,260 55	75 04
USD BP CAP PLC 1.84% 201 1.846% MAY 05 2017	10/2/2013	10/21/2014	45,465 53	45,792 45	326 92
USD ONTARIO PROVI 0.95% 0.950% MAY 26 2015	9/30/2013	4/16/2014	20,110 54	20,138 00	27 46
USD ONTARIO PROVI 0.95% 0.950% MAY 26 2015	9/30/2013	10/21/2014	90,267 94	90,387 90	119.96
USD ONTARIO PROVI 0.95% 0.950% MAY 26 2015	1/27/2014	1/22/2015	20,041 85	20,044 61	2 76
USD ONTARIO PROVI 0.95% 0.950% MAY 26 2015	9/30/2013	1/22/2015	45,075 22	45,100 34	25.12
USD RABOBANK UTRE 3.37% 3.375% JAN 19 2017	9/30/2013	4/17/2014	15,792 08	15,897 60	105.52
USD RABOBANK UTRE 3.37% 3.375% JAN 19 2017	9/30/2013	10/21/2014	52,163 06	52,618 00	454 94
USD SHELL INTL FI 1.90% 1.900% AUG 10 2018	10/6/2014	10/21/2014	40,389 44	40,731 60	342 16
V F CORPORATION	7/13/2011	8/19/2014	1,488 23	3,202 07	1,713 84
V F CORPORATION	7/13/2011	8/20/2014	5,019 13	10,900 23	5,881 10
V F CORPORATION	7/13/2011	8/21/2014	5,019 13	10,932 99	5,913 86
V F CORPORATION	7/13/2011	8/22/2014	5,048 31	11,028 29	5,979 98
V F CORPORATION	7/13/2011	8/25/2014	3,764 34	8,271 11	4,506 77
V F CORPORATION	7/13/2011	1/15/2015	4,377 14	10,924 97	6,547 83
V F CORPORATION	5/2/2013	1/16/2015	2,547 21	4,158 93	1,611 72
V F CORPORATION	7/13/2011	1/16/2015	2,713 83	6,668 62	3,954 79
V F CORPORATION	5/2/2013	1/20/2015	2,108 04	3,453 53	1,345 49
VENTAS REALTY LP/ 2.00% COMPANY GUARNT 02.000% FEB 15 2018	10/1/2013	10/21/2014	103,654 72	106,082 55	2,427 83
VERITIV CORP ISSUED	1/24/2014	7/10/2014	102 03	107 63	5 60
VERITIV CORP ISSUED	7/16/2013	7/10/2014	356 94	358 71	1 77
VERITIV CORP ISSUED	7/24/2013	7/10/2014	70 72	71 73	1 01
VERITIV CORP ISSUED	8/30/2013	7/10/2014	35 06	35 87	0 81
VERIZON COMMUN 2.50% 201 GLB 02.500% SEP 15 2016	10/10/2013	4/17/2014	25,665 34	25,902 50	237 16
VERIZON COMMUN 2.50% 201 GLB 02.500% SEP 15 2016	10/10/2013	10/21/2014	91,898 68	92,539 80	641 12
VERIZON COMMUN 2.50% 201 PART CALL PARNT ML#E73Y2 02.500% SEP 15 2016	10/10/2013	11/24/2014	16,516 48	16,000 00	(516 48)
VERIZON COMMUNICATNS COM	7/13/2011	3/17/2015	12,757 54	16,815 06	4,057 52
VODAFONE GROUP PL 1.50% GLB 01.500% FEB 19 2018	7/16/2014	10/21/2014	54,566 25	54,695 30	129 05
WALGREEN CO 1.00% MAR13 1	9/30/2013	4/16/2014	15,048 03	15,051 45	3 42
WALGREEN CO 1.00% MAR13 1	1/27/2014	5/5/2014	15,056 56	15,071 86	15 30
WALGREEN CO 1.00% MAR13 1	9/30/2013	5/5/2014	70,213 02	70,335 29	122 27
WAL-MART STORES 1.12% 20 GLB 01.125% APR 11 2018	10/23/2014	2/3/2015	24,824 25	24,990 50	166 25
WAL-MART STORES INC	7/13/2011	10/8/2014	10,634 06	15,392 69	4,758 63
WELLS FARGO & CO 2.62% 1 GLB 02.625% DEC 15 2016	4/2/2014	4/17/2014	20,859 31	20,862 00	2 69
WELLS FARGO & CO 2.62% 1 GLB 02.625% DEC 15 2016	4/2/2014	10/21/2014	93,138 77	93,205 80	67 03
WELLS FARGO & CO NEW DEL	7/13/2011	5/29/2014	977 11	1,761 86	784 75
WELLS FARGO BK NA 3.62% GLB 03.625% APR 15 2015	1/24/2014	4/2/2014	20,645 05	20,654 60	9 55
WELLS FARGO BK NA 3.62% GLB 03.625% APR 15 2015	9/30/2013	4/2/2014	77,244 24	77,454 75	210 51
WELLS FARGO BK NA 3.62% GLB 03.625% APR 15 2015	12/2/2013	4/2/2014	87,662 87	87,782 05	119 18
WEYERHAEUSER CO	7/13/2011	5/29/2014	827 22	1,159 55	332 33
WEYERHAEUSER CO	7/13/2011	9/16/2014	19,048 51	28,099 28	9,050 77
XL CAPITAL LTD 5.25% 201	9/30/2013	4/17/2014	10,171 75	10,180 30	8 55
XL CAPITAL LTD 5.25% 201	9/30/2013	9/15/2014	65,000 00	65,000 00	-
XL CAPITAL LTD 5.25% 201	1/27/2014	9/15/2014	10,000 00	10,000 00	-
LONG TERM CAPITAL GAIN - BLACKROCK STRATEGIC				1,749 06	1,749 06
SHORT TERM CAPITAL GAIN - BLACKROCK STRATEGIC				22,971 71	22,971 71
ADJUSTMENT				2,393 70	2,393 70
			13,091,404 35	13,405,366 68	313,962 33

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
				Purchase	Sale Price				
SEE STATEMENT 1				\$ 13,405,366	\$ 13,091,404	\$		\$	313,962
Total				\$ 13,405,366	\$ 13,091,404	\$	0	\$	313,962

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEE	\$ 47,700	\$ 23,850	\$	\$ 23,850
Total	\$ 47,700	\$ 23,850	0	\$ 23,850

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FUND MANAGEMENT FEE	\$ 82,329	\$ 82,329	\$	\$
MANAGEMENT FEE (SCHOLASHIP AMERI	3,640			3,640
Total	\$ 85,969	\$ 82,329	0	\$ 3,640

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL EXCISE TAX	\$ 7,000		\$	
NYS FILING FEE	750			750
FOREIGN TAX	1,988	1,988		
Total	\$ 9,738	\$ 1,988	\$ 0	\$ 750

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
ASSOCIATION FEE	1,820		\$	1,820
BANK SERVICE FEE	208			208
PROGRAM MATERIAL	1,356			1,356
Total	\$ 3,384	\$ 0	\$ 0	\$ 3,384

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US GOV'T SECURITIES	\$ 2,941,184	\$ 2,978,923		\$ 2,650,476
MORTGAGE BACKED SECURITIES	887,510	1,144,408		1,013,346
Total	\$ 3,828,694	\$ 4,123,331		\$ 3,663,822

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE STOCK	\$ 6,337,022	\$ 6,839,945		\$ 7,071,304
Total	\$ 6,337,022	\$ 6,839,945		\$ 7,071,304

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE BONDS	\$ 6,204,285	\$ 3,265,890		\$ 2,899,674
Total	\$ 6,204,285	\$ 3,265,890		\$ 2,899,674

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MUTUAL FUNDS	\$	\$ 1,961,426		\$ 1,730,012
Total	\$ 0	\$ 1,961,426		\$ 1,730,012

Federal Statements

Statement 10 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
INTERCONTINENTAL TERMINALS CO, LLC 1943 BATTLEGROUND	LA PORTE TX 77571	
MITSUI & CO. (U.S.A.), INC. 200 PARK AVENUE	NEW YORK NY 10166	
MITSUI PLASTICS, INC. 11 MARTINE AVENUE	WHITE PLAINS NY 10606	
UNITED GRAIN CORP 900 WASHINGTON STREET SUITE 700	VANCOUVER WA 98660	

Statement 11 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
GLENN CLARKE 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	4,509	0	0
YOSHIYUKI KAWASHIMA 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	5,803	0	0
YUJI MANO 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	0	0	0
MOTOMU TAKAHASHI 200 PARK AVENUE NEW YORK NY 10166	PRESIDENT, DI	1.00	0	0	0
TADASHI SANO 200 PARK AVENUE NEW YORK NY 10166	DIRECTOR	1.00	0	0	0
ANTHONY PENSABENE	TREASURER, DI	1.00	0	0	0
					10-11

Federal Statements

Statement 11 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc. (continued)

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
200 PARK AVENUE NEW YORK NY 10166					
ERIC B. CAMPBELL 200 PARK AVENUE NEW YORK NY 10166	VICE PRESIDE	1.00	0	0	0
JANET E. GARLAND 200 PARK AVENUE NEW YORK NY 10166	SECRETARY	1.00	0	0	0

THE MITSUI USA FOUNDATION
EIN 13-3415220
FORM 990-PF

Name	Address	Status	Purpose of Contribution	Amount (\$)
AIDS Service Foundation of Kansas City, AIDS Bicycle Cruise	PO Box 32192, Kansas City, MO 64171	PC	Employee Matching Gift	95
Akron-Canton Regional Foodbank	350 Opportunity Pkwy, Akron, OH 44307	PC	Direct Distribution Program	5,000
ALS Association (Amyotrophic Lateral Sclerosis), The, Ice Bucket Challenge	1275 K Street NW, Suite 250, Washington, DC 20005	PC	Employee Matching Gift	25
Alia Vista PTA	815 Knob Hill Ave, Redondo Beach, CA 90277	PC	Employee Matching Gift	100
Alzheimer's Disease and Related Disorders Association/Alzheimer's Assoc	225 N Michigan Ave, Fl 17, Chicago, IL 60601	PC	Employee Matching Gift	50
American Cancer Society Making Strides Against Breast Cancer	132 West 32 St, New York, NY 10001	PC	Matching Volunteers SCVA	2,350
American Littoral Society Northeast Chapter	28 West 9 Rd, Broad Channel, New York 11693	PC	Matching Volunteers SCVA	1,000
American Red Cross, Typhoon Appeal, Philippines	520 West 49 St, New York, NY 10019	PC	Employee Matching Gift	100
Assistance League of Los Angeles, Operation School Bell	1360 St Andrews Pl N, Los Angeles, CA 90028	PC	Employee Matching Gift	100
Autism Speaks Long Island	1 East 33 St, New York, NY 10016	PC	Employee Matching Gift	50
Banuch College Fund for Weissman Center for International Business	One Bernard Banuch Way, New York, NY 10010	PC	MBA Scholarships	37,500
Banuch College Fund for Weissman Center for International Business	One Bernard Banuch Way, New York, NY 10010	PC	Lunchtime Forums	12,000
Banuch College Fund for Weissman Center for International Business	One Bernard Banuch Way, New York, NY 10010	PC	PIA (Practitioners-in-Academia) Fellows	5,000
Berea College	101 Chestnut St, Berea, KY 40403	PC	Employee Matching Gift	500
Boston College	Chestnut Hill, MA 02467	PC	Employee Matching Gift	500
Boys & Girls Club of Greater Washington	4103 Benning Rd NE, Washington, DC 20019	PC	TAP (Teen Arts Performance) Camp 2014	5,000
Boys & Girls Club of Middle Tennessee	1704 Club Pkwy, Nashville, TN 37221	PC	Matching Volunteers SCVA	575
Boys & Girls Club of Middle Tennessee	1704 Club Pkwy, Nashville, TN 37221	PC	College Tour Program	7,000
Boys & Girls Clubs of the Peninsula	2031 Pulgas Ave, Palo Alto, CA 94303	PC	College Bound Program	5,000
Brookings Institute, The	1775 Massachusetts Ave, NW, Washington, DC 20036	PC	Employee Matching Gift	500
Brooklyn Bridge Conservancy	334 Furman St, New York, NY 11201	PC	Matching Volunteers Dollars for Doers	1,000
Bullis-Purissima Elementary School Foundation	102 West Portola Ave, Los Altos, CA 94022	PC	Employee Matching Gift	1,000
Charity Navigator	139 Harristown Rd, #101, Glen Rock, NJ 07452	PC	Employee Matching Gift	25
Citymeals-on-Wheels	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Buy-A-Block, 182 St, Washington Heights, NYC	15,000
Citymeals-on-Wheels	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Employee Matching Gift	195
Citymeals-on-Wheels	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Matching Volunteers SCVA	500
Citymeals-on-Wheels	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Food deliveries for homebound NYC seniors	18,396
Columbia University, Center on Japanese Economy & Business	3022 Broadway, Urns Hall, Rm 2M9, NY, NY 10027	PC	Symposium	10,000
Columbia University, Weatherhead East Asian Institute	420 West 118 St, #9, New York, NY 10027	PC	Research Fellows (Japan based)	11,000
Columbia University	116 St, New York, NY 10027	PC	Employee Matching Gift	100
Columbia University, Alumni Business Fund	33 West 60 St, 7 Fl, New York, NY 10023	PC	Employee Matching Gift	50
Columbia University, School of General Studies	2970 Broadway, New York, NY 10027	PC	Employee Matching Gift	135
Conference on Asian Pacific American Leadership	PO Box 65073, Washington, DC 20035	PC	Matching Volunteers Dollars for Doers	1,000
Conference on Asian Pacific American Leadership, Scholarship Program	PO Box 65073, Washington, DC 20036	PC	Employee Matching Gift	500
Council of Senior Centers & Services, Bill Payers Program	195 Montague St, Brooklyn, NY 11201	PC	Matching Volunteers Dollars for Doers	600
Cystic Fibrosis Foundation Greater Illinois Chapter	6931 Arlington Rd, 2 Fl, Bethesda, MD 20814	PC	Research, Medical, Education Programs	10,000
Cystic Fibrosis Foundation, "Megans Mob"	6931 Arlington Rd, 2 Fl, Bethesda, MD 20814	PC	Employee Matching Gift	75
Dartmouth College, Tuck School of Business	100 Tuck Mail, Hanover, NH 03755	PC	Intl Research Scholarship Fund (Japan based)	25,000
Earthjustice	156 William St, New York, NY 10038	PC	Employee Matching Gift	50
Education Through Music	122 East 42nd St, #1501, New York, NY 10168	PC	Employee Matching Gift	250
Encino Elementary E-Team	16951 Addison St, Encino, CA 91316	PC	Employee Matching Gift	800
Environmental Working Group	1436 U St NW, Suite 100, Washington, DC 20009	PC	Employee Matching Gift	25
Fenton History Center	67 Washington St, Jamestown, NY 14701	PC	Employee Matching Gift	100
Food Allergy Research & Education	515 Madison Ave, #1912, New York, NY 10022	PC	Employee Matching Gift	100
Food on Foot	1625 Schrader Blvd, Los Angeles, CA 90028	PC	Employee Matching Gift	100
Friends in General (Nashville General Hospital)	1818 Albino Street, Nashville TN 37208-2918	PC	Matching Volunteers SCVA	500
Friends of German School New York	50 Partridge Rd, White Plains, NY 10605	PC	Employee Matching Gift	100
Galveston Bay Foundation	17330 TX-3, Webster, TX 77598	PC	Preservation of Galveston Bay salt-marsh estuary	5,000

Name	Address	Status	Purpose of Contribution	Amount (\$)
Gleaners Community Food Bank	2131 Beaufait St, Detroit, MI 48207	PC	Matching Volunteers SCVA	500
Gleaners Community Food Bank	2131 Beaufait St, Detroit, MI 48207	PC	Employee Matching Gift	50
GMHC (Gay Mens Health Crisis), AIDS Walk	446 West 33 Street, New York, NY 10001-2601	PC	Employee Matching Gift	25
Good Shepherd Shelter	PO Box 19487, Los Angeles, CA 90019-6233	PC	Matching Volunteers SCVA	500
Greenwich Hospital Foundation, Hospice Tree of Light Fund	5 Perryridge Road, Greenwich, CT 06830	PC	Employee Matching Gift	50
Guidance Center, The	70 Grand St, New Rochelle, NY 10801	PC	Kids-TALK Program for abused children	5,000
Habitat for Humanity of Summit County	2301 Romig Rd, Akron, OH 44320	PC	Neighborhood Building Adopt-A-House	5,000
Hamilton-Madison House	50 Madison St, New York, NY 10038	PC	Japanese Mental Health Clinic	48 500
Hamilton-Madison House	50 Madison St, New York, NY 10038	PC	Seniors Hot Lunch Program	10,000
Han-Schneider International Children's Foundation	1101 Michelle Court Montebello, CA 90640	PC	Employee Matching Gift	655
Heal the Bay	1444 9th St, Santa Monica, CA 90401	PC	Matching Volunteers SCVA	550
HelpHopeLive, "Betsy Needs a New Kidney Fund"	2 Radnor Corporate Center, Wayne, PA	PC	Employee Matching Gift	50
Houston Food Bank	535 Portwall Street, Houston, Texas 77029	PC	Backpack Buddy Club	5,000
Hunter College High School Annual Fund	71 East 94 St, New York, NY 10128	PC	Employee Matching Gift	500
Hunter College High School PTA, Annual Fund	71 East 94 St, New York, NY 10128	PC	Employee Matching Gift	500
International Community School PTSA 2889	11133 NE 65 St, Kirkland, WA 98033	PC	Employee Matching Gift	200
International House, New York	500 Riverside Dr, New York, NY 10027	PC	Resident Scholarships	25,000
International Rescue Committee	263 West 38 St, New York, NY 10018	PC	Employee Matching Gift	50
Japan-America Society of Houston Foundation	4543 Post Oak Pl Dr, 220, Houston, TX 77027	PC	Matching Volunteers SCVA	500
Japan-America Society of Tennessee	PO Box 330003, Nashville, TN 37203	PC	Japanese Speech Contest, college-level statewide	4,500
Japan-America Society of Tennessee	PO Box 330003, Nashville, TN 37203	PC	SUTN Scholarships, incoming TN freshmen	20,000
Japan Day	1650 Broadway, Suite 1210, New York, NY 10019	PC	Matching Volunteers SCVA	500
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Scholarships	15,000
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Case Work Fellow Committee on Aging	3,000
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Senior Luncheons & Apple Kids Group	10,000
Japanese American National Museum	100 N Central Ave, Los Angeles, CA 90012	PC	Employee Matching Gift	75
Japanese American Social Services Inc (JASSI)	100 Gold Street, #A, New York, NY 10038	PC	Holline outreach & emergency assistance	15,000
Japanese Medical Society of America, New York	100 Park Avenue, New York, NY 10017	PC	Scholarships	25,000
JDRF (Juvenile Diabetes Research Foundation) International	26 Broadway, New York, NY 10004	PC	Employee Matching Gift	200
John Carroll University, East Asian Studies Program	1 John Carroll Blvd, University Heights, OH 44118	PC	Distinguished Lecture	4,000
John Carroll University, History Thesis Research Fund	1 John Carroll Blvd, University Heights, OH 44118	PC	Employee Matching Gift	100
John Carroll University, Study in Japan Scholarship Fund	1 John Carroll Blvd, University Heights, OH 44118	PC	Employee Matching Gift	100
Los Angeles Regional Food Bank	1734 East 41 St, Los Angeles, CA 90038	PC	Matching Volunteers SCVA	500
Make-A-Wish Foundation, in memory of Marsha Bender	640 North LaSalle Dr, Suite 280, Chicago, IL 60654	PC	Employee Matching Gift	150
March of Dimes Houston	3000 Wesleyan St, #100, Houston, TX 77027	PC	Employee Matching Gift	2,575
March of Dimes Metropolitan Detroit Division	31300 Metro Pkwy, Harrison Charter Township, MI 48045	PC	March for Babies, for moms & healthy babies	650
March of Dimes New York Division	515 Madison Ave, 20 Fl, New York, NY 10022	PC	March for Babies, for moms & healthy babies	3,125
March of Dimes New York Division	515 Madison Ave, 20 Fl, New York, NY 10022	PC	Employee Matching Gift	125
March of Dimes Northeast Ohio Division	3131 South Dixie Dr, Moraine, OH 45439	PC	March for Babies, for moms & healthy babies	425
March of Dimes Seattle WA	1904 3rd Ave, #230, Seattle, WA 98101	PC	March for Babies, for moms & healthy babies	200
March of Dimes Tennessee Chapter	1101 Kermit Dr, The Oaks #201, Nashville, TN 37217	PC	Employee Matching Gift	2,103
March of Dimes Tennessee Chapter	1101 Kermit Dr, The Oaks #201, Nashville, TN 37217	PC	March for Babies, for moms & healthy babies	3,025
Mercy Home for Children	273 Willoughby Avenue, Brooklyn, NY 11205	PC	Plant-Grow-Give, edible backyard gardens/produce donated	5,000
Mercy Home for Children	273 Willoughby Avenue, Brooklyn, NY 11205	PC	"Melodic Soul" disabled musicians' band	5,000
Mercy Home for Children	273 Willoughby Avenue, Brooklyn, NY 11205	PC	Creative Arts Center for Autistic children	48,000
Metropolitan Opera Guild	70 Lincoln Center Plaza, New York, NY 10023	PC	Employee Matching Gift	250
Midon & Friends Foundation	352 Seventh Ave, Suite #301, New York, NY 10001	PC	Making Music, PS 72 East Harlem, NYC	10,000
Midon & Friends Foundation	352 Seventh Ave, Suite #301, New York, NY 10001	PC	Making Music, YM/YWHA, WashHeights/Inwood, NYC	5,000
Montclair Art Museum	3 South Mountain Ave, Montclair, NJ 07042	PC	Employee Matching Gift	70
Motor City Blight Busters	18220 Lahser Rd, Detroit, MI 48219	PC	Farm City Detroit Project, intergenerational garden	5,000
Mt Pleasant Animal Shelter	194 New Jersey 10, East Hanover, NJ 07936	PC	Employee Matching Gift	100
National FFA (Future Farmer of America) Foundation	6060 FFA Dr, Indianapolis, IN 46278	PC	2014 Japan Study Tour	10,000

Name	Address	Status	Purpose of Contribution	Amount (\$)
Natural Resources Defense Council	40 West 20 St, New York, NY 10011	PC	Employee Matching Gift	50
New York Asian Women's Center	39 Bowery, New York, NY 10002	PC	Employee Matching Gift	105
New York Public Radio	160 Varck St, New York, NY 10013	PC	Employee Matching Gift	100
North Salem Open Land Foundation	PO Box 176, North Salem, NY 10560	PC	Employee Matching Gift	250
NY de Volunteer	601 West 110 St, New York, NY 10025	PC	Employee Matching Gift	500
NYU Polytechnic School of Engineering	6 MetroTech Roadway, Brooklyn, NY 11201	PC	STEM Learning MS 88, Brooklyn, NYC	26,500
Oberlin College	173 West Lorain St, Oberlin, OH 44074	PC	Employee Matching Gift	200
Our Kids Nashville	1804 Hayes St, Nashville, TN 37203	PC	Soup Sunday fund raiser for abused children	2,500
Our Kids Nashville	1804 Hayes St, Nashville, TN 37203	PC	Matching Volunteers SCVA	500
Pajama Program	114 East 39 St, New York, NY 10016	PC	Matching Volunteers SCVA	1,025
Pajama Program	114 East 39 St, New York, NY 10016	PC	Employee Matching Gift	90
Palos Verdes Peninsula Education Foundation	300 Paseo Del Mar, Palos Verdes Estates, CA 90274	PC	Employee Matching Gift	500
Pike Market Senior Center & Food Bank	85 Pike St, #200, Seattle, WA 98101	PC	Healthy Protein/Nutritional Security Program	5,000
Push America, The Ability Experience	PO Box 241368, Charlotte, NC 28224	PC	Employee Matching Gift	100
Rancho Starbuck School	16430 Woodbrier Dr, Whittier, CA 90604	PC	Employee Matching Gift	50
Research Foundation of CUNY College Opportunity to Prepare for Employment	230 West 41 St, #7, New York, NY 10036	PC	COPE Scholarships	25,560
Riley Children's Foundation, Indiana U Dance Marathon	30 South Meridian St, Suite 200, Indianapolis, IN 46204	PC	Employee Matching Gift	25
St Thomas Health Foundation	4220 Harding Pike, Nashville, TN 37205	PC	Employee Matching Gift	50
Scholarship America	c/o 1st National Bank MN, POB 240, St Peter, MN 56082	PC	Sons' & Daughters' Scholarships	55,000
Second Harvest Food Bank of Middle Tennessee	331 Great Circle Rd, Nashville, TN 37228	PC	Backpack Program	3,000
Sharefest Community Development	3480 Torrance Blvd, #110, Torrance, CA 90503	PC	Summer 2014 Youth Development Academy	10,000
Sharefest Community Development	3480 Torrance Blvd, #110, Torrance, CA 90503	PC	Matching Volunteers SCVA	500
Sierra Club Foundation, The	85 Second St, Suite 750, San Francisco, CA 94105	PC	Employee Matching Gift	50
Southern California Public Radio	474 South Raymond Ave, Pasadena, CA 91105	PC	Employee Matching Gift	66
Special Olympics Michigan	1120 South Mission Rd, Mount Pleasant, MI 48858	PC	Matching Volunteers SCVA	1,000
Special Olympics New York	819 Grand Blvd, #3, Deer Park, NY 11729	PC	Sponsor an Athlete Program	4,000
Special Olympics New York	819 Grand Blvd, #3, Deer Park, NY 11729	PC	Healthy Athletes Program	21,000
Special Olympics New York	819 Grand Blvd, #3, Deer Park, NY 11729	PC	Matching Volunteers SCVA	500
Special Olympics Ohio	3303 Winchester Pike, Columbus, OH 43232	PC	Matching Volunteers SCVA	500
St Joseph's Indian School	1301 North Main St, Chamberlain, SD 57325	PC	Employee Matching Gift	250
St Jude Children's Research Hospital	14 Pennsylvania Plaza, #1615, New York, NY 10122	PC	Employee Matching Gift	350
Stella Scholar PTO	13505 N E 75 St, Redmond, WA 98052	PC	Employee Matching Gift	200
Susan G Komen Colorado	1450 Crystal Lake Rd, Aspen, CO 81611	PC	Employee Matching Gift	50
Texas Scottish Rite Hospital for Children	2222 Welborn Street, Dallas, TX 75219	PC	Employee Matching Gift	125
Thirteen WNET	825 8 Ave, New York, NY 10019	PC	Employee Matching Gift	100
United States Fund for UNICEF	125 Maiden Lane, 11 Fl, New York, NY 10038	PC	Employee Matching Gift	25
University of Delaware	189 West Main St, Newark, DE 19716	PC	Employee Matching Gift	100
USC School of Social Work, Center for Asian Pacific Leadership -NetKAL	925 West 34 St, Los Angeles, CA 90089	PC	Employee Matching Gift	500
USC Davis School of Gerontology	3715 McClintock Ave, Los Angeles, CA 90089	PC	Employee Matching Gift	50
Washington Center, The	1333 16 St, NW Washington, DC 20036	PC	Scholarships International Affairs Interns	5,000
WestSide Baby	10002 14 Ave Southwest, Seattle, WA 98146	PC	Diaper Bank Program	5,000
WKU Foundation (Western Kentucky University)	1906 College Heights Blvd, Bowling Green, KY 42101	PC	Employee Matching Gift	200
YAI (Young Adult Institute), CentralPark Challenge/Roosevelt/Ramblers	460 West 34 St, New York, NY 10001	PC	Employee Matching Gift	60
YFU (Youth for Understanding) USA	641 S St NW, Suite 200, Washington, DC 20001	PC	Summer 2014 in Japan Scholars	39,720
Yu-Ai Kai Japanese American Community Senior Service of San Jose	588 North 4 St, San Jose, CA 95112	PC	Transportation Powered by Program	2,500
Yu-Ai Kai Japanese American Community Senior Service of San Jose	588 North 4 St, San Jose, CA 95112	PC	Nutrition No Hungry Senior Program	1,250
Yu-Ai Kai Japanese American Community Senior Service of San Jose	588 North 4 St, San Jose, CA 95112	PC	Caregivers Support Group Program	1,250
				700,000