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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

03/01, 2014, and ending

02/28, 2015

Name of foundation DOTY FAMILY FOUNDATION		A Employer identification number 13-2921496
Number and street (or P O box number if mail is not delivered to street address) C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR		B Telephone number (see instructions) (212) 440-0800
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10005		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,764,074. J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income NONE	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		NONE			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		485.	485.		ATCH 1
4 Dividends and interest from securities		226,962.	226,962.		ATCH 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		569,887.			
b Gross sales price for all assets on line 6a 1,060,301.					
7 Capital gain net income (from Part IV, line 2)			569,887.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		797,334.	797,334.	0	
13 Compensation of officers, directors, trustees, etc.		18,000.	13,500.		4,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) ATCH. 3		9,000.	4,500.		4,500.
c Other professional fees (attach schedule) [4]		39,137.	39,137.		
17 Interest					
18 Taxes (attach schedule) (see instructions) [5]		6,273.			
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH. 6		4,945.	724.		4,221.
24 Total operating and administrative expenses. Add lines 13 through 23.		77,355.	57,861.		13,221.
25 Contributions, gifts, grants paid		1,007,891.			1,007,891.
26 Total expenses and disbursements. Add lines 24 and 25		1,085,246.	57,861.	0	1,021,112.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-287,912.			
b Net investment income (if negative, enter -0-)			739,473.		
c Adjusted net income (if negative, enter -0-)				0	

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P 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	NONE	NONE	NONE
	2	Savings and temporary cash investments	81,102.	149,439.	149,439.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	3,405,253.	2,958,395.	4,658,023.
	c	Investments - corporate bonds (attach schedule) ATCH 8	795,657.	748,604.	806,501.
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶ ATCH 9)	2,060,378.	2,198,038.	2,150,111.
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	6,342,390.	6,054,476.	7,764,074.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/> X			
27	Capital stock, trust principal, or current funds	6,342,390.	6,054,476.		
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	6,342,390.	6,054,476.		
31	Total liabilities and net assets/fund balances (see instructions)	6,342,390.	6,054,476.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,342,390.
2	Enter amount from Part I, line 27a	2	-287,912.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	6,054,478.
5	Decreases not included in line 2 (itemize) ▶ ATCH 10	5	2.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,054,476.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	569,887.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	2,035,056.	8,247,816.	0.246739
2012	786,524.	7,474,000.	0.105235
2011	559,203.	5,889,119.	0.094955
2010	392,650.	5,981,428.	0.065645
2009	631,440.	5,932,663.	0.106434
2 Total of line 1, column (d)			2 0.619008
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.123802
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 7,755,106.
5 Multiply line 4 by line 3			5 960,098.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,395.
7 Add lines 5 and 6			7 967,493.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 1,021,112.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	7,395.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	7,395.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,395.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	4,100.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,100.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,295.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of BCRS ASSOCIATES, LLC Telephone no 212-440-0800 Located at 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP+4 10005			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country X	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <input type="checkbox"/>	1c	N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years X		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here X		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <input type="checkbox"/>	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? <input type="checkbox"/>	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		18,000.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.	NONE
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions	
3 N/A	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,059,184.
b	Average of monthly cash balances	1b	814,020.
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c)	1d	7,873,204.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,873,204.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	118,098.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,755,106.
6	Minimum investment return. Enter 5% of line 5	6	387,755.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	387,755.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	7,395.
b	Income tax for 2014 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,395.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	380,360.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	380,360.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	380,360.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,021,112.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,021,112.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	7,395.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,013,717.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				380,360.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2014:				
a From 2009 335,485.				
b From 2010 95,384.				
c From 2011 268,457.				
d From 2012 416,458.				
e From 2013 1,630,819.				
f Total of lines 3a through e	2,746,603.			
4 Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 1,021,112.				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				380,360.
e Remaining amount distributed out of corpus	640,752.			
5 Excess distributions carryover applied to 2014 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,387,355.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	335,485.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	3,051,870.			
10 Analysis of line 9				
a Excess from 2010 95,384.				
b Excess from 2011 268,457.				
c Excess from 2012 416,458.				
d Excess from 2013 1,630,819.				
e Excess from 2014 640,752.				

Form 990-PF (2014)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year SEE LIST ATTACHED					1,007,891.
Total ▶ 3a					1,007,891.
b Approved for future payment					
Total ▶ 3b					

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	485.	
4	Dividends and interest from securities			14	226,962.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	569,887.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				797,334.	
13	Total. Add line 12, columns (b), (d), and (e)				797,334.	797,334.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p>		Yes	No
<p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p>			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
<p>b Other transactions:</p>			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
<p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p>			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

Paid Preparer Use Only	Print/Type preparer's name WILLIAM RABETZ	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN P00963994
	Firm's name ▶ BCRS ASSOCIATES, LLC			Firm's EIN ▶ 13-4078147	
	Firm's address ▶ 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005			Phone no 212-440-0800	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					29,106.	
1,031,195.		SEE ATTACHED STATEMENT PROPERTY TYPE: SECURITIES 490,414.				P	VAR 540,781.	VAR
TOTAL GAIN (LOSS)							<u>569,887.</u>	

DOTY FAMILY FOUNDATION

13-2921496

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS,
OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3)
OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANI-
ZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES
NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO
INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICA-
TIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	475.	475.	
INTEREST ON CHECKING	10.	10.	
TOTAL	<u>485.</u>	<u>485.</u>	

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU MERRILL LYNCH	118,696.	118,696.	
INTEREST ON BONDS THRU MERRILL LYNCH	40,009.	40,009.	
DIVIDENDS - THORNBURG INT'L FD CL A	2,991.	2,991.	
DIVIDENDS - TEMPLETON GLOBAL BOND FD	63,137.	63,137.	
STCG DIVIDENDS	2,129.	2,129.	
TOTAL	<u>226,962.</u>	<u>226,962.</u>	

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	9,000.	4,500.		4,500.
TOTALS	<u>9,000.</u>	<u>4,500.</u>		<u>4,500.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	38,987.	38,987.		
ANNUAL FEE-MERRILL LYNCH	150.	150.		
TOTALS	<u>39,137.</u>	<u>39,137.</u>		

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL TAX PAID:				
- 1ST QTR EST FYE 2/28/2015	4,100.			
-BAL DUE FYE 2/28/2014	2,173.			
TOTALS	<u>6,273.</u>			

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CHARITABLE EXPENSE	2,502.			2,502.
PUBLICATION NOTICE FEE	140.			140.
FOREIGN TAX PAID	724.	724.		0
BANK CHARGES	493.			493.
FADICA ANNUAL MEMBERSHIP DUES	735.			735.
OFFICE EXPENSE	101.			101.
NY FILING FEE	250.			250.
TOTALS	4,945.	724.		4,221.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	3,405,253.	2,958,395.	4,658,023.
TOTALS	<u>3,405,253.</u>	<u>2,958,395.</u>	<u>4,658,023.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	795,657.	748,604.	806,501.
TOTALS	<u>795,657.</u>	<u>748,604.</u>	<u>806,501.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ML BANK DEPOSIT PROGRAM	734,413.	839,964.	839,964.
THORNBURG INT'L VALUE FD CL A	315,126.	347,235.	364,700.
TEMPLETON GLOBAL BOND FD CL A	1,010,839.	1,010,839.	945,447.
TOTALS	<u>2,060,378.</u>	<u>2,198,038.</u>	<u>2,150,111.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
ROUNDING	2.
TOTAL	<u>2.</u>

DOTY FAMILY FOUNDATION

13-2921496

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
BARBARA E. DOTY C/O BCBS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TREASURER / PART-TIME	0	0	0
CHRISTOPHER S. DOTY C/O BCBS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
WILLIAM W. DOTY C/O BCBS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
ANNE MARIE PAINE C/O BCBS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	CHAIR & SECRETARY / PART-TIME	18,000.	0	0
VIRGINIA M. DOTY C/O BCBS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
GRAND TOTALS		18,000.	0	0

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2014

Name of estate or trust

DOTY FAMILY FOUNDATION

Employer identification number

13-2921496

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked.				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked.				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked.				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back				7

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked.	1,031,195.	490,414.		540,781.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked.				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked.				
LONG-TERM CAPITAL GAIN DIVIDENDS				29,106.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back				16 569,887.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2014

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		
18 Net long-term gain or (loss):			
a Total for year	18a		569,887.
b Unrecaptured section 1250 gain (see line 18 of the wrkst.).	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		569,887.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	20	()
a The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34),	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T).	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-. ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-.	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-.	27		
28 Enter the smaller of the amount on line 21 or \$2,500	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26	32		
33 Enter the smaller of line 21 or \$12,150	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-.	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15% ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-.	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Schedule D (Form 1041) 2014

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

DOTY FAMILY FOUNDATION

Social security number or taxpayer identification number

13-2921496

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	SEE ATTACHED STATEMENT	VAR	VAR	1,031,195.	490,414.			540,781.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				1,031,195.	490,414.			540,781.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2015
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
3/4/2014	American Fund for the Southbank Centre	New York	NY	600.00
3/5/2014	Greenwich Council BSA	Greenwich	CT	750.00
3/5/2014	Annual Bishop's Appeal	Bridgeport	CT	375.00
3/7/2014	Annual Catholic Appeal	Brooklyn	NY	1,500.00
3/10/2014	The Board of Trustees of Amherst College	Amherst	MA	7,500.00
3/10/2014	St. Lawrence Church	Shelton	CT	600.00
3/11/2014	American Heart Association	New York	NY	750.00
3/12/2014	Catholic Near East Welfare Association	New York	NY	30,000.00
3/14/2014	The Cardinal's Annual Stewardship Appeal	New York	NY	7,500.00
3/14/2014	Church of the Resurrection	Rye	NY	250,000.00
3/17/2014	Greenwich Council BSA	Greenwich	CT	375.00
3/19/2014	St. Patrick's Cathedral Landmark Fdn	New York	NY	18,750.00
3/20/2014	St. Baldrick's Foundation	Monrovia	CA	825.00
3/20/2014	Trustees of the University of PA	Philadelphia	PA	750.00
3/25/2014	College of Mount Saint Vincent	Riverdale	NY	750.00
3/25/2014	College of Mount Saint Vincent	Riverdale	NY	750.00
4/4/2014	St. Joseph's Church	West Orange	NJ	750.00
4/4/2014	Boston Bruins Foundations	Boston	MA	1,777.50
4/7/2014	St. Lawrence Church	Shelton	CT	600.00
4/10/2014	iMentor Inc	New York	NY	375.00
4/14/2014	Central Park Conservancy	New York	NY	3,750.00
4/14/2014	Central Park Conservancy	New York	NY	750.00
4/16/2014	St. Jude's Children's Research Hospital	Memphis	TN	750.00
4/17/2014	Pennsylvania Academy of Fine Arts	Philadelphia	PA	750.00
4/18/2014	Southport Volunteer Fire Department	Southport	CT	375.00
4/21/2014	St. Lawrence Church	Shelton	CT	375.00
4/24/2014	Horace Mann School	Bronx	NY	2,250.00
4/24/2014	Greenwich Council BSA	Greenwich	CT	375.00
4/28/2014	Orphan Disease Pathway Project	Hartford	CT	1,875.00
4/30/2014	Catholic Big Sisters and Big Brothers	New York	NY	1,500.00
5/2/2014	STEM Advantage	Coto de Caza	CA	750.00
5/2/2014	School of the Holy Child	Rye	NY	11,250.00
5/2/2014	School of the Holy Child	Rye	NY	1,500.00
5/5/2014	Parkinson's Unity Walk	Kingston	NJ	375.00
5/6/2014	Roman Catholic Diocese of Syracuse	Syracuse	NY	114,576.00
5/6/2014	St. Vincent's Hospital Westchester	Harrison	NY	9,132.00
5/6/2014	Ballet Hispanico	New York	NY	300.00
5/7/2014	Asian Relief, Inc	Annapolis	MD	7,500.00
5/8/2014	Fordham University	Bronx	NY	750.00
5/12/2014	Iona Preparatory School	New Rochelle	NY	40,500.00
5/12/2014	Prep for Prep	New York	NY	750.00
5/13/2014	St. Paul Church	New York	NY	900.00
5/13/2014	Bethlehem University	Washington	DC	7,500.00
5/15/2014	The Hole In The Wall Gang Camp	New Haven	CT	375.00
5/15/2014	St. Lawrence Church	Shelton	CT	600.00
5/16/2014	Ballet Hispanico	New York	NY	900.00
5/16/2014	New York Women's Foundation	New York	NY	750.00
5/19/2014	Metropolitan Museum of Art	New York	NY	412.50
5/20/2014	Fairfield Special Education PTA	Fairfield	CT	3,750.00
5/20/2014	Church of St. Ignatius Loyola	New York	NY	150.00
5/26/2014	The Public Theatre	New York	NY	3,000.00
6/2/2014	Grace Church Community Center	White Plains	NY	13,500.00

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2015
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
6/5/2014	Memorial Sloan-Kettering	New York	NY	300 00
6/9/2014	Frick Museum	New York	NY	187 50
6/9/2014	St. Lawrence Church	Shelton	CT	600 00
6/10/2014	Middlebury College	Middlebury	VT	150.00
6/11/2014	Pencil	New York	NY	750 00
6/13/2014	School of the Holy Child	Rye	NY	750 00
6/18/2014	Benjamin Franklin House Foudation	London	England	1,500.00
6/21/2014	St. John Fisher Seminary	Stamford	CT	375.00
6/25/2014	Carnegie Hall Society	New York	NY	300 00
6/27/2014	Church of the Ressurrection	Rye	NY	26,250 00
7/2/2014	Iona Preparatory School	New Rochelle	NY	49,032.06
7/7/2014	St. Lawrence Church	Shelton	CT	600 00
7/10/2014	Madison Rotary Foundation	Madison	CT	375 00
7/10/2014	Breast Cancer Research Foundation	New York	NY	3,000.00
7/16/2014	Greenwich Council BSA	Greenwich	CT	2,625.00
7/18/2014	Connecticut Food Bank	Fairfield	CT	7,500.00
7/21/2014	The Havens Relief Fund	New York	NY	375.00
8/4/2014	St. Lawrence Church	Shelton	CT	600.00
8/7/2014	Fairfield Police Union	Fairfield	CT	300.00
8/12/2014	Our Lady Star of the Sea Church	Stamford	CT	3,750.00
8/20/2014	The Wharton Club of New York	New York	NY	750.00
8/26/2014	Trusteesof the University of PA	Philadelphia	PA	750.00
8/27/2014	Asian Relief, Inc	Annapolis	MD	3,000 00
8/29/2014	Collegiate School	New York	NY	1,875 00
9/8/2014	St Lawrence Church	Shelton	CT	600 00
9/10/2014	Greenwich Council BSA	Greenwich	CT	750.00
9/15/2014	St. Andrew Church	Sag Harbor	NY	750 00
9/18/2014	Hospice of Palm Beach County	Atlantis	FL	750 00
9/19/2014	Alfred E. Smith Foundation	New York	NY	1,500.00
9/26/2014	Trusteesof the University of PA	Philadelphia	PA	89,107.50
10/1/2014	Mount Sinai Adolescent Health Center	New York	NY	1,500 00
10/2/2014	Memorial Sloan-Kettering	New York	NY	300.00
10/6/2014	Wainscott Windmill Fund	East Hampton	NY	750.00
10/6/2014	St. Lawrence Church	Shelton	CT	600 00
10/6/2014	St. Lawrence Church	Shelton	CT	9,000.00
10/10/2014	St Jean Baptiste Catholic Church	New York	NY	3,000.00
10/16/2014	Stem Advantage	Coto de Caza	CA	3,750.00
10/17/2014	Our Lady Star of the Sea Church	Stamford	CT	750 00
10/20/2014	Facing History and Ourselves	New York	NY	1,500 00
10/22/2014	MathCounts	Alexandria	VA	210 00
10/22/2014	MathCounts	Alexandria	VA	345 00
10/28/2014	Georgetown University	Washington	DC	780.00
11/3/2014	St. Lawrence Church	Shelton	CT	600 00
11/3/2014	St. Lawrence Church	Shelton	CT	750.00
11/3/2014	MathCounts	Alexandria	VA	7,500.00
11/6/2014	Good Shepherd Hospice Foundation	Melville	NY	375 00
11/10/2014	Amherst College Annual Fund	Amherst	MA	750 00
11/14/2014	Thirteen/WNET	New York	NY	1,500 00
11/14/2014	Connecticut Food Bank	Fairfield	CT	3,750 00
11/18/2014	The Dalton School	New York	NY	1,875 00
11/19/2014	Catholic Charities	New York	NY	3,750 00
11/19/2014	Catholic Charities	New York	NY	3,750 00
11/20/2014	Collegiate School	New York	NY	1,875 00

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2015
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
11/26/2014	Child Mind Institute	New York	NY	375.00
12/8/2014	Greenwich Council BSA	Greenwich	CT	1,500.00
12/8/2014	St Lawrence Church	Shelton	CT	600.00
12/9/2014	YMCA of the City of New York	New York	NY	375.00
12/9/2014	St Lawrence Church	Shelton	CT	3,750.00
12/9/2014	St Lawrence Church	Shelton	CT	3,750.00
12/10/2014	Guild Hall	East Hampton	NY	750.00
12/11/2014	Our Lady Star of the Sea Church	Stamford	CT	7,500.00
12/11/2014	Asian Relief, Inc.	Annapolis	MD	3,000.00
12/11/2014	Rosemont College	Rosemont	PA	750.00
12/12/2014	School of the Holy Child	Rye	NY	750.00
12/12/2014	College of Mount Saint Vincent	Riverdale	NY	7,500.00
12/12/2014	The Art of Problem Solving Foundation	New York	NY	300.00
12/15/2014	Essex County Park System	Newark	NJ	1,500.00
12/15/2014	New York Philharmonic	New York	NY	750.00
12/17/2014	New York City Ballet	New York	NY	750.00
12/18/2014	The ALS Association	Washington	DC	360.00
12/19/2014	St. John the Martyr	New York	NY	7,500.00
12/22/2014	FISH of Stamford, Inc	Stamford	CT	750.00
12/22/2014	Bay Street Theatre	Sag Harbor	NY	375.00
12/22/2014	City Harvest	New York	NY	750.00
12/22/2014	Peconic Land Trust	Southampton	NY	750.00
12/23/2014	Cathedral of Mary Our Queen	Baltimore	MD	3,750.00
12/23/2014	Give Directly	New York	NY	3,000.00
12/23/2014	New York Historical Society	New York	NY	750.00
12/24/2014	Cambridge in America	New York	NY	3,000.00
12/24/2014	Cambridge in America	New York	NY	3,750.00
12/24/2014	LSA Family Health	New York	NY	750.00
12/26/2014	St Andrew Church	Sag Harbor	NY	3,750.00
12/26/2014	St Vincent's Hospital Westchester	Harrison	NY	750.00
12/26/2014	St Lawrence Church	Shelton	CT	150.00
12/29/2014	Museum of Modern Art	New York	NY	255.00
12/29/2014	The Dalton School	New York	NY	300.00
12/29/2014	Divine Word Missionaries	Techny	IL	375.00
12/29/2014	Babson College	Babson	MA	750.00
12/29/2014	Georgetown University	Washington	DC	1,500.00
12/29/2014	HomeFront, Inc	Lawrenceville	NJ	300.00
12/30/2014	ALS TDI	Piedmont	CA	1,875.00
12/30/2014	Catholic Big Sisters and Big Brothers	New York	NY	1,500.00
12/30/2014	Mount Carmel Guild	Trenton	NJ	300.00
12/30/2014	Several Sources Shelters	Ramsey	NJ	300.00
12/31/2014	Bucks County Council, BSA	Doylestown	PA	150.00
1/2/2015	Amherst College Alumni Fund	Amherst	MA	750.00
1/2/2015	Trenton Area Soup Kitchen	Trenton	NJ	300.00
1/5/2015	Dramatists Guild Fund	New York	NY	750.00
1/5/2015	Catholic Information Center	Washington	DC	7,500.00
1/5/2015	Collegiate School	New York	NY	750.00
1/5/2015	St Lawrence Church	Shelton	CT	600.00
1/6/2015	The Metropolitan Museum of Art	New York	NY	1,125.00
1/9/2015	Trustees of the University of PA	Philadelphia	PA	750.00
1/9/2015	Friends of Amherst Activities	Amherst	MA	750.00
1/9/2015	Trustees of the University of PA	Philadelphia	PA	750.00
1/12/2015	Church of St. Ingatius Loyola	New York	NY	750.00

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2015
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
1/12/2015	Yale Law School	New Haven	CT	750.00
1/12/2015	Catholic Near East Welfare Association	New York	NY	30,000.00
1/13/2015	Lawrenceville Main Street	Lawrenceville	NJ	150.00
1/14/2015	Trustees of the University of PA	Philadelphia	PA	18,771.00
1/15/2015	The Metropolitan Opera	New York	NY	645.00
1/15/2015	The Metropolitan Opera	New York	NY	750.00
1/16/2015	Columbia Business School	New York	NY	3,750.00
1/16/2015	Junior Achievement of South Florida	Coconut Creek	FL	750.00
1/27/2015	A Prom to Remember	Fort Lauderdale	FL	750.00
1/29/2015	Society of Mayflower Descendants	New York	NY	6,000.00
2/2/2015	Greenwich Council BSA	Greenwich	CT	750.00
2/2/2015	Greenwich Council BSA	Greenwich	CT	750.00
2/2/2015	St. Lawrence Church	Shelton	CT	600.00
2/3/2015	The James Wilson Institute	Washington	DC	7,500.00
2/4/2015	Healing Hands of Christ Foundation	West Windsor	NJ	300.00
2/6/2015	Parsons Dance Foundation	New York	NY	375.00
2/12/2015	Project from the Heart - HSC	Fairfield	CT	750.00
2/12/2015	Be My Light	East Brunswick	NJ	300.00
2/13/2015	New York Historical Society	New York	NY	3,750.00
2/17/2015	Church of the Nativity	Timonium	MD	750.00
2/18/2015	Fordham University	New York	NY	10,000.00
2/20/2015	St. Joseph's Church	West Orange	NJ	3,000.00
2/20/2015	College of Mount Saint Vincent	Riverdale	NY	10,000.00
2/23/2015	MathCounts	New York	NY	1,875.00
2/25/2015	Barnard College	New York	NY	2,500.00
2/26/2015	Collegiate School	New York	NY	5,000.00
2/27/2015	Columbia Business School	New York	NY	5,000.00
2/27/2015	American Fund for the Southbank Centre	New York	NY	600.00

TOTAL CONTRIBUTION PAID*	\$1,007,891.06
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* All contributions were made to the general purpose fund of public charitable organizations that were classified under Section 501(c)(3) of the Internal Revenue Code.

The Doty Family Foundation
From 01-Jan-2014 To 31-Dec-2014

Base Currency :USD

Realized Gains/Losses Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Gain Type
AMGEN INC COM PV\$0.0001	3/8/1996	5/1/2014	500	56,253.45	7,621.07	48632.38	Long-Term
BORG WARNER INC COME	6/17/2010	5/2/2014	1000	61,934.88	20,545.24	41389.64	Long-Term
BANK OF AMERICA CORP	12/18/2009	5/3/2014	3000	45,360.24	45,151.99	208.25	Long-Term
GENERAL ELECTRIC	12/16/2002	5/4/2014	110	2,931.14	2,904.00	27.14	Long-Term
GENERAL ELECTRIC	6/25/2008	5/5/2014	890	23,715.59	25,206.48	(1,490.89)	Long-Term
GENERAL ELECTRIC	6/22/2009	5/6/2014	1000	26,646.74	11,661.53	14,985.21	Long-Term
GILEAD SCIENCES INC COM	12/22/2011	5/7/2014	1000	79,425.89	19,383.07	60,042.82	Long-Term
LOCKHEED MARTIN CORP	7/17/2012	5/8/2014	250	40,854.25	21,812.05	19,042.20	Long-Term
MONSANTO CO NEW DEL COM	9/23/2009	5/9/2014	500	55,060.93	39,057.43	16,003.50	Long-Term
OLIN CORP \$1 NEW	3/26/2010	5/10/2014	5000	138,920.54	96,428.35	42,492.19	Long-Term
TEXAS INSTRUMENTS	12/18/2009	5/11/2014	1000	45,511.48	25,203.24	20,308.24	Long-Term
CITIGROUP INC 5.00% 2014	6/4/2009	9/15/2014	50000	50,000.00	43,569.35	6,430.65	Long-Term
BORG WARNER INC COME	6/17/2010	12/19/2014	2000	107,392.27	41,090.47	66,301.80	Long-Term
CDK GLOBAL INC SHS	5/24/2011	12/20/2014	499	20,128.86	10,083.36	10,045.50	Long-Term
CDK GLOBAL INC SHS	5/24/2011	12/21/2014	0.9995	40.32	20.20	20.12	Long-Term
EMERSON ELEC CO	9/27/2001	12/22/2014	2000	123,891.91	45,840.01	78,051.90	Long-Term
NOW INC SHS	12/28/2010	12/23/2014	312	7,760.04	8,255.51	(495.47)	Long-Term
UNITED TECHS CORP COM	6/27/1997	12/24/2014	1250	145,366.44	26,580.33	118,786.11	Long-Term
TOTAL LONG-TERM				1,031,194.97	490,413.68	540,781.29	

DOTY FAMILY FOUNDATION
 CLOSING LONG POSITION
 AT 2/28/2015

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current	
								Annual Income	Yield%
Δ HEALTH CARE PPTY INV INC	06/23/10	50,000	50,006.89	100.0000	50,000.00	(6.89)	1,466.67	3,000	6.00
26.000% MAR 01 2015									
MOODY'S: BAA1 S&P BBB+ CUSIP: 421915EQ9									
ORIGINAL UNIT/TOTAL COST	107 0847/53,542.35								

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ BANK OF AMERICA CORP GLB 04 500% APR 01 2015 MOODY'S: BAA2 S&P A- CUSIP: 06051GEB1 ORIGINAL UNIT/TOTAL COST 101 3967/50,698.35	06/23/10	50,000	50,014.66	100.3020	50,151.00	136.34	912.50	2,250	4.48
Δ NABISCO INCORPORATED 07 550% JUN 15 2015 MOODY'S: BAA2 S&P. BBB CUSIP: 629527AU6 ORIGINAL UNIT/TOTAL COST. 123 6183/12,361.83	01/24/03	10,000	10,074.76	101.9090	10,190.90	116.14	151.00	755	7.40
Δ BANK OF AMERICA CORP SUBORDINATED 07.750% AUG 15 2015 MOODY'S: BAA3 S&P- BBB+ CUSIP: 638585AN9 ORIGINAL UNIT/TOTAL COST: 123.3315/49,332.62	01/24/03	40,000	40,462.01	103.1930	41,277.20	815.19	103.33	3,100	7.51
Δ JP MORGAN CHASE SUBORDINATED 05.150% OCT 01 2015 MOODY'S: BAA1 S&P. A- CUSIP: 46625HDF4 ORIGINAL UNIT/TOTAL COST: 100.1817/50,090.85	06/05/09	50,000	50,009.78	102.5270	51,263.50	1,253.72	1,044.31	2,575	5.02
Δ AMERICAN EXPRESS CREDIT SER MTN 05 300% DEC 02 2015 MOODY'S: A2 S&P. A- CUSIP: 0258MOBZ1 ORIGINAL UNIT/TOTAL COST: 101 8157/50,907.85	09/17/09	50,000	50,126.66	103.6240	51,812.00	1,685.34	625.69	2,650	5.11
CITIGROUP INC GLB 05 300% JAN 07 2016 MOODY'S: BAA2 S&P. A- CUSIP: 172967DE8 ORIGINAL UNIT/TOTAL COST: 98.8607/49,430.35	09/17/09	50,000	49,430.35	103.7950	51,897.50	2,467.15	368.06	2,650	5.10
Δ GOLDMAN SACHS GROUP INC GLB 05.350% JAN 15 2016 MOODY'S: BAA1 S&P. A- CUSIP: 38141GEE0 ORIGINAL UNIT/TOTAL COST. 104.1047/52,052.35	09/17/09	50,000	50,322.30	103.8860	51,943.00	1,620.70	312.08	2,675	5.14

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
GENERAL ELEC CAP CORP SER MTN GLB 05.375% OCT 20 2016 MCCDY'S A1 S&P AA+ CUSIP: 36962GY40 ORIGINAL UNIT/TOTAL COST: 99 4874/74,615.60	06/05/08	75,000	74,615.60	107.2670	80,450.25	5,834.65	1,422.14	4,032	5.01
0 MERRILL LYNCH & CO GLB 06.400% AUG 28 2017 MCCDY'S BAA2 S&P A- CUSIP: 59018YJ69 ORIGINAL UNIT/TOTAL COST: 53.4107/46,705.35	06/05/09	50,000	46,705.35	111.2810	55,640.50	8,935.15	1,591.11	3,200	5.75
0 WALT DISNEY COMPANY SER MTN3 GLB 05.875% DEC 15 2017 MCCDY'S A2 S&P A CUSIP: 25468PCB0 ORIGINAL UNIT/TOTAL COST: 104 6437/52,321.85	06/05/08	50,000	50,804.50	112.3230	56,161.50	5,357.00	587.50	2,938	5.23
0 GOLDMAN SACHS GROUP INC GLB 05.950% JAN 18 2018 MCCDY'S BAA1 S&P A- CUSIP: 38141GFG4 ORIGINAL UNIT/TOTAL COST: 95.7417/47,870.85	06/05/09	50,000	47,870.85	111.4660	55,733.00	7,862.15	322.29	2,975	5.33
PEPSICO INC SENIOR NOTES 05.000% JUN 01 2018 MCCDY'S A1 S&P A- CUSIP: 71344BBH0 ORIGINAL UNIT/TOTAL COST: 98.5547/49,277.35	06/05/08	50,000	49,277.35	110.8550	55,427.50	6,150.15	597.22	2,500	4.51
0 ROYAL BK OF SCOTLAND PLC COMPANY GUARNT GLB 06.125% JAN 11 2021 MCCDY'S BAA1 S&P A- CUSIP: 78010XAK7 ORIGINAL UNIT/TOTAL COST: 105 8791/79,409.35	05/24/11	75,000	77,948.34	119.5010	89,625.75	11,677.41	586.98	4,594	5.12
0 ALCOA INC GLB 05.400% APR 15 2021 MCCDY'S BAA1 S&P BBB- CUSIP: 013817AV3	05/24/11	50,000	50,934.03	109.8550	54,927.50	3,993.47	990.00	2,700	4.91

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
PAR CALL DATE 01/15/21 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST- 102.7607/51,380.35									
TOTAL		750,000	748,603.43		806,501.10	57,897.67	11,080.88	42,594	5.28

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
ACCENTURE PLC SHS	ACN	06/27/11	1,500	57.7801	86,670.25	90.0300	135,045.00	48,374.75	3,060 2.26
AMERICAN WTR WKS CO INC NEW	AWK	12/22/11	3,000	31.8081	95,424.55	54.0800	162,240.00	66,815.45	3,720 2.29
AMGEN INC COM PV \$0 0001	AMGN	03/08/96 06/25/08	258 992	15.2421 46.9807	3,932.47 46,604.91	157.7200	40,691.76 156,458.24	36,759.29 109,853.33	816 2.00 3,135 2.00
Subtotal			1,250		50,537.38		197,150.00	146,612.62	3,951 2.00
AUTOMATIC DATA PROC	ADP	05/24/11	1,500	46.5531	69,829.73	88.8400	133,260.00	63,430.27	2,940 2.20
BANK OF AMERICA CORP	BAC	12/18/09 09/24/12	4,000 5,000	15.0506 9.1658	60,202.66 45,829.35	15.8100	63,240.00 79,050.00	3,037.34 33,220.65	800 1.26 1,000 1.26
Subtotal			9,000		106,032.01		142,290.00	36,257.99	1,800 1.26
CELGENE CORP COM	CELG	10/26/11	1,800	32.9166	59,250.03	121.5300	218,754.00	159,503.97	
Subtotal			200	33.1613	6,632.27	121.5300	24,306.00	17,673.73	
			2,000		65,882.30		243,060.00	177,177.70	
CISCO SYSTEMS INC COM	CSCO	06/10/03	1,000	17.3690	17,369.01	29.5100	29,510.00	12,140.99	840 2.84
		06/10/03	1,175	17.3690	20,408.58	29.5100	34,674.25	14,265.67	987 2.84
		06/10/03	825	17.3700	14,330.25	29.5100	24,345.75	10,015.50	693 2.84
		06/25/08	1,000	24.9053	24,905.35	29.5100	29,510.00	4,604.65	840 2.84
		09/24/12	2,000	18.8201	37,640.35	29.5100	59,020.00	21,379.65	1,680 2.84
Subtotal			6,000		114,653.54		177,060.00	62,406.46	5,040 2.84

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CITIGROUP INC COM NEW	C	03/30/00	187	420.8949	78,707.36	52.4200	9,802.54	(68,904.82)		8.07
		03/19/08	113	205.6756	23,241.35	52.4200	5,923.46	(17,317.89)		5.07
		09/23/09	700	47.6076	33,325.35	52.4200	36,694.00	3,368.65		28.07
		06/17/10	1,000	40.0033	40,003.35	52.4200	52,420.00	12,416.65		40.07
		09/24/12	1,000	33.5153	33,515.35	52.4200	52,420.00	18,904.65		40.07
Subtotal			3,000		208,792.76		157,260.00	(51,532.76)		121.07
EXXON MOBIL CORP COM	XOM	06/27/06	1,000	59.7237	59,723.76	88.5400	88,540.00	28,816.24		31.11
FORD MOTOR CO	F	12/24/13	8,250	15.2104	125,486.60	16.3400	134,805.00	9,318.40		4.951
FREEPORT-MCMORAN INC	FCX	12/24/13	3,500	36.1993	126,697.81	21.6300	75,705.00	(50,992.81)		4.375
GENERAL ELECTRIC	GE	06/22/09	2,500	11.6615	29,153.82	25.9900	64,975.00	35,821.18		2,300
		09/23/09	3,000	17.3608	52,082.65	25.9900	77,970.00	25,887.35		2,760
Subtotal			5,500		81,236.47		142,945.00	61,708.53		5,060
GILEAD SCIENCES INC COM	GILD	12/22/11	2,000	19.3830	38,766.14	103.5300	207,060.00	168,293.86		
GLAXOSMITHKLINE PLC ADR	GSK	08/23/05	1,722	47.7205	82,174.77	47.4200	81,657.24	(517.53)		4,471
		06/25/08	278	44.7391	12,437.47	47.4200	13,182.76	745.29		722
Subtotal			2,000		94,612.24		94,840.00	227.76		5,193
HALLIBURTON COMPANY	HAL	12/24/13	2,500	50.7607	126,901.96	42.9400	107,350.00	(19,551.96)		1,801
INTEL CORP	INTC	03/30/00	750	56.4070	42,305.26	33.2500	24,937.50	(17,367.76)		720
		12/16/02	3,000	18.1900	54,570.00	33.2500	99,750.00	45,180.00		2,880
		12/16/02	485	18.2130	8,833.31	33.2500	16,126.25	7,292.94		466
		12/16/02	15	18.2100	273.15	33.2500	498.75	225.60		15
Subtotal			4,250		105,981.72		141,312.50	35,330.78		4,081
LOCKHEED MARTIN CORP	LMT	07/17/12	1,000	87.2481	87,248.18	200.0500	200,050.00	112,801.82		6,001
MCDONALDS CORP COM	MCD	12/28/10	1,000	76.2727	76,272.75	98.9000	98,900.00	22,627.25		3,400
MERCK AND CO INC SHS	MRK	03/28/06	2,000	35.7798	71,559.67	58.5400	117,080.00	45,520.33		3,600
		06/25/08	1,000	36.8046	36,804.65	58.5400	58,540.00	21,735.35		1,800
Subtotal			3,000		108,364.32		175,620.00	67,255.68		5,400

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
MICROSOFT CORP	MSFT	09/29/00	2,277	30.0824	68,497.85	43.8500	99,846.45	31,348.60	2,824 282
		06/22/09	1,723	23.4331	40,375.24	43.8500	75,553.55	35,178.31	2,137 282
Subtotal			4,000		108,873.09		175,400.00	66,526.91	4,961 282
MONSANTO CO NEW DEL COM	MON	09/23/09	500	78.1148	39,057.42	120.4300	60,215.00	21,157.58	980 162
		06/17/10	500	50.1087	25,054.35	120.4300	60,215.00	35,160.65	980 162
Subtotal			1,000		64,111.77		120,430.00	56,318.23	1,960 162
NATIONAL GRID PLC SP ADR	NGG	11/14/11	2,000	49.8226	99,645.35	68.5600	137,120.00	37,474.65	6,904 5.03
NATIONAL-OILWELL VARCO INC	NOV	12/28/10	1,250	58.8268	73,533.60	54.3500	67,937.50	(5,596.10)	2,301 3.38
NOVARTIS ADR	NVS	09/23/09	1,750	49.1821	86,068.78	102.4000	179,200.00	93,131.22	4,176 2.33
PEPSICO INC	PEP	05/25/06	1,500	60.4635	90,695.35	98.9800	148,470.00	57,774.65	3,930 2.64
PFIZER INC	PFE	09/24/12	5,000	24.7785	123,892.85	34.3200	171,600.00	47,707.15	5,800 3.26
PRICE T ROWE GROUP INC	TROW	06/17/10	1,600	49.1657	78,665.19	82.6000	132,160.00	53,494.81	3,329 2.51
PROCTER & GAMBLE CO	PG	03/30/00	1,562	29.2379	45,669.66	85.1300	132,973.06	87,303.40	4,021 3.02
SCHLUMBERGER LTD	SLB	06/17/10	1,000	60.6593	60,659.35	84.1600	84,160.00	23,500.65	2,000 2.37
TEXAS INSTRUMENTS	TXN	12/18/09	3,000	25.2032	75,609.71	58.8000	176,400.00	100,790.29	4,080 2.31
WAL-MART STORES INC	WMT	06/20/07	2,000	48.8716	97,743.35	83.9300	167,860.00	70,116.65	3,921 2.33
WASTE MANAGEMENT INC NEW	WM	12/24/13	2,750	45.1318	124,112.62	54.4800	149,820.00	25,707.38	4,234 2.82
TOTAL					2,958,395.14		4,658,023.06	1,699,627.92	115,071 2.47

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	3,706,998.57	5,464,524.16	1,757,525.59	11,080.88	189,371	2.49