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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

**2014**

Department of the Treasury  
Internal Revenue Service

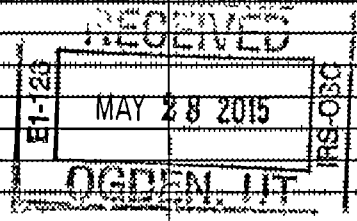
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Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation <b>The Lawrence P Frank Foundation</b>		A Employer identification number <b>95-6942139</b>	
Number and street (or P.O. box number if mail is not delivered to street address) <b>11537 Seminole Circle</b>		Room/suite	B Telephone number (see instructions) <b>(818) 360-5460</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Porter Ranch, CA 91326</b>		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply:		D 1. Foreign organizations, check here . . . . <input type="checkbox"/>	
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	2. Foreign organizations meeting the 85% test, check here and attach computation . . . . <input type="checkbox"/>	
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/>	
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . <input type="checkbox"/>	
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation			
<input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		<input type="checkbox"/> Other taxable private foundation	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>3,755,639</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
		<input type="checkbox"/> Other (specify) _____	
		(Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B . . . .				
	3 Interest on savings and temporary cash investments . . . .	115,679	115,679		
	4 Dividends and interest from securities . . . . .	70,365	70,365		
	5a Gross rents . . . . .	3,077			
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 . STM139	(7,616)			
	b Gross sales price for all assets on line 6a <b>2,231,332</b>				
	7 Capital gain net income (from Part IV, line 2) . . . . .				
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .					
12 Total. Add lines 1 through 11 . . . . .	181,505	186,044			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc . . . . .				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . . STM108	665			
	c Other professional fees (attach schedule) . . . . . STM109	15,056			
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . . STM110	3,751			
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . . STM103 . . . . .	180			
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	19,652	0		
	25 Contributions, gifts, grants paid . . . . .	224,000			
26 Total expenses and disbursements. Add lines 24 and 25 . . . . .	243,652	0			
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements . . . . .	(62,147)				
b Net investment income (if negative, enter -0-) . . . . .		186,044			
c Adjusted net income (if negative, enter -0-) . . . . .			0		



**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>				
1 Cash - non-interest-bearing . . . . .	13,911	7,485	7,485	
2 Savings and temporary cash investments . . . . .	74,472	62,210	62,210	
3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
5 Grants receivable . . . . .				
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
8 Inventories for sale or use . . . . .				
9 Prepaid expenses and deferred charges . . . . .				
10a Investments - U.S. and state government obligations (attach schedule) . . . . .		1,572,172	1,564,269	
b Investments - corporate stock (attach schedule) . . . . .	2,192,855	1,338,329	1,604,118	
c Investments - corporate bonds (attach schedule) . . . . .	1,255,638	471,128	478,142	
11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans . . . . .				
13 Investments - other (attach schedule) . . . . .	13,462	37,578	39,415	
14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	3,550,338	3,488,902	3,755,639	
<b>Liabilities</b>				
17 Accounts payable and accrued expenses . . . . .				
18 Grants payable . . . . .				
19 Deferred revenue . . . . .				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule) . . . . .				
22 Other liabilities (describe ▶)	1,269	887		
23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .	1,269	887		
<b>Net Assets or Fund Balances</b>				
Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
24 Unrestricted . . . . .	3,549,069	3,488,015		
25 Temporarily restricted . . . . .				
26 Permanently restricted . . . . .				
Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds . . . . .				
28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
29 Retained earnings, accumulated income, endowment, or other funds				
30 <b>Total net assets or fund balances</b> (see instructions) . . . . .	3,549,069	3,488,015		
31 <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	3,550,338	3,488,902		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	3,549,069
2 Enter amount from Part I, line 27a . . . . .	2	(62,147)
3 Other increases not included in line 2 (itemize) ▶	3	1,093
4 Add lines 1, 2, and 3 . . . . .	4	3,488,015
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	3,488,015

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ . . . }		3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013			
2012			
2011			
2010			
2009			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4
5 Multiply line 4 by line 3			5 0
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7 0
8 Enter qualifying distributions from Part XII, line 4			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

<b>Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)</b>	
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1 3,721
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2 0
3 Add lines 1 and 2	3 3,721
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4 0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5 3,721
6 Credits/Payments:	
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 2,834
b Exempt foreign organizations - tax withheld at source	6b
c Tax paid with application for extension of time to file (Form 8868)	6c
d Backup withholding erroneously withheld	6d
7 Total credits and payments. Add lines 6a through 6d	7 2,834
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 887
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax Refunded	11

<b>Part VII-A Statements Regarding Activities</b>		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of Donald Hoffman Telephone no. 818-360-5460
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year 15 0
16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Donald Hoffman 11537 Seminole Circle, CA 91326	Director 5.00	0	0	0
Aubrey Grey 1647 Elmsford Place, CA 91360	Director 5.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000  0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services . . . . . ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 . . . . . ▶	

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	2,203,415
<b>b</b>	Average of monthly cash balances	<b>1b</b>	175,940
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	2,379,355
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	2,379,355
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	35,690
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	2,343,665
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	117,183

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	117,183
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	3,721
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,721
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	113,462
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	113,462
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	113,462

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	0
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				113,462
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2014:				
a From 2009	97,838			
b From 2010	111,040			
c From 2011	100,504			
d From 2012	103,008			
e From 2013				
f Total of lines 3a through e	412,390			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	113,462			113,462
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	298,928			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	298,928			
10 Analysis of line 9:				
a Excess from 2010	95,416			
b Excess from 2011	100,504			
c Excess from 2012	103,008			
d Excess from 2013				
e Excess from 2014				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year				(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
	0	141,716	132,259	113,401	387,376
<b>b</b> 85% of line 2a . . . . .		120,459	112,420	96,391	329,270
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .		235,500	249,300	247,300	732,100
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .		235,500	249,300	247,300	732,100
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .	78,122				78,122
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

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**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

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**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

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**b** The form in which applications should be submitted and information and materials they should include:

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**c** Any submission deadlines:

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year Habitat for Humanity 167 Lambert St Oxnard, CA 93031		PC	fund low income housing	15,000
Center Theatre Group 10880 Wilshire Los Angeles, CA 90024		PC	promote legitimate theatre	10,000
MEND 13460 Van Nuys Blvd Pacoima, CA 91331		PC	aid to poverty level families	10,000
Haven Hills 7114 Owensmouth Chatsworth, CA 91311		PC	provide support to battered womans shelter	20,000
Jewish Vocational Services 6505 Wilshire Blvd Los Angeles, CA 90048		PC	support vocational	5,000
New Horizons 15725 Parthenia North Hills, CA 91343		PC	Provide funds for developmently disabled	10,000
Lighthouse Rescue Mission 150 S Hayes Oxnard, CA 93031		PC	aid to homeless	15,000
Westminster Free Clinic 1000 E Janss Road Thousand Oaks, CA 91360		PC	fund health solutions to poverty level	10,000
<b>Total</b>				▶ <b>3a</b>
b Approved for future payment				
<b>Total</b>				▶ <b>3b</b>

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year Conejo Valley Youth Orchestra 14709 Marymount Moorpark, CA 93021		PC	Support youth orchestras	5,000
Rick Rackers Op School Bell 6220 Spring St Long Beach, CA 90815		PC	provide school uniforms for children	5,000
YMCA Santa Monica 1332 Sixth St Santa Monica, CA 90401		PC	support the activities of	3,000
Boy Scouts of America PO Box 7338 Long Beach, CA 90807		PC	support scouting	4,000
Children of the Night 14630 Sylvan St Van Nuys, CA 91411		PC	aid to homeless children	20,000
Valley Trauma Center 7116 Sophia Van Nuys, CA 91406		PC	provide funds for rape response teams	10,000
Valley Village 20830 Sherman Way Winnetka, CA 91306		PC	aid to programs for the developmently	1,500
Assistance League of SF Valley 22335 Sherman Way West Hills, CA 91307		PC	purchase shoes for school	2,500
<b>Total</b> .....				<b>3a</b>
b Approved for future payment				
<b>Total</b> .....				<b>3b</b>

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year City of Hope 1500 Duarte Road Duarte, CA 91010		PC	promote medical research	30,000
Ventura County Rescue Mission 234 East Sixth St Oxnard, CA 93031		PC	aid to homeless individuals	20,000
Family Rescue Center 22103 Vanowen Canoga Park, CA 91303		PC	aid to alleviatge domestic violence	15,000
Hope of the Valley 8265 San Fernando Rd Sun Valley, CA 91353		PC	Student youth programs	10,000
Reach out and Read 10 Carolyn Way Mission Hills, CA 91345		PC	Promote reading for school children	1,500
Santa Monica Oceanaires Chorus 2821 Anchor Ave Los Angeles, CA 90064		PC	promote music appreciation among children	1,500
<b>Total</b>				<b>224,000</b>
b Approved for future payment				
<b>Total</b>				<b>3b</b>



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets... b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations... c Sharing of facilities, equipment, mailing lists, other assets, or paid employees... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee: Alan S Gassman, Date: 05-19-15, Title: DIRECTOR, PRES.

Paid Preparer Use Only: Preparer's name: Alan S Gassman CPA, Preparer's signature: [Signature], Date: 05-13-2015, Firm's name: Alan S Gassman CPA, Firm's address: 5530 Corbin Ave 175, Tarzana CA 91356, Firm's EIN: 95-3207571, Phone no.: 818-345-6600.

Federal Supporting Statements

2014 PG 01

Name(s) as shown on return

FEIN

The Lawrence P Frank Foundation

95-6942139

Form 990PF, Part III, Line 3

Statement #115

Other Increases Schedule

adjust for 2013 federal tax adjust 1,093

Total 1,093

PG 01

Form 990PF, Part II, Line 13

Statement #118

Investments: Other Schedule

<u>Category</u>	<u>Book value (BOY)</u>	<u>Book value (EOY)</u>	<u>FMV (EOY)</u>
Other investments	13,462	12,578	12,578
CD		25,000	26,837
Total	<u>13,462</u>	<u>37,578</u>	<u>39,415</u>

PG 01

Form 990PF, Part II, Line 22

Statement #121

Other Liabilities Schedule

<u>Description</u>	<u>BOY Amount</u>	<u>EOY Amount</u>
Federal tax payable	<u>1,269</u>	<u>887</u>
Total	<u>1,269</u>	<u>887</u>

**Federal Supporting Statements**

2014 PG 01

Name(s) as shown on return

FEIN

The Lawrence P Frank Foundation

95-6942139

Form 990PF, Part II, Line 10(a)

Statement #136

Investments: U.S. Government Obligation Schedule

<u>Category</u>	<u>Book Value (BOY)</u>	<u>Book Value (EOY)</u>	<u>FMV (EOY)</u>
Government Mortgages	_____	244,375	249,507
<b>Totals</b>	=====	244,375	249,507

Investments: State and Local Government Obligation Schedule

<u>Category</u>	<u>Book Value (BOY)</u>	<u>Book Value (EOY)</u>	<u>FMV (EOY)</u>
Municipal Bonds	_____	1,327,797	1,314,762
<b>Totals</b>	=====	1,327,797	1,314,762

PG 01

Form 990PF, Part II, Line 10(b)

Statement #137

Investments: Corporate Stock Schedule

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
Corporate Stocks	2,192,855	1,338,329	1,604,118
<b>Totals</b>	=====	1,338,329	1,604,118

PG 01

Form 990PF, Part II, Line 10(c)

Statement #138

Investments: Corporate Bond Schedule

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
Corporate Bonds	1,255,638	471,128	478,142
<b>Totals</b>	=====	471,128	478,142

**Federal Supporting Statements**

2014 PG 01

Name(s) as shown on return

FEIN

The Lawrence P Frank Foundation

95-6942139

**Form 990PF, Part I, Line 6(a)  
Gain(Loss) from Sale of Other Assets Schedule**

Statement #139

Name	WFB schedule 1 attached 5973
Term	Long-Term
Date Acquired	
How Acquired	Purchase
Date Sold	
Purchaser	The Lawrence Frank Foundation
Gross Sales	\$ 140,133
Basis	\$ 145,308
Accumulated Depreciation	\$
Sales Expense	\$
Total Net	\$ (5,175)

Name	WFB schedule 2 attached 5973
Term	Long-Term
Date Acquired	
How Acquired	Purchase
Date Sold	
Purchaser	The Lawrence Frank Foundation
Gross Sales	\$ 128,505
Basis	\$ 127,023
Accumulated Depreciation	\$
Sales Expense	\$
Total Net	\$ 1,482

Name	WFB Schedule 3 attached 9438
Term	Long-Term
Date Acquired	
How Acquired	Purchase
Date Sold	
Purchaser	The Lawrence Frank Foundation
Gross Sales	\$ 122,344
Basis	\$ 124,622
Accumulated Depreciation	\$
Sales Expense	\$
Total Net	\$ (2,278)

Name	WFB Schedule 4 attached 4418
Term	Long-Term
Date Acquired	
How Acquired	Purchase
Date Sold	
Purchaser	The Lawrence Frank Foundation
Gross Sales	\$ 1,840,324
Basis	\$ 1,841,995
Accumulated Depreciation	\$
Sales Expense	\$
Total Net	\$ (1,671)

Federal Supporting Statements

2014 PG 02

Name(s) as shown on return

FEIN

The Lawrence P Frank Foundation

95-6942139

Form 990PF, Part I, Line 6(a)  
Gain(Loss) from Sale of Other Assets Schedule

Statement #139

Name	Atel Capital Equipment
Term	Long-Term
Date Acquired	
How Acquired	Purchase
Date Sold	
Purchaser	The Lawrence Frank Foundation
Gross Sales	\$ 26
Basis	\$
Accumulated Depreciation	\$
Sales Expense	\$
Total Net	\$ 26

# Federal Supporting Statements

**2014** PG 01

Your Social Security Number

95-6942139

Name(s) as shown on return

The Lawrence P. Frank Foundation

Statement #103

Form 990PF, Part I, Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Bank charges	52	0	0	0
Postage	128	0	0	0
<b>Totals</b>	<u>180</u>	<u>0</u>	<u>0</u>	<u>0</u>

PG 01

Statement #108

Form 990PF, Part I, Line 16(b) - Accounting Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Accounting fees	665	0	0	0
<b>Totals</b>	<u>665</u>	<u>0</u>	<u>0</u>	<u>0</u>

# Federal Supporting Statements

2014 PG 01

Your Social Security Number

95-6942139

Name(s) as shown on return

The Lawrence P Frank Foundation

Statement #109

Form 990PF, Part I, Line 16(c) - Other Professional Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Management fees	15,056	0	0	0
<b>Totals</b>	<u>15,056</u>	<u>0</u>	<u>0</u>	<u>0</u>

PG 01

Statement #110

Form 990PF, Part I, Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
State Income Tax	30	0	0	0
Federal Income Tax	3,721	0	0	0
<b>Totals</b>	<u>3,751</u>	<u>0</u>	<u>0</u>	<u>0</u>

The Lawrence Frank Foundation										
Stock Sales 2014										
95-6942139										
Equities: Managed Accounts WF Stoneridge 2531-9755										
Schedule of Stock Sales #1										
Wells Fargo Account 8645-5973										
Quantity	Descriptor	Symbol	Open Date	Close Date	Blended Unit Cost	Blended Cost	Cost Amount	Close Amt	Realized	Term
4	AFFILIATED	MGR	10/26/12	01/21/14	25.94	103.76	103.76	95.56	(8.20)	LONG
70	ASSURD GT	AGO.E	10/26/12	01/21/14	24.89	1,742.30	1,742.30	1,563.08	(179.22)	LONG
20	ASSURD GT	AGO.E	03/22/13	01/21/14	25.17	503.40	503.40	446.60	(56.80)	SHORT
80	AEGON NV	AEK	03/22/13	01/21/14	28.779	2,302.32	2,302.32	2,284.76	(17.56)	SHORT
45	AEGON NV	AEH	05/15/13	01/21/14	26.7	1,201.50	1,201.50	1,091.23	(110.27)	SHORT
45	AEGON NV	AEH	06/21/13	01/21/14	24.8	1,116.00	1,116.00	1,091.24	(24.76)	SHORT
3	ARCH CAP	ARH.C	10/26/12	01/21/14	27.15	81.45	81.45	74.49	(6.96)	LONG
58	ARES CAP	ARY	10/26/12	01/21/14	26.8721	1,558.58	1,558.58	1,492.31	(66.27)	LONG
4	ASPEN INSI	AHLA	10/26/12	01/21/14	26.6	106.40	106.40	103.40	(3.00)	LONG
2	AVIVA PLC	AVV	10/26/12	01/21/14	28.17	56.34	56.34	54.80	(1.54)	LONG
55	BARCLAYS	BCS.A	12/23/13	01/21/14	25.04	1,377.20	1,377.20	1,389.84	12.64	SHORT
4	BARCLAYS	BCS.	10/26/12	01/21/14	25.0075	100.03	100.03	99.84	(0.19)	LONG
60	CITIGROUP	CAP IX 6%	10/26/12	01/21/14	25.1052	1,506.31	1,506.31	1,507.18	0.87	LONG
52	CITIGROUP	C.N	06/28/13	01/21/14	27.92	1,451.84	1,451.84	1,427.38	(24.46)	SHORT
4	CITY NTNL	CYN.D	12/06/13	01/21/14	25.38	101.52	101.52	108.44	6.92	SHORT
4	CITIGROUP	C.K	10/25/13	01/21/14	24.9375	99.75	99.75	103.40	3.65	SHORT
5	CITIGROUP	CJ	09/13/13	01/21/14	24.82	124.10	124.10	130.60	6.50	SHORT
60	MORGAN S	MSJ	09/24/13	01/21/14	24.94	1,496.40	1,496.40	1,493.37	(3.03)	SHORT
58	ENDURANC	ENH.A	10/26/12	01/21/14	27.4666	1,593.06	1,593.06	1,492.31	(100.75)	LONG
3	FNB CORP	FNB.E	12/09/13	01/21/14	25.8	77.40	77.40	79.92	2.52	SHORT
120	FIFTH THRE	FITBI	12/05/13	01/21/14	25.03	3,003.60	3,003.60	3,033.55	29.95	SHORT
240	GOLDMAN	GSF	10/26/12	01/21/14	26.2944	6,310.66	6,310.66	6,055.57	(255.09)	LONG
45	GOLDMAN	GSF	03/22/13	01/21/14	26.85	1,208.25	1,208.25	1,135.42	(72.83)	SHORT
80	ING GROU	ISP	10/26/12	01/21/14	24.13	1,930.40	1,930.40	1,912.77	(17.63)	LONG
10	ING GROU	ISP	03/22/13	01/21/14	25.22	252.20	252.20	239.10	(13.10)	SHORT
75	ING GROU	IND	10/26/12	01/21/14	25.1	1,882.50	1,882.50	1,891.46	8.96	LONG
15	ING GROU	IND	03/25/13	01/21/14	25.41	381.15	381.15	378.30	(2.85)	SHORT
4	MORGAN S	MWR	10/26/12	01/21/14	24.965	99.86	99.86	98.76	(1.10)	LONG
17	SANTANDER	FIN SA10.	10/26/12	01/21/14	27.3353	464.70	464.70	449.98	(14.72)	LONG
3	SCE TRUST	SCE.F	10/26/12	01/21/14	26.05	78.15	78.15	64.89	(13.26)	LONG
105	WELLS FAR	WFC.R	12/13/13	01/21/14	24.986	2,623.53	2,623.53	2,760.40	136.87	SHORT
29	COUNTRYW	WFC.B	09/26/13	01/27/14	25.2	730.80	730.80	737.46	6.66	SHORT
5	AXIS CAPIT	AXS.D	05/14/13	01/27/14	25.07	125.35	125.35	98.49	(26.86)	SHORT
30	AXIS CAPIT	AXS.D	05/28/13	01/27/14	24.77	743.10	743.10	591.00	(152.10)	SHORT
2	AXIS CAPIT	AXS.D	05/29/13	01/27/14	24.36	48.72	48.72	39.40	(9.32)	SHORT
54	DYE ENERG	DTZ	01/17/13	01/27/14	27.29	1,473.66	1,473.66	1,326.38	(147.28)	LONG
35	DIGITAL RE	DLR.E	10/26/12	01/27/14	27.44	960.40	960.40	811.93	(148.47)	LONG
55	FIRST REP	FRC.A	10/26/12	01/27/14	27.1	1,490.50	1,490.50	1,355.77	(134.73)	LONG
55	HSBC USA	HUSI.F	10/26/12	01/27/14	22.18	1,219.90	1,219.90	1,091.72	(128.18)	LONG
15	HSBC USA	HUSI.F	03/22/13	01/27/14	22.5	337.50	337.50	297.75	(39.75)	SHORT
3	HSBC USA	HUSI.F	01/21/14	01/27/14	19.92	59.76	59.76	59.55	(0.21)	SHORT
50	HSBC HOLD	HSEB	02/19/13	01/27/14	27.93	1,396.50	1,396.50	1,342.97	(53.53)	SHORT
4	HSBC HOLD	HSEB	01/21/14	01/27/14	26.86	107.44	107.44	107.44		
62	NATL RETA	NNN.D	10/26/12	01/27/14	26.1392	1,620.63	1,620.63	1,449.14	(171.49)	LONG
66	NEXTERA E	NEE.G	10/26/12	01/27/14	26.6733	1,760.44	1,760.44	1,435.59	(324.85)	LONG
55	PUB STORA	PSA.T	10/26/12	01/27/14	26.576	1,461.68	1,461.68	1,195.01	(266.67)	LONG
10	PUB STORA	PSA.T	03/22/13	01/27/14	25.85	258.50	258.50	217.28	(41.22)	SHORT

The Lawrence Frank Foundation										
Stock Sales 2014										
95-6942139										
Equities: Managed Accounts WF Stoneridge 2531-9755										
Schedule of Stock Sales #1										
Wells Fargo Account 8645-5973										
Quantity	Description	Symbol	Open Date	Close Date	Blended Unit Cost	Blended Cost	Cost Amount	Close Amt	Realized	Term
127	PUBLIC ST	PSA.S	01/21/14	01/27/14	22.776	2,892.55	2,892.55	2,846.55	(46.00)	SHORT
40	PRUDENTI	PIH	02/04/13	01/27/14	25.47	1,018.80	1,018.80	880.23	(138.57)	SHORT
20	PRUDENTI	PIH	03/22/13	01/27/14	25.259	505.18	505.18	440.12	(65.06)	SHORT
4	PRUDENTI	PIH	01/21/14	01/27/14	22.44	89.76	89.76	88.03	(1.73)	SHORT
28	NEXTERA ENRGY 8.75	NEE.G	01/21/14	03/03/14	25.53	714.84	714.84	700.00	(14.84)	SHORT
96	AFLAC INC	AFSD	10/26/12	03/17/14	25.48	2,446.08	2,446.08	2,210.80	(235.28)	LONG
72	ASSURED G	AGO.F	01/21/14	03/17/14	20.1799	1,452.95	1,452.95	1,497.55	44.60	SHORT
173	AEGON N.V.	AEV	01/21/14	03/17/14	25.152	4,351.30	4,351.30	4,325.00	(26.30)	SHORT
26	ARES CAPITAL CORP 7	ARH.C	07/24/13	03/17/14	25.9	673.40	673.40	671.31	(2.09)	SHORT
30	CITIGROUP CAP XVII 6.	CFC.A	08/22/13	03/17/14	24.75	742.50	742.50	751.78	9.28	SHORT
30	CITIGROUP CAP XVII 6.	CFC.A	09/06/13	03/17/14	25.26	757.80	757.80	751.78	(6.02)	SHORT
54	CITIGROUP CAP XVII 6.	CFC.A	01/21/14	03/17/14	25.11	1,355.94	1,355.94	1,353.22	(2.72)	SHORT
61	MORGAN S	MWO	01/21/14	03/17/14	23.6705	1,443.90	1,443.25	1,485.93	42.03	SHORT
53	ENDURANC	ENH.B	10/26/12	03/17/14	27.17	1,440.01	1,440.01	1,371.08	(68.93)	LONG
108	GOLDMAN	GSJ	01/21/14	03/17/14	26.1381	2,822.91	2,822.91	2,841.63	18.72	SHORT
71	SCE TRUST	SCE.F	10/26/12	03/17/14	26.0492	1,849.49	1,849.49	1,598.92	(250.57)	LONG
179	ING GROER	ISF	01/21/14	03/21/14	24.244	4,339.67	4,339.67	4,444.65	104.98	SHORT
31	AFFILIATED	MGR	10/26/12	04/03/14	25.94	804.14	804.14	771.49	(32.65)	LONG
54	ARCH CAP	ARH.C	10/26/12	04/03/14	27.1476	1,465.97	1,465.97	1,357.26	(108.71)	LONG
43	COMMONWEALTH 5.7	DLR.E	10/26/12	04/03/14	24.617	1,058.53	1,058.53	907.18	(151.35)	LONG
55	DIGITAL RE	DLR.E	10/26/12	04/03/14	27.44	1,509.20	1,509.20	1,342.52	(166.68)	LONG
7	DIGITAL RE	DLR.E	03/22/13	04/03/14	26.99	188.93	188.93	170.87	(18.06)	LONG
5	DISCOVER	DFS.B	10/26/12	04/03/14	25.274	126.37	126.37	123.09	(3.28)	LONG
25	DISCOVER	DFS.B	03/22/13	04/03/14	25.9196	647.99	647.99	615.49	(32.50)	LONG
73	NEXTERA E	NEE.G	10/26/12	04/03/14	26.6732	1,947.14	1,947.14	1,751.41	(195.73)	LONG
40	QWEST CO	CTU	10/26/12	04/03/14	26.1898	1,047.59	1,047.59	1,017.18	(30.41)	LONG
15	QWEST CO	CTU	03/22/13	04/03/14	26.9	403.50	403.50	381.44	(22.06)	LONG
5	QWEST CO	CTU	01/21/14	04/03/14	24.06	120.30	120.30	127.15	6.85	SHORT
58	CITIGROUP CAP IX 6%	CFC.A	03/17/14	04/28/14	25.34	1,469.72	1,469.72	1,450.00	(19.72)	SHORT
59	CITIGROUP CAP XVII 6.	CFC.A	01/21/14	04/28/14	25.11	1,481.49	1,481.49	1,475.00	(6.49)	SHORT
58	MORGAN S	SMSJ	03/17/14	04/29/14	25.315	1,468.27	1,468.27	1,460.41	(7.86)	SHORT
54	GOLDMAN	GSJ	01/21/14	04/29/14	26.138	1,411.45	1,411.45	1,431.87	20.42	SHORT
33	ROYAL BK	RBS.S	03/21/14	04/29/14	22.8173	752.97	752.97	780.25	27.28	SHORT
30	COUNTRYV	CFC.A	10/18/13	05/06/14	25	750.00	750.00	765.58	15.58	SHORT
25	COUNTRYV	CFC.A	11/13/13	05/06/14	25.05	626.25	626.25	637.99	11.74	SHORT
60	ARCH CAP	ARH.C	10/26/12	05/06/14	27.1475	1,628.85	1,628.85	1,538.74	(90.11)	LONG
45	ASPEN HLD	AHL.B	10/26/12	05/06/14	26.81	1,206.45	1,206.45	1,177.72	(28.73)	LONG
10	ASPEN HLD	AHL.B	03/22/13	05/06/14	27.25	272.50	272.50	261.71	(10.79)	LONG
2	ASPEN HLD	AHL.B	01/21/14	05/06/14	25.22	50.44	50.44	52.35	1.91	SHORT
28	ROYAL BK	RBS.T	11/22/13	05/06/14	24.5	686.00	686.00	711.52	25.52	SHORT
26	BARCLAYS	BCS.	10/26/12	05/16/14	25.0065	650.17	650.17	664.29	14.12	LONG
1	COUNTRYV	CFC.B	09/26/13	05/19/14	25.2	25.20	25.20	25.69	0.49	SHORT
25	COUNTRYV	CFC.B	11/29/13	05/19/14	25.12	628.00	628.00	642.50	14.50	SHORT
74	SENIOR HS	SNHN	10/26/12	05/19/14	24.45	1,809.30	1,809.30	1,658.80	(150.50)	LONG
1	NEXTERA E	NEE.G	10/26/12	06/05/14	26.67	26.67	26.67	23.32	(3.35)	LONG
45	NEXTERA E	NEE.G	11/25/12	06/05/14	26.5338	1,194.02	1,194.02	1,049.75	(144.27)	LONG
10	NEXTERA E	NEE.G	03/22/13	06/05/14	26.51	265.10	265.10	233.28	(31.82)	LONG
4	NEXTERA E	NEE.G	01/21/14	06/05/14	21.89	87.56	87.56	93.32	5.76	SHORT
25	QWEST CO	CTQ	10/26/12	06/06/14	27.2244	680.61	680.61	650.49	(30.12)	LONG
146	MORGAN S	MWR	10/26/12	06/24/14	24.9625	3,644.52	3,644.52	3,686.34	41.82	LONG
30	MORGAN S	MWR	03/22/13	06/24/14	25.2	756.00	756.00	757.47	1.47	LONG
2	ROYAL BK	RBS.T	11/22/13	07/01/14	24.5	49.00	49.00	50.59	1.59	SHORT
25	ROYAL BK	RBS.T	11/27/13	07/01/14	24.5956	614.89	614.89	632.42	17.53	SHORT
3	ROYAL BK	RBS.T	01/21/14	07/01/14	24.87	74.61	74.61	75.90	1.29	SHORT
33	LLOYDS BK	LYG.A	10/26/12	07/03/14	27.75	915.75	915.75	877.78	(37.97)	LONG
31	STANLEY B	SWJ	10/26/12	07/07/14	26.35	816.85	816.85	753.28	(63.57)	LONG
30	COUNTRYV	CFC.A	11/13/13	07/25/14	25.05	751.50	751.50	765.58	14.08	SHORT

The Lawrence Frank Foundation										
Stock Sales 2014										
95-6942139										
Equities: Managed Accounts WF Stoneridge 2531-9755										
Schedule of Stock Sales #1										
Wells Fargo Account 8645-5973										
Quantity	Description	Symbol	Open Date	Close Date	Blended Unit Cost	Blended Cost	Cost Amount	Close Amt	Realized	Term
30	COUNTRYV	CFC.B	01/21/14	08/07/14	25.48	764.40	764.40	762.58	(1.82)	SHORT
4	MORGAN S	MSZ	09/24/13	09/08/14	24.94	99.76	99.76	101.40	1.64	SHORT
14	DOMINION RES INC 8.		08/21/13	09/08/14	26.25	367.50	367.50	363.29	(4.21)	LONG
60	ING GROU	INZ	05/28/14	09/08/14	25.577	1,534.62	1,534.62	1,532.44	(2.18)	SHORT
106	MORGAN S	MSZ	09/24/13	09/10/14	24.94	2,643.64	2,643.64	2,680.87	37.23	SHORT
6	MORGAN S	MSZ	01/21/14	09/10/14	24.97	149.82	149.82	151.75	1.93	SHORT
26	CITY NTNL	CYN.D	12/06/13	09/23/14	25.38	659.88	659.88	717.33	57.45	SHORT
23	GOLDMAN	GSJ	01/21/14	09/23/14	26.1383	601.18	601.18	618.23	17.05	SHORT
34	GOLDMAN	GSJ	01/21/14	09/24/14	26.1379	888.69	888.69	914.32	25.63	SHORT
118	SANTANDER FIN SA10.		10/26/12	09/29/14	27.3352	3,225.55	3,225.55	2,950.00	(275.55)	LONG
35	SANTANDER FIN SA10.		03/22/13	09/29/14	27.38	958.30	958.30	875.00	(83.30)	LONG
40	SANTANDER FIN SA10.		09/12/13	09/29/14	26.32	1,052.80	1,052.80	1,000.00	(52.80)	LONG
25	SANTANDER FIN SA10.		12/10/13	09/29/14	26.92	673.00	673.00	625.00	(48.00)	SHORT
53	SANTANDER FIN SA10.		01/27/14	09/29/14	26.5317	1,406.18	1,406.18	1,325.00	(81.18)	SHORT
30	VORNADO RLTY LP7.87		08/26/13	10/01/14	26.16	784.80	784.80	750.00	(34.80)	LONG
25	VORNADO RLTY LP7.87		01/21/14	10/01/14	26.12	653.00	653.00	625.00	(28.00)	SHORT
110	VORNADO RLTY LP7.87		01/27/14	10/01/14	26.1085	2,871.93	2,871.93	2,750.00	(121.93)	SHORT
57	ING GROU	IND	05/16/14	10/03/14	25.88	1,475.16	1,475.16	1,450.05	(25.11)	SHORT
16	DOMINION RES INC 8.		08/21/13	10/15/14	26.25	420.00	420.00	400.00	(20.00)	LONG
25	DOMINION RES INC 8.		09/23/13	10/15/14	26.0444	651.11	651.11	625.00	(26.11)	LONG
30	DOMINION RES INC 8.		09/27/13	10/15/14	26.02	780.60	780.60	750.00	(30.60)	LONG
30	DOMINION RES INC 8.		10/11/13	10/15/14	26.03	780.90	780.90	750.00	(30.90)	LONG
15	DOMINION RES INC 8.		10/18/13	10/15/14	26.07	391.05	391.05	375.00	(16.05)	SHORT
38	DOMINION RES INC 8.		01/21/14	10/15/14	25.81	980.78	980.78	950.00	(30.78)	SHORT
54	DOMINION RES INC 8.		01/27/14	10/15/14	25.9	1,398.60	1,398.60	1,350.00	(48.60)	SHORT
30	BARCLAYS	BCS.D	10/15/13	10/22/14	25.38	761.40	761.40	775.92	14.52	LONG
20	BARCLAYS	BCS.D	10/18/13	10/22/14	25.46	509.20	509.20	517.28	8.08	LONG
7	BARCLAYS	BCS.D	01/21/14	10/22/14	25.51	178.57	178.57	181.06	2.49	SHORT
30	EQUITY COMWLTH 7.5		10/08/13	11/17/14	20.88	626.40	626.40	600.00	(26.40)	LONG
40	EQUITY COMWLTH 7.5		11/25/13	11/17/14	20.74	829.60	829.60	800.00	(29.60)	SHORT
18	DIGITAL RE DLR.E		03/22/13	12/03/14	26.99	485.82	485.82	464.38	(21.44)	LONG
13	DIGITAL RE DLR.E		05/15/13	12/03/14	27.16	353.08	353.08	335.40	(17.68)	LONG
31	ROYAL BNK	RBS.Q	04/29/14	12/03/14	24.2571	751.97	751.97	784.28	32.31	SHORT
55	DTE ENERG	DTZ	01/17/13	12/16/14	27.29	1,500.95	1,500.95	1,416.41	(84.54)	LONG
5	ASSURD GT	AGO.E	03/17/14	12/17/14	22.77	113.85	113.85	124.50	10.65	SHORT
2	CAPITAL OI	COF.D	11/07/14	12/17/14	25.32	50.64	50.64	50.96	0.32	SHORT
3	EPR PPTYS	EPR.F	02/06/13	12/17/14	25	75.00	75.00	74.01	(0.99)	LONG
3	NATL RETA	NNN.D	10/26/12	12/17/14	26.14	78.42	78.42	77.43	(0.99)	LONG
3	NEXTERA E	NEE.G	01/21/14	12/17/14	21.89	65.67	65.67	74.97	9.30	SHORT
14	PS BUS PAR	PSB.T	10/26/12	12/17/14	25.7736	360.83	360.83	340.05	(20.78)	LONG
2	QWEST CO	CTV	10/06/14	12/17/14	24.6	49.20	49.20	51.14	1.94	SHORT
3	QWEST CO	CTY	04/03/14	12/17/14	22.21	66.63	66.63	72.06	5.43	SHORT
7	SELECTIVE	SGZA	02/07/13	12/17/14	24.66	172.62	172.62	172.97	0.35	LONG
3	SENIOR HS	SNHN	10/26/12	12/17/14	24.45	73.35	73.35	70.47	(2.88)	LONG
4	SCE TRUST	SCE.F	10/26/12	12/17/14	26.05	104.20	104.20	97.28	(6.92)	LONG
5	US BANCO	USB.M	10/26/12	12/17/14	29.464	147.32	147.32	146.15	(1.17)	LONG
					3,826.71	145,308.39	145,307.74	140,133.41	(5,174.98)	

	Lawrence P Frank Foundation										
	Stock Sales 2014										
	95-6942139										
	Schedule of Stock Sales #2										
	Wells Fargo Account 8645-5973										
Quantity	Description 1	Symbol	Open Date	Close Date	Blended Unit Cost	Blended Cost	Cost Amount	Close Amt	Realized	Term	
					437.0208	127,022.89	127,022.89	128,505.22	4,716.44		
24,000	G2 4340 4% 012039		07/07/10	08/20/14	25.6089	6,146.14	6,146.14	3,731.77	(2,414.37)		
65,000	FN 357952 6% 09/01/35		07/21/06	08/20/14	11.431	7,430.18	7,430.18	7,127.01	(303.17)		
25,000	FG G18190 5.5% 06/01/22		06/27/07	08/20/14	8.3848	2,096.19	2,096.19	1,742.68	(353.51)		
22,000	FN 725948 5.5% 10/1/34		08/29/06	08/20/14	8.4608	1,861.38	1,861.38	1,698.32	(163.06)		
50,000	GE CAPITAL STPVAR%123026		12/20/11	06/30/14	100.0105	50,005.25	50,005.25	50,000.00	(5.25)	LONG	
26,000	8PLAINS 6. 6.875 021523		08/07/13	07/23/14	110.008	28,602.08	28,602.08	27,787.50	(814.58)	SHORT	
2,861	AAM DIV RULER 2013B REIN		04/29/13	07/10/14	10.0636	28,791.96	28,791.96	34,045.61	5,253.65	LONG	
118	AAM DIV RULER 2013B REIN		04/29/13	07/10/14	10.1653	1,199.51	1,199.51	1,404.18	204.67	LONG	
5	AAM DIV RULER 2013B REIN		06/25/13	07/10/14	9.718	48.59	48.59	59.5	10.91	LONG	
6	AAM DIV RULER 2013B REIN		07/25/13	07/10/14	10.2717	61.63	61.63	71.4	9.77	SHORT	
6	AAM DIV RULER 2013B REIN		08/25/13	07/10/14	10.0583	60.35	60.35	71.4	11.05	SHORT	
6	AAM DIV RULER 2013B REIN		09/25/13	07/10/14	10.49	62.94	62.94	71.4	8.46	SHORT	
6	AAM DIV RULER 2013B REIN		10/25/13	07/10/14	10.7983	64.79	64.79	71.4	6.61	SHORT	
6	AAM DIV RULER 2013B REIN		11/25/13	07/10/14	10.9817	65.89	65.89	71.4	5.51	SHORT	
6	AAM DIV RULER 2013B REIN		12/25/13	07/10/14	11.0433	66.26	66.26	71.4	5.14	SHORT	
1	AAM DIV RULER 2013B REIN		12/27/13	07/10/14	11.08	11.08	11.08	11.9	0.82	SHORT	
6.41	AAM DIV RULER 2013B REIN		01/27/14	07/10/14	11.17	71.6	71.6	76.27	4.67	SHORT	
6.879	AAM DIV RULER 2013B REIN		02/25/14	07/10/14	11.0031	75.69	75.69	81.86	6.17	SHORT	
6.716	AAM DIV RULER 2013B REIN		03/25/14	07/10/14	11.0691	74.34	74.34	79.92	5.58	SHORT	
6.494	AAM DIV RULER 2013B REIN		04/25/14	07/10/14	11.4721	74.5	74.5	77.28	2.78	SHORT	
6.51	AAM DIV RULER 2013B REIN		05/27/14	07/10/14	11.6559	75.88	75.88	77.47	1.59	SHORT	
6.348	AAM DIV RULER 2013B REIN		06/25/14	07/10/14	12.0762	76.66	76.66	75.55	(1.11)	SHORT	
							127,022.89	128,505.22	1,482.33		

The Lawrence P Frank Foundation										
Stock Sales 2014										
95-6942139										
Schedule of Stock Sales #3										
Wells Fargo Account 3915-9438										
Quantity	Description 1	Symbol	Open Date	Close Date	Blended U	Blended Cost	Cost Amount	Close Amt	Realized	Term
194	ISHARES U.S. ENERGY ETF	IYE	06/26/14	10/01/14	56.5054	10,962.04	10,962.04	10,014.54	(947.50)	SHORT
91	ISHARES U.S. ENERGY ETF	IYE	07/29/14	10/01/14	56.55	5,146.05	5,146.05	4,697.55	(448.50)	SHORT
152	ISHARES U.S. INDLS ETF	IYJ	08/20/14	10/22/14	103.97	15,803.44	15,803.44	15,231.58	(571.86)	SHORT
22	ISHARES U.S. INDLS ETF	IYJ	10/01/14	10/22/14	100.0509	2,201.12	2,201.12	2,204.57	3.45	SHORT
29	ISHARES U.S. INDLS ETF	IYJ	10/14/14	10/22/14	96.0217	2,784.63	2,784.63	2,906.03	121.40	SHORT
2	ISHARES U.S. TECH ETF	IYW	06/26/14	10/28/14	95.72	191.44	191.44	199.71	8.27	SHORT
103	ISHARES U.S. TECH ETF	IYW	06/26/14	11/17/14	95.72	9,859.16	9,859.16			
51	ISHARES U.S. TECH ETF	IYW	07/29/14	11/17/14	99.78	5,088.78	5,088.78			
18	ISHARES U.S. TECH ETF	IYW	10/01/14	11/17/14	99.8567	1,797.42	1,797.42			
29	ISHARES U.S. TECH ETF	IYW	10/14/14	11/17/14	95.3134	2,764.09	2,764.09			
110	ISHARES U.S. TECH ETF	IYW	10/22/14	11/17/14	97.5563	10,731.19	10,731.19			
80	ISHS U.S. HEALTHCARE ETF	IYH	06/26/14	10/22/14	128.2491	10,259.93	10,259.93	10,714.02	454.09	SHORT
39	ISHS U.S. HEALTHCARE ETF	IYH	07/29/14	10/22/14	130.24	5,079.36	5,079.36	5,223.08	143.72	SHORT
10	ISHS U.S. HEALTHCARE ETF	IYH	10/01/14	10/22/14	133.33	1,333.30	1,333.30	1,339.25	5.95	SHORT
21	ISHS U.S. HEALTHCARE ETF	IYH	10/14/14	10/22/14	129.0995	2,711.09	2,711.09	2,812.44	101.35	SHORT
265	ISHS SHORT TREAS BD ETF	SHV	10/22/14	11/17/14	110.28	29,224.20	29,224.20			
280	ISHS SHORT TREAS BD ETF	SHV	10/28/14	11/17/14	110.2774	30,877.67	30,877.67			
464	FINANCIAL SECTOR SPD ETF	XLF	06/26/14	10/22/14	22.615	10,493.36	10,493.36	10,620.72	127.36	SHORT
212	FINANCIAL SECTOR SPD ETF	XLF	07/29/14	10/22/14	22.81	4,835.72	4,835.72	4,852.57	16.85	SHORT
13	FINANCIAL SECTOR SPD ETF	XLF	07/29/14	10/22/14	22.82	296.66	296.66	297.56	0.90	SHORT
66	FINANCIAL SECTOR SPD ETF	XLF	10/01/14	10/22/14	23.0698	1,522.61	1,522.61	1,510.71	(11.90)	SHORT
116	FINANCIAL SECTOR SPD ETF	XLF	10/14/14	10/22/14	22.6053	2,622.21	2,622.21	2,655.19	32.98	SHORT
352	CONSUMR STPLE SCTOR ETF	XLP	08/12/14	11/17/14	44.1745	15,549.42	15,549.42			
34	CONSUMR STPLE SCTOR ETF	XLP	10/01/14	11/17/14	45.0059	1,530.20	1,530.20			
59	CONSUMR STPLE SCTOR ETF	XLP	10/14/14	11/17/14	45.039	2,657.30	2,657.30			
213	CONSUMR STPLE SCTOR ETF	XLP	10/22/14	11/17/14	45.579	9,708.33	9,708.33			
210	MATERIALS SECTOR SP ETF	XLB	06/26/14	10/28/14	49.47	10,388.70	10,388.70	9,984.14	(404.56)	SHORT
103	MATERIALS SECTOR SP ETF	XLB	07/29/14	10/28/14	49.9956	5,149.55	5,149.55	4,896.98	(252.57)	SHORT
38	MATERIALS SECTOR SP ETF	XLB	10/01/14	10/28/14	49.0061	1,862.23	1,862.23	1,806.65	(55.58)	SHORT
70	MATERIALS SECTOR SP ETF	XLB	10/14/14	10/28/14	45.6699	3,196.89	3,196.89	3,328.05	131.16	SHORT
218	MATERIALS SECTOR SP ETF	XLB	10/22/14	10/28/14	48.6669	10,609.38	10,609.38	10,364.51	(244.87)	SHORT
230	CONSUMR DISC SECTOR ETF	XLY	08/06/14	10/14/14	65.7246	15,116.65	15,116.65	14,702.20	(414.45)	SHORT
31	CONSUMR DISC SECTOR ETF	XLY	10/01/14	10/14/14	66.2845	2,054.82	2,054.82	1,981.61	(73.21)	SHORT
								122,343.66	(2,277.52)	

The Lawrence P Frank Foundation										
Stock Sales 2014										
95-6942139										
Equities: managed Accounts WF 3602-4418										
Wells Fargo Account 3602-4418										
Schedule of Stock Sales #4										
Quantity	Description 1	Symbol	Open Date	Close Date	Blended U	Blended Cost	Cost Amount	Close Amt	Realized	Term
600	ANADARKO PETROLEUM CORP	APC	09/19/12	04/04/14	72.5573	43,534.38	43,534.38	60,750.82	17,216.44	LONG
300	TESORO CORPORATION	TSO	04/04/14	04/29/14	51.729	15,518.70	15,518.70	16,794.94	1,276.24	SHORT
300	TESORO CORPORATION	TSO	04/04/14	04/29/14	51.714	15,514.20	15,514.20	16,794.96	1,280.76	SHORT
250	CABOT OIL & GAS	COG	03/26/14	05/01/14	32.576	8,144.00	8,144.00	9,804.81	1,660.81	SHORT
100	CABOT OIL & GAS	COG	03/26/14	05/01/14	32.575	3,257.50	3,257.50	3,921.93	664.43	SHORT
450	NEWELL RUBBERMAID INC	NWL	04/09/14	05/13/14	29.6464	13,340.88	13,340.88	13,306.26	(34.62)	SHORT
1,900	JIVE SOFTWARE INC	JIVE	03/20/13	06/26/14	16.392	31,144.76	31,144.76	15,389.66	(15,755.10)	LONG
100	JIVE SOFTWARE INC	JIVE	03/20/13	06/26/14	16.3919	1,639.19	1,639.19	810.98	(828.21)	LONG
300	LULULEMON ATHLETICA INC	LULU	09/04/13	06/26/14	69.421	20,826.30	20,826.30	12,093.66	(8,732.64)	SHORT
1,200	MERU NETWORKS INC	MERU	05/12/11	06/26/14	18.3785	22,054.20	22,054.20	4,355.90	(17,698.30)	LONG
800	MERU NETWORKS INC	MERU	01/23/13	06/26/14	4.8863	3,909.00	3,909.00	2,903.93	(1,005.07)	LONG
335	MERU NETWORKS INC	MERU	04/04/12	06/26/14	4.0282	1,349.45	1,349.45	1,216.03	(133.42)	LONG
1,665	MERU NETWORKS INC	MERU	04/04/12	06/26/14	4.0282	6,706.91	6,706.91	6,093.76	(613.15)	LONG
135	MERU NETWORKS INC	MERU	08/23/13	06/26/14	3.5179	474.92	474.92	494.09	19.17	SHORT
1,565	MERU NETWORKS INC	MERU	08/23/13	06/26/14	3.5179	5,505.56	5,505.56	5,665.17	159.61	SHORT
200	MERU NETWORKS INC	MERU	08/23/13	06/26/14	3.5179	703.58	703.58	733.98	30.40	SHORT
100	MERU NETWORKS INC	MERU	08/23/13	06/26/14	3.5179	351.79	351.79	363.99	12.20	SHORT
500	RACKSPACE HOSTING INC	RAX	02/01/13	06/26/14	76.1299	38,064.95	38,064.95	18,274.59	(19,790.36)	LONG
400	RACKSPACE HOSTING INC	RAX	03/20/13	06/26/14	51.4099	20,563.96	20,563.96	14,619.68	(5,944.28)	LONG
100	RACKSPACE HOSTING INC	RAX	03/20/13	06/26/14	51.4099	5,140.99	5,140.99	3,655.89	(1,485.10)	LONG
500	RACKSPACE HOSTING INC	RAX	05/07/13	06/26/14	49.6564	24,828.22	24,828.22	18,279.45	(6,548.77)	LONG
140	CAVIUM INC	CAVM	08/28/09	07/02/14	20.9864	2,938.10	2,938.10	6,927.06	3,988.96	LONG
65	CUMMINS INC	CMI	04/13/12	07/02/14	114.95	7,471.75	7,471.75	9,985.73	2,513.98	LONG
200	OCCIDENTAL PETE CORP	OXY	06/19/12	07/02/14	84.2844	16,856.88	16,856.88	20,521.82	3,664.94	LONG
150	OCCIDENTAL PETE CORP	OXY	08/15/12	07/02/14	89.0455	13,356.83	13,356.83	15,391.38	2,034.55	LONG
25	CAVIUM INC	CAVM	08/28/09	07/15/14	20.9864	524.66	524.66	1,186.72	662.06	LONG
152	ISHARES U.S. INDLS ETF	IYJ	06/26/14	07/29/14	103.17	15,681.84	15,681.84	15,423.12	(258.72)	SHORT
350	CONSUMR STPLE SCTOR ETF	XLP	06/26/14	07/29/14	44.5556	15,594.46	15,594.46	15,534.17	(60.29)	SHORT
350	ZOETIS INC	ZTS	08/23/13	07/30/14	29.9065	10,467.28	10,467.28	11,534.35	1,067.07	SHORT
142	ISHARES U.S. UTIL ETF	IDU	06/26/14	08/06/14	109.9199	15,608.63	15,608.63	14,337.92	(1,270.71)	SHORT
48	ISHARES U.S. UTIL ETF	IDU	07/29/14	08/06/14	107.14	5,142.72	5,142.72	4,846.63	(296.09)	SHORT
46	ISHARES U.S. ENERGY ETF	IYE	06/26/14	08/12/14	56.5054	2,599.25	2,599.25	2,504.64	(94.61)	SHORT
26	ISHARES U.S. TECH ETF	IYW	06/26/14	08/12/14	95.72	2,488.72	2,488.72	2,536.24	47.52	SHORT
19	ISHS U.S. HEALTHCARE ETF	IYH	06/26/14	08/12/14	128.2495	2,436.74	2,436.74	2,416.39	(20.35)	SHORT
110	FINANCIAL SECTOR SPD ETF	XLF	06/26/14	08/12/14	22.615	2,487.65	2,487.65	2,467.71	(19.94)	SHORT
50	MATERIALS SECTOR SP ETF	XLB	06/26/14	08/12/14	49.47	2,473.50	2,473.50	2,464.95	(8.55)	SHORT
38	CONSUMR DISC SECTOR ETF	XLY	08/06/14	08/12/14	65.7247	2,497.54	2,497.54	2,531.12	33.58	SHORT
36	ISHARES U.S. ENERGY ETF	IYE	06/26/14	08/20/14	56.5056	2,034.20	2,034.20	1,995.08	(39.12)	SHORT
32	ISHARES U.S. TECH ETF	IYW	06/26/14	08/20/14	95.72	3,063.04	3,063.04	3,234.49	171.45	SHORT
23	ISHS U.S. HEALTHCARE ETF	IYH	06/26/14	08/20/14	128.2496	2,949.74	2,949.74	3,046.76	97.02	SHORT
116	FINANCIAL SECTOR SPD ETF	XLF	06/26/14	08/20/14	22.615	2,623.34	2,623.34	2,662.66	39.32	SHORT
13	CONSUMR STPLE SCTOR ETF	XLP	08/12/14	08/20/14	44.1746	574.27	574.27	583.56	9.29	SHORT
56	MATERIALS SECTOR SP ETF	XLB	06/26/14	08/20/14	49.47	2,770.32	2,770.32	2,825.42	55.10	SHORT
24	CONSUMR DISC SECTOR ETF	XLY	08/06/14	08/20/14	65.7246	1,577.39	1,577.39	1,647.08	69.69	SHORT

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95-6942139										
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Wells Fargo Account 3602-4418										
Schedule of Stock Sales #4										
Quantity	Description 1	Symbol	Open Date	Close Date	Blended U	Blended Cost	Cost Amount	Close Amt	Realized	Term
194	ISHARES U.S. ENERGY ETF	IYE	06/26/14	09/04/14	56.5054	10,962.04	10,962.04			
91	ISHARES U.S. ENERGY ETF	IYE	07/29/14	09/04/14	56.55	5,146.05	5,146.05			
152	ISHARES U.S. INCLS ETF	IYJ	08/20/14	09/04/14	103.97	15,803.44	15,803.44			
105	ISHARES U.S. TECH ETF	IYW	06/26/14	09/04/14	95.72	10,050.60	10,050.60			
51	ISHARES U.S. TECH ETF	IYW	07/29/14	09/04/14	99.78	5,088.78	5,088.78			
80	ISHS U.S. HEALTHCARE ETF	IYH	06/26/14	09/04/14	128.2491	10,259.93	10,259.93			
39	ISHS U.S. HEALTHCARE ETF	IYH	07/29/14	09/04/14	130.24	5,079.36	5,079.36			
464	FINANCIAL SECTOR SPD ETF	XLF	06/26/14	09/04/14	22.615	10,493.36	10,493.36			
212	FINANCIAL SECTOR SPD ETF	XLF	07/29/14	09/04/14	22.81	4,835.72	4,835.72			
13	FINANCIAL SECTOR SPD ETF	XLF	07/29/14	09/04/14	22.82	296.66	296.66			
352	CONSUMR STPLE SCTOR ETF	XLP	08/12/14	09/04/14	44.1745	15,549.42	15,549.42			
210	MATERIALS SECTOR SP ETF	XLB	06/26/14	09/04/14	49.47	10,388.70	10,388.70			
103	MATERIALS SECTOR SP ETF	XLB	07/29/14	09/04/14	49.9956	5,149.55	5,149.55			
230	CONSUMR DISC SECTOR ETF	XLY	08/06/14	09/04/14	65.7246	15,116.65	15,116.65			
250	NIKE INC CLASS B	NKE	05/12/14	09/26/14	74.3247	18,581.18		22,007.01	3,425.83	SHORT
300	MOBILEYE NV	MBLY	10/01/14	10/03/14	49.9665	14,989.95	14,989.95	17,681.70	2,691.75	SHORT
900	DELTA AIR LINES INC NEW	DAL	07/02/14	11/18/14	38.22	34,398.00	34,398.00	39,708.02	5,310.02	SHORT
400	DELTA AIR LINES INC NEW	DAL	07/02/14	11/18/14	38.23	15,292.00	15,292.00	17,648.01	2,356.01	SHORT
400	DISCOVER FINANCIAL	DFS	08/23/13	11/18/14	49.0775	19,631.00	19,631.00	26,097.30	6,466.30	LONG
1,409	DISCOVER FINANCIAL	DFS	02/20/14	11/18/14	56.7779	80.00	80.00	91.93	11.93	SHORT
400	DUNKIN' BRANDS GRP INC	DNKN	08/23/13	11/18/14	43.1299	17,251.96	17,251.96	18,916.46	1,664.50	LONG
400	FREEMPORT-MCMORAN INC	FCX	02/07/12	11/18/14	46.0775	18,431.00	18,431.00	11,293.62	(7,137.38)	LONG
400	FREEMPORT-MCMORAN INC	FCX	04/04/12	11/18/14	37.7377	15,095.08	15,095.08	11,293.63	(3,801.45)	LONG
706.451	FREEMPORT-MCMORAN INC	FCX	02/01/13	11/18/14	35.757	25,260.57	25,260.57	19,946.00	(5,314.57)	LONG
93.549	FREEMPORT-MCMORAN INC	FCX	02/01/13	11/18/14	35.757	3,345.03	3,345.03	2,641.26	(703.77)	LONG
15.451	FREEMPORT-MCMORAN INC	FCX	02/03/14	11/18/14	32.3604	500.00	500.00	436.25	(63.75)	SHORT
900	GENERAL ELECTRIC COMPANY	GE	08/28/09	11/18/14	14.176	12,758.40	12,758.40	24,213.96	11,455.56	LONG
200	GENERAL ELECTRIC COMPANY	GE	02/14/12	11/18/14	18.8766	3,775.32	3,775.32	5,380.89	1,605.57	LONG
200	GENERAL ELECTRIC COMPANY	GE	02/14/12	11/18/14	18.8766	3,775.32	3,775.32	5,379.88	1,604.56	LONG
265	ISHS SHORT TREAS BD ETF	SHV	10/22/14	11/18/14	110.28	29,224.20	29,224.20	29,219.58	(4.62)	SHORT
280	ISHS SHORT TREAS BD ETF	SHV	10/28/14	11/18/14	110.2774	30,877.67	30,877.67	30,873.52	(4.15)	SHORT
1,500	MARIN SOFTWARE INC	MRIN	08/23/13	11/18/14	13.732	20,598.00	20,598.00	12,298.08	(8,299.92)	LONG
1,000	MARIN SOFTWARE INC	MRIN	12/09/13	11/18/14	9.3762	9,376.20	9,376.20	8,198.72	(1,177.48)	SHORT
300	MOSAIC COMPANY	MOS	05/12/14	11/18/14	48.696	14,608.80	14,608.80	14,047.79	(561.01)	SHORT
100	MOSAIC COMPANY	MOS	05/12/14	11/18/14	48.696	4,869.60	4,869.60	4,682.40	(187.20)	SHORT
150	OCCIDENTAL PETE CORP	OXY	08/15/12	11/18/14	89.0455	13,356.82	13,356.82	12,863.73	(493.09)	LONG
500	OCCIDENTAL PETE CORP	OXY	09/04/13	11/18/14	90	45,000.00	45,000.00	42,879.11	(2,120.89)	LONG
1,000	PARKER DRILLING CO	PKD	07/02/14	11/18/14	6.8762	6,876.20	6,876.20	3,886.71	(2,989.49)	SHORT
500	PARKER DRILLING CO	PKD	07/02/14	11/18/14	6.877	3,438.50	3,438.50	1,943.36	(1,495.14)	SHORT
200	STURM RUGER & CO INC	RGR	04/09/14	11/18/14	64.034	12,806.80	12,806.80	7,659.85	(5,146.95)	SHORT
200	STURM RUGER & CO INC	RGR	07/30/14	11/18/14	53.235	10,647.00	10,647.00	7,659.85	(2,987.15)	SHORT

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Schedule of Stock Sales #4										
Quantity	Description 1	Symbol	Open Date	Close Date	Blended U	Blended Cost	Cost Amount	Close Amt	Realized	Term
500	TRANSOCEAN LTD	RIG	02/06/12	11/18/14	49.228	24,614.00	24,614.00	12,849.71	(11,764.29)	LONG
200	TRANSOCEAN LTD	RIG	06/25/12	11/18/14	42.263	8,452.60	8,452.60	5,139.88	(3,312.72)	LONG
300	TRANSOCEAN LTD	RIG	08/15/12	11/18/14	48.1052	14,431.56	14,431.56	7,709.83	(6,721.73)	LONG
0.616	TRANSOCEAN LTD	RIG	03/19/14	11/18/14	39.8052	24.52	24.52	15.84	(8.68)	SHORT
13.455	TRANSOCEAN LTD	RIG	03/19/14	11/18/14	39.7978	535.48	535.48	345.78	(189.70)	SHORT
0.236	TRANSOCEAN LTD	RIG	06/18/14	11/18/14	44.7034	10.55	10.55	6.06	(4.49)	SHORT
0.309	TRANSOCEAN LTD	RIG	09/18/14	11/18/14	34.7249	10.73	10.73	7.95	(2.78)	SHORT
400	YUM BRANDS INC	YUM	02/07/12	11/18/14	64.8965	25,958.60	25,958.60	29,657.70	3,699.10	LONG
100	YUM BRANDS INC	YUM	05/04/12	11/18/14	71.2128	7,121.28	7,121.28	7,414.43	293.15	LONG
2.583	YUM BRANDS INC	YUM	02/07/14	11/18/14	71.6221	185.00	185.00	191.52	6.52	SHORT
350	ZOETIS INC	ZTS	08/23/13	11/18/14	29.9065	10,467.27	10,467.27	15,313.81	4,846.54	LONG
1.614	ZOETIS INC	ZTS	03/04/14	11/18/14	31.2268	50.40	50.40	70.62	20.22	SHORT
100	AMAZON COM INC	AMZN	09/08/09	11/19/14	79.5556	7,955.56	7,955.56	32,571.58	24,616.02	LONG
100	AMAZON COM INC	AMZN	07/06/10	11/19/14	111.625	11,162.50	11,162.50	32,571.58	21,409.08	LONG
70	APPLE INC	AAPL	02/27/09	11/19/14	23.8353	1,668.47	1,668.47	8,013.83	6,345.36	LONG
63	APPLE INC	AAPL	03/04/09	11/19/14	13.1097	825.91	825.91	7,212.45	6,386.54	LONG
112	APPLE INC	AAPL	03/11/09	11/19/14	13.2664	1,485.84	1,485.84	12,822.13	11,336.29	LONG
21	APPLE INC	AAPL	03/12/09	11/19/14	13.5662	284.89	284.89	2,404.15	2,119.26	LONG
21	APPLE INC	AAPL	07/30/09	11/19/14	23.4471	492.39	492.39	2,404.15	1,911.76	LONG
280	APPLE INC	AAPL	10/05/09	11/19/14	26.5557	7,435.60	7,435.60	32,055.35	24,619.75	LONG
350	APPLE INC	AAPL	12/01/09	11/19/14	28.7857	10,075.00	10,075.00	40,069.19	29,994.19	LONG
5	APPLE INC	AAPL	02/13/14	11/19/14	76.412	382.06	382.06	572.42	190.36	SHORT
2,000	BANK OF AMERICA CORP	BAC	05/04/12	11/19/14	7.77	15,540.00	15,540.00	34,101.07	18,561.07	LONG
1,000	CSX CORP	CSX	10/10/12	11/19/14	21.09	21,090.00	21,090.00	36,609.19	15,519.19	LONG
335	CAVIUM INC	CAVM	08/28/09	11/19/14	20.9864	7,030.44	7,030.44	17,815.15	10,784.71	LONG
500	CAVIUM INC	CAVM	10/05/09	11/19/14	20.3307	10,165.35	10,165.35	26,589.79	16,424.44	LONG
500	CAVIUM INC	CAVM	04/14/10	11/19/14	25.9369	12,968.45	12,968.45	26,589.79	13,621.34	LONG
1,500	CORNING INC	GLW	02/07/12	11/19/14	13.75	20,625.00	20,625.00	30,480.83	9,855.83	LONG
235	CUMMINS INC	CMI	04/13/12	11/19/14	114.95	27,013.25	27,013.25	33,694.07	6,680.82	LONG
200	CUMMINS INC	CMI	07/20/12	11/19/14	86.684	17,336.80	17,336.80	28,675.81	11,339.01	LONG
2	CUMMINS INC	CMI	03/03/14	11/19/14	143.815	287.63	287.63	286.76	(0.87)	SHORT
500	DOW CHEMICAL COMPANY	DOW	05/14/13	11/19/14	34.9568	17,478.40	17,478.40	25,822.18	8,343.78	LONG
3	DOW CHEMICAL COMPANY	DOW	01/30/14	11/19/14	44.9967	134.99	134.99	154.94	19.95	SHORT
200	FACEBOOK INC CLASS A	FB	08/23/13	11/19/14	40.1455	8,029.10	8,029.10	14,726.66	6,697.56	LONG
1,800	FORD MOTOR COMPANY	F	02/16/11	11/19/14	16.17	29,106.00	29,106.00	27,567.48	(1,538.52)	LONG
1,000	FORD MOTOR COMPANY	F	01/23/12	11/19/14	12.65	12,650.00	12,650.00	15,315.27	2,665.27	LONG
23	FORD MOTOR COMPANY	F	03/03/14	11/19/14	15.2039	349.69	349.69	352.26	2.57	SHORT
100	GOOGLE CL C NON-VOTING	GOOG	09/02/10	11/19/14	230.3967	23,039.67	23,039.67	53,153.83	30,114.16	LONG
100	GOOGLE INC CL A	GOOGL	09/02/10	11/19/14	231.1353	23,113.53	23,113.53	54,104.01	30,990.48	LONG
103	ISHARES U.S. TECH ETF	IYW	06/26/14	11/19/14	95.72	9,859.16	9,859.16	10,654.09	794.93	SHORT
51	ISHARES U.S. TECH ETF	IYW	07/29/14	11/19/14	99.78	5,088.78	5,088.78	5,275.32	186.54	SHORT
18	ISHARES U.S. TECH ETF	IYW	10/01/14	11/19/14	99.8567	1,797.42	1,797.42	1,861.88	64.46	SHORT
29	ISHARES U.S. TECH ETF	IYW	10/14/14	11/19/14	95.3134	2,764.09	2,764.09	2,999.69	235.60	SHORT
110	ISHARES U.S. TECH ETF	IYW	10/22/14	11/19/14	97.5563	10,731.19	10,731.19	11,378.17	646.98	SHORT
500	LAS VEGAS SANDS CORP	LVS	02/23/12	11/19/14	53.2099	26,604.95	26,604.95	31,281.66	4,676.71	LONG
400	LAS VEGAS SANDS CORP	LVS	03/20/13	11/19/14	54.5479	21,819.15	21,819.15	25,025.33	3,206.18	LONG

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352	CONSUMR STPLE SCTOR ETF	XLP	08/12/14	11/19/14	44.1745	15,549.42	15,549.42	17,008.26	1,458.84	SHORT
34	CONSUMR STPLE SCTOR ETF	XLP	10/01/14	11/19/14	45.0059	1,530.20	1,530.20	1,642.84	112.64	SHORT
59	CONSUMR STPLE SCTOR ETF	XLP	10/14/14	11/19/14	45.039	2,657.30	2,657.30	2,850.81	193.51	SHORT
213	CONSUMR STPLE SCTOR ETF	XLP	10/22/14	11/19/14	45.579	9,708.33	9,708.33	10,291.95	583.62	SHORT
300	STARBUCKS CORP	SBUX	06/07/12	11/19/14	53.7565	16,126.95	16,126.95	23,357.51	7,230.56	LONG
200	STARBUCKS CORP	SBUX	08/15/12	11/19/14	48.1862	9,637.24	9,637.24	15,571.67	5,934.43	LONG
400	STARBUCKS CORP	SBUX	03/20/13	11/19/14	57.4064	22,962.56	22,962.56	31,143.36	8,180.80	LONG
3	STARBUCKS CORP	SBUX	02/21/14	11/19/14	73.7733	221.32	221.32	233.58	12.26	SHORT
400	VMWARE INC CLASS A	VMW	10/22/10	11/19/14	75.5065	30,202.60	30,202.60	33,723.25	3,520.65	LONG
0.229	APPLE INC	AAPL	02/13/14	11/25/14	76.3755	17.49	17.49	27.16	9.67	SHORT
0.173	CUMMINS INC	CMI	03/03/14	11/25/14	143.7572	24.87	24.87	25.60	0.73	SHORT
0.556	DOW CHEMICAL COMPANY	DOW	01/30/14	11/25/14	44.982	25.01	25.01	29.05	4.04	SHORT
0.021	FORD MOTOR COMPANY	F	03/03/14	11/25/14	14.7619	0.31	0.31	0.32	0.01	SHORT
0.172	STARBUCKS CORP	SBUX	02/21/14	11/25/14	73.7209	12.68	12.68	13.84	1.16	SHORT
1,046	HARRIS OAKMARK SELECT I	OAKLX	11/25/14	12/05/14	46.7	48,848.20	48,848.20	48,387.96	(460.24)	SHORT
125.171	AQUILA THREE PEAKS Y	ATGYX	12/05/14	12/15/14	46.23	5,786.66	5,786.66	5,593.89	(192.77)	SHORT
908.522	FDVNTG GTHM ABSL RT INST	GARIX	12/05/14	12/15/14	14.36	13,046.38	13,046.38	12,619.37	(427.01)	SHORT
144.574	DODGE & COX INTL STCK FD	DODFX	12/05/14	12/15/14	44.97	6,501.50	6,501.50	6,028.74	(472.76)	SHORT
636.69	HIGHLND PYXIS L/S HLTH Z	HHCZX	11/25/14	12/15/14	15.36	9,779.56	9,779.56	9,467.58	(311.98)	SHORT
75.379	FIDELITY ADVISOR MAT I	FMFEX	12/05/14	12/15/14	83.56	6,298.67	6,298.67	5,853.42	(445.25)	SHORT
331.817	FRANKLIN S/C GROWTH ADVR	FSSAX	12/05/14	12/15/14	19.79	6,566.66	6,566.66	6,009.21	(557.45)	SHORT
259.93	GLDMN MLP ENERGY INSTL	GMLPX	11/25/14	12/15/14	12.43	3,230.93	3,230.93	2,744.86	(486.07)	SHORT
81.208	GOLDMAN INCM BLD R INSTL	GSBIX	12/05/14	12/15/14	23.2301	1,886.47	1,886.47	1,816.62	(69.85)	SHORT
78.348	HARRIS OAKMARK SELECT I	OAKLX	11/25/14	12/15/14	46.7	3,658.85	3,658.85	3,461.41	(197.44)	SHORT
434.52	JHNCK ABSL RTN CRRNCY I	JCUJX	12/05/14	12/15/14	9.43	4,097.53	4,097.53	4,045.38	(52.15)	SHORT
218.004	MFS INTERNATIONAL VAL I	MINIX	12/05/14	12/15/14	36.4	7,935.35	7,935.35	7,671.56	(263.79)	SHORT
370.801	MAINSTAY EPOCH GLB YLD I	EPSYX	12/05/14	12/15/14	20.6	7,638.51	7,638.51	6,989.60	(648.91)	SHORT
529.117	VIRTUS EMRG MKT OPPTY I	HIEMX	12/05/14	12/15/14	10.27	5,434.04	5,434.04	5,158.89	(275.15)	SHORT
291.508	PRINCPL REAL EST INSTL	PIREX	11/25/14	12/15/14	22.45	6,544.36	6,544.36	6,573.51	29.15	SHORT
175.148	PRUDNTL JENN SM CO FD Z	PSCZX	12/05/14	12/15/14	31.0101	5,431.35	5,431.35	5,186.13	(245.22)	SHORT
110.497	PUTNAM EQUITY SPECTRM Y	PYSYX	11/25/14	12/15/14	45.6001	5,038.67	5,038.67	4,710.49	(328.18)	SHORT
2,200.43	NATIXIS ASG MNGD CL Y	ASFYX	11/25/14	12/15/14	11.85	26,075.10	26,075.10	26,273.13	198.03	SHORT
							1,686,585.47	1,840,324.24	(1,670.78)	